

# Energy Flow Networks: Deep Sets for Particle Jets

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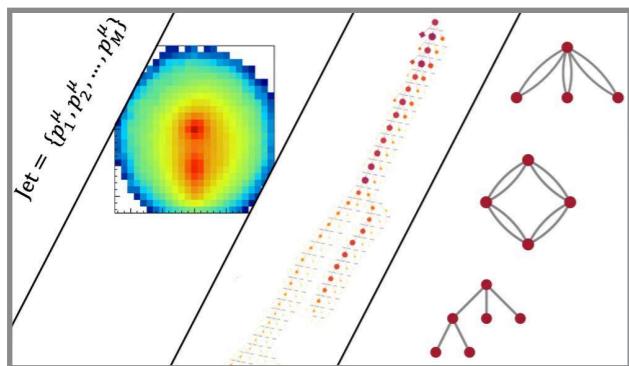
Machine Learning for Jet Physics Workshop

Fermilab, Illinois – 11/15/2018

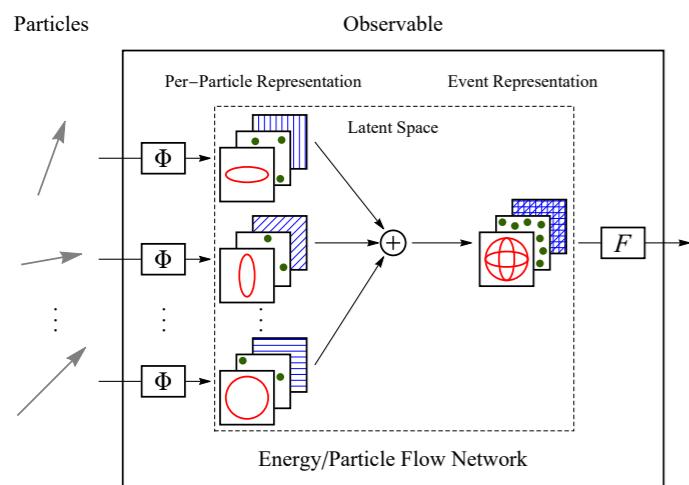
Based on work with Eric Metodiev and Jesse Thaler

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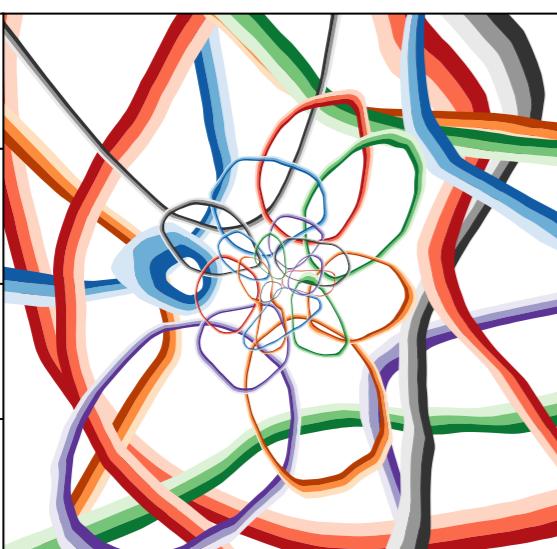
<https://energyflow.network>



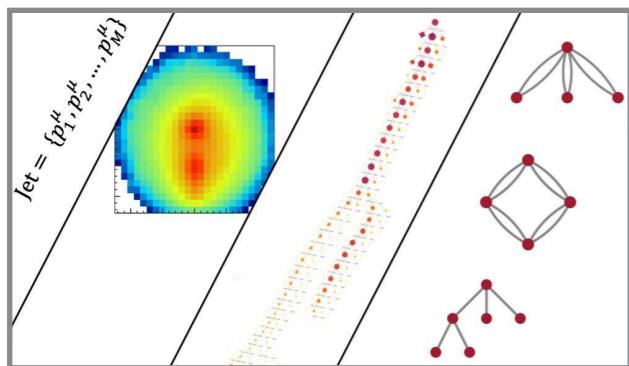
## Jets as Point Clouds



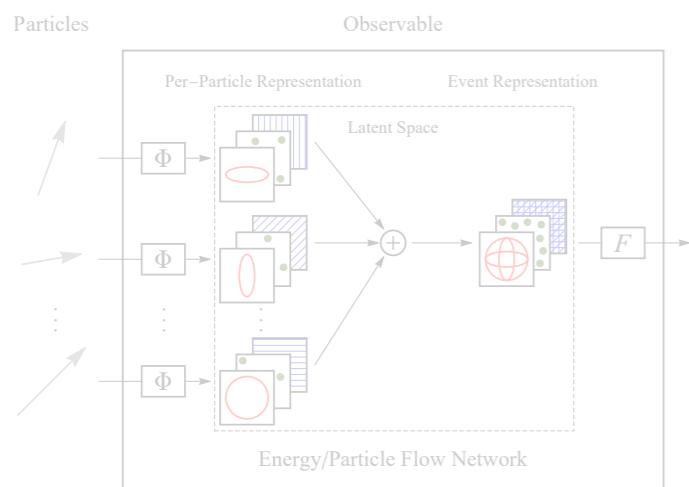
## Energy Flow Networks



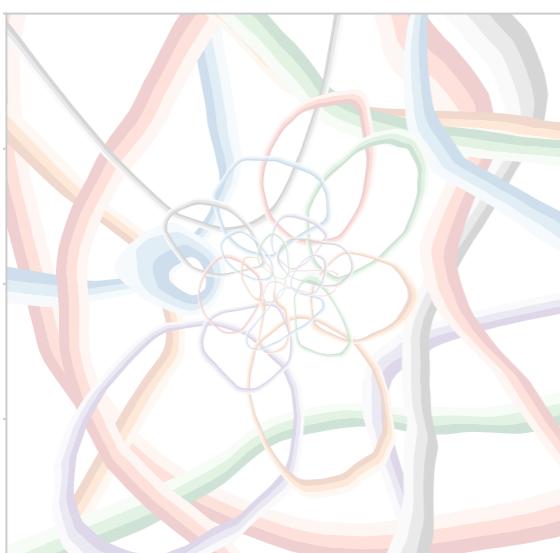
## Quark vs. Gluon Tagging



## Jets as Point Clouds



## Energy Flow Networks



## Quark vs. Gluon Tagging

# What is a Jet?

An **unordered, variable length collection of particles**

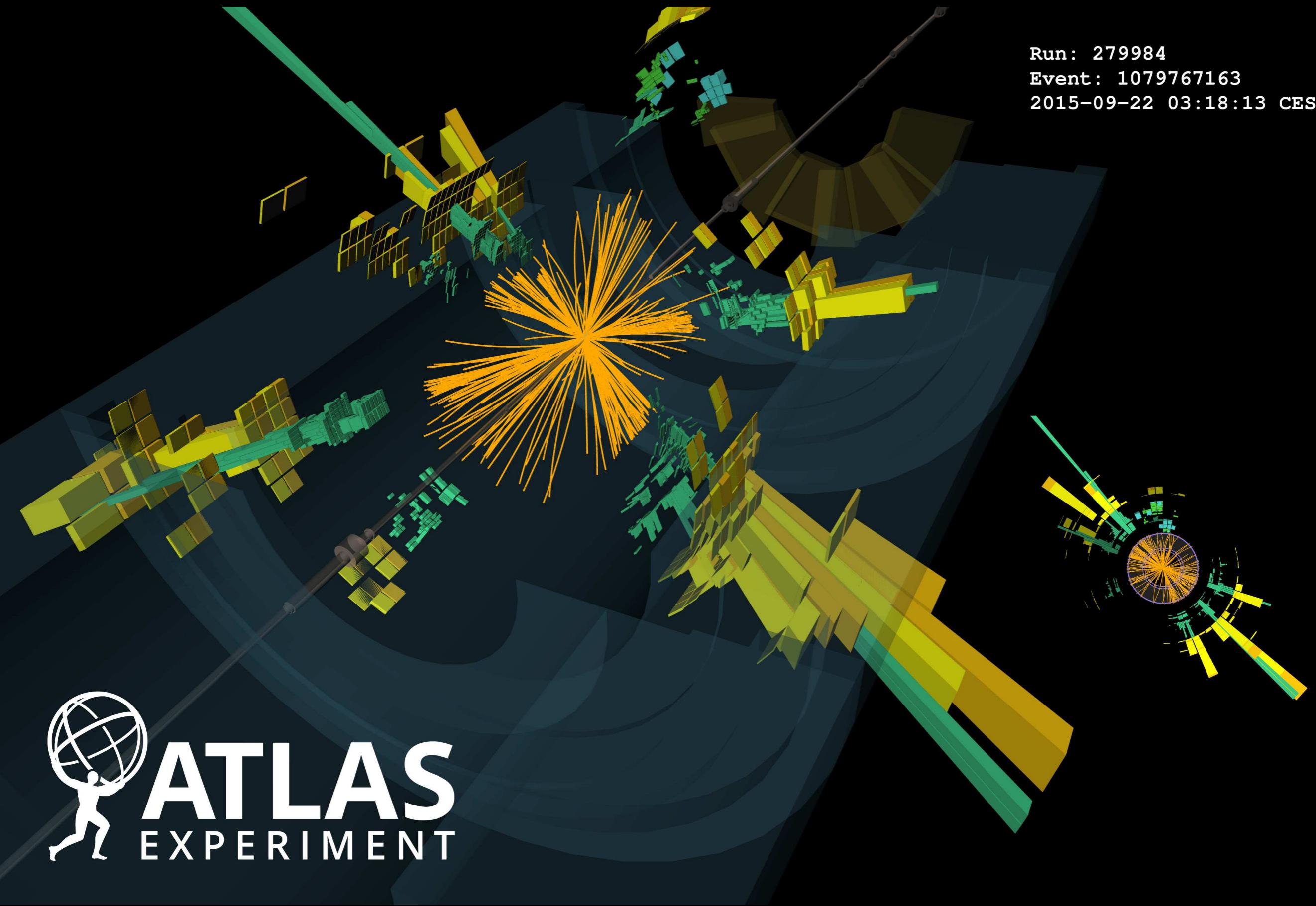
Due to quantum-mechanical indistinguishability  
Due to probabilistic nature of jet formation

$$J(\{p_1^\mu, \dots, p_M^\mu\}) = J(\{p_{\pi(1)}^\mu, \dots, p_{\pi(M)}^\mu\}), \quad \underbrace{M \geq 1}_{\text{Multiplicity}}, \quad \underbrace{\forall \pi \in S_M}_{\text{Permutations}}$$

$p_i^\mu$  represents *all* the particle properties:

- Four-momentum –  $(E, p_x, p_y, p_z)_i^\mu$
- Other quantum numbers (e.g. particle id, charge)
- Experimental information (e.g. vertex info, quality criteria, PUPPI weights)

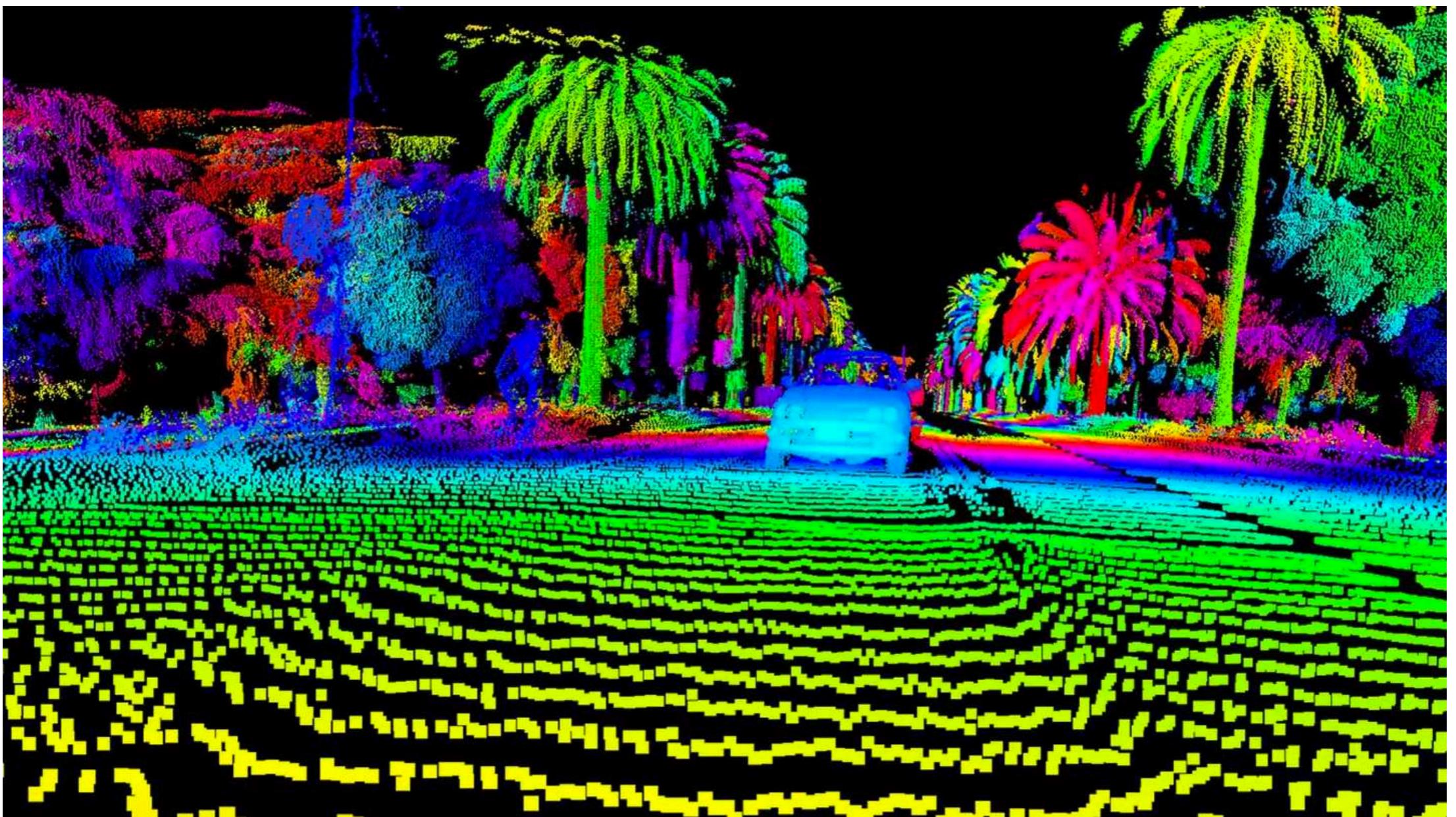
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2015-09-22 03:18:13 CEST



# Point Clouds

Point cloud: "A set of data points in space" –Wikipedia

LIDAR data from self-driving car sensor



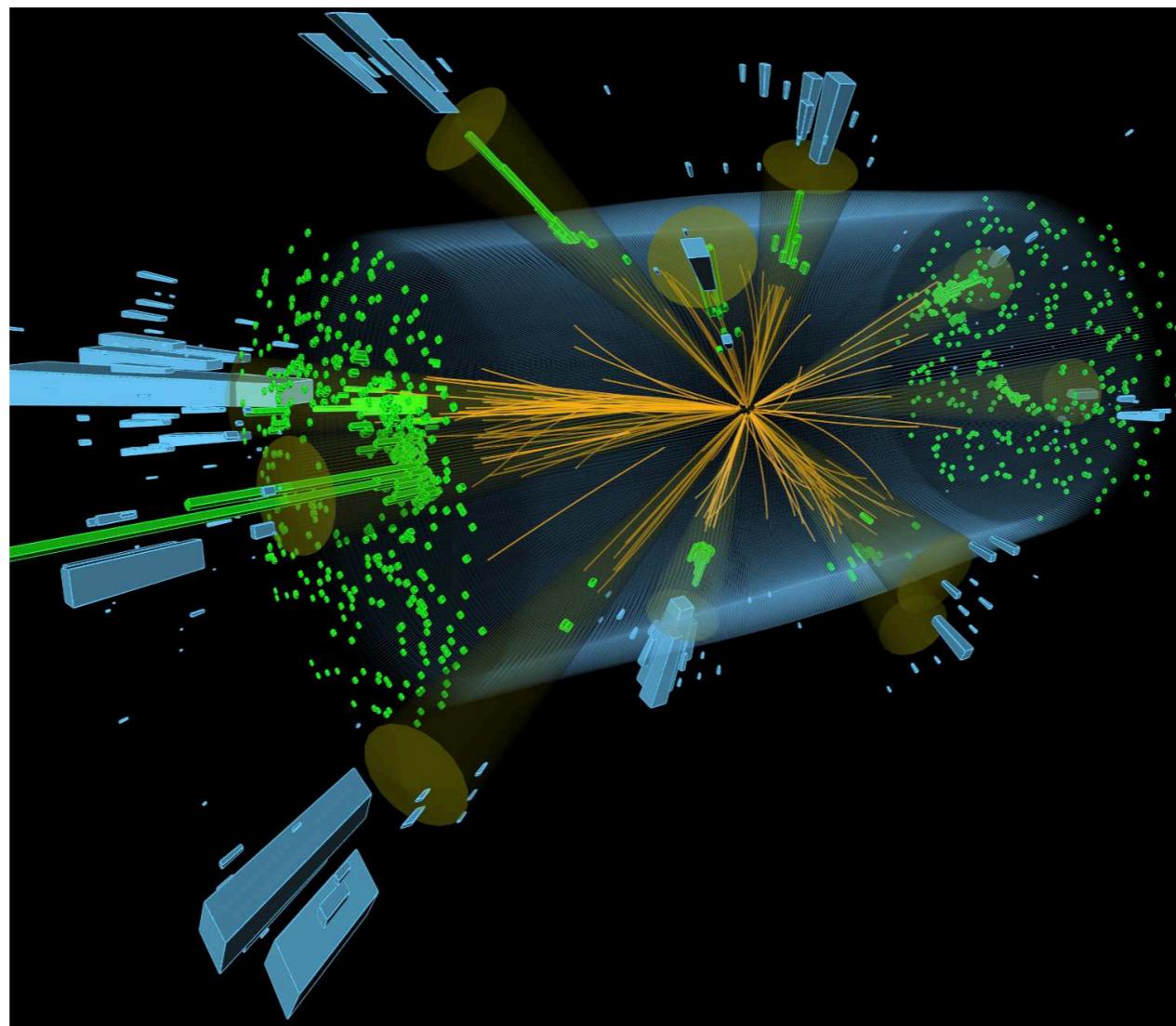
# Particle Collision Events as Point Clouds

Point cloud: "A set of data points in space" –Wikipedia

## Jet/event

## Particles

## Feature space



# Multi-jet event at CMS

# Processing Point Clouds

*Methods for processing point clouds/jets should respect the appropriate symmetries*

Variable constituent multiplicity requires at least one of:

- Preprocessing to another representation (jet images, N-subjettiness, etc.)
- Truncation to an (arbitrary) fixed size
- Recurrent NN structure

Particle permutation symmetry requires:

- Permutation symmetric observables
- Permutation symmetric architectures

# Jet Representations $\longleftrightarrow$ Analysis Tools

Two key choices when analyzing jets

## How to represent the jet

- Single expert observable
- A few expert observables
- Many expert observables
- Jet images
- List of particles
- Clustering tree
- $N$ -subjettiness basis

## How to analyze that representation

- Threshold cut
- Multidimensional likelihood
- Boosted decision tree (BDT), shallow neural network (NN)
- Convolutional NN (CNN)
- Recurrent/Recursive NN (RNN)
- Fancy RNN
- Dense neural network (DNN)

Energy flow polynomials

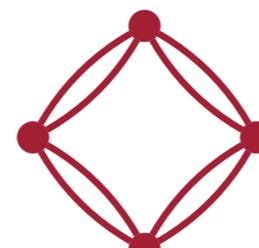
PTK ML4Jets 2017

Linear classification

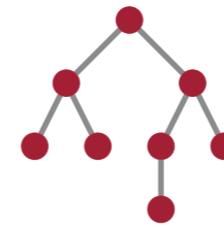
Set of particles

PTK ML4Jets 2018

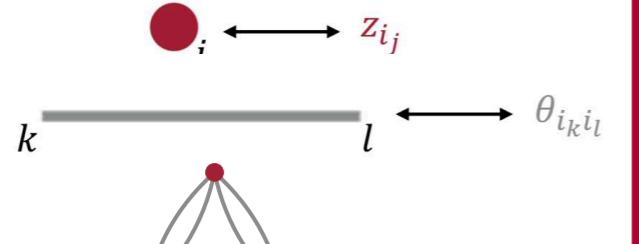
Energy flow network



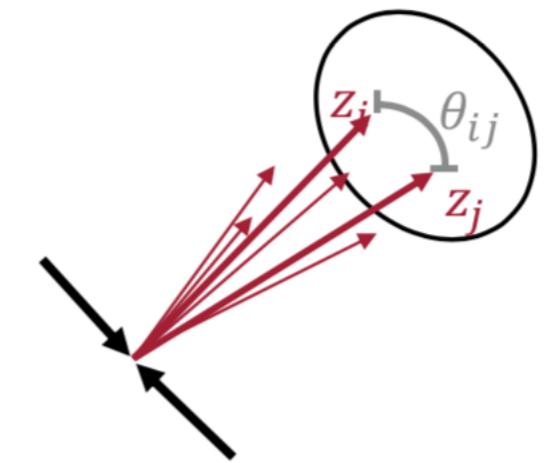
# Energy Flow Polynomials (EFPs)



[PTK, Metodiev, Thaler, [1712.07124](#)]

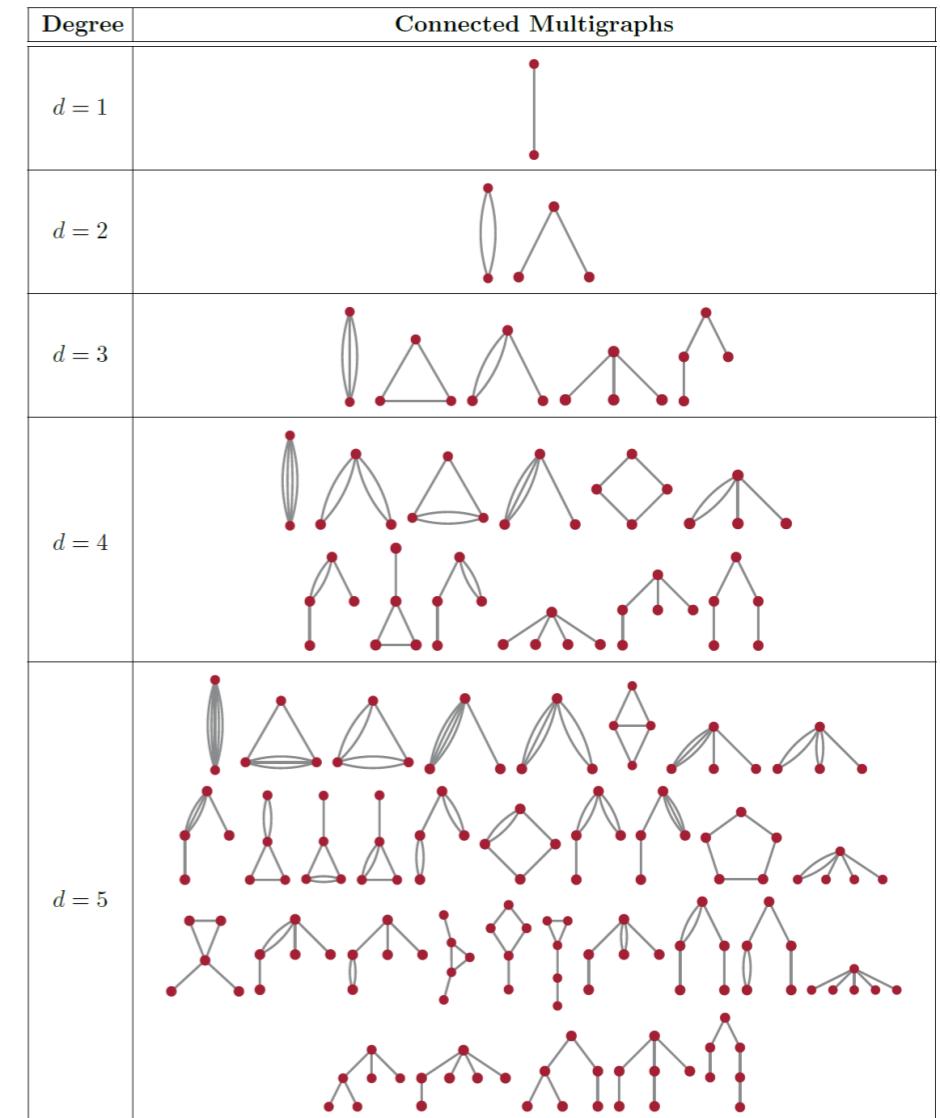
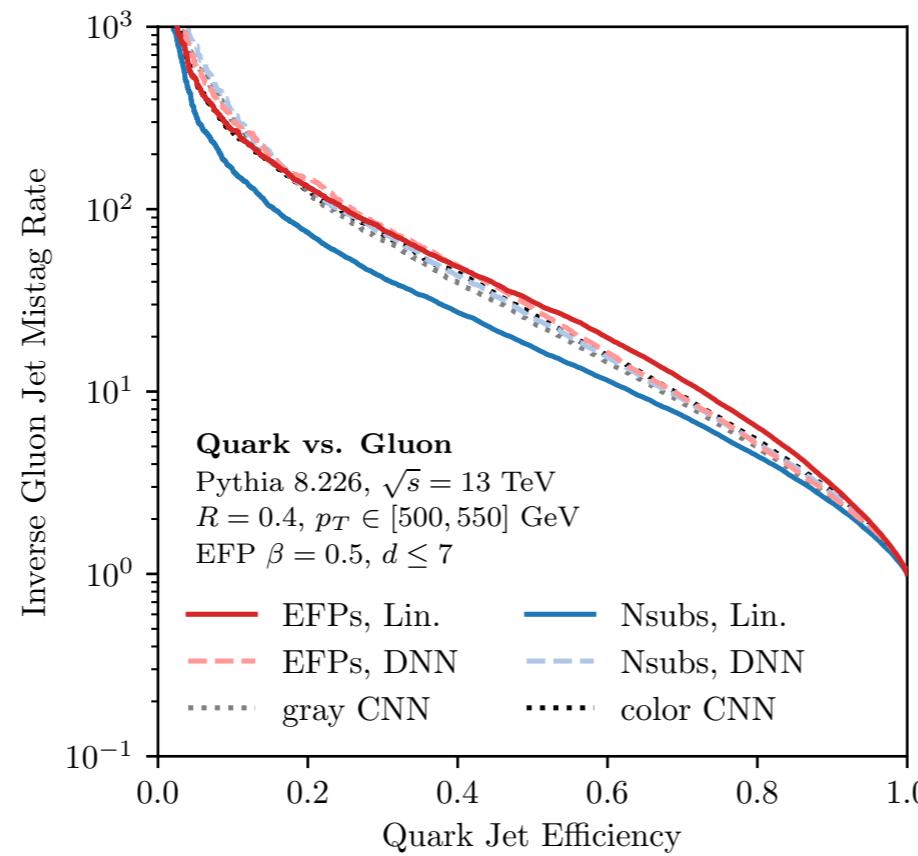
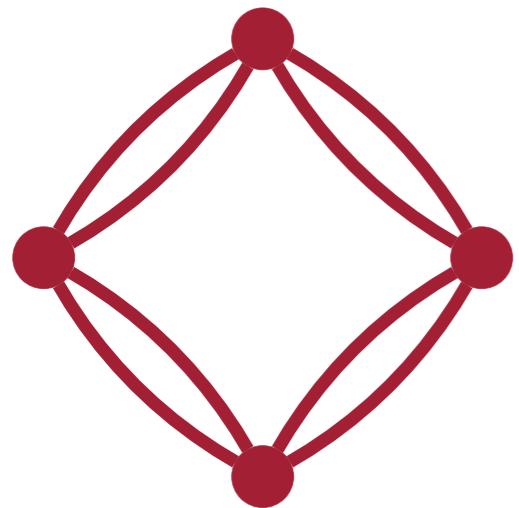


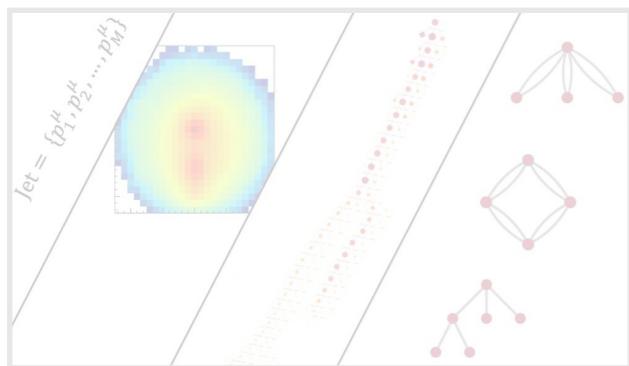
$$\text{EFP}_G = \underbrace{\sum_{i_1=1}^M \cdots \sum_{i_N=1}^M}_{\text{Correlator of Energies}} \underbrace{z_{i_1} \cdots z_{i_N}}_{\text{and Angles}} \prod_{(k,\ell) \in G} \theta_{i_k i_\ell}$$



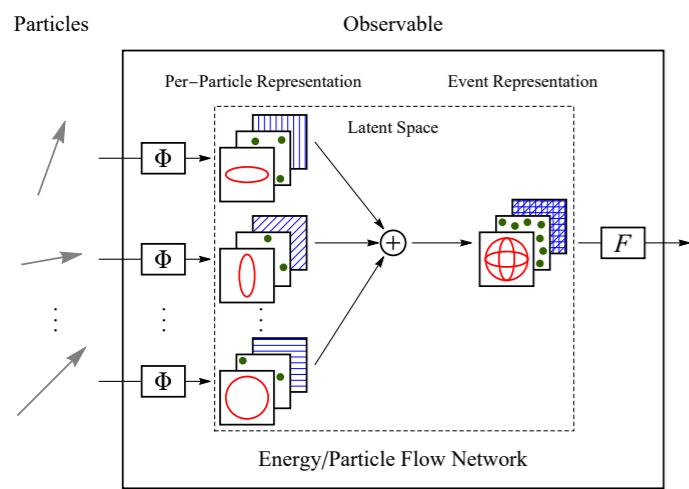
$$= \sum_{i_1=1}^M \sum_{i_2=1}^M \sum_{i_3=1}^M \sum_{i_4=1}^M \sum_{i_5=1}^M z_{i_1} z_{i_2} z_{i_3} z_{i_4} z_{i_5} \theta_{i_1 i_2} \theta_{i_2 i_3} \theta_{i_1 i_3} \theta_{i_1 i_4} \theta_{i_1 i_5} \theta_{i_4 i_5}^2$$

$$\mathcal{S} \simeq \sum_{G \in \mathcal{G}} s_G \text{EFP}_G, \quad \mathcal{G} \text{ a set of multigraphs}$$

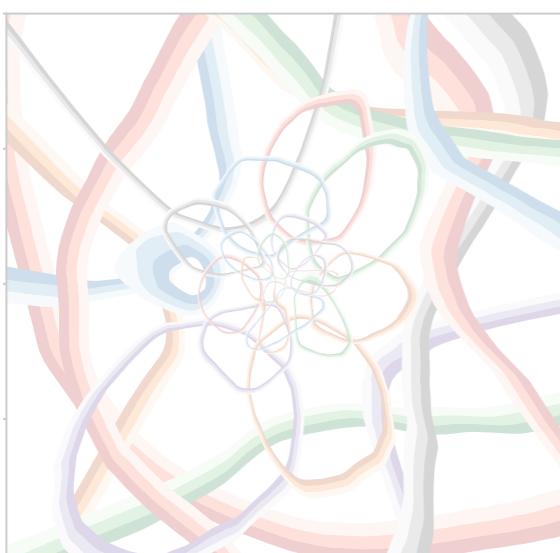




## Jets as Point Clouds



## Energy Flow Networks



## Quark vs. Gluon Tagging

# Deep Sets

Namespace for symmetric function parametrization

A general permutation-symmetric function is *additive* in a latent space

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## Deep Sets

[[1703.06114](#)]

Manzil Zaheer<sup>1,2</sup>, Satwik Kottur<sup>1</sup>, Siamak Ravanbakhsh<sup>1</sup>,  
Barnabás Póczos<sup>1</sup>, Ruslan Salakhutdinov<sup>1</sup>, Alexander J Smola<sup>1,2</sup>  
<sup>1</sup> Carnegie Mellon University      <sup>2</sup> Amazon Web Services

**Deep Sets Theorem [63].** Let  $\mathfrak{X} \subset \mathbb{R}^d$  be compact,  $X \subset 2^{\mathfrak{X}}$  be the space of sets with bounded cardinality of elements in  $\mathfrak{X}$ , and  $Y \subset \mathbb{R}$  be a bounded interval. Consider a continuous function  $f : X \rightarrow Y$  that is invariant under permutations of its inputs, i.e.  $f(x_1, \dots, x_M) = f(x_{\pi(1)}, \dots, x_{\pi(M)})$  for all  $x_i \in \mathfrak{X}$  and  $\pi \in S_M$ . Then there exists a sufficiently large integer  $\ell$  and continuous functions  $\Phi : \mathfrak{X} \rightarrow \mathbb{R}^\ell$ ,  $F : \mathbb{R}^\ell \rightarrow Y$  such that the following holds to an arbitrarily good approximation:<sup>1</sup>

$$f(\{x_1, \dots, x_M\}) = F \left( \sum_{i=1}^M \Phi(x_i) \right)$$

# Deep Sets

Namespace for symmetric function parametrization

A general permutation-symmetric function is *additive* in a latent space



## Deep Sets

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Feature space

Permutation  
invariance

Variable length

Latent space

**Deep Sets Theorem [63].** Let  $\mathfrak{X} \subset \mathbb{R}^d$  be compact,  $X \subset 2^{\mathfrak{X}}$  be the space of sets with bounded cardinality of elements in  $\mathfrak{X}$ , and  $Y \subset \mathbb{R}$  be a bounded interval. Consider a continuous function  $f : X \rightarrow Y$  that is invariant under permutations of its inputs, i.e.  $f(x_1, \dots, x_M) = f(x_{\pi(1)}, \dots, x_{\pi(M)})$  for all  $x_i \in \mathfrak{X}$  and  $\pi \in S_M$ . Then there exists a sufficiently large integer  $\ell$  and continuous functions  $\Phi : \mathfrak{X} \rightarrow \mathbb{R}^\ell$ ,  $F : \mathbb{R}^\ell \rightarrow Y$  such that the following holds to an arbitrarily good approximation:<sup>1</sup>

$$f(\{x_1, \dots, x_M\}) = F \left( \sum_{i=1}^M \Phi(x_i) \right)$$

General parametrization for a function of sets

# Deep Sets for Particle Jets

[PTK, Metodiev, Thaler, [1810.05165](#)]

*Particle Flow Network (PFN)*

$$\text{PFN}(\{p_1^\mu, \dots, p_M^\mu\}) = F \left( \sum_{i=1}^M \Phi(p_i^\mu) \right)$$

Fully general latent space

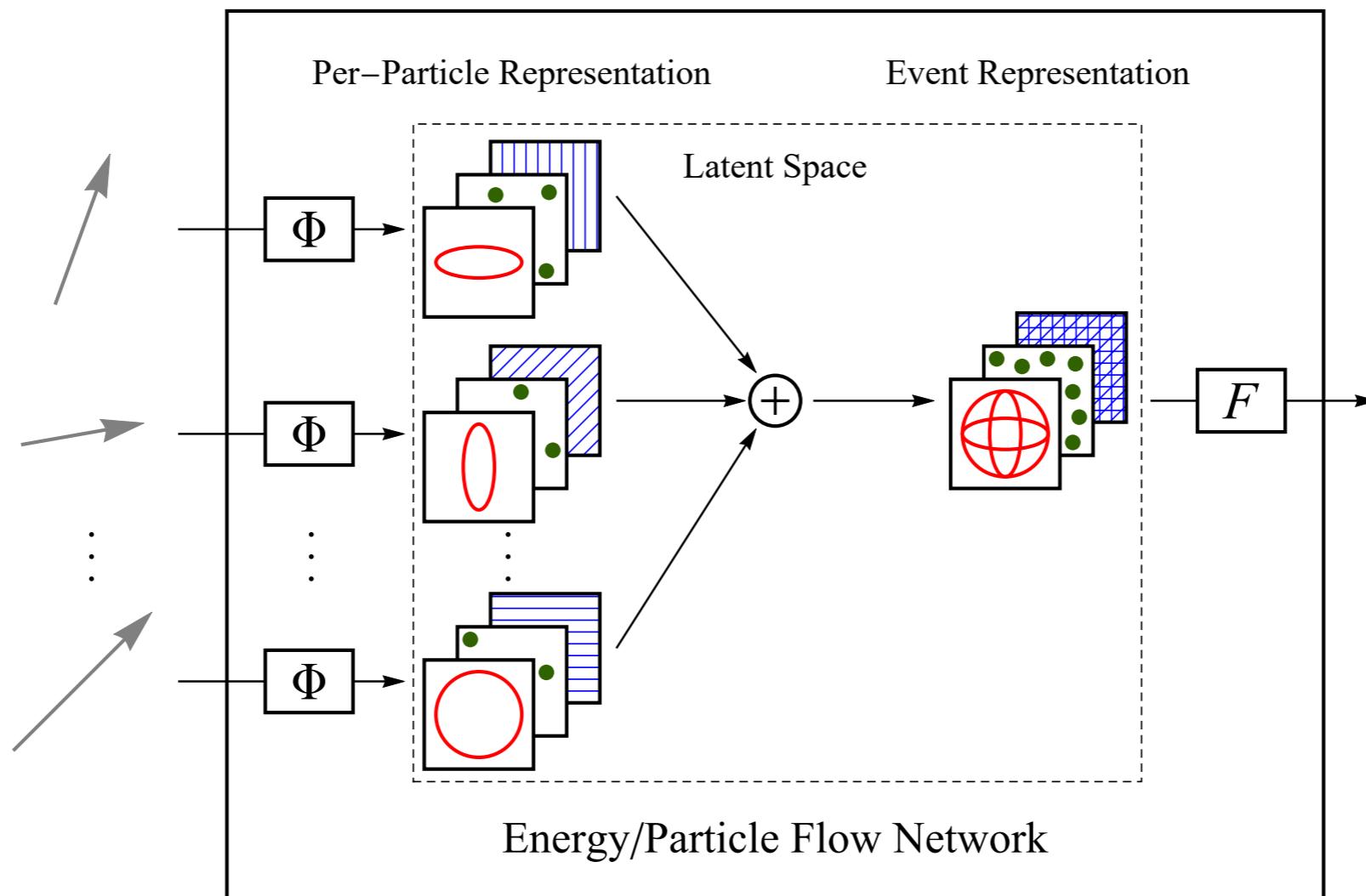
*Energy Flow Network (EFN)*

$$\text{EFN}(\{p_1^\mu, \dots, p_M^\mu\}) = F \left( \sum_{i=1}^M z_i \Phi(\hat{p}_i) \right)$$

**IRC**-safe latent space

Particles

Observable



# Latent Space IRC Safety

Latent space defines new physics observables

IRC safety is a key theoretical *and experimental* property of observables

QCD has soft and collinear divergences associated with gluon radiation



$$dP_{i \rightarrow ig} \simeq \frac{2\alpha_s}{\pi} C_a \frac{d\theta}{\theta} \frac{dz}{z} \quad \begin{aligned} C_q &= C_F = 4/3 \\ C_g &= C_A = 3 \end{aligned}$$

Infrared (IR) safety – observable is unchanged under addition of a soft particle

$$S(\{p_1^\mu, \dots, p_M^\mu\}) = \lim_{\epsilon \rightarrow 0} S(\{p_1^\mu, \dots, p_M^\mu, p_{M+1}^\mu\}), \quad \forall p_{M+1}^\mu$$

Collinear (C) safety – observable is unchanged under a collinear splitting of a particle

$$S(\{p_1^\mu, \dots, p_M^\mu\}) = S(\{p_1^\mu, \dots, (1-\lambda)p_M^\mu, \lambda p_{M+1}^\mu\}), \quad \forall \lambda \in [0, 1]$$

# Latent Space IRC Safety

Latent

IRC saf

QCD h

Infrared

Colline

**IRC safety** is a statement of *linearity* in energy and  
*continuity* in geometry

*Theorem:* A generic function of four-momenta can be made IRC safe via the following replacement:

$$\sum_{i=1}^M f(p_i^\mu) \rightarrow \sum_{i=1}^M z_i f(\hat{p}_i).$$

*Proof:* In [I810.05165](#).

□

$$S(\{p_1^\mu, \dots, p_M^\mu\}) = S(\{p_1^\mu, \dots, (1 - \lambda)p_M^\mu, \lambda p_{M+1}^\mu\}), \quad \forall \lambda \in [0, 1]$$

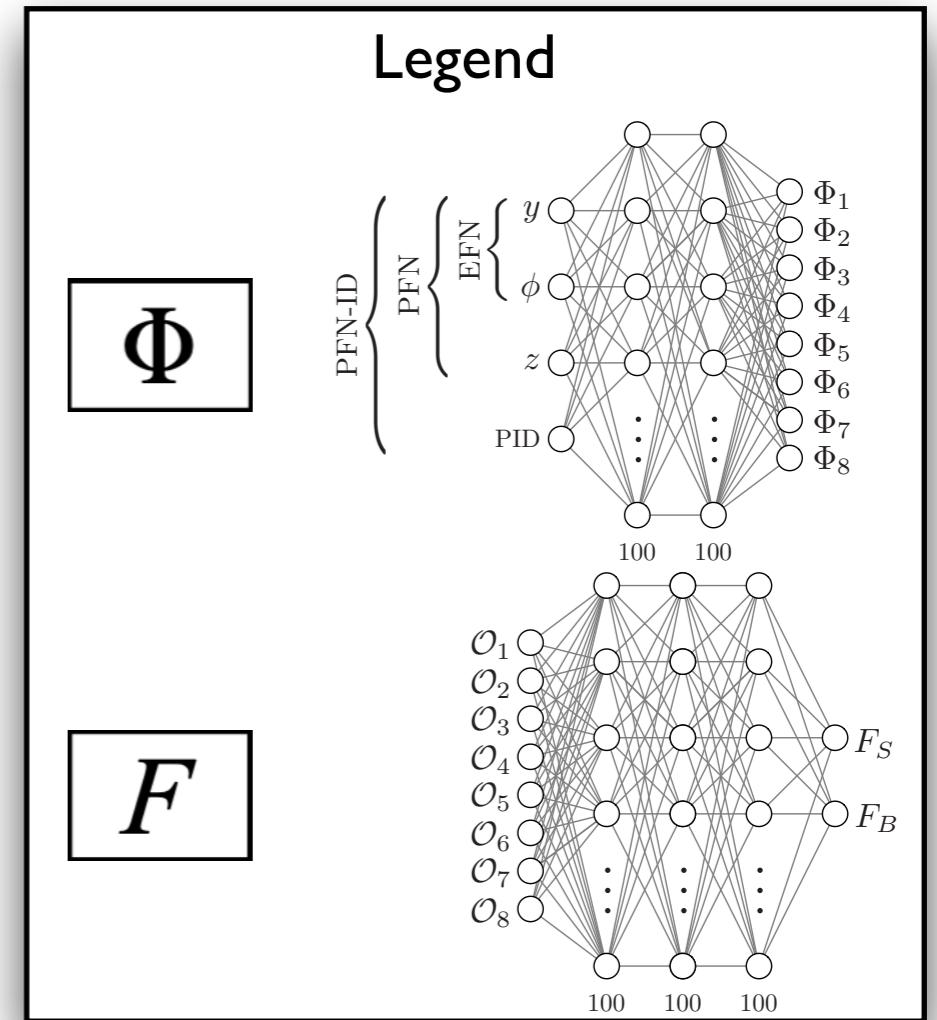
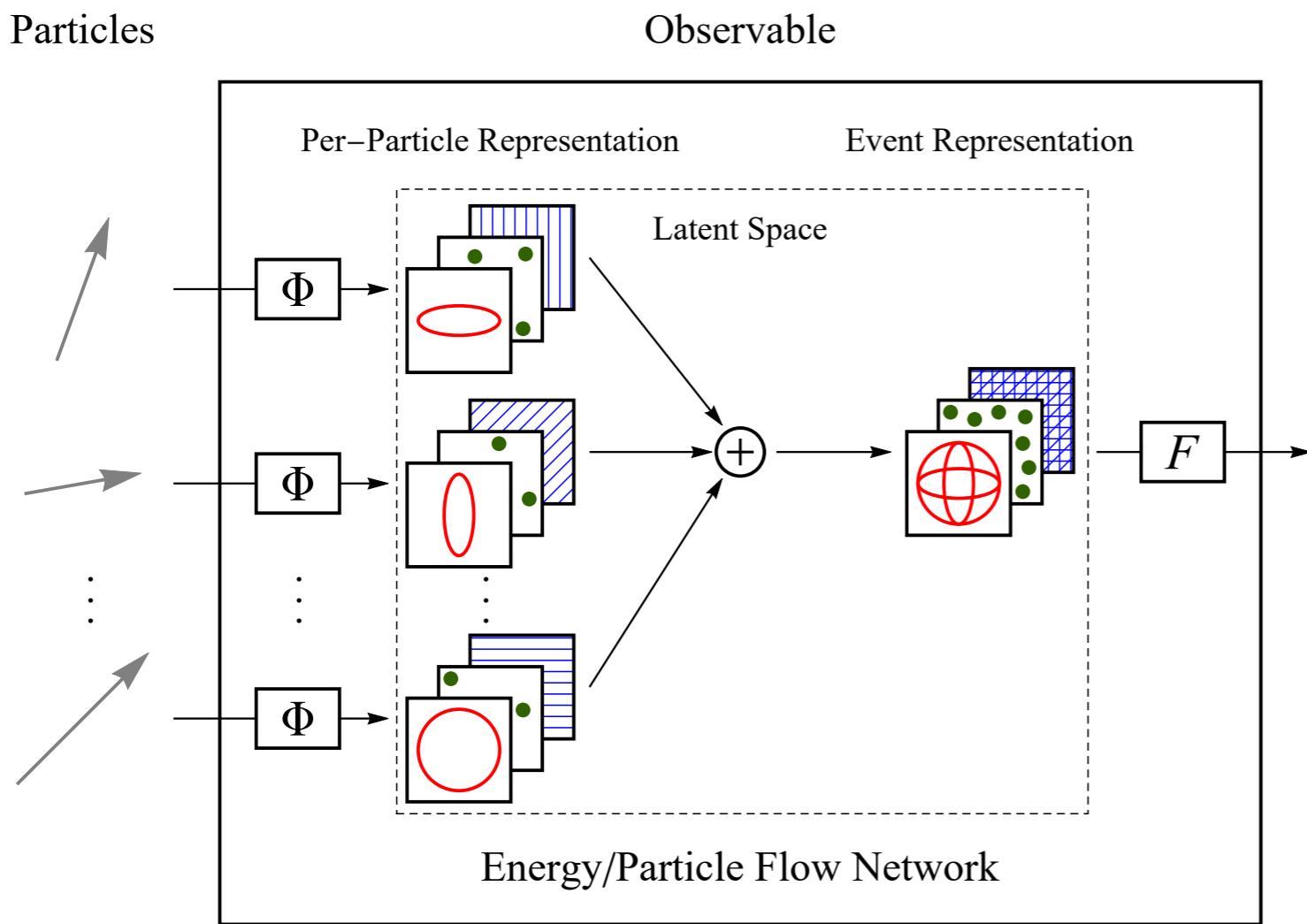
# Approximating $\Phi$ and $F$ with Neural Networks

Employ neural networks as arbitrary function approximators

Use fully-connected networks for simplicity

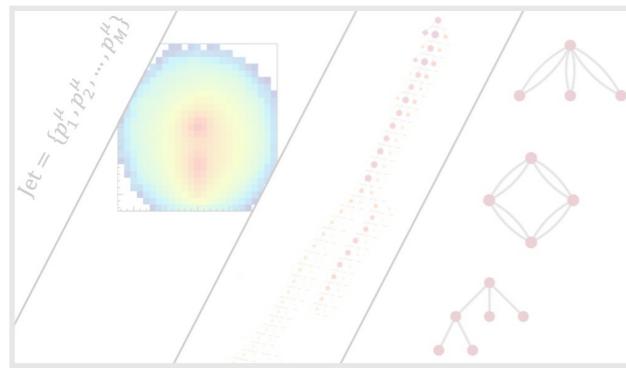
Default sizes –  $\Phi: (100, 100, \ell)$ ,  $F: (100, 100, 100)$

Particles

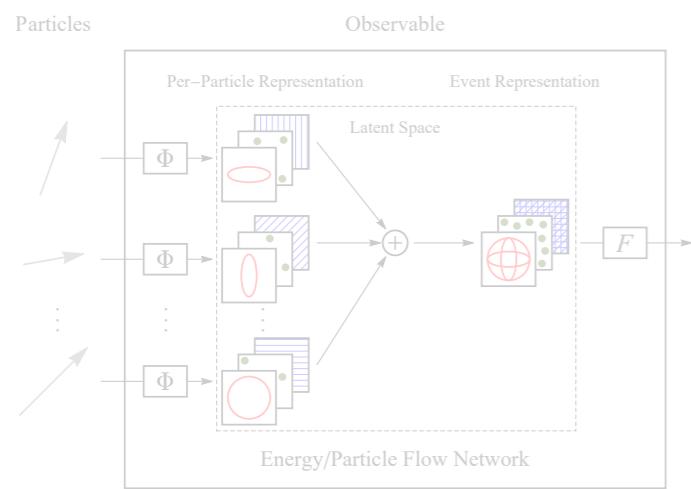


$$\text{EFN : } \mathcal{O}_a = \sum_{i=1}^M \textcolor{brown}{z}_i \Phi_a(\textcolor{violet}{y}_i, \phi_i)$$

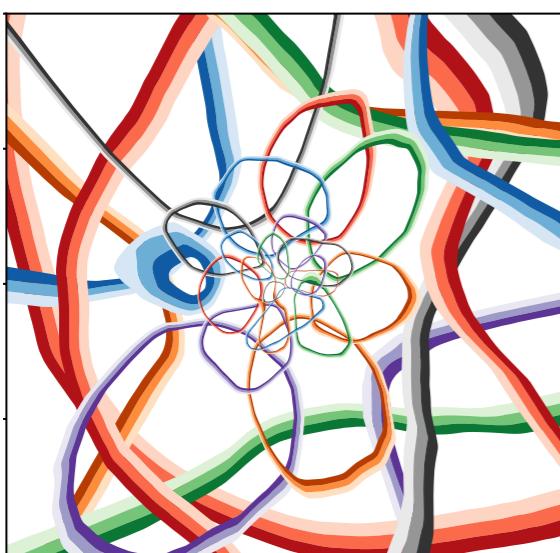
$$\text{PFN : } \mathcal{O}_a = \sum_{i=1}^M \Phi_a(z_i, y_i, \phi_i, [\text{PID}_i])$$



## Jets as Point Clouds



## Energy Flow Networks



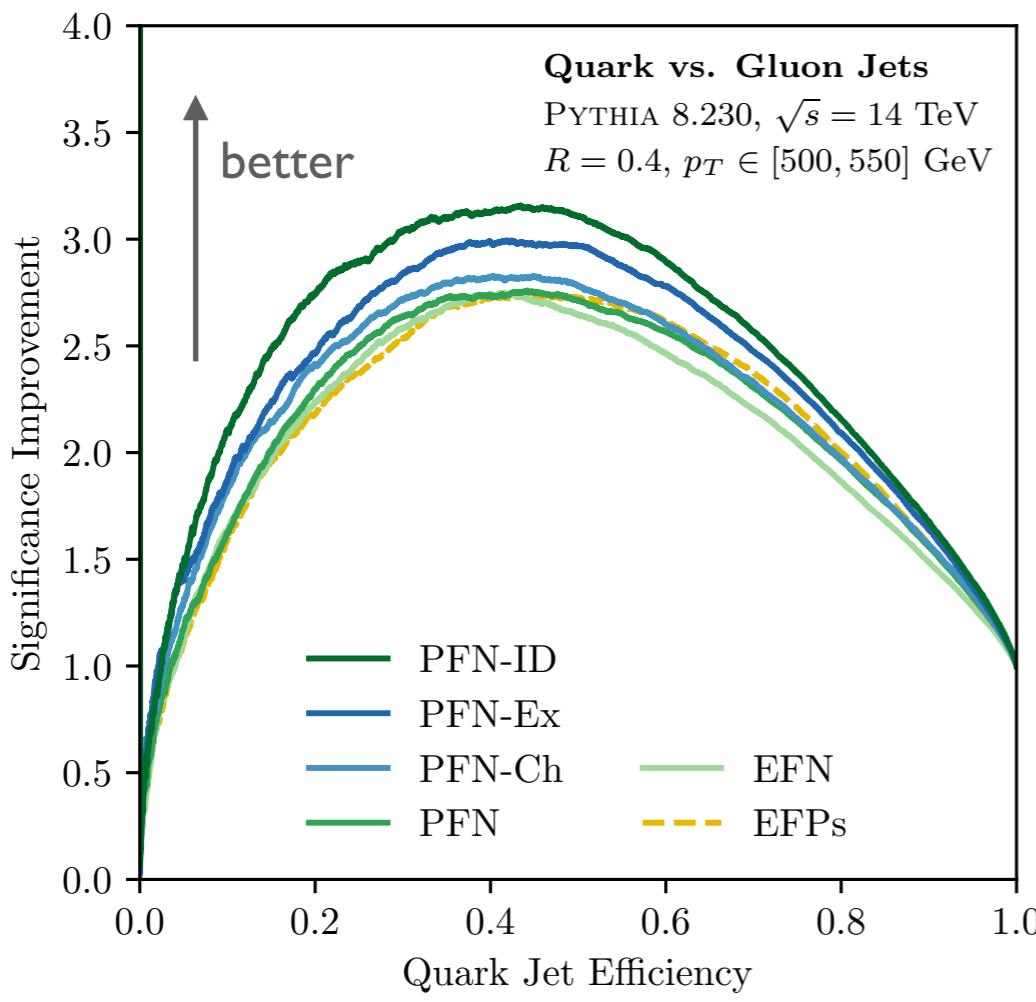
## Quark vs. Gluon Tagging

# Classification Performance

PFN-ID: Full particle flavor info  
 $(\gamma, \pi^\pm, K^\pm, K_L, p, \bar{p}, n, \bar{n}, e^\pm, \mu^\pm)$

PFN-Ex: Experimentally accessible info  
 $(\gamma, h^{\pm,0}, e^\pm, \mu^\pm)$

PFN-Ch: Particle charge info  
 $(+, 0, -)$

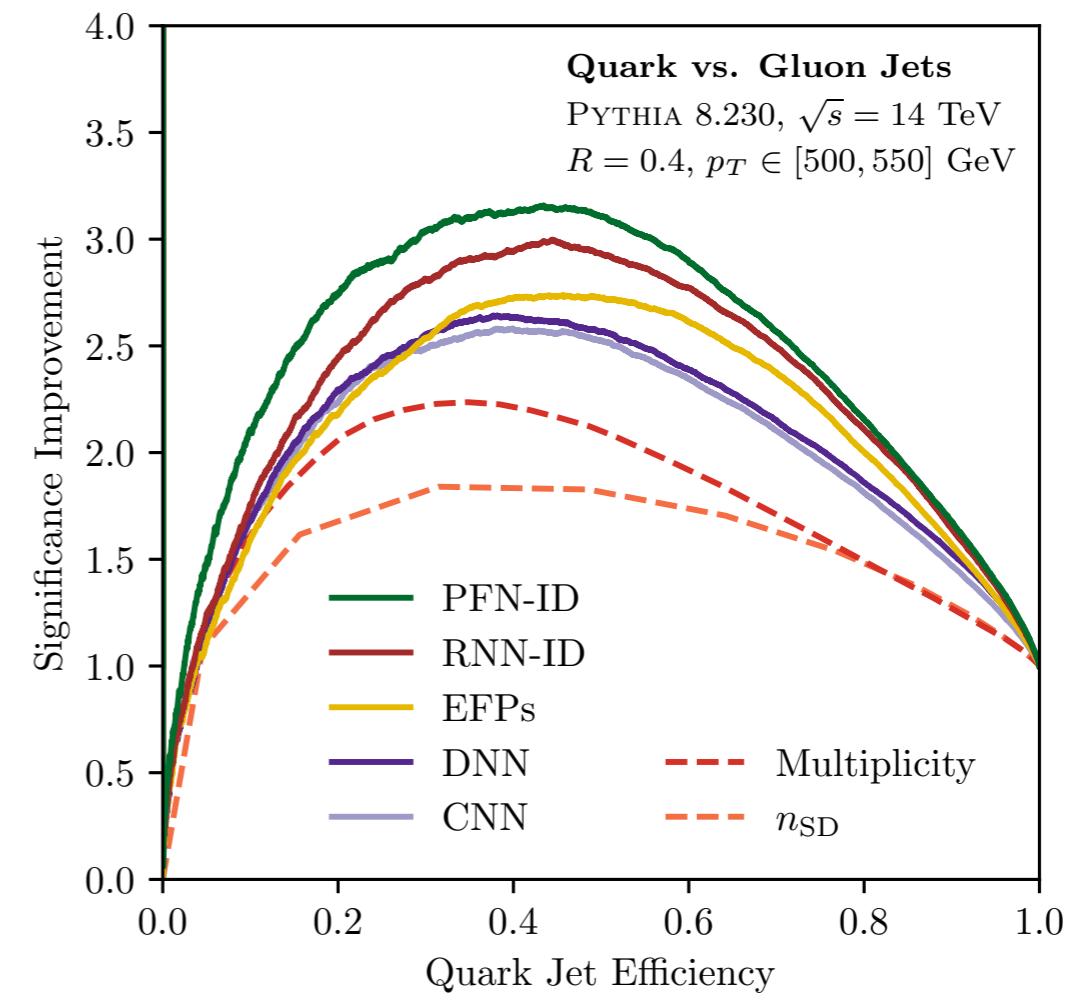


Latent space dimension  $\ell = 256$

EFPs are comparable to EFN

PFN: No particle type info, arbitrary energy dependence

EFN: **IRC**-safe latent space



PFN-ID slightly better than RNN-ID

# EFN Latent Dimension Sweep

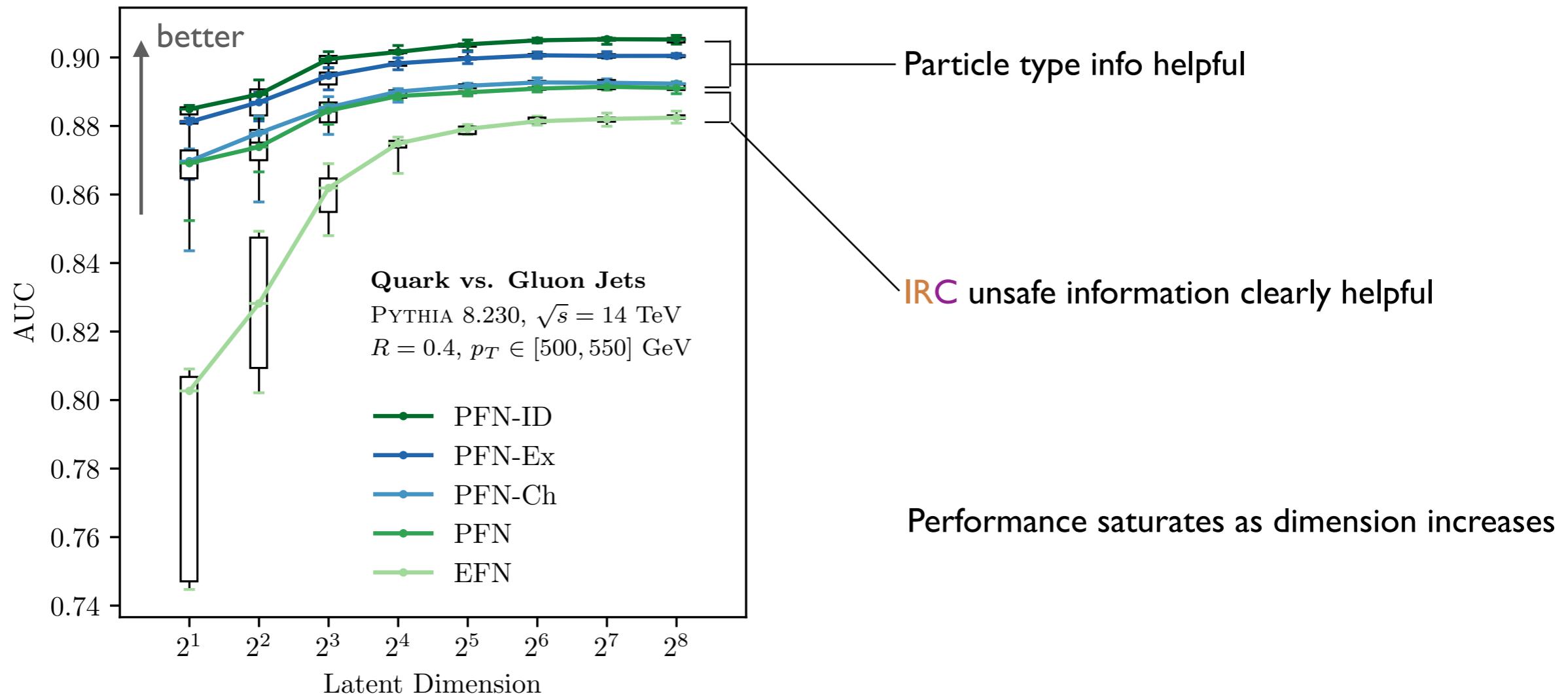
PFN-ID: Full particle flavor info  
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PFN-Ex: Experimentally accessible info  
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 $(+, 0, -)$

PFN: No particle type info, arbitrary energy dependence

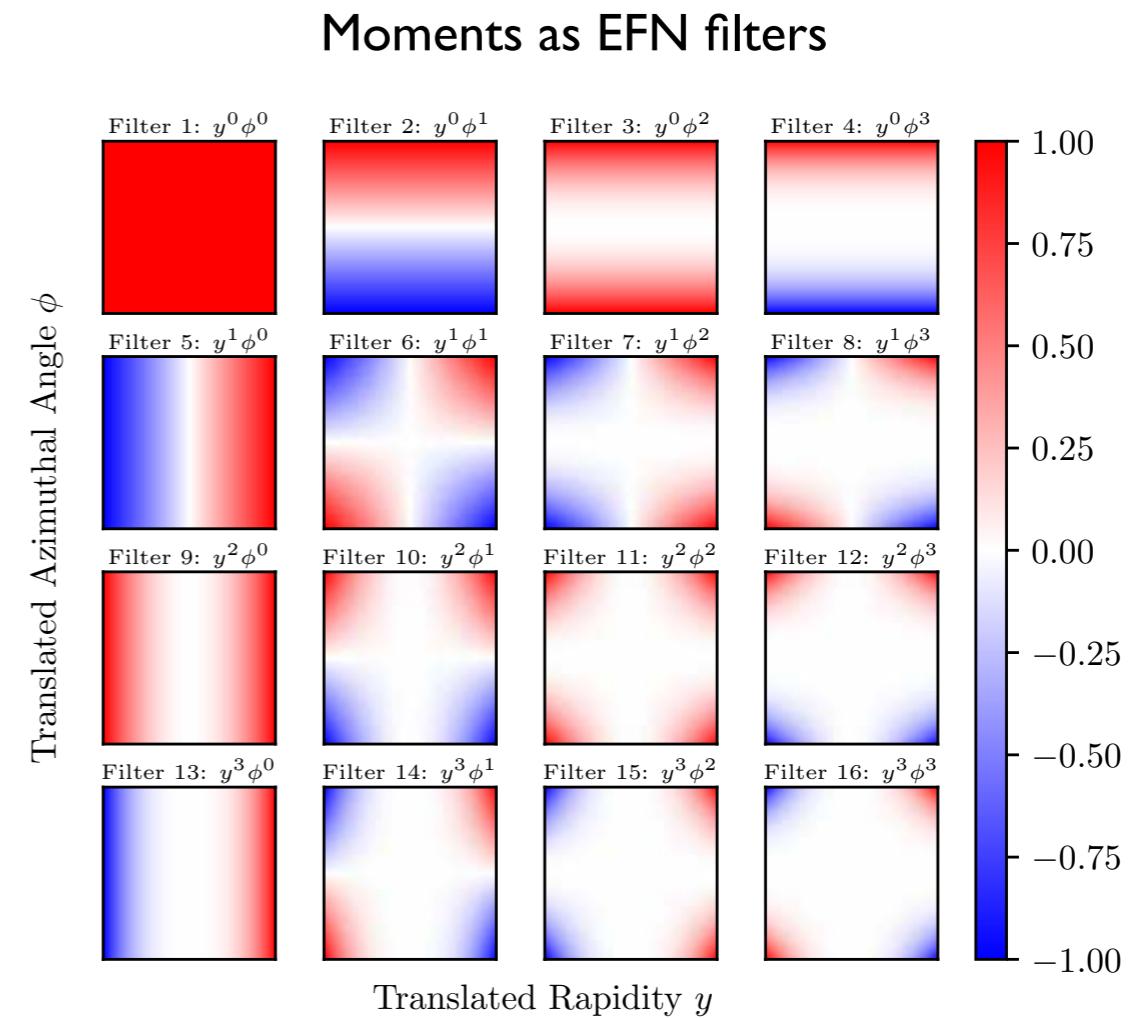
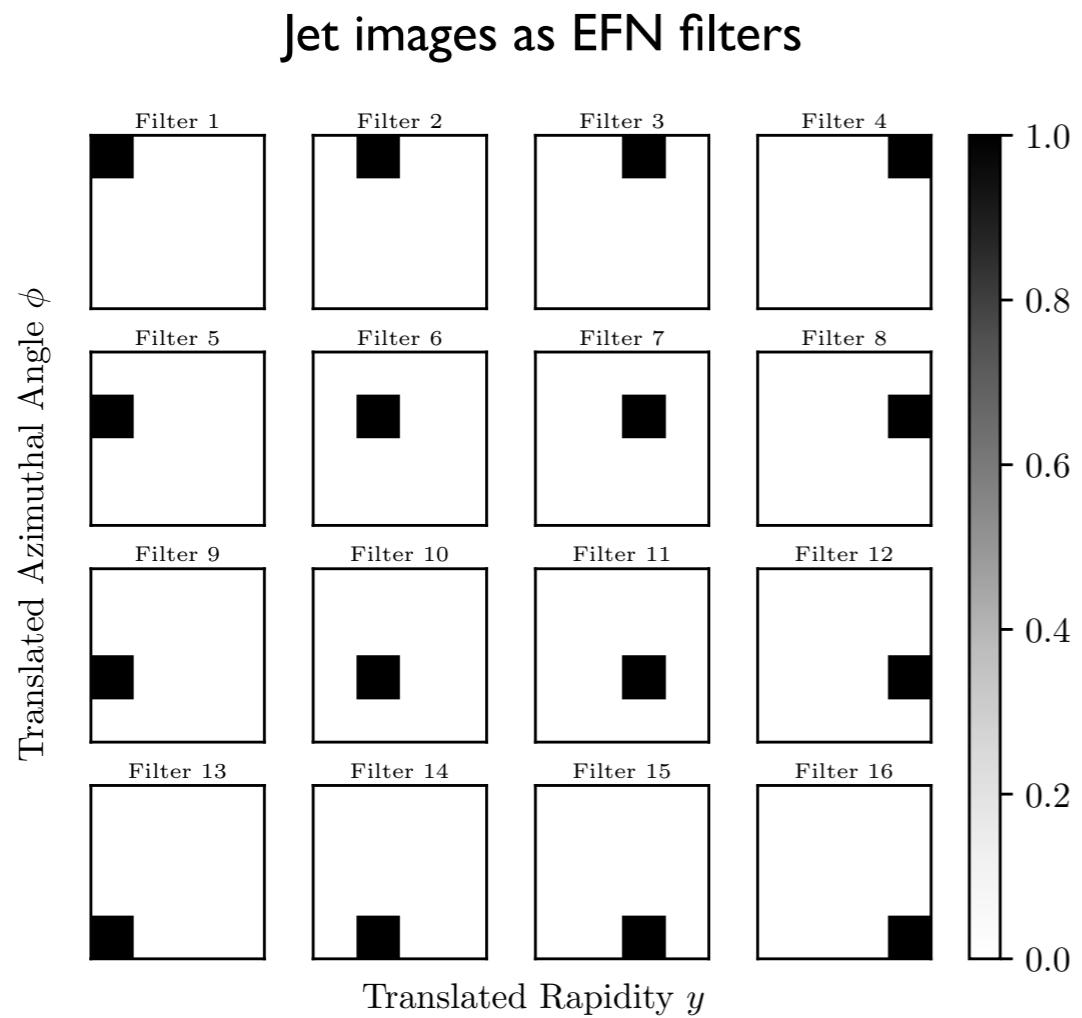
EFN: **IRC**-safe latent space



# Energy Flow Network Visualization

EFN observables are two-dimensional geometric functions

Visualize EFN observables as *filters* in the translated rapidity-azimuth plane



[Cogan, Kagan, Strauss, Schwartzman, 2014]

[de Oliveira, Kagan, Mackey, Nachman, Schwartzman, 2015]

[Donoghue, Low, Pi, 1979]

[Gur-Ari, Papucci, Perez, 2011]

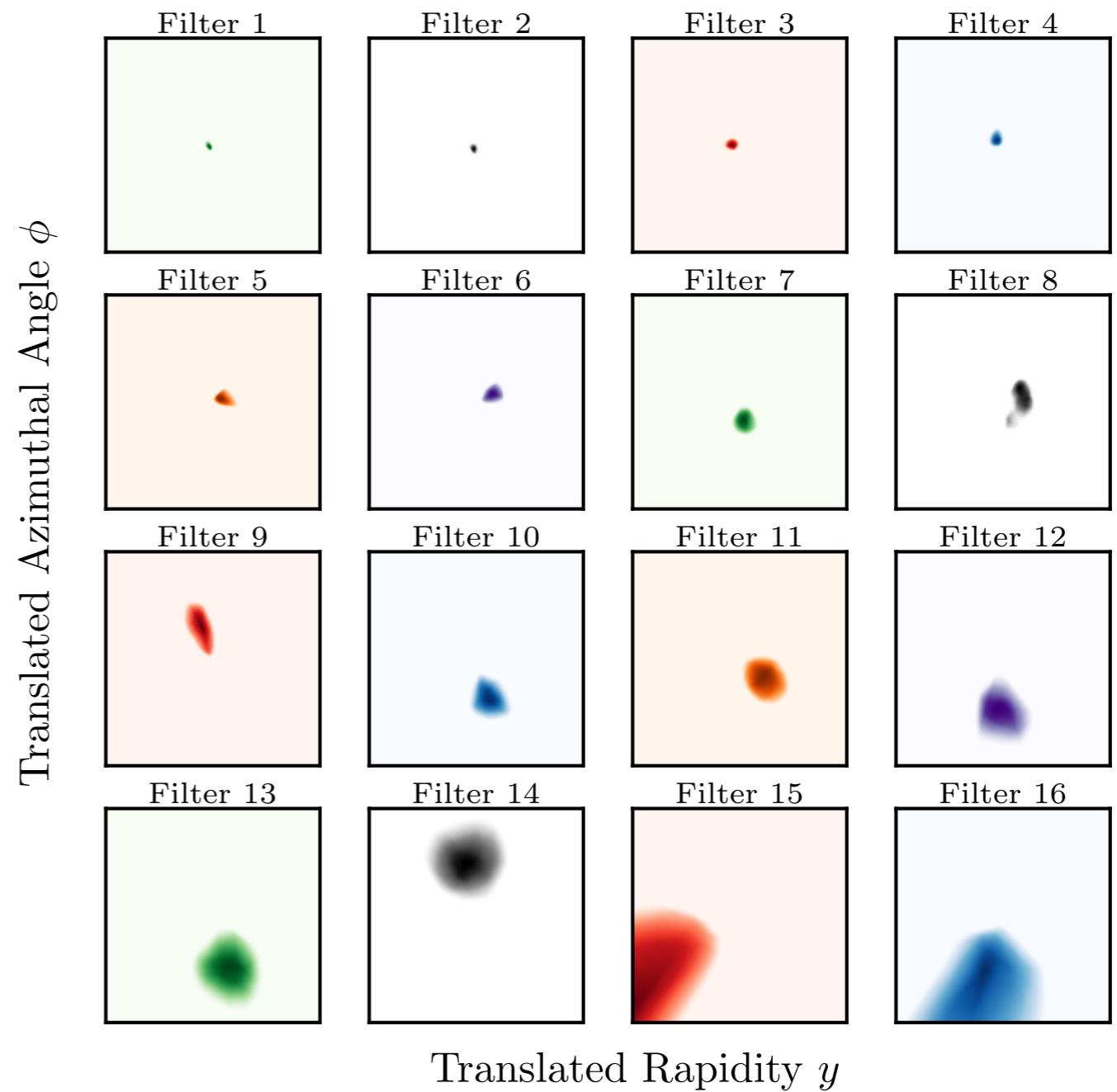
# Visualizing Q/G EFN Filters

Generally see blobs of all scales

Local nature of activated region lends interpretation as "pixels"

EFN seems to have learned a dynamically sized jet image

EFN ( $\ell = 256$ ) randomly selected filters, sorted by size

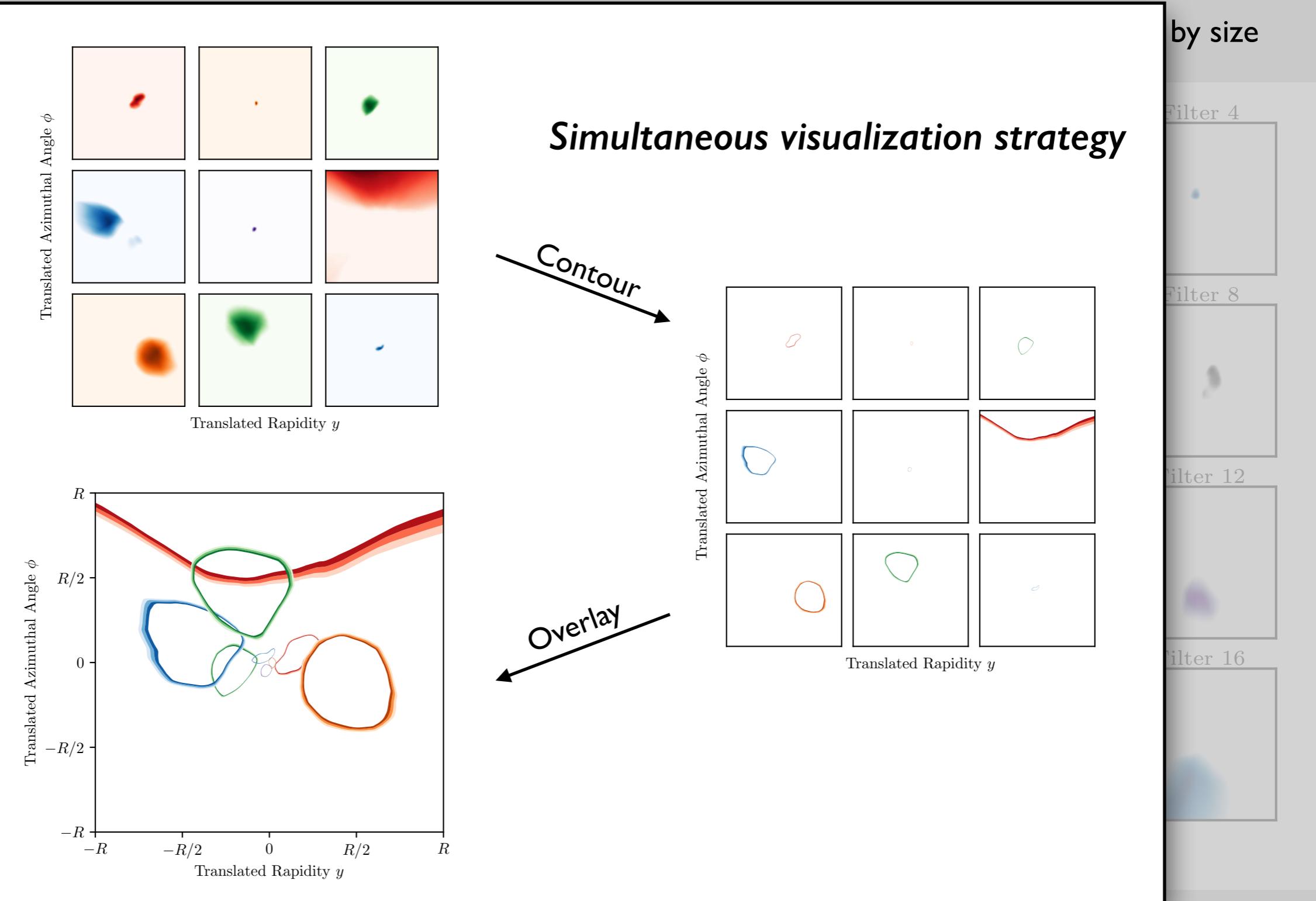


# Visualizing Q/G EFN Filters

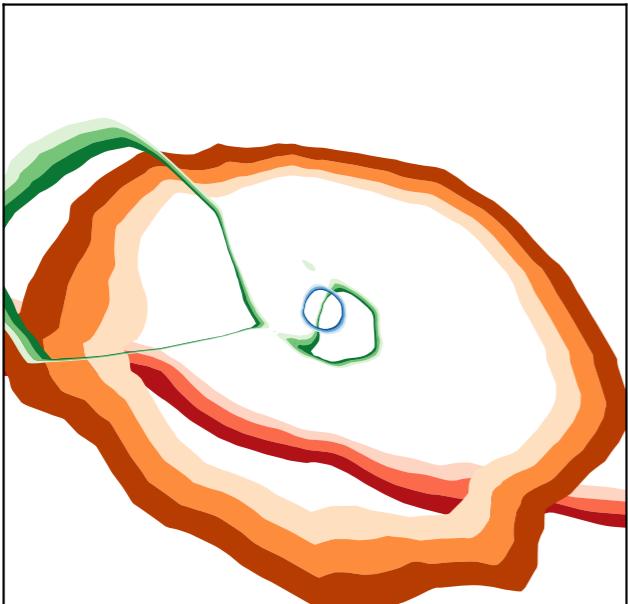
General

Local n  
interpret

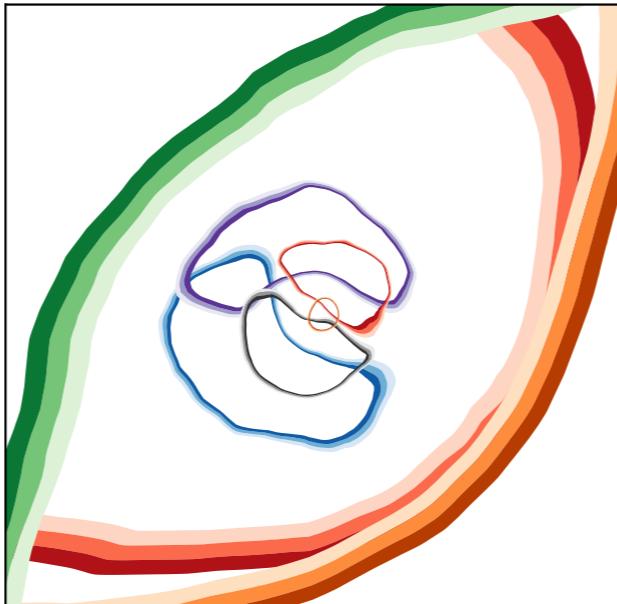
EFN se  
dynam



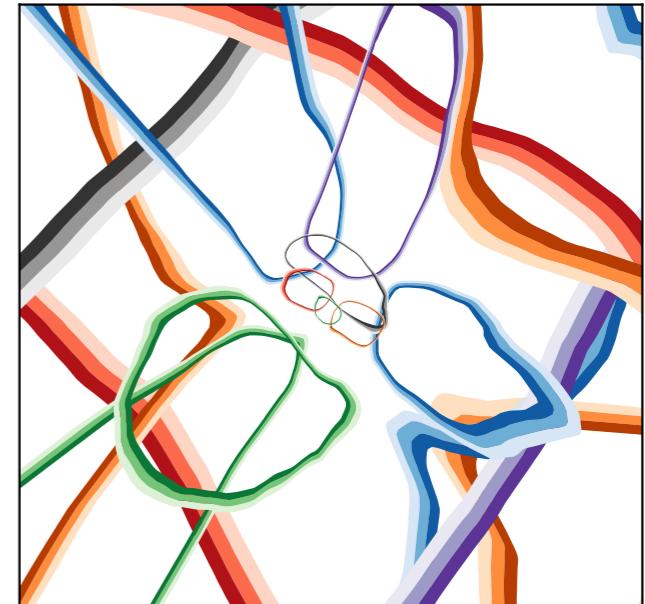
# Visualizing Q/G EFN Filters



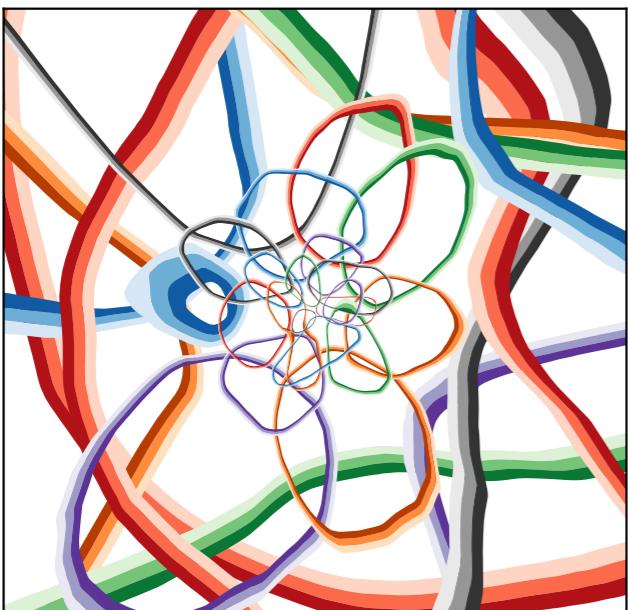
$\ell = 4$



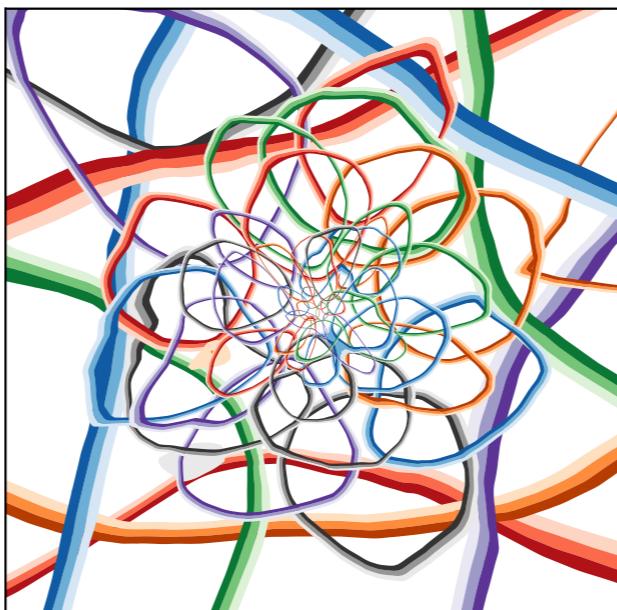
$\ell = 8$



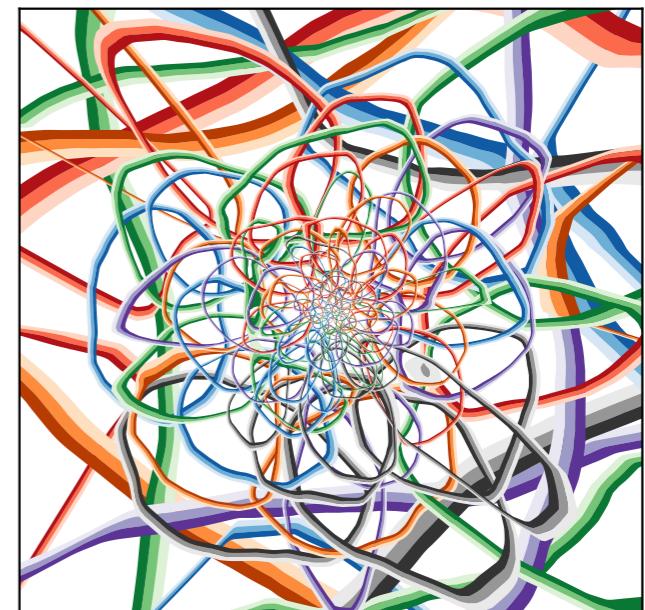
$\ell = 16$



$\ell = 32$

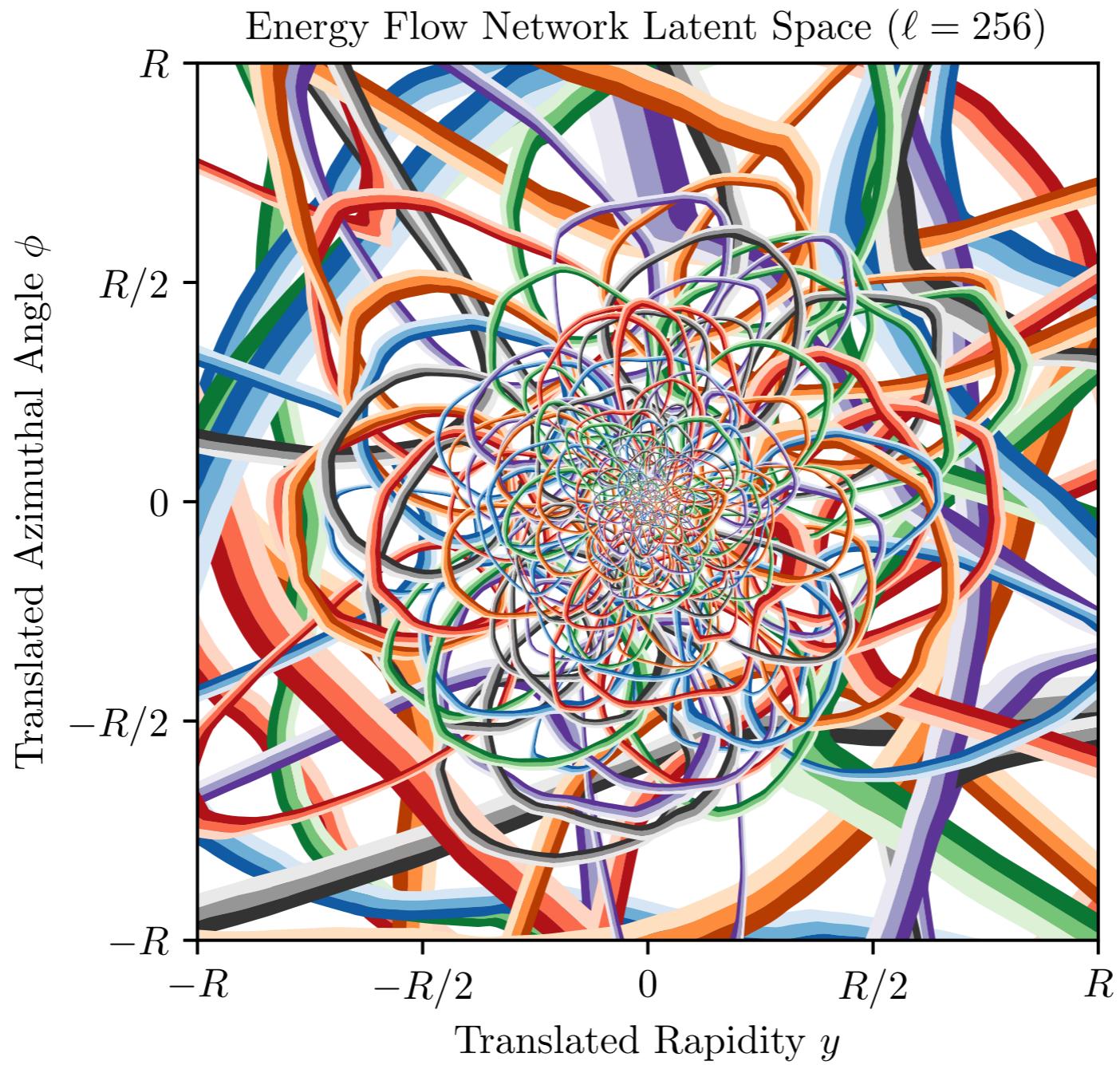


$\ell = 64$



$\ell = 128$

# Visualizing Q/G EFN Filters



# Measuring Q/G EFN Filters

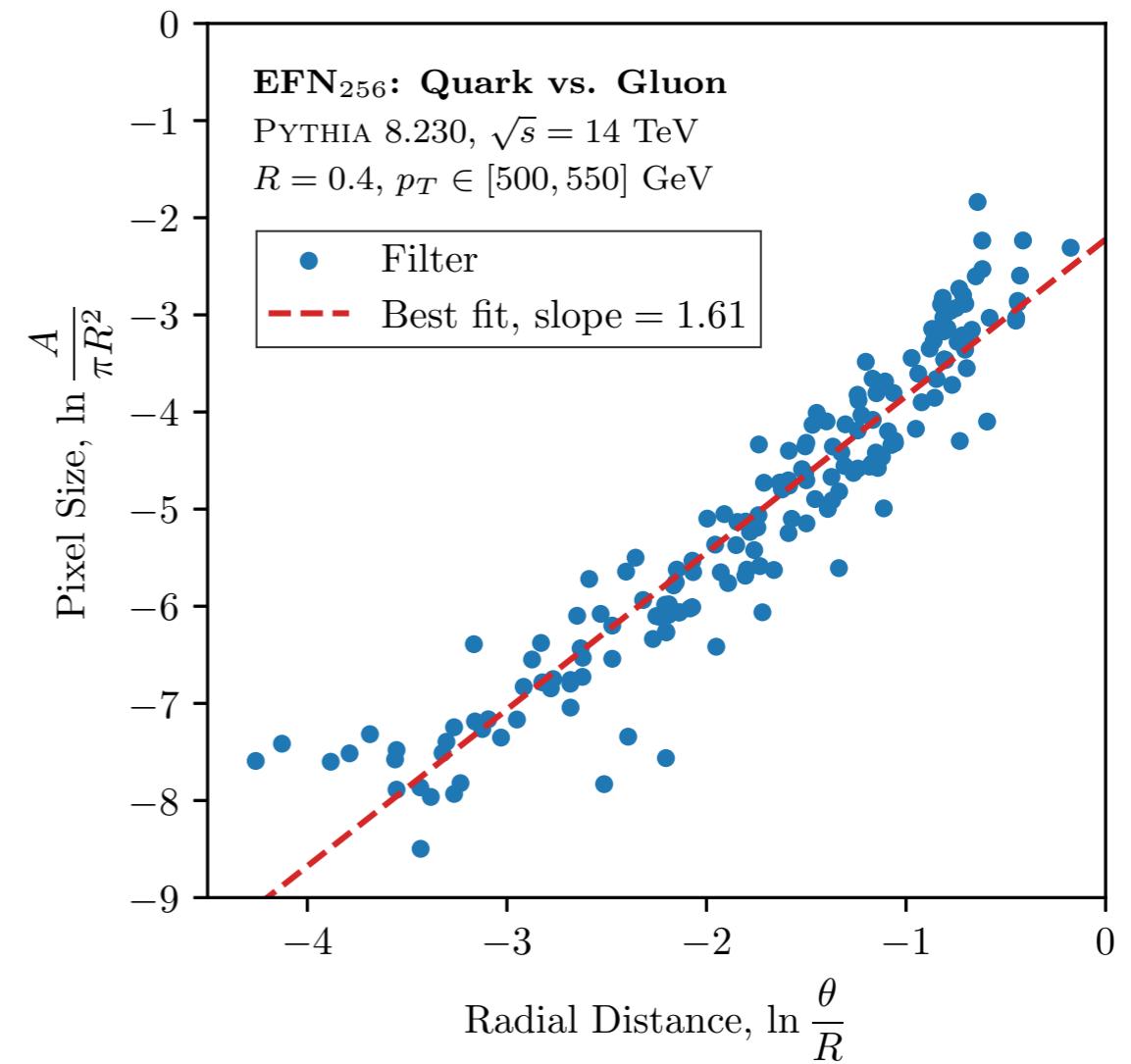
Power-law dependence between filter size and distance from center is observed

Slope of 2 is predicted at leading log

$$d \ln \frac{\theta}{R} d\varphi = \theta^2 dy d\phi$$

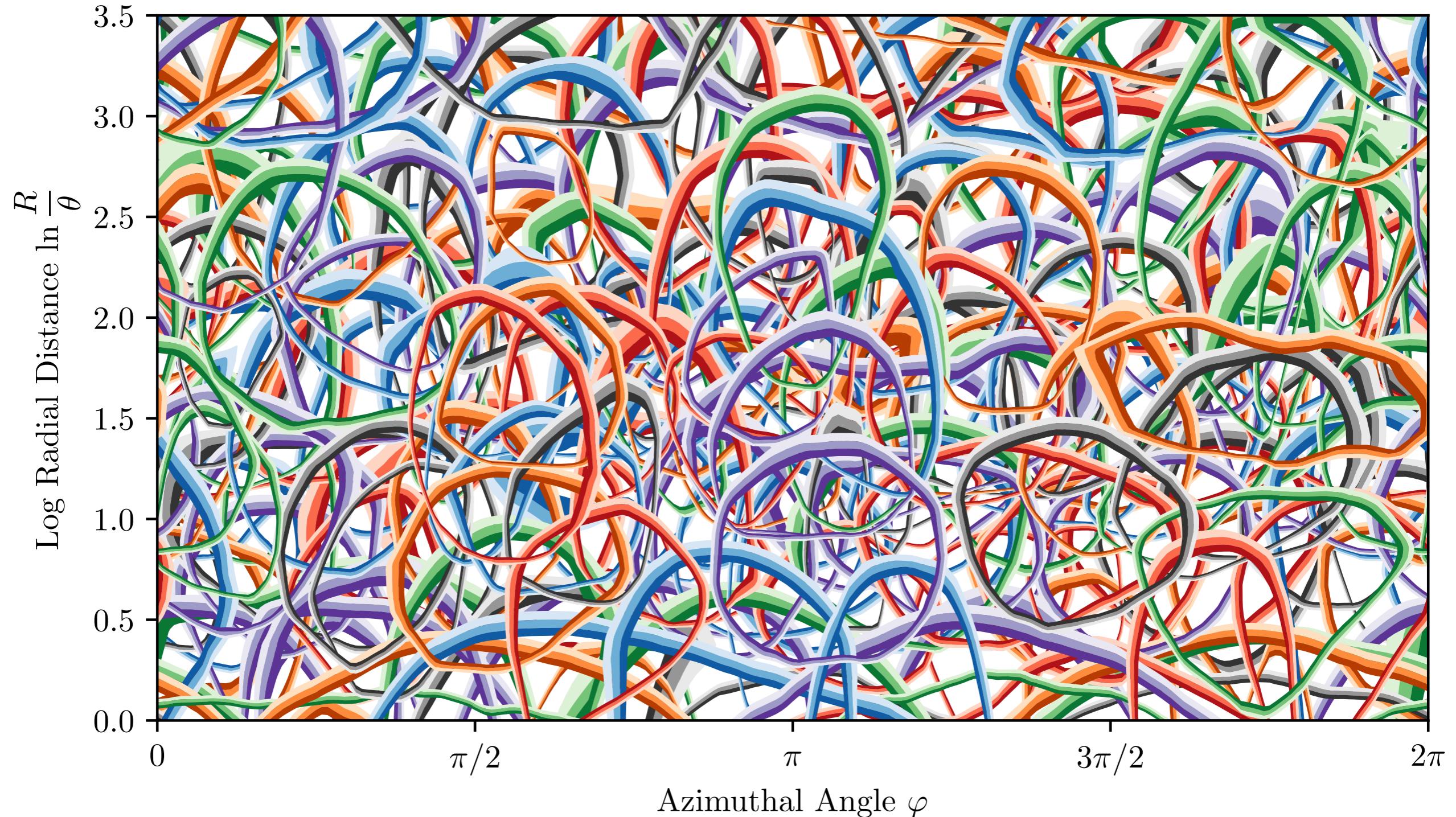
Emission plane area element

Area element in rap-phi plane

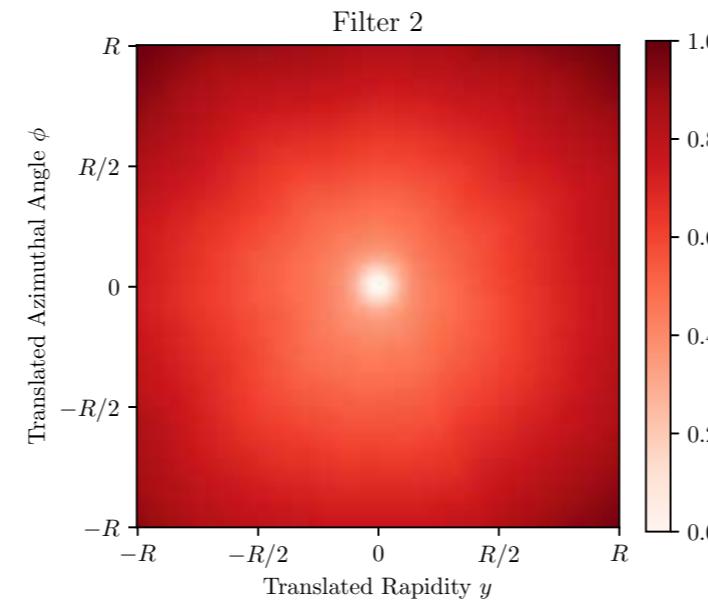
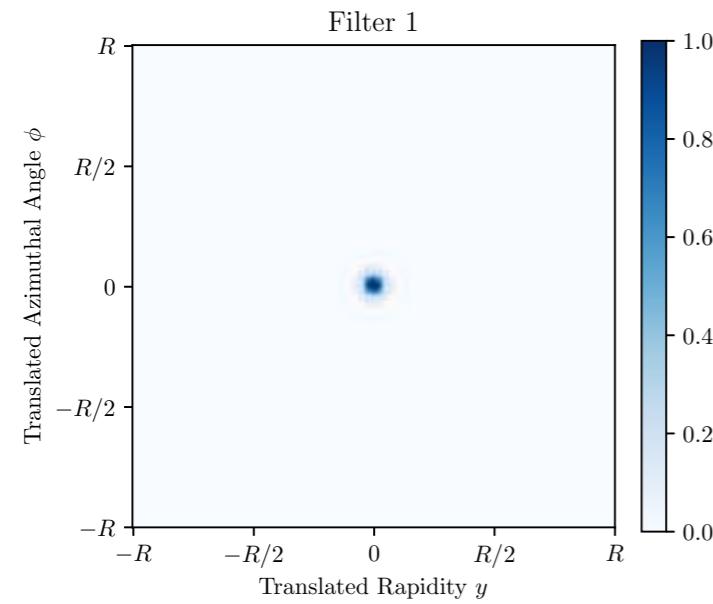


Non-perturbative physics, axis recoil, higher order effects cause deviations from slope of 2

# Visualizing Q/G EFN Filters in the Emission Plane

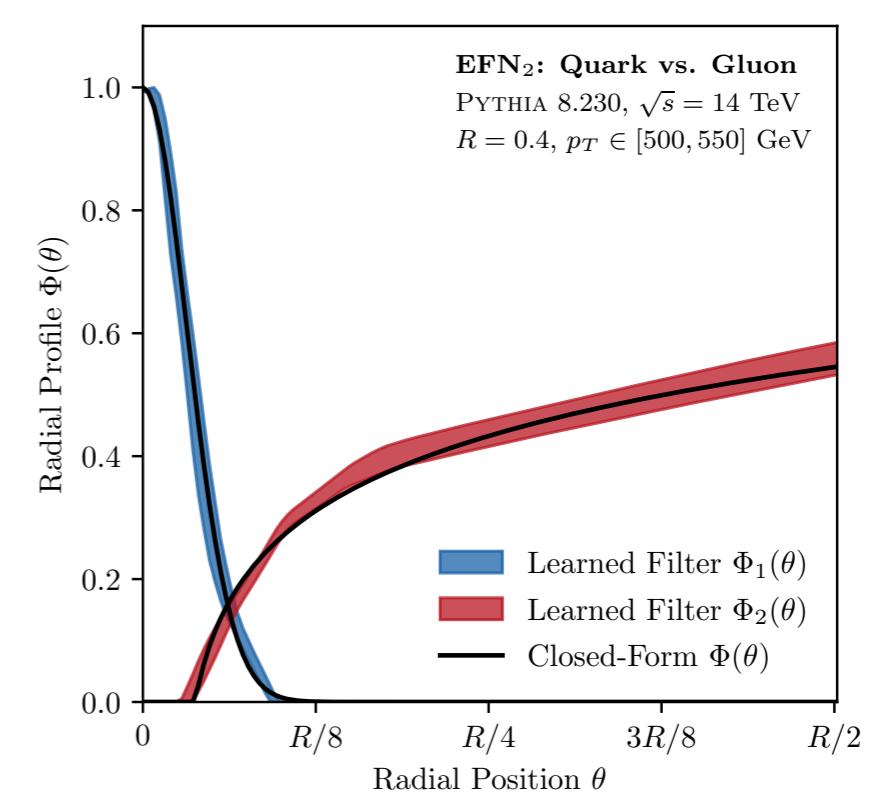


# Extracting New Analytic Observables



$$\mathcal{O}_1 = \sum_{i=1}^M z_i \Phi_1(\theta_i)$$

$$\mathcal{O}_2 = \sum_{i=1}^M z_i \Phi_2(\theta_i)$$



Take radial slices to obtain envelope

EFN ( $\ell = 2$ ) has approximately radially symmetric filters

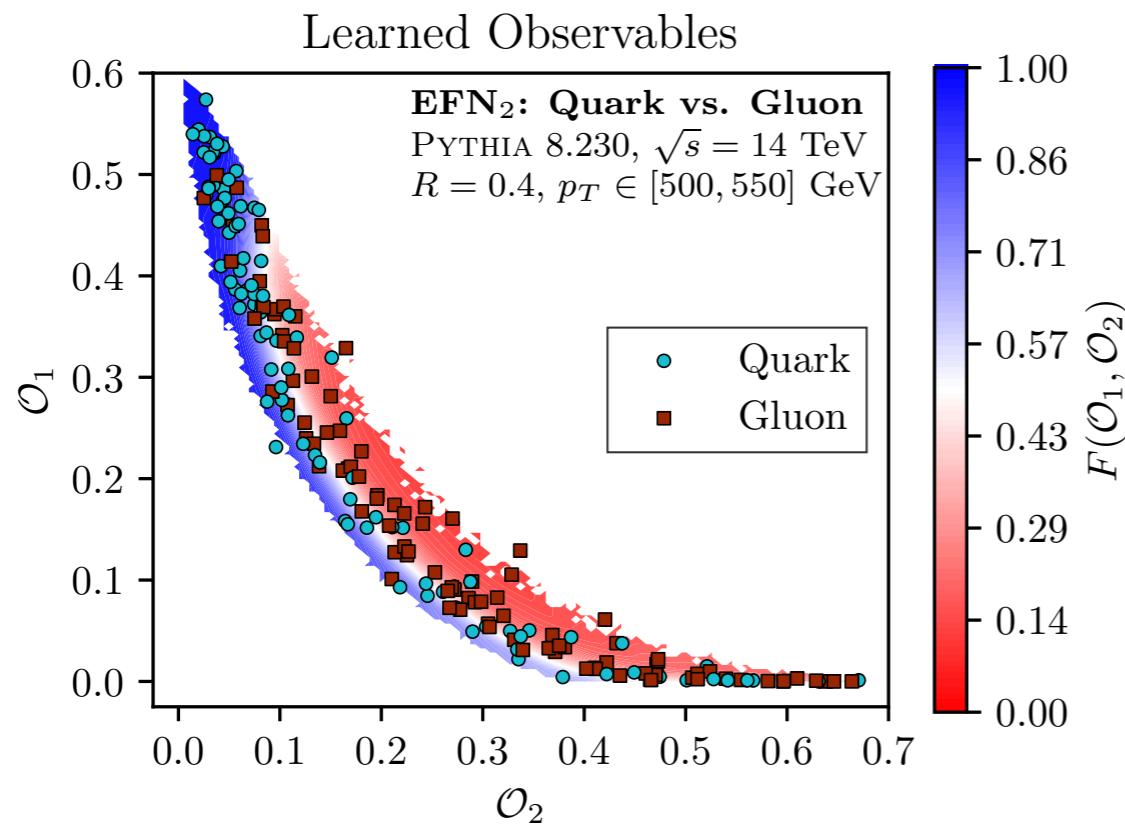
Fit functions of the forms:

$$A_{r_0} = \sum_{i=1}^M z_i e^{-\theta_i^2/r_0^2}, \quad B_{r_1, \beta} = \sum_{i=1}^M z_i \ln(1 + \beta(\theta_i - r_1)) \Theta(\theta_i - r_1)$$

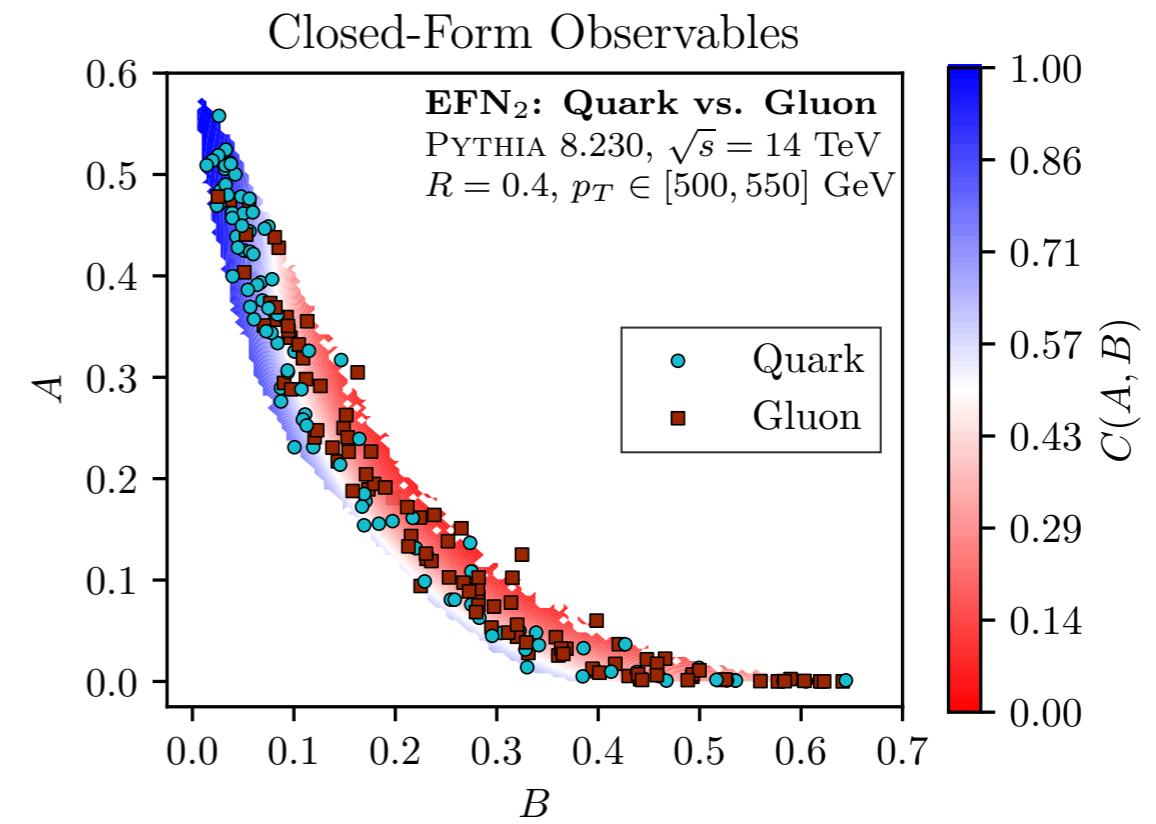
Separate soft and collinear phase space regions

# Extracting New Analytic Observables

Can visualize  $F$  in the two dimensional  $(\mathcal{O}_1, \mathcal{O}_2)$  phase space



Learned



Extracted

Extract analytic form for  $F$  as (squared) distance from a point:

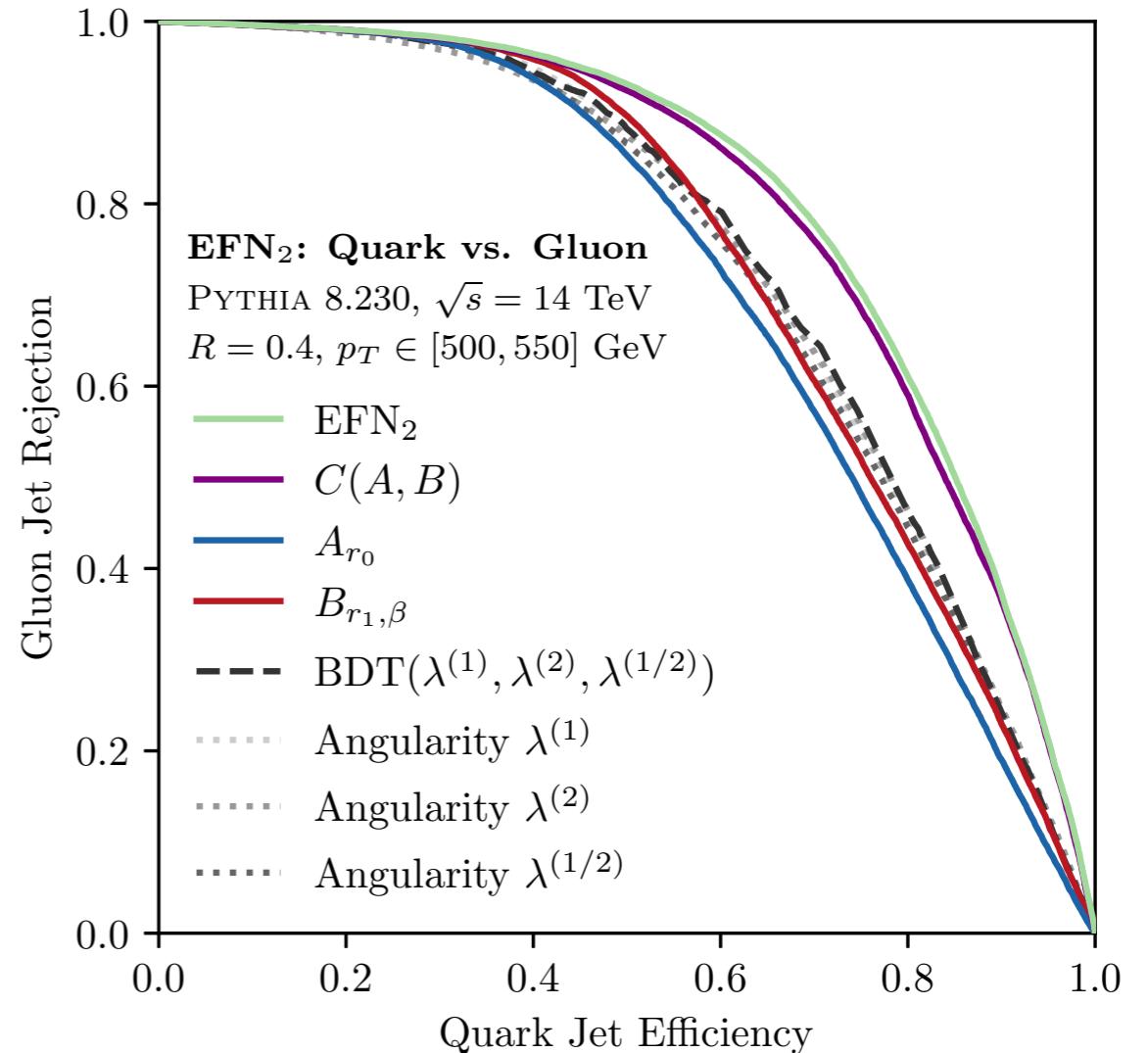
$$C(A, B) = (A - a_0)^2 + (B - b_0)^2$$

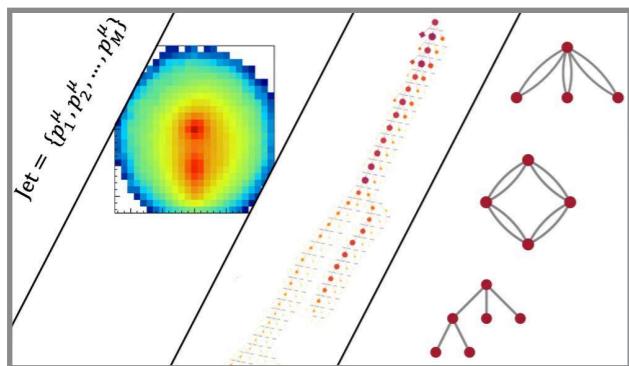
# Benchmarking New Analytic Observables

Individually, extracted observables are comparable to other angularities

Extracted  $C(A, B)$  performs nearly as well as EFN ( $\ell = 2$ )

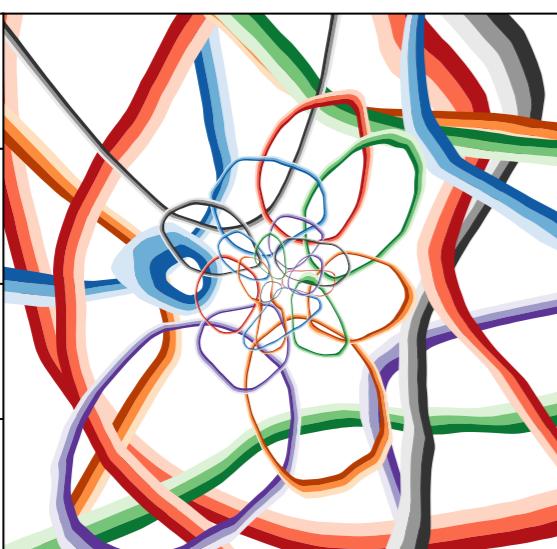
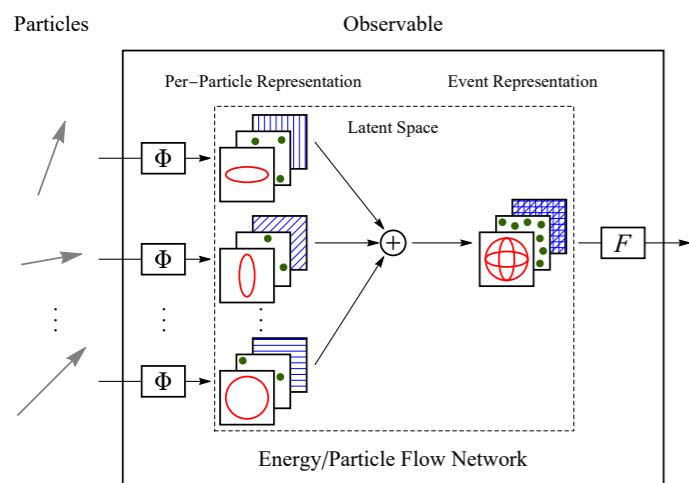
Meanwhile, multivariate combination (BDT) of three other angularities does not show improvement





## Jets as Point Clouds

*Point clouds have variable size and permutation symmetry*

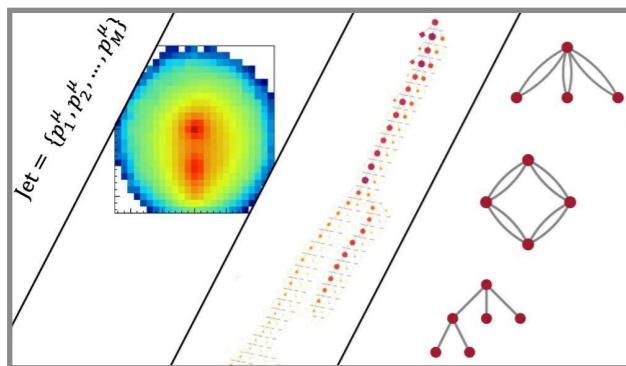


## Energy Flow Networks

*Deep Sets architecture, IRC-safe latent space*

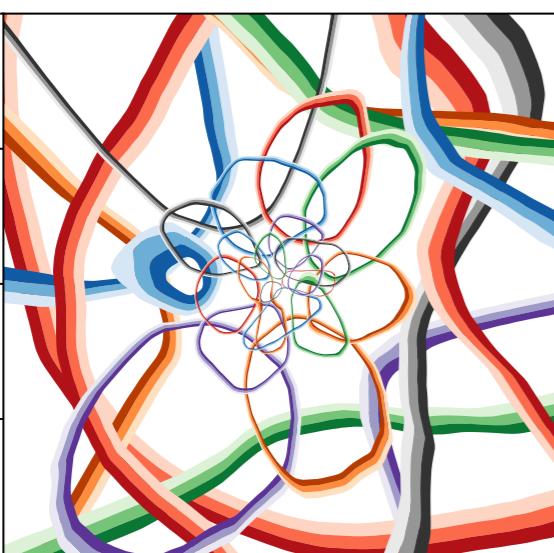
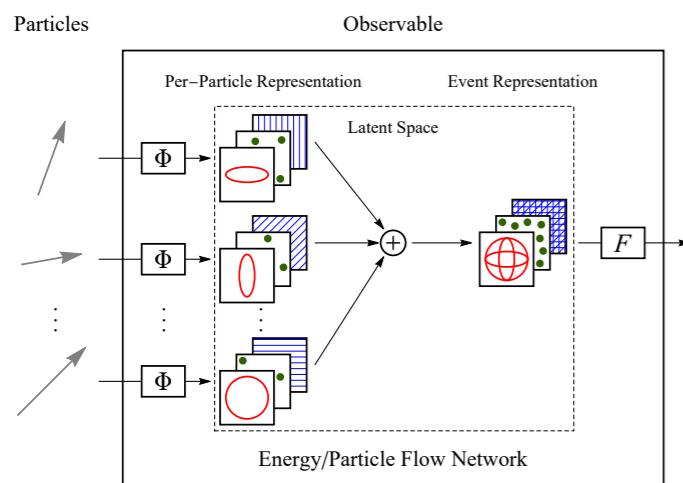
## Quark vs. Gluon Tagging

*Performance, visualization, new analytic observables*



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## Energy Flow Networks

*Deep Sets architecture, IRC-safe latent space*

## Quark vs. Gluon Tagging

*Performance, visualization, new analytic observables*

*Versatility? Transparency? Verifiability? Robustness? Deployment?*

# EnergyFlow Python Package

Contains EFN and PFN implementations in Keras

CNN, DNN architectures included  
for easy model comparison

Includes quark/gluon jet samples used in [1810.01565]

Several detailed examples demonstrating how to train models and make visualizations

The screenshot shows the EnergyFlow documentation website. On the left is a sidebar with a red header containing the EnergyFlow logo and a search bar. Below the header, the sidebar lists several sections: Home, Welcome to EnergyFlow, References, Copyright, Getting Started, Installation, Demo, Examples, FAQs, Documentation, Energy Flow Polynomials, Architectures, Measures, Generation, Utils, and Datasets. The main content area has a white header with 'Docs » Home'. Below it, a section titled 'Welcome to EnergyFlow' is displayed. The text describes EnergyFlow as a Python package for computing Energy Flow Polynomials (EFPs) and implementing Energy Flow Networks (EFNs) and Particle Flow Networks (PFNs). It highlights features like EFPs, EFNs, and PFNs, along with supplementary features like datasets and machine learning architectures. A detailed example section follows, listing 'Jet Tagging Datasets', 'Additional Architectures', and 'Detailed Examples'.

Docs » Home

## Welcome to EnergyFlow

EnergyFlow is a Python package for a suite of particle physics tools for computing Energy Flow Polynomials (EFPs) and implementing Energy Flow Networks (EFNs) and Particle Flow Networks (PFNs). Here are several of the features and functionalities provided by the EnergyFlow package:

- [Energy Flow Polynomials](#): EFPs are a collection of jet substructure observables which form a complete linear basis of IRC-safe observables. EnergyFlow provides tools to compute EFPs on events for several energy and angular measures as well as custom measures.
- [Energy Flow Networks](#): EFNs are infrared- and collinear-safe models designed for learning from collider events as unordered, variable-length sets of particles. EnergyFlow contains customizable Keras implementations of EFNs.
- [Particle Flow Networks](#): PFNs are general models designed for learning from collider events as unordered, variable-length sets of particles, based on the [Deep Sets](#) framework. EnergyFlow contains customizable Keras implementations of PFNs.

Beyond the primary functions described above, the EnergyFlow package also provides useful supplementary features. These include a large quark/gluon jet dataset, implementations of additional machine learning architectures useful for collider physics, and many examples exhibiting the usage of the package.

- [Jet Tagging Datasets](#): A dataset of 2 million simulated quark and gluon jets is provided.
- [Additional Architectures](#): Implementations of other architectures useful for particle physics are also provided, such as convolutional neural networks (CNNs) for jet images.
- [Detailed Examples](#): Examples showcasing EFPs, EFNs, PFNs, and more. Also see the [EFP Demo](#).

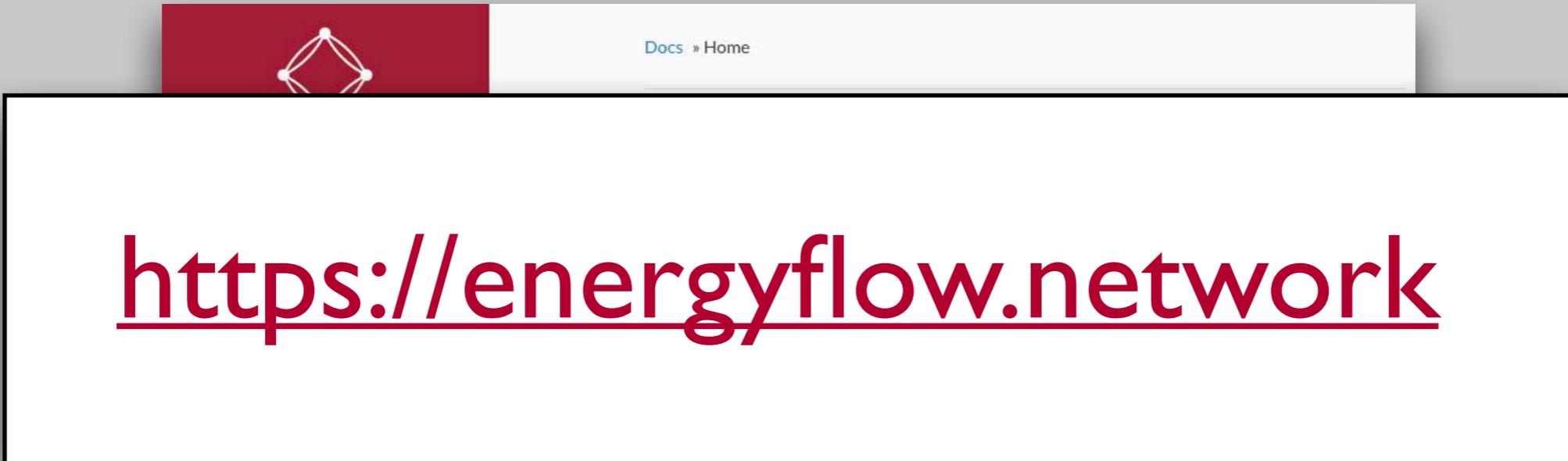
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The screenshot shows a dark-themed website for the EnergyFlow package. At the top, there's a red header bar with a white logo icon and navigation links for "Docs" and "Home". Below the header is a large white section containing the URL <https://energyflow.network>. At the bottom of the page is a dark sidebar with white text linking to various parts of the package: Examples, FAQs, Documentation, Energy Flow Polynomials, Architectures, Measures, Generation, Utils, and Datasets. The main content area to the right of the sidebar contains several bullet points describing the package's features:

- **Particle Flow Networks:** PFNs are general models designed for learning from collider events as unordered, variable-length sets of particles, based on the Deep Sets framework. EnergyFlow contains customizable Keras implementations of PFNs.
- **Beyond the primary functions described above,** the EnergyFlow package also provides useful supplementary features. These include a large quark/gluon jet dataset, implementations of additional machine learning architectures useful for collider physics, and many examples exhibiting the usage of the package.
- **Jet Tagging Datasets:** A dataset of 2 million simulated quark and gluon jets is provided.
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# Thank You!