

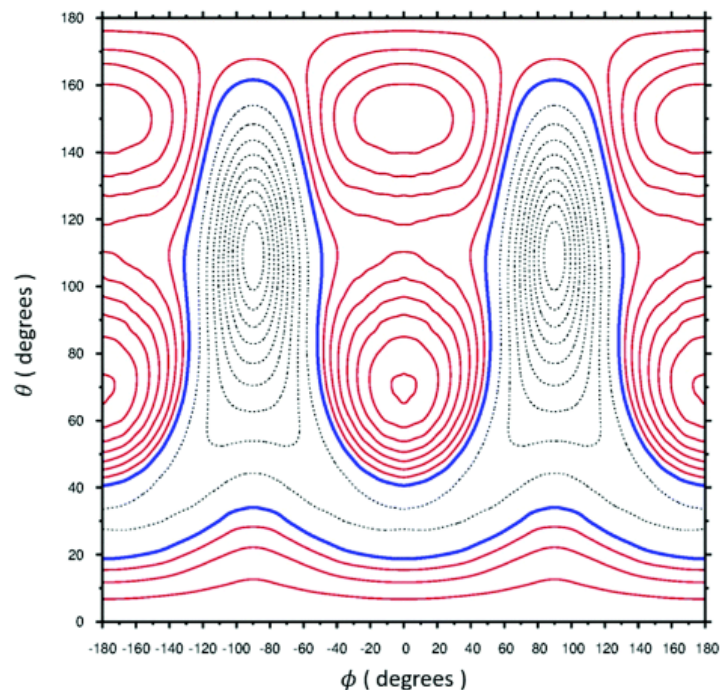
*This is open notes, open book, open internet. No collaboration is allowed.*

**(25 Points) Problem 1:** Please reply to the following with a sentence or few sentence answer.

- What higher lying principle is the Hamiltonian derived from that was not used in Newton's formulation?
- Why is the result of a simple harmonic oscillator (SHO) applicable to so many cases across science?
- What do the on and off diagonal components of a matrix operator correspond to?
- Label each term in Schrodinger's equation with its meaning or intuitive importance.

**(15 Points) Problem 2:** The following potential energy surfaces corresponds to an ozone-Ar complex. The symmetry of the potential energy surface mirrors that of ozone. Red is positive energy while black is negative. In coordinates of  $(\theta, \phi)$ , please answer the following:

- If the molecule started at  $(\theta=70, \phi=0)$  where would it relax to and with what probability?
- Transition states are typically identified as a "saddle point" on the potential energy plot. Give the coordinate of the saddle point between the two potential minima you found in part A.



<https://doi.org/10.1039/C9CP01044K>

**(15 Points) Problem 3:** An operator  $R$  acts in a space of three orthonormal states,  $|T\rangle, |U\rangle, |V\rangle$  and is represented as outer products as  $R = 2|T\rangle\langle T| + 5|U\rangle\langle U| - 5|V\rangle\langle V|$ . These three states can be expressed as linear combinations of three other orthonormal states  $|1\rangle, |2\rangle, |3\rangle$  as follows

$$|T\rangle = |1\rangle; \quad |U\rangle = |2\rangle \frac{1}{\sqrt{2}} + |3\rangle \frac{e^{-i\phi}}{\sqrt{2}}; \quad |V\rangle = |2\rangle \frac{e^{i\phi}}{\sqrt{2}} - |3\rangle \frac{1}{\sqrt{2}}$$

Express  $R$  as a sum of the outer products in the form

$$R = \sum_i \sum_j |i\rangle c_{ij} \langle j|$$

**(20 points) Problem 4:**

- A. What number does  $|X\rangle$  need to be in order for the following to not be zero? What is the expectation value with this  $|X\rangle$ ?

$$\langle X | a(a^\dagger)^3 a | n \rangle$$

- B. Prove, using commutator relations, that  $\langle 1|1\rangle$  is orthonormal for the states of the SHO. Hint, use  $a^\dagger|0\rangle$  and the commutator for  $[a, a^\dagger]$ .

**(25 Points) Problem 5:** In this problem, we apply Fermi's golden rule to a 3-level system. The energies of our states are:

$$H|0\rangle = (400 \text{ cm}^{-1})|0\rangle$$

$$H|1\rangle = (1600 \text{ cm}^{-1})|1\rangle$$

$$H|2\rangle = (3400 \text{ cm}^{-1})|2\rangle$$

We'll assume that our particle starts in the ground state ( $|0\rangle$ ) and any wavefunction in this system can be described as a linear combination of these states.

- A. Recall that Fermi's golden rule allows us to calculate any transition rate (in  $\text{s}^{-1}$ ) as:

$$\Gamma_{i \rightarrow f} = \frac{2\pi}{\hbar} \sum_f |\langle f | V_{fi} | i \rangle|^2 \delta(E_f - E_i - \hbar\omega)$$

What does each part of Fermi's golden rule mean conceptually?

- B. What photon energies (in  $\text{cm}^{-1}$ ) can excite optical transitions in this system?
- C. For this system, we assume that only optical transitions occur. The nonzero optical transition matrix elements are:

$$\begin{aligned}\langle 1|V_{fi}|0\rangle &= \langle 0|V_{fi}|1\rangle = 5 \cdot 10^{-12}\sqrt{J} \\ \langle 2|V_{fi}|0\rangle &= \langle 0|V_{fi}|2\rangle = 3 \cdot 10^{-12}\sqrt{J} \\ \langle 2|V_{fi}|1\rangle &= \langle 1|V_{fi}|2\rangle = 6 \cdot 10^{-12}\sqrt{J}\end{aligned}$$

Define your basis vectors ( $|0\rangle$ ,  $|1\rangle$ , and  $|2\rangle$ ) and write the full 3x3 transition matrix ( $V_{fi}$ ) in matrix notation.

- D. For our purposes, we will assume that  $\delta(0) = 1$  for photons that are on-resonance with an optical transition. The absorption rate thus simplifies to:

$$\Gamma_{i \rightarrow f} = \frac{2\pi}{\hbar} \sum_f |\langle f|V_{fi}|i\rangle|^2$$

Calculate the absorption rate for photons with energy  $\hbar\omega = 3000 \text{ cm}^{-1}$ . (Recall that  $\hbar = 1.05 \cdot 10^{-34} \text{ J}\cdot\text{s}$ .)

**5 Bonus points:** What homework or test problem so far was your least favorite?