# **Bringing Portraits to Life**

- PDF link
- This is a SIGGRAPH Asia 2017 paper.
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#### Abstract

- Input
  - · A driving face video
  - A single target face image
- Output
  - The video of the person in the target image acting with the motion of the driving video.
- Technique
  - Animate by 2D warps that imitate the facial movement in the source video.
  - Add fine-scale dynamic details for creases and wrinkles.
  - Hallucinate the inner of the mouth.

### Introduction

- The selling point of this paper is that it uses only a single target face image.
  - Previous papers assume a video or a collection of images of the target face.
- The paper uses "lightweight" 2D warps to transform face.
  - No construction of 3D model.
  - What is possible are only moderate facial movements.
    - I guess this means frontal to profile/side view transform.
  - Correspondences are established by facial landmarks.
    - There's a reliance on facial landmark detectors.
- Unique features.
  - Adding details such as wrinkles and creases.
  - Hallucinating hidden areas, especially the inside of the mouth.
- Novel application: reactive profiles
  - Think of the moving portraits from Harry Potter.

### **Previous Works**

- Some previous papers that also use "lightweight" 2D morphs.
  - Perspective-aware Manipulation of Portrait Photos

- Manipulate camera viewpoint from a single image.
- Real-time facial reenactment.
- Data-driven enhancement of facial attractiveness
- Expression flow for 3D-aware face component transfer
- Papers that create use 3D models for creating animation from human photos.
  - A morphable model for the synthesis of 3D faces
    - The first paper that fits a morphable model to photo.
    - The model can then be manipulated to change pose and appearance.
  - Reanimating Faces in Images and Video
    - Fits model to photo and then manipulate the mouth region.
  - Automatic 3D Face Reconstruction from Single Images or Video
    - Automatic pipeline for fitting morphable model to a single image.
  - Automated 3D Face Reconstruction from Multiple Images using Quality Measures
    - This one uses multiple images.
    - It observes that, if one image is used, manual initialization is needed.
- Papers that require a target video as input.
  - Video Face Replacement
  - Face transfer with multilinear models
    - Edit 3D mesh of generated from the target video.
  - A Data-driven Approach for Facial Expression Synthesis in Video
    - Use facial performance database to generate output video.
  - Being John Malkovich
    - Uses image search for animation.
    - Only works if the target person has many images or videos to search from.
  - VDub: Modifying Face Video of Actors for Plausible Visual Alignment to a Dubbed Audio Track
    - Generating mouth movement from speech.
- Works that involve non-human avatars.
  - Real-time avatar animation from a single image
    - Local PDF
    - I should read and cite this paper.
  - Mood Swings: Expressive Speech Animation
    - Extract expression from video and transfer to avatar.
- Sophisticated capture methods
  - Real-Time High-Fidelity Facial Performance Capture
  - Real-Time Facial Segmentation and Performance Capture from RGB Input
- Some other cited papars.
  - Semantic Facial Expression Editing using Autoencoded Flow
  - FaceWarehouse: a 3D Facial Expression Database for Visual Computing
    - The Averbuch-Elor et al. paper says that this paper introduces videoto-image retargetting.

- Gaze Correction for Home Video Conferencing
- <u>DeepWarp: Photorealistic Image Resynthesis for Gaze Manipulation</u>
- <u>Data-Driven Speech Animation Synthesis Focusing on Realistic Inside of the Mouth</u>
- Automatic Cinemagraph Portraits
  - Remove camera shake and large movements to create relatively still moving portraits.
- Facial Expression Editing in Video Using a Temporally-Smooth Factorization
  - Exaggerating and attenuating expressions in some parts of a video.

#### **Overview**

- · The algorithm
  - 1. The paper first extracts landmarks in the source video and the target images.
    - There are two types of landmarks:
      - Face landmarks
      - Non-face landmarks → in order to animate the whole moving head
  - 2. After landmarks are extract, correspondences between source frames and target image are established.
    - A correspondence map is a vector field that tells that tells, for each pixel in the warped image, where in the original image should come from.
    - Hence, correspondence spans over the entire image, not just the face.
    - The paper expands correspondence from pairs of landmark positions to a vector field over the image.
    - When generating correspondence, the paper treat high-confidence regions (i.e., around face landmarks) differently from low-confidence regions (i.e., any other areas),
    - The paper also maintains correspondence over time.
  - 3. 2D warps are then generated from the correspondence and applied to the target image.
    - The output of this step is called the coarse target video.
  - 4. Hidden regions and fine-scale details are then added to the warped image.
    - Inner mouth region and wrinkles are transferred from the source video to the target video.

### **Coarse Target Video Generation**

- Inputs
  - Target image  $t^*$ .
  - Driving video S.
    - The *i*th frame is called  $s_i$ .

- Output
  - A video T which maintains the identity of  $t^*$  but has the movement of S.
    - The ith frame is denoted by  $t_i$ .
- Assumptions
  - $t^*$  has a neutral expression.
    - · Mouth is closed.
  - S has a frame  $s^*$  with neutral expression.
    - This is generally assumed to be the first frame  $s_0$ , but this can be changed by manual choosing.
    - Since  $s_0$  is defined in such a way, we shall assume that the 0th frame of the output video would be  $t^*$  itself. In other words,  $t_0 = t^*$ .
- The aligning transformation  $\phi$ 
  - $t^*$  is not aligned to  $s^*$ .
  - So the paper generates a transformation  $\phi$  that compensates for it.
  - This is done by first using <u>Dlib</u> to detect 68 facial landmarks in the two images.
  - The paper then finds a rotate-and-scale transformation (no translation?) that minimizes the square distance between the landmarks in the eye regions and the tip of the nose.
  - From reading the paper, it seems that  $\phi$  aligns the  $s^*$  to  $t^*$ , not the other way around.
- For each source video frame and the target image, we extract landmarks.
  - Landmark positions are denoted by bold letter p.
    - $p_i^s$  = landmarks in the *i*th source frame.
    - $p_i^s$  = landmarks in the *i*th target frame (which is the output).
  - There are two types of landmarks.
    - Face landmarks = the 68 face landmarks computed by <u>Dlib</u>.
      - There's a paper for this if you want to cite it. Link
    - · Peripheral landmarks.
      - The points are obtained by two means.
        - Tracking points in the source video. The paper uses a simple optical flow tracking algorithm. <u>Link</u>
        - Points on the image boundary that do not move throughout the video.
      - Note that the method above only generate peripheral landmarks in the frames of S. It does not generate peripheral landmarks in  $t^{\ast}$
      - To generate peripheral landmarks in  $t^*$ , the then hallucianate them by applying  $\phi$  to the peripheral landmarks in a source target frame.
        - It is not clear whether  $\phi$  is applied to  $\mathbf{p}_0^s$  or  $\mathbf{p}_i^s$  for each i separately.
        - IMHO, p<sub>0</sub><sup>s</sup> makes more sense.

- The landmark positions in the target frame  $p_i^t$  are computed as follows.
  - We just previously discussed how to compute  $p_0^t$ , which are landmarks in the 0th frame.
  - For other frames, the paper computes:

$$\mathbf{p}_i^t = \mathbf{p}_0^t + \phi \cdot (\mathbf{p}_i^s - \mathbf{p}_0^s).$$

- $p_i^s p_0^s$  is the offset of the landmarks in the source frames relative to the neutral frame.
- · Dense warp field computation
  - The paper computes a Delaunay triangulation using  $p_0^s$ .
  - The topology can then be imposed on  $\mathbf{p}_0^t$  and  $\mathbf{p}_i^t$  for all i.
  - By moving the points from  $\mathbf{p}_0^t$  to  $\mathbf{p}_i^t$  and moving the pixels inside the triangles as if the pixels are texture mapped onto the triangles, we have created a piecewise linear warp field from the original target image  $t_0 = t^*$  to the target frame  $t_i$ .
- · Confidence-based warping
  - The authors observed that the warping above works well only in the face region where the landmarks are reliable.
  - Outside the regions, weird things such as straight lines in the background might be warped incorrectly.
  - To alleviate this, the paper convolves the warp field with a blurring kernel whose radius increases away from the face region.
    - This blurs the warp field as we move away from the face region.
  - The paper uses blurring kernels with 10 radius values in the range  $[0,0.05 \times S_{diag}]$  where  $S_{diag}$  is the size of the image diagonal.

## **Transferring Mouth Interior**

- Algorithm
  - 1. The paper first aligns the frame  $s_i$  with  $t_i$  using the warping procedure in the previous section.
  - 2. Then, it crops the mouth interior region from the source frame.
  - 3. To make sure that the crop strictly involves the inside of the mouth, the paper erodes by radius  $0.1 \times h_{mouth}$  where  $h_{mouth}$  is the height (in pixels) of the mouth in the target frame.
  - 4. The crop is then alpha blended into the target frame.
  - 5. Poisson blending is then applied to merge the crop to the target frame.
- The mouth interior is transferred only when the mouth size in  $s_i$  is significantly bigger than in  $t^*$ .
  - Let  $a_{mouth}^*$  be the area (in pixels) of the mouth interior in  $t^*$ .
  - Let  $a_{mouth}^i$  be the same for  $t_i$ .
  - ullet The mouth interior is transferred only when  $a_{mouth}^i>2a_{mouth}^*$  .

• To make the change smooth, the paper linearly blends between the two mouths when the size is in the range  $[a_{mouth}^*, 2a_{mouth}^*]$ .

### **Transferring Fine-Scale Details**

- The fine details considered include:
  - Shading changes included by wrinkles around the eyes when smiling.
  - Creases alongside a smiling mouth.
- The transfer is based on the technique by Liu et al. [2001]
  - The paper deals with transferring appearance changes due to a change in a person's expression.
  - We have a neural face of a person in an image  $I_a$ .
  - To change the person's expression, we warp the face to obtain another image  $I_b$  with the facial parts in the right positions.
    - However, geometric warping does not include the fine-detail changes above.
  - We then need another image  $\tilde{I}_{a_l}$  which will donate the appearance to  $I_b$ .
  - We first warp  $\tilde{I}_a$  so that it aligns with  $I_a$ .
  - Then, we compute the expression ratio image (ERI):

$$R=rac{f({ ilde I}_a)}{f(I_a)}$$

where f is some generic function such as computing the luminance channel.

• The warped image  $I_b$  is then transformed to  $\tilde{I}_b$  according to

$${ ilde I}_b=R imes I_b.$$

Here, R has been warped so that it now aligns with  $I_b$ .

- In the context of the Averbuch-Elor et al. paper, we have that:
  - The neural source frame s is  $I_a$ .
  - The frame  $s_i$  is the image  $\tilde{I}_{a_i}$  which would donate the appearance.
  - The target frame  $t_i$  is the warped image  $I_b$ .
- The authors observed that applying the ERI everywhere causes the following problems.
  - 1. Certain areas of the resulting image may become saturated.
  - 2. The resulting image can include outliers.
    - Inappropriate shadowing caused by the nose or other misalignments.
  - 3. Temporal instability.
  - 4. Artifacts may appear in the eye or the background.
- The authors then discusses how to deal with these problems.
  - 1. For the saturation problem, they tune down pixels with R>1 by multiplying it with a constant factor of 0.01.
  - 2. For the artifact problems outside the face, the paper estimate the face region and only apply the ERI there. The region is estimated by the following

two-step process.

- Fitting an ellipse to the points along the chin.
- Use the ellipse as an initial estimate for the Grab-Cut optimization to find a more accurate face region.
- 3. For the outlier problem, the paper detects and removes them.
  - The paper does not perform outlier detection for each frame separately.
  - Instead, it performs outlier detection in a reference frame  $s_{ref}$ , which is the most different from the neutral frame  $s^*$ .
    - To find the reference frame, the paper computer computes a transformation  $\phi_i$  (consisting of a scale, a rotation, and perhaps a translation) that minimizes the distance between  $\phi_i(\mathbf{p}_i^s)$  and  $\mathbf{p}_0^s$ .
    - The paper then computes the L2 difference between  $\phi_i(\mathbf{p}_i^s)$  and  $\mathbf{p}_0^s$ .
    - The reference frame is the frame where the L2 difference above is maximum.
  - Once the reference frame has been determined, the paper detects outliers in it.
    - The paper first identify pixels with significant expression ratio values.
      - Significant values are those that are less than 1/1.1 and more than 1.1.
    - The paper would then find connected components of these significant pixels (based on the 8 neighbors of each pixel).
    - For each pixel in the component:
      - The algorithm considers the  $20 \times 20$  region around it.
      - For each pixel in this region, it considers the  $3\times 3$  neighborhood of each pixel and compute the maximum RGB pixel difference in the neighborhood.
      - Then, it computes the minimum of the maxima above in the  $20 \times 20$  region.
    - With the above step, we have a minimum of maximum RGB pixel difference at each pixel in the component.
    - The algorithm then finds the average of the minima over the component.
    - If the average of the minima is less than 5, then the algorithm considers the component an outlier.
  - The footprints of the outlier components are then propagated to the other frames in the output video.
  - For the pixels close enough to the outlier component footprints (within 20 pixels radius), the ERI is set to 1, nullifying the expression ratio's effects.

- To increase the temporal stability of the ERI, the paper Gaussian blurs the aligned ERIs temporally over 21 frames.
- This is a convoluted and poorly described algorithm.