

Module 06

Partha Pratim  
Das

Week Recap

Objectives &  
Outline

Example of a  
Relation

Attributes

Schema and  
Instance

Keys

Relational Query  
Languages

Module Summary

# Database Management Systems

Module 06: Introduction to Relational Model/1

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# Week Recap

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Module Summary

- The proliferation of DBMS in wide range of applications provide motivation to study the subject
- Know Your Course provided information about prerequisites, outline and text book
- The specific need for a DBMS discussed in contrast to a file system based application using a programming language like Python
- Basic notions of a DBMS are introduced



# Module Objectives

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Example of a  
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Module Summary

- To understand attributes and their types
- To understand the mathematical structure of relational model
  - Schema
  - Instance
  - Keys
- To familiarize with different types of relational query languages

# Module Outline

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Example of a  
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Module Summary

- Attribute Types
- Relation Schema and Instance
- Keys
- Relational Query Languages



# Example of a Relation

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The diagram illustrates a relation table with four columns: ID, name, dept\_name, and salary. The columns are labeled "attributes (or columns)". The rows are labeled "tuples (or rows)". Arrows point from the column labels to their respective columns, and arrows point from the row labels to their respective rows.

ID	name	dept_name	salary
10101	Srinivasan	Comp. Sci.	65000
12121	Wu	Finance	90000
15151	Mozart	Music	40000
22222	Einstein	Physics	95000
32343	El Said	History	60000
33456	Gold	Physics	87000
45565	Katz	Comp. Sci.	75000
58583	Califieri	History	62000
76543	Singh	Finance	80000
76766	Crick	Biology	72000
83821	Brandt	Comp. Sci.	92000
98345	Kim	Elec. Eng.	80000

# Attributes

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# Attributes

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Module Summary

- Consider

*Students = Roll#, First Name, Last Name, DoB, Passport#, Aadhaar#, Department*  
relation

- The set of allowed values for each attribute is called the **domain** of the attribute

- **Roll #:** Alphanumeric string

- **First Name, Last Name:** Alpha String

- **DoB:** Date

- **Passport #:** String (Letter followed by 7 digits) – nullable (optional)

- **Aadhaar #:** 12-digit number

- **Department:** Alpha String

- Attribute values are (normally) required to be **atomic**; that is, indivisible

- The special value **null** is a member of every domain. Indicates that the value is *unknown*

- The null value may cause complications in the definition of many operations

# Attribute Types

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Module Summary

- For  
 $Students = Roll\#, First\ Name, Last\ Name, DoB, Passport\#, Aadhaar\#, Department$
- And **domain** of the attributes as:

- **Roll #:** Alphanumeric string
- **First Name, Last Name:** Alpha String
- **DoB:** Date
- **Passport #:** String (Letter followed by 7 digits) – nullable (optional)
- **Aadhaar #:** 12-digit number
- **Department:** Alpha String

Roll #	First Name	Last Name	DoB	Passport #	Aadhaar #	Department
15CS10026	Lalit	Dubey	27-Mar-1997	L4032464	1728-6174-9239	Computer
16EE30029	Jatin	Chopra	17-Nov-1996	null	3917-1836-3816	Electrical

# Schema and Instance

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## Schema and Instance

# Relation Schema and Instance

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Module Summary

- $A_1, A_2, \dots, A_n$  are *attributes*
- $R = (A_1, A_2, \dots, A_n)$  is a *relation schema*  
Example: *instructor* = (*ID*, *name*, *dept\_name*, *salary*)
- Formally, given sets  $D_1, D_2, \dots, D_n$  a **relation**  $r$  is a subset of

$$r \subseteq D_1 \times D_2 \times \dots \times D_n$$

 $A_i \Rightarrow D_i$  domain??

Thus, a relation is a set of  $n$ -tuples  $(a_1, a_2, \dots, a_n)$  where each  $a_i \in D_i$

- The current values (**relation instance**) of a relation are specified by a table
- An element  $t$  of  $r$  is a tuple, represented by a row in a table
- Example:

*instructor*  $\equiv$  (*String*(5)  $\times$  *String*  $\times$  *String*  $\times$  *Number*+), where  $ID \in$  *String*(5),  $name \in$  *String*,  $dept\_name \in$  *String*, and  $salary \in$  *Number*+

# Relations are Unordered with Unique Tuples

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Module Summary

- **Order of tuples / rows is irrelevant** (tuples may be stored in an arbitrary order)
- **No two tuples / rows may be identical**
- Example: *instructor* relation with unordered tuples

<i>ID</i>	<i>name</i>	<i>dept_name</i>	<i>salary</i>
22222	Einstein	Physics	95000
12121	Wu	Finance	90000
32343	El Said	History	60000
45565	Katz	Comp. Sci.	75000
98345	Kim	Elec. Eng.	80000
76766	Crick	Biology	72000
10101	Srinivasan	Comp. Sci.	65000
58583	Califieri	History	62000
83821	Brandt	Comp. Sci.	92000
15151	Mozart	Music	40000
33456	Gold	Physics	87000
76543	Singh	Finance	80000

# Keys

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Module Summary

- Let  $K \subseteq R$ , where  $R$  is the set of attributes in the relation
- $K$  is a **superkey** of  $R$  if values for  $K$  are sufficient to identify a unique tuple of each possible relation  $r(R)$       name is not a superkey in itself, but it is when in conjunction with ID
  - Example:  $\{ID\}$  and  $\{ID, name\}$  are both superkeys of *instructor*
- Superkey  $K$  is a **candidate key** if  $K$  is minimal      candidate keys not chosen as primary key are called secondary/alternate key
  - Example:  $\{ID\}$  is a candidate key for *instructor*
- One of the candidate keys is selected to be the **primary key**
  - Which one?
- A **surrogate key** (or synthetic key) in a database is a unique identifier for either an *entity* in the modeled world or an *object* in the database
  - The surrogate key is *not* derived from application data, unlike a *natural* (or *business*) key which is derived from application data



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Module Summary

- $Students = Roll\#, First\ Name, Last\ Name, DoB, Passport\#, Aadhaar\#, Department$
- **Super Key:** Roll #, {Roll #, DoB}
- **Candidate Keys:** Roll #, {First Name, Last Name}, Aadhaar#
  - **Passport # cannot be a key. Why?**
  - **Null values are allowed for Passport #** (a student may not have a passport)
- **Primary Key:** Roll #
  - Can Aadhaar# be a key?
  - **It may suffice for unique identification. But Roll# may have additional useful information.** For example: 14CS92P01
    - ▷ Read 14CS92P01 as 14-CS-92-P-01
    - ▷ 14: Admission in 2014
    - ▷ CS: Department = CS
    - ▷ 92: Category of Student
    - ▷ P: Type of admission: *Project*
    - ▷ 01: Serial Number

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- **Secondary / Alternate Key:** {First Name, Last Name}, Aadhaar #
- **Simple Key:** Consists of a *single attribute*
- **Composite Key:** {First Name, Last Name}
  - Consists of more than one attribute to uniquely identify an entity occurrence
  - One or more of the attributes, which make up the key, are **not** simple keys in their own right

<b><u>Roll #</u></b>	<b><u>First Name</u></b>	<b><u>Last Name</u></b>	<b><u>DoB</u></b>	<b><u>Passport #</u></b>	<b><u>Aadhaar #</u></b>	<b><u>Department</u></b>
15CS10026	Lalit	Dubey	27-Mar-1997	L4032464	1728-6174-9239	Computer
16EE30029	Jatin	Chopra	17-Nov-1996	null	3917-1836-3816	Electrical
15EC10016	Smriti	Mongra	23-Dec-1996	G5432849	2045-9271-0914	Electronics
16CE10038	Dipti	Dutta	02-Feb-1997	null	5719-1948-2918	Civil
15CS30021	Ramdin	Minz	10-Jan-1997	X8811623	4928-4927-5924	Computer

# Keys

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Module Summary

- **Foreign key constraint:** Value in one relation must appear in another attribute is a key in a different table
  - Referencing relation
    - ▷ Enrolment: Foreign Keys – Roll #, Course #
  - Referenced relation
    - ▷ Students, Courses
- A **compound key** consists of *more than one attribute* to uniquely identify an entity occurrence
  - Each attribute, which makes up the key, is a simple key in its own right unlike composite
    - {Roll #, Course #}

Students

<u>Roll #</u>	<u>First Name</u>	<u>Last Name</u>	<u>DoB</u>	<u>Passport #</u>	<u>Aadhaar #</u>	<u>Department</u>
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Courses

<u>Course #</u>	<u>Course Name</u>	<u>Credits</u>	<u>L-T-P</u>	<u>Department</u>
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Enrolment

<u>Roll #</u>	<u>Course #</u>	<u>Instructor ID</u>
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# Schema Diagram for University Database

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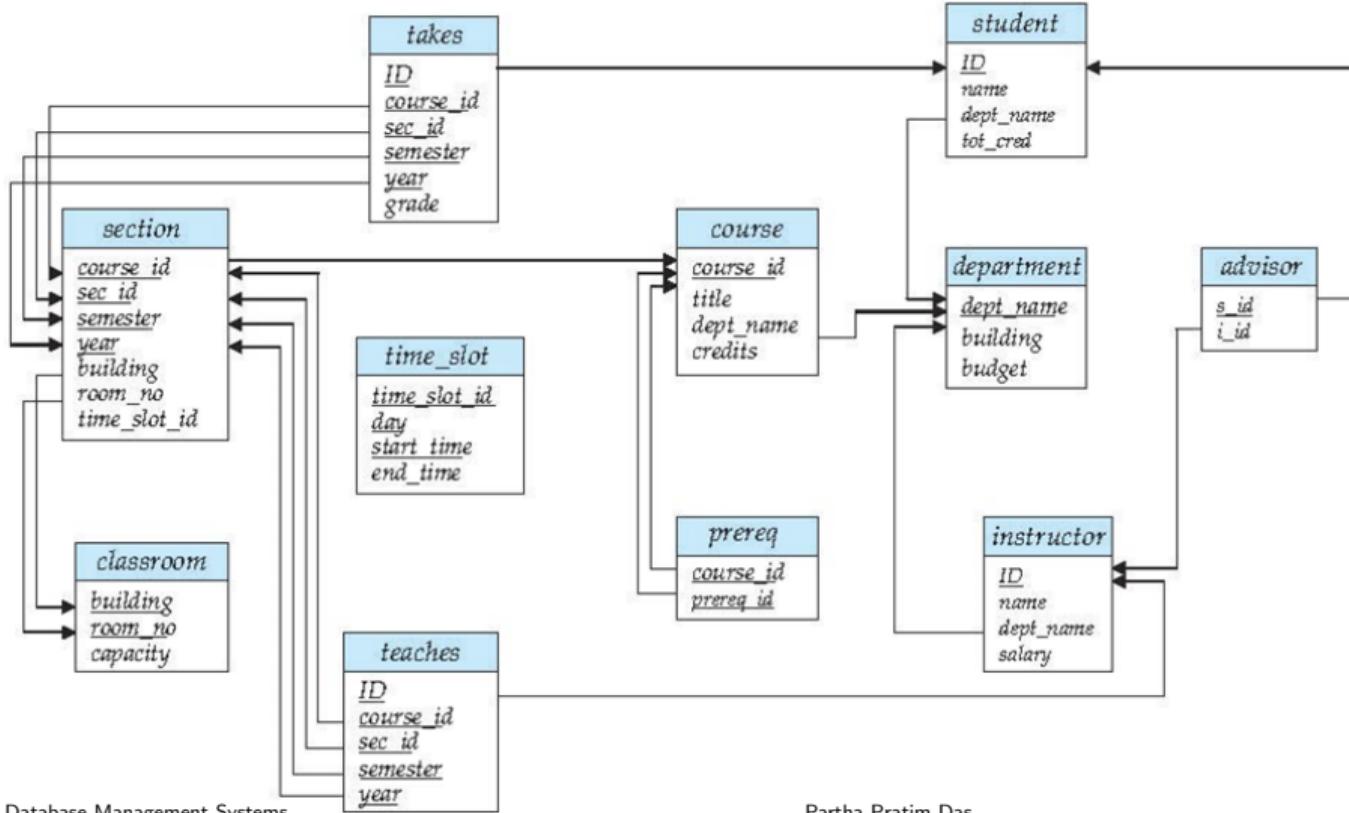
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# Relational Query Languages

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## Relational Query Languages

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## Procedural viz-a-viz Non-procedural or Declarative Paradigms

- **Procedural programming** requires that the programmer tell the computer what to do
  - That is, *how* to get the output for the range of required inputs
  - The programmer must know an appropriate **algorithm**
- **Declarative programming** requires a more descriptive style
  - The programmer must know *what* relationships hold between various entities

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## Procedural vs. Non-procedural or Declarative Paradigms

- **Example: Square root of  $n$**

- Procedural

- a) Guess  $x_0$  (close to root of  $n$ )
    - b)  $i \leftarrow 0$
    - c)  $x_{i+1} \leftarrow (x_i + n/x_i)/2$
    - d) Repeat Step 2 if  $|x_{i+1} - x_i| > delta$

- Declarative

- ▷ Root of  $n$  is  $m$  such that  $m^2 = n$

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Module Summary

- “Pure” languages:
  - Relational algebra
  - Tuple relational calculus
  - Domain relational calculus
- The above 3 pure languages are equivalent in computing power
- We will concentrate on relational algebra
  - Not Turing-machine equivalent
    - ▷ Not all algorithms can be expressed in RA
  - Consists of 6 basic operations



# Module Summary

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Module Summary

- Introduced the notion of attributes and their types
- Taken an overview of the mathematical structure of relational model – schema and instance
- Introduced the notion of keys – primary as well as foreign

**Slides used in this presentation are borrowed from <http://db-book.com/> with kind permission of the authors.**

**Edited and new slides are marked with “PPD”.**

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Module Summary

# Database Management Systems

Module 07: Introduction to Relational Model/2

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Module 07

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Objectives &  
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Module Summary

- Basic notions of modeling introduced
  - Attributes and their Types
  - Schema and Instance
  - Keys and their Categorization
- Languages for Relation Model introduced

# Module Objectives

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Module Summary

- To understand relational algebra
- To familiarize with the operators of relational algebra

# Module Outline

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Module Summary

- Operations
  - Select
  - Project
  - Union
  - Difference
  - Intersection
  - Cartesian Product
  - Natural Join
- Aggregate Operations

# Relational Operators

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Module Summary

## Relational Operators

# Basic Properties of Relations

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Module Summary

- A relation is set. Hence,
- Ordering of rows / tuples is inconsequential

A	B
a1	b1
a1	b2
a2	b1
a2	b2

A	B
a1	b1
a2	b1
a2	b2
a1	b2

is same as:

- All rows / tuples must be distinct

A	B
a1	b1
a1	b2
a1	b2
a1	b1

is not valid

A	B
a1	b1
a1	b2

is

# Select Operation – selection of rows (tuples)

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Module Summary

if they satisfy a particular condition

- Relation  $r$

$A$	$B$	$C$	$D$
$\alpha$	$\alpha$	1	7
$\alpha$	$\beta$	5	7
$\beta$	$\beta$	12	3
$\beta$	$\beta$	23	10

and?? v is or??

- $\sigma_{A=B \wedge D>5}(r)$

$A$	$B$	$C$	$D$
$\alpha$	$\alpha$	1	7
$\beta$	$\beta$	23	10

# Project Operation – selection of columns (Attributes)

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Module Summary

- Relation  $r$

A	B	C
$\alpha$	10	1
$\alpha$	20	1
$\beta$	30	1
$\beta$	40	2

- $\pi_{A,C}(r)$

$$\begin{array}{c} \begin{array}{c} A \quad C \\ \hline \alpha & 1 \\ \alpha & 1 \\ \beta & 1 \\ \beta & 2 \end{array} & = & \begin{array}{c} A \quad C \\ \hline \alpha & 1 \\ \beta & 1 \\ \beta & 2 \end{array} \end{array}$$

# Union of two relations

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Module Summary

- Relation  $r, s$

A	B
$\alpha$	1
$\alpha$	2
$\beta$	1

$r$

A	B
$\alpha$	2
$\beta$	3

$s$

- $r \cup s$

A	B
$\alpha$	1
$\alpha$	2
$\beta$	1
$\beta$	3

# Set difference of two relations

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Module Summary

- Relation  $r, s$

A	B
$\alpha$	1
$\alpha$	2
$\beta$	1

$r$

A	B
$\alpha$	2
$\beta$	3

$s$

- $r - s$

A	B
$\alpha$	1
$\beta$	1

# Set intersection of two relations

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Module Summary

- Relation  $r, s$

A	B
$\alpha$	1
$\alpha$	2
$\beta$	1

$r$

A	B
$\alpha$	2
$\beta$	3

$s$

- $r \cap s$

A	B
$\alpha$	2

**Note:**  $r \cap s = r - (r - s)$

# Joining two relations – Cartesian-product

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Module Summary

- Relation  $r, s$

$A$	$B$	$C$	$D$	$E$
$\alpha$	1			
$\beta$	2			
		$r$		

$C$	$D$	$E$	
$\alpha$	10	a	
$\beta$	10	a	
$\beta$	20	b	
$\gamma$	10	b	
		$s$	

$$2^*4=8$$

- $r \times s$

$A$	$B$	$C$	$D$	$E$
$\alpha$	1	$\alpha$	10	a
$\alpha$	1	$\beta$	10	a
$\alpha$	1	$\beta$	20	b
$\alpha$	1	$\gamma$	10	b
$\beta$	2	$\alpha$	10	a
$\beta$	2	$\beta$	10	a
$\beta$	2	$\beta$	20	b
$\beta$	2	$\gamma$	10	b

you can get the orginal relations by projecting on r and s

$$\begin{aligned}
 r &= \pi_{r}^{(r+s)} \\
 s &= \pi_{s}^{(r+s)}
 \end{aligned}$$

# Cartesian-product – naming issue

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- Relation  $r, s$

A	B			
$\alpha$	1	$\alpha$	10	a
$\beta$	2	$\beta$	10	a
		$\beta$	20	b
		$\gamma$	10	b

*r*

B	D	E		
$\alpha$	10	a	$\alpha$	10
$\beta$	10	a	$\beta$	10
$\beta$	20	b	$\beta$	20
$\gamma$	10	b	$\gamma$	10

*s*

- $r \times s$

A	$r.B$	$s.B$	D	E
$\alpha$	1	$\alpha$	10	a
$\alpha$	1	$\beta$	10	a
$\alpha$	1	$\beta$	20	b
$\alpha$	1	$\gamma$	10	b
$\beta$	2	$\alpha$	10	a
$\beta$	2	$\beta$	10	a
$\beta$	2	$\beta$	20	b
$\beta$	2	$\gamma$	10	b



# Renaming a Table

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Module Summary

- Allows us to refer to a relation, (say  $E$ ) by more than one name.

$$\rho_X(E)$$

returns the expression  $E$  under the name  $X$

- Relations  $r$

$A$	$B$
$\alpha$	1
$\beta$	2
$r$	

- $r \times \rho_s(r)$

self cross product

$r.A$	$r.B$	$s.A$	$s.B$
$\alpha$	1	$\alpha$	1
$\alpha$	1	$\beta$	2
$\beta$	2	$\alpha$	1
$\beta$	2	$\beta$	2

# Composition of Operations

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Module Summary

- Can build expressions using multiple operations
- Example:  $\sigma_{A=C}(r \times s)$
- $r \times s$

	A	B	C	D	E
$\alpha$	1		$\alpha$	10	a
$\alpha$	1		$\beta$	10	a
$\alpha$	1		$\beta$	20	b
$\alpha$	1		$\gamma$	10	b
$\beta$	2		$\alpha$	10	a
$\beta$	2		$\beta$	10	a
$\beta$	2		$\beta$	20	b
$\beta$	2		$\gamma$	10	b

- $\sigma_{A=C}(r \times s)$

	A	B	C	D	E
$\alpha$	1		$\alpha$	10	a
$\beta$	2		$\beta$	10	a
$\beta$	2		$\beta$	20	b



# Joining two relations – Natural Join

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Module Summary

- Let  $r$  and  $s$  be relations on schemas  $R$  and  $S$  respectively. Then, the “natural join” of relations  $R$  and  $S$  is a relation on schema  $R \cup S$  obtained as follows:
  - Consider each pair of tuples  $t_r$  from  $r$  and  $t_s$  from  $s$ .
  - If  $t_r$  and  $t_s$  have the same value on each of the attributes in  $R \cap S$ , add a tuple  $t$  to the result, where
    - ▷  $t$  has the same value as  $t_r$  on  $r$
    - ▷  $t$  has the same value as  $t_s$  on  $s$



# Natural Join Example

- Relations  $r, s$ :

$$R = \begin{array}{|c|c|c|c|} \hline A & B & C & D \\ \hline \alpha & 1 & \alpha & a \\ \beta & 2 & \gamma & a \\ \gamma & 4 & \beta & b \\ \alpha & 1 & \gamma & a \\ \delta & 2 & \beta & b \\ \hline \end{array} \quad r$$

$$S = \begin{array}{|c|c|c|} \hline B & D & E \\ \hline 1 & a & \alpha \\ 3 & a & \beta \\ 1 & a & \gamma \\ 2 & b & \delta \\ 3 & b & \varepsilon \\ \hline \end{array} \quad s$$

$$RUS = (A, B, C, D, E)$$

$$RNS = (B, D)$$

- Natural Join

now  $s.B, r.B, s.D, r.D$  is not required

$\circ r \bowtie s$

A	B	C	D	E
$\alpha$	1	$\alpha$	a	$\alpha$
$\alpha$	1	$\alpha$	a	$\gamma$
$\alpha$	1	$\gamma$	a	$\alpha$
$\alpha$	1	$\gamma$	a	$\gamma$
$\delta$	2	$\beta$	b	$\delta$

$$\pi_{A,r.B,C,r.D,E}(\sigma_{r.B=s.B \wedge r.D=s.D}(r \times s))$$

Module 07

Partha Pratim  
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Objectives &  
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Relational  
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Aggregation  
Operators

Module Summary

# Aggregation Operators



# Aggregate Operators

Module 07

Partha Pratim  
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Module Summary

- Can we compute:

- SUM
- AVG
- MAX
- MIN

# Notes about Relational Languages

Module 07

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Module Summary

- Each query input is a table (or set of tables)
- Each query output is a table
- All data in the output table appears in one of the input tables
- Relational Algebra is not Turing complete

# Summary of Relational Algebra Operators

Module 07

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Module Summary

Symbol (Name)	Example of Use
$\sigma$ (Selection)	$\sigma \text{ salary} \geq 85000 \text{ } (\textit{instructor})$ Return rows of the input relation that satisfy the predicate.
$\Pi$ (Projection)	$\Pi \text{ ID, salary } (\textit{instructor})$ Output specified attributes from all rows of the input relation. Remove duplicate tuples from the output.
$\times$ (Cartesian Product)	$\textit{instructor} \times \textit{department}$ Output all possible combinations of rows in <i>instructor</i> and <i>department</i> .
$\cup$ (Union)	$\Pi \text{ name } (\textit{instructor}) \cup \Pi \text{ name } (\textit{student})$ Output the union of tuples from the <i>two</i> input relations.
- (Set Difference)	$\Pi \text{ name } (\textit{instructor}) - \Pi \text{ name } (\textit{student})$ Output the set difference of tuples from the two input relations.
$\bowtie$ (Natural Join)	$\textit{instructor} \bowtie \textit{department}$ Output pairs of rows from the two input relations that have the same value on all attributes that have the same name.

# Module Summary

Module 07

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Relational  
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Module Summary

- Introduced relational algebra
- Familiarized with the operators of relational algebra

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**Edited and new slides are marked with “PPD”.**

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History of SQL

Data Definition  
Language (DDL)

Create Table

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Query Structure

Select Clause

Where Clause

From Clause

Module Summary

# Database Management Systems

Module 08: Introduction to SQL/1

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# Module Recap

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Module Summary

- Introduced relational algebra
- Familiarized with the operators of relational algebra

# Module Objectives

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Module Summary

- To understand relational query language
- To understand data definition and basic query structure

# Module Outline

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From Clause

Module Summary

- History of SQL
- Data Definition Language (DDL)
- Data Manipulation Language (DML): Query Structure

# History of SQL

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Module Summary

## History of SQL

# History of Query Language

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Module Summary

- IBM developed *Structured English Query Language* (**SEQUEL**) as part of System R project. Renamed Structured Query Language (SQL: *pronounced still as SEQUEL*)
- ANSI and ISO standard SQL:

<b>SQL-86</b>	First formalized by <a href="#">ANSI</a>
<b>SQL-89</b>	+ <a href="#">Integrity Constraints</a>
<b>SQL-92</b>	Major revision ( <a href="#">ISO/IEC 9075 standard</a> ), <b>De-facto Industry Standard</b>
<b>SQL:1999</b>	+ <a href="#">Regular Expression Matching</a> , <a href="#">Recursive Queries</a> , <a href="#">Triggers</a> , <a href="#">Support for Procedural and Control Flow Statements</a> , <a href="#">Nonscalar types (Arrays)</a> , and <a href="#">Some OO features (structured types)</a> , <a href="#">Embedding SQL in Java (SQL/OLB)</a> , and <a href="#">Embedding Java in SQL (SQL/JRT)</a>
<b>SQL:2003</b>	+ <a href="#">XML features (SQL/XML)</a> , <a href="#">Window Functions</a> , <a href="#">Standardized Sequences</a> , and <a href="#">Columns with Auto-generated Values (identity columns)</a>
<b>SQL:2006</b>	+ Ways of <a href="#">importing and storing XML data</a> in an SQL database, <a href="#">manipulating</a> it within the database, and <a href="#">publishing both XML and conventional SQL-data in XML form</a>
<b>SQL:2008</b>	Legalizes <a href="#">ORDER BY</a> outside Cursor Definitions + <a href="#">INSTEAD OF Triggers</a> , <a href="#">TRUNCATE Statement</a> , and <a href="#">FETCH Clause</a>
<b>SQL:2011</b>	+ <a href="#">Temporal Data (PERIOD FOR)</a> <a href="#">Enhancements for Window Functions</a> and <a href="#">FETCH Clause</a>
<b>SQL:2016</b>	+ <a href="#">Row Pattern Matching</a> , <a href="#">Polymorphic Table Functions</a> , and <a href="#">JSON</a>
<b>SQL:2019</b>	+ <a href="#">Multidimensional Arrays (MDarray type and operators)</a>

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Module Summary

- SQL is the de facto industry standard today for relational or structured data systems
- Commercial systems as well as open systems may be fully or partially compliant to one or more standards from SQL-92 onward
- Not all examples here may work on your particular system. Check your system's SQL documentation

# History of Query Language (3): Alternatives

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Module Summary

- There aren't any alternatives to SQL for speaking to relational databases (that is, SQL as a protocol), but there are many alternatives to writing SQL in the applications
- These alternatives have been implemented in the form of frontends for working with relational databases. Some examples of a frontend include (for a section of languages):
  - [SchemeQL](#) and [CLSQL](#), which are probably the most flexible, owing to their Lisp heritage, but they also look like a lot more like SQL than other frontends
  - [LINQ](#) (in .Net)
  - [ScalaQL](#) and [ScalaQuery](#) (in Scala)
  - [SqlStatement](#), [ActiveRecord](#) and many others in Ruby
  - [HaskellIDB](#)
  - ...the list goes on for many other languages.

**Source:** [What are good alternatives to SQL \(the language\)?](#)

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Module Summary

- There are several query languages that are derived from or inspired by SQL. Of these, the most popular and effective is SPARQL.
  - **SPARQL** (pronounced *sparkle*, a recursive acronym for *SPARQL Protocol and RDF Query Language*) is an RDF query language
    - ▷ A semantic query language for databases - able to retrieve and manipulate data stored in **Resource Description Framework (RDF)** format.
    - ▷ It has been standardized by the W3C Consortium as key technology of the semantic web
    - ▷ Versions:
      - **SPARQL 1.0** (January 2008)
      - **SPARQL 1.1** (March, 2013)
    - ▷ Used as the query languages for several NoSQL systems - particularly the Graph Databases that use RDF as store

# Data Definition Language (DDL)

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Module Summary

# Data Definition Language (DDL)

# Data Definition Language (DDL)

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Module Summary

The **SQL data-definition language (DDL)** allows the specification of information about relations, including:

- The *Schema* for each *Relation*
- The *Domain* of values associated with each *Attribute*
- *Integrity Constraints*
- And, as we will see later, also other information such as
  - The set of *Indices* to be maintained for each relations
  - *Security and Authorization* information for each relation
  - The *Physical Storage Structure* of each relation on disk

# Domain Types in SQL

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Module Summary

- **char(*n*)**. Fixed length character string, with user-specified length *n*
- **varchar(*n*)**. Variable length character strings, with user-specified maximum length *n*
- **int**. Integer (a finite subset of the integers that is machine-dependent)
- **smallint(*n*)**. Small integer (a machine-dependent subset of the integer domain type)
- **numeric(*p, d*)**. Fixed point number, with user-specified precision of *p* digits, with *d* digits to the right of decimal point. (ex., **numeric(3, 1)**, allows 44.5 to be stored exactly, but not 444.5 or 0.32)
- **real, double precision**. Floating point and double-precision floating point numbers, with machine-dependent precision
- **float(*n*)**. Floating point number, with user-specified precision of at least *n* digits
- More are covered in Chapter 4

???

There is a small difference between **NUMERIC(*p, s*)** and **DECIMAL(*p, s*)** SQL numeric data type. **NUMERIC** determines the exact precision and scale. **DECIMAL** specifies only the exact scale; the precision is equal or greater than what is specified by the coder. **DECIMAL** columns can have a larger-than-specified precision if this is more convenient or efficient for the database system.

Generally, the numeric data types in SQL have an extra option of **UNSIGNED** and **ZEROFILL**. If we add the **UNSIGNED** option to a column, MySQL will disallow the negative values for that column. On the other hand, if we add the **ZEROFILL** option to a column, MySQL will automatically add the **UNSIGNED** attribute to the column.

# Schema Diagram for University Database

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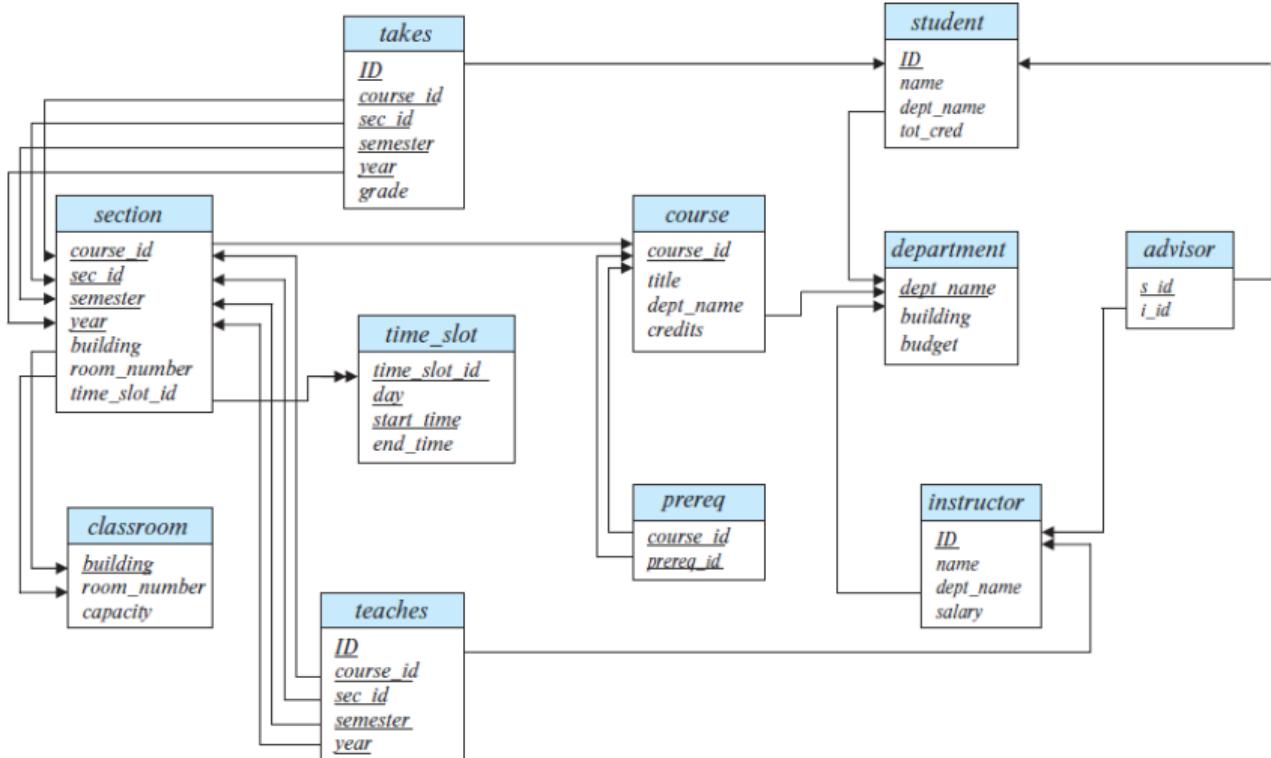
Data  
Manipulation  
Language (DML):  
Query Structure

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Where Clause

From Clause

Module Summary



# Create Table Construct

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Query Structure

Select Clause

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From Clause

Module Summary

- An **SQL relation is defined using the `create table` command:**

**`create table r (A1D1, A2D2, ..., AnDn),`**  
A-name & D-type of attribute??  
**`(integrity-constraint1),`**  
**`...`**  
**`(integrity-constraintk));`**

- $r$  is the name of the relation
- each  $A_i$  is an attribute name in the schema of relation  $r$
- $D_i$  is the data type of values in the domain of attribute  $A_i$

# Create Table Construct (2)

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 Query Structure

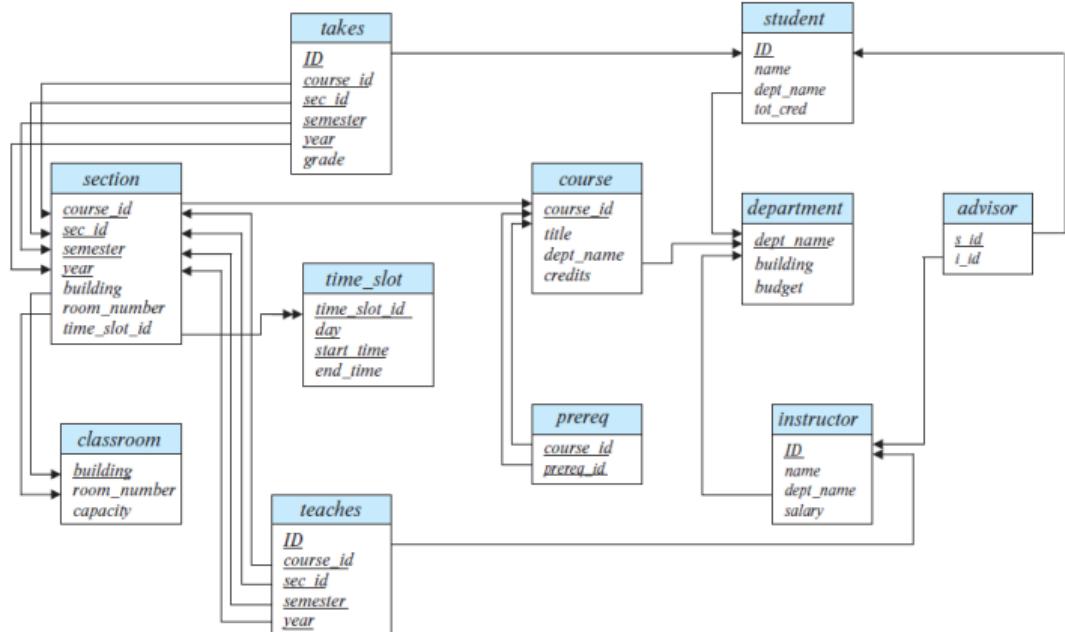
Select Clause

Where Clause

From Clause

Module Summary

```
create table instructor (
  ID char(5),
  name varchar(20)
  dept_name varchar(20)
  salary numeric(8,2));
```



# Create Table Construct (3): Integrity Constraints

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Select Clause

Where Clause

From Clause

Module Summary

- **not null**
- **primary key ( $A_1, \dots, A_n$ )**
- **foreign key ( $A_m, \dots, A_n$ ) references r**

```
create table instructor (
    ID char(5),
    name varchar(20)
    dept_name varchar(20)
    salary numeric(8, 2));
```

```
create table instructor (
    ID char(5),
    name varchar(20) not null,
    dept_name varchar(20),
    salary numeric(8, 2),
    primary key (ID),
    foreign key (dept_name) references department);
```



**primary key declaration on an attribute automatically ensures not null**

# University Schema

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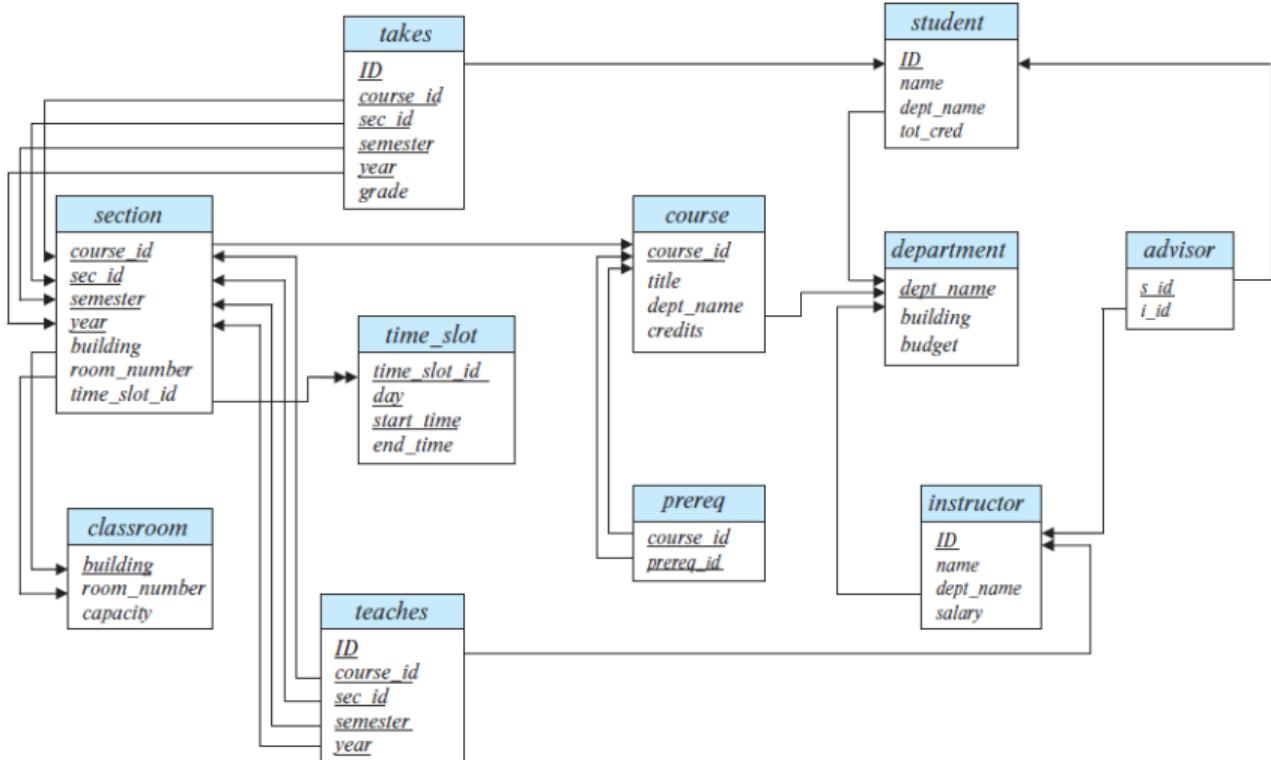
Data  
Manipulation  
Language (DML):  
Query Structure

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Module Summary



# Create Table Construct (4): More Relations

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Module Summary

```
create table student (
    ID varchar(5),
    name varchar(20) not null,
    dept_name varchar(20),
    tot_cred numeric(3,0),
    primary key (ID),
    foreign key (dept_name)
    references department);
```

```
create table course (
    course_id varchar(8),
    title varchar(50),
    dept_name varchar(20),
    credits numeric(2,0),
    primary key (course_id),
    foreign key (dept_name)
    references department);
```

```
create table takes (
    ID varchar(5),
    course_id varchar(8), sec_id varchar(8),
    semester varchar(6), year numeric(4,0),
    grade varchar(2),
    primary key (ID, course_id, sec_id, semester, year),
    foreign key (ID) references student
    foreign key (course_id, sec_id, semester, year)
    references section);
```

- **Note:** `sec_id` can be dropped from primary key above, to ensure a student cannot be registered for two sections of the same course in the same semester



# Update Tables

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Module Summary

- **Insert (DML command)**

- `insert into instructor values ('10211', 'Smith', 'Biology', 66000);`

- **Delete (DML command)**

- Remove all tuples from the *student* relation

- `delete from student`

- **Drop Table (DDL command)**

- `drop table r`

- **Alter (DDL command)**

- `alter table r add A D`

- ▷ Where *A* is the name of the attribute to be added to relation *r* and *D* is the domain of *A*
    - ▷ All existing tuples in the relation are assigned *null* as the value for the new attribute

- `alter table r drop A`

- ▷ Where *A* is the name of an attribute of relation *r*
    - ▷ Dropping of attributes not supported by many databases

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Module Summary

# Data Manipulation Language (DML): Query Structure

# Basic Query Structure

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Module Summary

- A typical SQL query has the form:

**select**  $A_1, A_2, \dots, A_n,$   
**from**  $r_1, r_2, \dots, r_m$   
**where**  $P$

- $A_i$  represents an attribute from  $r_i$ 's
  - $r_i$  represents a relation
  - $P$  is a predicate
- The result of an SQL query is a relation



# Select Clause

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From Clause

Module Summary

- The **select** clause lists the attributes desired in the result of a query
  - Corresponds to the **projection operation** of the relational algebra

- Example: find the names of all instructors:

```
select name,  
      from instructor
```

- NOTE: **SQL names are case insensitive** (that is, you may use upper-case or lower-case letters)

- $Name \equiv NAME \equiv name$
  - Some people use upper case wherever we use bold font



# Select Clause (2)

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Module Summary

✓ **SQL allows duplicates in relations as well as in query results!!!**

- To force the elimination of duplicates, insert the keyword **distinct** after select
- Find the department names of all instructors, and remove duplicates

```
select distinct dept_name  
from instructor
```

- The keyword **all** specifies that **duplicates should not be removed**

```
select all dept_name  
from instructor
```

# Select Clause (3)

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Module Summary

- An **asterisk** in the select clause denotes ***all attributes***

```
select *  
from instructor
```

- An attribute can be a literal with no **from** clause

```
select '437'
```

- Results is a table with one column and a single row with value '437'
  - Can give the column a name using:

```
select '437' as FOO
```

- An attribute can be a literal with **from** clause

```
select 'A'  
from instructor
```

- Result is a table with one column and N rows (number of tuples in the instructors table), each row with value 'A'

# Select Clause (4)

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Module Summary

The **select** clause can contain arithmetic expressions involving the operation, +, -, \*, and /, and operating on constants or attributes of tuples

- The query:

```
select ID, name, salary/12
      from instructor
```

- Would return a relation that is the same as the *instructor* relation, except that the value of the attribute *salary* is divided by 12
- Can rename “*salary/12*” using the **as** clause:

```
select ID, name, salary/12 as monthly_salary
```

# Where Clause

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Module Summary

- The **where** clause specifies conditions that the result must satisfy

- Corresponds to the selection predicate of the relational algebra

- To find all instructors in Comp. Sci. dept

```
select name  
from instructor  
where dept_name = 'Comp. Sci.'
```

- Comparison results can be combined using the logical connectives **and**, **or**, and **not**

- To find all instructors in Comp. Sci. dept with salary > 80000

```
select name  
from instructor  
where dept_name = 'Comp. Sci.' and salary > 80000
```

- Comparisons can be applied to results of arithmetic expressions



# From Clause

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Module Summary

- The **from** clause lists the relations involved in the query
  - Corresponds to the **Cartesian product operation** of the relational algebra
- Find the Cartesian product *instructor X teaches*

```
select *
  from instructor, teaches
```

  - Generates every possible instructor-teaches pair, with all attributes from both relations
  - For common attributes (for example, *ID*), the attributes in the resulting table are renamed using the relation name (for example, *instructor.ID*)
- Cartesian product not very useful directly, but useful combined with where-clause condition (selection operation in relational algebra)

# Cartesian Product

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Module Summary

instructor				teaches				
ID	name	dept_name	salary	ID	course_id	sec_id	semester	year
10101	Srinivasan	Comp. Sci.	65000	10101	CS-101	1	Fall	2009
12121	Wu	Finance	90000	10101	CS-315	1	Spring	2010
15151	Mozart	Music	40000	10101	CS-347	1	Fall	2009
22222	Einstein	Physics	95000	12121	FIN-201	1	Spring	2010
32343	El Said	History	60000	15151	MU-199	1	Spring	2010
33456	Gold	Physics	87000	22222	PHY-101	1	Fall	2009
45565	Katz	Comp. Sci.	75000	32343	HIIS-351	1	Spring	2010
58583	Califieri	History	62000	45565	CS-101	1	Spring	2010
76543	Singh	Finance	80000	45565	CS-319	1	Spring	2010
76766	Crick	Biology	72000	76766	BIO-101	1	Summer	2009
83821	Inst.ID		name	dept_name	salary	teaches.ID	course_id	sec_id
98345	Inst.ID		name	dept_name	salary	teaches.ID	course_id	sec_id
	10101	Srinivasan	Comp. Sci.	65000	10101	CS-101	1	Fall
	10101	Srinivasan	Comp. Sci.	65000	10101	CS-315	1	Spring
	10101	Srinivasan	Comp. Sci.	65000	10101	CS-347	1	Fall
	10101	Srinivasan	Comp. Sci.	65000	12121	FIN-201	1	Spring
	10101	Srinivasan	Comp. Sci.	65000	15151	MU-199	1	Spring
	10101	Srinivasan	Comp. Sci.	65000	22222	PHY-101	1	Fall
	...	...	...	...	...	...	...	...
	12121	Wu	Finance	90000	10101	CS-101	1	Fall
	12121	Wu	Finance	90000	10101	CS-315	1	Spring
	12121	Wu	Pinance	90000	10101	CS-347	1	Fall
	12121	Wu	Pinance	90000	12121	FIN-201	1	Spring
	12121	Wu	Finance	90000	15151	MU-199	1	Spring
	12121	Wu	Pinance	90000	22222	PHY-101	1	Fall
	...	...	...	...	...	...	...	...
	...	...	...	...	...	...	...	...

# Module Summary

Module 08

Partha Pratim  
Das

Objectives &  
Outline

Outline

History of SQL

Data Definition  
Language (DDL)

Create Table

Integrity Constraints

Update Table

Data  
Manipulation  
Language (DML):  
Query Structure

Select Clause

Where Clause

From Clause

Module Summary

- Introduced relational query language
- Familiarized with data definition and basic query structure

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**Edited and new slides are marked with “PPD”.**

Module 09

Partha Pratim  
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Objectives &  
Outline

Additional Basic  
Operations

Cartesian Product

Rename AS  
Operation

String Values

Order By Clause

Select Top / Fetch  
Clause

Where Clause  
Predicates

Duplicates

Module Summary

# Database Management Systems

Module 09: Introduction to SQL/2

Partha Pratim Das

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# Module Recap

## Module 09

Partha Pratim  
Das

## Objectives & Outline

### Additional Basic Operations

Cartesian Product

Rename AS  
Operation

String Values

Order By Clause

Select Top / Fetch  
Clause

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## Module Summary

- Introduced relational query language
- Familiarized with data definition and basic query structure

# Module Objectives

Module 09

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Module Summary

- To complete the understanding of basic query structure

# Module Outline

Module 09

Partha Pratim  
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Operation

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Module Summary

- Additional Basic Operations
  - Cartesian Product
  - Rename AS Operation
  - String Values
  - Order By
  - Select Top / Fetch
  - Where Clause Predicate
  - Duplicates

# Additional Basic Operations

Module 09

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Module Summary

## Additional Basic Operations

# Cartesian Product

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Module Summary

- Find the Cartesian product *instructor X teaches*  
**select \***  
**from instructor, teaches**
  - generates every possible instructor-teaches pair, with all attributes from both relations
  - For common attributes (for example, *ID*), the attributes in the resulting table are renamed using the relation name (for example, *instructor.ID*)
- Cartesian product not very useful directly, but useful combined with where-clause condition (selection operation in relational algebra)

# Cartesian Product

**Module 09**

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Cartesian Product

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Module Summary

<i>instructor</i>				<i>teaches</i>				
<i>ID</i>	<i>name</i>	<i>dept_name</i>	<i>salary</i>	<i>ID</i>	<i>course_id</i>	<i>sec_id</i>	<i>semester</i>	<i>year</i>
10101	Srinivasan	Comp. Sci.	65000	10101	CS-101	1	Fall	2009
12121	Wu	Finance	90000	10101	CS-315	1	Spring	2010
15151	Mozart	Music	40000	10101	CS-347	1	Fall	2009
22222	Einstein	Physics	95000	12121	FIN-201	1	Spring	2010
32343	El Said	History	60000	15151	MU-199	1	Spring	2010
33456	Gold	Physics	87000	22222	PHY-101	1	Fall	2009
45565	Katz	Comp. Sci.	75000	32343	HIIS-351	1	Spring	2010
58583	Califieri	History	62000	45565	CS-101	1	Spring	2010
76543	Singh	Finance	80000	45565	CS-319	1	Spring	2010
76766	Crick	Biology	72000	76766	BIO-101	1	Summer	2009
83821	<i>Inst.ID</i>	<i>name</i>	<i>dept_name</i>	<i>salary</i>	<i>teaches.ID</i>	<i>course_id</i>	<i>sec_id</i>	<i>semester</i>
98345								<i>year</i>
10101	Srinivasan	Comp. Sci.	65000	10101	CS-101	1	Fall	2009
10101	Srinivasan	Comp. Sci.	65000	10101	CS-315	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	10101	CS-347	1	Fall	2009
10101	Srinivasan	Comp. Sci.	65000	12121	FIN-201	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	15151	MU-199	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	22222	PHY-101	1	Fall	2009
...	...	...	...	...	...	...	...	...
12121	Wu	Finance	90000	10101	CS-101	1	Fall	2009
12121	Wu	Finance	90000	10101	CS-315	1	Spring	2010
12121	Wu	Pinance	90000	10101	CS-347	1	Fall	2009
12121	Wu	Pinance	90000	12121	FIN-201	1	Spring	2010
12121	Wu	Finance	90000	15151	MU-199	1	Spring	2010
12121	Wu	Pinance	90000	22222	PHY-101	1	Fall	2009
...	...	...	...	...	...	...	...	...
...	...	...	...	...	...	...	...	...

# Examples

Module 09

Partha Pratim  
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Cartesian Product

Rename AS  
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Select Top / Fetch  
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Predicates

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Module Summary

- Find the names of all instructors who have taught some course and the course\_id

```
select name, course_id
```

```
from instructor, teaches
```

```
where instructor.ID = teaches.ID
```

## o Equi-Join, Natural Join

instructor				teaches				
ID	name	dept_name	salary	ID	course_id	sec_id	semester	year
10101	Srinivasan	Comp. Sci.	65000	10101	CS-101	1	Fall	2009
12121	Wu	Finance	90000	10101	CS-315	1	Spring	2010
15151	Mozart	Music	40000	10101	CS-347	1	Fall	2009
22222	Einstein	Physics	95000	12121	FIN-201	1	Spring	2010
32343	El Said	History	60000	15151	MU-199	1	Spring	2010
33456	Gold	Physics	87000	22222	PHY-101	1	Fall	2009
45565	Katz	Comp. Sci.	75000	32343	HIS-351	1	Spring	2010
58583	Califieri	History	62000	45565	CS-101	1	Spring	2010
76543	Singh	Finance	80000	45565	CS-319	1	Spring	2010
76766	Crick	Biology	72000	76766	BIO-101	1	Summer	2009
83821	<i>inst.ID</i> , <i>name</i> , <i>dept_name</i> , <i>salary</i>				<i>teaches.ID</i> , <i>course_id</i> , <i>sec_id</i> , <i>semester</i>			
98345	<i>inst.ID</i> , <i>name</i> , <i>dept_name</i> , <i>salary</i>				<i>teaches.ID</i> , <i>course_id</i> , <i>sec_id</i> , <i>semester</i>			
10101	Srinivasan	Comp. Sci.	65000	10101	CS-101	1	Fall	2009
10101	Srinivasan	Comp. Sci.	65000	10101	CS-315	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	10101	CS-347	1	Fall	2009
10101	Srinivasan	Comp. Sci.	65000	12121	FIN-201	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	15151	MU-199	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	22222	PHY-101	1	Fall	2009
...	...	...	...	...	...	...	...	...
...	...	...	...	...	...	...	...	...
12121	Wu	Finance	90000	10101	CS-101	1	Fall	2009
12121	Wu	Finance	90000	10101	CS-315	1	Spring	2010
12121	Wu	Finance	90000	10101	CS-347	1	Fall	2009
12121	Wu	Finance	90000	12121	FIN-201	1	Spring	2010
12121	Wu	Finance	90000	15151	MU-199	1	Spring	2010
12121	Wu	Finance	90000	22222	PHY-101	1	Fall	2009
...	...	...	...	...	...	...	...	...

# Examples

Module 09

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Cartesian Product

Rename AS  
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Order By Clause

Select Top / Fetch  
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Where Clause  
Predicates

Duplicates

Module Summary

- Find the names of all instructors in the Art department who have taught some course and the course\_id

```
select name, course_id  
from instructor, teaches  
where instructor.ID = teaches.ID and instructor.dept_name = 'Art'
```

# Rename AS Operation

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Module Summary

- The SQL allows renaming relations and attributes using the **as** clause:  
 $old\_name \text{ as } new\_name$
- Find the names of all instructors who have a higher salary than some instructor in 'Comp. Sci'.

**select distinct T.name** *instructor X instructor*  
**from instructor as T, instructor as S,** cartesian product with itself  
**where T.salary > S.salary and S.dept\_name = 'Comp. Sci'**

- Keyword **as** is optional and may be omitted

**instructor as T ≡ instructor T**

# Cartesian Product Example

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Module Summary

- Relation *emp\_super*

<i>person</i>	<i>supervisor</i>
Bob	Alice
Mary	Susan
Alice	David
David	Mary

- Find the supervisor of “Bob”
- Find the supervisor of the supervisor of “Bob”
- Find ALL the supervisors (direct and indirect) of “Bob”

# String Operations

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Module Summary

- SQL includes a **string-matching operator for comparisons** on character strings. The operator **like** uses patterns that are described using two special characters:
  - percent ( % ). The % character matches any substring
  - underscore ( \_ ). The \_ character matches any character
- Find the names of all instructors whose name includes the substring “dar”

```
select name  
from instructor  
where name like '%dar--'
```



- Match the string “100%”  
**like '100%' escape '\'**

- in that above we use backslash (\) as the escape character

# String Operations (2)

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Module Summary

- Patterns are case sensitive
- Pattern matching examples:
  - 'Intro%' matches any string beginning with "Intro"
  - '%Comp%' matches any string containing "Comp" as a substring
  - '\_\_\_' matches any string of exactly three characters
  - '\_\_\_ %' matches any string of at least three characters
- SQL supports a variety of string operations such as
  - concatenation (using "||")
  - converting from upper to lower case (and vice versa)
  - finding string length, extracting substrings, etc.

# Ordering the Display of Tuples

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Module Summary

- List in alphabetic order the names of all instructors

```
select distinct name  
from instructor  
order by name
```

- We may specify **desc** for descending order or **asc** for ascending order, for each attribute; **ascending order is the default.**
  - Example: **order by name desc**
- Can sort on multiple attributes
  - Example: **order by dept\_name, name**

# Selecting Number of Tuples in Output

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Select Top / Fetch  
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Module Summary

- The **Select Top** clause is used to specify the number of records to return
- The **Select Top** clause is useful on large tables with thousands of records. Returning a large number of records can impact performance

```
select top 10 distinct name  
from instructor
```

- Not all database systems support the SELECT TOP clause.
  - SQL Server & MS Access support **select top**
  - MySQL supports the **limit** clause
  - Oracle uses **fetch first n rows only** and **rownum**

```
select distinct name  
from instructor  
order by name  
fetch first 10 rows only
```

# Where Clause Predicates

Module 09

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Module Summary

- SQL includes a **between** comparison operator
- Example: Find the names of all instructors with salary between \$90,000 and \$100,000 (that is,  $\geq \$90,000$  and  $\leq \$100,000$ )

```
select name  
from instructor  
where salary between 90000 and 100000
```

- **Tuple comparison**

```
select name, course_id  
from instructor, teaches  
where (instructor.ID, dept_name) = (teaches.ID, 'Biology');
```

# In Operator

Module 09

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Module Summary

- The **in** operator allows you to specify multiple values in a **where** clause
- The **in** operator is a shorthand for multiple **or** conditions

```
select name  
from instructor  
where dept_name in ('Comp. Sci.', 'Biology')
```

# Duplicates

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Module Summary

- In relations with duplicates, SQL can define how many copies of tuples appear in the result
- Multiset versions of some of the relational algebra operators – given multiset relations  $r_1$  and  $r_2$ :
  - a)  $\sigma_\theta(r_1)$ : If there are  $c_1$  copies of tuple  $t_1$  in  $r_1$ , and  $t_1$  satisfies selections  $\sigma_\theta$ , then there are  $c_1$  copies of  $t_1$  in  $\sigma_\theta(r_1)$
  - b)  $\Pi_A(r)$ : For each copy of tuple  $t_1$  in  $r_1$ , there is a copy of tuple  $\Pi_A(t_1)$  in  $\Pi_A(r_1)$  where  $\Pi_A(t_1)$  denotes the projection of the single tuple  $t_1$
  - c)  $r_1 \times r_2$ : If there are  $c_1$  copies of tuple  $t_1$  in  $r_1$  and  $c_2$  copies of tuple  $t_2$  in  $r_2$ , there are  $c_1 \times c_2$  copies of the tuple  $t_1.t_2$  in  $r_1 \times r_2$

# Duplicates (2)

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Module Summary

- Example: Suppose multiset relations  $r_1(A, B)$  and  $r_2(C)$  are as follows:  
 $r_1 = \{(1, a)(2, a)\}$        $r_2 = \{(2), (3), (3)\}$
- Then  $\Pi_B(r_1)$  would be  $\{(a), (a)\}$ , while  $\Pi_B(r_1) \times r_2$  would be  
 $\{(a, 2), (a, 2), (a, 3), (a, 3), (a, 3), (a, 3)\}$
- SQL duplicate semantics:

```
select A1, A2, ..., An
from r1, r2, ..., rm
where P
```

is equivalent to the *multiset* version of the expression:

$$\Pi_{A_1, A_2, \dots, A_n}(\sigma_P(r_1 \times r_2 \times \dots \times r_m))$$

# Module Summary

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Duplicates

Module Summary

- Completed the understanding of basic query structure

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Module 10

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Objectives &  
Outline

Set Operations

Null Values

Three Valued Logic

Aggregate  
Functions

Group By

Having

Null Values

Module Summary

# Database Management Systems

Module 10: Introduction to SQL/3

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## Module 10

Partha Pratim  
Das

Objectives &  
Outline

Set Operations

Null Values

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Null Values

Module Summary

- Completed the understanding of basic query structure

# Module Objectives

Module 10

Partha Pratim  
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Outline

Set Operations

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Module Summary

- To familiarize with set operations, null values and aggregation

# Module Outline

Module 10

Partha Pratim  
Das

Objectives &  
Outline

Set Operations

Null Values

Three Valued Logic

Aggregate  
Functions

Group By

Having

Null Values

Module Summary

- Set Operations: union, intersect, except
- Null Values
- Aggregate Functions: avg, min, max, sum, and count
  - Group By
  - Having
  - Null Values

# Set Operations

Module 10

Partha Pratim  
Das

Objectives &  
Outline

**Set Operations**

Null Values

Three Valued Logic

Aggregate  
Functions

Group By

Having

Null Values

Module Summary

# Set Operations

# Set Operations

Module 10

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DasObjectives &  
Outline

Set Operations

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Three Valued Logic

Aggregate  
FunctionsGroup By  
Having

Null Values

Module Summary

- Find courses that ran in Fall 2009 **or** in Spring 2010  
`(select course_id from section where sem = 'Fall' and year = 2009)`  
**union**  
`(select course_id from section where sem = 'Spring' and year = 2010)`
- Find courses that ran in Fall 2009 **and** in Spring 2010  
`(select course_id from section where sem = 'Fall' and year = 2009)`  
**intersect**  
`(select course_id from section where sem = 'Spring' and year = 2010)`
- Find courses that ran in Fall 2009 **but not** in Spring 2010  
`(select course_id from section where sem = 'Fall' and year = 2009)`  
**except**  
`(select course_id from section where sem = 'Spring' and year = 2010)`

# Set Operations (2)

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Having

Null Values

Module Summary

- Find the salaries of all instructors that are less than the largest salary

```
select distinct T.salary
from instructor as T, instructor as S
where T.salary < S.salary
```

- Find all the salaries of all instructors

```
select distinct salary
from instructor
```

- Find the largest salary of all instructors

```
(select "second query" )
except
(select "first query" )
```



# Set Operations (3)

Module 10

Partha Pratim  
Das

Objectives &  
Outline

Set Operations

Null Values

Three Valued Logic

Aggregate  
Functions

Group By

Having

Null Values

Module Summary

- Set operations **union**, **intersect**, and **except**
  - Each of the above operations automatically eliminates duplicates
- To retain all duplicates use the corresponding multiset versions **union all**, **intersect all**, and **except all**.
- Suppose a tuple occurs  $m$  times in  $r$  and  $n$  times in  $s$ , then, it occurs:
  - $m + n$  times in  $r$  **union all**  $s$
  - $\min(m, n)$  times in  $r$  **intersect all**  $s$
  - $\max(0, m - n)$  times in  $r$  **except all**  $s$



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Having

Null Values

Module Summary

# Null Values



# Null Values

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Null Values

Three Valued Logic

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Group By

Having

Null Values

Module Summary

- It is possible for tuples to have a null value, denoted by *null*, for some of their attributes
- *null* signifies an unknown value or that a value does not exist
- The result of any arithmetic expression involving *null* is *null*
  - Example:  $5 + \text{null}$  returns *null*
- The predicate **is null** can be used to check for null values
  - Example: Find all instructors whose salary is null

```
select name  
from instructor  
where salary is null
```

- It is not possible to test for **null** values with comparison operators, such as  $=$ ,  $<$ , or  $\neq$ .  
We need to use the **is null** and **is not null** operators instead



# Null Values (2): Three Valued Logic

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Outline

Set Operations

Null Values

Three Valued Logic

Aggregate  
Functions

Group By

Having

Null Values

Module Summary

- Three values – **true, false, unknown**
- Any comparison with *null* returns *unknown*
  - Example:  $5 < \text{null}$  or  $\text{null} <> \text{null}$  or  $\text{null} = \text{null}$
- Three-valued logic using the value *unknown*:
  - OR:  $(\text{unknown or true}) = \text{true}$ ,  
 $(\text{unknown or false}) = \text{unknown}$   
 $(\text{unknown or unknown}) = \text{unknown}$
  - AND:  $(\text{true and unknown}) = \text{unknown}$ ,  
 $(\text{false and unknown}) = \text{false}$ ,  
 $(\text{unknown and unknown}) = \text{unknown}$
  - NOT:  $(\text{not unknown}) = \text{unknown}$
  - “*P is unknown*” evaluates to *true* if predicate *P* evaluates to *unknown*
- Result of **where clause** predicate is treated as *false* if it evaluates to *unknown*

# Aggregate Functions

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Having

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Module Summary

# Aggregate Functions



# Aggregate Functions

Module 10

Partha Pratim  
Das

Objectives &  
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Three Valued Logic

Aggregate  
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Null Values

Module Summary

- These functions operate on the multiset of values of **a column** of a relation, and **return a value**

**avg:** average value

**min:** minimum value

**max:** maximum value

**sum:** sum of values

**count:** number of values

# Aggregate Functions (2)

Module 10

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DasObjectives &  
Outline

Set Operations

Null Values

Three Valued Logic

Aggregate  
Functions

Group By

Having

Null Values

Module Summary

- Find the average salary of instructors in the Computer Science department  

```
select avg (salary)
from instructor
where dept_name = 'Comp. Sci';
```
- Find the total number of instructors who teach a course in the Spring 2010 semester  

```
select count (distinct ID)
from teaches
where semester = 'Spring' and year = 2010;
```
- Find the **number of tuples** in the *course* relation  

```
select count (*)
from courses;
```

 count will be same no matter what attribute you choose ???

# Aggregate Functions (3): Group By

Module 10

 Partha Pratim  
 Das

 Objectives &  
 Outline

Set Operations

Null Values

Three Valued Logic

 Aggregate  
 Functions

Group By

Having

Null Values

Module Summary

- Find the average salary of instructors in each department

```
select dept_name, avg(salary) as avg_salary
from instructor
```

**group by dept\_name;** applies on sub-group

ID	name	dept_name	salary
76766	Crick	Biology	72000
45565	Katz	Comp. Sci.	75000
10101	Srinivasan	Comp. Sci.	65000
83821	Brandt	Comp. Sci.	92000
98345	Kim	Elec. Eng.	80000
12121	Wu	Finance	90000
76543	Singh	Finance	80000
32343	El Said	History	60000
58583	Califieri	History	62000
15151	Mozart	Music	40000
33456	Gold	Physics	87000
22222	Einstein	Physics	95000

dept_name	avg_salary
Biology	72000
Comp. Sci.	77333
Elec. Eng.	80000
Finance	85000
History	61000
Music	40000
Physics	91000

# Aggregate Functions (4): Group By

Module 10

Partha Pratim  
Das

Objectives &  
Outline

Set Operations

Null Values

Three Valued Logic

Aggregate  
Functions

Group By

Having

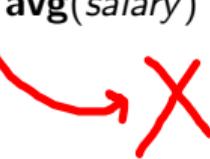
Null Values

Module Summary

except agg func

- Attributes in **select** clause outside of aggregate functions must appear in **group by** list

```
/* erroneous query */  
select dept_name, ID, avg(salary)  
from instructor  
group by dept_name;
```



# Aggregate Functions (5): Having Clause

Module 10

Partha Pratim  
Das

Objectives &  
Outline

Set Operations

Null Values

Three Valued Logic

Aggregate  
Functions

Group By  
Having

Null Values

Module Summary

- Find the names and average salaries of all departments whose average salary is greater than 42000

```
select dept_name, avg(salary)  
from instructor  
group by dept_name  
having avg(salary) > 42000;
```

Note: predicates in the **having** clause are applied after the formation of groups whereas predicates in the **where** clause are applied before forming groups



# Null Values and Aggregates

Module 10

Partha Pratim  
Das

Objectives &  
Outline

Set Operations

Null Values

Three Valued Logic

Aggregate  
Functions

Group By

Having

Null Values

Module Summary

- Total all salaries

```
select sum (salary)  
from instructor;
```

- Above statement ignores null amounts
- Result is *null* if there is no non-null amount
- All aggregate operations except **count(\*)** ignore tuples with null values on the aggregated attributes
- What if collection has only null values?
  - count returns 0
  - all other aggregates return null

- Why do we need a new number system for computers and other computing machines?
  - ✓ They represent an ON state 1 and OFF state 0, which is why they are easy to represent in electronics.
  - ✓ Lesser circuitry required to design devices.
  - ✓ Computers work by taking logical decisions at every step of their work.  
A decision making process is itself binary in nature, either YES (1) or NO (0).

- The smallest unit of binary data is a bit. A string of 8 bits make 1 Byte of data.
- The Base of binary number system is 2 and so there are two digits in this number system – 0 and 1.
- How many values can a given number of bits represent?
  - ✓ 'n' bits can represent  $2^n$  possible values in binary number system. For example with 2 bits we can represent  $2^2 = 4$  values (00, 01, 10, 11).

## representing from 0 to $2^n - 1$

### ✓ Steps for Converting Decimal number to Binary (Integer part)

- 1) Divide the decimal number by 2, note down the remainder & divide the quotient obtained.
- 2) Repeat step 1 till the quotient becomes 0
- 3) Place the remainder values from bottom to top of the division process with the bottom-most remainder as the most significant bit and the top most remainder as the least significant bit.
- 4) Obtained number is the binary equivalent of the given decimal number.

### ✓ Steps for Converting Decimal number to Binary (Fractional part)

- 1) Multiply the decimal fractional number by 2 and note down the integer part of the result.
- 2) Separate out the fractional part of the result and multiply 2 with it.
- 3) Repeat step 2 until fractional part is 0 or a desired level of precision is obtained.  
In each repetition note down the integer part
- 4) Write the integer parts from top to bottom to get the binary number.

**11101011** - (1 byte.) **8 bits**

i)  $1729 = \text{Taxi Cab No.}$

2	1729
2	864 — 1
2	432 — 0
2	216 — 0
2	108 — 0
2	54 — 0
2	27 — 0
2	13 — 1
2	6 — 1
2	3 — 0
2	1 — 1
	0 — 1

$$1000001_2 = (1729)_{10}$$

ii)  $2.718 = ?$

Integer part:  $\frac{2}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2} (2)_{10} = (10)_2$

$$(10 \cdot 1011011)_2 = (2.718)_{10}$$

Fractional part: 1

$$0.718 \times 2 = 1.436 \quad | \begin{matrix} 1 \\ 0 \end{matrix}$$

$$0.436 \times 2 = 0.872 \quad | \begin{matrix} 0 \\ 1 \end{matrix}$$

$$0.872 \times 2 = 1.744 \quad | \begin{matrix} 1 \\ 1 \end{matrix}$$

$$0.744 \times 2 = 1.488 \quad | \begin{matrix} 1 \\ 1 \end{matrix}$$

$$0.488 \times 2 = 0.976 \quad | \begin{matrix} 0 \\ 1 \end{matrix}$$

$$0.976 \times 2 = 1.952 \quad | \begin{matrix} 1 \\ 1 \end{matrix}$$

$$0.952 \times 2 = 1.904 \quad | \begin{matrix} 1 \\ 1 \end{matrix}$$

$$(0.718)_{10} = (011011)_2$$

## Binary to Decimal conversion rules

### ✓ Steps for Converting Binary number to Decimal (Integer part)

- 1) Write down the bits of binary numbers with their corresponding power of 2.
- 2) Multiply the bits with their corresponding power of 2.
- 3) Add each term obtained as a result of the product in step 2 to get the final answer.

### ✓ Steps for Converting Binary number to Decimal (Fractional part)

Same as conversion of Integer part just the powers of 2 are negative.  
Starting from -1.

Q) Convert the given binary numbers into equivalent decimal representation

i) 110110000001

10 9 8 7 6 5 4 3 2 1 0  
1 | 0 1 1 0 0 0 0 0 |  
 $1 \times 2^9 + 1 \times 2^8 + 0 \times 2^7 + 1 \times 2^6 + \dots + 1 \times 2^0$

$$= 1024 + 512 + 0 + 128 + 64 + 0 + 0 + 0 + 0 + 1.  
= (1729)_{10}.$$

ii) 10 10110111

$$1 \times 2^1 + 0 \times 2^0 = (2)_{10}.$$

$$\begin{array}{cccccccc} -1 & -2 & -3 & -4 & -5 & -6 & -7 & -8 \\ . & 1 & 0 & 1 & 1 & 0 & 1 & 1 \end{array}$$

$$\begin{aligned} &= 1 \times 2^{-1} + 0 \times 2^{-2} + 1 \times 2^{-3} + 1 \times 2^{-4} + 0 \times 2^{-5} \\ &\quad + 1 \times 2^{-6} + 1 \times 2^{-7} + 1 \times 2^{-8}. \end{aligned}$$

$$\begin{aligned} &= 0.5 + 0 + 0.125 + 0.0625 + \\ &\quad 0.0156 + 0.0078 + 0.0039. \end{aligned}$$

$$= (0.7148)_{10}.$$

$$(2 \cdot 7148)_{10}$$



- **Character Encoding**, it is a system in which characters are mapped to a number and is stored as a stream of bits (binary equivalent of that number) in the memory of computer.

### ● **ASCII – American Standard Code for Information Interchange**

Its the basic character encoding scheme used for representing text (symbols and characters) in computers. It is originally a 7 bit code and therefore represents 128 characters.

Present day we use "Extended ASCII" which is 8 bits and encodes 256 characters.  
Some common ranges of ASCII encoding

- ✓ Numbers ( 0 to 9 ) : ASCII value range – 48 to 57
- ✓ Upper Case Alphabets ( A,B,...Z ) : ASCII value range – 65 to 90
- ✓ Lower Case Alphabets ( a,b,...z ) : ASCII value range – 97 to 122.

Example : How is the letter W stored in memory?

- ✓ ASCII code maps 'W' to the number 87, binary equivalent of 87 = 1010111  
So W is stored in memory as 1010111. Please note in actual machines it is easier to store 8 bits together as they form a byte, hence the characters may be stored as 1 Byte long data with a 0 added as the 8<sup>th</sup> bit.

01010111

That's why many languages have 1 byte long data type for Char

- **UNICODE** is a multilingual character encoding system. It has up to 16 bits for encoding characters (UTF-16).

Till now we have seen the binary number system and how numbers and characters are represented in binary. Now we will see what kind of operations can be done on these binary numbers and their result.

Fun fact: Aristotle worked on a system of formal logic and reasoning. For centuries mathematicians tried to solve these logic problems with conventional mathematics but couldn't. **George Boole** devised his own mathematical system of logic and was able to solve the problems of Aristotle using this system. Boole's paper "**An Investigation of The Laws of the Thought**" got published in 1854 that led to the development of a new system of algebra – "Boolean Algebra".

A Boolean variable stores truth value for some logic ie it can either be 1 or 0.

Basic operations performed in Boolean variables are :

- ✓ Conjunction or AND denoted by ( . )
- ✓ Disjunction or OR denoted by ( + )
- ✓ Negation NOT denoted by ( 1, overbar )

There are other operations also like XOR, NAND, NOR, XNOR but these operations are derivable using the three basic operations.

We are learning these operations because these operations are applied strategically on binary strings to solve many problems and implement concepts and techniques in computers. Eg- Recovery of lost data, Memory management, Error correction etc.

#### AND operation

It is called logical multiplication in boolean algebra. The rules of AND operation are

- ✓  $0 \cdot 0 = 0$
- ✓  $1 \cdot 0 = 0$
- ✓  $0 \cdot 1 = 0$
- ✓  $1 \cdot 1 = 1$

Logical operations are implemented using electronic devices called "gates".

Symbol for AND gate :

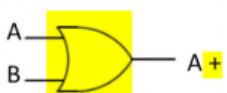


#### OR operation

It is called logical addition in boolean algebra. The rules of OR operation are

- ✓  $0 + 0 = 0$
- ✓  $1 + 0 = 1$
- ✓  $0 + 1 = 1$
- ✓  $1 + 1 = 1$

Symbol of OR gate :

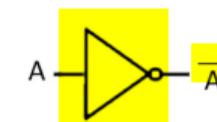


#### NOT operation

It is called logical complementation in boolean algebra. The rules of NOT operation are

- ✓  $\overline{0} = 1$
- ✓  $\overline{1} = 0$

Symbol for NOT gate :



#### XOR operation

It is denoted by the symbol (  $\wedge$  ) and is a compound operation equivalent to

$$A \wedge B = A\bar{B} + \bar{A}B$$

The rules of XOR operation

- ✓  $0 \wedge 0 = 0$
- ✓  $1 \wedge 0 = 1$
- ✓  $0 \wedge 1 = 1$
- ✓  $1 \wedge 1 = 0$



Symbol of XOR gate :



1 when they are different, else 0

There can be many other electronic gates and corresponding boolean operation like NAND, NOR, XNOR. All of them are just a specific cascade of multiple basic gates.

Also there are various laws for solving boolean expression like Associative law, Complementary law, De Morgan's Laws etc. If you want to learn about them in detail refer standard books. For the purpose of the course we only need the basic boolean operations.

```
C:\Users\arubk>psql -U postgres
```

```
Password for user postgres:
```

```
psql (10.18)
```

```
WARNING: Console code page (437) differs from Windows code page (1252)  
8-bit characters might not work correctly. See psql reference  
page "Notes for Windows users" for details.
```

```
Type "help" for help.
```

```
postgres# \l
```

### List of databases

Name	Owner	Encoding	Collate	Ctype	Access privileges
dvdrental	postgres	UTF8	English_India.1252	English_India.1252	
postgres	postgres	UTF8	English_India.1252	English_India.1252	
t	postgres	UTF8	English_India.1252	English_India.1252	
template0	postgres	UTF8	English_India.1252	English_India.1252	=c/postgres      + postgres=CTc/postgres
template1	postgres	UTF8	English_India.1252	English_India.1252	=c/postgres      + postgres=CTc/postgres

(5 rows)

```
postgres=# create database company;
```

```
CREATE DATABASE
```

```
postgres=# \c company;
You are now connected to database "company" as user "postgres".
company=# \d
Did not find any relations.
company=# create table department(
company(# dno int primary key,
company(# dname varchar(40));
CREATE TABLE
company=# \d department;
      Table "public.department"
Column |          Type          | Collation | Nullable | Default
-----+-----+-----+-----+
dno   | integer          |           | not null |
dname | character varying(40) |           |           |
Indexes:
"department_pkey" PRIMARY KEY, btree (dno)
```

```
company=# create table employee(
company(# eid int primary key,
company(# name varchar(40) not null,
company(# dno int references department(dno));
CREATE TABLE
```

```
company=# \d employee;
      Table "public.employee"
Column |          Type          | Collation | Nullable | Default
-----+-----+-----+-----+
eid   | integer          |           | not null |
name  | character varying(40) |           | not null |
dno   | integer          |           |
Indexes:
"employee_pkey" PRIMARY KEY, btree (eid)
Foreign-key constraints:
"employee_dno_fkey" FOREIGN KEY (dno) REFERENCES department(dno)
```

```
company=# insert into department (dno, dname) values(101, 'CSE');
INSERT 0 1
company=# insert into department (dno, dname) values(102, 'HR');
INSERT 0 1
company=# insert into department (dno, dname) values(103, 'IT');
INSERT 0 1
company=# insert into department (dno, dname) values(103, 'ME');
ERROR: duplicate key value violates unique constraint "department_pkey"
DETAIL: Key (dno)=(103) already exists.
company=# insert into department (dno, dname) values(104, 'ME');
INSERT 0 1
```

since we have taken dno as primary key

```
company=# select * from department;
dno | dname
----+-----
101 | CSE
102 | HR
103 | IT
104 | ME
(4 rows)
```

```
company=# insert into employee values(10, 'raj', 101);
INSERT 0 1
company=# insert into employee values(20, 'paramita', 102);
INSERT 0 1
company=# insert into employee values(30, 'bhaskar', 103);
INSERT 0 1
company=# insert into employee values(30, 'arup', 103);
ERROR: duplicate key value violates unique constraint "employee_pkey"
DETAIL: Key (eid)=(30) already exists.
company=# insert into employee values(40, 'arup', 103);
INSERT 0 1
company=# insert into employee values(50, 'ram', 110);
ERROR: insert or update on table "employee" violates foreign key constraint "employee_dno_fkey"
DETAIL: Key (dno)=(110) is not present in table "department".
```

```

company=# select * from employee;
eid | name | dno
---+-----+
 10 | raj   | 101
 20 | paramita | 102
 30 | bhaskar | 103
 40 | arup   | 103
(4 rows)

company=# select * from department;
dno | dname
---+-----
 101 | CSE
 102 | HR
 103 | IT
 104 | ME
(4 rows)

company=# select * from employee, department;
eid | name | dno | dno | dname
---+-----+-----+-----+
 10 | raj   | 101 | 101 | CSE
 20 | paramita | 102 | 101 | CSE
 30 | bhaskar | 103 | 101 | CSE
 40 | arup   | 103 | 101 | CSE
 10 | raj   | 101 | 102 | HR
 20 | paramita | 102 | 102 | HR
 30 | bhaskar | 103 | 102 | HR
 40 | arup   | 103 | 102 | HR
 10 | raj   | 101 | 103 | IT
 20 | paramita | 102 | 103 | IT
 30 | bhaskar | 103 | 103 | IT
 40 | arup   | 103 | 103 | IT
(16 rows)

```

```

company=# select * from employee, department where employee.dno = department.dno;
eid | name | dno | dno | dname
---+-----+-----+-----+
 10 | raj   | 101 | 101 | CSE
 20 | paramita | 102 | 102 | HR
 30 | bhaskar | 103 | 103 | IT
 40 | arup   | 103 | 103 | IT
(4 rows)

company=# select * from employee inner join department on employee.dno = department.dno;
eid | name | dno | dno | dname
---+-----+-----+-----+
 10 | raj   | 101 | 101 | CSE
 20 | paramita | 102 | 102 | HR
 30 | bhaskar | 103 | 103 | IT
 40 | arup   | 103 | 103 | IT
(4 rows)

```

↓      ↓      ↓      ↓

```

company=# select * from employee e inner join department d on e.dno = d.dno;
eid | name | dno | dno | dname
---+-----+-----+-----+
 10 | raj   | 101 | 101 | CSE
 20 | paramita | 102 | 102 | HR
 30 | bhaskar | 103 | 103 | IT
 40 | arup   | 103 | 103 | IT
(4 rows)

```

```
10 | raj      | 101  
20 | paramita | 102  
30 | bhaskar  | 103  
40 | arup     | 103  
(4 rows)
```

```
company=# delete from employee where eid = 40;  
DELETE 1
```

```
company=# select * from employee;  
eid | name    | dno
```

```
-----+-----+  
10 | raj      | 101  
20 | paramita | 102  
30 | bhaskar  | 103  
(3 rows)
```

```
company=# delete from employee;  
DELETE 3
```

```
company=# select * from employee;  
eid | name    | dno
```

```
-----+-----+  
(0 rows)
```

```
company=# \d  
          List of relations  
 Schema |      Name      | Type | Owner  
-----+-----+-----+  
 public | department | table | postgres  
 public | employee   | table | postgres  
(2 rows)
```

```
company=# drop table employee;  
DROP TABLE
```

```
company=# \d  
          List of relations  
 Schema |      Name      | Type | Owner  
-----+-----+-----+  
 public | department | table | postgres  
(1 row)
```

company=# drop database company;  
ERROR: cannot drop the currently open database

company=# \c postgres;

You are now connected to database "postgres" as user "postgres".

postgres=# \l

List of databases

Name	Owner	Encoding	Collate	Ctype
company	postgres	UTF8	English_India.1252	English_India.1252
dvdrental	postgres	UTF8	English_India.1252	English_India.1252
postgres	postgres	UTF8	English_India.1252	English_India.1252
t	postgres	UTF8	English_India.1252	English_India.1252
template0	postgres	UTF8	English_India.1252	English_India.1252
template1	postgres	UTF8	English_India.1252	English_India.1252

(6 rows)

postgres=# drop database company;  
DROP DATABASE



