# Database Management Systems II





#### **Announcement**



- Next DB2 Exam
  - March 31st 2015
    - Starting at 9:00
    - Presumably 90 Minutes
  - Written exam

#### **Recap Exercise 2**



- Segments & Pages
  - Segments with Properties
- Addressing
- Update Strategies
  - Direct (Pro & Con)
  - Indirect Shadows Paging (How does it work? Structures? Costs?)
  - In Place vs. Out of Place (which one is better for HDD/ SSD?)

#### Cutting some Topics...

- Recovery Logging
- W.A.L.?



#### **Excursion ORM**



Object Relational Mapper

Slides provided by Prof. Ilia Petrov (University Reutlingen)

#### The ORM – Missmatch



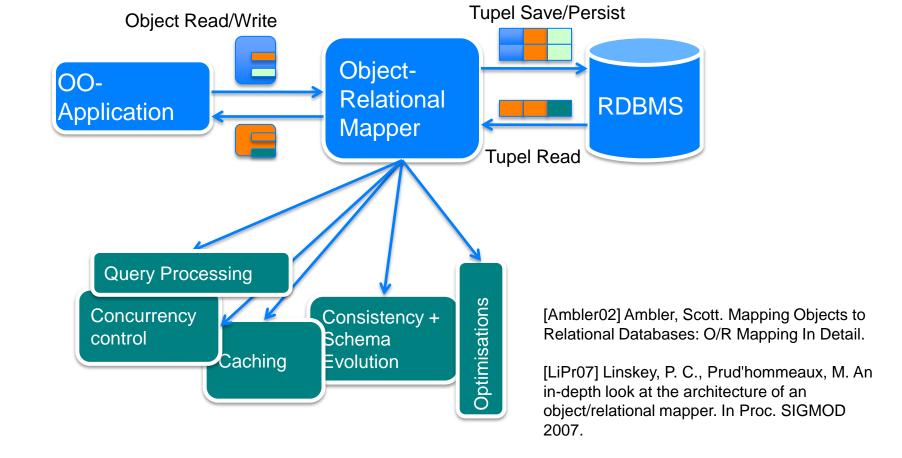
- "DBMS is working with relations"
- "OO is working with objects"
- Objects vs. Relations
  - Relations → mathematical principles; set operations
  - Objects → Behaviour; state; identity; encapsulation
- Want to combine the two worlds
  - Conversion needed! → ORM Mapper (Hibernate...) [Annotations]
    - e.G. String vs. Varchar
- Object Store/ Orion/ Swizzle/ Unswizzle

#### Slides provided by Prof. Ilia Petrov (University Reutlingen)



#### **ORM – Object-Relational Mapper**





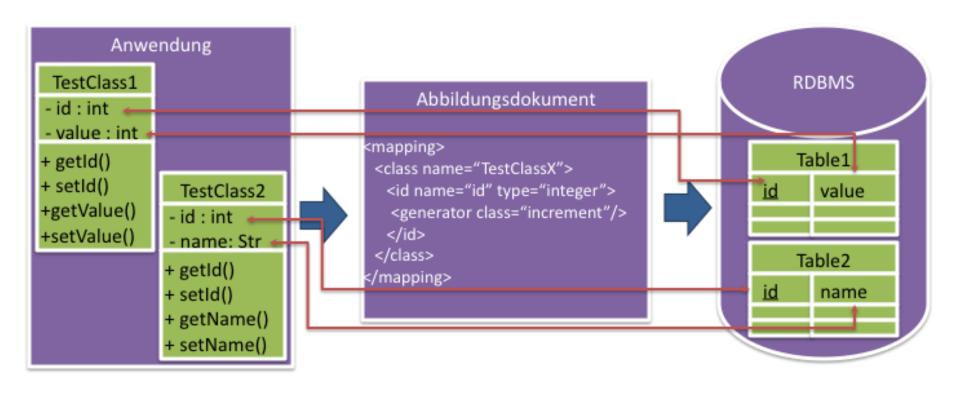
#### JPA – Java Persistence API



- Persist Objects transparent general classes
  - EJB same API More functionality
- Management of persistent Objects
  - Inheritance, Aggregation, Composition
  - Refresh and Merge
  - Track Changes
  - Load
- Search and Find Objects
- Object-Caching
- Transactions

#### **Mapping**

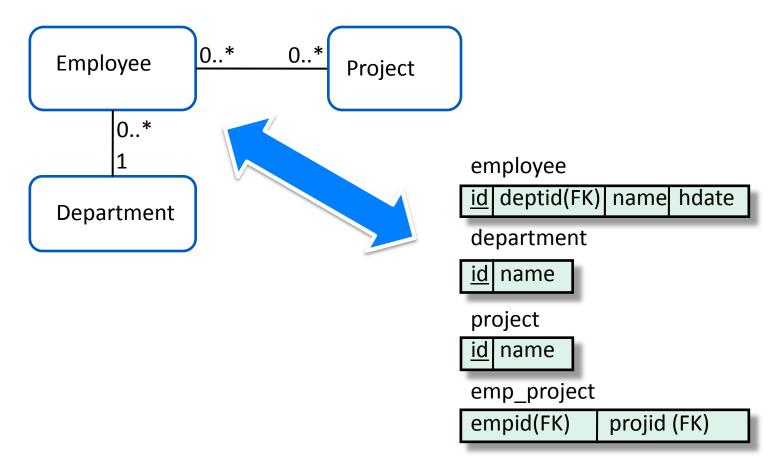




#### **Simple Mapping**



Schema Mapping: Entity to tables (vice versa)



#### Example – POJO (Plain Old Java Object)



```
public class Employee {
  public Integer id;
  public String name;
  public long salary;
  public Department department;
      public Address address;
  public List<Project> projects;
```

- Standard-Constructor (No Arguments)
- Define Identity Primary Key(p.key, id)
- No use of final allowed
- Annotation of fields / getter setter methods

#### Example – POJO (Plain Old Java Object)

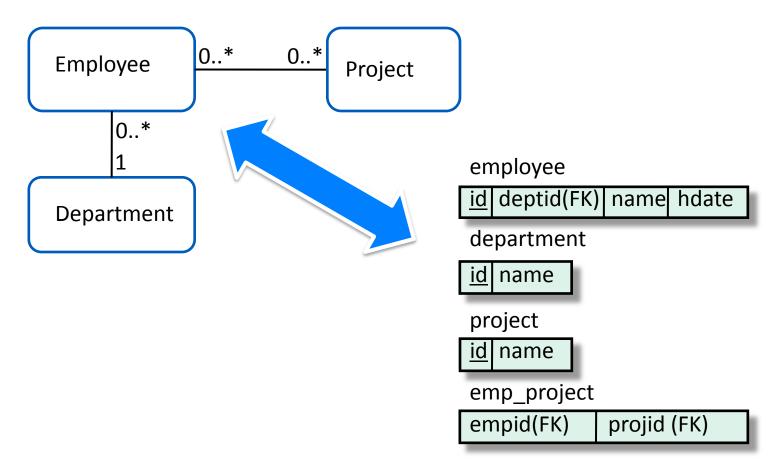


```
@Entity (name="Employee")
@Inheritance(strategy=InheritanceType.SINGLE_TABLE | JOINED | TABLE_PER_CLASS)
public class Employee {
  @Id //@GeneratedValue(strategy=TABLE | SEQUENCE | AUTO)
 public Integer id;
  @Column(name="NAME", table="Employee", unique=false, nullable=false, insertable=true,
 updatable=true)
 public String name;
 public long salary;
  @ManyToOne
  @Basic(fetch=FetchType.EAGER | LAZY)
 public Department department;
  @OneToOne(cascade = CascadeType.ALL | MERGE | PERSIST | REFRESH | REMOVE)
 public Address address;
  @ManyToMany(mappedBy = "emps")
 public List<Project> projects; //public class Project{ ... @ManyToMany List<Employee> emps;...}
```

#### **Simple Mapping**

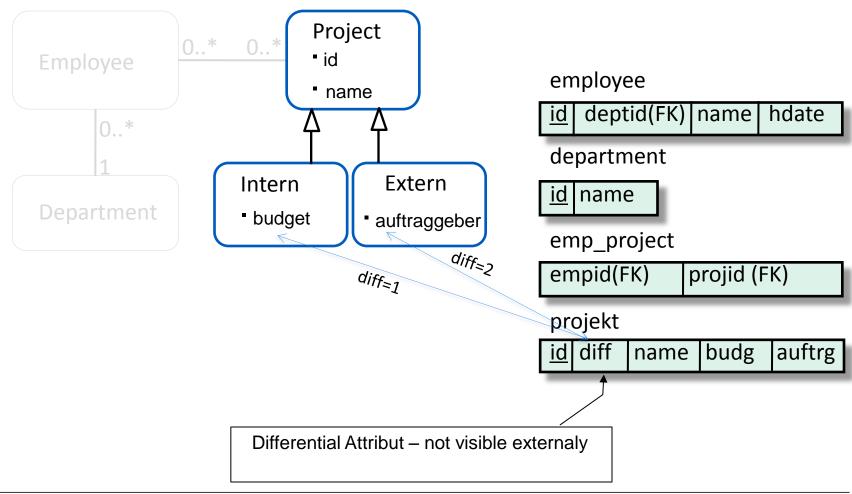


Schema Abbildung: Entitäten auf Tabellen und umgekehrt



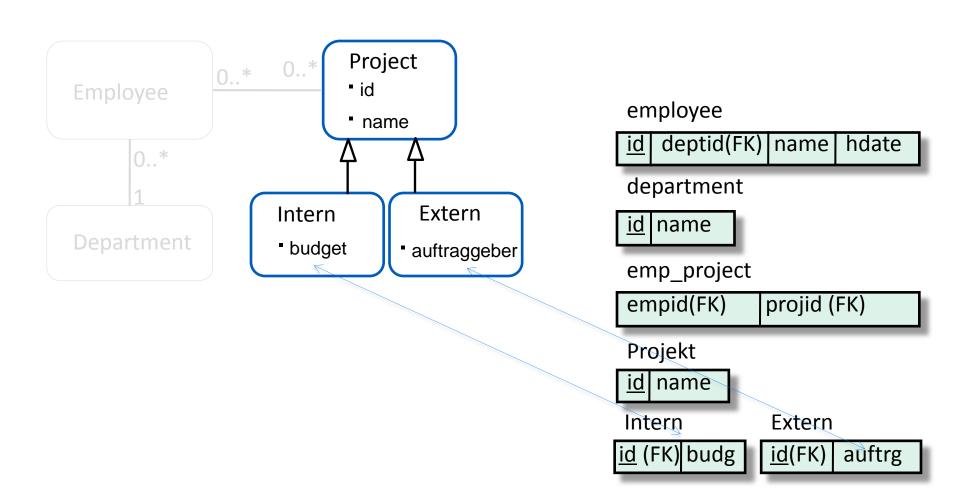
#### **Inheritance Mapping – Single Table**





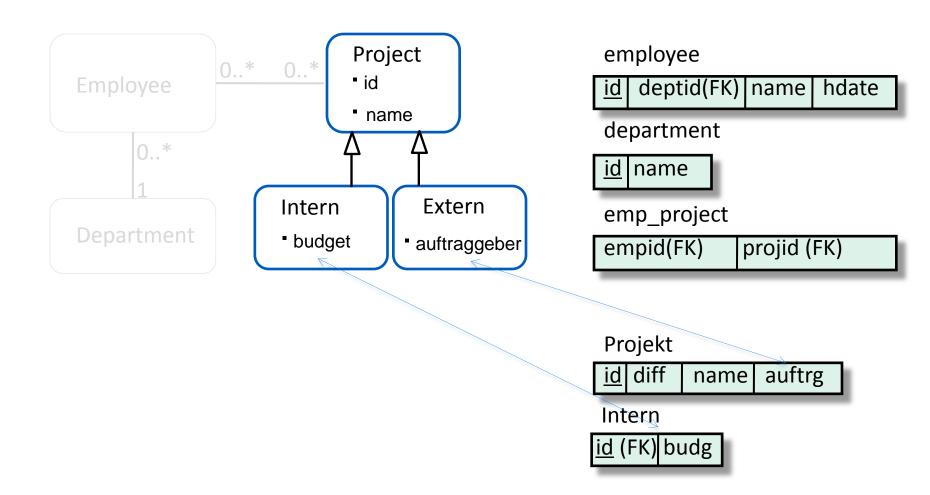
#### **Table per Class**





#### (Incomplete) Table per Subclass

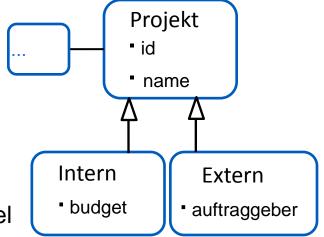




#### **Inheritance**



- ORM supports:
  - Inheritance
  - Polymorph relations
- Different Mappings
  - Table per Class Polymorphism & Class-Relations not considered in Relational Model



- Table per Class Structure Polymorphism enabled through denormalising of Rel.Schema
  - Use differential attributes for Type-Information
- Table per Subclass- "is a" relation and "has a" (Foreign Key) relations

#### **Exercise 3.1**



### **Buffer Management Basics**



- a) Discuss the *main principles of Buffer Management* in a DBMS.
- b) Explain the three operations of the buffer manager interface FixPage(x), UnfixPage(x), FlushPage(x). What is the difference between physical and logical page references? Under what circumstances are database buffer pages flushed?
- c) Describe how techniques such as "blocked I/O", "double buffering" and "page prefetching" can be used to boost performance.



 a) Discuss the main principles of Buffer Management in a DBMS.

- Provide infinitely large logical address space
- Make pages available (if page not in buffer → load page)
- Fix / Unfix pages
- Implement replacement strategies a.k.a. Eviction strategies
- Allocate space to transactions, etc...



#### **DBMS vs. OS Buffer Management**

- OS doesn't offer quite the right services (e.g. replacement strategies)
- DB-prefetch is more efficient (it knows its data)
- Different Operation Systems:
   Portability issues
- Limitations of OS BM: files can't span disks.



#### Size of buffer and availability of pages:

In general:

Buffer of a DB is *much smaller* than the DB

- Gap between main memory and external memory access
- Example:

DB-Size = 100 \* buffer size

- → Random request for page references
  - → 1 % of all requests can be fulfilled without *page fault*
- Reference locality
  - lokal vs. temporal

#### **Therefore:**

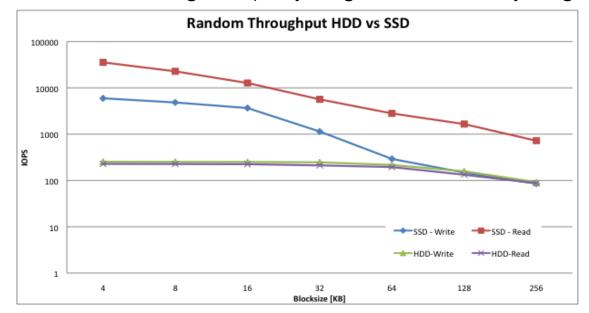
- Size of buffer is important
- Need for good allocation and replacement strategies





#### FLASH - Size of buffer and availability of pages:

- Random Reads much faster than random writes
- 4K Random Accesses much faster than 16K (more IOPS)
- Implications on Buffersize?
- Eviction Stratgies? (Dirty Pages vs. Non Dirty Pages?)







- b) **Explain the three operations** of the buffer manager interface:
  - FixPage(x)
  - UnfixPage(x)
  - FlushPage(x)

Under what circumstances are database buffer pages flushed?



#### FixPage(x) (PinPage(x)) = Logical Page Reference

Transaction wants to access a page 'x' for reading / writing

- → Sends FixPage(x) (PinPage(x)) command to BM.
  - 1. If 'x' not in buffer (page fault)
    - → load it from the stable DB. (Called fetching, result: physical page reference) If buffer is full
      - → A page needs to be selected for replacement
        - buffer's replacement strategy.
  - 2. Increment pin-count of 'x' and pass address to caller.



#### UnfixPage(x) (UnpinPage(x)):

decrement pin-count of 'x'

### FlushPage(x):

- copy 'x' from the DB buffer to the stable DB
  - → synch. stable page 'x' with cached page 'x'.



#### When are pages flushed:

- At page fault:
   Page selected for replacement (victim) is dirty
- Asynchronously:
   By a background process flushing pages as needed to make sure there is always a certain amount of clean pages (free or unmodified) available
- At transaction commit:
   Flushing can be forced by the recovery manager in order to enforce WAL (in difference to no force)



c) Describe how techniques such as "blocked I/O", "double buffering" and "page prefetching" can be used to boost performance.

#### **Blocked I/O:**

Issuing a single request to read (or write) a **block of several consecutive pages** can be much cheaper than reading (or writing) the same pages through independent I/O requests. (Cost of Ttrans + Tpos + Trot)

- What about a SSD with 35.000 Read IOPS per 4k Page?

#### Note:

In the following by block we mean a group of several consecutive DB pages.



#### **Double Buffering:**

Goal:

Keep *the CPU busy* while an I/O request is being processed - to *overlap CPU and I/O processing*.

- Split the buffers in two parts:
  - CPU can start processing a block once its transfer to MM is completed. Parallel: the disk I/O processor can be reading and transferring the next block into the second part.
- If (CPU process time p. block < transfer time from disk p. block)
  - → seek times & rotational delays are eliminated for all, but the first block transfer!
- May reduce response times for individual queries, !!! but may not have a significant impact on throughput. !!!



#### **Example Double Buffering:**

Buffer size = n pages

\_\_

#### Without Double Buffering:

- 1. Transfer *n* pages into buffer
- 2. Process *n* pages

#### With Double Buffering:

- 1. Transfer *n*/2 pages into buffer
- 2. Process *n*/2 pages **and** transfer next block(n/2 pages) into buffer



#### **Page Prefetching:**

Often the BM can anticipate the next several page requests and fetch the corresponding pages into memory before they are requested.

#### **Benefits:**

- Pages are available in buffer when requested
- Blocked I/O can be exploited
   Fetching the pages with a single I/O request can lead to faster I/O
   (Order of retrieval can be chosen that min. seek times & rotational delays)
- Can be negative on SSDs (Mixed Workload → r/w seq./rand.)
  - Pages are read unnecessary → unused effort → SSDs On Disk Cache polluted

#### Exercise 3.2



## Allocation and Replacement Strategies. Effect of Virtual Memory.

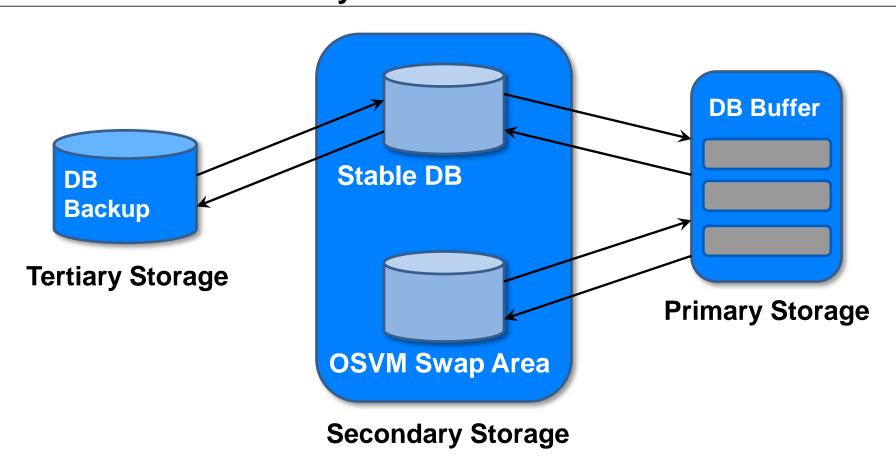


- a) Explain the difference between
   global, local and page-type specific allocation strategies.
   How are allocation strategies related to replacement strategies?
- b) Under what circumstances are database pages copied (transferred) among the different storage locations in the diagram (next slide)?

The database buffer generally resides in the virtual memory of the OS. This could lead to a situation referred to as a **double page fault**. How many I/O accesses would in this case be required for fetching a page in the buffer?

What can be done to avoid double page faults?







a) Explain the difference between

global, local and page-type specific allocation strategies.

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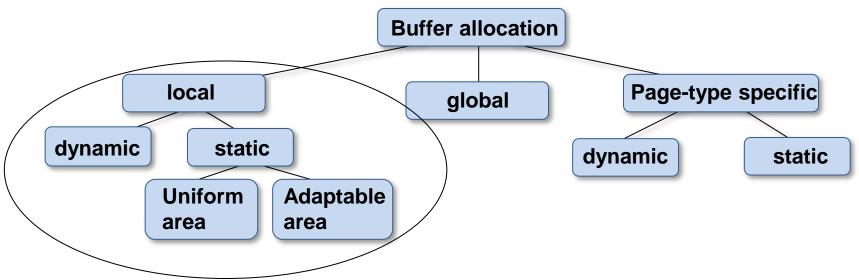
#### **Allocation strategies:**

 determine how buffer space is allocated for use by running processes / transactions.

#### Replacement strategies:

determine how allocated buffer space is managed
 Which page to replace when a buffer is full and a new page must be fetched?





#### Local (static vs. dynamic):

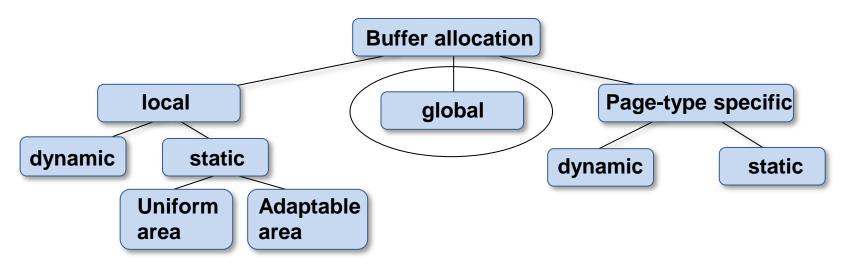
- buffer space allocated on a *per-transaction basis* 
  - **static** ⇔ transaction's buffer *space fixed*
  - **dynamic** ⇔ transaction's buffer *space dynamic*
- transaction's buffer space managed independently
- replacement strategy based on transaction's individual reference behavior

### Exercise 3.2

### Allocation and Replacement Strategies.







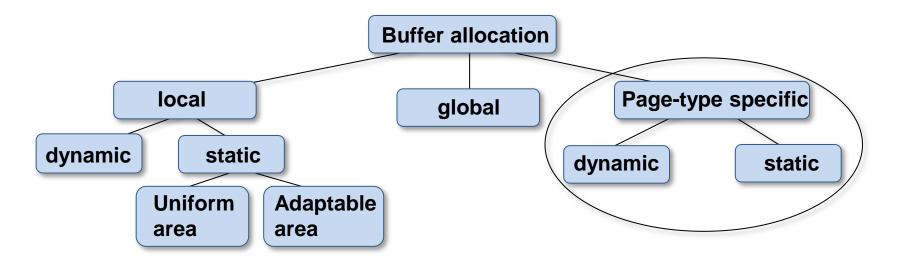
#### Global:

- all transactions share the same buffer space one global buffer
- buffer space is managed globally
  - replacement strategy based on reference behavior of all transactions
    no special attention paid to reference behavior (and needs) of individual
    transactions



# Exercise 3.2 Allocation and Replacement Strategies. Effect of Virtual Memory





### Page-Type Specific / Partition-Oriented (static vs. dynamic):

- buffer partitioned into areas (of static/dynamic size) that are **exclusively used for different types of data** (e.g. user data, access path data, system data, catalog data, log data etc..).
- partitions managed independently



## Allocation and Replacement Strategies.

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## Effect of Virtual Memory

Static allocation strategies do not allow dynamic load balancing (tx's length and load are not taken into account)

- → Static allocation strategies are:
  - a) extremely inefficient and
  - b) impractical.

In practice dynamic local/partition-oriented strategies have proven to be most effective.

## Allocation and Replacement Strategies. Effect of Virtual Memory



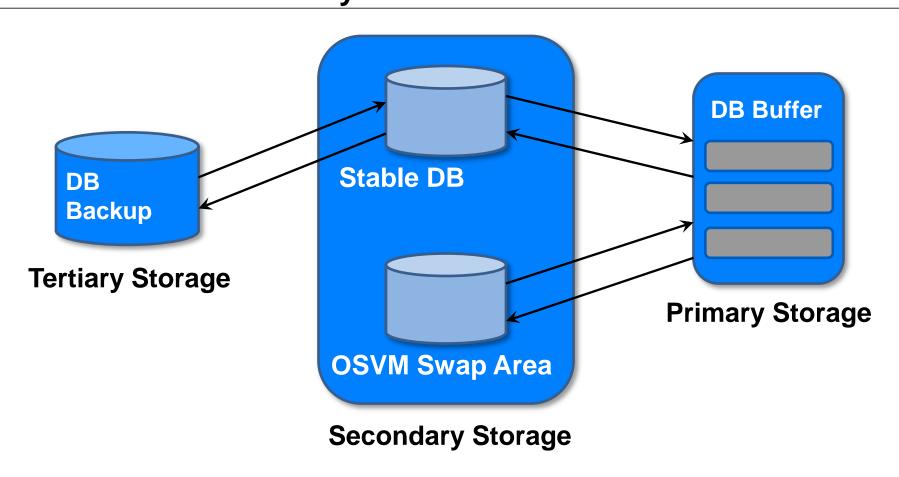
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The database buffer **generally resides** in the virtual memory of the OS. This could lead to a situation referred to as a **double page fault**. How many I/O accesses would in this case be required for fetching a page in the buffer?

What can be done to avoid double page faults?

# Exercise 3.2 Allocation and Replacement Strategies. Effect of Virtual Memory





## Allocation and Replacement Strategies.

## **Effect of Virtual Memory**



### **Database Page Fault:**

FixPage(x) operation referring to a page that is not in the database buffer.

## VMM Page Fault:

Trying to access a virtual memory page, that has been swapped out by the VMM.

→ Double Page Fault = VMM Page Fault + Database Page Fault



## Allocation and Replacement Strategies. Effect of Virtual Memory



A logical page reference could lead to a DB Page Fault, which in turn could lead to a VMM Page Fault (buffer is full and selected page for replacement is both dirty and paged out of MM):

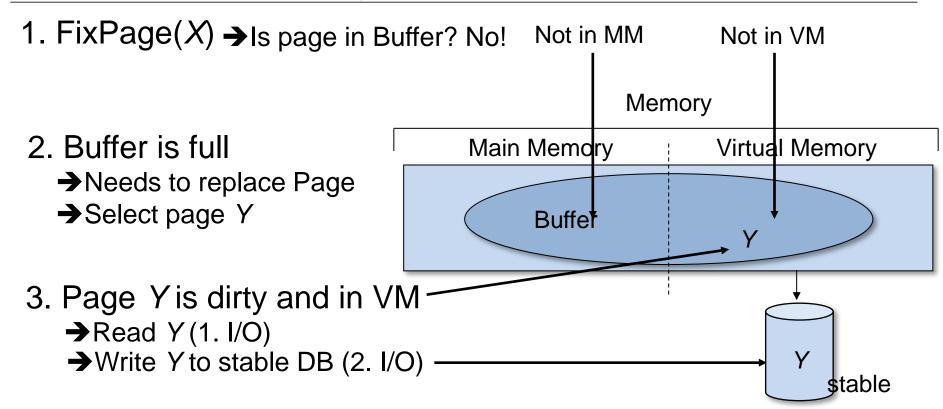
- "page in" dirty buffer page to be replaced OSVMM
- flush page to disk BM
- load requested DB page in freed buffer frame BM

→In the worst case 3 I/Os!

## Allocation and Replacement Strategies.

## **Effect of Virtual Memory**





4. Transfer Page X into buffer vmm Page Fault and Database Page Fault
→ Read X from stable DB (3. I/O) → Double Page Fault

# Exercise 3.2 Allocation and Replacement Strategies. Effect of Virtual Memory



## To avoid double page faults:

- Flush pages asynchronously
- Do not allow dirty pages to be paged out of RAM by OS



# Working-Set Allocation Strategy, LRU Replacement Strategy



## Working-Set Allocation Strategy, LRU **Replacement Strategy**

Given is the following logical page reference string:

"T2:L T1:A T1:B T1:C T2:K T1:D T1:E T2:L T1:F T1:G T1:H T2:K T1:I"

"T*i*:X" denotes a logical reference to page X by transaction T*i*. The size of the database buffer pool is 4 pages and they are initially free.

- a) Show how the buffer space would be managed during the execution of the above references if global allocation with LRU **replacement** strategy is employed.
- b) Show how the buffer space would be managed under the **Working-Set Method**, with a window size  $\tau = 2$ . Describe how the auxiliary structures W(Ti, t), TRC(Ti) and LRC(Ti, X) for i=1...2 are used assuming that initially TRC(Ti)=0.

# Exercise 3.3 Working-Set Allocation Strategy, LRU Replacement Strategy



## LRU (Least Recently Used)

- Considers age and references
- Replaces page that hasn't been referenced the longest
- Two possibilities of interpretation of the word "used"
  - Least Recently Referenced
  - Least Recently Unfixed

Which Structure is to be used?



## Exercise 3.3 Working-Set Allocation Strategy, LRU Replacement Strategy



a)

t	<b>T</b> 1	T2	LRU queue	Page(out→in)
1		L	L	→L ×
2	Α		L, <b>A</b>	<b>→</b> A \
3	В		L, A, <b>B</b>	<b>→</b> B
4	С		L, A, B, <b>C</b>	<b>→</b> C \
5		K	A, B, C, <b>K</b>	<u>L</u> →K <sub>▼</sub> ▼
6	D		B, C, K, <b>D</b>	A→D
7	Е		C, K, D, <b>E</b>	B→E
8		L	K, D, E, <b>L</b>	C→ <u>L</u> *
9	F		D, E, L, <b>F</b>	<u>K</u> →F
10	G		E, L, F, <b>G</b>	D→G
11	Н		L, F, G, <b>H</b>	E→H
12		K	F, G, H, <b>K</b>	L→ <u>K</u>
13			G, H, K, I	F→I

#### Evaluation:

- •T1 has 9 page faults
- •T2 has 4 page faults

## T2 reference locality:

4 references / 2 pages

- → T1 'overtakes' T2
- → T2's reference locality is overlooked!

# Exercise 3.3 Working-Set Allocation Strategy, LRU Replacement Strategy



b) Show how the buffer space would be managed under the Working-Set Method, with a window size τ = 2.
 Describe how the auxiliary structures W(Ti, t), TRC(Ti) and LRC(Ti, X) for i=1..2 are used assuming that initially TRC(Ti)=0.

For each active Transaction Ti keep a counter: TRC(Ti) Each page k in the buffer has a reference counter LRC(Ti,k) for each transaction that has been active on it Whenever Ti references page k,  $\Box$  Counter TRC(Ti) is incremented and stored in LRC(Ti,k)

# Working-Set Allocation Strategy, LRU Replacement Strategy



Working Set  $W(t,\tau)$ :

set of pages that was referenced by a transaction within its last τ references measured at time t

τ size of window given as # of references

$$w(t,\tau) = |W(t,\tau)|$$
  
size of working set at time t

The average size of the **WS** of a transaction indicates its **reference locality.** 

# Exercise 3.3 Working-Set Allocation Strategy, LRU Replacement Strategy



## Principle of operation:

- pages in the working set of a transaction should be kept in buffer
- pages that are no longer in the WS can be replaced
- when locality is high, WS shrinks

## **Important:**

- window size τ must be specified
- since working set method doesn't distinguish among the elements in the WS, an additional replacement strategy must be defined

## Refinement (priorities):

use τ of different sizes based on transaction classes



## Working-Set Allocation Strategy, LRU Replacement Strategy



## TRC(Ti)

One counter per Transaction Ti:

## **LRC(T***i*, *k***)**:

Each page k in the buffer has a reference counter for for each transaction that has been active on it Whenever Ti references page k, the counter TRC(Ti) is incremented and stored in LRC(Ti,k)

A page may be substituted if for all active transactions:

TRC(Ti) - LRC(Ti,k)  $\geq \tau$ 

# Exercise 3.3 Working-Set Allocation Strategy, LRU Replacement Strategy

Buffer size: 4

t	T1	TRC T1	LRC(T1,[])	T1 W(t,2)	T2	TRC T2	T2 W(t,2)	LRC (T2, [])	Buffer
0		0				0			
1		0			L	1	L	[L]=1	L
2	Α	1	[A]=1	Α					L, <b>A</b>
3	В	2	[A]=1, [ <b>B]=2</b>	A, <b>B</b>					L,A,B
4	С	3	[B]=2, [ <b>C]=3</b>	В, <b>С</b>					L, <u>A</u> ,B, <b>C</b>
5					K	2	L, <b>K</b>	L=[1], <b>K=[2]</b>	L, <b>K</b> , <u>B</u> ,C
6	D	4	[C]=3, <b>[D]=4</b>	C, <b>D</b>					L,K, <b>D</b> , <u>C</u>
7	Ш	5	[D]=4, <b>[E]=5</b>	D, <b>E</b>					L,K,D, <b>E</b>
8					L	3	L, K	<b>L=[3]</b> , K=[2]	L,K, <u>D</u> ,E
9	F	6	[E]=5, <b>[F]=6</b>	E, <b>F</b>					L,K, <b>F</b> ,E
10	G	7	[F]=6, <b>[G]=7</b>	F, <b>G</b>					L,K, <u>F</u> , <b>G</b>
11	Ι	8	[G]=7, <b>[H]=8</b>	G, <b>H</b>					L,K, <b>H</b> ,G
12					K	4	L, K	L=[3], <b>K=[4]</b>	L,K,H, <u>G</u>
13	I	9	[H]=8, <b>[I]=9</b>	HI					L,K,H,I

W		se 3.3 ng-Set <i>F</i> gy	'	TRC(1) - LRC (1,B) =3-2<τ TRC(1) - LRC (1,C) =3-3<τ				Buffer size: 4	
t	T1	TRC T1	LR T	LR TRC(2) - LRC (2,L) = $2-1 < \tau$				RC (T2, [])	Buffer
0		0	1	$TRC(1) - LRC(1,A) = 3-1=\tau$					
1		0		→ Replace A					L
2	A	1,	[A]=1	A					L, <b>A</b>
3	В	2	[A]=1, [ <b>B]=2</b>	A, <b>B</b>					L,A,B
4	С	3	[B]=2 [ <b>C]=3</b>	B, <b>C</b>					L, <u>A</u> ,B, <b>C</b>
5					K	2	L, <b>K</b>	L=[1], <b>K=[2]</b>	L, <b>K</b> , <u>B</u> ,C
6	D	4	[C]=3, [D]=4	<u>C</u> D					L,K, <b>D</b> , <u>C</u>
7	Н	5							L,K,D, <b>E</b>
8				T1 references A and TRC(T1)=1 L, K					L,K, <u>D</u> ,E
9	F	6	→LCR(T	1,A)=1					L,K, <b>F</b> ,E
10	G	7	[F]=0						L,K, <u>F</u> , <b>G</b>
11	Н	8	[G]=7, <b>[H]=8</b>	G, <b>H</b>					L,K, <b>H</b> ,G
12					K	4	L, K	L=[3], <b>K=[4]</b>	L,K,H, <u>G</u>
13	ı	9	[H]=8, <b>[I]=9</b>	н					L,K,H,I

# Exercise 3.3 Working-Set Allocation Strategy, LRU Replacement Strategy



#### Evaluation:

- T1 has 9 page faults, since it has no reference locality
- T2 has 2 page faults minimum

→ Working Set Method - good for Intra-Tx locality

**Appendix: BM in Practice** 



## **Buffer Management in Practice**



Discuss what kind of buffer management techniques are implemented in the major commercial database systems



 IBM DB2 and Sybase ASE allow buffers to be partitioned into named pools.

Each database, table or index can be bound to one of the pools.

Each pool can be configured to use either *LRU* or *clock* replacement in ASE.

DB2 uses a variant of clock replacement, with the initial clock value based on the nature of the page (e.g. index non-leaves get a higher starting clock value, which delays their replacement).

DB2 V6 also supports FIFO.



- Informix and Oracle 7 both maintain a single global buffer pool using *LRU*.
- In Oracle 8 tables can be bound to one of two pools one has high priority and the system attempts to keep pages in this pool in memory.
- Microsoft SQL Server has a single pool using clock replacement.



Beyond setting a maximum number of pins for a given transaction, there are typically no features for controlling buffer pool usage on a per-transaction basis.

**MS SQL Server**, however, supports a reservation of buffer pages by queries that require large amounts of memory (e.g. queries involving sorting or hashing).



## Prefetching:

- In **DB2** both sequential and list prefetch (prefetching a list of pages) are supported. In general the prefetch size is 32 4 KB pages, but this is configurable. For some sequential type database utilities (e.g. COPY, RUNSTATS), DB2 will prefetch up to 64 4KB pages.
- Oracle 8 uses prefetching for sequential scan, retrieving large objects, and for certain index scans.
- Microsoft SQL Server supports prefetching for sequential scan and for scans along the leaf level of a B+tree index and the prefetch size can be adjusted as a scan progresses.
- Informix supports prefetching with a user-defined prefetch size.



## **Appendix: Queues**



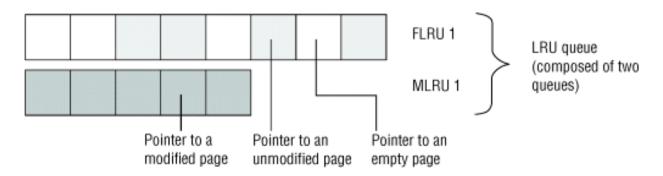
## LRU queues

Based on: IBM Informix Dynamic Server Administrator's Guide http://publib.boulder.ibm.com/infocenter/ids9help/index.jsp?topic=/com.ibm.admin.doc/admin290.htm



- A database server uses 1 to n LRU Queues to replace cached data held in buffer.
- Each LRU queue is composed of a pair of linked lists:
  - FLRU (free least-recently used) list: tracks free or unmodified pages in the queue
  - MLRU (modified least-recently used) list: tracks modified pages in the queue

Least-recently used <--> most-recently used





- Pages are maintained in *least-recently* to *most-recently* used order in the queues.
- Each buffer (frame) has one entry in one of the buffer queues
- All LRU queues on one server have same size:
  Size of LRU queue = Buffers / #LRU queues

### **Example:**

Buffers: 10000 pages #LRU queues 10

→1000 buffers per LRU queue



## Processing Init State:

All page buffers are empty. Every buffer is represented by an entry in a FLRU queue.

## User thread needs to acquire a page:

- 1. Select *randomly* one FLRU queue
- 2. Try to *latch least-recently used (or oldest) entry*If *not possible* (queue is locked)→select another FLRU queue
- 3. User thread finishes and releases buffer:

Page was modified: Place page at the most-recently used end

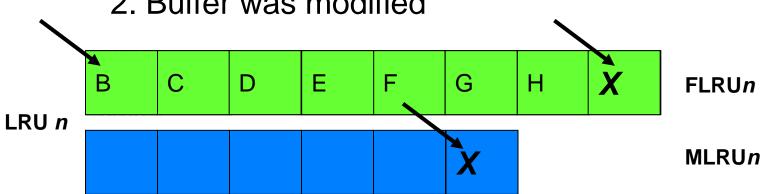
of an MLRU queue.

**Page not modified:** Place page at the *most-recently used end* 

of an **FLRU queue**.



- 1. Client requests page X.
  - 2. Server selects randomly a FLRU queue.
  - 3. Latch least recently used buffer (A)
  - 4. Remove A and load X
  - 5. Client release buffer:
    - 1. Buffer was not modified
    - 2. Buffer was modified



### **LRU Queues**



## Why multiple LRU queues?

- Reduce user-thread contention for the queues.
- Allow multiple cleaners to flush dirty pages and maintain the percentage of dirty pages at an acceptable level.

#### Note:

Number of queues is recommended based on numbers of CPUs (VPs).

## LRU Queues Cleaning LRU Queues



- LRU queues are cleaned by *Cleaner Threads*
- Parameters:

#### LRU\_MAX\_DIRTY:

Max percentage of dirty pages in LRU queue.

#### LRU\_MIN\_DIRTY:

Acceptable percentage of dirty pages.

- LRU writes are performed as background writes by cleaner threads.
- LRU writes may also be triggered by foreground writes who alert the cleaner thread of the write
- Cleaning LRU queues in background avoids having to wait for a clean page when a transaction needs it
- Number of cleaning threads depends on number of disks (one per frequently updated disk) as well as length of queues and parameters.



### **LRU Queues**



## Example:

LRU\_MAX\_DIRTY: 60 % LRU\_MIN\_DIRTY: 40 %

Buffers 10000

Page cleaning begins when 6000 buffers are in the MLRU queue.

Page cleaning must continue until 4000 buffers are left in the MLRU queue (acceptable number of dirty pages) and can continue beyond this point.