# ResNet

#### May 30, 2019

This article is written as I read "Deep Residual Learning for Image Recognition" by He et al. [3]. This architecture in this paper won the ILSVRC 2015 classification task and is used as building blocks by many subsequent papers.

### 1 Introduction

- Theoretically, deeper networks have more capacity than shallower ones. This is because the deeper layers can implement the identify function.
- In practice however, this is not the case. It is often observed deeper networks have higher training losses. This is called the *degradation problem*.
- How this happens is as follows. You start training. The training loss drops. It gets saturated. Then, it degrades rapidly.
- The degradation problem is not caused by overfitting. Otherwise, the training loss would have become lower as we increase the depth.
- You may think this is because deeper networks suffer from with vanishing/exploding gradients. However, this has been solved by careful initialization (for examples, Xavier [1] and He [4]) and batch normalization [5].
- This kind of means that deeper network is just too hard to train. The optimizers we have at hand have a hard time making the deeper layers into the identify mapping or something better.
- To solve the degradation, the problem proposes the following deep residual learning framework:

Supposed the desired underlying mapping is  $\mathcal{H}(\mathbf{x})$ , we let the network fits  $\mathcal{F}(\mathbf{x}) := \mathcal{H}(\mathbf{x}) - \mathbf{x}$  instead.

The paper says this is easier to optimize. If we want  $\mathcal{H}$  to be the identity mapping, it would be easier to push  $\mathcal{F}(\mathbf{x})$  than to fit a network to the identity function.

- The mapping  $\mathcal{H}(\mathbf{x}) = \mathcal{F}(\mathbf{x}) + \mathbf{x}$  can be implemented by adding *shortcut connection* that bypass the layers that implement  $\mathcal{F}$ . The output of the shortcut connection is added directly to the output of  $\mathcal{F}(\mathbf{x})$ .
- The paper showed that, for the ImageNet and the CIFAR-10 datasets, the degradation problem exist in plain networks without shortcut connections. Moreover, when shortcut connections are added, the opposite outcome is true: deeper networks achieve better training losses than shallower ones.
- The shortcut connection trick enables the authors to train a 152-layer network for ImageNet and won the ILSVRC 2015 classification competition and various others.

## 2 Deep Residual Learning

- Let  $\mathcal{H}(\mathbf{x})$  be an underlying mapping to be learned by a few layers.
- Rather than let the network learn  $\mathcal{H}(\mathbf{x})$  directly, the paper let the network learn the residual function  $\mathcal{F}(\mathbf{x}) := \mathcal{H}(\mathbf{x}) \mathbf{x}$ . The original function becomes  $\mathcal{H}(\mathbf{x}) = \mathcal{F}(\mathbf{x}) + \mathbf{x}$ .
- The transformation is motivated by the fact that solvers may have a hard time making  $\mathcal{H}$  approximate theh identity function. On the other hand, it should be easier for it to drive the weights of the layers down to 0 to make  $\mathcal{F}(\mathbf{x})$  close to zero.
- The transformation above is employed at every few layers.
- The paper does so every two layers. That is:

$$\mathcal{F}(\mathbf{x}) = \mathbf{b}_2 + W_2 \sigma(\mathbf{b}_1 + W_1 \mathbf{x})$$

where  $W_1$  and  $W_2$  denote weight matrices,  $\mathbf{b}_1$  and  $\mathbf{b}_2$  denote the bias vectors, and  $\sigma$  denotes a non-linear function, which is ReLU in the paper. Note that we phrase the  $W_i$ ,  $\mathbf{b}_i$  combo as a fully connected layer, but this can be a convolutional layer as well.

- The operation  $\mathcal{F}(\mathbf{x}) + \mathbf{x}$  is performed by a shortcut connection from the input.
- The paper actually applies a second ReLU to the block. That is, in the end, the block ends up computing:

$$\mathbf{y} = \sigma(\mathbf{x} + \mathbf{b}_1 + W_1 \sigma(\mathbf{b}_2 + W_2 \mathbf{x})).$$

- However, Gross and Wilber suggested that removing the second ReLU actually leads to small improve in test performance [2].
- Batch normalization layers is typically placed after each affine layer. However, Gross and Wilber observed that putting a batch normalization layer after the addition with the input actually hurts test performance on the CIFAR dataset.
- In the construction so far, the dimension of  $\mathcal{F}(\mathbf{x})$  must match that of  $\mathbf{x}$ . If this is not the case, we can perform a linear projection  $W_s$  to make the dimension match:

$$\mathcal{F}(\mathbf{x}) + W_{s}\mathbf{x}$$
.

- The paper details a 34-layer residual network for ImageNet classification with the following details:
  - Most convolution layers have kernel size of  $3 \times 3$ .
  - Most convolution layers preserve the input size.
  - Image size is halved and channels doubled every 6 layers. Downsampling is done by a convolutional layer with stride 2.
  - Shortcut connections skip two convolution layers. When they skip to a downsampled version, the projection  $W_s$  is a convolution with stride 2. The paper consider two options in making the number of channel matches:
    - \*  $W_s$  does not increase the number of channels. Instead, 0 are appended to make the number of channels match.
    - \*  $W_s$  does not increase the number of channels. A 1 × 1 convolution is performed afterwards to make the channel match.

The paper found that the second option is slightly better than the first one.

### References

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