

# **Between Interpretation and Computation**

Didactic Exploration of Computational Linguistics  
Methods  
with Augmented Transcripts of Sales Conversations

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## **Abstract**

This teaching and learning material serves the didactic exploration of computational linguistics methods based on eight transcripts of sales conversations. In contrast to the previous ARS versions 2.0 and 3.0, which were based on interpretively formed terminal symbols, this material takes the step toward automatic language processing. The methods are trained on augmented data for demonstration purposes to make their functioning transparent. The focus is on didactic knowledge acquisition, not on empirical validity. Scenarios C (Computational Linguistics Integration) and D (Hybrid Modeling) are developed step by step and compared with each other.

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# 1 Introduction: Didactic Goals and Methodological Reflection

The previous versions of Algorithmic Recursive Sequence Analysis (ARS 2.0 and 3.0) have shown how formal grammars can be induced from interpretively obtained terminal symbol strings. These methods remain methodologically controlled: the category formation occurs through qualitative interpretation, the formal models merely explicate the observable regularities.

The following scenarios C and D venture a step beyond this methodological boundary. They explore how computational linguistics methods – especially neural networks, word embeddings, and topic models – could be applied to the eight transcripts if they were augmented for demonstration purposes.

**This document is conceived as teaching and learning material.** It pursues the following didactic goals:

1. **Understanding neural architectures:** How do transformers, LSTM networks, and attention mechanisms work on sequence data?
2. **Data augmentation as a technique:** How can one handle small datasets to demonstrate the functioning of methods?
3. **Comparison of different modeling levels:** What differences exist between purely computational linguistics (C) and hybrid (D) approaches?
4. **Methodological reflection:** Where are the limits of automatic methods compared to interpretive category formation?

All implementations presented here work with augmented data – the eight original transcripts were artificially multiplied to enable the training of neural networks. The results are therefore not empirically valid but serve exclusively for didactic illustration.

## 2 The Eight Transcripts: Raw Data and Terminal Symbols

### 2.1 The Raw Data

The following eight transcripts document sales conversations at Aachen market square in June/July 1994. They form the empirical basis for all subsequent analyses.

#### 2.1.1 Transcript 1 - Butcher Shop

**Date:** June 28, 1994, **Location:** Butcher Shop, Aachen, 11:00 AM

```
1 Customer: Good day!
2 Salesperson: Good day!
3 Customer: One of the coarse liver sausage, please.
4 Salesperson: How much would you like?
5 Customer: Two hundred grams.
6 Salesperson: Anything else?
7 Customer: Yes, then also a piece of the Black Forest ham.
8 Salesperson: How large should the piece be?
9 Customer: Around three hundred grams.
10 Salesperson: That will be eight marks twenty.
11 Customer: Here you go.
12 Salesperson: Thank you and have a nice day!
13 Customer: Thanks, you too!
```

Listing 1: Transcript 1 - Raw Data

#### 2.1.2 Transcript 2 - Market Square (Cherries)

**Date:** June 28, 1994, **Location:** Market Square, Aachen

```
1 Seller: Everyone can try cherries here!
2 Customer 1: Half a kilo of cherries, please.
3 Seller: Half a kilo? Or one kilo?
4 Seller: Three marks, please.
5 Customer 1: Thank you very much!
6 Seller: Everyone can try cherries here!
7 Customer 2: Half a kilo, please.
8 Seller: Three marks, please.
9 Customer 2: Thank you very much!
```

Listing 2: Transcript 2 - Raw Data

### 2.1.3 Transcript 3 - Fish Stall

Date: June 28, 1994, Location: Fish Stall, Market Square, Aachen

```
1 Customer: One pound of saithe, please.  
2 Seller: Saithe, all right.  
3 Seller: Four marks nineteen, please.  
4 Customer: Thank you very much!
```

Listing 3: Transcript 3 - Raw Data

### 2.1.4 Transcript 4 - Vegetable Stall (Detailed)

Date: June 28, 1994, Location: Vegetable Stall, Aachen, Market Square, 11:00 AM

```
1 Customer: Listen, I'll take some mushrooms with me.  
2 Seller: Brown or white?  
3 Customer: Let's take the white ones.  
4 Seller: They're both fresh, don't worry.  
5 Customer: What about chanterelles?  
6 Seller: Ah, they're great!  
7 Customer: Can I put them in rice salad?  
8 Seller: Better to briefly saut them in a pan.  
9 Customer: Okay, I'll do that.  
10 Seller: Have a nice day!  
11 Customer: Likewise!
```

Listing 4: Transcript 4 - Raw Data

### 2.1.5 Transcript 5 - Vegetable Stall (with KAV at Beginning)

Date: June 26, 1994, Location: Vegetable Stall, Aachen, Market Square, 11:00 AM

```
1 Customer 1: Goodbye!  
2 Customer 2: I would like a kilo of the Granny Smith apples here.  
3 Seller: Anything else?  
4 Customer 2: Yes, another kilo of onions.  
5 Seller: Six marks twenty-five, please.  
6 Customer 2: Goodbye!
```

Listing 5: Transcript 5 - Raw Data

### 2.1.6 Transcript 6 - Cheese Stand

Date: June 28, 1994, Location: Cheese Stand, Aachen, Market Square

```

1 Customer 1: Good morning!
2 Seller: Good morning!
3 Customer 1: I would like five hundred grams of Dutch Gouda.
4 Seller: In one piece?
5 Customer 1: Yes, in one piece, please.

```

Listing 6: Transcript 6 - Raw Data

### 2.1.7 Transcript 7 - Candy Stall

**Date:** June 28, 1994, **Location:** Candy Stall, Aachen, Market Square, 11:30 AM

```

1 Customer: I would like one hundred grams of the assorted ones.
2 Seller: For home or to take away?
3 Customer: To take away, please.
4 Seller: Fifty pfennigs, please.
5 Customer: Thanks!

```

Listing 7: Transcript 7 - Raw Data

### 2.1.8 Transcript 8 - Bakery

**Date:** July 9, 1994, **Location:** Bakery, Aachen, 12:00 PM

```

1 (Footsteps audible, background noises, partially unintelligible)
2 Customer: Good day!
3 (Unintelligible greeting in the background)
4 Salesperson: One of our best coffee, freshly ground, please.
5 (Noises of coffee grinder, packaging sounds)
6 Salesperson: Anything else?
7 Customer: Yes, also two pieces of fruit salad and a small bowl of
      cream.
8 Salesperson: All right!
9 (Noises of coffee grinder, paper sounds)
10 Salesperson: A small bowl of cream, yes?
11 Customer: Yes, thanks.
12 (Door noise, laughter, paper sounds)
13 Salesperson: Nobody takes care of oiling the doors.
14 Customer: Yes, that's always the case.
15 (Laughter, sounds of coins and packaging)
16 Salesperson: That will be fourteen marks and nineteen pfennigs,
      please.
17 Customer: I'll pay in small change.
18 (Laughter and sounds of coins)
19 Salesperson: Thank you very much, have a nice Sunday!

```

20 Customer: Thanks, you too!

Listing 8: Transcript 8 - Raw Data

## 2.2 The Terminal Symbol Strings (ARS 3.0)

For ARS 3.0, these raw data were converted into terminal symbol strings, which served as the basis for hierarchical grammar induction:

Table 1: Terminal Symbol Strings of the Eight Transcripts

| Transcript        | Terminal Symbol String   |
|-------------------|--|
| 1 (Butcher)       | KBG, VBG, KBBd, VBBd, KBA, VBA, KBBd, VBBd, KBA, VAA, KAA, VAA |
| 2 (Cherries)      | VBG, KBBd, VBBd, VAA, KAA, VBG, KBBd, VAA, KAA                 |
| 3 (Fish)          | KBBd, VBBd, VAA, KAA   |
| 4 (Vegetable)     | KBBd, VBBd, KBA, VBA, KBBd, VBA, KAE, VAE, KAA, VAV, KAV       |
| 5 (Vegetable KAV) | KAV, KBBd, VBBd, KBBd, VAA, KAV                                |
| 6 (Cheese)        | KBG, VBG, KBBd, VBBd, KAA                                      |
| 7 (Candy)         | KBBd, VBBd, KBA, VAA, KAA                                      |
| 8 (Bakery)        | KBG, VBBd, KBBd, VBA, VAA, KAA, VAV, KAV                       |

The meaning of the terminal symbols:

- **KBG**: Customer greeting
- **VBG**: Salesperson greeting
- **KBBd**: Customer need (concrete)
- **VBBd**: Salesperson inquiry
- **KBA**: Customer response
- **VBA**: Salesperson reaction
- **KAE**: Customer inquiry
- **VAE**: Salesperson information
- **KAA**: Customer completion
- **VAA**: Salesperson completion
- **KAV**: Customer farewell
- **VAV**: Salesperson farewell

### 3 Scenario C: Computational Linguistics Integration

Scenario C implements a fully computational linguistics modeling of the eight transcripts. It comprises four components:

1. **Speech Act Recognition:** Automatic recognition of speech acts from raw data
2. **Word Embeddings:** Vector representations of utterances
3. **Topic Modeling:** Identification of thematic shifts
4. **Rhetorical Structure Theory (RST):** Analysis of argumentative structure

#### 3.1 Didactic Augmentation

Since neural networks require large amounts of data for training, the eight transcripts are augmented for demonstration purposes:

```
1 def augment_transcripts_for_teaching(transcripts, factor=20):
2     """
3         Augments the eight transcripts for didactic purposes.
4
5         Didactic note: This augmentation serves exclusively for
6             illustrating
7             the methodology. The resulting data are not empirically
8                 valid but
9                 merely enable demonstration of how neural methods
10                function.
11
12    augmented = []
13
14    # 1. Basic augmentation: simple copying
15    for _ in range(factor):
16        augmented.extend(transcripts)
17
18    # 2. Syntactic variations (didactically controlled)
19    import copy
20    import random
21
22    for transcript in transcripts:
23        transcript['text'] = copy.deepcopy(transcript['text'])
24        transcript['text'] = transcript['text'].replace(' ', ' ')
25        transcript['text'] = transcript['text'].lower()
26        transcript['text'] = transcript['text'].split(' ')
27        transcript['text'] = [random.choice(['', ' ']) + word for word in transcript['text']]
28        transcript['text'] = ' '.join(transcript['text'])
```

```

20     for _ in range(factor // 4):
21         var = copy.deepcopy(transcript)
22         # Swap two adjacent utterances (rarely)
23         if len(var) > 3 and random.random() < 0.1:
24             idx = random.randint(0, len(var)-2)
25             var[idx], var[idx+1] = var[idx+1], var[idx]
26         augmented.append(var)
27
28     # 3. Lexical variations (synonyms)
29     synonyms = {
30         'Good day': ['Good morning', 'Hello', 'Good evening'],
31         'Thanks': ['Thank you', 'Thank you very much', 'Merci'],
32         'Please': ['Please', 'You\'re welcome']
33     }
34
35     # Further variations could be implemented here
36
37     return augmented

```

Listing 9: Data Augmentation for Teaching Purposes

### 3.2 Speech Act Recognition with Transformer Models

Automatic recognition of speech acts is performed with a fine-tuned BERT model:

```

1 """
2 Speech Act Recognition with transformer-based models
3 Didactic implementation for teaching purposes
4 """
5
6 import torch
7 import torch.nn as nn
8 from transformers import BertTokenizer, BertModel
9 import numpy as np
10 from sklearn.preprocessing import LabelEncoder
11 from torch.utils.data import Dataset, DataLoader
12
13 class SpeechActDataset(Dataset):
14     """Dataset for Speech Act Recognition"""

```

```

15     def __init__(self, utterances, labels, tokenizer,
16                  max_length=128):
17         self.utterances = utterances
18         self.labels = labels
19         self.tokenizer = tokenizer
20         self.max_length = max_length
21
22     def __len__(self):
23         return len(self.utterances)
24
25     def __getitem__(self, idx):
26         utterance = self.utterances[idx]
27         label = self.labels[idx]
28
29         encoding = self.tokenizer(
30             utterance,
31             truncation=True,
32             padding='max_length',
33             max_length=self.max_length,
34             return_tensors='pt'
35         )
36
37         return {
38             'input_ids': encoding['input_ids'].flatten(),
39             'attention_mask': encoding['attention_mask'].
40                             flatten(),
41             'label': torch.tensor(label, dtype=torch.long)
42         }
43
44 class BertSpeechActClassifier(nn.Module):
45     """
46         BERT-based classifier for speech acts
47         Didactically simplified architecture
48     """
49
50     def __init__(self, num_classes=12, dropout=0.3):
51         super().__init__()
52         self.bert = BertModel.from_pretrained('bert-base-
53                                             german-cased')
54         self.dropout = nn.Dropout(dropout)
55         self.classifier = nn.Linear(768, num_classes)

```

```

52
53     # Freeze BERT layers for didactic purposes (faster
54     # training)
55     for param in self.bert.parameters():
56         param.requires_grad = False
57
58     def forward(self, input_ids, attention_mask):
59         outputs = self.bert(input_ids=input_ids,
60                             attention_mask=attention_mask)
61         pooled_output = outputs.pooler_output
62         dropped = self.dropout(pooled_output)
63         logits = self.classifier(dropped)
64         return logits
65
66
67     def prepare_speech_act_data(transcripts, terminal_chains):
68         """
69         Prepares data for speech act training
70         """
71         utterances = []
72         labels = []
73
74         # Extract all utterances from raw data
75         # Simplified: use terminal symbols directly for didactic
76         # purposes
77         for trans, chain in zip(transcripts, terminal_chains):
78             for symbol in chain:
79                 utterances.append(f"Example utterance for {symbol}")
80                 labels.append(symbol)
81
82         # Label encoding
83         label_encoder = LabelEncoder()
84         y_encoded = label_encoder.fit_transform(labels)
85
86         return utterances, y_encoded, label_encoder
87
88     def train_speech_act_model(utterances, labels, epochs=10):
89         """
90         Trains the speech act recognition model
91         """

```

```

88     tokenizer = BertTokenizer.from_pretrained('bert-base-
89         german-cased')
90     dataset = SpeechActDataset(utterances, labels, tokenizer)
91     dataloader = DataLoader(dataset, batch_size=8, shuffle=
92         True)
93
94     model = BertSpeechActClassifier(num_classes=len(set(
95         labels)))
96     optimizer = torch.optim.Adam(model.parameters(), lr=2e-5)
97     criterion = nn.CrossEntropyLoss()
98
99     print("\n==== Speech Act Recognition Training (Didactic)
100        ===")
101
102     for epoch in range(epochs):
103         total_loss = 0
104         for batch in dataloader:
105             optimizer.zero_grad()
106             outputs = model(batch['input_ids'], batch[
107                 'attention_mask'])
108             loss = criterion(outputs, batch['label'])
109             loss.backward()
110             optimizer.step()
111             total_loss += loss.item()
112
113             print(f"Epoch {epoch+1}: Loss = {total_loss/len(
114                 dataloader):.4f}")
115
116         return model, tokenizer, label_encoder
117
118 # Didactic note
119 print("\n" + "="*70)
120 print("DIDACTIC NOTE ON SPEECH ACT RECOGNITION")
121 print("="*70)
122 print("The implementation shown here uses augmented")
123 print("data and serves exclusively teaching purposes.")
124 print("Automatic recognition of speech acts would in practice
125       :")
126 print("       Require millions of annotated training data")
127 print("       Be fine-tuned to specific domains (sales
128           conversations)")

```

```
120 print("      Be subject to considerable uncertainties")
```

Listing 10: Speech Act Recognition with BERT

### 3.3 Word Embeddings and Semantic Similarity

For quantifying semantic similarity, pre-trained word embeddings are used:

```
1 """
2 Word Embeddings for Semantic Similarity Analysis
3 Didactic implementation with pre-trained models
4 """
5
6 from sentence_transformers import SentenceTransformer
7 import numpy as np
8 from sklearn.metrics.pairwise import cosine_similarity
9 import matplotlib.pyplot as plt
10 import seaborn as sns
11
12 class SemanticAnalyzer:
13     """
14     Analyzes semantic similarities between utterances
15     """
16
17     def __init__(self, model_name='paraphrase-multilingual-
18                 MiniLM-L12-v2'):
19         print(f"Loading pre-trained model: {model_name}")
20         self.model = SentenceTransformer(model_name)
21         self.embeddings = {}
22
23     def encode_utterances(self, utterances):
24         """
25         Creates embeddings for a list of utterances
26         """
27
28         embeddings = self.model.encode(utterances)
29         for utt, emb in zip(utterances, embeddings):
30             self.embeddings[utt] = emb
31
32     def similarity_matrix(self, utterances):
33         """
34         Calculates similarity matrix for all utterances
35     
```

```

33     """
34
35     embeddings = self.encode_utterances(utterances)
36     sim_matrix = cosine_similarity(embeddings)
37     return sim_matrix
38
39
40     def find_similar(self, query, utterances, top_k=5):
41         """
42             Finds the most similar utterances to a query
43         """
44
45         query_emb = self.model.encode([query])[0]
46         utt_embs = self.encode_utterances(utterances)
47
48         similarities = cosine_similarity([query_emb],
49                                         utt_embs)[0]
50         top_indices = np.argsort(similarities)[-top_k:][::-1]
51
52         results = []
53         for idx in top_indices:
54             results.append({
55                 'utterance': utterances[idx],
56                 'similarity': similarities[idx]
57             })
58
59         return results
60
61
62     def visualize_similarity(self, utterances, labels=None):
63         """
64             Visualizes similarity matrix as heatmap
65         """
66
67         sim_matrix = self.similarity_matrix(utterances)
68
69         plt.figure(figsize=(12, 10))
70         sns.heatmap(sim_matrix,
71                     xticklabels=labels if labels else range(
72                         len(utterances)),
73                     yticklabels=labels if labels else range(
74                         len(utterances)),
75                     cmap='viridis', vmin=0, vmax=1)
76         plt.title('Semantic Similarity Between Utterances')
77         plt.tight_layout()

```

```

70     plt.savefig('semantic_similarity.png', dpi=150)
71     plt.show()
72
73 # Didactic example
74 def demonstrate_semantic_analysis():
75     """
76     Demonstrates semantic analysis with examples
77     """
78     analyzer = SemanticAnalyzer()
79
80     # Example utterances from the transcripts
81     utterances = [
82         "Good day!",
83         "Good morning!",
84         "One liver sausage, please.",
85         "I would like sausage.",
86         "Thank you!",
87         "Thanks very much!",
88         "Goodbye!",
89         "Bye!"
90     ]
91
92     print("\n==== Semantic Similarity Analysis ===")
93
94     # Calculate similarity matrix
95     sim_matrix = analyzer.similarity_matrix(utterances)
96
97     # Most similar utterances to "Good day!"
98     similar = analyzer.find_similar("Good day!", utterances,
99                                     top_k=3)
100    print("\nMost similar to 'Good day!':")
101    for r in similar:
102        print(f" {r['utterance']}: {r['similarity']:.3f}")
103
104    # Visualization
105    analyzer.visualize_similarity(utterances, utterances)
106
107    return analyzer
108
109 # Didactic note

```

```

109 print("\n" + "="*70)
110 print("DIDACTIC NOTE ON WORD EMBEDDINGS")
111 print("="*70)
112 print("The embeddings used were pre-trained on large corpora"
      )
113 print("(Wikipedia, news, web texts). They capture general")
114 print("linguistic similarities, not the specific categories")
115 print("of sales conversations.")

```

Listing 11: Semantic Similarity with Word Embeddings

### 3.4 Topic Modeling with BERTopic

For identifying thematic shifts, BERTopic is used:

```

1 """
2 Topic Modeling for Identifying Thematic Shifts
3 Didactic implementation with BERTopic
4 """
5
6 from bertopic import BERTopic
7 from sklearn.feature_extraction.text import CountVectorizer
8 import pandas as pd
9 import matplotlib.pyplot as plt
10
11 class TranscriptTopicModeler:
12     """
13         Performs topic modeling on the transcripts
14     """
15     def __init__(self):
16         self.model = None
17         self.topics = None
18         self.probs = None
19
20     def prepare_documents(self, transcripts):
21         """
22             Prepares transcripts as documents for topic modeling
23         """
24         documents = []
25         metadata = []

```

```

27     for i, transcript in enumerate(transcripts, 1):
28         # Each transcript as one document
29         doc = ' '.join(transcript)
30         documents.append(doc)
31         metadata.append(f'Transcript {i}')
32
33     return documents, metadata
34
35 def fit_model(self, documents):
36     """
37     Trains the topic model
38     """
39
40     # Custom stop words
41     stopwords = ['please', 'thanks', 'thank', 'yes', 'no']
42
43     self.model = BERTopic(
44         embedding_model="paraphrase-multilingual-MiniLM-
45             L12-v2",
46         vectorizer_model=vectorizer,
47         verbose=True,
48         nr_topics='auto'
49     )
50
51     self.topics, self.probs = self.model.fit_transform(
52         documents)
53     return self.topics, self.probs
54
55 def visualize_topics(self):
56     """
57     Visualizes the found topics
58     """
59
60     if self.model is None:
61         return
62
63     fig = self.model.visualize_topics()
64     fig.write_html("topic_visualization.html")
65
66     # Statistics

```

```

64     topic_counts = pd.Series(self.topics).value_counts()
65     print("\n==== Topic Distribution ===")
66     for topic, count in topic_counts.items():
67         if topic == -1:
68             print(f"Outlier: {count} documents")
69         else:
70             words = self.model.get_topic(topic)[:5]
71             words_str = ', '.join([w for w, _ in words])
72             print(f"Topic {topic}: {count} documents - {words_str}")
73
74 def demonstrate_topic_modeling(transcripts):
75     """
76     Demonstrates topic modeling on the transcripts
77     """
78     modeler = TranscriptTopicModeler()
79     documents, metadata = modeler.prepare_documents(
80         transcripts)
81
82     print("\n==== Topic Modeling of Eight Transcripts ===")
83     topics, probs = modeler.fit_model(documents)
84
85     for i, (doc, topic, prob, meta) in enumerate(zip(
86         documents, topics, probs, metadata)):
87         if topic != -1:
88             words = modeler.model.get_topic(topic)[:3]
89             words_str = ', '.join([w for w, _ in words])
90             print(f"{meta}: Topic {topic} (Confidence: {prob
91                 :.2f}) - {words_str}")
92         else:
93             print(f"{meta}: No clear topic (Outlier)")
94
95     modeler.visualize_topics()
96     return modeler
97
98 # Didactic note
99 print("\n" + "="*70)
100 print("DIDACTIC NOTE ON TOPIC MODELING")
101 print("="*70)
102 print("Topic modeling identifies latent themes in text")

```

```
    corpora.")
100 print("With only eight documents, topic finding is unstable."
       )
101 print("The results therefore serve only to illustrate the")
102 print("methodology, not for substantive analysis.")
```

Listing 12: Topic Modeling with BERTopic

### 3.5 Rhetorical Structure Theory (RST)

For analyzing argumentative structure, an RST parser is implemented:

```

27     'Contrast': ['but', 'however', 'on the other hand', ,
28                   conversely'],
29     'Cause': ['because', 'since', 'therefore', 'thus', ,
30                hence'],
31     'Condition': ['if', 'provided that', 'as long as'],
32     'Purpose': ['in order to', 'so that'],
33     'Sequence': ['then', 'after that', 'first', 'finally',
34                   ]
35   }
36
37
38   def __init__(self):
39     self.relations = []
40     self.graph = nx.DiGraph()
41
42
43   def segment_transcript(self, transcript):
44     """
45       Segments a transcript into elementary discourse units
46       (EDUs)
47       Simplified: each utterance is an EDU
48     """
49
50     return transcript
51
52
53   def identify_relations(self, segments):
54     """
55       Identifies RST relations between segments
56       Didactically simplified implementation
57     """
58
59     relations = []
60
61
62     for i in range(len(segments)-1):
63       current = segments[i]
64       next_seg = segments[i+1]
65
66       # Check for cue phrases
67       for rel_type, cues in self.cue_phrases.items():
68         for cue in cues:
69           if cue in current.lower() or cue in
70             next_seg.lower():
71             relations.append(RSTRelation(
72               type_name=rel_type,

```

```

62                     nucleus=i,
63                     satellite=i+1
64             ))
65             break
66
67     # Default: Sequence relation
68     if i < len(segments)-1:
69         relations.append(RSTRelation(
70             type_name='Sequence',
71             nucleus=i,
72             satellite=i+1
73         ))
74
75     return relations
76
77 def build_tree(self, segments, relations):
78     """
79     Builds an RST tree from identified relations
80     """
81     self.graph.clear()
82
83     # Add nodes
84     for i, seg in enumerate(segments):
85         self.graph.add_node(i, text=seg[:30] + '...' if
86                             len(seg) > 30 else seg)
87
88     # Add edges
89     for rel in relations:
90         self.graph.add_edge(rel.nucleus, rel.satellite,
91                             relation=rel.type)
92
93     return self.graph
94
95 def parse(self, transcript):
96     """
97     Complete RST analysis of a transcript
98     """
99     segments = self.segment_transcript(transcript)
100    relations = self.identify_relations(segments)
101    tree = self.build_tree(segments, relations)

```

```

101
102     return {
103         'segments': segments,
104         'relations': relations,
105         'tree': tree
106     }
107
108     def visualize(self, title="RST Structure"):
109         """
110             Visualizes the RST tree
111         """
112
113         pos = nx.spring_layout(self.graph)
114         plt.figure(figsize=(12, 8))
115
116         # Draw nodes
117         nx.draw_networkx_nodes(self.graph, pos, node_color='lightblue',
118                               node_size=500)
119
120         # Draw edges with relation type as label
121         for edge in self.graph.edges(data=True):
122             nx.draw_networkx_edges(self.graph, pos, [(edge[0], edge[1])])
123             nx.draw_networkx_edge_labels(
124                 self.graph, pos,
125                 {(edge[0], edge[1]): edge[2]['relation']})
126
127         # Node labels
128         labels = {node: f'{node}: {self.graph.nodes[node]['text']}'}
129         for node in self.graph.nodes():
130             nx.draw_networkx_labels(self.graph, pos, labels,
131                                    font_size=8)
132
133         plt.title(title)
134         plt.axis('off')
135         plt.tight_layout()
136         plt.savefig('rst_structure.png', dpi=150)
137         plt.show()

```

```

137
138 def demonstrate_rst_analysis(transcripts):
139     """
140     Demonstrates RST analysis on the transcripts
141     """
142     parser = SimpleRSTParser()
143
144     print("\n==== RST Analysis of Transcripts ====")
145
146     for i, transcript in enumerate(transcripts, 1):
147         print(f"\nTranscript {i}:")
148         result = parser.parse(transcript)
149
150         # Show identified relations
151         for rel in result['relations'][:5]: # Only first 5
152             seg1 = result['segments'][rel.nucleus][:20] + ,
153                         ...
154             seg2 = result['segments'][rel.satellite][:20] + ,
155                         ...
156             print(f" {rel.type}: {seg1} {seg2}")
157
158         if i == 1: # Visualize only first transcript
159             parser.visualize(f"RST Structure Transcript {i}")
160
161     return parser
162
163 # Didactic note
164 print("\n" + "="*70)
165 print("DIDACTIC NOTE ON RST ANALYSIS")
166 print("=". * 70)
167 print("The RST analysis implemented here is greatly")
168     simplified."
169 print("A full RST parser would:")
170     print("      Require extensive manual annotation")
171     print("      Work with trained neural models")
172     print("      Consider multiple hierarchy levels of discourse")
173         relations")

```

Listing 13: Rhetorical Structure Theory Parser

### 3.6 Integration of Components in Scenario C

The complete integration of all components in Scenario C:

```
1 """
2 Scenario C: Complete Computational Linguistics Integration
3 Didactic implementation for teaching purposes
4 """
5
6 import os
7 import json
8 from datetime import datetime
9
10 class ScenarioC:
11     """
12         Integrates all computational linguistics components:
13         - Speech Act Recognition
14         - Word Embeddings / Semantic Analysis
15         - Topic Modeling
16         - RST Analysis
17     """
18
19     def __init__(self, transcripts, terminal_chains):
20         self.transcripts = transcripts
21         self.terminal_chains = terminal_chains
22         self.results = {}
23
24         print("\n" + "="*70)
25         print("SCENARIO C: COMPUTATIONAL LINGUISTICS
26             INTEGRATION")
27         print("="*70)
28         print("\nThis scenario demonstrates the application
29             of")
30         print("computational linguistics methods to the eight
31             ")
32         print("transcripts. All results serve didactic
33             purposes")
34         print("and are not empirically valid.\n")
35
36     def run_speech_act_recognition(self):
37         """
```

```

34     Runs speech act recognition
35     """
36
37     print("\n--- Speech Act Recognition ---")
38     utterances, labels, encoder = prepare_speech_act_data(
39         (
40             self.transcripts, self.terminal_chains
41         )
42
43         model, tokenizer, label_encoder =
44             train_speech_act_model(
45                 utterances, labels, epochs=5
46             )
47
48         self.results['speech_act'] = {
49             'model': model,
50             'tokenizer': tokenizer,
51             'label_encoder': label_encoder,
52             'num_classes': len(label_encoder.classes_)
53         }
54
55     return self.results['speech_act']
56
57
58     def run_semantic_analysis(self):
59         """
60
61         Runs semantic similarity analysis
62         """
63
64         print("\n--- Semantic Similarity Analysis ---")
65         analyzer = SemanticAnalyzer()
66
67         # Collect all utterances
68         all_utterances = []
69         for transcript in self.transcripts:
70             all_utterances.extend(transcript)
71
72         # Similarity matrix
73         sim_matrix = analyzer.similarity_matrix(
74             all_utterances[:20]) # Only first 20
75
76         self.results['semantic'] = {
77             'analyzer': analyzer,

```



```

110         'relations': [(r.type, r.nucleus, r.satellite
111                         ) for r in result['relations']]
112     })
113
114     if i == 1:
115         parser.visualize(f"RST Structure Transcript {i}")
116
117     self.results['rst'] = rst_results
118
119 def run_all(self):
120     """
121     Runs all analyses
122     """
123     self.run_speech_act_recognition()
124     self.run_semantic_analysis()
125     self.run_topic_modeling()
126     self.run_rst_analysis()
127
128     # Summary
129     print("\n" + "="*70)
130     print("SCENARIO C SUMMARY")
131     print("="*70)
132     print(f"    Speech Act Recognition: {self.results['speech_act']['num_classes']} classes")
133     print(f"    Semantic Analysis: {len(self.results['semantic']['utterances'])} utterances")
134     print(f"    Topic Modeling: {len(set(self.results['topic']['topics']))} topics")
135     print(f"    RST Analysis: {len(self.results['rst'])} transcripts analyzed")
136
137     return self.results
138
139 # Didactic execution
140 def run_scenario_c_demonstration():
141     """
142     Runs the complete demonstration of Scenario C
143     """

```

```

144     # Load transcripts
145     from ars_data import transcripts, terminal_chains
146
147     # Augment data for didactic purposes
148     augmented_transcripts = augment_transcripts_for_teaching(
149         transcripts, factor=10)
150     augmented_chains = augment_transcripts_for_teaching(
151         terminal_chains, factor=10)
152
153     print("\n" + "="*70)
154     print("DIDACTIC AUGMENTATION")
155     print("="*70)
156     print(f"Original: {len(transcripts)} transcripts")
157     print(f"Augmented: {len(augmented_transcripts)}"
158           " transcripts")
159
160     # Run Scenario C
161     scenario = ScenarioC(augmented_transcripts,
162                           augmented_chains)
163     results = scenario.run_all()
164
165     # Save results
166     with open('scenario_c_results.json', 'w') as f:
167         # Convert non-serializable objects
168         serializable = {
169             'speech_act': {'num_classes': results['speech_act']
170                            [0]['num_classes']},
171             'semantic': {'num_utterances': len(results[0]
172                                         ['semantic'][0]['utterances'])},
173             'topic': {'num_topics': len(set(results[0]['topic'][0]
174                                            ['topics']))},
175             'rst': results['rst']}
176         json.dump(serializable, f, indent=2)
177
178         print("\nResults saved to 'scenario_c_results.json'")
179
180     return results
181
182 if __name__ == "__main__":

```

```
177     run_scenario_c_demonstration()
```

Listing 14: Scenario C - Complete Integration

## 4 Scenario D: Hybrid Modeling

Scenario D integrates computational linguistics methods with the interpretively formed categories of ARS 3.0. It skips the complete automation of category formation (Scenario C) and uses the new methods complementarily.

### 4.1 CRF for Sequential Dependencies

Conditional Random Fields model dependencies of speech acts on the wider context:

```
1 """
2 Conditional Random Fields (CRF) for Sequential Dependencies
3 Didactic implementation with sklearn-crfsuite
4 """
5
6 import sklearn_crfsuite
7 from sklearn_crfsuite import metrics
8 import numpy as np
9
10 class CRFSequenceModel:
11     """
12         CRF model for sequence modeling of terminal symbols
13     """
14
15     def __init__(self):
16         self.crf = sklearn_crfsuite.CRF(
17             algorithm='lbgf',
18             c1=0.1,    # L1 regularization
19             c2=0.1,    # L2 regularization
20             max_iterations=100,
21             all_possible_transitions=True
22         )
23         self.label_encoder = None
24
25     def word2features(self, tokens, i):
26         """
```

```

27     Creates features for position i in the sequence
28     """
29
30
31     features = {
32         'bias': 1.0,
33         'word': word,
34         'word.is_first': i == 0,
35         'word.is_last': i == len(tokens) - 1,
36         'word.prefix_K': word.startswith('K'),
37         'word.prefix_V': word.startswith('V'),
38         'word.suffix_A': word.endswith('A'),
39         'word.suffix_B': word.endswith('B'),
40         'word.suffix_E': word.endswith('E'),
41         'word.suffix_G': word.endswith('G'),
42         'word.suffix_V': word.endswith('V'),
43     }
44
45     # Context features
46     if i > 0:
47         word_prev = tokens[i-1]
48         features.update({
49             '-1:word': word_prev,
50             '-1:word.prefix_K': word_prev.startswith('K'),
51             ,
52             '-1:word.prefix_V': word_prev.startswith('V'),
53             ,
54             '-1:word.suffix_A': word_prev.endswith('A'),
55         })
56     else:
57         features['BOS'] = True
58
59     if i < len(tokens) - 1:
60         word_next = tokens[i+1]
61         features.update({
62             '+1:word': word_next,
63             '+1:word.prefix_K': word_next.startswith('K'),
64             ,
65             '+1:word.prefix_V': word_next.startswith('V'),
66             ,
67         })

```

```

63             '+1:word.suffix_A': word_next.endswith('A'),
64         })
65     else:
66         features['EOS'] = True
67
68     return features
69
70 def extract_features(self, sequences):
71     """
72     Extracts features for all sequences
73     """
74     X = []
75     for seq in sequences:
76         X.append([self.word2features(seq, i) for i in
77                   range(len(seq))])
78     return X
79
80 def fit(self, sequences, labels):
81     """
82     Trains the CRF model
83     """
84     X = self.extract_features(sequences)
85     self.crf.fit(X, labels)
86     return self
87
88 def predict(self, sequences):
89     """
90     Predicts labels for new sequences
91     """
92     X = self.extract_features(sequences)
93     return self.crf.predict(X)
94
95 def evaluate(self, test_sequences, test_labels):
96     """
97     Evaluates the model
98     """
99
100    pred = self.predict(test_sequences)
101
102    # Flatten for metrics
103    y_true = [label for seq in test_labels for label in

```

```

        seq]
102     y_pred = [label for seq in pred for label in seq]

103
104     return {
105         'accuracy': np.mean(np.array(y_true) == np.array(
106             y_pred)),
107         'classification_report': metrics.
108             flat_classification_report(
109                 test_labels, pred, labels=sorted(set(y_true))
110             )
111     }
112
113
114     def demonstrate_crf(terminal_chains):
115         """
116             Demonstrates CRF modeling on terminal symbols
117         """
118         print("\n==== CRF Modeling of Terminal Symbols ====")
119
120         # Train-test split (didactic)
121         train_size = int(len(terminal_chains) * 0.7)
122         train_chains = terminal_chains[:train_size]
123         test_chains = terminal_chains[train_size:]
124
125
126         # Extract features
127         model = CRFSequenceModel()
128         X_train = model.extract_features(train_chains)
129
130
131         # Training
132         print(f"Training CRF with {len(train_chains)} sequences
133             ...")
134         model.fit(train_chains, train_chains) # Labels are the
135             sequences themselves
136
137
138         # Evaluation
139         results = model.evaluate(test_chains, test_chains)
140         print(f"\nAccuracy: {results['accuracy']:.3f}")
141
142
143         return model

```

Listing 15: CRF for Sequential Dependencies

## 4.2 Transformer Embeddings as Supplement

Transformer embeddings are used in addition to categorical terminal symbols:

```
1 """
2 Transformer Embeddings as Supplement to Categorical Terminal
3     Symbols
4 """
5 import torch
6 import numpy as np
7 from sentence_transformers import SentenceTransformer
8
9 class TerminalEmbeddingEnricher:
10     """
11         Enriches terminal symbols with semantic embeddings of
12             underlying utterances
13     """
14
15     def __init__(self, model_name='paraphrase-multilingual-
16         MiniLM-L12-v2'):
17         self.model = SentenceTransformer(model_name)
18         self.symbol_to_embedding = {}
19         self.symbol_to_text = self._create_symbol_mapping()
20
21     def _create_symbol_mapping(self):
22         """
23             Creates a mapping from terminal symbols to example
24                 texts
25         """
26
27         return {
28             'KBG': ['Good day', 'Good morning', 'Hello'],
29             'VBG': ['Good day', 'Good morning', 'Hello back'],
30             'KBBd': ['One liver sausage', 'I would like
31                 cheese', 'One kilo of apples please'],
32             'VBBd': ['How much would you like?', 'Which kind?
33                 ', 'Anything else?'],
34             'KBA': ['Two hundred grams', 'The white ones
35                 please', 'Yes, please'],
36             'VBA': ['All right', 'Coming right up', 'Okay'],
37         }
```

```

30         'KAE': ['Can I put that in salad?', 'Where are
31             these from?', 'Is it fresh?'],
32         'VAE': ['Better to saut ', 'From the region', ,
33             'Yes, very fresh'],
34         'KAA': ['Here you go', 'Thanks', 'Yes, thanks'],
35         'VAA': ['That will be 8 marks 20', '3 marks
36             please', '14 marks 19'],
37         'KAV': ['Goodbye', 'Bye', 'Have a nice day'],
38         'VAV': ['Thank you very much', 'Have a nice day',
39             'Goodbye']
40     }
41
42
43     def get_embedding(self, symbol):
44         """
45             Returns the embedding for a terminal symbol
46         """
47
48         if symbol in self.symbol_to_embedding:
49             return self.symbol_to_embedding[symbol]
50
51
52         # Average of example text embeddings
53         texts = self.symbol_to_text.get(symbol, [symbol])
54         embeddings = self.model.encode(texts)
55         avg_embedding = np.mean(embeddings, axis=0)
56
57         self.symbol_to_embedding[symbol] = avg_embedding
58         return avg_embedding
59
60
61     def enrich_sequence(self, sequence):
62         """
63             Enriches a sequence of terminal symbols with
64             embeddings
65         """
66
67         symbols = sequence
68         embeddings = np.array([self.get_embedding(sym) for
69             sym in symbols])
70
71
72         return {
73             'symbols': symbols,
74             'embeddings': embeddings,
75             'combined': np.column_stack([

```

```

64         self._one_hot_encode(symbols),
65         embeddings
66     ]) if len(symbols) > 0 else np.array([])
67 }
68
69 def _one_hot_encode(self, symbols):
70     """
71     One-hot encoding of terminal symbols
72     """
73     unique_symbols = sorted(set(self.symbol_to_text.keys()
74                               ()))
75     symbol_to_idx = {sym: i for i, sym in enumerate(
76                     unique_symbols)}
77
78     one_hot = np.zeros((len(symbols), len(unique_symbols))
79                        )
80
81     for i, sym in enumerate(symbols):
82         if sym in symbol_to_idx:
83             one_hot[i, symbol_to_idx[sym]] = 1
84
85     return one_hot
86
87
88 def demonstrate_embedding_enrichment():
89     """
90     Demonstrates enrichment of terminal symbols with
91     embeddings
92     """
93
94     enricher = TerminalEmbeddingEnricher()
95
96     print("\n==== Enrichment of Terminal Symbols with
97          Embeddings ===")
98
99     # Example sequence
100    sequence = ['KBG', 'VBG', 'KBBd', 'VBBd', 'KBA']
101
102    enriched = enricher.enrich_sequence(sequence)
103
104    print(f"\nSequence: {'.join(sequence)}")
105    print(f"Embedding dimension: {enriched['embeddings'].
106          shape[1]}")

```

```

98     print(f"One-hot dimension: {enriched['combined'].shape[1]
99         - enriched['embeddings'].shape[1]}")
100    print(f"Combined dimension: {enriched['combined'].shape
101        [1]}")
102
103    return enricher

```

Listing 16: Transformer Embeddings for Terminal Symbols

### 4.3 Graph Neural Networks for the Nonterminal Hierarchy

The nonterminal hierarchy is modeled as a Graph Neural Network:

```

1 """
2 Graph Neural Network for the Nonterminal Hierarchy
3 Didactic implementation with PyTorch Geometric
4 """
5
6 import torch
7 import torch.nn as nn
8 import torch.nn.functional as F
9 from torch_geometric.nn import GCNConv, GATConv
10 from torch_geometric.data import Data
11 import networkx as nx
12
13 class GrammarGNN(nn.Module):
14     """
15         Graph Neural Network for the grammar hierarchy
16     """
17
18     def __init__(self, input_dim, hidden_dim=64, num_classes
19 =12):
20         super().__init__()
21         self.conv1 = GCNConv(input_dim, hidden_dim)
22         self.conv2 = GCNConv(hidden_dim, hidden_dim)
23         self.classifier = nn.Linear(hidden_dim, num_classes)
24
25     def forward(self, x, edge_index):
26         x = self.conv1(x, edge_index)
27         x = F.relu(x)
28         x = F.dropout(x, training=self.training)

```

```

28         x = self.conv2(x, edge_index)
29         x = F.relu(x)
30         x = self.classifier(x)
31         return F.log_softmax(x, dim=1)
32
33 class GrammarHierarchyGNN:
34     """
35     Manages the GNN for the nonterminal hierarchy
36     """
37
38     def __init__(self, grammar_rules):
39         self.grammar = grammar_rules
40         self.graph = self._build_graph()
41         self.model = None
42
43     def _build_graph(self):
44         """
45         Builds a graph from the grammar hierarchy
46         """
47         G = nx.DiGraph()
48
49         # Nodes: terminals and nonterminals
50         all_symbols = set()
51
52         # Nonterminals as nodes
53         for nt, productions in self.grammar.items():
54             all_symbols.add(nt)
55             for prod, _ in productions:
56                 for sym in prod:
57                     all_symbols.add(sym)
58
59         # Edges: derivation relations
60         for nt, productions in self.grammar.items():
61             for prod, prob in productions:
62                 for sym in prod:
63                     G.add_edge(nt, sym, weight=prob)
64
65         return G
66
67     def prepare_data(self):

```

```

68 """
69     Prepares data for the GNN
70 """
71
72     # Node indices
73     nodes = list(self.graph.nodes())
74     node_to_idx = {node: i for i, node in enumerate(nodes)}
75
76     # Feature matrix (simplified: one-hot)
77     x = torch.eye(len(nodes))
78
79     # Edge index
80     edge_index = []
81     for u, v, data in self.graph.edges(data=True):
82         edge_index.append([node_to_idx[u], node_to_idx[v]])
83
84     edge_index = torch.tensor(edge_index, dtype=torch.long).t().contiguous()
85
86     return Data(x=x, edge_index=edge_index)
87
88 def train(self, epochs=100):
89 """
90     Trains the GNN
91 """
92     data = self.prepare_data()
93     self.model = GrammarGNN(input_dim=data.x.shape[1])
94
95     optimizer = torch.optim.Adam(self.model.parameters(), lr=0.01)
96
97     print("\n== Training Grammar GNN ==")
98     for epoch in range(epochs):
99         self.model.train()
100        optimizer.zero_grad()
101        out = self.model(data.x, data.edge_index)
102
103        # Self-supervised learning: graph reconstruction
104        # Simplified: predict neighbors

```

```

104         loss = F.nll_loss(out[data.edge_index[0]], data.
105                           edge_index[1])
106
107         loss.backward()
108         optimizer.step()
109
110         if epoch % 20 == 0:
111             print(f"Epoch {epoch}: Loss = {loss.item():.4
112                           f}")
113
114     return self.model
115
116
117 def demonstrate_gnn(grammar_rules):
118     """
119     Demonstrates GNN for the grammar hierarchy
120     """
121
122     print("\n==== Graph Neural Network for Nonterminal
123           Hierarchy ===")
124
125     gnn = GrammarHierarchyGNN(grammar_rules)
126     print(f"Graph: {gnn.graph.number_of_nodes()} nodes, "
127           f"{gnn.graph.number_of_edges()} edges")
128
129     model = gnn.train(epochs=100)
130
131
132     return gnn, model

```

Listing 17: Graph Neural Network for Nonterminal Hierarchy

#### 4.4 Attention Mechanisms for Relevant Predecessors

Attention mechanisms identify particularly relevant predecessors for current decisions:

```

1 """
2 Attention Mechanisms for Identifying Relevant Predecessors
3 """
4
5 import torch
6 import torch.nn as nn
7 import torch.nn.functional as F
8 import numpy as np

```

```

9
10 class SequenceAttention(nn.Module):
11     """
12     Attention mechanism for sequence modeling
13     """
14
15     def __init__(self, embedding_dim, hidden_dim=64):
16         super().__init__()
17         self.embedding_dim = embedding_dim
18         self.hidden_dim = hidden_dim
19
20         # Attention parameters
21         self.W_q = nn.Linear(embedding_dim, hidden_dim, bias=False)
22         self.W_k = nn.Linear(embedding_dim, hidden_dim, bias=False)
23         self.W_v = nn.Linear(embedding_dim, hidden_dim, bias=False)
24         self.scale = hidden_dim ** 0.5
25
26     def forward(self, x, mask=None):
27         """
28         x: (seq_len, batch, embedding_dim)
29         """
30
31         # Compute Query, Key, Value
32         Q = self.W_q(x) # (seq_len, batch, hidden_dim)
33         K = self.W_k(x) # (seq_len, batch, hidden_dim)
34         V = self.W_v(x) # (seq_len, batch, hidden_dim)
35
36         # Attention scores
37         scores = torch.matmul(Q.transpose(0, 1), K.transpose(0, 1).transpose(1, 2))
38         scores = scores / self.scale
39
40         if mask is not None:
41             scores = scores.masked_fill(mask == 0, -1e9)
42
43         # Attention weights
44         attention_weights = F.softmax(scores, dim=-1)

```

```

45     # Weighted sum
46     context = torch.matmul(attention_weights, V.transpose
47                           (0, 1))
48
49     return context, attention_weights
50
51
52 class SymbolPredictorWithAttention(nn.Module):
53     """
54     Predicts the next symbol with attention on predecessors
55     """
56
57     def __init__(self, num_symbols, embedding_dim=50,
58                  hidden_dim=64):
59         super().__init__()
60         self.embedding = nn.Embedding(num_symbols,
61                                       embedding_dim)
62         self.attention = SequenceAttention(embedding_dim,
63                                           hidden_dim)
64         self.lstm = nn.LSTM(embedding_dim, hidden_dim,
65                            batch_first=True)
66         self.classifier = nn.Linear(hidden_dim +
67                                     embedding_dim, num_symbols)
68
69     def forward(self, x):
70         """
71         x: (batch, seq_len) with symbol indices
72         """
73
74         # Embeddings
75         embedded = self.embedding(x) # (batch, seq_len,
76                                     embedding_dim)
77
78         # LSTM for sequential dependencies
79         lstm_out, (hidden, cell) = self.lstm(embedded)
80
81
82         # Attention over the sequence
83         # Transpose for attention (seq_len, batch,
84         # embedding_dim)
85         context, attention_weights = self.attention(embedded.
86                                                       transpose(0, 1))
87
88

```

```

76     # Combine last LSTM state with attention context
77     last_hidden = hidden[-1]    # (batch, hidden_dim)
78     last_context = context[-1]  # (batch, hidden_dim)
79
80     # Prediction
81     combined = torch.cat([last_hidden, last_context], dim
82                           =-1)
83     logits = self.classifier(combined)
84
85     return logits, attention_weights
86
86 def demonstrate_attention(terminal_chains, symbol_to_idx):
87     """
88     Demonstrates attention mechanisms on the sequences
89     """
90     print("\n==== Attention Mechanisms for Relevant
91           Predecessors ===")
92
92     # Prepare data
93     sequences = []
94     for chain in terminal_chains:
95         seq = [symbol_to_idx[sym] for sym in chain]
96         sequences.append(seq)
97
98     # Padding for batch processing
99     from torch.nn.utils.rnn import pad_sequence
100    sequences_padded = pad_sequence([torch.tensor(seq) for
101                                       seq in sequences],
102                                       batch_first=True,
103                                       padding_value=0)
104
104    # Initialize model
105    model = SymbolPredictorWithAttention(num_symbols=len(
106                                         symbol_to_idx))
107
107    # Forward pass
108    logits, attention_weights = model(sequences_padded[:2])
109    # Only first 2 sequences
110
110    print(f"\nInput shape: {sequences_padded[:2].shape}")

```

```

110     print(f"Attention weights shape: {attention_weights.shape
111         }")
112
113     # Visualize attention weights
114     plot_attention_weights(attention_weights[0].detach().numpy(),
115                             sequences[0], sequences[0])
116
117     return model
118
119 def plot_attention_weights(attention, source_tokens,
120                           target_tokens):
121     """
122     Visualizes attention weights as heatmap
123     """
124
125     import matplotlib.pyplot as plt
126     import seaborn as sns
127
128
129     plt.figure(figsize=(10, 8))
130     sns.heatmap(attention[:len(target_tokens), :len(
131         source_tokens)],
132                 xticklabels=source_tokens,
133                 yticklabels=target_tokens,
134                 cmap='viridis', annot=True, fmt='.2f')
135     plt.title('Attention Weights Between Predecessors and
136               Prediction')
137     plt.xlabel('Predecessor Symbols')
138     plt.ylabel('Prediction Position')
139     plt.tight_layout()
140     plt.savefig('attention_weights.png', dpi=150)
141     plt.show()

```

Listing 18: Attention Mechanisms for Sequence Modeling

## 4.5 Integration of Components in Scenario D

The complete integration of all components in Scenario D:

```

1 """
2 Scenario D: Hybrid Modeling

```

```

3 Integration of computational linguistics methods with
4     interpretive categories
5 """
6
7 import json
8 import numpy as np
9
10 class ScenarioD:
11     """
12         Integrates computational linguistics methods
13             complementarily to the
14             interpretively formed categories of ARS 3.0
15     """
16
17
18     def __init__(self, terminal_chains, grammar_rules,
19                  reflection_log):
20         self.terminal_chains = terminal_chains
21         self.grammar_rules = grammar_rules
22         self.reflection_log = reflection_log
23         self.results = {}
24
25         print("\n" + "="*70)
26         print("SCENARIO D: HYBRID MODELING")
27         print("="*70)
28         print("\nThis scenario integrates computational
29             linguistics")
30         print("methods COMPLEMENTARILY to the interpretive")
31         print("categories of ARS 3.0. The interpretive basis"
32             )
33         print("is preserved but enriched by new methods.\n")
34
35     def run_crf_modeling(self):
36         """
37             Runs CRF modeling on terminal symbols
38         """
39
40         print("\n--- CRF Modeling ---")
41         crf_model = demonstrate_crf(self.terminal_chains)
42         self.results['crf'] = {'model': crf_model}
43
44         return crf_model

```

```

38     def run_embedding_enrichment(self):
39         """
40             Enriches terminal symbols with transformer embeddings
41         """
42         print("\n--- Embedding Enrichment ---")
43         enricher = demonstrate_embedding_enrichment()
44
45         # Example enriched sequence
46         example_seq = self.terminal_chains[0][:5]
47         enriched = enricher.enrich_sequence(example_seq)
48
49         self.results['embeddings'] = {
50             'enricher': enricher,
51             'example': enriched
52         }
53
54         return enricher
55
56     def run_gnn_hierarchy(self):
57         """
58             Models the nonterminal hierarchy as GNN
59         """
60         print("\n--- GNN for Nonterminal Hierarchy ---")
61         gnn, model = demonstrate_gnn(self.grammar_rules)
62         self.results['gnn'] = {'gnn': gnn, 'model': model}
63         return gnn, model
64
65     def run_attention_analysis(self):
66         """
67             Analyzes attention mechanisms on the sequences
68         """
69         print("\n--- Attention Analysis ---")
70
71         # Symbol to index mapping
72         all_symbols = set()
73         for chain in self.terminal_chains:
74             all_symbols.update(chain)
75         symbol_to_idx = {sym: i for i, sym in enumerate(
76             sorted(all_symbols))}
```

```

77     model = demonstrate_attention(self.terminal_chains,
78                                   symbol_to_idx)
79     self.results['attention'] = {'model': model}
80
81
82     return model
83
84
85     def run_all(self):
86         """
87             Runs all analyses (complementary, not substitutive)
88         """
89
90         self.run_crf_modeling()
91         self.run_embedding_enrichment()
92         self.run_gnn_hierarchy()
93         self.run_attention_analysis()
94
95         # Summary
96         print("\n" + "="*70)
97         print("SCENARIO D SUMMARY")
98         print("="*70)
99         print("    CRF Modeling: Sequential dependencies
100            modeled")
101        print("    Embedding Enrichment: Terminal symbols
102            semantically enriched")
103        print("    GNN Hierarchy: Nonterminal structure as
104            graph")
105        print("    Attention Analysis: Relevant predecessors
106            identified")
107        print("\nThe interpretive categories of ARS 3.0
108            remain")
109        print("the foundation of all analyses. Computational"
110            )
111        print("linguistics methods serve complementary
112            insight.")
113
114
115        return self.results
116
117
118    def run_scenario_d_demonstration(terminal_chains,
119                                    grammar_rules, reflection_log):
120        """
121            Runs the complete demonstration of Scenario D

```

```

108 """
109 scenario = ScenarioD(terminal_chains, grammar_rules,
110                      reflection_log)
111 results = scenario.run_all()
112
113 # Save results
114 with open('scenario_d_results.json', 'w') as f:
115     # Simplified serializable version
116     serializable = {
117         'crf': {'status': 'completed'},
118         'embeddings': {'status': 'completed'},
119         'gnn': {'num_nodes': results['gnn'][0].graph.
120                 number_of_nodes()},
121         'attention': {'status': 'completed'}
122     }
123     json.dump(serializable, f, indent=2)
124
125 print("\nResults saved to 'scenario_d_results.json'")
126
127 # Didactic note
128 print("\n" + "="*70)
129 print("METHODOLOGICAL NOTE ON SCENARIO D")
130 print("="*70)
131 print("Scenario D preserves the interpretive basis of ARS
132       3.0.")
133 print("The computational linguistics methods are used
134       COMPLEMENTARILY,")
135 print("not as a replacement for manual category formation.")
136 print("This corresponds to the methodological demand for")
137 print("control and transparency in the sense of XAI criteria.
138       ")

```

Listing 19: Scenario D - Complete Hybrid Integration

## 5 Comparison of Scenarios and Methodological Reflection

### 5.1 Comparison of Approaches

Table 2: Comparison of Scenarios C and D

| Criterion                  | Scenario C                     | Scenario D                         |
|----------------------------|--------------------------------|------------------------------------|
| **Category Formation**     | Automatic (Speech Recognition) | Interpretive (ARS 3.0)             |
| **Data Basis**             | Augmented raw data             | Terminal symbol strings            |
| **Representation**         | Vector embeddings              | Discrete categories + embeddings   |
| **Hierarchy**              | Automatically learned          | Explicitly induced (ARS 3.0)       |
| **Transparency**           | Low (black box)                | High (documented decisions)        |
| **Didactic Value**         | Functioning of neural methods  | Integration of old and new methods |
| **Empirical Validity**     | Not given                      | Limited (based on interpretation)  |
| **Methodological Control** | Lost                           | Preserved                          |

### 5.2 Didactic Insights from Scenario C

The implementation of Scenario C has shown:

1. **Need for large data volumes:** Neural methods require data volumes far exceeding the eight transcripts for valid results. Augmentation enables demonstration of functioning but does not replace real data.
2. **Opacity of decisions:** Automatically learned categories and attention weights are not easily comprehensible to third parties. The XAI criteria of meaningfulness and transparency are violated.
3. **Loss of interpretive basis:** Automatic speech act recognition does not capture the qualitatively meaningful distinctions of ARS (e.g., between KBA and KAA) but learns statistical correlations in vector space.

### 5.3 Didactic Insights from Scenario D

The implementation of Scenario D has shown:

1. **Complementarity instead of substitution:** Computational linguistics methods can provide valuable additional information (e.g., semantic similarities between different utterances) without replacing the interpretive basis.
2. **Validation possibilities:** Embedding similarities can be used to validate interpretive category formation: similar utterances should receive similar terminal symbols.
3. **Visualization of dependencies:** Attention mechanisms and CRF models visualize which predecessors are particularly relevant for current decisions – this can illustrate the sequential structure of conversations.
4. **Methodological control preserved:** Since interpretive categories form the foundation, all results remain tied back to qualitative decisions and are intersubjectively verifiable.

## 5.4 Conclusion for Teaching Practice

The didactic exploration of Scenarios C and D leads to the following conclusions:

1. **Scenario C is suitable for demonstrating the functioning** of neural methods but should be used with explicit note of lacking empirical validity and methodological problems.
2. **Scenario D is methodologically preferable** as it preserves the interpretive basis and uses computational linguistics methods complementarily. It conveys how old and new methods can be productively combined.
3. **Data augmentation is a valuable didactic tool** to demonstrate the functioning of methods with small datasets. The augmented nature of the data must always be made transparent.
4. **The XAI criteria** (meaningfulness, accuracy, knowledge limits) provide a suitable framework to evaluate different approaches and reflect on their strengths and weaknesses.

## 6 Outlook

The didactic implementations presented here can be further developed in several directions:

1. **Extension of augmentation strategies:** Beyond simple copying, more complex augmentations (paraphrasing, controlled variation) could be implemented.
2. **Integration of further methods:** e.g., Petri nets for concurrency, Bayesian networks for inference, or formal verification methods.
3. **Development of comparison metrics:** How can the results of different scenarios be compared quantitatively without losing the qualitative basis?
4. **Transfer to other datasets:** The methodology can be transferred to other interaction types (doctor-patient conversations, classroom interactions, etc.).

What remains crucial throughout is methodological control: the formal procedures must respect the interpretive character of the analysis and must not lead to its automation.

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