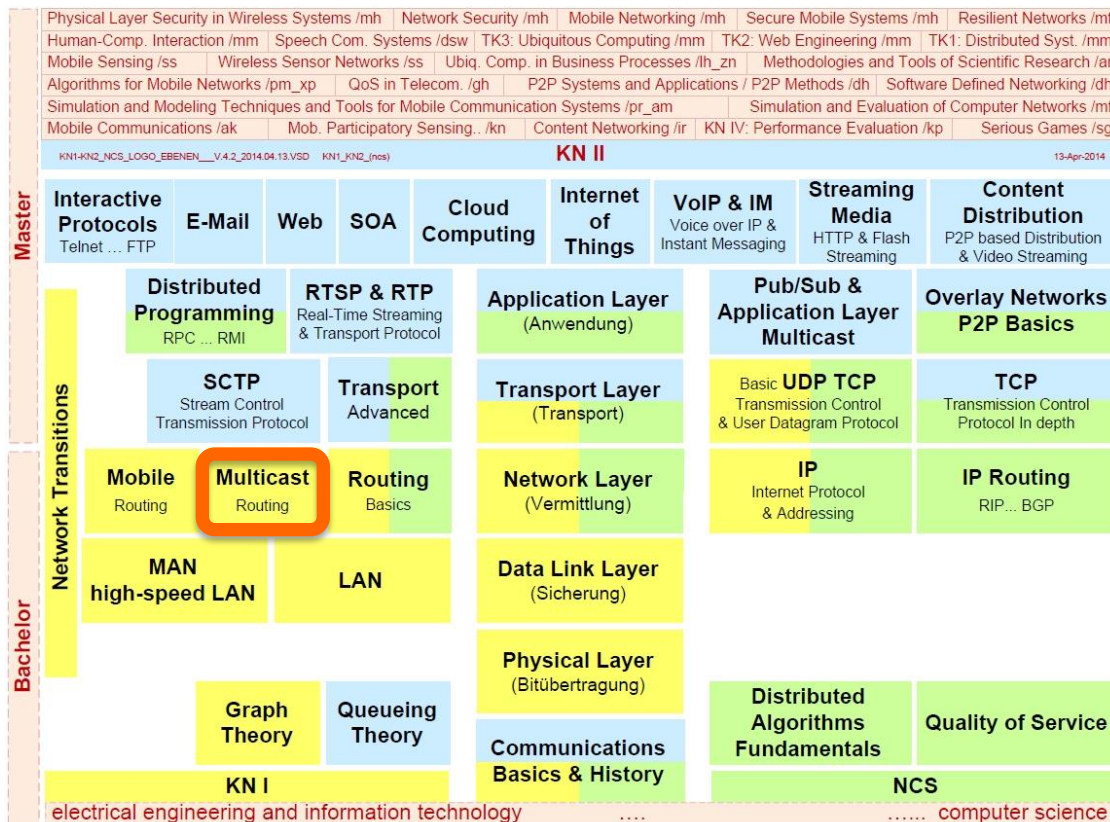


# Communication Networks I

## Multicast and Broadcast



TECHNISCHE  
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KOM - Multimedia Communications Lab

## **1 Unicast, Multicast, Broadcast**

## **2 Broadcast Routing**

### **2.1 Broadcast Routing: Multidestination Routing**

### **2.2 Broadcast Routing: Spanning Tree**

### **2.3 Broadcast Routing: Reverse Path Forwarding (RPF)**

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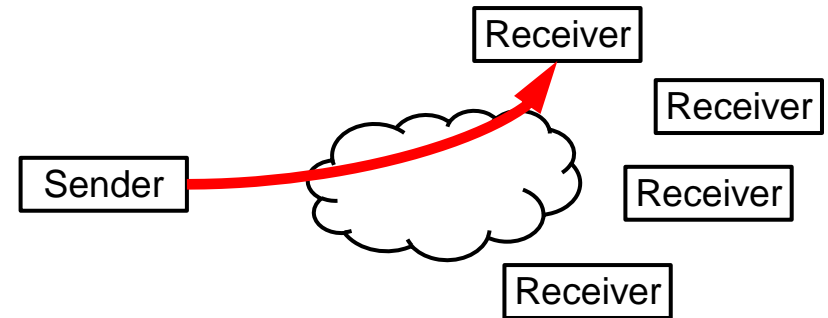
### **6.4 PIM - Sparse Mode**

## **7 Why is native IP Multicast not yet available?**

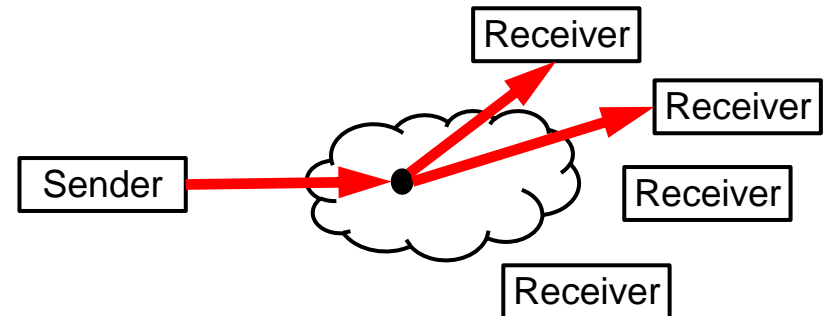
# 1 Unicast, Multicast, Broadcast

## Terminology

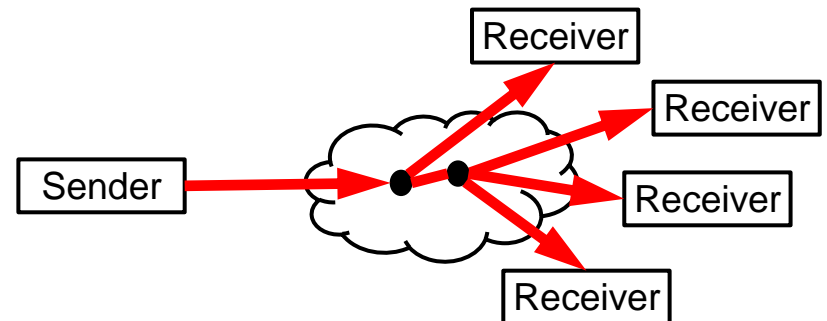
- Unicast: 1 : 1 communication



- Multicast: 1 : n communication



- Broadcast: 1 : all communication



**Several methods have been proposed for broadcasting**

**Simple approaches:**

### **1. Individual sending to every destination (distinct packets)**

- Requires no special feature from the network
- Waste of bandwidth
- Sender has to know all destinations

### **2. Flooding**

- Too many duplicates

### **3 ... n**

- → see in the following

## 2.1 Broadcast Routing: Multidestination Routing



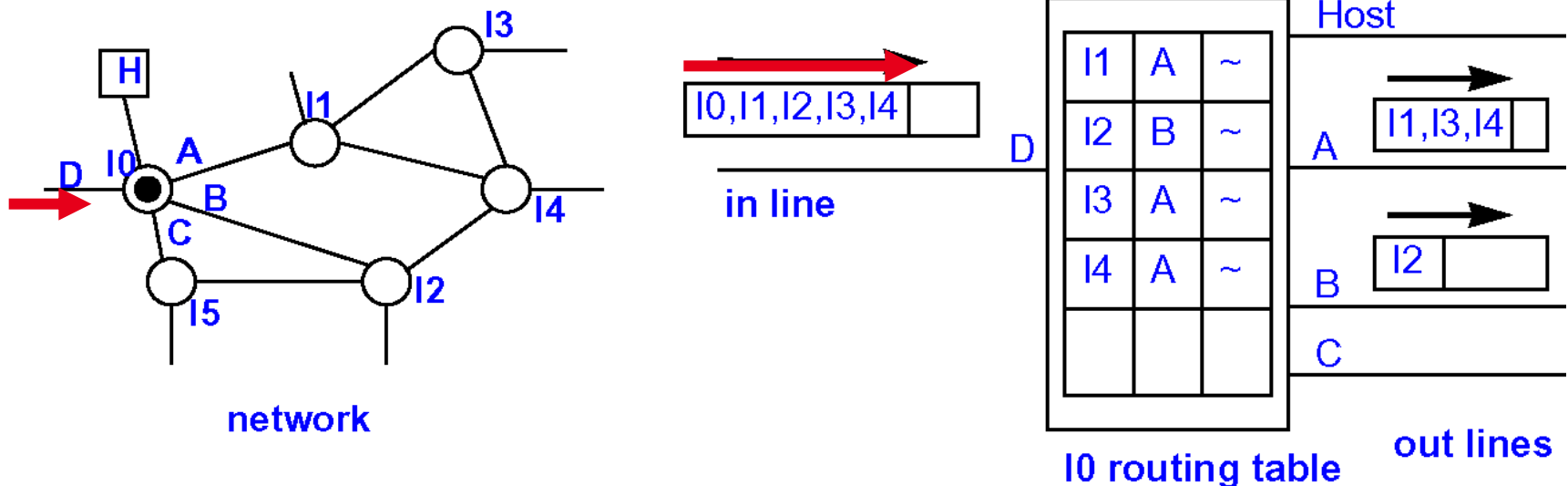
### Each Packet CONTAINS A LIST OF DESTINATIONS

#### Steps performed at each IS

- Examine, which outgoing links are required
- Generate a copy of a packet for each REQUIRED outgoing link
- Packet copy contains ONLY destinations which can be reached via this line

#### Example

- Network with 'I0' as the considered IS



## 2.2 Broadcast Routing: Spanning Tree

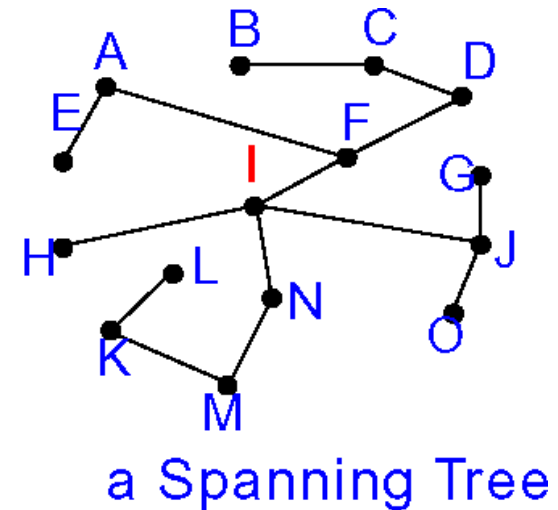
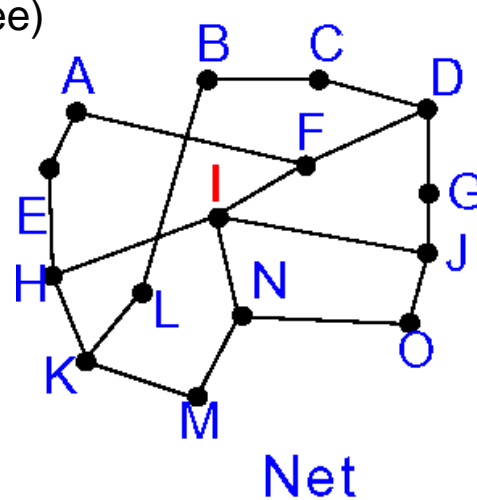
### Idea

- Use sink tree (or other spanning tree) for router initiating broadcast

**Spanning tree:**  
subset of subnets including  
all routers with no loops

### Example

- Network, IS 'I' as the sender



### Prerequisite

- Spanning Tree is known to the IS
- IS generates minimum number of packet copies
- IS generates a copy of a packet for each required outgoing line
  - all spanning tree lines except incoming one

### Main issue

- How to determine a Spanning Tree?
  - sometimes available, e.g., from link state routing
  - sometimes not, e.g., with distance vector

## 2.3 Broadcast Routing: Reverse Path Forwarding (RPF)



### Also called "Reverse Path Flooding" (RPF)

- Variation of the Spanning Tree

### Principle

- Each sender has its own Spanning Tree
- But IS do not need to know the Spanning Trees

### Considerations

- Each router has information which path it would use for (unicast)-packets
  - because of the unicast routing algorithms

### Algorithm (for a packet arriving at an IS)

- Has this packet arrived at the IS entry port over which the packets for this station/source are usually also sent?

#### Yes:

- Packet used the BEST route until now
- Action: resend over all edges (not including the incoming one)

#### No:

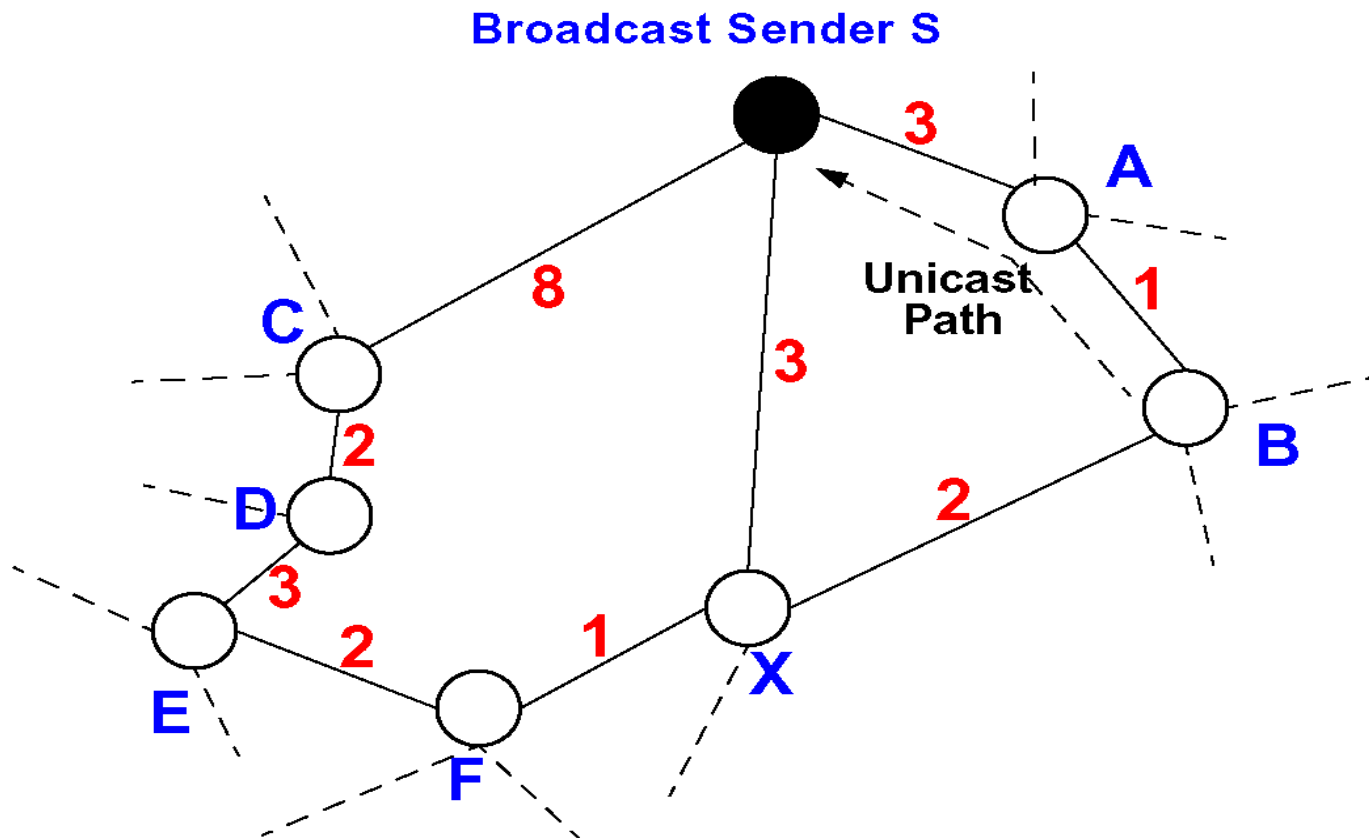
- Action: discard packet (most likely duplicate)

# Broadcast Routing: Reverse Path Forwarding (RPF)



## Example

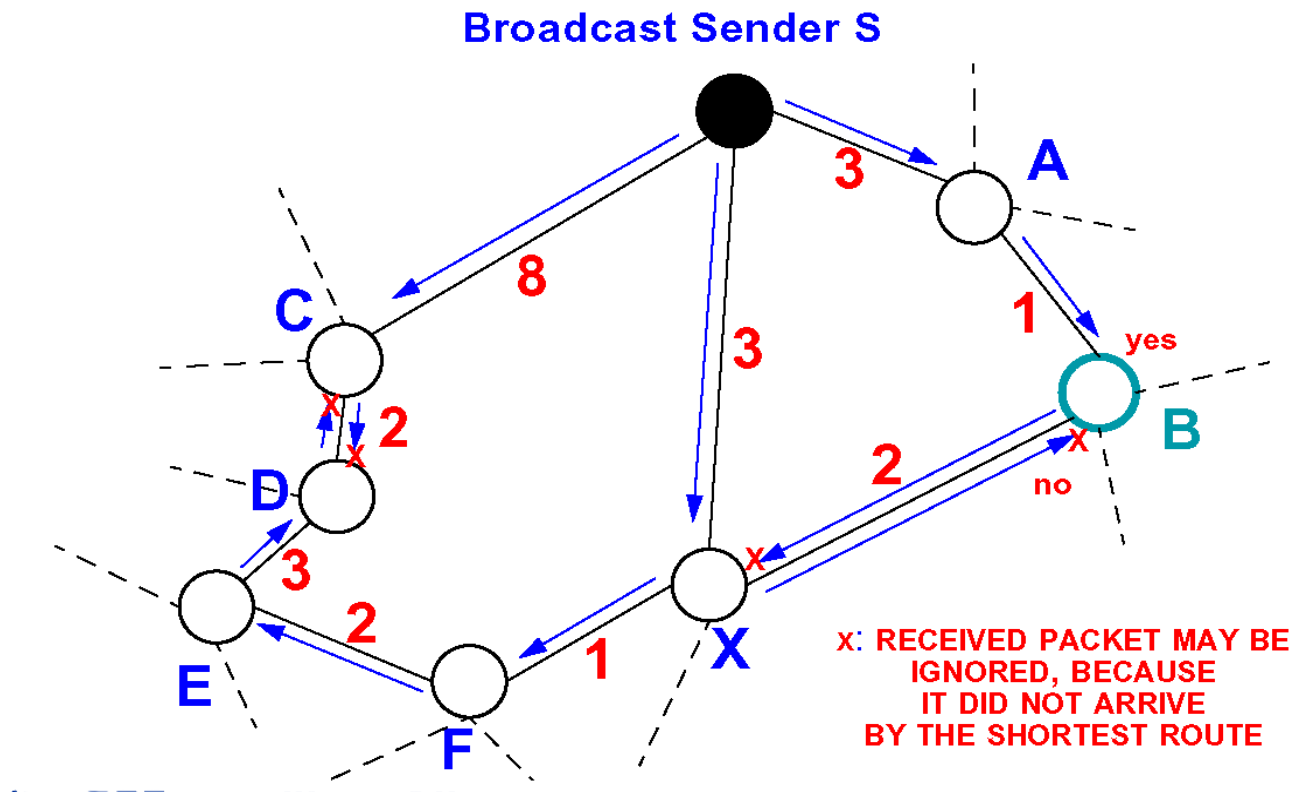
- In the example B will send its unicast packets to S via A (shortest route)





## Example

- Within the RPF algorithm of the above example, e.g.
  - Router X forwards broadcast from Sender S to B, F, ...
  - Router B uses the unicast routing information to ignore all broadcast packets received from S, which did not arrive via node A



## 2.4 Broadcast Routing: Reverse Path Broadcast (RPB)



### **Motivation: disadvantages of Reverse Path Forwarding**

- If packets are forwarded, then they are forwarded over ALL edges (not including the incoming one)
- It would be better if packets are forwarded over only one SUITABLE edge

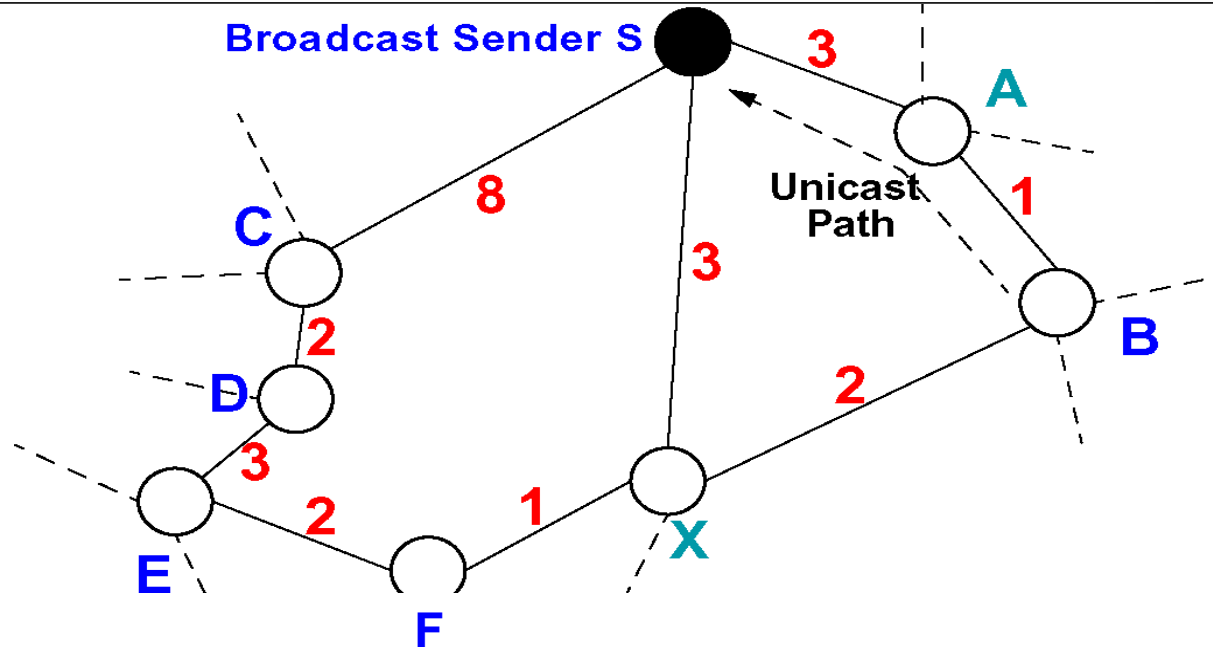
### **Algorithm: packet from S(source) to D(estination)**

- Like REVERSE PATH FORWARDING with specific selection of the outgoing links

### **Has this packet arrived at THE IS entry over which the packets for this station/source S are usually also sent?**

- Yes: Packet used the BEST route until now?
  - Yes: → select the edge at which the packets arrived and from which they are then rerouted to source S (in reversed direction)
  - No: → DO NOT send over all edges (without the incoming one), i.e., not as in Reverse Path Forwarding (RPF)
- No: → discard packet (is most likely a duplicate)

# Broadcast Routing: Reverse Path Broadcast (RPB)

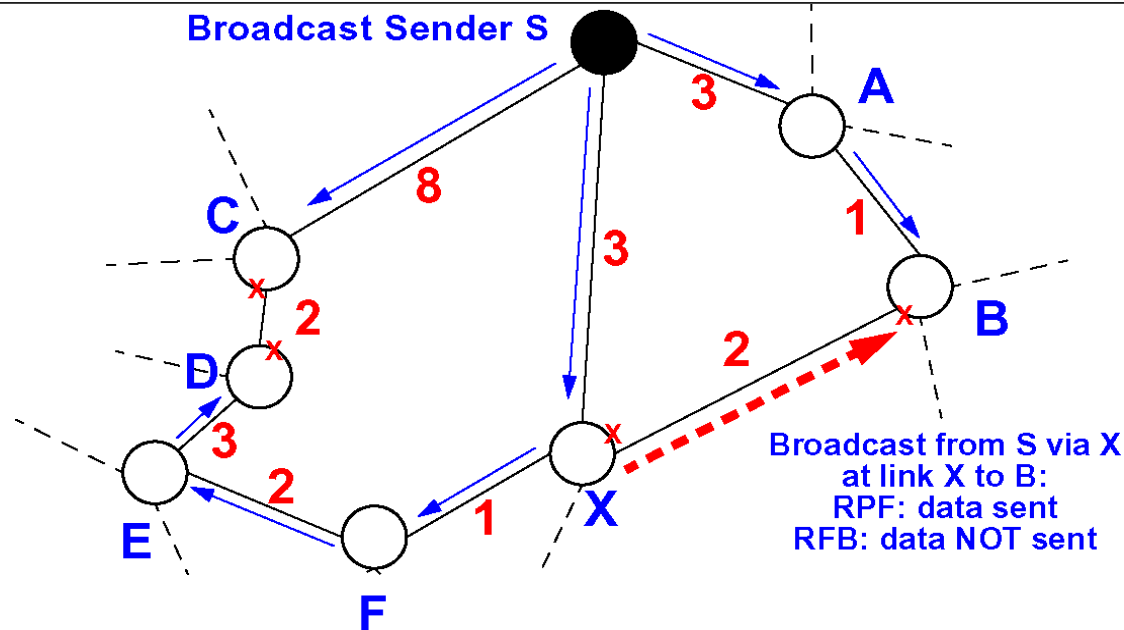


## Example:

### In the example

- A can learn by inspecting the unicast packets
    - that it is located on the unicast path from B to S
  - X can learn by packets failing to appear
    - that it is not located on the unicast path from B to S
- This information is used by the RPB algorithm

# Broadcast Routing: Reverse Path Broadcast (RPB)



## Example

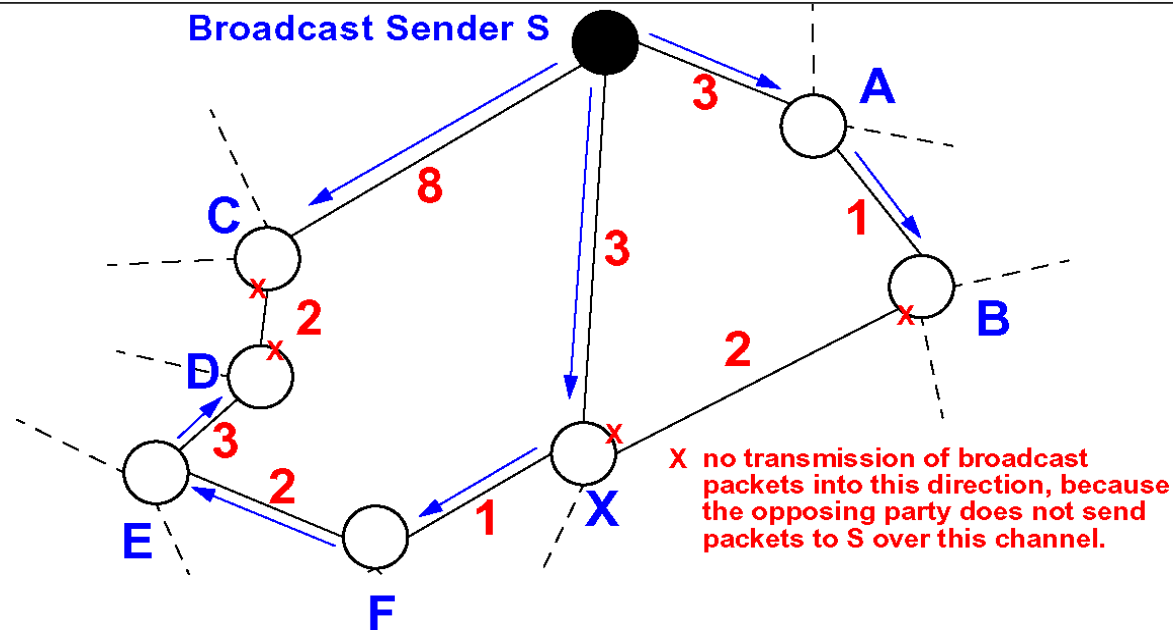
Within the (former, simpler) RPF algorithm of the above example

- Router X forwards broadcast from sender S to B, F, ...

In the example with the RPB ALGORITHM

- X DOES NOT FORWARD a broadcast packet from S to B

# Broadcast Routing: Reverse Path Broadcast (RPB)



## Example:

### In the example with the RPB algorithm

- X does not forward a broadcast packet from S to B, because X knows that B does not receive unicast packets via X
  - X sends them over a different node instead with this other node then receiving the broadcast packet
- Connection X-B relieved in comparison to the RPF algorithm

# Broadcast Routing: Reverse Path Broadcast (RPB)

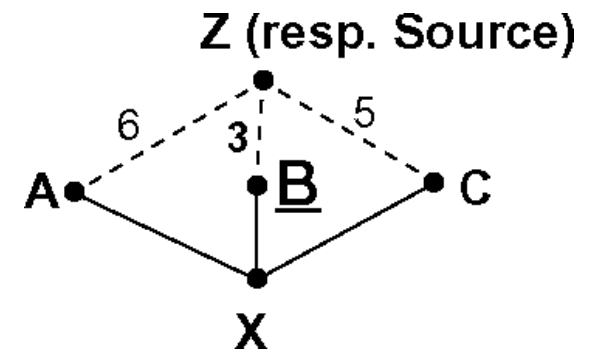
## Comment

- When distance is the same: IS with the shortest address is selected
- IS utilize the routing information, to exploit this parent-child relationship

## (BELOW ANOTHER EXPLANATION)

## Principle

- As in REVERSE PATH FORWARDING, i.e., only packets which arrived over the "best" path are forwarded, but...
- Collision avoidance (additional discarding of packets) by defining a PARENT-CHILD RELATIONSHIP
  - provided that knowledge of the Spanning Tree exists
- Or parent-child relationship:
  - IS B is the parent of the adjacent IS X, IF its distance to source Z is shorter than the distances of all other neighbours of X
  - (In the example: B is parent of X)

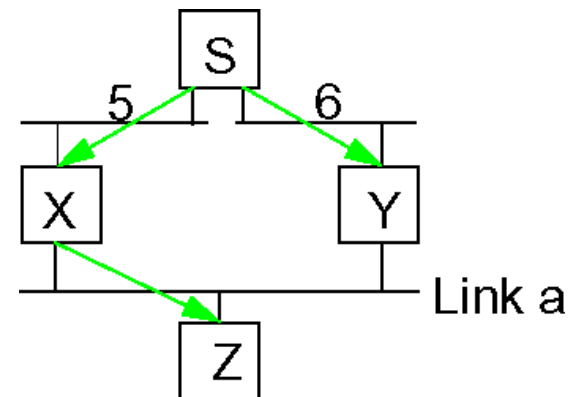


## Algorithm for selecting the outgoing links

- X is the PARENT of a link, IF its distance to the source is shorter than Y's distance (or than all other ones)
- If distance is the same: decision is based on the shorter address
- Router exchange routing information with each other to determine parent-child relationship

## Example

- Link 'a' is the child of X, not of Y
- Packets forwarded only over child links  
→ this results in the Spanning Tree



### 3 Multicast - Basics

#### Multicast Definition

- Unicast: 1:1 communication
- Multicast: 1:n communication

#### Tasks

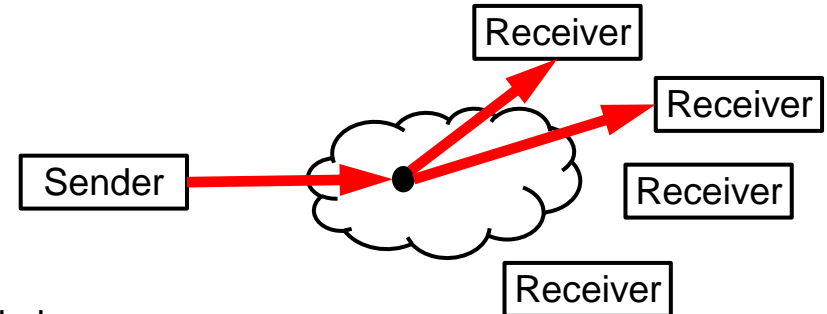
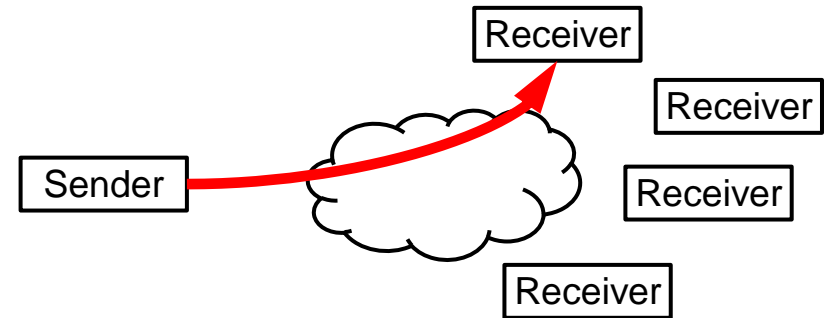
- Send data to a group of end systems
- One-time sending instead of multiple sending
- Maintain the overall load at a low level

#### Results

- Lower load in the network
- Lower load at the sender

#### Precondition: group addressing

- Group membership may change, managed for example by:
  - Internet Group Management Protocol (IGMP)
    - Group management (create, destroy, join, leave)
  - Somehow related protocols for session maintenance
    - Session Description Protocol (SDP)
    - Session Announcement Protocol (SAP)
    - Session Initiation Protocol (SIP)





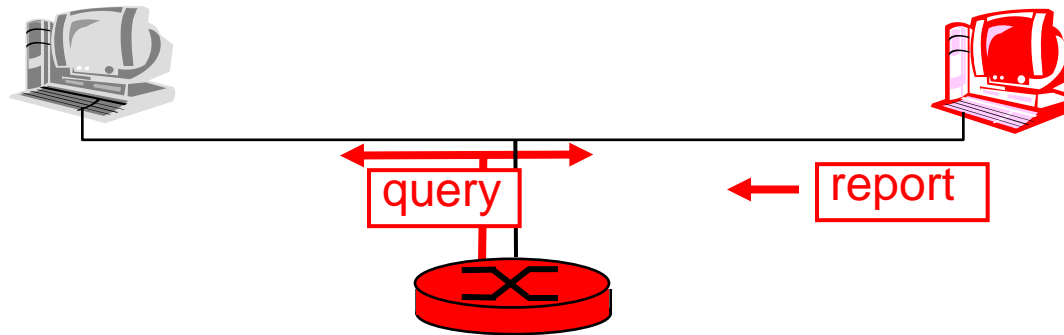
## 4 Group Addressing



### **Group membership may change, managed for example by**

- Internet Group Management Protocol (IGMP)
  - group management (create, destroy, join, leave)
  
- Somehow related protocols for session maintenance
  - Session Description Protocol (SDP)
  - Session Announcement Protocol (SAP)
  - Session Initiation Protocol (SIP)

## 4.1 IGMP: Internet Group Management Protocol



### IP v.4

- IGMP: Internet Group Management Protocol

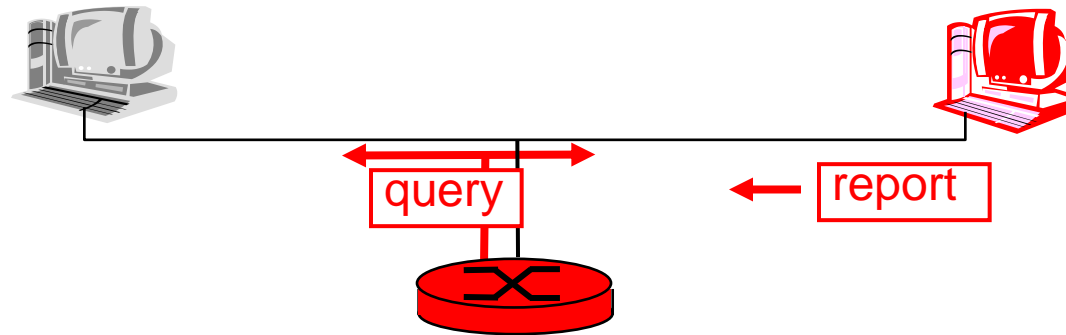
### IP v.6

- Multicast Listener Discovery (MLD)
- Similar

Network Working Group  
Request for Comments: 3810  
Updates: [2710](#)  
Category: Standards Track

R. Vida, Ed.  
L. Costa, Ed.  
LIP6  
June 2004

Multicast Listener Discovery Version 2 (MLDv2) for IPv6

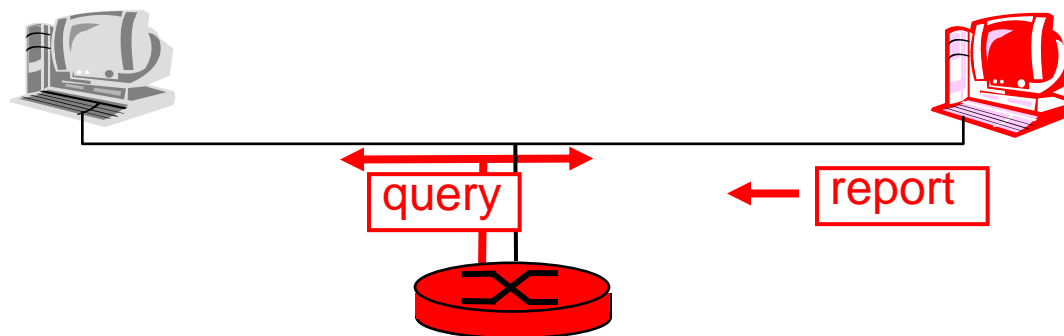


## Host: sends IGMP report when application joins multicast group

- IP\_ADD\_MEMBERSHIP socket option
- Soft state
  - Host need not explicitly “unjoin” group when leaving

## Router: sends IGMP query at regular intervals

- Host belonging to a multicast group must reply to query
  - at least one per subnet



## Router:

### Host Membership Query msg

- broadcast on LAN to all hosts

## Router query

- Query may be group-specific

## Host:

### Host Membership Report msg

- to indicate group membership
  - Randomized delay before responding
  - Implicit leave
    - by not replying to query

## Host Leave Group msg

- Last host replying to Query can send explicit Leave Group msg
- Router performs group-specific query to see if any hosts left in group
- Introduced in RFC 2236

## Some Details

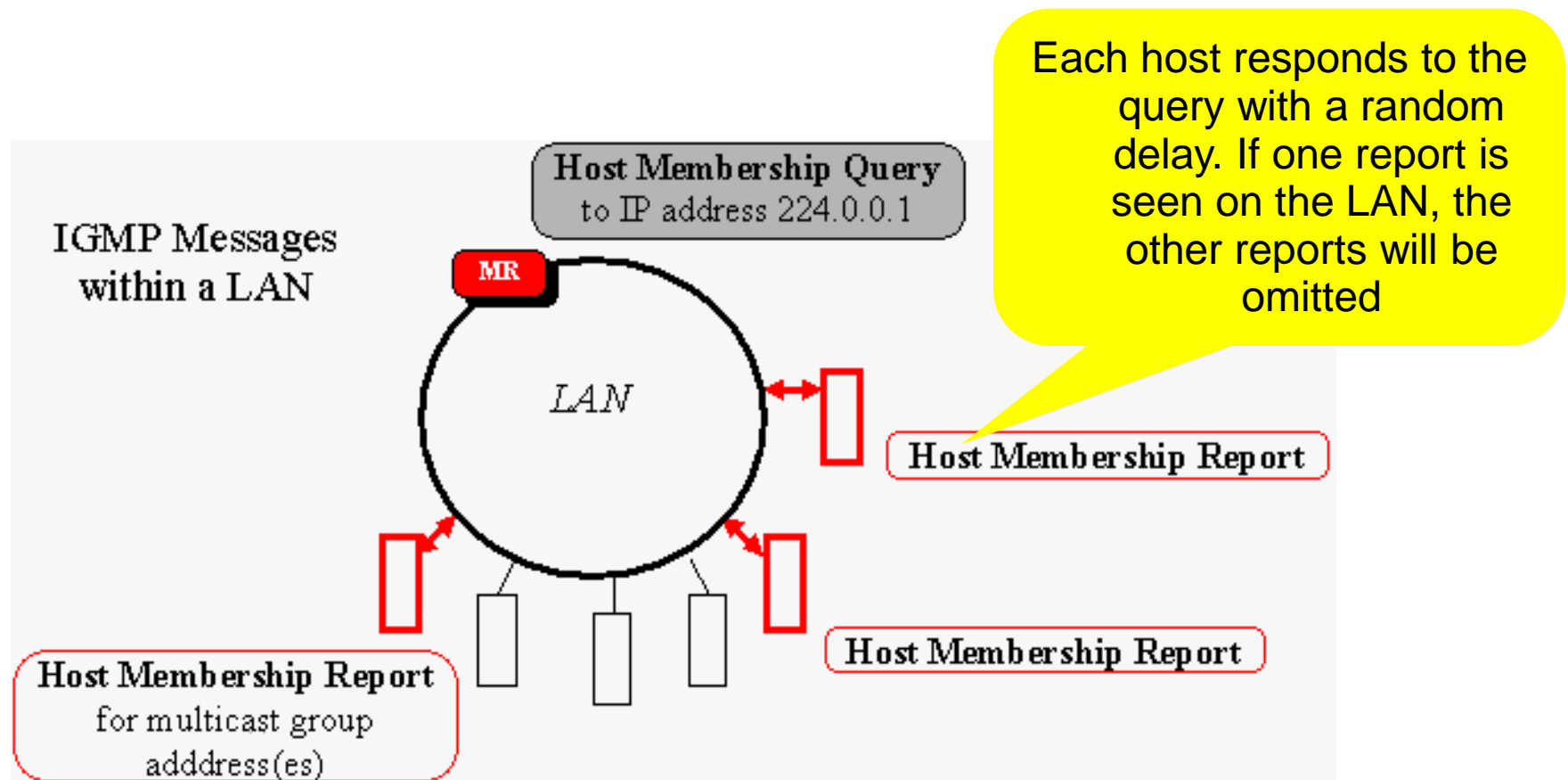
### One host joins a group

- Newly joined host in a group
  - sends IGMP message to group multicast address declaring membership
- Local multicast router
  - receives the message
  - establishes necessary routing path

### Group membership report

- Router sends Host Membership Query to 224.0.0.1
  - (all multicast hosts on a subnet)
- Host responds with Host Membership report for each group to which it belongs
  - sent to group address
- Other hosts in the same group “suppress” reports
- Router periodically broadcasts query to detect if groups have gone away

# IGMP: Internet Group Management Protocol



## IGMP version 1:

- Basic message formats & procedures

## IGMP version2:

- Procedure for the election of multicast querier for each LAN
- New type of Query message-the Group-Specific Query message
- “Leave Group” message

## IGMP version 3:

- Support for Group-Source Report messages
  - so that a host can elect to receive traffic from specific sources of a multicast group
- Support for Leave Group messages
  - first introduced in IGMP Version 2
  - enhanced to support Group-Source Leave messages.
- Feature allows a host to leave an entire group or to specify the specific IP address(es)
  - of the (source, group) pair(s) that it wishes to leave

## 5 Multicast Routing - Principles

### Multicast Definition

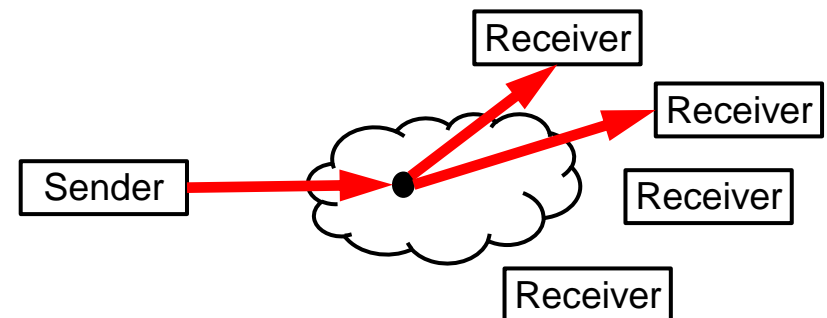
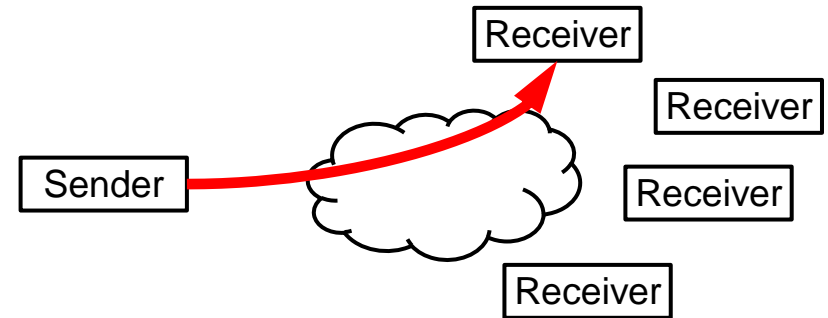
- Unicast: 1:1 communication
- Multicast: 1:n communication

### Tasks

- Send data to a group of end systems
- One-time sending instead of multiple sending
- Maintain the overall load at a low level

### Results

- Lower load in the network
- Lower load at the sender



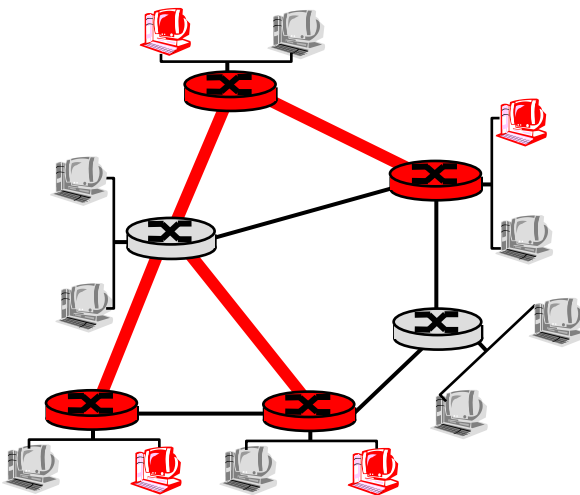


## 5.1 Multicast Routing: Problem Statement

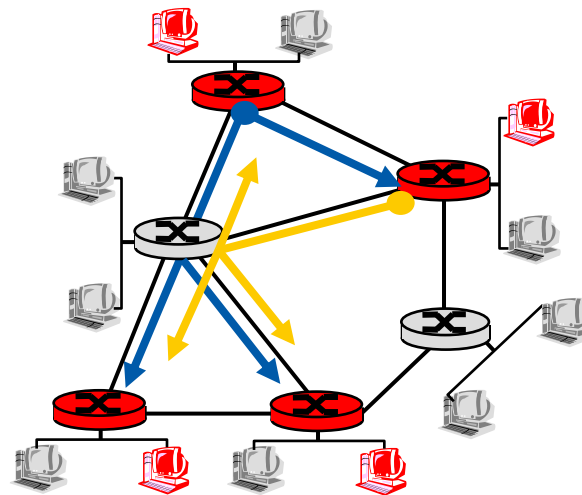
And on the backbone..

**Goal: To find a tree (or trees) connecting routers having local multicast group members**

- Tree: not all paths between routers used
  - Shared-tree: same tree used by all group members
  - Source-based: different tree from each sender to receivers



Shared tree



Source-based trees

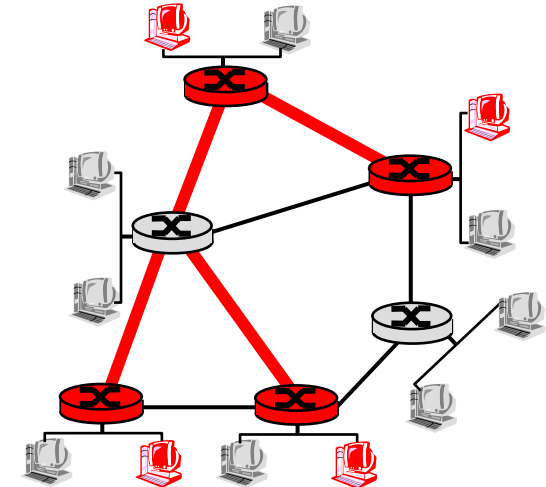
# Approaches for Multicast Traffic Delivery

## Potential routing and delivery approaches

- Flooding: no explicit forwarding topology

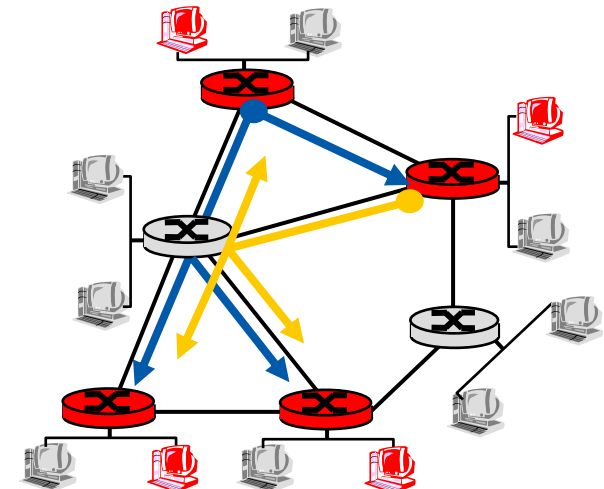
## Group-shared tree: group uses one tree

- Minimal spanning (Steiner)
- Core based trees

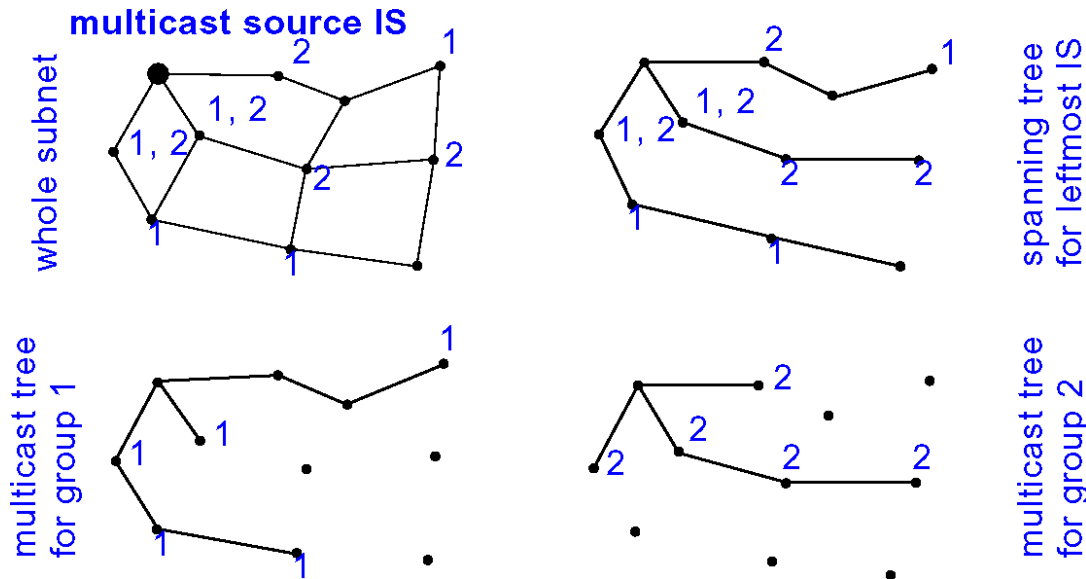


## Source-based tree: one tree per source

- Shortest path trees
- Reverse path forwarding



## 5.2 Spanning Tree



### Principle

- Global knowledge of the multicast group's spanning tree (Multicast Tree)
- Initially only local knowledge

### Distribution of Information

- First IS adapts spanning tree to the specific group, i.e., aligning (propagating) the spanning tree by
  - distance vector routing or
  - link state routing

## 5.3 Spanning Tree with Link State Routing

### Basic Principle:

all IS have to know the multicast tree

### I.e., each IS

- Knows to which group it belongs to
- But does not know (initially) which other IS belong to the group as well

### Distribution of this information

- Depends on the underlying routing protocol
- Here: Link State Routing

### Link State Routing

### All IS send link state packets periodically

- Containing information
  - distance to neighbors
  - expanded by information on multicast groups
- By broadcast to all the others

### Each IS calculates a multicast tree

- From the now locally available and complete state information

### Link State Packets:

A		B		C		D		E		F	
Seq.		Seq.		Seq.		Seq.		Seq.		Seq.	
Age		Age		Age		Age		Age		Age	
B	4	A	4	B	2	C	3	A	5	B	6
E	5	C	2	D	3	F	7	C	1	D	7
		F	6	E	1			F	8	E	8

### Based on the information about the multicast tree

- IS determines the outgoing lines
- On which packets have to be transmitted

## 5.4 Spanning Tree with Distance Vector Routing

### Basic Principle:

all IS have to know the multicast tree

### I.e., each IS

- Knows to which group it belongs to
- But does not know (initially) which other IS belong to the group as well

### Distribution of this information

- Depends on the underlying routing protocol
- Here: Distance Vector Multicast Routing Protocol DVMRP

### Distance Vector

### Distance Vector Multicast Routing

#### REVERSE PATH FORWARDING

- Algorithm (for a packet arriving at an IS)
  - Has this packet arrived at THE IS entry over which the packets for this station/source are usually also sent?
  - Yes:
    - Packet used the BEST route until now,
    - Action: resend over all edges (not including the incoming one)
  - No:
    - Action: discard packet (most likely duplicate)

#### REVERSE PATH FORWARDING WITH PRUNING

- Defined at Request For Comments 1075
- Pruning: Feedback in order to stop data transfer

# Spanning Tree with Distance Vector Routing

## Principle

### **Sender sends first multicast packet to everybody**

- using the broadcast method Reverse Path Forwarding RPF

### **Then applies adaptation (PRUNING)**

- because broadcasting too resource consuming

### **I.e., to adapt**

- From broadcast communication
- To multicast structure

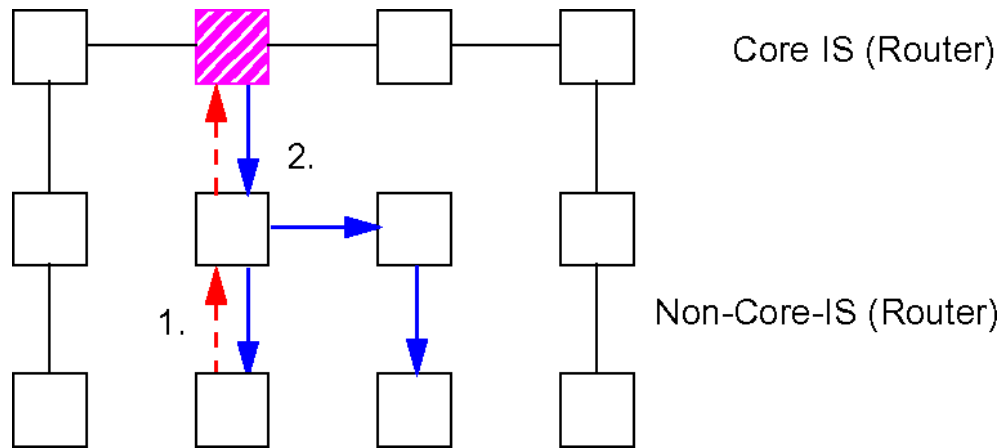
### **Benefit**

- Pruning only on trees that are actually used
  - unused trees are cut coarsely
- Optimized for many receivers

## **Originating from leaves of the spanning tree:**

- IF multicast packet arrives from IS leaf NOT belonging to multicast group:
  - Send a NON-MEMBERSHIP-REPORT (NMR) to the immediate predecessor
  - Propagate a Non-Membership-Report NMR, if
    - IS receives Non-Membership-Reports NMRs from all descendants
    - but does not belong to the group itself
- IF multicast packet arrives from IS leaf which DOES BELONG TO multicast group:
  - Nothing happens on the IS side
  - I.e., the following multicast packets are also send there again

## 5.5 Core Based Trees



**Single delivery tree shared by all  
One router identified as “center” of  
tree**

**Also known as "Trees with  
Rendezvous Points“**

### Principle

- The CORE is selected (an IS which is central to the group)
- The group's spanning tree from this node/IS is determined
- The sender transmits a packet to this central IS
- The core transmits this packet via the spanning tree

### Properties

- + simple central calculation
- + one common tree for all n senders (instead of n trees)
- route to the central IS may not be optimized

## 5.6 Truncated Reverse Path Forwarding (TRPB)



### Principle

- Enhancement of broadcast procedures "REVERSE-PATH-BROADCAST"
- Here packets are sent only on edge/leaf links which
  - contain group members
  - contain additional routers in their path (known from the message exchange between the routers)

### Algorithm (when packet arriving at IS)

- Has this packet arrived from the same connection over which packets are sent to this station? (RPB)
- Yes: packet used the most favorable route up to now
  - Action:
    - send over all subnetwork edges/leaf links (not incl. the incoming one)
    - containing group members or
    - containing additional routes within their path
- No: packet has not used the most favorable route up to now
  - Action:
    - discard packet (is probably duplicate)

### Comment on selecting the outgoing paths

- Recognizing leaf links by sending router messages
- Exchange membership information via IGMP
- Uncoupling of subnetworks only (not pruning procedure)



## 5.7 Truncated Reverse Path Forwarding (TRPB)



### A) Principle

- Enhancement of broadcast procedures:  
"REVERSE-PATH-BROADCAST"
- Here packets are sent only on edge/leaf links which
  - contain group members
  - contain additional routers in their path  
(known from the message exchange between the routers)

### C) Comment on selecting the outgoing paths

- Recognizing leaf links by sending router messages
- Exchange membership information via IGMP
- Uncoupling of subnetworks only (not pruning procedure)

### B) Algorithm (when packet arriving at IS)

- Has this packet arrived from the same connection over which packets are sent to this station? (RPB)
- Yes: packet used the most favorable route up to now
  - →Action:
    - send over all subnetwork edges/leaf links (not incl. the incoming one)
      - containing group members or
      - containing additional routes within their path
- No: packet has not used the most favorable route up to now
  - →Action:
    - discard packet (is probably duplicate)

## 5.8 Additional Procedures & Topics

### Variations (some additional ones)

- Steiner Trees (optimizing network resources)
- Reverse Path Multicast (RPM)
- Distance Vector Multicast Routing Protocol (DVMRP)
  - first version of DVMRP (RFC 1075) based on RPM
- Hierarchic DVMRP
  - two-tiered, non-overlapping domains/subnetworks
- Multicast Open Shortest Path First (MOSPF)
  - based on link state routing OSPF
- Protocol Independent Multicast (PIM)
  - for groups with small spatial density

### Objectives: optimizations - constraints

- Edge optimization:
  - e.g. path with largest bandwidth
- Edge limited:
  - e.g. find a path that adheres to the constraints at every edge
- Path optimization:
  - e.g. path with the lowest overall costs
- Path limited:
  - e.g. path which does not exceed a certain overall delay

### Reserving resources

- Resource Reservation Protocol (RSVP)
- Stream Protocol Version 2 (ST-2)

### Quality of Service

- Negotiation
- With heterogeneous receivers (filtering)
- Adaptation (scaling)

**DVMRP: Distance vector multicast routing protocol,**

- RFC1075

**Protocol Independent Multicast PIM**

- E.g., RFC 4601
- Protocol Independent Multicast - Sparse Mode (PIM-SM) (Revised)

## 6.1 Internet Multicasting Routing: DVMRP

### DVMRP: Distance vector multicast routing protocol, RFC1075

#### Derived from Routing Information Protocol (RIP)

- RIP forwards the unicast packets based on the next-hop towards a destination
- DVMRP constructs delivery trees based on shortest previous-hop back to the source

#### Flood and prune: reverse path forwarding, source-based tree

- RPF tree based on DVMRP's own routing tables
  - constructed by communicating DVMRP routers
- No assumptions about underlying unicast
- Initial datagram to multicast group flooded everywhere via RPF
- Routers not wanting group:
  - send upstream prune messages
- Since version 3, RPM is used (prior versions used TRPB)

# Internet Multicasting Routing: DVMRP

## Soft state: DVMRP router periodically “forgets” that branches have been pruned

- Multicast data again flows down unpruned branch
- Downstream router:
  - re prune or else continue to receive data

## Routers can quickly rejoin the tree

- Following IGMP join at leaf
- Using “grafting” messages

## Characteristics:

- Works well in small autonomous domains
- Commonly implemented in commercial routers
- Mbone routing done using DVMRP

## Limitations:

- Distance-vector → slow to adapt to topology changes;
- Must store source-specific state even when not on tree → scaling problems

**If router C can receive datagrams from both A and B, then:**

- It will receive from A if A's metric to the source is smaller than B's, or
- If they are equal:
  - if A has the smaller IP address on its downstream interface

Initial TTL	Scope
0	Restricted to the same host
1	Restricted to the same subnetwork
32	Restricted to the same site
64	Restricted to the same region
128	Restricted to the same continent
255	Unrestricted in scope

## 6.2 Protocol Independent Multicast PIM

### Deployment of IGMP/DVMRP complicated

- (and not very successful)

### → PIM - Protocol Independent Multicast proposed as follow-up

- Not dependent on specific underlying unicast routing algorithm

### Two different multicast distribution scenarios

#### Dense:

- Group members densely packed, in “close” proximity

#### Sparse:

- Group members “widely dispersed”



## Dense:

- Group members densely packed, in “close” proximity
- Bandwidth more plentiful

## I.e.

- Group membership by routers assumed until routers explicitly prune
- Data-driven construction of multicast tree (e.g., RPF)
- Bandwidth and non-group-router processing wasteful

## Sparse:

- Number of networks with group members small wrt
  - number of interconnected networks
- Group members “widely dispersed”
- Bandwidth not plentiful

## I.e.

- No membership until routers explicitly join
- Receiver- driven construction of multicast tree (e.g., core based)
- Bandwidth and non-group-router processing conservative

## 6.3 PIM - Dense Mode

### Flood-and-prune RPF, similar to DVMRP, but

- Underlying unicast protocol provides RPF info for incoming datagram
- Less complicated (less efficient) downstream flood than DVMRP
  - reduces reliance on underlying routing algorithm
- Has protocol mechanism for router to detect it is a leaf-node router

## 6.4 PIM - Sparse Mode

### Sparse Mode

- For case of small (or wide spread) groups
- Developed due to scaling issues
  - (flooding is generally a really bad idea...)

### Based on creating routing tree for a group with Rendezvous Point (RP) as a root for the tree

- RP (core) is a focus for both senders and receivers

### Explicit join model

- Receivers send Join towards the RP
- Senders send Register towards the RP

### Supports both shared trees (default) AND source trees

### RPF check depends on tree type

- For shared tree (between RP and receivers), uses RP address
- For source tree (between RP and source), uses source address

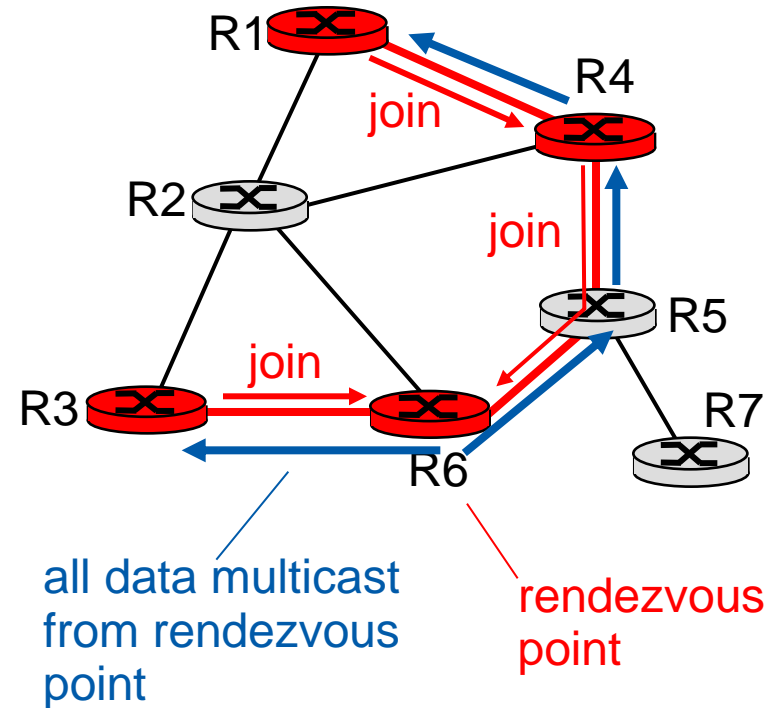
## Core based approach

### Router sends join msg to rendezvous point (RP)

- Intermediate routers
  - update state and
  - forward join

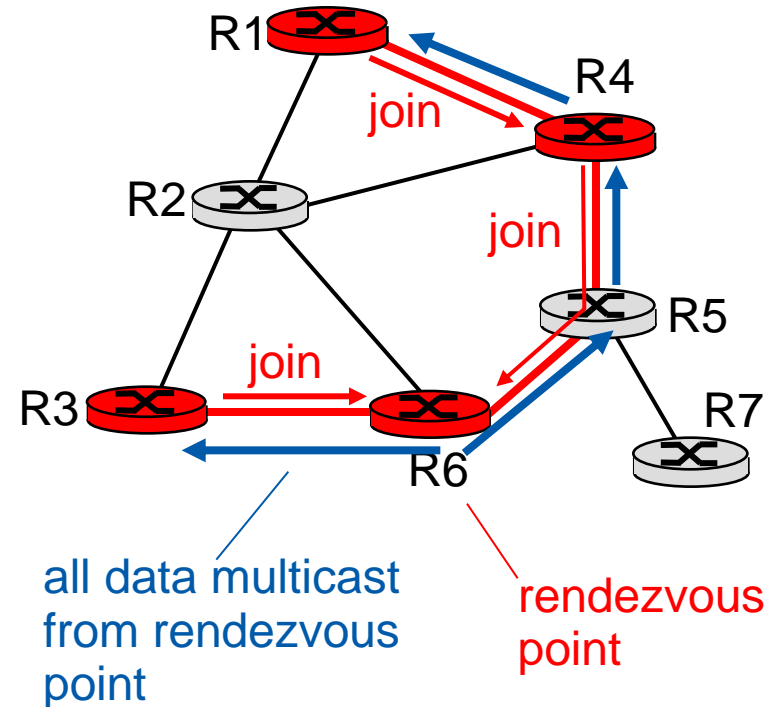
### After joining via rendezvous point RP, router can switch to source-specific tree

- Increased performance:
  - less concentration
  - shorter paths



## Sender(s)

- Unicast data to rendezvous point RP which distributes down RP-rooted tree
- Rendezvous point RP
  - can extend multicast tree upstream to source
  - can send stop msg if no attached receivers (“No one is listening!”)



## What if source is located in remote domains?

- PIM-Sparse Mode requires
  - group-RP (rendezvous point) mappings to be advertised to all PIM-Sparse Mode domains
- Use Multicast Source Discovery Protocol,
  - functionality is similar to BGP

## Inter-domain multicast to be managed by Border Gateway Multicast Protocol (BGMP)

## 7 Why is native IP Multicast not yet available?



### **Multicast technology already widely deployed, but multicast service not yet turned on – why?**

- Multicast is hard to manage
  - decentralized assignment of addresses!?
  - Terribly hard to debug
- There is not yet a real “killer” application
  - It only decreases cost, but does not really facilitate anything new...
- IP multicast service model not inline with requirements for commercial deployment
  - No group membership control
  - Open to denial of service attacks
  - Domain dependency
- Lack of a business models for multicast pricing