# BOOT: Data-free Distillation of Denoising Diffusion Models with Bootstrapping

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This note was written as I read the paper "BOOT: Data-free Distillation of Denoising Diffusion Models with Bootstrapping" by Gu et al. [GZZ<sup>+</sup>23].

### 1 Introduction

- It is a known problem that diffusion models are slow compared other generative models such that GANs or VAEs.
- There are techniques that distills diffusion models into models that can generate data in few model evaluation steps. These include:
  - Straightforward knowledge distillation [LL21].
  - Progressive distillation [SH22, MRG<sup>+</sup>23].
  - Cosistency models [SDCS23].
- However, these techniques require either (1) generating a lot of synthetic data with the teacher model or (2) using the training dataset in the process of distillation.
- The requirements above make it hard to apply for text-condition diffusion models, which currently requires a very large dataset to train.
- The paper proposes BOOT, which can distill diffusion models without needing any data.
- It is inspired by consistency models [SDCS23].
  - The process of sampling a diffusion model can be thought of as tracing a trajectory of a particle moving through a velocity field defined by the probability flow ODE [SSDK+21].
  - In the consistency model work, Song et al. observes that all points in a specific trajectory form an equivalent class. What we want to do is to find the terminal point from any other points the trajectory.
  - So, a consistency model wants any  $\mathbf{x}_t$  in the same trajectory to map to the same  $\mathbf{x}_0$ .
- On the other hand, BOOT predicts all possible  $\mathbf{x}_t$  given the Gaussian noise  $\boldsymbol{\xi}$  and time t.
- The name "BOOT" comes from the word "bootstrapping," which is used in the meaning that it becomes easier to predict  $\mathbf{x}_t$  if the model has already learned to predict  $\mathbf{x}_{t'}$  with t' > t.

## 2 Background

#### 2.1 Diffusion Model

- The paper uses the continuous-time [SSDK+21, KAAL22] and variance-preserving [SH22] formulation.
- A data point is denoted by  $\mathbf{x} \in \mathbb{R}^N$ .
- The forward process generates a stochastic process  $\{\mathbf{x}_t : t \in [0, T]\}$  with  $\mathbf{x}_0 = \mathbf{x} \sim p_{\text{data}}$  governed by the **noise schedule**  $\alpha_t$  and  $\sigma_t$  with  $\alpha_t^2 + \sigma_t^2 = 1$  (because we are using the variance-preserving formulation). The stochastic process has the following properties:

$$q(\mathbf{x}_t|\mathbf{x}) = \mathcal{N}(\mathbf{x}_t; \alpha_t \mathbf{x}, \sigma_t^2 I),$$
  
$$q(\mathbf{x}_t|\mathbf{x}_s) = \mathcal{N}(\mathbf{x}_t; \alpha_{t|s} \mathbf{x}_s, \sigma_{t|s}^2 I)$$

where

$$\alpha_{t|s} = \frac{\alpha_t}{\alpha_s}$$

$$\sigma_{t|s}^2 = \sigma_t^2 - \alpha_{t|s}^2 \sigma_s^2$$

for s < t.

- The quality  $\alpha_t^2/\sigma_t^2$  is called the **signal-to-noise ratio** (SNR). It decreases monotonically with t.
- A diffusion model is denoted by  $\mathbf{f}_{\phi}$ . In this paper, it predicts the denoised data  $\mathbf{x}$  from  $\mathbf{x}_t$  and t. It is trained with the following objective:

$$\mathcal{L}_{\phi}^{\text{diff}} = E_{\mathbf{x} \sim p_{\text{data}}, t \sim [0, T], \mathbf{x}_{t} \sim q(\mathbf{x}_{t} | \mathbf{x})} [w_{t} || \mathbf{f}_{\phi}(\mathbf{x}_{t}, t) - \mathbf{x} ||^{2}]$$

where  $w_t$  is the weight used to "balance perceptual quality and diversity."

• Given a diffusion model, a sample can be generated deterministically from a Gaussian noise by using the DDIM sampler [SME20], which has the following update rule:

$$\mathbf{x}_s = \frac{\sigma_s}{\sigma_t} \mathbf{x}_t + \left(\alpha_s - \alpha_t \frac{\sigma_s}{\sigma_t}\right) \mathbf{f}_{\phi}(\mathbf{x}_t, t)$$

where s < t. The process starts with sampling  $\mathbf{x}_T = \boldsymbol{\xi} \sim \mathcal{N}(\mathbf{0}, I)$ , and then we can obtain  $\mathbf{x}_t$  with smaller and smaller t until we reach  $t \approx 0$ . The catch is that the steps size  $\delta = t - s$  must be small enough.

#### 2.2 Knowledge Distillation

- The student model is denoted by  $\mathbf{g}_{\theta}$ . This is used in constrast with the teacher diffusion model  $\mathbf{f}_{\phi}$ .
- The most straightforward form of distillation is direct distillation where the student model is trained with the following loss function:

$$\mathcal{L}_{\boldsymbol{\theta}}^{\text{direct}} = E_{\boldsymbol{\xi} \sim \mathcal{N}(\mathbf{0}, I)} \big[ \| \mathbf{g}_{\boldsymbol{\theta}}(\boldsymbol{\xi}) - \mathtt{ODE\text{-}Solver}(\mathbf{f}_{\boldsymbol{\phi}}, \boldsymbol{\xi}, T \to 0) \|^2 \big]$$

where ODE-Solver is any sampler like the DDIM sampler in the last section.

- The drawback of the above approach is that the ODE-Solver needs many steps in order to make the student model generate high quality data. This can make the training process slow.
- However, notice that the approach does not require access to training data at all.

- Other approaches such as progressive distillation [SH22], consistency models [SDCS23], and TRACT [BAL $^+$ 23] avoid running the full ODE-Solver from T to 0.
- For the consistency model, the student model is conditioned on time, which means that we want  $\mathbf{g}_{\theta}(\mathbf{x}_t, t)$  to predict  $\mathbf{x}$ . The model is trained with the following loss function:

$$\mathcal{L}_{\boldsymbol{\theta}}^{\text{CM}} = E_{\mathbf{x} \sim p_{\text{data}}, s, t \sim [0, T], s < t, \mathbf{x}_t \sim q(\mathbf{x}_t | \mathbf{x})} [\|\mathbf{g}_{\boldsymbol{\theta}}(\boldsymbol{x}_t, t) - \mathbf{g}_{\boldsymbol{\theta}_{\text{EMA}}}(\mathbf{x}_s, s)\|^2]$$

where  $\mathbf{x}_s = \mathtt{ODE-Solver}(\mathbf{f}_{\phi}, \mathbf{x}_t, t \to s)$  and  $\boldsymbol{\theta}_{\mathrm{EMA}}$  is the exponential moving average of the student parameters  $\boldsymbol{\theta}$ .

• While training a consistency model does not require executing the ODE-Solver from start to finish, it requires access to the training data.

## 3 Method

- The goal of booth is to train a time-condition model  $\mathbf{g}_{\theta}(\boldsymbol{\xi},t)$  that predicts  $\mathbf{x}_{t} = \mathtt{ODE-Solver}(\mathbf{f}_{\phi},\boldsymbol{\theta},T \rightarrow t)$  when  $\boldsymbol{\xi} \sim \mathcal{N}(\mathbf{0},I)$ .
- We can generate a sample by evaluating  $\mathbf{g}_{\theta}(\boldsymbol{\xi},0)$  after sampling  $\boldsymbol{\theta} \sim \mathcal{N}(\mathbf{0},I)$ .
- Since the model always takes a Gaussian noise as input, there is no need for a dataset during the training process.

#### 3.1 Signal-ODE

- Predicting  $\mathbf{x}_t$  is hard because it is a noisy image.
- It is much easier to predict  $\mathbf{y}_t = (\mathbf{x}_t \sigma_t \boldsymbol{\theta})/\alpha_t$ , which is supposed to represent a predicted denoised image or the "signal component" of  $\mathbf{x}_t$ .
- Moreover, we know that

$$\mathbf{y}_{s} = \frac{\mathbf{x}_{s} - \sigma_{s} \boldsymbol{\xi}}{\alpha_{s}}$$

$$= \frac{1}{\alpha_{s}} \mathbf{x}_{s} - \frac{\sigma_{s}}{\alpha_{s}} \boldsymbol{\xi}$$

$$= \frac{1}{\alpha_{s}} \left[ \frac{\sigma_{s}}{\sigma_{t}} \mathbf{x}_{t} + \left( \alpha_{s} - \alpha_{t} \frac{\sigma_{s}}{\sigma_{t}} \right) \mathbf{f}_{\phi}(\mathbf{x}_{t}, t) \right] - \frac{\sigma_{s}}{\alpha_{s}} \boldsymbol{\xi}$$

$$= \left( 1 - \frac{\sigma_{s}}{\alpha_{s}} \frac{\alpha_{t}}{\sigma_{t}} \right) \mathbf{f}_{\phi}(\mathbf{x}_{t}, t) + \frac{\sigma_{s}}{\alpha_{s}} \left( \frac{\mathbf{x}_{t}}{\sigma_{t}} - \boldsymbol{\xi} \right)$$

$$= \left( 1 - \frac{\sigma_{s}}{\alpha_{s}} \frac{\alpha_{t}}{\sigma_{t}} \right) \mathbf{f}_{\phi}(\mathbf{x}_{t}, t) + \frac{\sigma_{s}}{\alpha_{s}} \frac{\alpha_{t}}{\sigma_{t}} \left( \frac{\mathbf{x}_{t} - \sigma_{t} \boldsymbol{\xi}}{\alpha_{t}} \right)$$

$$= \left( 1 - \frac{\sigma_{s}}{\alpha_{s}} \frac{\alpha_{t}}{\sigma_{t}} \right) \mathbf{f}_{\phi}(\mathbf{x}_{t}, t) + \frac{\sigma_{s}}{\alpha_{s}} \frac{\alpha_{t}}{\sigma_{t}} \left( \frac{\mathbf{x}_{t} - \sigma_{t} \boldsymbol{\xi}}{\alpha_{t}} \right)$$

$$= \left( 1 - \frac{\sigma_{s}}{\alpha_{s}} \frac{\alpha_{t}}{\sigma_{t}} \right) \mathbf{f}_{\phi}(\mathbf{x}_{t}, t) + \frac{\sigma_{s}}{\alpha_{s}} \frac{\alpha_{t}}{\sigma_{t}} \mathbf{y}_{t}.$$

Define  $\lambda_t = -\log(\alpha_t/\sigma_t)$ , we have that the above equation can be rewritten as:

$$\mathbf{y}_s = (1 - e^{\lambda_s - \lambda_t}) \mathbf{f}_{\phi}(\mathbf{x}_t, t) + e^{\lambda_s - \lambda_t} \mathbf{y}_t.$$

• The above equation can be turned into an ODE.

$$\mathbf{y}_s - \mathbf{y}_t = (1 - e^{\lambda_s - \lambda_t}) \mathbf{f}_{\phi}(\mathbf{x}_t, t) - (1 - e^{\lambda_s - \lambda_t}) \mathbf{y}_t$$
$$\mathbf{y}_s - \mathbf{y}_t = (1 - e^{\lambda_s - \lambda_t}) [\mathbf{f}_{\phi}(\mathbf{x}_t, t) - \mathbf{y}_t].$$

Dividing both sizes by s-t and taking the limit as  $s \to t^-$ , we have that

$$\lim_{s \to t^{-}} \frac{\mathbf{y}_{s} - \mathbf{y}_{t}}{s - t} = \left(\lim_{s \to t^{-}} \frac{1 - e^{\lambda_{s} - \lambda_{t}}}{s - t}\right) \left[\mathbf{f}_{\phi}(\mathbf{x}_{t}, t) - \mathbf{y}_{t}\right]$$
$$\frac{d\mathbf{y}_{t}}{dt} = \left(\lim_{s \to t^{-}} \frac{1 - e^{\lambda_{s} - \lambda_{t}}}{s - t}\right) \left[\mathbf{f}_{\phi}(\mathbf{x}_{t}, t) - \mathbf{y}_{t}\right].$$

The limit on the RHS is an indeterminate form 0/0, so we will apply l'Hôpital's rule.

$$\lim_{s\to t^-}\frac{1-e^{\lambda_s-\lambda_t}}{s-t}=\lim_{s\to t^-}\frac{\{1-e^{\lambda_s-\lambda_t}\}'}{\{s-t\}'}=\lim_{s\to t^-}\frac{-e^{\lambda_s-\lambda_t}\{\lambda_s-\lambda_t\}'}{1}=\lim_{s\to t^-}\frac{-e^{\lambda_s-\lambda_t}\lambda_s'}{1}=-\lambda_t'.$$

As a result,

$$\frac{\mathrm{d}\mathbf{y}_t}{\mathrm{d}t} = -\lambda_t' \big[ \mathbf{f}_{\phi}(\mathbf{x}_t, t) - \mathbf{y}_t \big].$$

The above ODE is called the **signal-ODE**. It is supposed to be integrated with the boundary condition  $\mathbf{y}_T = \boldsymbol{\xi} \sim \mathcal{N}(\mathbf{0}, I)$  with time from t = T to t = 0. Once we get  $\mathbf{y}_0$ , we can output this as a sampled data because  $\mathbf{y}_0 = \mathbf{x}_0$ .

#### 3.2 Learning

• We would like to train a neural network  $\mathbf{y}_{\theta}(\boldsymbol{\xi},t)$  that approximate  $\mathbf{y}_{t}$ . This network can be trained with the following loss:

$$\mathcal{L}_{\boldsymbol{\theta}}^{\mathrm{DE}} = E_{\boldsymbol{\xi} \sim \mathcal{N}(\mathbf{0}, I), t \sim [0, T]} \left[ \left\| \frac{\mathrm{d} \mathbf{y}_{\boldsymbol{\theta}}(\boldsymbol{\xi}, t)}{\mathrm{d}t} + \lambda'_{t} (\mathbf{f}_{\boldsymbol{\phi}}(\hat{\mathbf{x}}_{t}, t) - \mathbf{y}_{\boldsymbol{\theta}}(\boldsymbol{\xi}, t)) \right\|^{2} \right].$$

Here, we use the estimate  $\hat{\mathbf{x}}_t = \alpha_t \mathbf{y}_{\theta}(\boldsymbol{\xi}, t) + \sigma_t \boldsymbol{\xi}$ .

• While computing the time derivative  $d\mathbf{y}_{\theta}(\boldsymbol{\xi},t)/deet$  is possible with forward mode differentiation, computing the gradient through the derivative can be expensive. Hence, we can approximate the derivative with the difference equation:

$$\mathbf{y}_{\theta}(\boldsymbol{\xi}, s) \approx \mathbf{y}_{\theta}(\boldsymbol{\xi}, t) - (s - t)\lambda'_{t}(\mathbf{f}_{\phi}(\hat{\mathbf{x}}_{t}, t) - \mathbf{y}_{\theta}(\boldsymbol{\xi}, t))$$

$$= \mathbf{y}_{\theta}(\boldsymbol{\xi}, t) + (t - s)\lambda'_{t}(\mathbf{f}_{\phi}(\hat{\mathbf{x}}_{t}, t) - \mathbf{y}_{\theta}(\boldsymbol{\xi}, t))$$

$$= \mathbf{y}_{\theta}(\boldsymbol{\xi}, t) + \delta\lambda'_{t}(\mathbf{f}_{\phi}(\hat{\mathbf{x}}_{t}, t) - \mathbf{y}_{\theta}(\boldsymbol{\xi}, t))$$

where  $\delta = t - s$  is the step size.

• So, we can train the network with the following loss instead:

$$\mathcal{L}_{\pmb{\theta}}^{\mathrm{BS}} = E_{\pmb{\xi} \sim \mathcal{N}(\pmb{0},I),t \sim [\delta,T]} \bigg[ \frac{\tilde{w}_t}{\delta^2} \bigg\| \mathbf{y}_{\pmb{\theta}}(\pmb{\xi},s) - \mathtt{SG} \big[ \mathbf{y}_{\pmb{\theta}}(\pmb{\xi},t) + \delta \lambda_t' (\mathbf{f}_{\pmb{\phi}}(\hat{\mathbf{x}}_t,t) - \mathbf{y}_{\pmb{\theta}}(\pmb{\xi},t)) \big] \bigg\|^2 \bigg].$$

where  $SG[\cdot]$  is the stop-gradient operator, and  $\tilde{w}_t$  is a time-dependent weight, which is implicit in how time t is sampled.

• A challenge in training  $\mathbf{y}_{\theta}$  error accumulation: errors in prediction of  $\mathbf{y}_{t}$  might propagate to prediction of  $\mathbf{y}_{s}$  with s < t.

- The paper proposes two ways to mitigate error accumulation.
  - Sample time t uniformly, despite potential slow down in convergence.
  - Use higher-order solvers such as Heun's method to compute the expected value of  $\mathbf{y}_{\theta}(\boldsymbol{\xi}, s)$  instead of just using the Euler's method like in  $\mathcal{L}_{\theta}^{\mathrm{BS}}$ .
- Another problem that must be address is numerical issues caused by the fact that  $\lambda'_t$  is unbounded at t = T and t = 0.
  - The student model must be trained in the truncated range  $t \in [t_{\min}, t_{\max}]$ .
  - We must also ensure that the student model behaves in the same way as the teacher model at  $t_{\text{max}}$ . This is done by minimizing the loss:

$$\mathcal{L}_{\boldsymbol{\theta}}^{\mathrm{BC}} = E_{\boldsymbol{\xi} \sim \mathcal{N}(\mathbf{0}, I)} \Big[ \| \mathbf{f}_{\boldsymbol{\phi}}(\boldsymbol{\xi}, t_{\mathrm{max}}) - \mathbf{y}_{\boldsymbol{\theta}}(\boldsymbol{\xi}, t_{\mathrm{max}}) \|^{2} \Big]$$

• As a result, the overall training loss for BOOT is  $\mathcal{L}_{\theta} = \mathcal{L}_{ves\theta}^{BS} + \beta \mathcal{L}_{\theta}^{BC}$  where  $\beta$  is a hyperparameter.

## 3.3 Adapting to Guided Diffusion Models

• Conditional diffusion models  $\mathbf{f}_{\phi}(\mathbf{x}_t, t, \mathbf{c})$  are often trained to be able to perform classifier-free guidance:

$$\tilde{\mathbf{f}}_{\phi}(\mathbf{x}_t, t, \mathbf{c}) = \mathbf{f}_{\phi}(\mathbf{x}_t, t, \emptyset) + w(\mathbf{f}_{\phi}(\mathbf{x}_t, t, \mathbf{c}) - \mathbf{f}_{\phi}(\mathbf{x}_t, t, \emptyset))$$

where w is the guidance scale, and  $\emptyset$  denotes a conditioning input that makes the model unconditional.

- It is straightforward to train a (conditional) student model that follows the behavior of a teacher model with classifier free guidance. We can either
  - Fix the guidance scale w and use  $\tilde{\mathbf{f}}_{\phi}(\mathbf{x}_t, t, \mathbf{c})$ .
  - Like Meng et al. [MRG $^+$ 23], train a student model that also receives w as input. (However, this requires architecture change.)

## 4 Experiments

- The authors performed experiments on the following datasets:
  - FFHQ  $64 \times 64$
  - Class-conditional ImageNet  $64 \times 64$ .
  - LSUN Bedroom  $256 \times 256$ .
- For class-conditional ImageNet, the paper evaluates the student model on random guidance scale in the range  $w \in [1, 5]$ .
- The authors also distilled two text-to-image models: DeepFloyd-IF and Stable Diffusion.
  - Text prompts were obtrained from DiffusionDB [WMM<sup>+</sup>23].
- In most experiments, the student model  $\mathbf{y}_{\theta}$  would have the same architecture as the teacher model  $\mathbf{f}_{\theta}$ , and the parameters of the student model would be initilized to those of the teacher models.
- The exception to the above practice is when the model must also be conditioned on the guidance scale w (i.e., class-conditional ImageNet). When this is the case, w is taken into account via ADAIN layers.

- When looking at FID scores on the three datasets, BOOT-distilled models does not perform as well as 50-step DDIM sampler but better than 10-step DDIM sampler.
- Without the boundary condition loss, the student model's outputs are consistently sharp across time steps, indicating mode collapse.
- We may train a BOOT model by progressively decreasing time during training. However, the paper found that this progressive-time scheme leads to more artifacts. The authors surmise that progressive-time training tends to accumulate irreversible errors.

## References

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