

Section 3.8 – Dot Product and Orthogonality

Norm of a Vector

The **length** (or **norm**) of a vector \mathbf{v} is the square root of $\mathbf{v} \cdot \mathbf{v}$

$$\begin{aligned} \text{Length} = \|\mathbf{v}\| &= \sqrt{\mathbf{v} \cdot \mathbf{v}} \\ &= \sqrt{x^2 + y^2} && \text{2-dimension} \\ &= \sqrt{x^2 + y^2 + z^2} && \text{3-dimension} \end{aligned}$$

Definition

If $\mathbf{v} = (v_1, v_2, \dots, v_n)$ is a vector in \mathbf{R}^n , then the norm of \mathbf{v} (also called the length of \mathbf{v} or the magnitude of \mathbf{v}) is denoted by $\|\mathbf{v}\|$, and is defined by the formula

$$\|\mathbf{v}\| = \sqrt{v_1^2 + v_2^2 + v_3^2 + \dots + v_n^2}$$

Example

Find the length of the vector $\mathbf{v} = (1, 2, 3)$

Solution

$$\begin{aligned} \|\mathbf{v}\| &= \sqrt{1^2 + 2^2 + 3^2} \\ &= \sqrt{14} \end{aligned}$$

Theorem

If \mathbf{v} is a vector in \mathbf{R}^n , and if k is any scalar, then:

- a) $\|\mathbf{v}\| \geq 0$
- b) $\|\mathbf{v}\| = 0$ iff $\mathbf{v} = \mathbf{0}$
- c) $\|k\mathbf{v}\| = |k| \cdot \|\mathbf{v}\|$

Unit Vectors

Definition

A **unit vector** u is a vector whose length equals to one. Then $u \cdot u = 1$

Divide any nonzero vector v by its length. Then $u = \frac{v}{\|v\|}$ is a unit vector in the same direction as v .

Example

Find the unit vector u that has the same direction as $v = (2, 2, -1)$

Solution

$$\|v\| = \sqrt{2^2 + 2^2 + (-1)^2} = 3$$

$$\begin{aligned} u &= \frac{v}{\|v\|} \\ &= \frac{1}{3}(2, 2, -1) \\ &= \left(\frac{2}{3}, \frac{2}{3}, -\frac{1}{3}\right) \end{aligned}$$

$$\begin{aligned} \|u\| &= \sqrt{\left(\frac{2}{3}\right)^2 + \left(\frac{2}{3}\right)^2 + \left(-\frac{1}{3}\right)^2} \\ &= \sqrt{\frac{4}{9} + \frac{4}{9} + \frac{1}{9}} \\ &= \sqrt{\frac{9}{9}} \\ &= 1 \quad \checkmark \end{aligned}$$

Example of unit vectors

$$i = \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \quad j = \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \quad u = \begin{bmatrix} \cos \theta \\ \sin \theta \end{bmatrix}$$

In \mathbf{R}^3 $i = (1, 0, 0)$ $j = (0, 1, 0)$ and $k = (0, 0, 1)$

In general, these formulas can be defined as **standard unit vector** in \mathbf{R}^n

$$e_1 = (1, 0, \dots, 0), \quad e_2 = (0, 1, \dots, 0), \quad \dots, \quad e_n = (0, 0, \dots, 1)$$

$$v = (v_1, v_2, \dots, v_n) = v_1 e_1 + v_2 e_2 + \dots + v_n e_n$$

Example $(7, 3, -4, 5) = 7e_1 + 3e_2 - 4e_3 + 5e_4$

Distance in \mathbf{R}^n

Definition

If $\mathbf{u} = (u_1, u_2, \dots, u_n)$ and $\mathbf{v} = (v_1, v_2, \dots, v_n)$ are points in \mathbf{R}^n , then we denote the distance between \mathbf{u} and \mathbf{v} by $d(\mathbf{u}, \mathbf{v})$ and define it to be

$$d(\mathbf{u}, \mathbf{v}) = \|\mathbf{u} - \mathbf{v}\| = \sqrt{(u_1 - v_1)^2 + (u_2 - v_2)^2 + \dots + (u_n - v_n)^2}$$

$$\text{In } \mathbf{R}^2 \quad d = \|\overrightarrow{P_1 P_2}\| = \sqrt{(x_2 - x_1)^2 + (y_2 - y_1)^2}$$

$$\text{In } \mathbf{R}^3 \quad d(\mathbf{u}, \mathbf{v}) = \|\overrightarrow{P_1 P_2}\| = \sqrt{(x_2 - x_1)^2 + (y_2 - y_1)^2 + (z_2 - z_1)^2}$$

Dot Product

If \mathbf{u} and \mathbf{v} are nonzero vectors in \mathbf{R}^2 or \mathbf{R}^3 , and if θ is the angle between \mathbf{u} and \mathbf{v} , then the **dot product** (also called the **Euclidean inner product**) of \mathbf{u} and \mathbf{v} is denoted by $\mathbf{u} \cdot \mathbf{v}$ and is defined as

$$\mathbf{u} \cdot \mathbf{v} = \|\mathbf{u}\| \|\mathbf{v}\| \cos \theta$$

Cosine Formula

If \mathbf{u} and \mathbf{v} are nonzero vectors that implies $\Rightarrow \cos \theta = \frac{\mathbf{u} \cdot \mathbf{v}}{\|\mathbf{u}\| \cdot \|\mathbf{v}\|}$

Example

Find the dot product of the vectors $\mathbf{u} = (0, 0, 1)$ and $\mathbf{v} = (0, 2, 2)$ and have an angle of 45° .

Solution

$$\|\mathbf{u}\| = 1 \quad \text{and} \quad \|\mathbf{v}\| = \sqrt{0 + 2^2 + 2^2} = \sqrt{8} = 2\sqrt{2}$$

$$\mathbf{u} \cdot \mathbf{v} = \|\mathbf{u}\| \|\mathbf{v}\| \cos \theta$$

$$= (1)(2\sqrt{2}) \cos 45^\circ$$

$$= (2\sqrt{2}) \frac{1}{\sqrt{2}}$$

$$= 2$$

Component Form of the Dot Product

The *dot product* or *inner product* of $v = (v_1, v_2)$ and $w = (w_1, w_2)$ is the number

$$vw = v_1 w_1 + v_2 w_2$$

Example

Find the dot product of $v = (4, 2)$ and $w = (-1, 2)$

Solution

$$|v \cdot w = 4 \cdot (-1) + 2(2) = 0|$$

➤ For dot products, zero means that the 2 vectors are perpendicular ($= 90^\circ$).

Example

Put a weight of 4 at the point $x = -1$ and weight of 2 at the point $x = 2$. The x -axis will balance on the center point $x = 0$.

Solution

The weight balance is $4(-1) + 2(2) = 0$ (*dot product*).

In 3-dimensionals the dot product:

$$(v_1, v_2, v_3) \cdot (w_1, w_2, w_3) = v_1 w_1 + v_2 w_2 + v_3 w_3$$

Theorem

- a) $u \cdot v = v \cdot u$
- b) $u \cdot (v + w) = u \cdot v + u \cdot w$
- c) $u \cdot (v - w) = u \cdot v - u \cdot w$
- d) $(u + v) \cdot w = u \cdot w + v \cdot w$
- e) $(u - v) \cdot w = u \cdot w - v \cdot w$
- f) $k(u \cdot v) = (ku) \cdot v$
- g) $k(u \cdot v) = u \cdot (kv)$
- h) $v \cdot v \geq 0$ and $v \cdot v = 0$ iff $v = 0$
- i) $0 \cdot v = v \cdot 0 = 0$

Right Angles

The dot product is $v \cdot w = 0$ when v is *perpendicular* to w .

Proof

Perpendicular vectors: $\|v\|^2 + \|w\|^2 = \|v - w\|^2$

$$\begin{aligned} v_1^2 + v_2^2 + w_1^2 + w_2^2 &= (v_1 - w_1)^2 + (v_2 - w_2)^2 \\ &= v_1^2 - 2v_1w_1 + w_1^2 + v_2^2 - 2v_2w_2 + w_2^2 \\ &= v_1^2 + w_1^2 + v_2^2 + w_2^2 - 2(v_1w_1 + v_2w_2) \quad v_1w_1 + v_2w_2 = 0 \text{ dot product} \\ &= v_1^2 + w_1^2 + v_2^2 + w_2^2 \end{aligned}$$

If u and U are unit vectors, then $u \cdot U = \cos \theta$

Certainly,

$$\begin{aligned} |u \cdot U| &\leq 1 \\ -1 &\leq \cos \theta \leq 1 \\ -1 &\leq \text{dot product} \leq 1 \end{aligned}$$

Schwarz Inequality

If v and w are any vectors $\Rightarrow \|v \cdot w\| \leq \|v\| \cdot \|w\|$

Proof

The dot product of $v = (a, b)$ and $w = (b, a)$ is $2ab$ and both lengths are $\sqrt{a^2 + b^2}$.

Then, the Schwarz inequality says that: $2ab \leq a^2 + b^2$

$$a^2 + b^2 - 2ab = (a - b)^2 \geq 0$$

$$a^2 + b^2 - 2ab \geq 0$$

$$a^2 + b^2 \geq 2ab$$

This proves the Schwarz inequality: $2ab \leq a^2 + b^2 \Rightarrow \|v \cdot w\| \leq \|v\| \cdot \|w\|$

Orthogonality

Definition

Two nonzero vectors \mathbf{u} and \mathbf{v} in \mathbf{R}^n are said to be *orthogonal* (or *perpendicular*) if their dot product is zero $\mathbf{u} \cdot \mathbf{v} = 0$.

We will also agree that the zero vector in \mathbf{R}^n is orthogonal to every vector in \mathbf{R}^n . A nonempty set of vectors \mathbf{R}^n is called an *orthogonal set* if all pairs of distinct vectors in the set are orthogonal. An orthogonal set of unit vectors is called an *orthonormal set*.

Example

The floor of your room (extended to infinity) is a subspace \mathbf{V} . The line where two walls meet is a subspace \mathbf{W} (one-dimensional). Those subspaces are orthogonal. Every vector up the meeting line is perpendicular to every vector on the floor. The origin $(0, 0, 0)$ is in the corner.

Example

Show that $\mathbf{u} = (-2, 3, 1, 4)$ and $\mathbf{v} = (1, 2, 0, -1)$ are orthogonal in \mathbf{R}^4

Solution

$$\begin{aligned}\mathbf{u} \cdot \mathbf{v} &= (-2)(1) + (3)(2) + (1)(0) + (4)(-1) \\ &= -2 + 6 + 0 - 4 \\ &= 0\end{aligned}$$

These vectors are orthogonal in \mathbf{R}^4

Standard Unit Vectors

$$\mathbf{i} \cdot \mathbf{j} = \mathbf{i} \cdot \mathbf{k} = \mathbf{j} \cdot \mathbf{k} = 0$$

Proof

$$\mathbf{i} \cdot \mathbf{j} = (1, 0, 0) \cdot (0, 1, 0) = 0$$

Normal

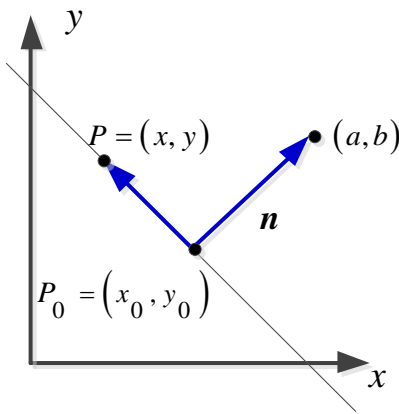
To specify slope and inclination is to use a nonzero vector \mathbf{n} , called a **normal**, which is orthogonal to the line or plane.

The line passes through a point $P_0(x_0, y_0)$ that has a normal $\mathbf{n} = (a, b)$ and the plane through

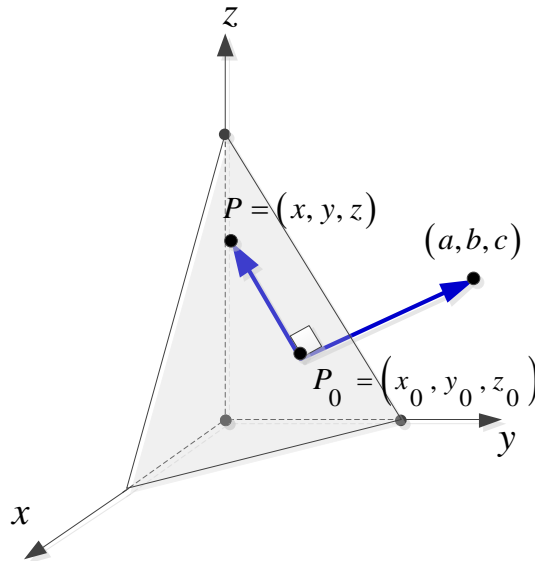
$P_0(x_0, y_0, z_0)$ that has a normal $\mathbf{n} = (a, b, c)$. Both the line and the plane are represented by the vector equation

$$\mathbf{n} \cdot \overrightarrow{P_0P} = 0$$

The line equation: $a(x - x_0) + b(y - y_0) = 0$



The plane equation: $a(x - x_0) + b(y - y_0) + c(z - z_0) = 0$



Exercises Section 3.8 – Dot Product and Orthogonality

1. If $\|\vec{v}\| = 5$ and $\|\vec{w}\| = 3$, what are the smallest and largest possible values of $\|\vec{v} - \vec{w}\|$ and $\vec{v} \cdot \vec{w}$?
2. If $\|\vec{v}\| = 7$ and $\|\vec{w}\| = 3$, what are the smallest and largest possible values of $\|\vec{v} + \vec{w}\|$ and $\vec{v} \cdot \vec{w}$?
3. Given that $\cos(\alpha) = \frac{v_1}{\|\vec{v}\|}$ and $\sin(\alpha) = \frac{v_2}{\|\vec{v}\|}$. Similarly, $\cos(\beta) = \frac{w_1}{\|\vec{w}\|}$ and $\sin(\beta) = \frac{w_2}{\|\vec{w}\|}$. The angle θ is $\beta - \alpha$. Substitute into the trigonometry formula $\cos(\alpha)\cos(\beta) + \sin(\alpha)\sin(\beta)$ for $\cos(\beta - \alpha)$ to find $\cos(\theta) = \frac{\vec{v} \cdot \vec{w}}{\|\vec{v}\| \|\vec{w}\|}$.
4. Can three vectors in the xy plane have $u \cdot v < 0$, $v \cdot w < 0$ and $u \cdot w < 0$?
5. Find the norm of v , a unit vector that has the same direction as v , and a unit vector that is oppositely directed.
 - a) $v = (4, -3)$
 - b) $v = (1, -1, 2)$
 - c) $v = (-2, 3, 3, -1)$
6. Evaluate the given expression with $u = (2, -2, 3)$, $v = (1, -3, 4)$, and $w = (3, 6, -4)$
 - a) $\|u + v\|$
 - b) $\|-2u + 2v\|$
 - c) $\|3u - 5v + w\|$
 - d) $\|3v\| - 3\|v\|$
 - e) $\|u\| + \|-2v\| + \|-3w\|$
7. Let $v = (1, 1, 2, -3, 1)$. Find all scalars k such that $\|kv\| = 5$.
8. Find $u \cdot v$, $u \cdot u$, and $v \cdot v$
 - a) $u = (3, 1, 4)$, $v = (2, 2, -4)$
 - b) $u = (1, 1, 4, 6)$, $v = (2, -2, 3, -2)$
 - c) $u = (2, -1, 1, 0, -2)$, $v = (1, 2, 2, 2, 1)$
9. Find the Euclidean distance between u and v , then find the angle between them
 - a) $u = (3, 3, 3)$, $v = (1, 0, 4)$
 - b) $u = (1, 2, -3, 0)$, $v = (5, 1, 2, -2)$
 - c) $u = (0, 1, 1, 1, 2)$, $v = (2, 1, 0, -1, 3)$
10. Find a unit vector that has the same direction as the given vector
 - a) $(-4, -3)$
 - b) $(-3, 2, \sqrt{3})$
 - c) $(1, 2, 3, 4, 5)$
11. Find a unit vector that is oppositely to the given vector
 - a) $(-12, -5)$
 - b) $(3, -3, 3)$
 - c) $(-3, 1, \sqrt{6}, 3)$

12. Verify that the Cauchy-Schwarz inequality holds

a) $u = (-3, 1, 0), v = (2, -1, 3)$

b) $u = (0, 2, 2, 1), v = (1, 1, 1, 1)$

c) $u = (1, 3, 5, 2, 0, 1), v = (0, 2, 4, 1, 3, 5)$

13. Find $u \cdot v$ and then the angle θ between u and v $u = \begin{bmatrix} 3 \\ -1 \\ 2 \\ 1 \end{bmatrix} \quad v = \begin{bmatrix} 1 \\ 0 \\ -1 \\ -1 \end{bmatrix}$

14. Find the norm: $\|u\| + \|v\|, \|u + v\|$ for $u = (3, -1, -2, 1, 4) \quad v = (1, 1, 1, 1, 1)$

15. Find all numbers r such that: $\|r(1, 0, -3, -1, 4, 1)\| = 1$

16. Find the distance between $P_1(7, -5, 1)$ and $P_2(-7, -2, -1)$

17. Given $u = (1, -5, 4), v = (3, 3, 3)$

a) Find $u \cdot v$

b) Find the cosine of the angle θ between u and v .

18. Determine whether u and v are orthogonal

a) $u = (-6, -2), v = (5, -7)$

c) $u = (1, -5, 4), v = (3, 3, 3)$

b) $u = (6, 1, 4), v = (2, 0, -3)$

d) $u = (-2, 2, 3), v = (1, 7, -4)$

19. Determine whether the vectors form an orthogonal set

a) $v_1 = (2, 3), v_2 = (3, 2)$

b) $v_1 = (1, -2), v_2 = (-2, 1)$

c) $u = (-4, 6, -10, 1) \quad v = (2, 1, -2, 9)$

d) $u = (a, b) \quad v = (-b, a)$

e) $v_1 = (-2, 1, 1), v_2 = (1, 0, 2), v_3 = (-2, -5, 1)$

f) $v_1 = (1, 0, 1), v_2 = (1, 1, 1), v_3 = (-1, 0, 1)$

g) $v_1 = (2, -2, 1), v_2 = (2, 1, -2), v_3 = (1, 2, 2)$

20. Find a unit vector that is orthogonal to both $u = (1, 0, 1)$ and $v = (0, 1, 1)$

21. a) Show that $v = (a, b)$ and $w = (-b, a)$ are orthogonal vectors.

b) Use the result to find two vectors that are orthogonal to $v = (2, -3)$.

c) Find two unit vectors that are orthogonal to $(-3, 4)$

22. Show that if v is orthogonal to both w_1 and w_2 , then v is orthogonal to $k_1 w_1 + k_2 w_2$ for all scalars k_1 and k_2 .

- 23.** Show that $\vec{u} - \vec{v}$ is orthogonal to $\vec{u} + \vec{v}$ if and only if $\|\vec{u}\| = \|\vec{v}\|$
- 24.** Given $\mathbf{u} = (3, -1, 2)$ $\mathbf{v} = (4, -1, 5)$ and $\mathbf{w} = (8, -7, -6)$
- Find $3\mathbf{v} - 4(5\mathbf{u} - 6\mathbf{w})$
 - Find $\mathbf{u} \cdot \mathbf{v}$ and then the angle θ between \mathbf{u} and \mathbf{v} .
- 25.**
 - Show that $\mathbf{v} = (a, b)$ and $\mathbf{w} = (-b, a)$ are orthogonal vectors
 - Use the result in part (a) to find two vectors that are orthogonal to $\mathbf{v} = (2, -3)$
 - Find two unit vectors that are orthogonal to $(-3, 4)$
- 26.** Show that $A(3, 0, 2)$, $B(4, 3, 0)$, and $C(8, 1, -1)$ are vertices of a right triangle. At which vertex is the right angle?
- 27.** Establish the identity: $\mathbf{u} \cdot \mathbf{v} = \frac{1}{4}\|\mathbf{u} + \mathbf{v}\|^2 - \frac{1}{4}\|\mathbf{u} - \mathbf{v}\|^2$