

Formal Specification and Verification of Object-Oriented Programs

Syntax and Semantics of First-Order Logics



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JML combines

- ▶ JAVA expressions
- ▶ First-Order Logic (FOL)

We will verify JAVA programs using **Dynamic Logic**

Dynamic Logic combines

- ▶ First-Order Logic (FOL)
- ▶ JAVA programs



Providing JML with a formal semantics

Translate JML specifications into **Dynamic Logic**

First step (this week)

Formal Semantics of JML Expressions

- ▶ FOL as language (syntax)
- ▶ Formal semantics of FOL
- ▶ Calculus for FOL to prove validity of formulas
- ▶ KeY system as FOL prover (to begin with)

Type Hierarchy $\mathcal{T} = (\text{TNames}, \preceq)$ consists of

- ▶ a non-empty set of **type names** TNames with $\perp, \top \in \text{TNames}$
- ▶ a reflexive, transitive **subtype relation** \preceq such that for any $A \in \text{TNames}$

$$\perp \preceq A \preceq \top$$

Two types T_1, T_2 are called **incomparable** iff. $T_1 \not\preceq T_2$ and $T_2 \not\preceq T_1$

Example

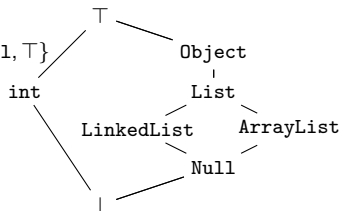
TNames = $\{\perp, \text{int}, \text{Object}, \text{List}, \text{LinkedList}, \text{ArrayList}, \text{Null}, \top\}$

$\perp \preceq \text{int}, \text{int} \preceq \top$

$\perp \preceq \text{Object}, \text{Object} \preceq \text{List}, \text{List} \preceq \text{LinkedList}$

...

E.g., int and Object are incomparable types



Signature $\Sigma_{\mathcal{T}}$ for a Type Hierarchy \mathcal{T}

A first-order **signature** Σ for a type hierarchy \mathcal{T} consists of

- ▶ a set $F_{\Sigma_{\mathcal{T}}}$ of function symbols
- ▶ a set $P_{\Sigma_{\mathcal{T}}}$ of predicate symbols
- ▶ a set $V_{\Sigma_{\mathcal{T}}}$ of logic variable symbols

with $F_{\Sigma_{\mathcal{T}}}, P_{\Sigma_{\mathcal{T}}}, V_{\Sigma_{\mathcal{T}}}$ pairwise disjoint. (We omit the subscript \mathcal{T} or $\Sigma_{\mathcal{T}}$ if no ambiguity arises.)

Definition (Type Declaration of Symbols)

- ▶ $T f(T_1, \dots, T_n) \in F_{\Sigma_{\mathcal{T}}}$ with $T, T_i \in \mathcal{T} - \{\perp\}$; arity of f is n
 - ▶ for **constants** $T c()$, i.e., function symbols with $n = 0$, we write $T c$
- ▶ $p(T_1, \dots, T_n) \in P_{\Sigma_{\mathcal{T}}}$ with $T_i \in \mathcal{T} - \{\perp\}$; arity of p is n
 - ▶ for **propositional variables** $p()$, i.e., predicate symbols with $n = 0$, we write p
- ▶ $v : T \in V_{\Sigma_{\mathcal{T}}}$ with $T \in \mathcal{T} - \{\perp\}$; arity of v is 0



Example (Signature $\Sigma_{\mathcal{T}_1}$)

$$\mathcal{T}_{\Sigma_{\mathcal{T}_1}} = \{\perp, \text{int}, \top\} \text{ with } \perp \preceq \text{int} \preceq \top$$

$$F_{\Sigma_{\mathcal{T}_1}} = \{\text{int} + (\text{int}, \text{int}), \text{int} - (\text{int}, \text{int})\} \cup \{\dots, \text{int} -2, \text{int} -1, \text{int} 0, \text{int} 1, \text{int} 2, \dots\}$$

$$P_{\Sigma_{\mathcal{T}_1}} = \{\langle (\text{int}, \text{int}) \rangle\}$$

In signature $\Sigma_{\mathcal{T}_1}$ we have

- ▶ two function symbols $+$ and $-$ each of arity 2
- ▶ infinitely many constant symbols $\dots, -2, -1, 0, 1, 2, \dots$
- ▶ one predicate symbol \langle of arity 2



Example (Signature $\Sigma_{\mathcal{T}_2}$)

$\mathcal{T}_2 = \{\perp, \text{int}, \text{List}, \text{Heap}, \text{Field}, \top\}$ (all types incomparable),

$F_{\Sigma_{\mathcal{T}_2}} = \{\text{null}, \text{o}, \text{store}, \text{select}, \text{next}\} \cup \{\dots, -2, -1, 0, 1, 2, \dots\}$

(type declarations see below)

$P_{\Sigma_{\mathcal{T}_2}} = \{\}$

Intuition: select/store model program heap; next models field of List objects

Example (Type declarations)

```
List null, o;
Heap store(Heap,  $\overbrace{\text{List, Field, List}}^{\text{o.next} := \text{o}'}$ );
List select(Heap,  $\overbrace{\text{List, Field}}^{\text{o.next}}$ );
Field next;
int 0; int 1; int -1; ...
```

Definition (Terms, inductive definition)

A **first-order term** of type $T \in \mathcal{T} - \{\perp\}$

- ▶ is a logic variable of type T , or
- ▶ has the form $f(t_1, \dots, t_n)$,

where $f(T_1, \dots, T_n) \in F_{\Sigma_T}$ and each t_i is a term of type T'_i with $T'_i \preceq T_i$, or

Think of first-order terms as side effect-free expressions in programs



Example (Terms over $\Sigma_{\mathcal{T}_1}$)

(assuming logic variable declarations `int v_1 ; int v_2 ;`)

- ▶ -7
- ▶ $+(-2, 99)$
- ▶ $-(7, 8)$
- ▶ $+(-(7, 8), 1)$
- ▶ $+(-(v_1, 8), v_2)$

Example (Using infix notation of functions)

- ▶ $-2 + 99$
- ▶ $7 - 8$
- ▶ $(7 - 8) + 1$
- ▶ $(v_1 - 8) + v_2$



Example (Terms over $\Sigma_{\mathcal{T}_2}$)

(assuming logic variable declarations `List u; Heap h;`)

- ▶ `-7` has type `int`
- ▶ `null` has type `List`
- ▶ `store(h, o, next, null)` has type `Heap`
- ▶ `select(store(h, o, next, null), u, next)` has type `List`

Example (Intuition behind functions modeling object fields)

- ▶ `o.next = null;`
- ▶ `u.next` in an execution state, where `o.next == null`



Definition (Logical Atoms)

Given a signature Σ , a **logical atom** has one of the forms

- ▶ **true**
- ▶ **false**
- ▶ $t_1 \doteq t_2$ (“equality”),
where t_1 and t_2 have a common subtype $\neq \perp$
- ▶ $p(t_1, \dots, t_n)$ (“predicate”),
where $p \in P_{\Sigma_T}$, and each t_i is term of the correct type following the typing p



Example (Atomic formulas over $\Sigma_{\mathcal{T}_1}$)

(assuming variable declaration `int v;`)

- ▶ $7 \doteq 8$
- ▶ $7 < 8$
- ▶ $-2 - v < 99$
- ▶ $v < (v + 1)$



Example (Atomic formulas over $\Sigma_{\mathcal{T}_2}$)

(assuming variable declarations **List** u ; **Heap** h ;)

- ▶ $\text{store}(h, o, \text{next}, \text{null}) \doteq h$
- ▶ $\text{select}(\text{store}(h, u, \text{next}, \text{null}), u, \text{next}) \doteq \text{null}$



Definition (FO Formulas, inductive definition)

- ▶ Any atomic formula is a formula
- ▶ With ϕ and ψ formulas, x a logic variable of type $T \in \mathcal{T} - \{\perp\}$, the following are also formulas:
 - ▶ $\neg\phi$ “not ϕ ”
 - ▶ $\phi \wedge \psi$ “ ϕ and ψ ”
 - ▶ $\phi \vee \psi$ “ ϕ or ψ ”
 - ▶ $\phi \rightarrow \psi$ “ ϕ implies ψ ”
 - ▶ $\phi \leftrightarrow \psi$ “ ϕ is equivalent to ψ ”
 - ▶ $\forall T x; \phi$ “for all x of type T formula ϕ holds”
 - ▶ $\exists T x; \phi$ “there exists an x of type T such that ϕ holds”

In $\forall T x; \phi$ and $\exists T x; \phi$ the logic variable x is **bound** (i.e., **not free**)

Formulas with no free logic variables are called **closed**



(signatures obvious, typing irrelevant: left out)

Example (There are at least two distinct elements)

$$\exists x, y; \neg(x \doteq y)$$

Example (Axioms of strict partial order)

Irreflexivity $\forall x; \neg(x < x)$

Asymmetry $\forall x; \forall y; (x < y \rightarrow \neg(y < x))$

Transitivity $\forall x; \forall y; \forall z;$
 $(x < y \wedge y < z \rightarrow x < z)$

(is any of the three formulas redundant?)



(signatures obvious, typing irrelevant: left out)

Example (There must be an ∞ number of elements)

Signature and axioms of strict partial order **plus**

Existence Successor $\forall x; \exists y; x < y$

Remark on Concrete Syntax



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	Text book	KeY
Negation	\neg	<code>!</code>
Conjunction	\wedge	<code>&</code>
Disjunction	\vee	<code> </code>
Implication	\rightarrow, \supset	<code>-></code>
Equivalence	\leftrightarrow	<code><=></code>
Universal Quantifier	$\forall x; \phi$	<code>\forall x; \phi</code>
Existential Quantifier	$\exists x; \phi$	<code>\exists x; \phi</code>
Value equality	\doteq	<code>=</code>



```
\sorts { // sorts = types
  any;
}
\predicates { // use symbol p for order predicate
  p(any,any);
}
\problem { // a formula
  (
    \forall x; !p(x,x) &
    \forall x; \forall y; \forall z;
      (p(x,y) & p(y,z) -> p(x,z))
    ->
    \forall x; \forall y; (p(x,y) -> !p(y,x))
  )
}
```



How do we **know** that a formula like

$$\exists x, y; \neg(x \doteq y)$$

expresses our intention?



From propositional to first-order semantics

- ▶ In prop. logic, an interpretation of prop. variables with $\{tt, ff\}$ suffices
- ▶ In first-order logic we must assign meaning to:
 - ▶ variables bound in quantifiers
 - ▶ predicate symbols
 - ▶ constant and function symbols
- ▶ Each variable or function value may denote a different object
- ▶ Respect typing: `int i`, `List l` **must** denote different objects

Need to interpret a first-order formula **relative to a signature Σ**

1. A **typed universe (domain)** of objects
2. A mapping from **variables** to objects of suitable type
3. A mapping from **function** arguments to function values
4. The set of argument tuples where a **predicate** is true



1. A **typed universe** of objects for \mathcal{T}

Definition (Universe/Domain)

A non-empty set \mathcal{D} is a **universe** or **domain**.

Each element of \mathcal{D} has a fixed type given by $\delta : \mathcal{D} \rightarrow \mathcal{T}$.

- ▶ Notation for the domain elements of type $T \in \mathcal{T} - \{\perp\}$:
 $\mathcal{D}^T = \{d \in \mathcal{D} \mid \delta(d) \preceq T\}$ **and** $\mathcal{D}^\perp = \emptyset$
- ▶ Obviously, $\mathcal{D} = \bigcup_{T \in \mathcal{T}} \mathcal{D}^T$
- ▶ Each type $T \in \mathcal{T} - \{\perp\}$ must “contain” at least one domain element: $\mathcal{D}^T \neq \emptyset$



3. A mapping from function arguments to function values
4. The set of argument tuples where a predicate is true

Definition (First-Order State/Model)

Let \mathcal{D} be a domain with typing function δ

Let f be declared as $T f(T_1, \dots, T_r)$;

Let $\mathcal{I}(f) : \mathcal{D}^{T_1} \times \dots \times \mathcal{D}^{T_r} \rightarrow \mathcal{D}^T$

Let p be declared as $p(T_1, \dots, T_r)$;

Let $\mathcal{I}(p) \subseteq \mathcal{D}^{T_1} \times \dots \times \mathcal{D}^{T_r}$

Then $\mathcal{S} = (\mathcal{D}, \delta, \mathcal{I})$ is a **first-order state** (or **model**).

First-Order States Cont'd



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Example

Signature: `int i; int j; int f(int); Object obj; <(int,int);`

Domain: $\mathcal{D} = \{17, 2, o\}$ with obvious typing ($|\mathcal{D}^{\text{int}}| = 2$!)

$$\mathcal{I}(i) = 17$$

$$\mathcal{I}(j) = 17$$

$$\mathcal{I}(\text{obj}) = o$$

\mathcal{D}^{int}	$\mathcal{I}(f)$
2	2
17	2

$\mathcal{D}^{\text{int}} \times \mathcal{D}^{\text{int}}$	in $\mathcal{I}(<)$?
(2, 2)	ff
(2, 17)	tt
(17, 2)	ff
(17, 17)	ff

One of **uncountably many** possible first-order states!

Semantics of Reserved Signature Symbols



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Definition

Equality symbol \doteq declared as $\doteq (T, T)$ for any type $T \in \mathcal{T} - \{\perp\}$

Interpretation is fixed as $\mathcal{I}(\doteq) = \{(d, d) \mid d \in \mathcal{D}\}$

“Referential Equality” (holds if arguments refer to identical object)

Exercise: write down the predicate table of \doteq for example domain

Signature Symbols vs. Domain Elements



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- ▶ Domain elements different from the terms representing them
- ▶ First-order formulas and terms have **no access** to domain
 - ▶ \mathcal{D} , δ , \mathcal{D}^T are **not** part of FOL signature
 - ▶ Cf. languages (e.g., JAVA) without access to heap representation

Example

Signature: `Object obj1, obj2;`

Does `obj1 \doteq obj2` hold in a state?

We have no idea what the elements of $\mathcal{D} = \mathcal{D}^{\text{Object}}$ look like

- ▶ Holds always, if $|\mathcal{D}| = 1$
- ▶ Maybe, otherwise
- ▶ How to establish that there are exactly 42 elements in $\mathcal{D}^{\text{Object}}$?
 - ▶ How to do that in JAVA?



2. A mapping from variables to objects

Think of variable assignment as environment for storage of local variables

Definition (Variable Assignment)

A **variable assignment** β maps variables to domain elements
It respects the variable type, i.e., if x has type T then $\beta(x) \in \mathcal{D}^T$

Definition (Modified Variable Assignment)

Let y be variable of type T , β variable assignment, $d \in \mathcal{D}^T$:

$$\beta_y^d(x) := \begin{cases} \beta(x) & x \neq y \\ d & x = y \end{cases}$$



Given a first-order state (model) \mathcal{S} and a variable assignment β :
it is possible to evaluate first-order terms under \mathcal{S} and β

Definition (Valuation of Terms)

Let $\mathcal{S} = (\mathcal{D}, \delta, \mathcal{I})$

Then $val_{\mathcal{S}, \beta} : \text{Term} \rightarrow \mathcal{D}$ such that $val_{\mathcal{S}, \beta}(t) \in \mathcal{D}^T$ for $t \in \text{Term}_T$:

- ▶ $val_{\mathcal{S}, \beta}(x) = \beta(x)$
- ▶ $val_{\mathcal{S}, \beta}(f(t_1, \dots, t_r)) = \mathcal{I}(f)(val_{\mathcal{S}, \beta}(t_1), \dots, val_{\mathcal{S}, \beta}(t_r))$

Semantic Evaluation of Terms Cont'd



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Example

Signature: `int i; int j; int f(int); int hashCode(Object);`

Domain: $\mathcal{D} = \{0, 1, 2, 17, o, nil\}$

Variables: `Object obj; int x;`

$\mathcal{I}(i) = 17$	\mathcal{D}^{int}	$\mathcal{I}(f)$

	2	17
	17	2

$\mathcal{D}^{\text{Object}}$	$\mathcal{I}(\text{hashCode})$
<i>o</i>	1
<i>nil</i>	0

Var	β
obj	<i>o</i>
x	17

1. $val_{S,\beta}(f(f(i)))$?
2. $val_{S,\beta}(x)$?
3. $val_{S,\beta}(\text{hashCode}(\text{obj}))$?

Semantic Evaluation of Terms: Example



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1. $val_{S,\beta}(f(f(i))) = \mathcal{I}(f)(val_{S,\beta}(f(i)))$
 $= \mathcal{I}(f)(\mathcal{I}(f)(val_{S,\beta}(i)))$
 $= \mathcal{I}(f)(\mathcal{I}(f)(\mathcal{I}(i)))$
 $= \mathcal{I}(f)(\mathcal{I}(f)(17))$
 $= \mathcal{I}(f)(2)$
 $= 17$
2. $val_{S,\beta}(x) = \beta(x) = 17$
3. $val_{S,\beta}(\text{hashcode}(\text{obj})) = \mathcal{I}(\text{hashcode})(val_{S,\beta}(\text{obj}))$
 $= \mathcal{I}(\text{hashcode})(\beta(\text{obj}))$
 $= \mathcal{I}(\text{hashcode})(o)$
 $= 1$



Definition (Valuation of Formulas)

$val_{S,\beta}(\phi)$ for $\phi \in For$

- ▶ $val_{S,\beta}(p(t_1, \dots, t_r)) = tt$ iff $(val_{S,\beta}(t_1), \dots, val_{S,\beta}(t_r)) \in \mathcal{I}(p)$
- ▶ $val_{S,\beta}(\phi \wedge \psi) = tt$ iff $val_{S,\beta}(\phi) = tt$ and $val_{S,\beta}(\psi) = tt$
- ▶ ... as in propositional logic
- ▶ $val_{S,\beta}(\forall T x; \phi) = tt$ iff $val_{S,\beta_x^d}(\phi) = tt$ **for all** $d \in \mathcal{D}^T$
- ▶ $val_{S,\beta}(\exists T x; \phi) = tt$ iff $val_{S,\beta_x^d}(\phi) = tt$
for at least one $d \in \mathcal{D}^T$



Example

Signature: `int j`; `int f(int)`; `Object obj`; `<(int,int)`;

$$\begin{aligned} I(j) &= 17 \\ I(obj) &= o \end{aligned}$$

Domain: $\mathcal{D} = \{2, 17, o\}$

\mathcal{D}^{int}	$I(f)$
2	2
17	2

$\mathcal{D}^{\text{int}} \times \mathcal{D}^{\text{int}}$	in $I(<)$?
(2, 2)	ff
(2, 17)	tt
(17, 2)	ff
(17, 17)	ff

- ▶ $val_{S,\beta}(f(j) < j) ?$
- ▶ $val_{S,\beta}(\exists \text{int } x; f(x) \doteq x) ?$
- ▶ $val_{S,\beta}(\forall \text{Object } o1; \forall \text{Object } o2; o1 \doteq o2) ?$

Semantic Evaluation of Formulas: Example



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$$\begin{aligned} 1. \quad val_{S,\beta}(f(j) < j) &= \mathcal{I}(<)(val_{S,\beta}(f(j)), val_{S,\beta}(j)) \\ &= \mathcal{I}(<)(\mathcal{I}(f)(val_{S,\beta}(j)), \mathcal{I}(j)) \\ &= \mathcal{I}(<)(\mathcal{I}(f)(17), 17) \\ &= \mathcal{I}(<)(2, 17) \\ &= tt \end{aligned}$$

$$\begin{aligned} 2. \quad val_{S,\beta}(\exists \text{int } x; f(x) \dot{=} x) &= tt \text{ iff } val_{S,\beta_x^d}(f(x) \dot{=} x) = T \text{ for } d \in \mathcal{D}^{\text{int}} \\ &\text{iff } \mathcal{I}(\dot{=})(val_{S,\beta_x^d}(f(x)), val_{S,\beta_x^d}(x)) = tt \\ &\quad \text{if } \mathcal{I}(\dot{=})(val_{S,\beta_x^2}(f(x)), val_{S,\beta_x^2}(x)) = tt \\ &\text{iff } \mathcal{I}(\dot{=})(2, 2) = tt \checkmark \end{aligned}$$

Semantic Evaluation of Formulas: Example



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3. $val_{S,\beta}(\forall \text{Object } o1; \forall \text{Object } o2; o1 \doteq o2) = tt$

iff $val_{S,\beta_{o1,o2}^{d_1,d_2}}(o1 \doteq o2) = tt$ f.a. $d_1, d_2 \in \mathcal{D}^{\text{Object}} = \{o\}$

iff $val_{S,\beta_{o1,o2}^{o,o}}(o1 \doteq o2) = tt$

iff $\mathcal{I}(\doteq)(val_{S,\beta_{o1,o2}^{o,o}}(o1), val_{S,\beta_{o1,o2}^{o,o}}(o2)) = tt$

iff $\mathcal{I}(\doteq)(\beta_{o1,o2}^{o,o}(o1), \beta_{o1,o2}^{o,o}(o2)) = tt$

iff $\mathcal{I}(\doteq)(o, o) = tt$ ✓



Definition (Satisfiability, Truth, Validity)

$$\begin{array}{lll} val_{S,\beta}(\phi) = tt & & (\phi \text{ is } \mathbf{satisfiable}) \\ S \models \phi & \text{iff} & \text{for all } \beta : val_{S,\beta}(\phi) = tt \quad (\phi \text{ is } \mathbf{true} \text{ in } S) \\ \models \phi & \text{iff} & \text{for all } S : S \models \phi \quad (\phi \text{ is } \mathbf{valid}) \end{array}$$

Closed formulas that are satisfiable are also true: one top-level notion

Example

- ▶ $f(j) < j$ is true in S of previous slide
- ▶ $\exists \mathbf{int} \ x; i \doteq x?$ Valid: can always choose $d = \mathcal{I}(i)$ in val_{S,β_x^d}
- ▶ $\exists \mathbf{int} \ x; \neg(x \doteq x)?$ Not satisfiable

Useful Valid Formulas (Propositional Logic)



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Let ϕ and ψ be arbitrary, closed formulas (whether valid or not)

Then the following formulas are valid:

- ▶ $\neg(\phi \wedge \psi) \leftrightarrow (\neg\phi \vee \neg\psi)$
- ▶ $\neg(\phi \vee \psi) \leftrightarrow (\neg\phi \wedge \neg\psi)$
- ▶ $(\text{true} \wedge \phi) \leftrightarrow \phi$
- ▶ $(\text{false} \vee \phi) \leftrightarrow \phi$
- ▶ $\text{true} \vee \phi$
- ▶ $\neg(\text{false} \wedge \phi)$
- ▶ $(\phi \rightarrow \psi) \leftrightarrow (\neg\phi \vee \psi)$
- ▶ $\phi \rightarrow \text{true}$
- ▶ $\text{false} \rightarrow \phi$
- ▶ $(\text{true} \rightarrow \phi) \leftrightarrow \phi$
- ▶ $(\phi \rightarrow \text{false}) \leftrightarrow \neg\phi$

Useful Valid Formulas (FO Logic)



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Assume that x is the **only** variable which may appear freely in ϕ or ψ

Then the following formulas are valid:

- ▶ $\neg(\exists T x; \phi) \leftrightarrow \forall T x; \neg\phi$
- ▶ $\neg(\forall T x; \phi) \leftrightarrow \exists T x; \neg\phi$
- ▶ $(\forall T x; \phi \wedge \psi) \leftrightarrow (\forall T x; \phi) \wedge (\forall T x; \psi)$
- ▶ $(\exists T x; \phi \vee \psi) \leftrightarrow (\exists T x; \phi) \vee (\exists T x; \psi)$

Are the following formulas also valid? (Exercise)

- ▶ $(\forall T x; \phi \vee \psi) \leftrightarrow (\forall T x; \phi) \vee (\forall T x; \psi)$
- ▶ $(\exists T x; \phi \wedge \psi) \leftrightarrow (\exists T x; \phi) \wedge (\exists T x; \psi)$



Showing Validity by Computation of $val_{S,\beta}$ Impractical

- ▶ There are uncountably many FO states
- ▶ Even a single state may have infinite domain
- ▶ Even when domain finite: recursion \Rightarrow exponential number of cases

Need **syntactic** proof method:

- ▶ Use only symbols occurring in a formula already
- ▶ Avoid full recursive evaluation whenever possible