

(1) (10 points) Solve Chapter 6, Exercise 6 in Kleinberg & Tardos.

## Solution

### Problem Statement

Given a sequence of words  $W = \{w_1, w_2, \dots, w_n\}$  where  $w_i$  contains  $c_i$  characters. Maximum length of each line of text is  $L$ . If  $w_i$  to  $w_k$  are assigned to a line then  $\sum_{i=k}^{k-1} (c_i + 1) + c_k \leq L$  must hold for the line to be valid. We have to find a partition of set of words  $W$  into valid lines so that sum of squares of slack of all valid lines including the last one is minimized.

### Building the algorithm

Let  $s_{ij}$  be the slack if we have a line which starts at  $w_i$  and ends at  $w_j$ . Using this definition  $s_{ij} = \{ L - \sum_{i=k}^{k-1} (c_i + 1) + c_k \}$  if  $L \geq \sum_{i=k}^{k-1} (c_i + 1) + c_k$  else INF. Here INF stands for a very big number which is greater than all numbers possible in this problem. If  $s_{ij}$  is INF then this would mean that the line formed by words  $w_i$  to  $w_j$  is an invalid line. We will pre compute this slack matrix so that we don't have to re compute this values in our main algorithm.

Now consider the last word  $w_n$ . This word can be part of a line which can start at any  $j < n$ . Let  $\text{OPT}(n)$  be the minimum slack of words  $w_1$  to  $w_n$  partitioned optimally.

$$\text{OPT}(n) = \min \{ \text{OPT}(j-1) + s_{jn} \} \text{ for } j = 1 \text{ to } n$$

This recurrence will give us optimal slacks for all prefix word set and our answer will be simply  $\text{OPT}(n)$ . We can also find all the partition points by storing at which  $j$  each we the optimal value for  $\text{OPT}(i)$ . We can store this value in an array `prev[]`. Hence the partition points can be recovered as `prev[n]`, `prev[prev[n]]`... and so on until we reach the beginning of the word list i.e `prev[i] = 1`.

Also  $s_{ij}$  can be precomputed using the following recurrence :

$s_{ii} = L - c_i \forall i$  since this means that this is the only word in this line. Here it is also assumed that the input is such that  $c_i \leq L \forall i$  otherwise the words cannot be partitioned.

$s_{ij} = s_{i,j-1} - c_j - 1 \forall i \neq j$  since if we want words  $w_i$  to  $w_j$  in a line, then we can simple subtract  $c_j - 1$  from the slack of  $w_i$  and the preceding word to  $j$ . We also set  $s_{ij}$  equal to INF if the slack is negative to signify that it is an invalid partition so that it does not get included in the solution.

## Algorithm

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### Algorithm 1

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1: procedure PRETTY_PRINTER( $W[], C[], L$ )
2:    $s[i, j] \leftarrow INF \ \forall i, j$  ▷ Slack if words i to word j form a line
3:   for all  $i = 1, n$  do
4:      $s[i, i] \leftarrow L - c_i$ 
5:     for all  $j = i + 1, n$  do
6:       if  $s[i, j - 1] - c_j - 1 \geq 0$  then
7:          $s[i, j] \leftarrow s[i, j - 1] - c_j - 1$ 
8:   for all  $i = 1, n$  do ▷ We want to minimize the square of slacks
9:     for all  $j = i + 1, n$  do
10:      if  $s[i, j] \neq INF$  then
11:         $s[i, j] \leftarrow s[i, j] * s[i, j]$ 
12:    $OPT[i] \leftarrow INF \ \forall i$  ▷ OPT(i): the minimum slack by partitioning words from 1 to i
13:    $prev[i] \leftarrow 0 \ \forall i$  ▷ prev(i): the starting point of the line in which  $w_i$  lies.
14:    $OPT[0] \leftarrow 0$  ▷ Handling the base case since the min slack for zero words is zero
15:   for all  $i = 1, n$  do
16:     for all  $j = 1, i$  do
17:       if  $s_{ji} \neq INF$  then ▷ If  $w_j$  to  $w_i$  form a valid line
18:         if  $OPT[i] > OPT[j - 1] + s_j k$  then
19:            $OPT[i] \leftarrow OPT[j - 1] + s_j k$ 
20:            $prev[i] \leftarrow j$ 
21:   return  $OPT[n], prev[]$ 
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### Proof of Correctness

*Proof.* We will prove this by induction. We claim that  $OPT(k)$  is the optimal slack value for words from  $w_1$  to  $w_k$ . The base case for  $k = 0$  is trivially true since the slack is zero for no words. Now consider  $OPT(k+1)$ . Using the argument above we know that  $optimal(n) = \min \{ optimal(j-1) + s_{jn} \}$  for  $j = 1$  to  $i$ . Now assume that inductive hypothesis holds i.e  $OPT(j)$  is optimal slack for all  $j$  from 1 to  $k$  assuming strong induction  $optimal(k+1) = \min \{ OPT(j-1) + s_{jn} \}$  for  $j = 1$  to  $k+1$ , which means  $optimal(k+1) = OPT(k+1)$  which proves the inductive step. □

### Complexity Analysis

Steps 3-7 and 8-11 take  $O(n^2)$ . Steps 14-19 take  $O(n^2)$ . Partition points can be recovered as  $prev[n], prev[prev[n]]...$  and so on until we reach the beginning of the word list i.e  $prev[i] = 1$ . This takes  $O(n)$  time.

Hence total time complexity  $O(n^2)$

Total space complexity  $O(n^2)$