Formal Specification and Verification of Object-Oriented Programs

TECHNISCHE UNIVERSITÄT DARMSTADT

Dynamic Logic

Dynamic Logic



(JAVA) Dynamic Logic

Typed FOL (with JAVA-like type system)

- + (JAVA) programs p
- + modalities $\langle p \rangle \phi$, $[p] \phi$ (p program, ϕ DL formula)
- ► + ... (later)

Proving Validity of DL Formulas



An Example

```
\forall int X;

(X = n ∧ X >= 0 →

[i = 0; r = 0;

while (i<n){ i = i + 1; r = r + i;}

r=r+r-n;

]r = XXX)
```

Proving Validity of DL Formulas



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Proving Validity of DL Formulas



An Example

```
\forall \text{ int } X;
(x \doteq n \land X >= 0 \rightarrow [i = 0; r = 0;
while (i < n) \{ i = i + 1; r = r + i; \}
r = r + r - n;
|r \doteq X * X|
```

How can we prove that the above formula is valid (i.e., true in all program states)?

Semantics of DL Sequents



 $\Gamma = \{\phi_1, \dots, \phi_n\}$ and $\Delta = \{\psi_1, \dots, \psi_m\}$ sets of DL formulas where all logical variables occur bound

$$\text{Recall: } \mathcal{S} \models (\Gamma \Rightarrow \Delta) \qquad \qquad \text{iff} \qquad \qquad \mathcal{S} \models (\phi_1 \land \cdots \land \phi_n) \ \rightarrow \ (\psi_1 \lor \cdots \lor \psi_m)$$

Define semantics of DL sequents identical to semantics of FOL sequents

Definition (Validity of Sequents over DL Formulas)

A sequent $\Gamma \Rightarrow \Delta$ over DL formulas is valid iff

$$\mathcal{S} \models (\Gamma \Rightarrow \Delta)$$
 in all states \mathcal{S}

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Recall:
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 iff $S \models (\phi_1 \land \cdots \land \phi_n) \rightarrow (\psi_1 \lor \cdots \lor \psi_m)$

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Consequence for program variables

Initial value of program variables implicitly "universally quantified"

Symbolic Execution of Programs



FOL sequent calculus decomposes top-level operator of a formula What is "top-level" in a sequential program p; q; r; ?

Symbolic Execution

- ► Follow the natural control flow when analysing a program
- Values of some variables unknown: symbolic state representation

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Example

Compute the final state after termination for initial state $x = x_0$, $y = y_0$

$$x=x+y$$
; $y=x-y$; $x=x-y$;



General form of rule conclusions in symbolic execution calculus

 $\langle stmt; rest \rangle \phi$, [stmt; rest] ϕ

- Rules symbolically execute first statement ("active statement")
- Repeated application of such rules corresponds to symbolic program execution



General form of rule conclusions in symbolic execution calculus

```
\langle stmt; rest \rangle \phi, [stmt; rest]\phi
```

- Rules symbolically execute first statement ("active statement")
- Repeated application of such rules corresponds to symbolic program execution

```
Example (symbolicExecution/simpleIf.key, Demo, active statement only) \programVariables { int x; int y; boolean b; }
```

```
\problem {
  \<{ if (b) { x = 1; } else { x = 2; } y = 3; }\> y > x
}
```



Symbolic execution of conditional

Symbolic execution must consider all possible execution branches



Symbolic execution of conditional

$$\text{if } \frac{ \begin{array}{ccc} \Gamma, \mathtt{b} \doteq \mathtt{TRUE} \Rightarrow \langle p; \mathit{rest} \rangle \phi, \Delta & \Gamma, \mathtt{b} \doteq \mathtt{FALSE} \Rightarrow \langle q; \mathit{rest} \rangle \phi, \Delta \\ \\ \Gamma \Rightarrow \langle \mathit{if} \ (\mathit{b}) \ \{ \ p \ \} \ \mathit{else} \ \{ \ q \ \}; \mathit{rest} \rangle \phi, \Delta \\ \end{array} }$$

Symbolic execution must consider all possible execution branches

Symbolic execution of loops: unwind (simplified version)

Updates for KeY-Style Symbolic Execution



Need to model control flow and state changes

Updates for KeY-Style Symbolic Execution



Need to model control flow and state changes

Explicit Notation for Symbolic State Changes: Requirements

- Symbolic execution should "walk" through program in natural forward direction
- ► Need succint representation of state changes effected by each symbolic execution step
- Want to simplify effects of program execution early
- Want to apply state changes late (to post condition)

Updates for KeY-Style Symbolic Execution



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- Symbolic execution should "walk" through program in natural forward direction
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We use dedicated notation for state changes: updates

Explicit State Updates



Definition (Syntax of Updates, Updated Terms/Formulas)

If v is program variable, t FOL term type-conformant to v, t' any FOL term, and ϕ any DL formula, then

- $\mathbf{v} := t$ is an update
- \blacktriangleright {v := t}t' is DL term
- \blacktriangleright {v := t} ϕ is DL formula

Definition (Semantics of Updates)

State S interprets program variables v with $\mathcal{I}_{S}(v)$ β variable assignment for logical variables in t, define semantics ρ as:

$$\rho(\mathbf{v} := t)(\mathcal{S}) = \mathcal{S}'$$
 where \mathcal{S}' identical to \mathcal{S} except $\mathcal{I}_{\mathcal{S}'}(\mathbf{v}) = val_{\mathcal{S},\beta}(t)$

Explicit State Updates Cont'd



Facts about updates v := t

- Update semantics almost identical to that of assignment
- ▶ Value of update also depends on S and logical variables in t, i.e., β
- ▶ Updates are not assignments: right-hand side is FOL term

```
\{x := n\}\phi cannot be turned into assignment (n logical variable)
```

 $\langle x = i + +; \rangle \phi$ cannot (immediately) be turned into an update

▶ Updates are not equations: they change value of v

Computing Effect of Updates (Automatic)



Rewrite rules for update followed by ...

program formula No rewrite rule for $\{x := t\}(\langle p \rangle \phi)$ unchanged!

Update rewriting delayed until p symbolically executed

Assignment Rule Using Updates



Symbolic execution of assignment using updates

assign
$$\frac{\Gamma \Rightarrow \{x := t\} \langle rest \rangle \phi, \Delta}{\Gamma \Rightarrow \langle x = t; rest \rangle \phi, \Delta}$$

- Simple! No variable renaming, etc.
- Works as long as t has no side effects
- ▶ Special cases needed for $x = t_1 + t_2$, etc.

Demo

updates/assignmentToUpdate.key (complete)

Parallel Updates



How to apply updates on updates?

Example

Symbolic execution of

$$x = x + y$$
; $y = x - y$; $x = x - y$;

yields:

$${x := x+y}{y := x-y}{x := x-y}$$

Need to compose three sequential state changes into a single one!



Definition (Parallel Update)

A parallel update is an expression of the form $v_1 := r_1 || \cdots || v_n := r_n$ where each $v_i := r_i$ is simple update

- ▶ All r_i computed in old state before update is applied
- ▶ Updates of all program variables *v_i* executed simultaneously
- ▶ Upon conflict $v_i = v_i$, $r_i \neq r_i$ later update $(\max\{i, j\})$ wins



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Definition (Composition Sequential Updates/Conflict Resolution)



Example

KeY automatically deletes overwritten (unnecessary) updates

Demo

updates/swap2.key



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Demo

updates/swap2.key

Parallel updates to store intermediate state of symbolic computation

Another use of Updates



If you would like to quantify over a program variable ...

Another use of Updates



If you would like to quantify over a program variable ...

Not allowed: $\forall T i; \langle ...i... \rangle \phi$ (program \neq logical variable)

Another use of Updates



If you would like to quantify over a program variable ...

Not allowed: $\forall T i; \langle ...i... \rangle \phi$

(program ≠ logical variable)

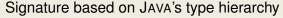
Instead

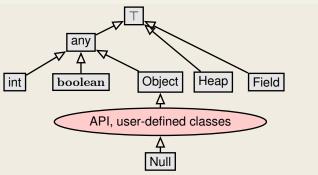
Quantify over value, and assign it to program variable:

 $\forall T i_0; \{i := i_0\}\langle ... i... \rangle \phi$

Modelling JAVA in FOL: Fixing a Type Hierarchy







Each interface and class in API and in target program becomes type with appropriate subtype relation

Modelling the Heap in FOL



The JAVA Heap

Values of reference types live on the heap

- Can dynamically change during execution
- ▶ In each program state (model) associates objects, fields, values

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The Java Heap Model of KeY

Data type Heap models content of heap in a state (model)

Write Heap store(Heap, Object, Field, any);
Modifies field of object to have value in 4th argument

Read any select(Heap, Object, Field); Selects value of field of object



Modelling instance fields

Person int age int id int setAge(int newAge) int getId()

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- a heap relates objects and fields to values



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- for each field f there is a unique constant f of type Field, for example, age
- ▶ domain of all Person objects: D^{Person}
- a heap relates objects and fields to values

Reading Fields (Simplified)

```
Signature F_{\Sigma}: any select(Heap, Object, Field);
```

```
JAVA expression p.age >= 0
```

```
Typed FOL select(heap, p, age) >= 0
```

heap is special program variable for "current" heap



Reading Fields

```
Signature F_{\Sigma}: any select(Heap, Object, Field); select(heap, p, age) >= 0 well-formed?
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Signature F_{\Sigma}: any select(Heap, Object, Field); select(heap, p, age) >= 0 well-formed?
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- ▶ Return type is any—need to cast to int
- ► There can be many fields with name age



Reading Fields

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Signature F_{\Sigma}: any select(Heap, Object, Field); select(heap, p, age) >= 0 well-formed?
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- ▶ Return type is any—need to cast to int
- ► There can be many fields with name age

Use function int::select(heap, p, Person::\$age)

(int::select has same meaning as (int)select)



Reading Fields

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Signature F_{\Sigma}: any select(Heap, Object, Field); select(heap, p, age) >= 0 well-formed?
```

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- ► There can be many fields with name age

Use function int::select(heap, p, Person::\$age)

(int::select has same meaning as (int)select)

Writing to Fields

Signature F_{Σ} : Heap store (Heap, Object, Field, any);

Use function store(heap, p, Person::\$age, 42)

Modelling Fields in FOL—The Full Story: Semantics



Heap is a predefined type with predefined functions T:: select, store and more

Semantics

Given a Kripke structure K and a first order state $S = (D, \rho, I)$

- ▶ $D^{\text{Heap}} = \{h \mid h : D^{\text{Object}} \times D^{\text{Field}} \rightarrow D^{\text{any}}\}$ (domain of Heap is set of functions associating objects and fields with a value)
- ▶ $I(\text{select})(h, o, f) = h(o, f) \text{ with } h \in D^{\text{Heap}}, o \in D^{\text{Object}}, f \in D^{\text{Field}}$
- ▶ $I(\mathtt{store})(h, o, f, v) = h' \text{ with } h \in D^{\mathtt{Heap}}, o \in D^{\mathtt{Object}}, f \in D^{\mathtt{Field}}, v \in D^{\mathtt{any}} \text{ and } f \in D^{\mathtt{Store}}$

$$h'(u,g) = \begin{cases} v & \text{if } u = o \text{ and } g = f \\ h(u,g) & \text{otherwise} \end{cases}$$

Field Updates



The Global Program Variable heap

The dynamic logic contains a distinguished program variable Heap heap. The heap stored in this variable is used by the Java program for read/write field accesses.

Changing the value of fields

How to translate assignment to field, for example, p.age=17; ?

$$\text{assign } \frac{\Gamma \Rightarrow \{1 \coloneqq t\} \langle \textit{rest} \rangle \phi, \Delta}{\Gamma \Rightarrow \langle \textit{I} = t; \textit{rest} \rangle \phi, \Delta}$$

Admit on left-hand side of update JAVA location expressions

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Changing the value of fields

How to translate assignment to field, for example, p.age=17; ?

$$\frac{\Gamma \Rightarrow \{\text{heap} \coloneqq \text{store}(\text{heap}, \text{p, age}, 17)\} \langle \textit{rest} \rangle \phi, \Delta}{\Gamma \Rightarrow \langle \textit{p.age} = \text{17}; \textit{rest} \rangle \phi, \Delta}$$

Admit on left-hand side of update JAVA location expressions



Evaluation

Computing the value of a field a of an object o of type T in a given heap, performs a lookup in the heap using the pair (o, a) as key/index.

Example

 $\verb"int::select(store(heap, o, f, 15), o, f) \leadsto$



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Example

```
\label{eq:continuity} \begin{split} & \texttt{int::select(store(heap, o, f, 15), o, f)} \leadsto \texttt{15} \\ & \texttt{int::select(store(heap, o, f, 15), o, g)} \leadsto \end{split}
```



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Example

```
\begin{split} & \texttt{int::select}(\texttt{store}(\texttt{heap}, \texttt{o}, \texttt{f}, \texttt{15}), \texttt{o}, \texttt{f}) \leadsto \texttt{15} \\ & \texttt{int::select}(\texttt{store}(\texttt{heap}, \texttt{o}, \texttt{f}, \texttt{15}), \texttt{o}, \texttt{g}) \leadsto \texttt{int::select}(\texttt{heap}, \texttt{o}, \texttt{g}) \\ & \texttt{int::select}(\texttt{store}(\texttt{heap}, \texttt{o}, \texttt{f}, \texttt{15}), \texttt{u}, \texttt{f}) \leadsto \end{split}
```



Evaluation

Computing the value of a field a of an object o of type T in a given heap, performs a lookup in the heap using the pair (o, a) as key/index.

Example

```
\begin{split} & \text{int::select(store(heap, o, f, 15), o, f)} \leadsto 15 \\ & \text{int::select(store(heap, o, f, 15), o, g)} \leadsto & \text{int::select(heap, o, g)} \\ & \text{int::select(store(heap, o, f, 15), u, f)} \leadsto \\ & \text{if (o $\doteq$ u) then (15) else (int::select(heap, u, f))} \end{split}
```



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Example

```
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```

Pretty Printing

```
T :: select(heap, o, f)  is shown as o.f select(store(heap, o, f, 17), u, f)  is shown as u.f@heap[o.f := 17]
```



Evaluation

Computing the value of a field a of an object o of type T in a given heap, performs a lookup in the heap using the pair (o, a) as key/index.

Example

On the following slides we often use the pretty printed version and omit the \mathcal{T} :: prefix for ease of presentation.

```
if (o \doteq u) then (15) else (int::select(heap, u, f))
```

Pretty Printing

T :: select(heap, o, f) is shown as o.f select(store(heap, o, f, 17), u, f) is shown as u.f@heap[o.f := 17]

Dynamic Logic: KeY input file



KeY reads in all source files and creates automatically the necessary signature (types, program variables, field constants)

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Demo

updates/firstAttributeExample.key



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• $\langle p \rangle \phi$: p terminates normally and formula ϕ holds in final state (total correctness)



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- ▶ $[p]\phi$: If p terminates normally then formula ϕ holds in final state (partial correctness)



Does abrupt termination count as normal termination?

No! Need to distinguish normal and exceptional termination

- $\langle p \rangle \phi$: p terminates normally and formula ϕ holds in final state (total correctness)
- ▶ $[p]\phi$: If p terminates normally then formula ϕ holds in final state (partial correctness)

Abrupt termination counts as non-termination!

Example Reconsidered: Exception Handling



```
\javaSource "path to source code";
\programVariables {
    ...
}
\problem {
    p != null -> \<{ p.age = 18; }\> p.age = 18}
}
```

Only provable when no top-level exception thrown

Demo

updates/secondAttributeExample.key

Null Pointers



Null pointer exceptions

There are no "exceptions" in FOL: $\ensuremath{\mathcal{I}}$ total on FSym

Need to model possibility that $o \doteq null$ in o.a

► KeY branches over o != null upon each field access

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There are no "exceptions" in FOL: $\mathcal I$ total on FSym

Need to model possibility that $o \doteq null$ in o.a

► KeY branches over o != null upon each field access

Assignment Rule for Fields

```
assign<sub>Field</sub>
```

$$\begin{array}{l} \Gamma, \{u\} (o \, ! = \mathrm{null}) \Rightarrow \{u\} \{\mathrm{heap} \coloneqq \mathtt{store}(\mathrm{heap}, o, f, v)\} \langle \mathit{rest} \rangle \phi, \Delta \\ \Gamma, \{u\} (o \, \dot{=} \, \mathrm{null}) \Rightarrow \{u\} \langle \mathrm{throw} \, \, \mathrm{new} \, \, \mathrm{NullPointerException}() \, ; \mathit{rest} \rangle \phi, \Delta \end{array}$$

$$\Gamma \Rightarrow \{u\} \langle o.f = v; rest \rangle \phi, \Delta$$

o, v schemavariables matching prog. variables; f schemavariable matching fields

Aliasing



Demo

aliasing/attributeAlias1.key

Aliasing



Demo

aliasing/attributeAlias1.key

Reference Aliasing

Naive alias resolution causes proof split (on o = u) at each access

$$\Rightarrow$$
 o.age \doteq 1 \rightarrow $\langle u.age = 2; \rangle$ o.age \doteq u.age

Aliasing Cont'd



Unnecessary case analyses

$$\Rightarrow$$
 o.age \doteq 1 \rightarrow $\langle u.age = 2; o.age = 2; \rangle$ o.age \doteq u.age \Rightarrow o.age \doteq 1 \rightarrow $\langle u.age = 2; \rangle$ u.age \doteq 2

Aliasing Cont'd



Unnecessary case analyses

$$\Rightarrow$$
 o.age \doteq 1 \rightarrow $\langle u.age = 2; o.age = 2; \rangle$ o.age \doteq u.age \Rightarrow o.age \doteq 1 \rightarrow $\langle u.age = 2; \rangle$ u.age \doteq 2

Avoiding case analyses— Demo

aliasing/avoidingCaseAnalysis2.key

- Delayed state computation until clear what is required
- ► Simplification of heap terms

Modeling Static Fields in FOL



Modeling class (static) fields which are not compile-time constants

For each class C with static field a of type T that is not a compile time constant, there is

- ▶ a unique constant C.a of type Field
- value v is stored on heap as store(heap, null, C.a, v)
- C is the fully qualified class name

Value stored on the heap.

Modeling class (static) fields which are compile-time constants

For each class C with final static field a of type T that is a compile time constant, there is

▶ a constant C.a of type T whose interpretation I(C.a) is fixed, e.g., I(java.util.Byte.MAX VALUE) = 127

Value not stored on the heap

this and self



Modeling reference this to the receiving object

Special name for the object whose JAVA code is currently executed:

in JML: Object this;
in JAVA: Object this;

in KeY: Arbitrary, but by convention Object self;

Name is arbitrary as long as unique: only a program variable

Default assumption in JML-KeY translation: self!= null

Extending Dynamic Logic to Java



KeY admits any syntactically correct JAVA with some extensions:

- Needs not be compilable unit
- ▶ Permit externally declared, non-initialized variables
- All referenced class definitions loaded in background

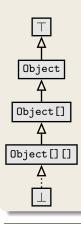
And some limitations ...

- Limited concurrency (some support on side-branches)
- No generics
- ► No I/O
- No floats
- No dynamic class loading or reflexion
- ► API method calls: need either JML contract or implementation

JAVA Features in Dynamic Logic: Arrays



Arrays



- JAVA type hierarchy includes array types that occur in given program (for finiteness)
- ► Types ordered according to JAVA subtyping rules
- Value of entry in array T[] a; defined in class C depends on reference a to array in C and index
- \blacktriangleright Function $\mathtt{arr}:\mathsf{int}\to\mathsf{Field}$ injective mapping from indices to fields
- ► Store array elements on heap, e.g., the value of a[i] on the heap store(heap, a, arr(i), 17) is 17
- Arrays a and b can refer to same object (aliases)
- KeY implements simplification and evaluation rules for array locations

JAVA Features in Dynamic Logic: Complex Expressions



Complex expressions with side effects

- ► JAVA expressions may contain assignment operator with side effect
- JAVA expressions can be complex, nested, have method calls
- ▶ FOL terms have no side effect on the state

Example (Complex expression with side effects in JAVA)

```
int i = 0; if ((i=2)>= 2) i++; value of i?
```

Complex Expressions Cont'd



Decomposition of complex terms by symbolic execution

Follow the rules laid down in JAVA Language Specification

Local code transformations

evalOrderIteratedAssgnmt
$$\frac{\Gamma \Rightarrow \langle y=t; x=y; \ \omega \rangle \phi, \Delta}{\Gamma \Rightarrow \langle x=y=t; \ \omega \rangle \phi, \Delta} \quad \text{t simple}$$

Temporary variables store result of evaluating subexpression

ifEval
$$\frac{\Gamma \Rightarrow \langle \mathbf{boolean} \ v0; v0 = b; \ \mathbf{if} \ (v0) \ p; \omega \rangle \phi, \Delta}{\Gamma \Rightarrow \langle \mathbf{if} \ (b) \ p; \ \omega \rangle \phi, \Delta} \quad \text{bcomplex}$$

Guards of conditionals/loops always evaluated (hence: side effect-free) before conditional/unwind rules applied

JAVA Features in Dynamic Logic: Abrupt Termination



Abrupt Termination: Exceptions and Jumps

Redirection of control flow via return, break, continue, exceptions

$$\langle \pi \operatorname{try} \{ p \} \operatorname{catch}(e) \{ q \} \operatorname{finally} \{ r \} \omega \rangle \phi$$

Rules ignore inactive prefix, work on active statement, leave postfix

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Rules ignore inactive prefix, work on active statement, leave postfix

Rule tryThrow matches try-catch in pre-/postfix and active throw

$$\Rightarrow \langle \pi \text{ if } (e \text{ instanceof } T) \{ \text{try} \{ x = e; q \} \text{ finally} \{ r \} \} \text{else} \{ r; \text{throw } e; \} \omega \rangle \phi$$

$$\Rightarrow \langle \pi \text{ try } \{ \text{throw } e; p \} \text{ catch} (T x) \{ q \} \text{ finally } \{ r \} \omega \rangle \phi$$

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Abrupt Termination: Exceptions and Jumps

Redirection of control flow via return, break, continue, exceptions

$$\langle \pi \operatorname{try} \{p\} \operatorname{catch}(e) \{q\} \operatorname{finally} \{r\} \omega \rangle \phi$$

Rules ignore inactive prefix, work on active statement, leave postfix

Rule tryThrow matches try-catch in pre-/postfix and active throw

$$\Rightarrow \langle \pi \text{ if } (e \text{ instanceof } T) \{ \text{try} \{ x = e; q \} \text{ finally} \{ r \} \} \text{ else} \{ r; \text{ throw } e; \} \omega \rangle \phi$$

$$\Rightarrow \langle \pi \text{ try } \{ \text{throw } e; p \} \text{ catch} (T x) \{ q \} \text{ finally } \{ r \} \omega \rangle \phi$$

Demo: exceptions/try-catch.key

Which Objects do Exist?



How to model object creation with new?

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How to model object creation with new?

Constant Domain Assumption

Assume that domain \mathcal{D} is the same in all states of LTS $K = (S, \rho)$

Desirable consequence:

Validity of rigid FOL formulas unaffected by programs containing new()

 $\models \forall T x; \phi \rightarrow [p](\forall T x; \phi)$ is valid for rigid ϕ

Object Creation



Realizing Constant Domain Assumption

- ▶ Implicitly declared field boolean <created> in class java.lang.Object
- Equal to true iff argument object has been created
- Object creation modeled as {heap := create(heap, o)} for next "free" o (essentially sets <created> field of o to true)
- ▶ Normal heap function *store* "cannot" set value of field <created>

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ObjectCreation(simplified)

$$\{u\}(\langle \pi \circ = \text{new T}(selist); \omega \rangle \phi)$$

newObj is a fresh program variable

Summary



- Most JAVA features covered in KeY
- ▶ Several of remaining features available in experimental version
 - Simplified multi-threaded JMM
 - Floats
- Degree of automation for loop-free programs is very high
- Symbolic execution paradigm lets you use KeY w/o understanding details of logic

Remaining Questions

- How to deal with method calls?
 - Inlining method bodies vs. using contracts
 - Proof obligations from method contracts
- Proving loops

Literature for this Lecture



Essential

KeY Book Verification of Object-Oriented Software (see course web page), Chapter 10: Using KeY

KeY Book Verification of Object-Oriented Software (see course web page), Chapter 3: Dynamic Logic, Sections 3.1, 3.2, 3.4, 3.5, 3.6.1, 3.6.2, 3.6.3, 3.6.4, 3.6.7