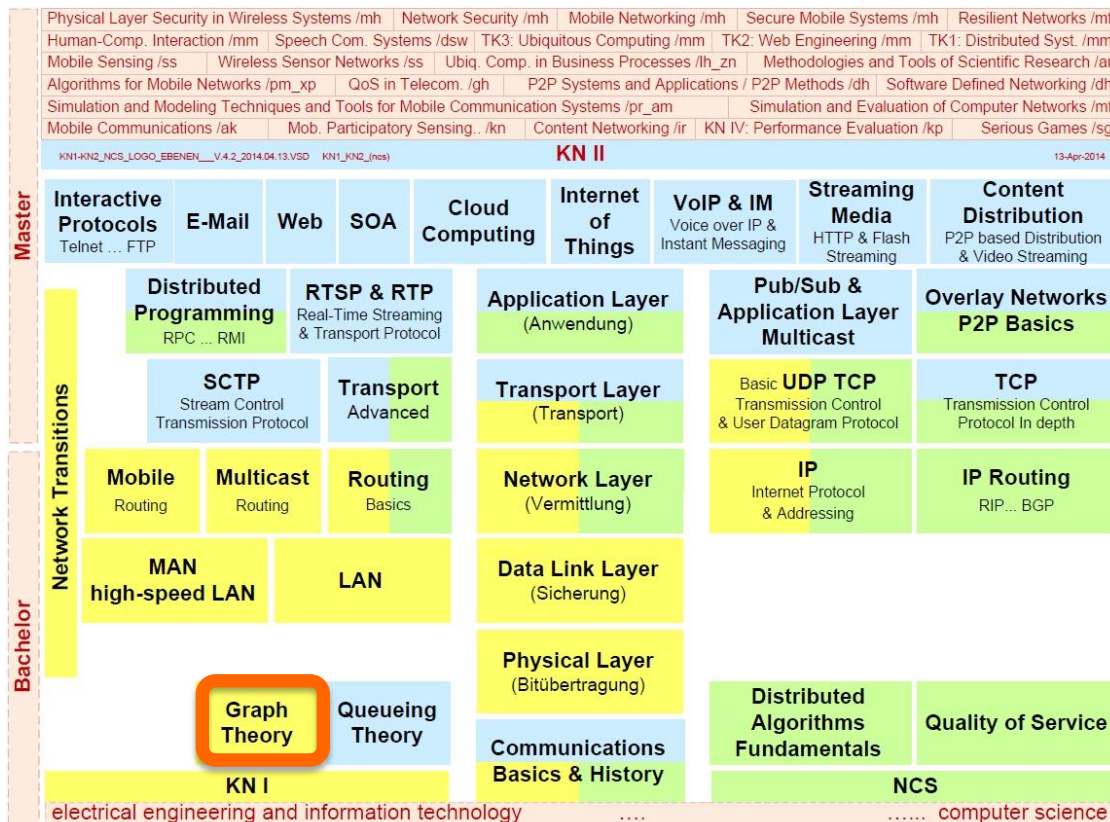


# Communication Networks I

## Graph Theory for Communication Networks



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## **1 Basics of Graph Theory related to Communication Networks**

## **2 Representing Graphs**

## **3 Graph Metrics**

### **3.1 Clustering Metrics**

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### **3.4 Power Law Phenomenon**

## **4 Paths and related Problems**

### **4.1 Shortest Paths**

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### **4.3 Dijkstra's Shortest Path Algorithm**

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### **5.1 Kruskal's Greedy Algorithm**

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## **6 Network Flows**

### **6.1 Flow Augmenting Paths: Example**

### **6.2 Cuts**

## **7 Annex: Vocabulary English - German**

## Graph Theory may be known (to some extend)

### Goal:

- To focus on communication network issues of graphs
- To show some “relationships”

### Contents

- From Tanenbaum
  - Computer Networks
- From other sections at
  - former KN1
- From NCS
  - Prof. Max Mühlhäuser et.al.



## Graphs are a widely used abstraction in many fields

- Electrical engineering: networks, electrical design,...
- Civil engineering: Road maps, pipeline networks
- Chemistry: Molecular structures
- Economics: Organizational structures (organograms)
- And of course computer networks :-)

## Graph theory used both in design and optimization

- Many important problems can be modeled as graphs

## Popularity of graph theory has increased recently

- Big reason: Computers become more powerful and can solve large optimization problems (modeled and solved as graphs)

## Graph theory increasingly important in networking

### Graphs often used to model computer networks

- Either networks of single computers or networks of networks

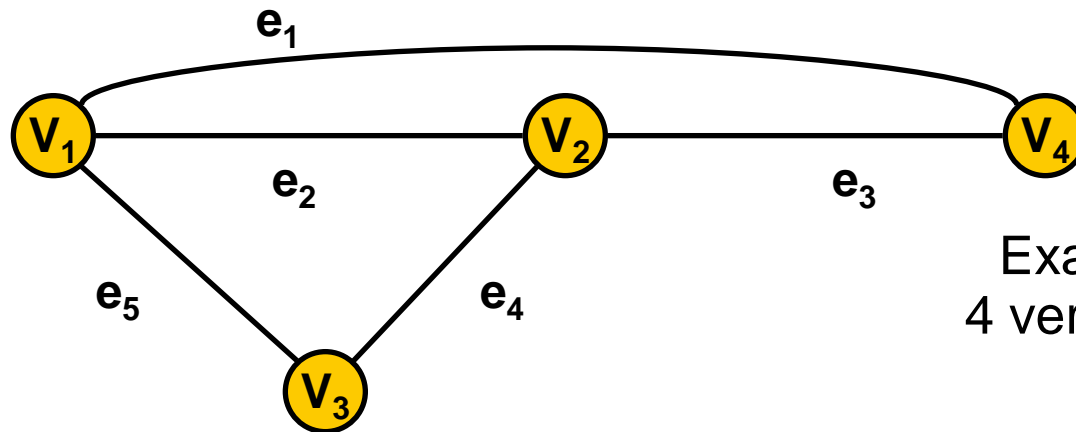
### Many basic algorithms from graph theory well-known

- For example, Dijkstra's Shortest Path Algorithm

### Examples of uses of graphs in networking:

- Model routing of messages in network
- Model capacity of network for quality of service
- Analyze network topology
  - How network could be optimized?
  - Insight into possible vulnerabilities
- ...

# What is a Graph?



Example graph with  
4 vertices and 5 edges

## Definition of a graph:

Graph  $G = (V, E)$  consists of two finite sets,

set  $V$  of **vertices** (nodes) and

set  $E$  of **edges** (arcs)

$$E = \{ \{u, v\} \mid u, v \in V \}$$

**for which the following conditions apply:**

- 1) If  $e \in E$ , then exists a tuple  $(v, u) \in V \times V$ , such that  $v \in e$  and  $u \in e$
- 2) If  $e \in E$  and above  $(v, u)$  exists, and further for  $(x, y) \in V \times V$  applies  $x \in e$  and  $y \in e$ , then  $\{v, u\} = \{x, y\}$

# Properties of Graphs

An edge  $e \in E$  is **undirected**  
if  $e = (x,y)$  is identical to  $e = (y,x)$

An edge  $e \in E$  is **directed** if  
 $e = (x,y)$  is NOT identical to  $e = (y,x)$ :  
 $(x,y) \neq (y,x)$

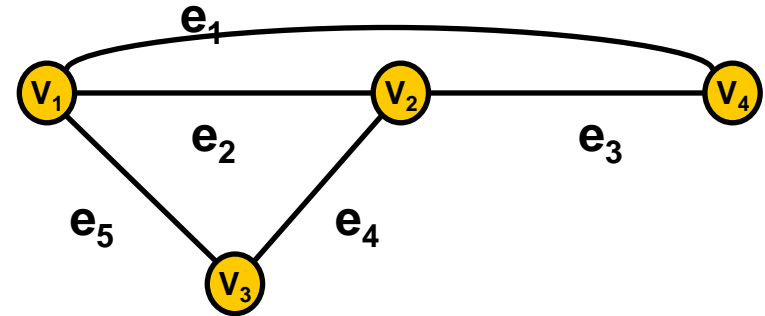
A graph  $G$  is **directed** (undirected)  
if the above property holds for all edges

A loop is an edge with identical endpoints ( $e = (x,y) \mid x = y$ )

Graph  $G$  is **linear** (simple)  
if for each  $(v, u) \in V \times V$  exists at most one  $e \in E$  and  $G$  has no loops

- To linearize a graph,  
replace all multiple edges with a single edge and remove all loops
- All subsequent graphs are assumed linear, unless otherwise said

Graph  $G_1 = (V_1, E_1)$  is a **subgraph** of  $G = (V, E)$ ,  
if  $V_1 \subseteq V$  and  $E_1 \subseteq E$  (such that conditions 1 and 2 are met)

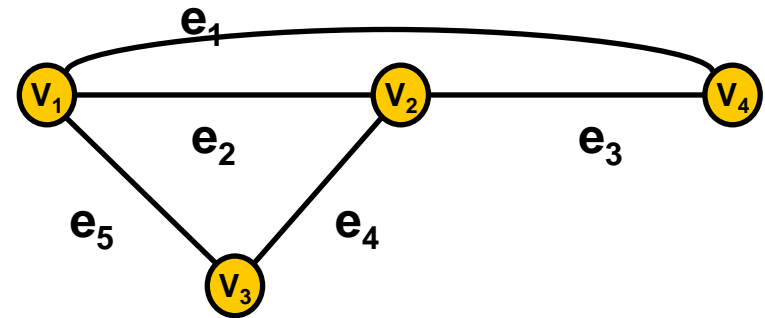


# Walking in a Graph

We want to go from vertex  $v_1$  to vertex  $v_k$

No restrictions: This is called a **walk**

$$(v_1, v_2), (v_2, v_3), \dots, (v_{k-1}, v_k) \in E$$



A walk is a **trail** if we go along each edge at most once

A trail is a **path** if we visit each vertex at most once

Walk, path, or trail is *closed* if  $v_1 = v_k$

A closed path is also called **cycle**

- In a linear, undirected graph, a cycle has at least 3 edges, why?

If a graph has no cycles, it is called **acyclic**



## Important Types of Graphs

Vertices  $v, u \in V$  are **connected** if there is a path from  $v$  to  $u$ :  $(v, v_2), (v_2, v_3), \dots, (v_{k-1}, u) \in E$

Graph  $G$  is **connected** if all  $v, u \in V$  are connected

Undirected, connected, acyclic graph is called a **tree**

- Sidenote: Undirected, acyclic graph which is not connected is called a forest

Directed, connected, **acyclic graph** is also called **DAG**

- DAG = directed, acyclic graph (connected is “assumed”)

For us, most graphs are connected and undirected

- For example, in computer networks all nodes can talk to each other and traffic flows in both directions

## 2 Representing Graphs

**Drawing a graph is easy way to visualize it**

**But this works only for very small graphs**

- Real problems are far too big to visualize
- Also, a computer is not very good at reading drawings...

**Several different representations appropriate for networks**

1. Incidence matrix
2. Adjacency matrix
3. Incidence lists (for sparse graphs)
  - Incidence lists similar to how sparse matrices are represented

**Most appropriate form depends on**

- the graph and on
- the application/problem

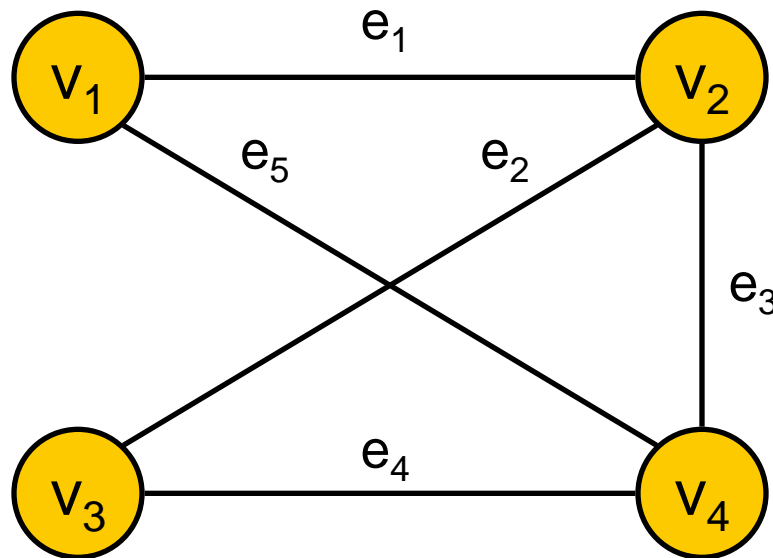
## Sample Graph

Consider the following graph  $G = (V, E)$

$$V = \{v_1, v_2, v_3, v_4\}, |V| = 4$$

$$E = \{e_1, e_2, e_3, e_4, e_5\}, |E| = 5$$

Graph  $G$  looks like this:



# Incidence Matrix

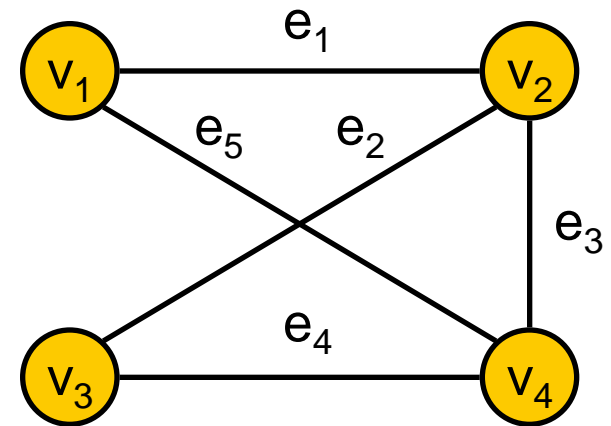
Incidence matrix is a  $|V| \times |E|$  matrix

It tells which edges are incident to a vertex (= touch it)

- Edge  $e = (v, u)$  is **incident** to both  $v$  and  $u$

$$\begin{array}{c} \text{Vertices} \end{array} \left\{ I = \begin{array}{ccccc} 1 & 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 & 1 \end{array} \right.$$

Edges



**Note:** Each column has two 1's (no loops allowed)  
useful for determining **degree** of vertex (see below)

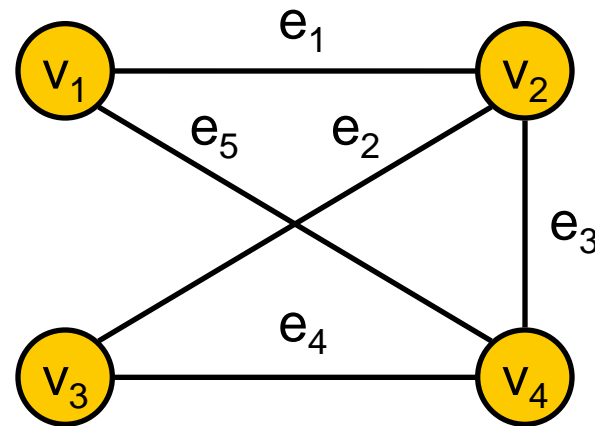
# Adjacency Matrix

**Adjacency matrix is a  $|V| \times |V|$  matrix**

**It tells which vertices are adjacent to each other**

- Vertices  $v$  and  $u$  are adjacent if  $(v, u) \in E$  or  $(u, v) \in E$
- Adjacent vertices also called neighbors
- By definition: Vertex is not adjacent to itself

$$A = \begin{bmatrix} 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{bmatrix}$$



**Adjacency matrix for undirected graph is symmetric**

**Typically, adjacency matrix is much smaller than incidence matrix and more widely used**

# Incidence Lists

## Two kinds of incidence lists:

- **Vertex incidence list:**

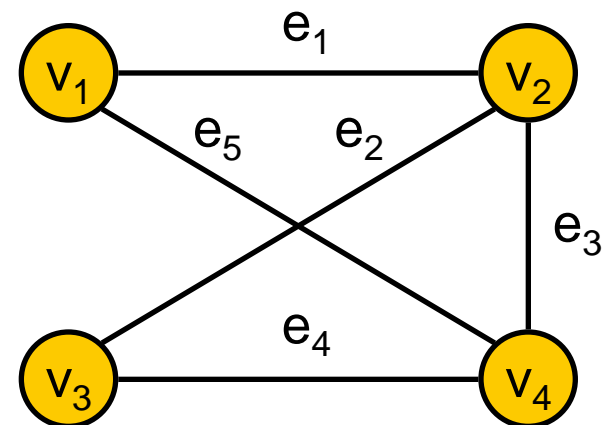
Which edges are incident to a vertex

- **Edge incidence list:**

Which are the endpoints of an edge

Vertex	Edges
$v_1$	$e_1, e_5$
$v_2$	$e_1, e_2, e_3$
$v_3$	$e_2, e_4$
$v_4$	$e_3, e_4, e_5$

Edge	Endpoints
$e_1$	$v_1, v_2$
$e_2$	$v_2, v_3$
$e_3$	$v_2, v_4$
$e_4$	$v_3, v_4$
$e_5$	$v_1, v_4$



## Incidence lists especially good for **sparse graphs**

- Sparse graph has very few edges
- Sparse = far fewer than  $n(n - 1)/2$ , where  $n = |V|$
- List is more efficient in computer than matrix

### 3 Graph Metrics



<b>Distance <math>d_{ij}</math></b>	<b>number of edges along shortest path between node <math>i</math> and node <math>j</math></b>
<b>Diameter <math>D</math> of network</b>	<b>max. distance between any 2 nodes</b>
<b>Average path length <math>L</math> of network</b>	<b>mean distance over all nodes also known as size of an network</b>
<b>Total amount of nodes <math>N_{ALL}</math></b>	
<b>Degree <math>k_i</math> of a node <math>i</math></b>	<b>total number of edges, the node <math>i</math> is attached to</b>
<b>Av. degree <math>\langle k \rangle</math> of an network</b>	<b>average of all <math>k_i</math> of an network</b>
<b>Degree Distribution <math>P(k)</math></b>	<b>probability distribution of <math>k_i</math></b>

# Vertex Degree

In graph  $G = (V, E)$ ,  
the **degree** of vertex  $v \in V$  is  
the total number of edges  $(v, u) \in E$  and  $(u, v) \in E$

- Degree is the number of edges which touch a vertex

For directed graph, we distinguish between **in-degree** and **out-degree**

- In-degree is number of edges coming to a vertex
- Out-degree is number of edges going away from a vertex

**Degree of a vertex can be obtained as:**

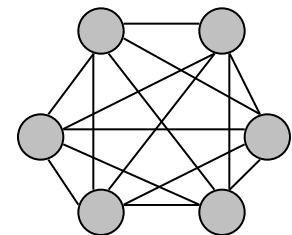
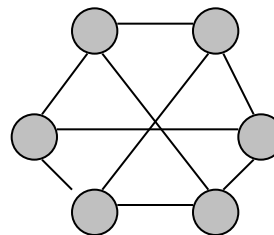
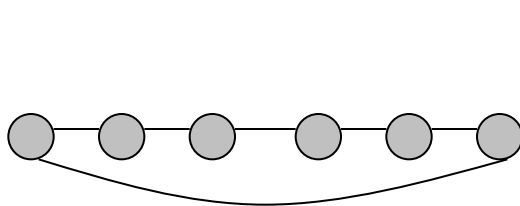
- Sum of the elements in its row in the incidence matrix
- Length of its vertex incidence list



## Motivation for this section

- Given very many nodes in the network
- Issue 1:
  - Where is the requested information/service ?
- Issue 2:
  - Structure of network should be suitable for fast searches or lookup
- Constraints:
  - Only partial view on the network
  - A peer can only contact its “neighbors”
- Need metrics to describe the properties of network topology

**Motivation: Which is the difference between the following graphs?  
Advantages? Disadvantages?**



## Ideal network characteristics (general)

- Small network diameter (worst-case distance)
- Small average distance / path length
- Limited and small vertex/node degree
- High connectivity (and high fault tolerance)
- Support/allow load balancing of traffic
- Scalability (e.g.  $O(\log n)$ )
- Symmetry

→ but, hard to obtain in reality

## 3.1 Clustering Metrics

### Clustering of one node

- Measured by the Clustering Coefficient CC
  - No. of links among a node's immediate neighbors to each other
- Compared to
  - Max. number of possible links they might have between them

### CC has

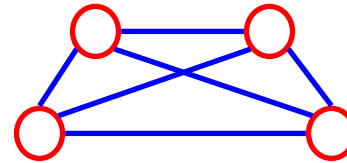
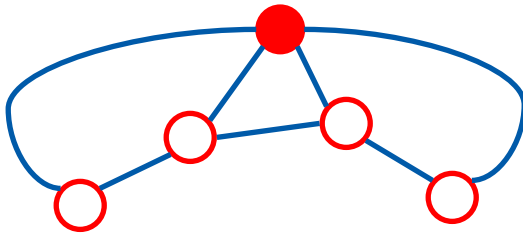
- K - the amount of node's neighbors (directly connected)
- N - the actual number of edges among K neighbors of the node

$$CC = \frac{N}{\frac{K(K-1)}{2}}$$

### Clustering factor $CC_{\text{network}}$ of a network

- Average clustering factor of all nodes of a network

# Clustering Example



Node i



Nearby node



Edge

$N = 3$  edges between the  $K = 4$  adjacent nodes to node i

→ Max. 6 edges:  $\frac{K(K-1)}{2} = \frac{4(4-1)}{2} = 6$

$$CC_i = \frac{N}{\frac{K(K-1)}{2}} = \frac{3}{6} = 0.5$$

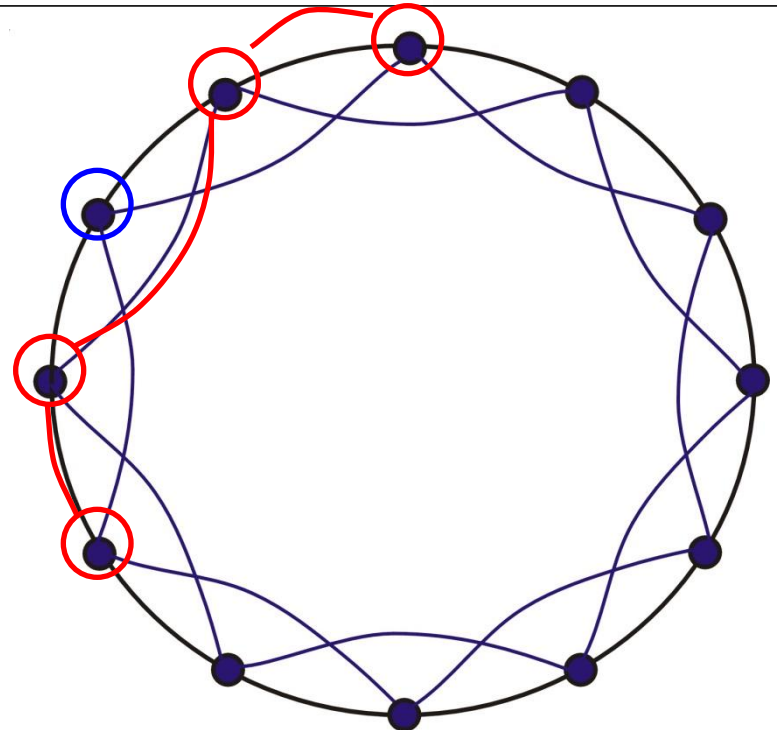
# Clustering Example

## Example: regular graph

### CC of one node has

- $K = 4$
- $N = 3$

$$CC = \frac{3}{\frac{4(4-1)}{2}} = \frac{3}{6} = 0.5$$



### CC of whole network

- Average CC of all nodes
- All nodes have same CC
- I.e.,  $CC_{\text{network}} = 0.5$

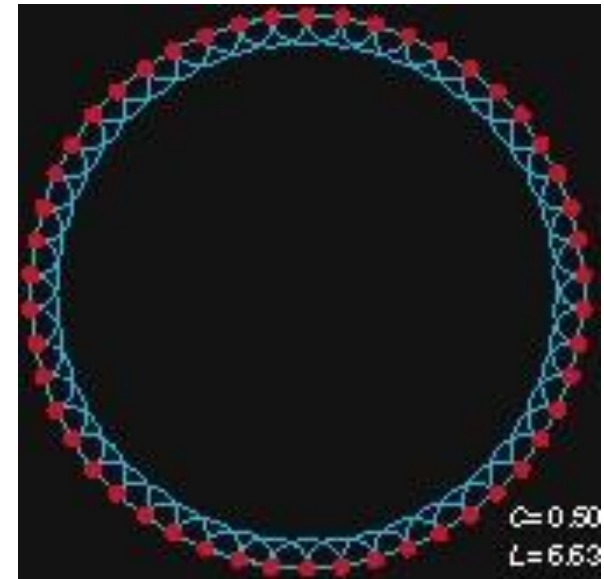
## 3.2 Average Path Length Metric

### Path length L

1. choose a node
2. calculate the median distance to the rest of the graph
3. ...choose another not yet selected node ..
- ...
- Z. average over all nodes

### Issue

- How to find the hops with only a local knowledge of the topology?



# Example of Calculation of Average Path Length

## Example: regular graph with

- 50 nodes and  $CC = 0.5$

### Closest node

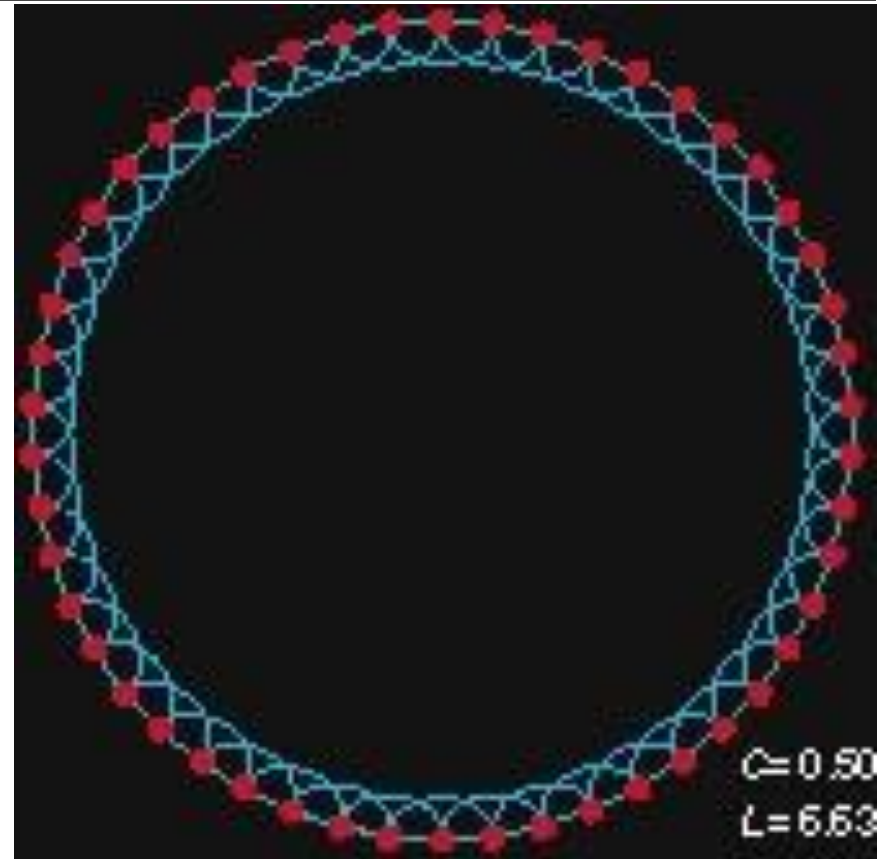
- $L = 1$

### Most distant node

- At opposite side
- Distance to opposite side, 180 degrees
  - ca. half of 25 nodes
  - $= 12.5$

### Average node

- located at 90 degrees
- Ca. half of 12.5  $= 6.25$
- I.e.,
  - approx.  $L = 6.25$
  - (exactly  $L = 6.63$ )



### 3.3 Small World Phenomenon



**Graphs seem (at a first glance) to be established randomly**

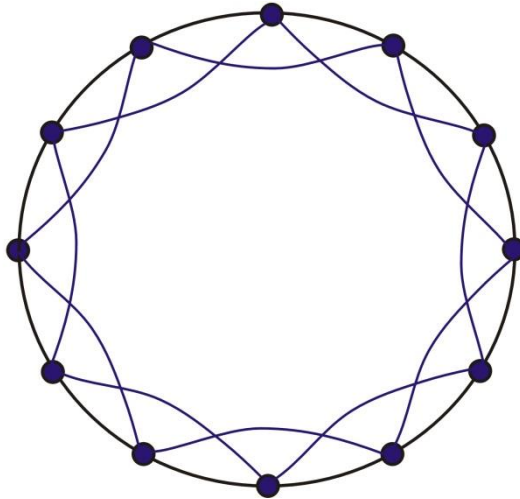
- But, characteristics are not “random”

**Hence,**

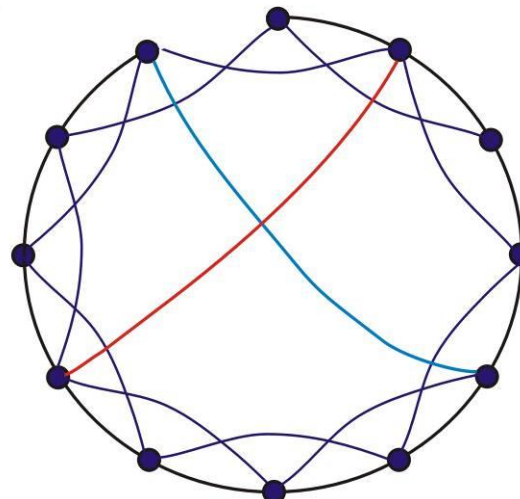
- Which are the properties?
- Search for “simple” construction principle



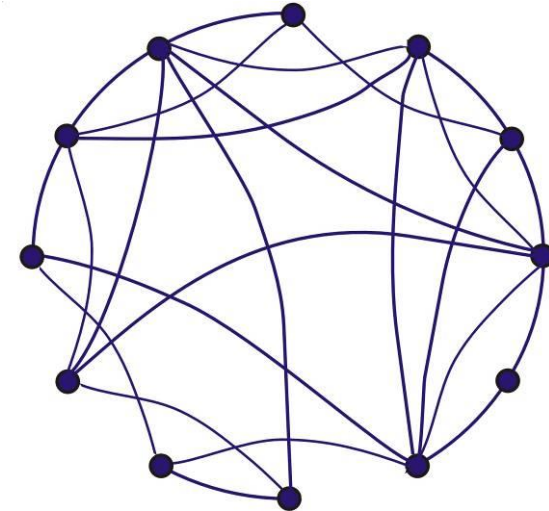
# Watts / Strogatz Process



Regular Graph



slightly "rewired"



Random Graph

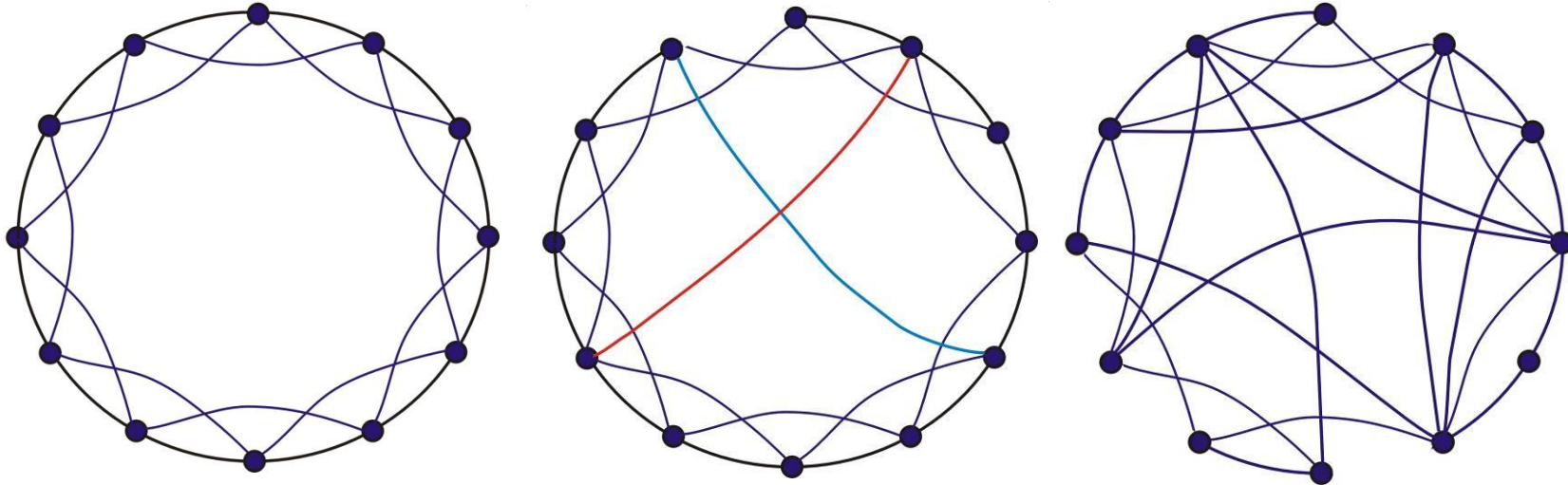
rewiring probability

## Process (by Watts and Strogatz)

- randomly select edge (by edge) and
- randomly "rewire" it (them)

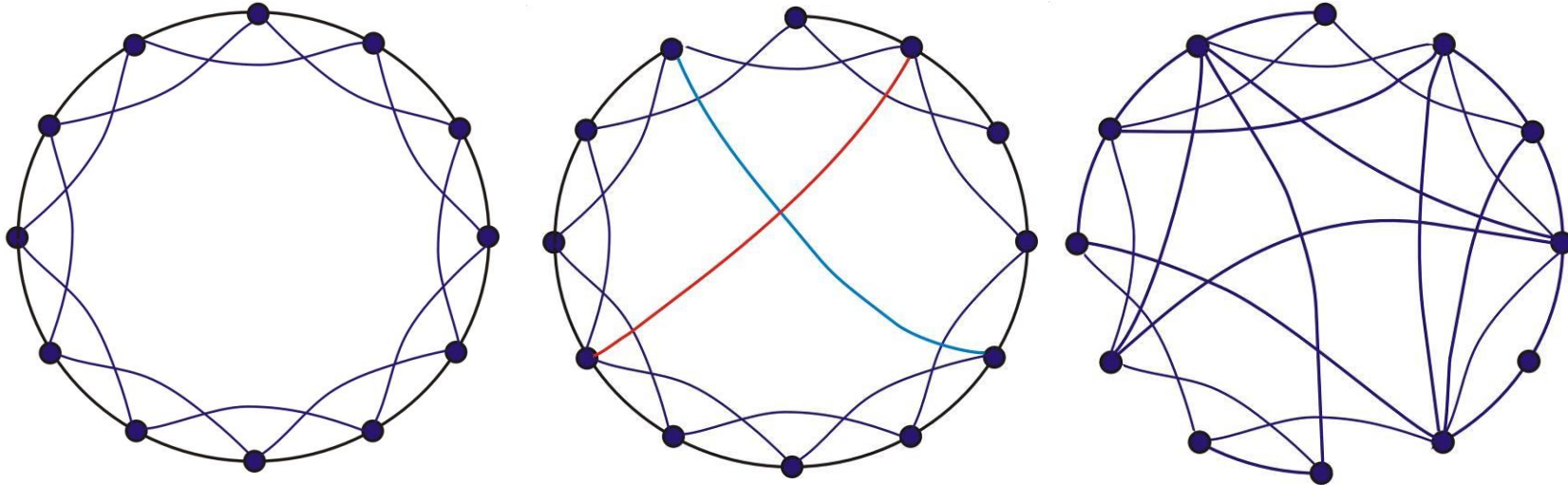
Which is the effect of this process?

# Small World Phenomenon

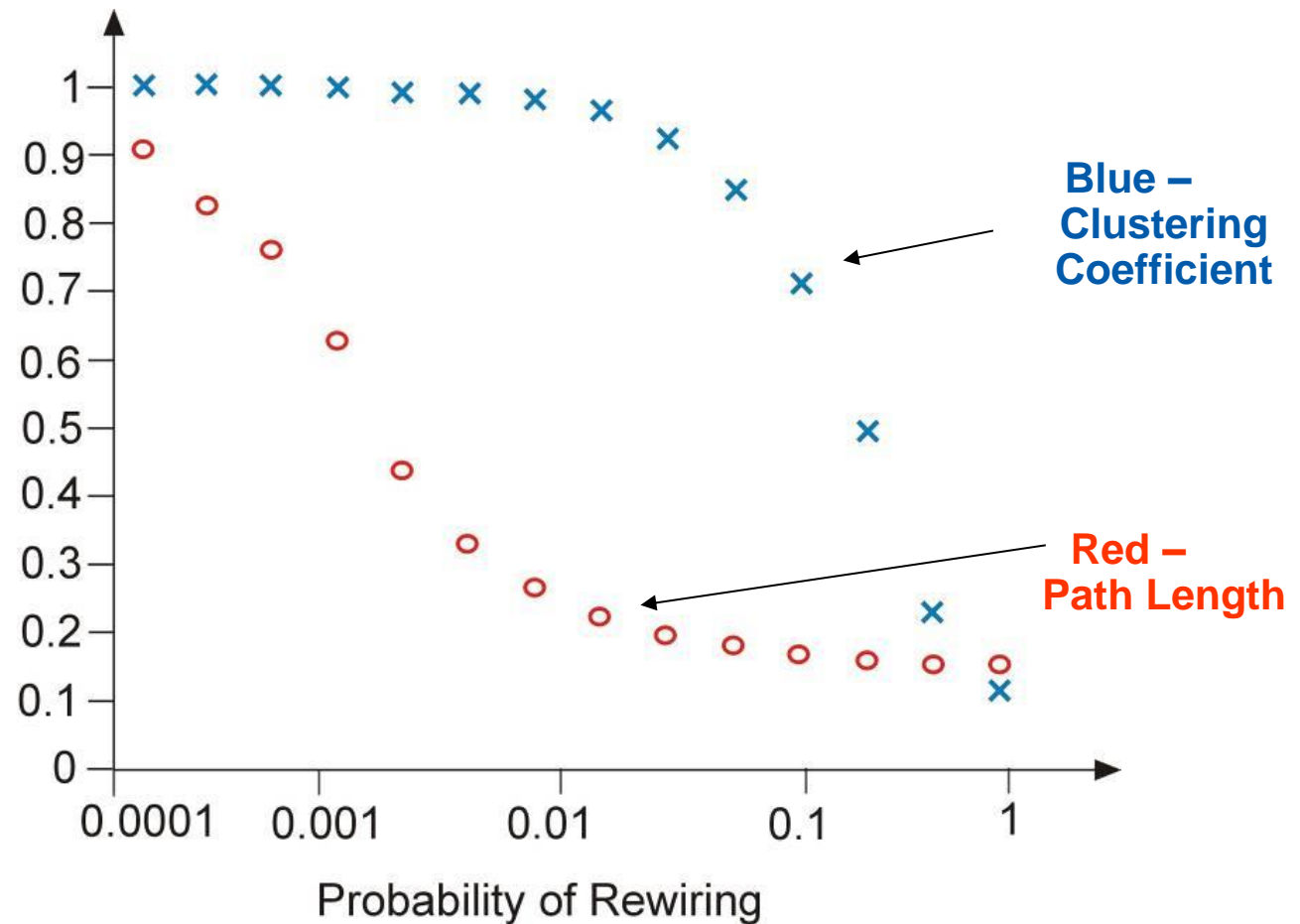


	Regular Graph	Slightly rewired graph	Random graph
Clustering Coefficient	?	?	?
Path Length	?	?	?

# Small World Phenomenon



	Regular Graph	Slightly rewired graph	Random graph
Clustering Coefficient	high	high	low
Path Length	high	low	low



## Effect

- “Rewiring” very few edges to be randomly reconnected
- Clustering remains high
- But, path lengths (better look-up times) are dramatically reduced

**Slightly rewired graphs = small world graphs**

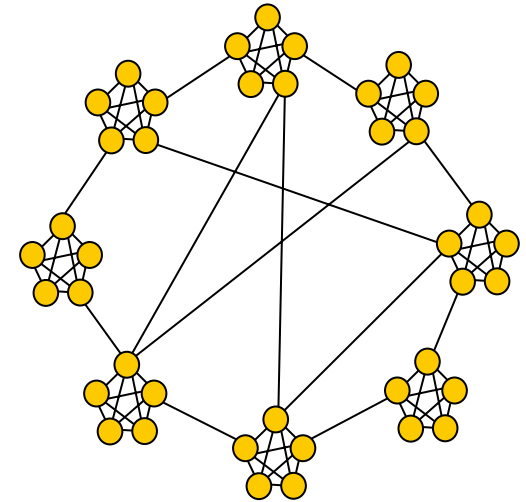
**Noticed properties:**

## **1. Clustered sparseness (clustering)**

- How “CLIQUEISH” a graph is
  - Small World Networks comprise few edges
  - Network set-up by many interconnected clusters

## **2. Small Diameter (path length)**

- Minimal distance between the most apart peers is small
- Average path length is RATHER SMALL
- Path length GROWS LOGARITHMICALLY with size of network



# Scale Free Networks

## Power laws are scale free

- Because
  - if  $k$  is rescaled (multiplied by a constant),
  - then  $P(k)$  is still proportional to ...  $P(k) \propto k^{-\gamma}$
- $P(k)$  probability that a node in the network connects with  $k$  other nodes
- $\gamma$  (gamma) coefficient (may vary ca. 2 to 3)

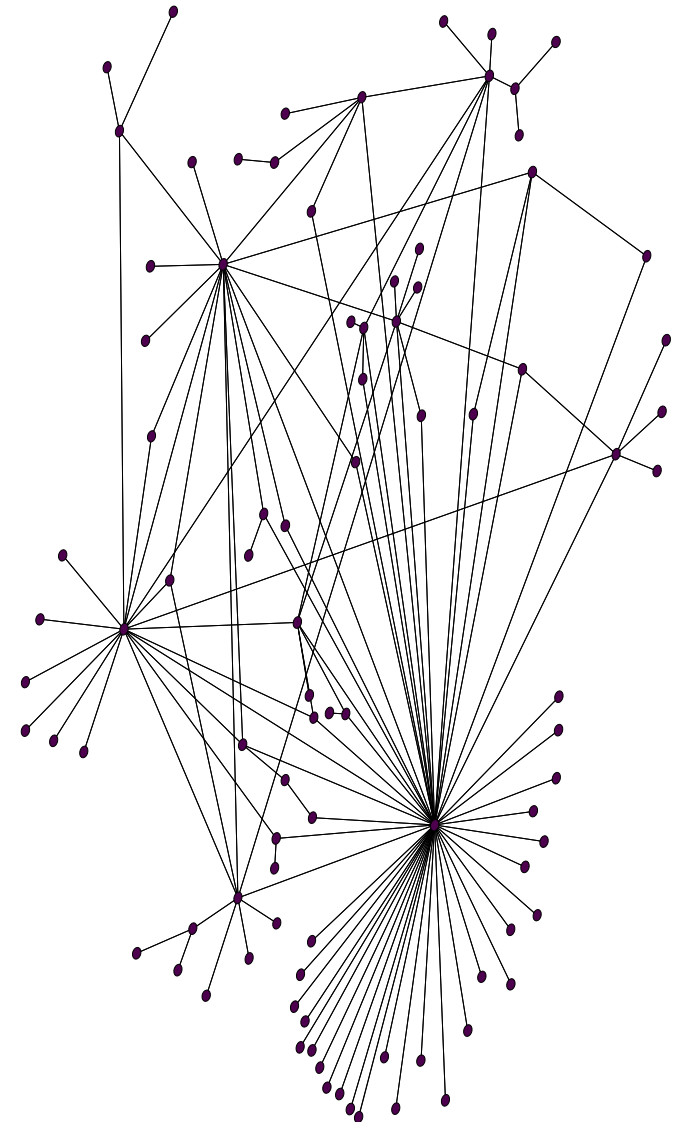
## New nodes enter the network

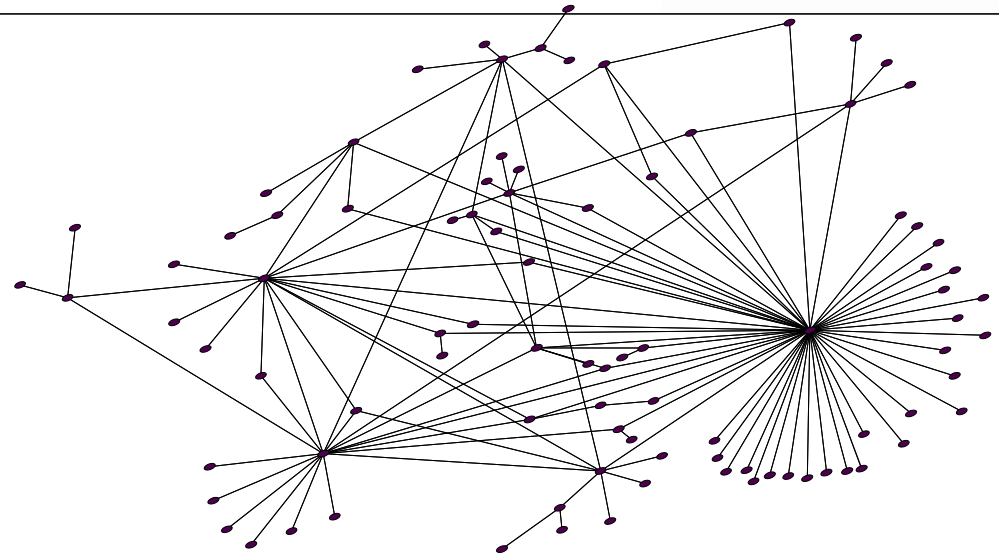
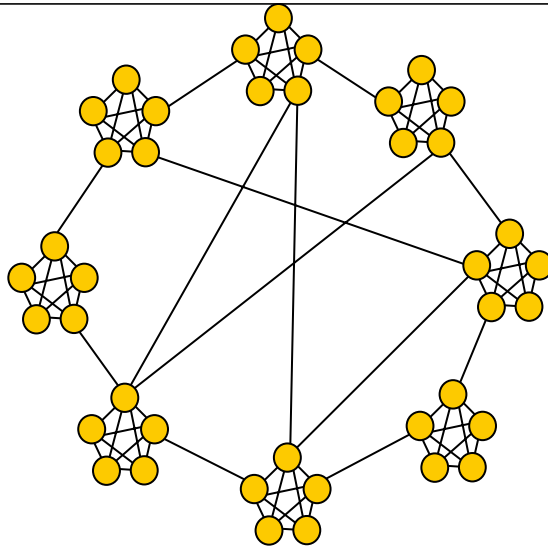
- By attaching to already popular nodes
- (Rich nodes get richer)

## Short diameter

## Power-law distribution

- Uneven link / load distribution
- Supports heterogeneity
- Fragile to attacks at high-degree nodes





## Examples

- www-pages 17/19 hops
- Phoning in the US
- Personal relationship US 6 degrees of separation
- Movie relationship between performers 3 hops
- Coauthorship in papers
  - E.g.: <http://database.cs.ualberta.ca/coauthorship/>
- Example Hubs
  - E.g. airports (vs. Streets)

## Characteristics

- Clustering
  - Strong local interaction
  - Cliquish
- Connectivity, degree of nodes
  - Few with high degree
  - Many with low degree
  - NO: Poisson distribution
  - YES: power law distribution  $P(k) = k^{-\gamma}$


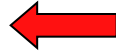
## 3.4 Power Law Phenomenon

### Statistics resulting from the Watts/Strogatz graphs

- Do not match those of real-world small world graphs like certain network graphs (topologies)
  - e.g. power supply grids, web pages, P2P networks
- Power-law distribution of edges to nodes
  - Watts/Strogatz model does not account for that

### Barabási:

#### 2 techniques result in power law distributions

- Dynamic growth 
  - constructs small worlds graphs dynamically
  - rather than rewiring a graph in place as with Watts/Strogatz
- Preferential attachment 
  - rewiring of nodes preferentially attaching to most connected nodes
  - rather than randomly



# Power Law: Distribution of Node Degree

## $P(k)$

- Probability that a randomly selected node has exactly  $k$  edges
- I.e., spread of node degrees  $k_i$  over the network

## E.g. regular lattice

- All nodes have same node degree  $k_i$
- I.e.,  $P(k)$  is delta function

## E.g. random network

- Poisson distribution of node degrees
- I.e., for any degree  $k \gg \text{mean \# degree (named } \langle k \rangle)$ 
  - $P(k)$  tends to be 0
- But, does not apply in reality!

**But...**

# Power Law: Distribution of Node Degree

## Power law distribution of node degrees

- High connectivity is unlikely
- But occurs more often than predicted by random network

## Social Networks

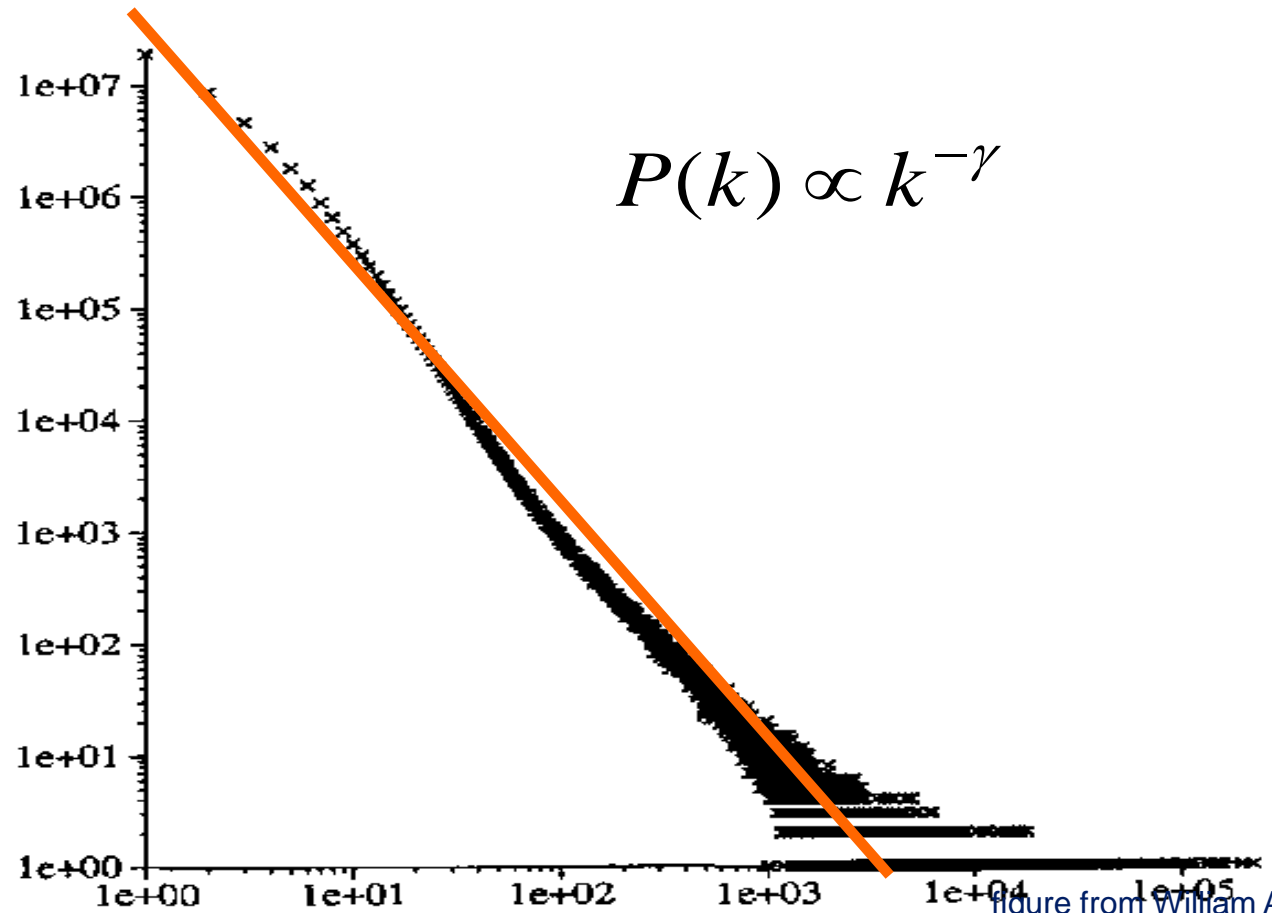


figure from William Ajello

## 4 Paths and related Problems

### Recall

- Path is a walk from vertex  $v$  to  $u$  where we go along each edge and visit each vertex at most once
  - Note: Does not have to visit every edge and vertex of the graph
- Cycle is a path which ends in the vertex where it started

### Issues

- Shortest Paths
- Bellman's Optimality Principle
- Shortest Path Algorithms

## 4.1 Shortest Paths

Consider graph  $G = (V, E)$

where each edge  $(v_i, v_j) \in E$  has a length  $l_{ij} > 0$

- Length = actual length, cost, weight, ... (any suitable metric)

**Shortest path problem:**

- For fixed  $v_1$  and  $v_k$ , which is the path from  $v_1$  to  $v_k$  such that the sum of the lengths of its edges is minimum?

**Longest path is similarly defined**

**Note:** There can be several “shortest” paths

**Consider the problem of finding shortest paths from a given node  $v$  to all other nodes**

- $P_j$  denotes the shortest path from  $v$  to  $j$
- $L_j$  denotes the length of the shortest path  $P_j$

## 4.2 Bellman's Optimality Principle

If  $P_j$  is a shortest path from  $v$  to  $j$  and  $(i, j)$  is the last edge of  $P_j$ , then  $P_i$  (obtained from  $P_j$  by dropping edge  $(i, j)$ ) is a shortest path from  $v$  to  $i$

### Proof on the next slides

- Idea: For fixed  $j$ , try different shortest paths  $P_i$  and add  $(i, j)$ . Lengths of these paths are  $L_i + l_{ij}$ . Pick  $i$  which gives the smallest overall length

### Basis for Dijkstra's Shortest Path Algorithm

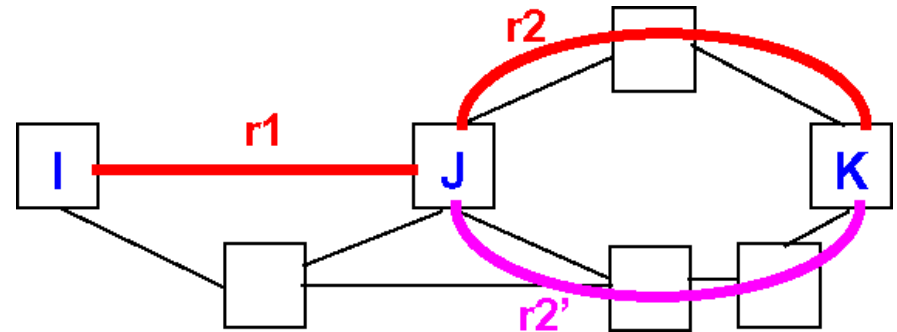
# Optimality Principle

## General statement about optimal routes

- If node J is on optimal path from node I to node K
- Then the optimal path from node J to node K uses the same route

## Example

- r1: route from I to J
- r2: route from J to K
- If better route r2' from J to K existed
- Then concatenation of r1 and r2' would improve route from I to K



## → Set of optimal routes

- from all sources
- to a given destination

**form a tree rooted at the destination: SINK TREE**

# Proof of Bellman's Principle

## Simple proof by contradiction

If  $P_j$  is a shortest path from  $v$  to  $j$  and  $(i, j)$  is the last edge of  $P_j$ , then  $P_i$  (obtained from  $P_j$  by dropping edge  $(i, j)$ ) is a shortest path from  $v$  to  $i$

## Suppose that the conclusion is false

- Then there exists path  $P_i^*$  from  $v$  to  $i$  which is shorter than  $P_i$
- If we now add  $(i, j)$  to  $P_i^*$ , we get a path from  $v$  to  $j$  which is shorter than  $P_j$
- This contradicts the assumption that  $P_j$  is the shortest path from  $v$  to  $j$

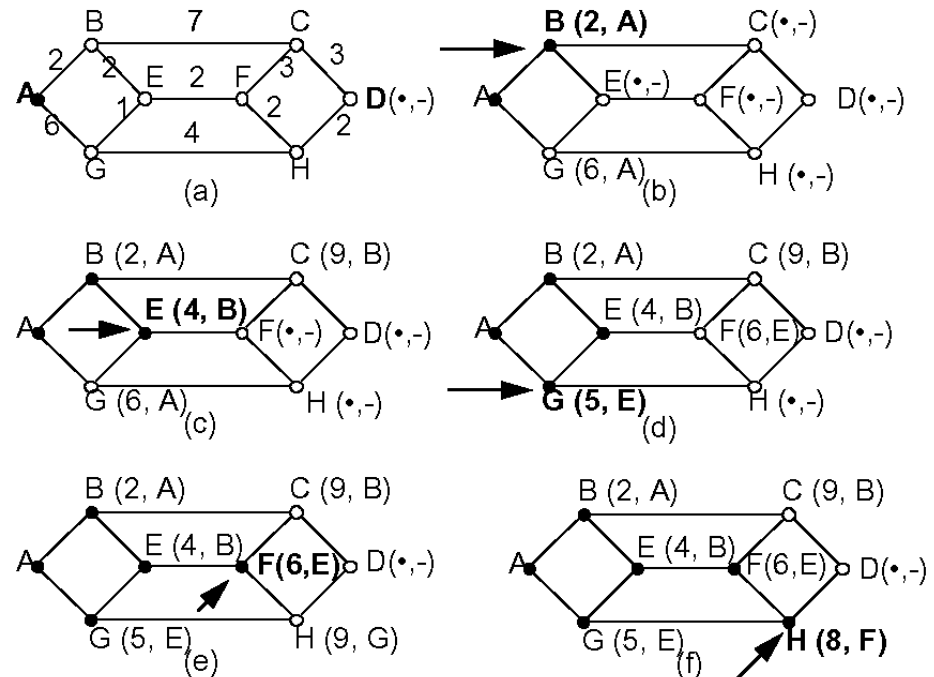
## 4.3 Dijkstra's Shortest Path Algorithm

### Spanning Tree and Optimized Route

- Information about the entire network has to be available

### Example

- Link is labeled with distance / weight
- Node is labeled with distance from source node along best known path (in parentheses)





## Procedure

E.g., according to Dijkstra

E.g., ....

### Find the shortest path from A to D

- Labels may be permanent or tentative
- Initially, no paths are known  
→ all nodes are labeled with infinity (TENTATIVE)
- Discovery that label represents shortest possible path from source to any node:  
→ label is made PERMANENT

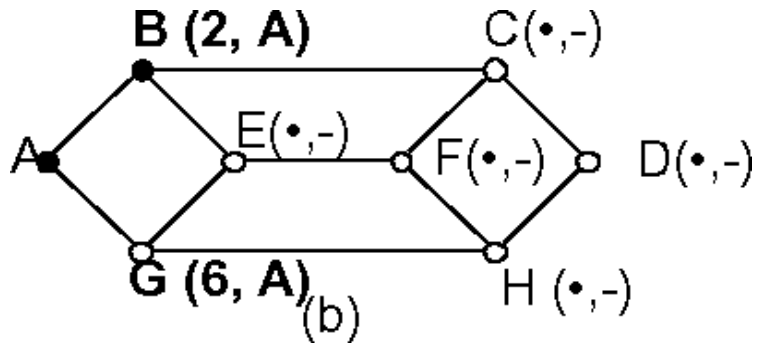
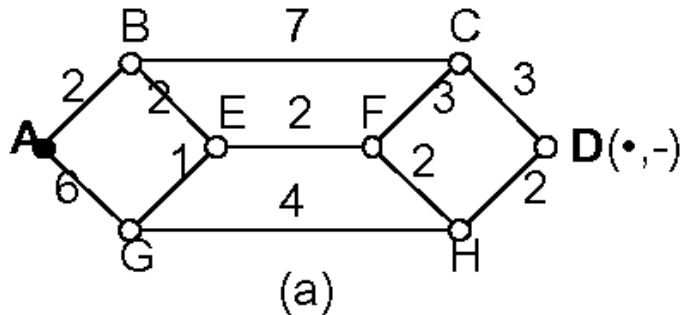
1. Node A labeled as permanent

2. relabel all directly adjacent nodes

3. examine

4. this node is the new working node

E.g.,



## 1. Node A labeled as permanent

- Filled-in circle

## 2. Relabel all directly adjacent nodes

- With the distance to A
  - path length,
  - nodes adjacent to source
- E.g., B(2,A) and G(6,A)

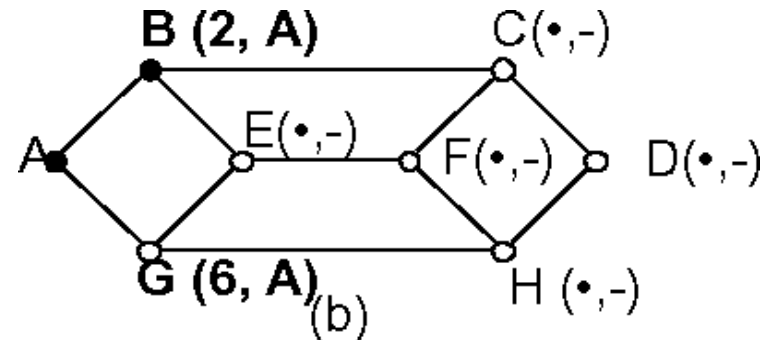
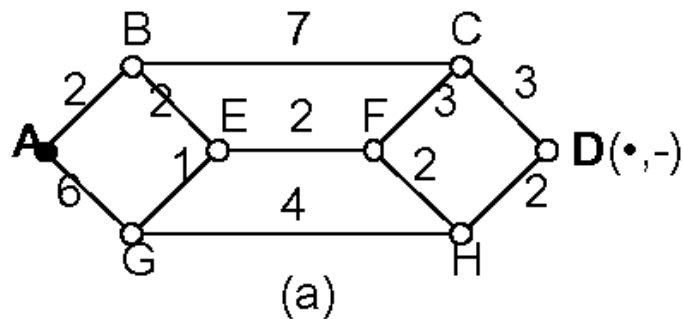
## 3. Examine

- All tentatively labeled nodes;
- Make the node with the smallest label permanent
- E.g., B(2,A)

## 4. This node is the new working node

- For the iterative procedure
- I.e., continue with step 2.

# Non-Adaptive Shortest Path Routing (Worksheet 1)

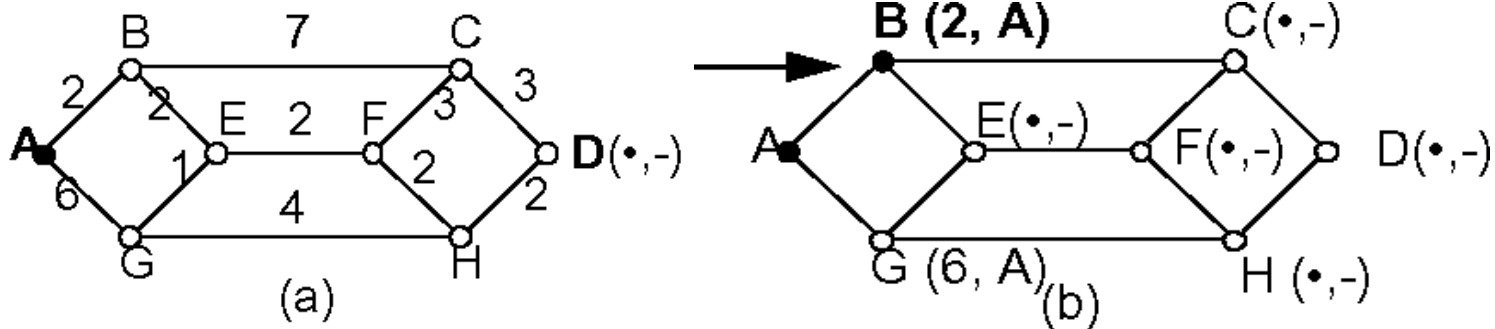


**Example (distance indicated by the number on the edge)**

**Procedure: e. g. according to Dijkstra**

**Find: the shortest path from A to D:**

1. A flagged as permanent (filled-in circle)
2. Relabel all directly adjacent nodes with the distance to A
  - (path length, IS adjacent to the source):
  - e. g. B(2,A) and G(6,A)



**Example (distance indicated by the number on the edge)**

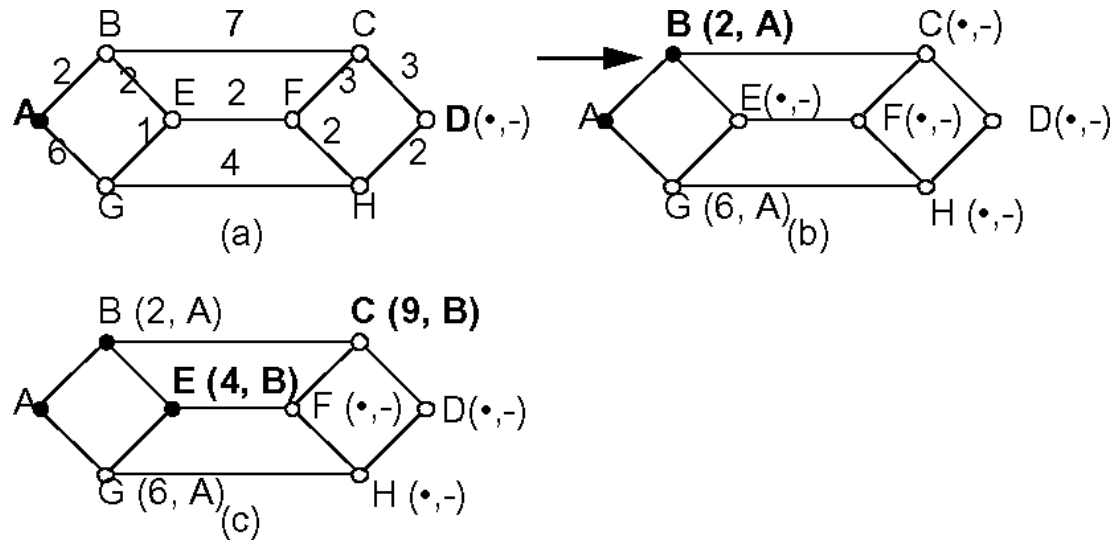
**Procedure: e. g. according to Dijkstra**

**Find: the shortest path from A to D:**

...

3. Compare all recent, not firmly flagged IS;
  - Flag the one with the lowest number AS FIXED:
  - $B(2, A)$
4. This IS is the origin of the iterative procedure
  - (i.e., continue with item 1.)

# Non-Adaptive Shortest Path Routing (Worksheet 3)



## Example

- Link is labeled with distance
- Node is labeled with distance from source along best known path

**Procedure: e.g., according to Dijkstra find the shortest path from A to D:**

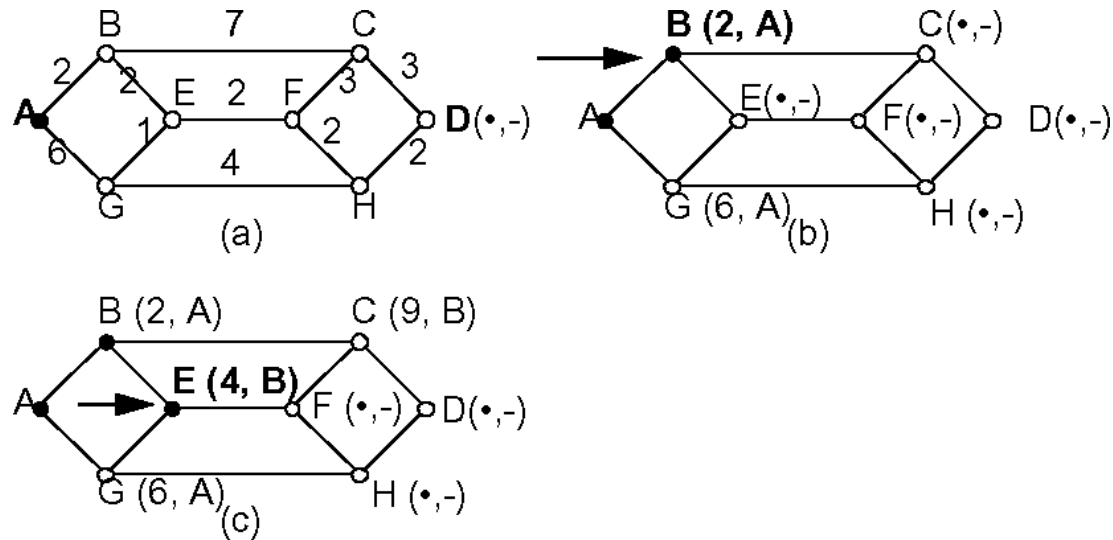
### 1. Node B has been labeled as permanent

- (filled-in circle)

### 2. relabel all directly adjacent nodes with the distance to B

- (path length, nodes adjacent to source):
- A (does not apply, because it is the origin),
- i.e. E (4,B), C (9,B)

# Non-Adaptive Shortest Path Routing (Worksheet 4)



## Example

- Link is labeled with distance
- Node is labeled with distance from source along best known path

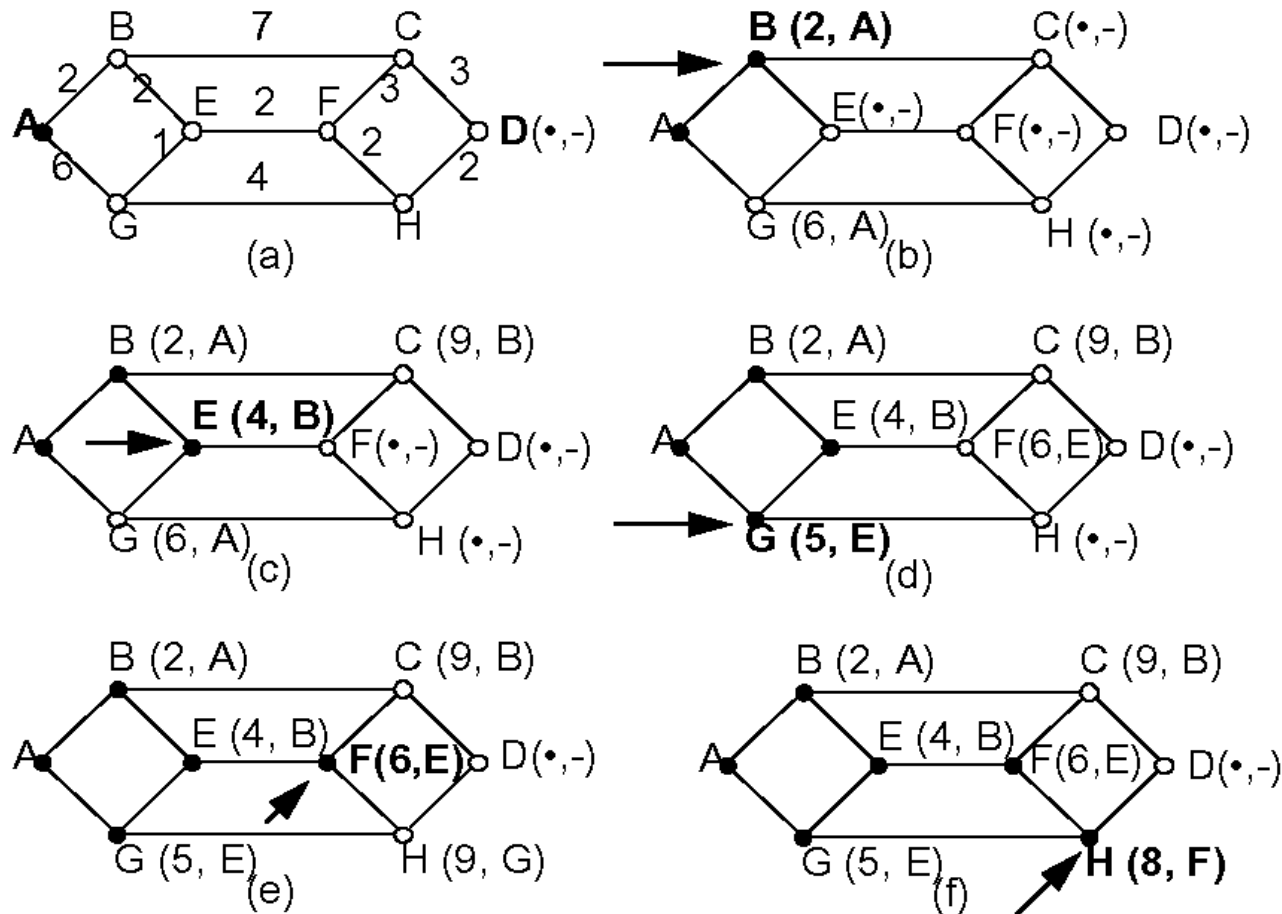
**Procedure: e.g., according to Dijkstra find the shortest path from A to D:**

- ....
- ....
- Examine all tentatively labeled nodes;
  - make the node with the smallest label permanent: e.g.  $E(4, B)$
- This node will be the new working node for the iterative procedure ...

# Non-Adaptive Shortest Path Routing (Worksheet 5)



And continue with source E ...



## 5 Spanning Trees

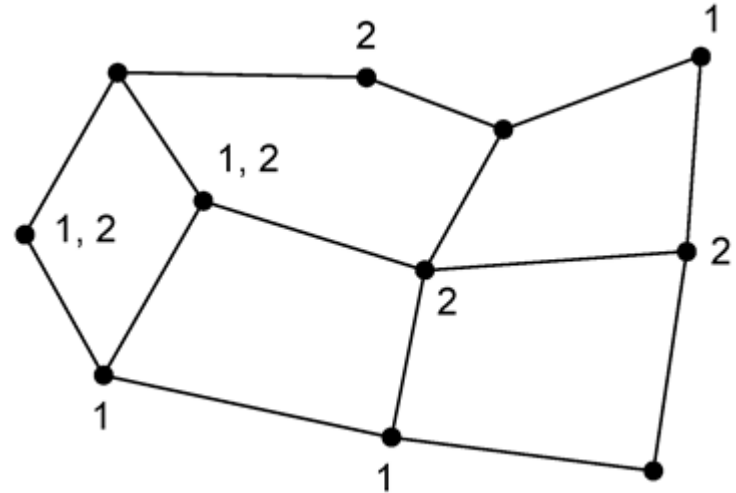
**Tree is an acyclic, connected graph**

- Consider graph  $G = (V, E)$

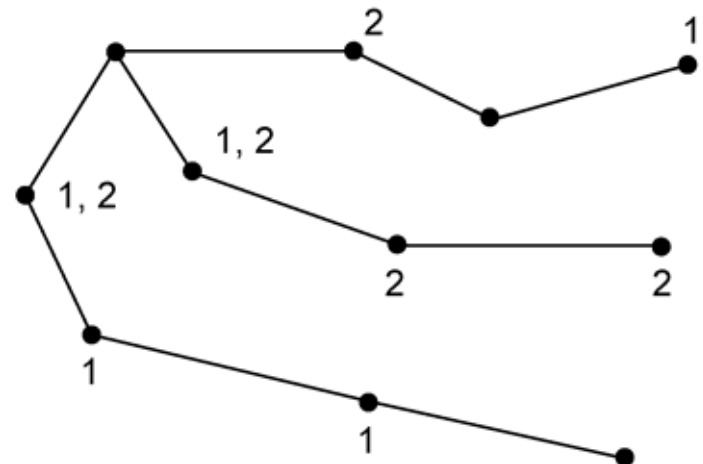
**Spanning tree**  $T$  of graph  $G$  is a tree containing ALL  $v$  vertices of  $G$

- Such a tree has  $v - 1$  edges
- Why?

**E.g., acyclic connected graph**



**E.g., spanning tree for leftmost node**





Given a graph  $G$  whose edges  $(i, j)$  have lengths  $l_{ij} > 0$ ,  
the **shortest spanning tree**  $T^*$  is a spanning tree for which  $\sum l_{ij}$  is the  
minimum compared to  $\sum l_{ij}$  for any other spanning tree  $T$

**Dijkstra's algorithm gives us a spanning tree**

- Not necessarily the shortest spanning tree
- If Dijkstra's algorithm is run for all vertices, then we can find the shortest spanning tree,
- but this is not efficient

## 5.1 Kruskal's Greedy Algorithm

**Kruskal's algorithm finds the shortest spanning tree**

**Given a graph  $G = (V, E)$ , where all edges  $(i, j)$  have length  $l_{ij} > 0$ , the shortest spanning tree  $T$  can be obtained as follows:**

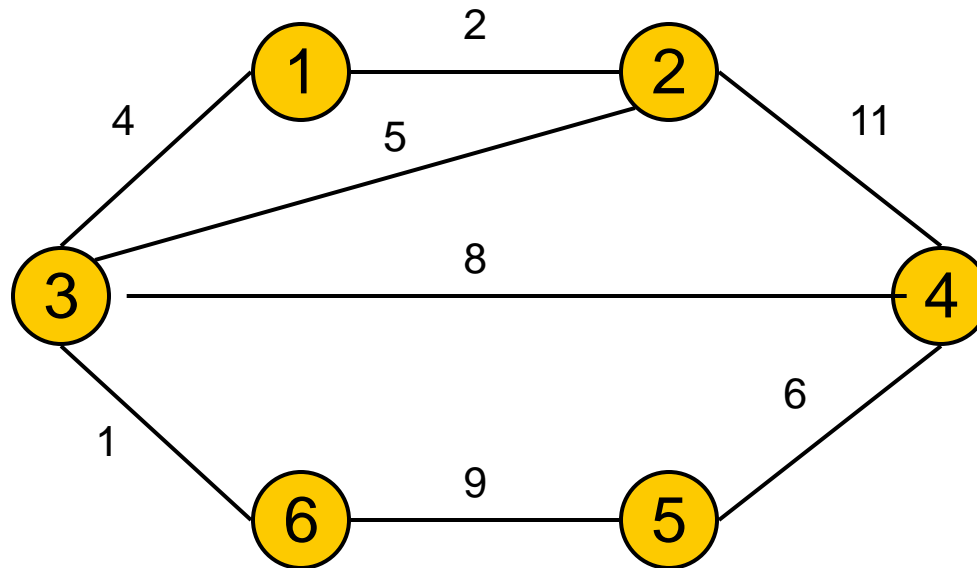
1. Order the edges of  $G$  in ascending order of length
2. Choose edges in this order as edges of  $T$ 
  - Reject an edge if it forms a cycle with the edges already chosen
3. Repeat step 2 until  $v - 1$  edges have been chosen

**Note: At the intermediate steps, the selected edges may form a disconnected graph**

- Eventually we get a tree

# Kruskal's Algorithm: Example

Consider the following graph:

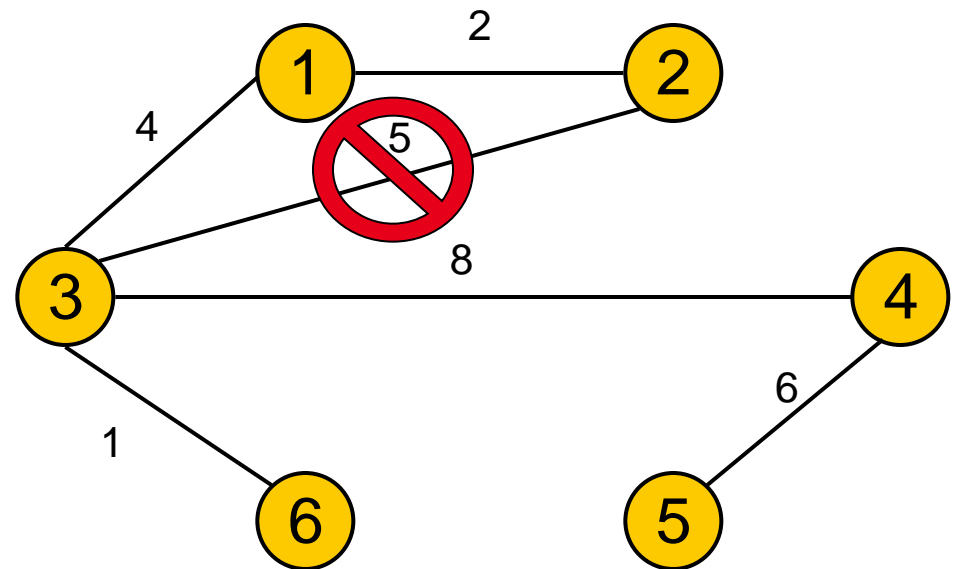


# Kruskal's Algorithm: Example



Order edges by length

Edge	Length	Choice
(3, 6)	1	1
(1, 2)	2	2
(1, 3)	4	3
(2, 3)	5	Reject
(4, 5)	6	4
(3, 4)	8	5
(5, 6)	9	
(2, 4)	11	



Stop after 5 edges  
Length of spanning tree: 21

## 5.2 Prim's Algorithm

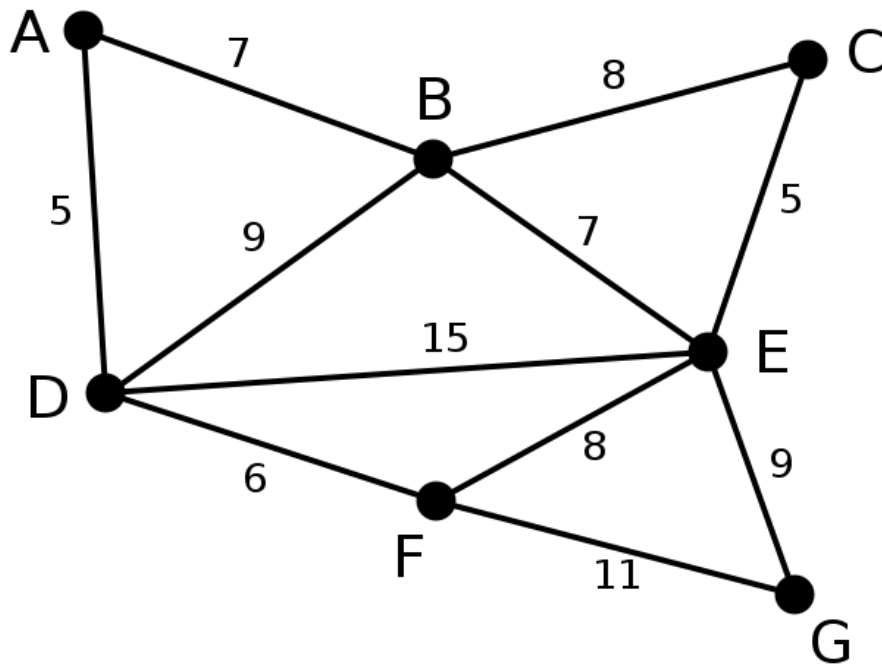
**Prim's algorithm also gives shortest spanning tree**

**Difference to Kruskal is that  
Prim gives a tree at every stage of the algorithm**

**Graph  $G$  with  $V = \{1, \dots, v\}$ , edges of length  $l_{ij} > 0$**

1. Initialize:
  - $i(k) = 1$  ( $k = 1, \dots, v$ ),  $U = \{1\}$ ,  $S = \emptyset$
  - Label vertex  $k$  ( $= 2, \dots, v$ ) with  $\lambda_k = l_{1k}$   
(or  $\infty$ , if no edge  $(1, k)$ )
2. Let  $\lambda_j$  be smallest  $\lambda_k$  for  $k \notin U$ 
  - Add vertex  $j$  to  $U$  and edge  $(i(j), j)$  to  $S$
  - If  $U = V$ , then stop
3. For every  $k \notin U$ :
  - If  $l_{jk} < \lambda_k$ , then set  $\lambda_k = l_{jk}$  and  $i(k) = j$
  - Go to step 2

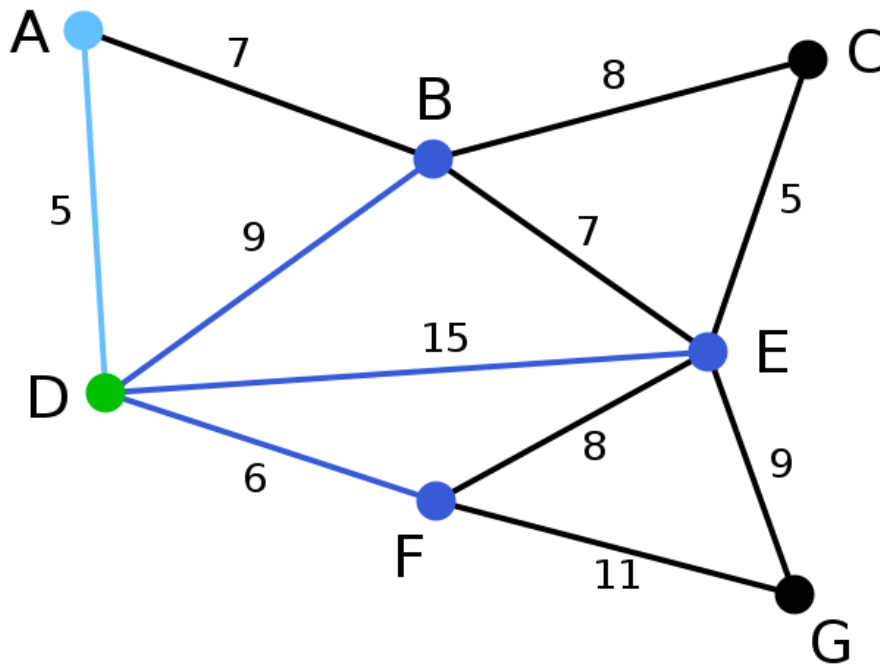
# Prim's Algorithm – Example Worksheet 1



# Prim's Algorithm – Example Worksheet 2



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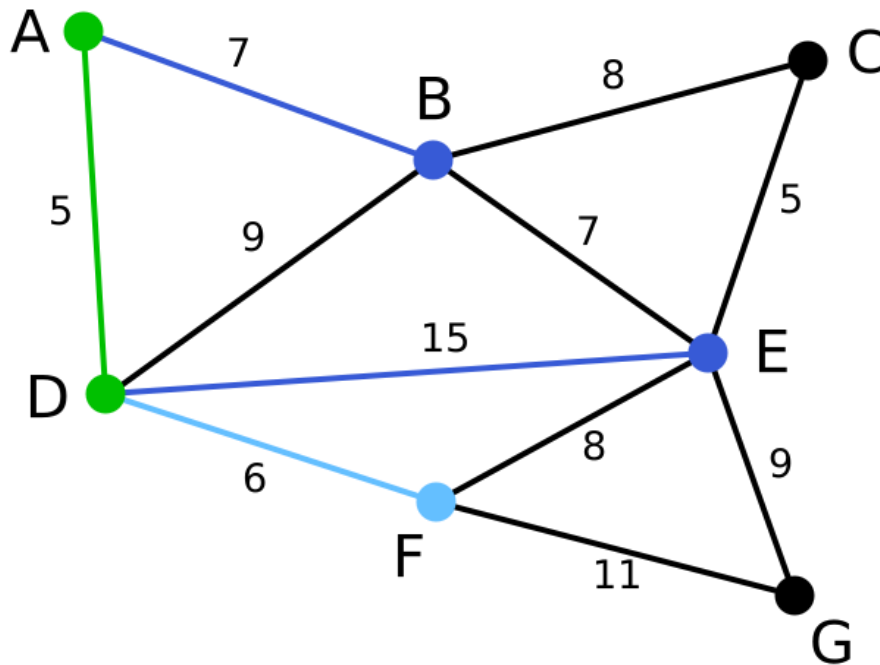


Source: Wikipedia

# Prim's Algorithm – Example Worksheet 3



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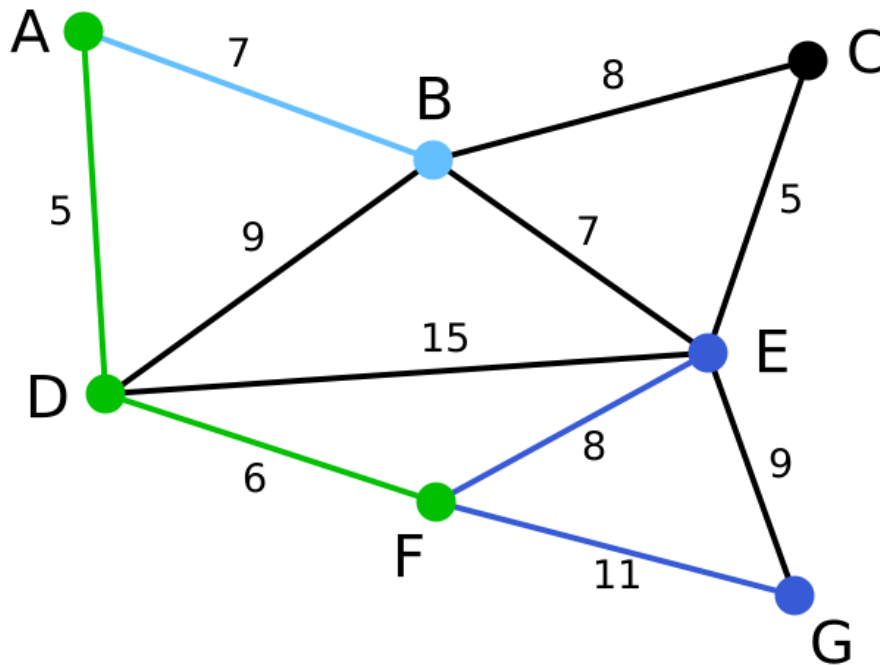
Source: Wikipedia



# Prim's Algorithm – Example Worksheet 4



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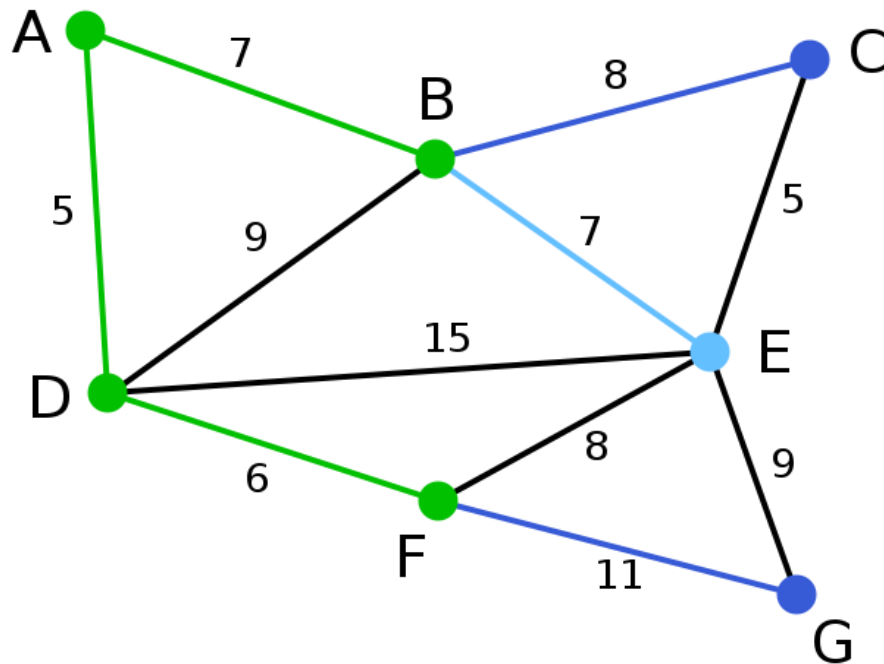


Source: Wikipedia

# Prim's Algorithm – Example Worksheet 5

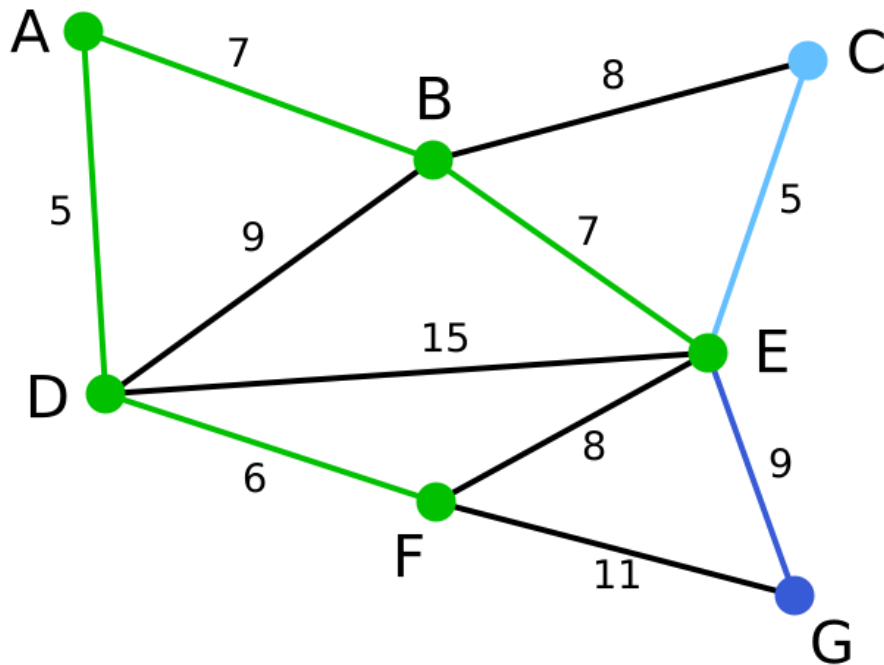


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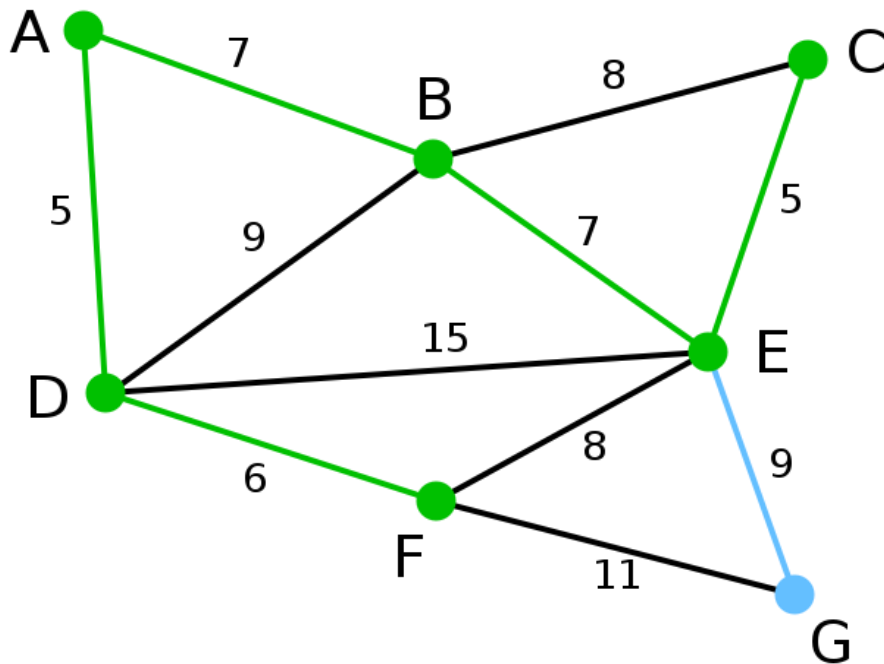


Source: Wikipedia

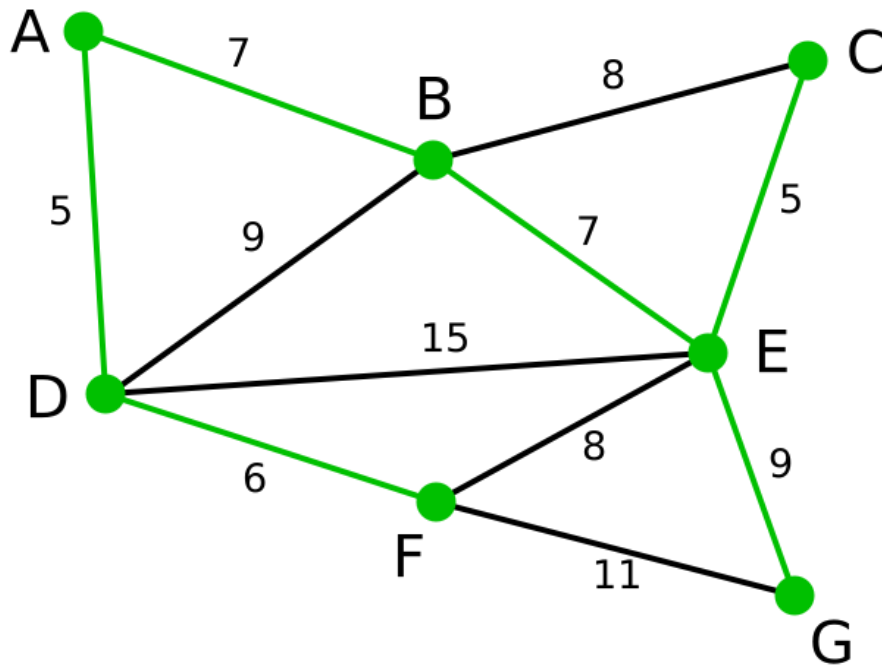
# Prim's Algorithm – Example Worksheet 6



# Prim's Algorithm – Example Worksheet 7



# Prim's Algorithm – Example Worksheet 8



# Kruskal's Algorithm and Prim's Algorithm



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## Kruskal's Algorithm

## Prim's Algorithm

- Keeps always a connected graph
- No need for having always a global view of the whole graph

Source: Wikipedia

## 6 Network Flows

**Consider a directed graph  $G = (V, E)$ ,  
where each edge  $(i, j)$  has capacity  $c_{ij} > 0$**

- One vertex  $s$  (**source**) produces a flow
- One vertex  $t$  (target or **sink**) is where flow disappears

**Flow may be network traffic, electricity in wires, water in pipes, cars on the road, ...**

- Note: Possible to have several sources or sinks

**Denote  $f_{ij}$  the flow along (directed) edge  $(i, j)$**

**We have two conditions:**

- Edge condition:  $0 \leq f_{ij} \leq c_{ij}$

- Vertex condition:
  - **Inflow = Outflow**

$$\sum_k f_{ki} - \sum_j f_{ij} = \begin{cases} 0 \\ f \\ -f \end{cases}$$

# Flow Augmenting Paths

**A path in a directed graph means  
a sequence of undirected edges which form a path**

- If we travel an edge in its direction: **Forward edge**
- If we travel an edge in opposite direction: **Backward edge**
- Note: This does not change the direction of the directed edge!
  - See below for example

**Goal:** to maximize flow  $f$  from  $s$  to  $t$

- *Idea: Increase flow on forward edges or reduce flow on backward edges*

**A flow augmenting path is a path for which:**

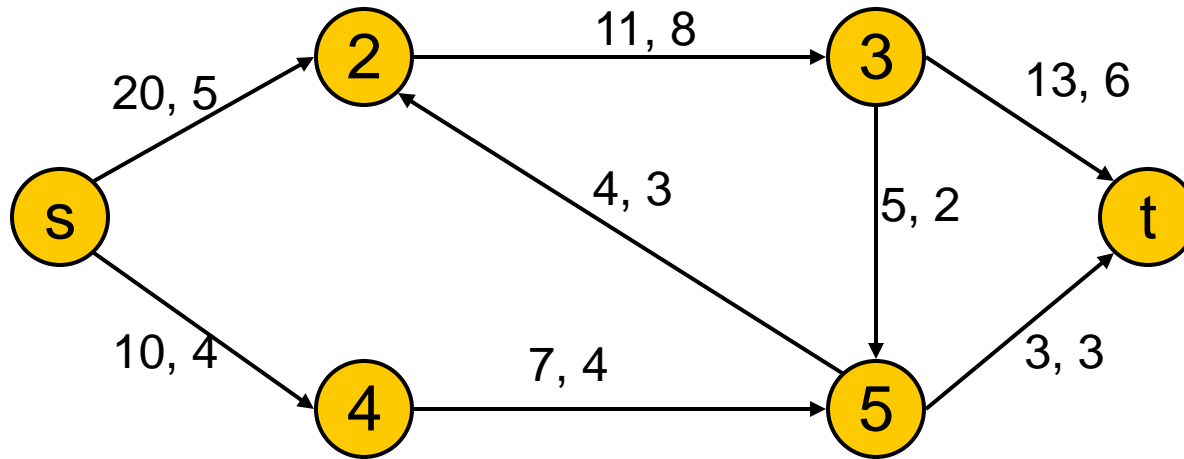
- No forward edge is used to capacity, i.e.,  $f_{ij} < c_{ij}$  for these
- No backward edge has flow 0, i.e.,  $f_{ij} > 0$  for these



## 6.1 Flow Augmenting Paths: Example

First number = capacity, second number = current flow

Flow from s to t is 9

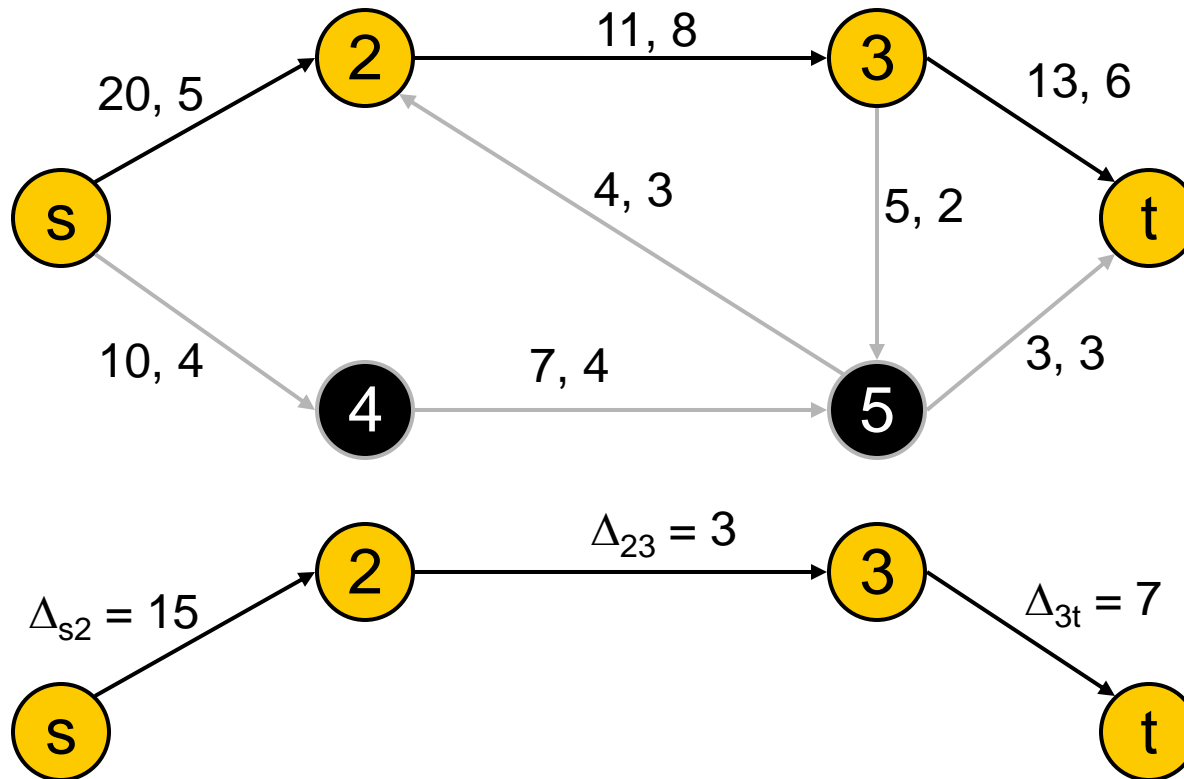


Denote  $\Delta_{ij}$  as possible increase of flow on edge  $(i, j)$

- $\Delta_{ij} = c_{ij} - f_{ij}$  for forward edges
- $\Delta_{ij} = f_{ij}$  for backward edges

## Flow Augmenting Paths: Example

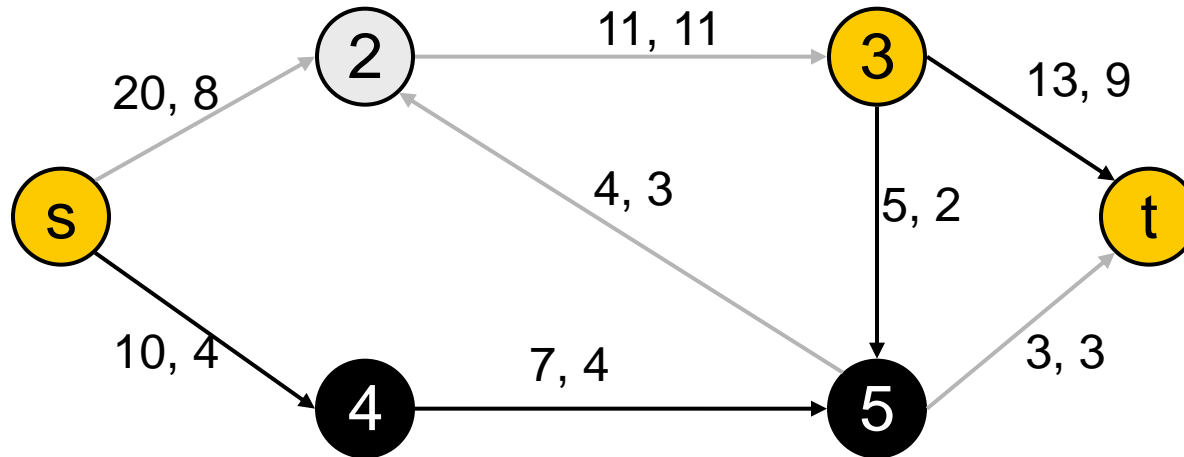
One possible augmenting path is:  **$s - 2 - 3 - t$**



We can increase flow from  $s$  to  $t$  by 3 to 12

## Flow Augmenting Paths: Example

Other augmenting path is:  **$s - 4 - 5 - 3 - t$**



### Edge (3, 5) is a backward edge

- Flow of 2 units that is going from 3 to 5  
could go from 3 to  $t$  and therefore increase total flow from  $s$  to  $t$

**This path allows increase of 2 in total flow which is 14**

- 14 is also the maximum flow we can have

## 6.2 Cuts

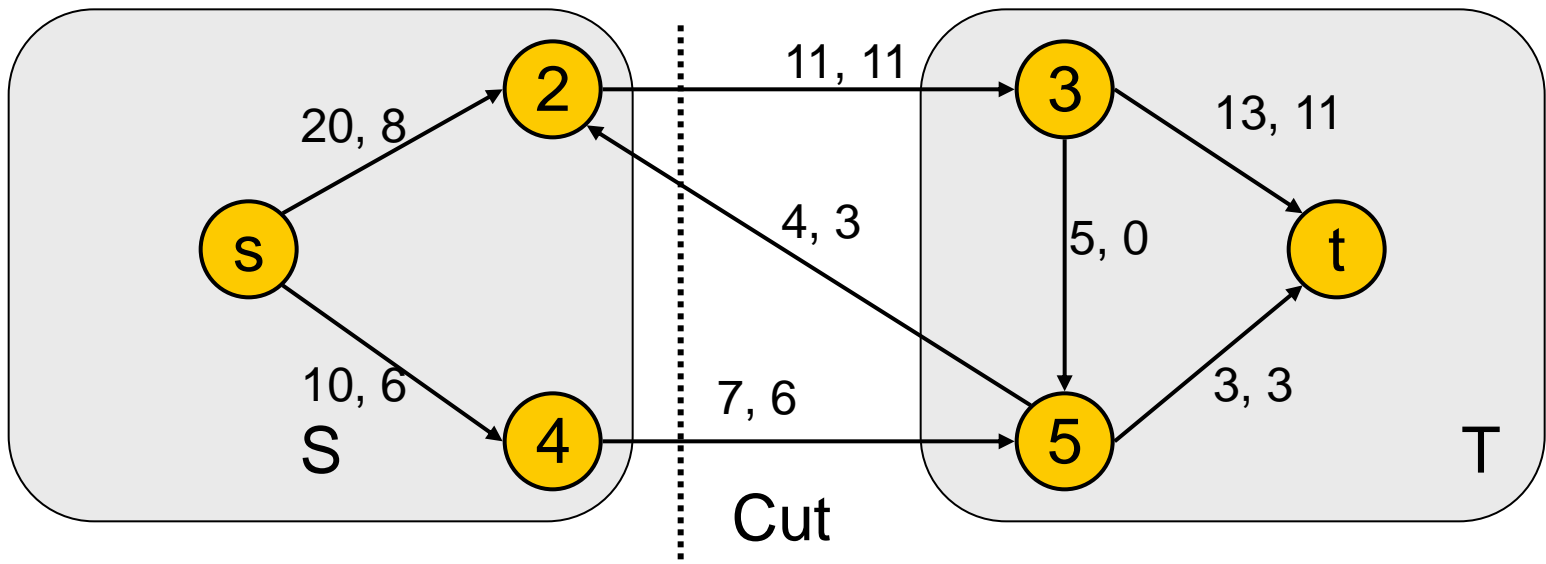
**Cut set is a set of edges in the network**

**Idea: To find flow from  $s$  to  $t$ , we cut network somewhere in between  
& see what flows on those edges**

- Any flow from  $s$  to  $t$  must pass those edges

**Cut partitions network into  $S$  and  $T$**

- Net flow between  $S$  and  $T$  determines flow between  $s$  and  $t$
- Sum of “positive” capacities  $S \rightarrow T$  determines capacity of cut



**How to find maximum flow in graph?**

**Maximum flow is equal to the capacity of the minimum cut set (= cut set with smallest capacity)**

- Known as **max-flow min-cut theorem**

**Can find maximum flow (min cut) with Ford-Fulkerson algorithm**

## 7 Annex: Vocabulary English - German



Acyclic = azyklisch

Adjacency X = Adjazenz X

- X = list or matrix

Connected = zusammenhängend

Degree = der Grad

- Eingangsgrad, Ausgangsgrad

Diameter = der Durchmesser

(Un)Directed = (un)gerichtet

Eccentricity = die Exzentrizität

Edge = die Kante

Flow = der Fluss

Graph = der Graph

Greedy algorithm = gieriger  
Algorithmus

Incidence X = Inzidenz X

- X = list or matrix

Path = der Pfad / der Weg

Radius = der Radius

Spanning tree = Spannbaum

Sparse = dünnbesetzt

Subgraph = der Teilgraph

Tree = der Baum

Vertex/node = der Knoten