

# DBMS Week 6 TA Session

# Normalization and Normal Forms

- Normalization or Schema Refinement is a technique of organizing the data in the database
- Normalization is used for mainly two purpose:
  - Eliminating redundant (useless) data
  - Ensuring data dependencies
- A normal form specifies a set of conditions that the relational schema must satisfy in terms of its constraints

# 1NF (First Normal Form)

- All Domains should only have Atomic Values
- No Multivalued attributes

## Example

SID	Sname	Cname
S1	A	C, C++
S2	B	C++, DB
S3	C	DB

- *Cname* is a multivalued attribute. So, the above table is not in *1NF*

## 2NF (Second Normal Form)

Relation R is in Second Normal Form (2NF) only if

- R is in 1NF and
- R contains no Partial Dependency

### Partial Dependency

$A \rightarrow B$  is a Partial dependency only if

- A - Proper subset of Candidate Key
- B - Non Prime Attribute

# Example

CHECK WHETHER IT IS IN 2NF OR NOT

Consider a relation  $R(A, B, C, D, E, F, G, H)$ , where each attribute is atomic and the following functional dependencies hold:

$$\mathcal{F} = \{AB \rightarrow CDE, D \rightarrow F, F \rightarrow GH, E \rightarrow AB\}$$

## 3NF (Third Normal Form)

A relational schema  $R$  is in 3NF if for every FD  $A \rightarrow B$  associated with  $R$  either

- $R$  is in 2NF and
- $B \subseteq A$  (Trivial FD) (or)
- $A$  is a superkey of  $R$  (or)
- $B$  is a prime attribute

### Note:

- 3NF preserves both dependency preservation and loseless join decomposition

# Example

Consider a relational schema **Contacts**(*aadhaarNo*, *name*, *mobileNo*, *address*). Assume that all the attributes have atomic values. Which of the following functional dependencies is/are example(s) of the third normal form?

$$\mathcal{F} = \{ \text{aadhaarNo} \rightarrow (\text{name}, \text{address}), \\ \text{mobileNo} \rightarrow \text{aadhaarNo}, \\ (\text{name}, \text{address}) \rightarrow \text{mobileNo} \}$$

$$\mathcal{F} = \{ \text{aadhaarNo} \rightarrow \text{name}, \\ \text{mobileNo} \rightarrow \text{aadhaarNo}, \\ (\text{name}, \text{address}) \rightarrow \text{aadhaarNo} \}$$

$$\mathcal{F} = \{ (\text{aadhaarNo}, \text{name}) \rightarrow \text{address}, \\ \text{mobileNo} \rightarrow \text{name}, \\ (\text{name}, \text{aadhaarNo}) \rightarrow \text{mobileNo} \}$$

$$\mathcal{F} = \{ (\text{aadhaarNo}, \text{name}) \rightarrow (\text{address}, \text{mobileNo}), \\ \text{mobileNo} \rightarrow \text{aadhaarNo}, \\ \text{name} \rightarrow \text{address} \}$$

# BCNF (Boyce – Codd Normal Form)

A relational schema  $R$  is in BCNF if for every FD  $A \rightarrow B$  associated with  $R$  either

- $R$  is in 3NF and
- $A$  must be a superkey (or)
- $B \subseteq A$  (Trivial FD)

## Note:

- BCNF decomposition is loseless
- BCNF decomposition is may or may not be dependency preserving



# Example

Consider the relational schema  $\mathbf{R}(U, V, W, X, Y, Z)$  where the domain of every attribute consists of atomic values. The set of functional dependencies for the relation  $\mathbf{R}$  is given as follows:

$$\mathcal{F} = \{UV \rightarrow W, W \rightarrow X, X \rightarrow VY, Y \rightarrow Z, Z \rightarrow U \}$$

What is the highest normal form of the given relation  $\mathbf{R}$ ?

# MVD (Multivalued Dependency)

Let  $R$  be a relation schema and  $\alpha \subseteq R$  and  $\beta \subseteq R$ . The multivalued dependency  $\alpha \twoheadrightarrow \beta$

holds on  $R$  if in any legal relation  $r(R)$ , for all pairs for tuples  $t1$  and  $t2$  in  $r$  such that  $t1[\alpha] = t2[\alpha]$ , there exist tuples  $t3$  and  $t4$  in  $r$  such that:

- $t1[\alpha] = t2[\alpha] = t3[\alpha] = t4[\alpha]$
- $t1[\beta] = t3[\beta]$  and  $t2[\beta] = t4[\beta]$
- $t2[R-\beta] = t3[R-\beta]$  and  $t1[R-\beta] = t4[R-\beta]$

For MVD,

- Total number of attributes should be more than two
- If there exist 3 attributes, then 2 attributes must be independent of each other

# MVD Theory

Name	Rule
Complementation	If $X \twoheadrightarrow Y$ , then $X \twoheadrightarrow (R - (X \cup Y))$
Augmentation	If $X \twoheadrightarrow Y$ and $W \supseteq Z$ , then $WX \twoheadrightarrow YZ$
Transitivity	If $X \twoheadrightarrow Y$ and $Y \twoheadrightarrow Z$ , then $X \twoheadrightarrow (Z - Y)$
Replication	If $X \rightarrow Y$ , then $X \twoheadrightarrow Y$ but the reverse is not true
Coalescence	If $X \twoheadrightarrow Y$ and there is a $W$ such that $W \cap Y$ is empty, $W \rightarrow Z$ and $Z \subseteq Y$ , then $X \rightarrow Z$

# Example

SId	Sname	Course	Instructor	Inst_Room
ME1001	David	Python	MK Singh	503
ME1001	David	Java	SN Joseph	505
ME1001	David	Python	SN Joseph	505
ME1001	David	Java	MK Singh	503

- {SId, Sname}  $\twoheadrightarrow$  Course\$
- SId  $\twoheadrightarrow$  {Instructor, Inst\_Room}

# Example

course_name	instructor	book	edition
DBMS	Geeta	DBMS-Beginner	3
DBMS	Arjun	DBMS-Beginner	3
DBMS	Geeta	DBMS-Expert	2
DBMS	Arjun	DBMS-Expert	2
Java	Rahul	Java-Beginner	5
Java	Rahul	Java-Intermediate	3
Java	Rahul	Java-Expert	4
Java	Armaan	Java-Beginner	5
Java	Armaan	Java-Intermediate	3
Java	Armaan	Java-Expert	4

- course\_name  $\rightarrow$  instructor
- course\_name  $\rightarrow$  {book, edition}

# Trivial MVD

A MVD  $X \twoheadrightarrow Y$  in  $R$  is called a trivial MVD is

- $Y$  is a subset of  $X$  ( $Y \subseteq X$ ) (or)
- $X \cup Y = R$

## Example

- $AB \twoheadrightarrow B$  (trivial MVD)

# 4NF (Fourth Normal Form)

A relation schema R is in 4NF if and only if the following conditions are satisfied

- R is in BCNF and
- Should not have any multi-valued dependency