

# Searches for invisibly decaying Higgs bosons with the CMS detector

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A dissertation submitted to Imperial College London  
for the degree of Doctor of Philosophy

# Abstract

## Declaration

This dissertation is the result of my own work, except where explicit reference is made to the work of others, and has not been submitted for another qualification to this or any other university. This dissertation does not exceed the word limit for the respective Degree Committee.

Patrick Dunne

## Acknowledgements

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# Chapter 1

## Introduction and theory

This chapter will explain the theory of invisible decays of the Higgs boson. It will start with an introduction to the standard model (SM), focussing on the Higgs mechanism, before outlining the motivations behind and some candidates for physics beyond the SM (BSM). Natural units, where  $\hbar = c = 1$ , Einstein summation convention and Feynman slash notation are used throughout. Four vector indices are labelled using greek letters, and gauge group generators using roman letters.

### 1.1 The standard model of particle physics

The SM describes the interaction of the particles currently thought to be fundamental with the strong, weak and electromagnetic forces. Its predictions, which come from specifying the symmetries the theory respects and how they are broken, the particles in the theory, and 18 free parameters, have been tested in many different experiments, in some cases up to one part in a trillion [1]. However, it does face challenges, described in section Section 1.2.3, one example being that it does not describe dark matter.

The SM is a gauge invariant quantum field theory (QFT). To construct a QFT the symmetries that are respected by the theory and the fields it describes must be specified. The symmetries are important because of Noether's theorem, which states that for every continuously differentiable symmetry of the Lagrangian of a theory there is a corresponding conservation law [2,3]. An example of this is Poincaré invariance, the invariance of the laws of physics under translations and rotations in space and time, which leads through Noether's theorem to the conservation of energy, linear momentum and angular momentum. In addition to giving rise to conservation laws, some types of



**Table 1.1:** The fundamental fermions observed in nature separated into their three generations. Each particle shown also has an antiparticle with opposite charge and identical mass.

Generation	Leptons			Hadrons		
	Particle	Mass	Charge	Particle	Mass	Charge
1	$e^-$	511 keV	-1	u	2.3 MeV	$+\frac{2}{3}$
	$\nu_e$	$\sim 0$	0	d	4.8 MeV	$-\frac{1}{3}$
2	$\mu^-$	105.7 MeV	-1	c	1.275 GeV	$+\frac{2}{3}$
	$\nu_\mu$	$\sim 0$	0	s	95 MeV	$-\frac{1}{3}$
3	$\tau^-$	1.777 GeV	-1	t	173.2 GeV	$+\frac{2}{3}$
	$\nu_\tau$	$\sim 0$	0	b	4.18 GeV	$-\frac{1}{3}$

symmetry lead to additional fields being required to preserve invariance, this will be discussed further in Section 1.1.2 [4].

It is important to specify the fields described by the QFT as these are constrained by the fundamental particles seen in nature. This is because particles correspond to the quantised excitations of fields. Specifically, scalar fields correspond to spin zero bosons, spinor fields correspond to spin half fermions, and vector fields correspond to spin 1 bosons. In order to add a new field an explanation for why the corresponding particle has not yet been observed must, therefore, be provided. We will now go through the particles observed in nature and how they are represented in the SM.

### 1.1.1 Fundamental particles in nature

There are two types of fundamental particles in nature, fermions and bosons. The fermions observed in nature that are currently thought to be fundamental are then divided into those which interact via the strong nuclear force (the quarks), and those which don't (the leptons). Both the quarks and leptons have two further types: charged and neutral in the case of the leptons, and up type and down type in the case of the fermions. Another interesting feature of the fermions is that they are arranged in three generations. Each generation has one fermion of each type with the same quantum numbers as those in the other generations, except that the mass is different. Table 1.1 Shows this structure.

**Table 1.2**

Force	Particle	Mass	Charge
Electromagnetism	$\gamma$	0	0
Weak	$W^\pm$	80.4 GeV	$\pm 1$
	Z	91.2 GeV	0
Strong	g	0	0

The bosons in nature also have two types. The first type are vector bosons which mediate the three fundamental interactions described by the SM. The vector bosons are summarised in Table 1.2, where it can be seen that their masses are very different, the photon and the eight gluons being massless, while the  $W^\pm$  and Z bosons are very massive. As we will see in Section 1.2.1 explaining these masses requires the Higgs mechanism. The Higgs mechanism also gives rise to the other type of boson seen in nature, the scalar Higgs boson. In order to see how all of the above particles are represented in the SM an introduction to gauge theories is necessary.

### 1.1.2 Introduction to gauge theories

Gauge symmetries are local transformations, i.e. the transformation can be different at different points in space and time, that form a symmetry group. To see the effect of imposing such a symmetry on a theory consider imposing local invariance under  $U(1)$  transformations on the Dirac Lagrangian for a massive fermion:

$$\mathcal{L} = i\bar{\psi}\not{\partial}\psi - m\bar{\psi}\psi. \quad (1.1)$$

This Lagrangian is invariant under a global  $U(1)$  transformation  $\psi \rightarrow e^{iq\theta}\psi$ , where  $q$  and  $\theta$  are constant. However, if the  $U(1)$  transformation is local i.e.  $\theta$  is a function of spacetime position the Lagrangian is no longer invariant and transforms as:

$$\mathcal{L} \rightarrow \mathcal{L} - q(\partial_\mu\theta)\bar{\psi}\gamma^\mu\psi. \quad (1.2)$$

In order to restore invariance a vector field,  $A_\mu$ , referred to as a gauge field or gauge boson, which transforms as  $A_\mu \rightarrow A_\mu + \partial_\mu \theta$  and has an interaction with the fermion field:

$$\mathcal{L}_{int} = q(\bar{\psi}\gamma^\mu\psi)A_\mu, \quad (1.3)$$

can be added to the theory. The interaction term of the new gauge field transforms as:

$$\mathcal{L}_{int} \rightarrow \mathcal{L}_{int} + q(\partial_\mu \theta)\bar{\psi}\gamma^\mu\psi, \quad (1.4)$$

which cancels out the non-gauge invariance seen in equation (1.2).

Assuming the new gauge field to be massless the Lagrangian is now:

$$\mathcal{L} = i\bar{\psi}\not{\partial}\psi - m\bar{\psi}\psi + q(\bar{\psi}\gamma^\mu\psi)A_\mu - \frac{1}{4}F_{\mu\nu}F^{\mu\nu}, \quad (1.5)$$

where  $F_{\mu\nu}$  is the field strength tensor of the vector field. For a gauge boson from a general gauge group is written as:

$$F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + gf^{abc}A_\mu^b A_\nu^c, \quad (1.6)$$

where  $f^{abc}$  are the structure constants of the gauge group, which are a representation of the commutation relations between the group's generators. For  $U(1)$  which only has one self-commuting generator the single structure constant is 0. However, for non-Abelian gauge groups (i.e. those with non-commuting generators) they can be non-zero causing the  $F_{\mu\nu}F^{\mu\nu}$  term in the Lagrangian to include self-interaction terms of the vector bosons.

It is also interesting to note that equation (1.5) can be rewritten as:

$$\mathcal{L} = i\bar{\psi}\gamma^\mu\mathcal{D}_\mu\psi - m\bar{\psi}\psi - \frac{1}{4}F_{\mu\nu}F^{\mu\nu}, \quad (1.7)$$

where  $\mathcal{D}_\mu = \partial_\mu + iqA_\mu$  and is referred to as the covariant derivative. Comparing equation (1.1) and equation (1.7) it can be seen that to go from a globally invariant Lagrangian to a locally invariant one we have substituted the normal spacetime derivative for the covariant derivative and added the free term of the vector field.

$U(1)$  transformations have one degree of freedom and can be described by one parameter, in the above case  $\theta$ , and in order to make the Lagrangian locally invariant one interacting gauge boson had to be added. This correspondence between the number of degrees of freedom and the number of gauge bosons holds generally. For each degree of freedom of

a group's transformations there exists a generator of the group, and for each generator one interacting gauge boson must be added to achieve local invariance.

## 1.2 The SM gauge group and fundamental particle representations

The SM is gauge invariant under the group  $SU(3)_C \otimes SU(2)_L \otimes U(1)_Y$ . Fermions in the SM are spin half spinor representations of these symmetry groups. These spinors can be split into chirally left and right handed components using the projection operators  $P_{L,R} = \frac{1}{2}(1 \mp \gamma^5)$ . Chirally left and right handed fermions transform differently under  $SU(2)_L$ . The right handed spinors are not charged under  $SU(2)_L$  and thus are represented as a singlet, while the left handed spinors transform as a doublet.

The first generation of leptons can, therefore, be written as:

$$\psi_1 = e_R, \psi_2 = L = \begin{pmatrix} \nu_e \\ e_L \end{pmatrix}. \quad (1.8)$$

The SM treats neutrinos as massless and has no right handed neutrino. Similarly the first generation of quarks can be written as:

$$\psi_3 = u_R, \psi_4 = d_R, \psi_5 = \begin{pmatrix} u_L \\ d_L \end{pmatrix}. \quad (1.9)$$

As we saw in Section 1.1.2 gauge symmetries in theories with fermions require the addition of an interacting vector boson per symmetry generator to preserve gauge invariance.  $SU(3)_C$  has eight generators whose eight vector bosons,  $G_{a\mu}$ , correspond to the eight physical gluons which mediate the strong interaction.  $SU(2)_L$  has three generators whose three vector bosons,  $W_\mu^i$ , mix with the one vector boson from  $U(1)_Y$ ,  $B_\mu$  unifying the electromagnetic and weak forces into one electroweak force. The physical states that

result are:

$$\begin{aligned} W_{\mu}^{\pm} &= \frac{1}{\sqrt{2}} \left( W_{\mu}^1 \mp i W_{\mu}^2 \right) \\ Z_{\mu} &= \cos(\theta_W) W_{\mu}^3 - \sin(\theta_W) B_{\mu} \\ A_{\mu} &= \sin(\theta_W) W_{\mu}^3 + \cos(\theta_W) B_{\mu}, \end{aligned} \quad (1.10)$$

where  $\theta_W$  is the Weinberg angle and  $A_{\mu}$  is the photon field. Also, as described in Section 1.1.2 the interaction between these vector bosons and the fermion fields occurs through their presence in the covariant derivative, and interactions between the vector bosons occur because  $SU(3)_C$  and  $SU(2)_L$  are non-Abelian.

Now let us try to construct a Lagrangian for these fields. First ignoring the masses we find:

$$\mathcal{L} = i\bar{\psi}_i \not{D} \psi_i - \frac{1}{4} F_{\mu\nu j} F_j^{\mu\nu}, \quad (1.11)$$

where the sum over all  $\psi$  also includes the second and third generations,  $F_{\mu\nu j} F_j^{\mu\nu}$  is a sum of the free terms of all the SM gauge bosons and  $\mathcal{D}$  is the SM covariant derivative:

$$\mathcal{D}_{\mu} = \partial_{\mu} + ig_1 \frac{Y}{2} B_{\mu} + ig_2 \frac{\tau_i}{2} W_{\mu}^i + ig_3 \frac{\lambda_a}{2} G_{\mu}^a, \quad (1.12)$$

with  $Y$  being the constant generator of  $U(1)$ ,  $\tau_i$  the generators of  $SU(2)_L$ ,  $\lambda_a$  the generators of  $SU(3)_C$  and  $g_i$  the coupling constants of the fields. It should be noted that  $\frac{g_1}{g_2}$  is equal to  $\tan(\theta_W)$ .

When we try to include mass a problem occurs. We know that some of the fermions have mass, and consequently we should have fermion mass terms of the form:

$$\begin{aligned} \mathcal{L}_{m_f} &= -m_f \bar{f} f \\ &= -m_f \bar{f} \left[ \frac{1}{2} (1 - \gamma^5) + \frac{1}{2} (1 + \gamma^5) \right] f \\ &= -m_f (\bar{f}_R f_L + \bar{f}_L f_R), \end{aligned} \quad (1.13)$$

in our Lagrangian. However, as the left and right handed fields do not transform in the same way under  $SU(2)_L$  this term breaks the gauge symmetry of the Lagrangian and can't be present.

A similar problem occurs for vector fields. In Section 1.1.2 we didn't consider the mass term of these vector fields:

$$\mathcal{L}_{m_V} = \frac{1}{2}m_V^2 A_\mu A^\mu, \quad (1.14)$$

which is not gauge invariant, so massive vector bosons are not possible on their own in gauge invariant theories either. The additional piece of the SM required to allow particles to have mass is the Higgs mechanism.

### 1.2.1 Spontaneous symmetry breaking and the Higgs mechanism

The Higgs mechanism is a form of spontaneous symmetry breaking. A symmetry is said to be spontaneously broken when the Lagrangian remains invariant while the vacuum state, i.e. that with lowest energy, does not. Terms which are not gauge invariant can then be incorporated into the theory by adding a field which has a non-zero vacuum expectation value and coupling it to the other fields present in the term. For the Higgs mechanism this field is a complex scalar  $SU(2)_L$  doublet, called the Higgs field:

$$\phi = \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix}. \quad (1.15)$$

The main part of the Higgs field Lagrangian is:

$$\mathcal{L} = T - V = (\mathcal{D}_\mu \phi)^\dagger (\mathcal{D}^\mu \phi) + \mu^2 \phi^\dagger \phi - \lambda (\phi^\dagger \phi)^2. \quad (1.16)$$

For  $\mu^2 > 0$  the minima of the potential are non-zero and form a circle in phase space of  $\phi$ . All of these vacua are equivalent and a particular vacuum can be chosen with no physical effect. By convention we choose the following vacuum:

$$\langle 0|\phi|0\rangle = \begin{pmatrix} 0 \\ \sqrt{\frac{\mu^2}{2\lambda}} \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v \end{pmatrix}. \quad (1.17)$$

Next we consider small perturbations around this vacuum, ignoring those that can be set to zero by gauge freedom gives:

$$\phi = \begin{pmatrix} 0 \\ v + H \end{pmatrix}. \quad (1.18)$$

Inserting this into equation (1.16) and ignoring terms with more than one type of field gives at leading order:

$$\mathcal{L} = \frac{1}{2} \partial_\mu H \partial^\mu H - \frac{1}{2} \mu^2 H^2 + \frac{v^2}{8} [g_2^2 W_\mu^+ W^{+\mu} + g_2^2 W_\mu^- W^{-\mu} + (g_1^2 + g_2^2) Z_\mu Z^\mu]. \quad (1.19)$$

As expected, the weak vector bosons  $W_\mu^\pm$  and  $Z_\mu$  acquire masses  $\frac{gv}{2}$  and  $\frac{v}{2} \sqrt{g_1^2 + g_2^2}$  respectively. We also see an additional massive scalar  $H$ , which is the Higgs boson, which has mass  $\sqrt{2}\mu$ . The photon and gluons do not acquire masses as the particular choice of coupling constants and the structure of the group generators leads to the terms in  $A_\mu$  and  $G_{\mu a}$  being zero.

The final part of the Higgs field Lagrangian is that giving rise to the fermion masses. These are generated by a Yukawa term in the Lagrangian for each fermion as follows:

$$\mathcal{L}_{Yuk} = k_f (\bar{f}_L \phi f_R + \bar{f}_R \phi^\dagger f_L). \quad (1.20)$$

The fermion's mass is then  $\frac{k_f v}{\sqrt{2}}$ .

## 1.2.2 Higgs boson production and decay at the LHC

## 1.2.3 Challenges for the SM

## 1.3 Dark matter

## 1.4 Some extensions of the standard model incorporating dark matter

# Chapter 2

## The LHC and the CMS experiment

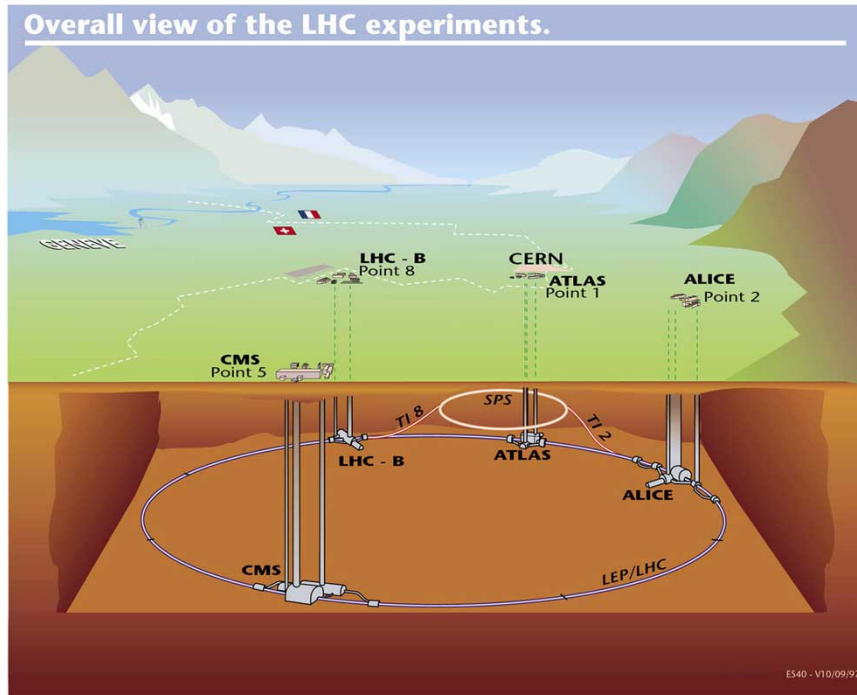
The purpose of this chapter is to introduce the CMS experiment and the LHC [5]. Without both of these apparatus the analyses performed for this thesis would, of course, not have been possible. In Section 2.1 an overview of the LHC and the chain of accelerators which feed into it will be given. This will then be followed in Section 2.2 by a description of the CMS experiment focussing on the aspects most relevant to the search for invisibly decaying Higgs bosons.

### 2.1 The LHC

The LHC is situated 100m underground in a tunnel formerly built for the LEP accelerator [6] at CERN near Geneva, Switzerland. It is a 27km storage ring which accelerates both protons and heavy ions and collides them at the highest centre of mass energies of any collider built to date. The work contained in this thesis uses data from proton-proton collisions. These protons are obtained by taking hydrogen gas and stripping its atoms of their electrons with an electric field. The first accelerator in the LHC accelerator sequence, Linac 2, then accelerates the protons to 50 MeV. The protons are then accelerated to 1.4 GeV by the next accelerator, the Proton Synchrotron Booster (PSB), which is followed by the Proton Synchrotron (PS) where they reach 25 GeV. The beam energy is then increased to 450 GeV in the Super Proton Synchrotron (SPS). The protons are then injected into the LHC where, at time of writing, the maximum energy the beams have been accelerated to is 6.5 TeV, close to the design maximum of 7 TeV.

When fully filled the LHC contains two counter-rotating beams which are formed of up to 2808 bunches spaced either 25 or 50 ns apart and each containing  $\mathcal{O}(10^{11})$  protons. The





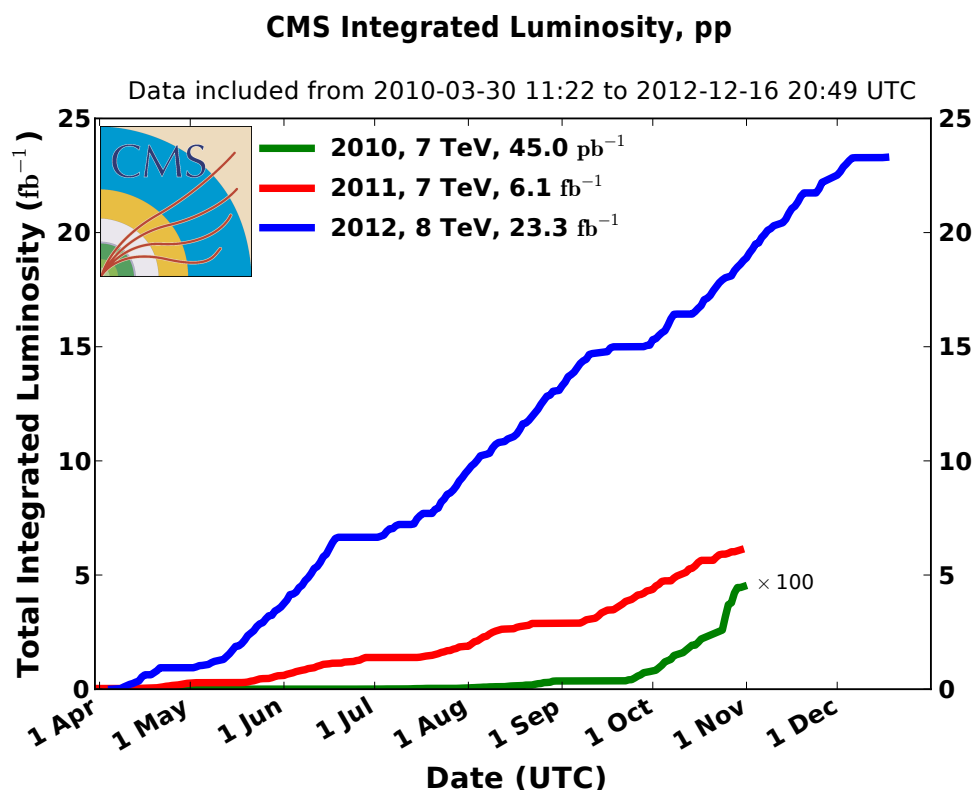
**Figure 2.1:** The layout of the LHC accelerator chain, showing the position of the four main detectors.??REFERENCE

two beams are kept travelling in a circle by 1232 superconducting dipole magnets and steered to four collision points around the LHC. Detectors are situated at these collision points to observe the collisions, the main four being: ALICE [7], ATLAS [8], CMS [9] and LHCb [10]. A schematic of the LHC accelerator chain and the detectors can be seen in Figure 2.1.

When studying a physical process occurring in particle collisions it is important to know how many times it will occur, this can be expressed as:

$$N = \mathcal{L}\sigma, \quad (2.1)$$

where  $\mathcal{L}$ , the integrated luminosity, depends only on the parameters of the collisions, and the cross-section depends only on the process. In order to observe rare (i.e. low cross-section) processes it is, therefore, necessary to use very high luminosity datasets. The integrated luminosity is obtained by integrating the instantaneous luminosity over time, so large luminosities can be obtained either by running the accelerator for a long time, or by operating at high instantaneous luminosity. For collisions at the LHC the



**Figure 2.2:** A summary of the luminosity delivered to CMS during Run 1 of the LHC.??REFERENCE

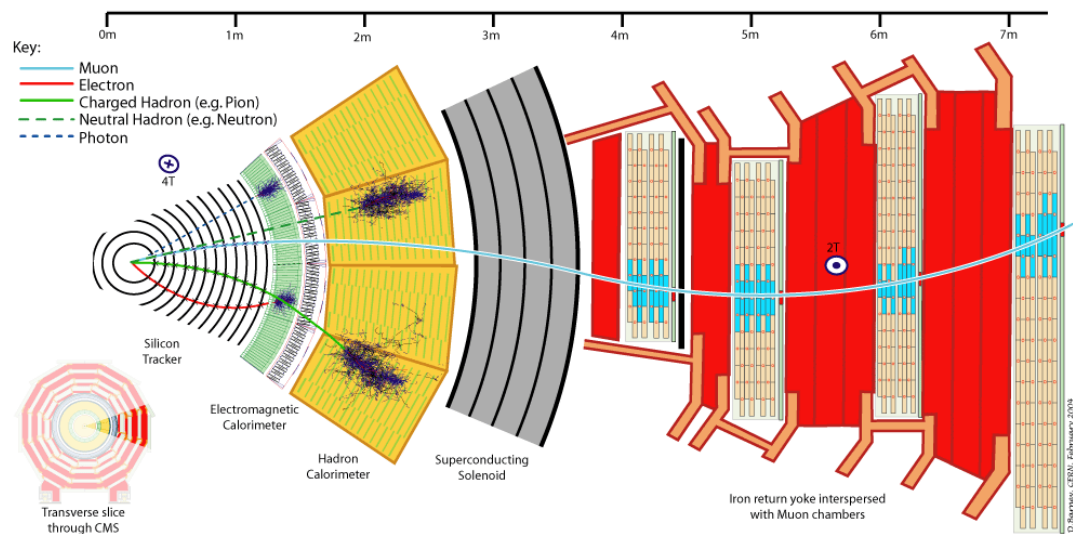
instantaneous luminosity is given by:

$$\mathcal{L} = \frac{k_b N_b^2 f_{rev} \gamma}{4\pi \epsilon_n \beta}, [11] \quad (2.2)$$

where  $k_b$  is the number of bunches per beam,  $N_b$  the number of protons per bunch,  $f_{rev}$  the revolution frequency,  $\epsilon_n$  the normalised transverse beam emittance,  $\beta^*$  the beta-function at the interaction point and  $\gamma$  the Lorentz factor. The design instantaneous luminosity of the LHC is  $10^{34} \text{ cm}^{-2} \text{ s}^{-1}$  with 25ns bunch spacing.

The LHC started physics runs in 2010, during which it operated at a centre of mass energy of 7 TeV and delivered an integrated luminosity of  $44.2 \text{ pb}^{-1}$  to CMS. In 2011 the LHC also operated at 7 TeV and delivered  $6.1 \text{ fb}^{-1}$  to CMS. The centre of mass energy was increased to 8 TeV in 2012 and  $23.3 \text{ fb}^{-1}$  of data were delivered to CMS. A summary of the luminosity delivered to CMS during the three runs can be seen in Figure 2.2

The cross-section for several processes is shown in Figure ?? and it can be seen that the cross-section for VBF Higgs production is approximately 1 pb.



**Figure 2.3:** A schematic cross-section of the CMS experiment showing the path taken by several types of particles.??REFERENCE

## 2.2 The CMS experiment

### 2.2.1 Tracker

### 2.2.2 Electromagnetic calorimeter

### 2.2.3 Hadronic calorimeter

### 2.2.4 Muon system

### 2.2.5 Trigger system

# Chapter 3

## Physics objects and event reconstruction

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### 3.2 Jets

### 3.3 Missing transverse energy

### 3.4 Electrons

### 3.5 Muons

### 3.6 Taus

### 3.7 Photons

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### Methods for limit setting

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## Search for invisibly decaying Higgs bosons in run I prompt data

### 5.1 Trigger and event selection

### 5.2 Background estimation

#### 5.2.1 $W \rightarrow e\nu + \text{jets}$

#### 5.2.2 $W \rightarrow \mu\nu + \text{jets}$

#### 5.2.3 $W \rightarrow \tau\nu + \text{jets}$

#### 5.2.4 $Z \rightarrow \nu\nu + \text{jets}$

#### 5.2.5 QCD

#### 5.2.6 Minor backgrounds

### 5.3 Systematic uncertainties

### 5.4 Results



## Chapter 6

# Search for invisibly decaying Higgs bosons in run I parked data

### 6.1 Trigger

### 6.2 Event selection

### 6.3 Background estimation

#### 6.3.1 $W \rightarrow e\nu + \text{jets}$

#### 6.3.2 $W \rightarrow \mu\nu + \text{jets}$

#### 6.3.3 $W \rightarrow \tau\nu + \text{jets}$

#### 6.3.4 $Z \rightarrow \nu\nu + \text{jets}$

#### 6.3.5 QCD

#### 6.3.6 Minor backgrounds

### 6.4 Systematic uncertainties

### 6.5 Results



## Chapter 7

# Combinations and interpretations of run I searches for invisibly decaying Higgs bosons

### 7.1 Searches in other channels

### 7.2 Combination with prompt VBF search

### 7.3 Combination with the parked VBF search

### 7.4 Dark matter interpretations

## Chapter 8

### Search for invisibly decaying Higgs bosons in run II data



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