



Design Principles of Programming Languages

编程语言的设计原理

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Substructural Types

亚结构类型

Example (Abstract Type file and Its Interface)

type file

val open : string → file option

val read : file → string * file

val append : file * string → file

val write : file * string → file

val close : file → unit

The type abstraction encapsulates the **internal representation** of a file and its **invariants**.

Question

Can the type system prevent a file from being **read after it has been closed, closed twice, or forgotten to close?**

Structural Typing

Observation

The type systems we have seen so far in this course are all **structural**.

Principle (Structural Properties)

Recall that typing contexts can be defined by $\Gamma ::= \emptyset \mid \Gamma, x : T$.

Instead of treating Γ as a **function** from variables to types, we literally view Γ as a **list** $x_1 : T_1, \dots, x_n : T_n$.

Exchange If $\Gamma_1, x_1 : T_1, x_2 : T_2, \Gamma_2 \vdash t : T$, then $\Gamma_1, x_2 : T_2, x_1 : T_1, \Gamma_2 \vdash t : T$.

Weakening If $\Gamma_1, \Gamma_2 \vdash t : T$, then $\Gamma_1, x_1 : T_1, \Gamma_2 \vdash t : T$.

Contraction If $\Gamma_1, x_2 : T_1, x_3 : T_1, \Gamma_2 \vdash t : T$, then $\Gamma_1, x_1 : T_1, \Gamma_2 \vdash [x_2 \mapsto x_1][x_3 \mapsto x_1]t : T$.

Remark

A structural type system enjoys **all three above properties**, i.e., typing contexts behave as functions.

Structural Typing



Principle (Structural Properties)

Exchange If $\Gamma_1, x_1 : T_1, x_2 : T_2, \Gamma_2 \vdash t : T$, then $\Gamma_1, x_2 : T_2, x_1 : T_1, \Gamma_2 \vdash t : T$.

Weakening If $\Gamma_1, \Gamma_2 \vdash t : T$, then $\Gamma_1, x_1 : T_1, \Gamma_2 \vdash t : T$.

Contraction If $\Gamma_1, x_2 : T_1, x_3 : T_1, \Gamma_2 \vdash t : T$, then $\Gamma_1, x_1 : T_1, \Gamma_2 \vdash [x_2 \mapsto x_1][x_3 \mapsto x_1]t : T$.

Observation

A structural type system **cannot** limit the **number** or **order** of uses of a data structure or operation.

Definition (Substructural Type Systems)

A **substructural type system** is a type system where one or more of the structural properties do **not** hold.

Substructural Typing



Definition (Substructural Type Systems)

A **substructural type system** is a type system where one or more of the structural properties do **not** hold. That is, a substructural type system allows **fine-grained control of variable use**.

Type System	Intuition	Exchange	Weakening	Contraction
structural	no restriction on variable use	✓	✓	✓
affine	variables are used at most once	✓	✓	✗
relevant	variables are used at least once	✓	✗	✓
linear	variables are used exactly once	✓	✗	✗
ordered	variables are used exactly once and in their introduction order	✗	✗	✗

Question

Can you think of possible scenarios for other combinations, e.g., only weakening or only contraction?

Substructural Typing

Example (Abstract Type file and Its Interface)

```
val open    : string → file option  
val read    : file → string * file  
val append  : file * string → file  
val write   : file * string → file  
val close   : file → unit
```

Question

What would be the consequences if we treat the type `file` as a **linear** type?

Principle

Substructural types are useful for **constraining resource use**, such as files, locks, and memory.

Remark

A **linear** type system allows **Exchange** but forbids **Weakening** and **Contraction**.

Syntax

$$\begin{array}{ll} t ::= x \mid \lambda x:T. t \mid t \ t \mid \text{true} \mid \text{false} \mid \text{if } t \text{ then } t \text{ else } t \mid 0 \mid \text{succ } t \mid \text{iszero } t & T ::= T \rightarrow T \mid \text{Bool} \mid \text{Nat} \\ v ::= \lambda x:T. t \mid \text{true} \mid \text{false} \mid 0 \mid \text{succ } v & \Gamma ::= \emptyset \mid \Gamma, x : T \end{array}$$

Question

How to formulate the typing relation to enforce **linearity**, i.e., “variables are used exactly once”?

Linear Types



Principle

The typing relation maintains the invariant that **variables are used exactly once along every control-flow path**.

$\Gamma \vdash^{\ell} t : T$: “term t has type T in context Γ with linear typing”

Let us implicitly assume **Exchange** by treating Γ as an unordered list.

$$\frac{}{x : T \vdash^{\ell} x : T} \text{ T-Var}$$

$$\frac{}{\emptyset \vdash^{\ell} \text{true} : \text{Bool}} \text{ T-True}$$

$$\frac{}{\emptyset \vdash^{\ell} \text{false} : \text{Bool}} \text{ T-False}$$

$$\frac{}{\emptyset \vdash^{\ell} 0 : \text{Nat}} \text{ T-Zero}$$

$$\frac{\Gamma \vdash^{\ell} t_1 : \text{Nat}}{\Gamma \vdash^{\ell} \text{succ } t_1 : \text{Nat}} \text{ T-Succ}$$

$$\frac{\Gamma \vdash^{\ell} t_1 : \text{Nat}}{\Gamma \vdash^{\ell} \text{iszero } t_1 : \text{Bool}} \text{ T-IsZero}$$

$$\frac{\Gamma_1 \vdash^{\ell} t_1 : \text{Bool} \quad \Gamma_2 \vdash^{\ell} t_2 : T \quad \Gamma_2 \vdash^{\ell} t_3 : T}{\Gamma_1, \Gamma_2 \vdash^{\ell} \text{if } t_1 \text{ then } t_2 \text{ else } t_3 : T} \text{ T-If}$$

Linear Types



$\Gamma \vdash^\ell t : T$: “term t has type T in context Γ with linear typing”

$$\frac{\Gamma, x : T_1 \vdash^\ell t_2 : T_2}{\Gamma \vdash^\ell \lambda x : T_1. t_2 : T_1 \rightarrow T_2} \text{ T-Abs}$$

$$\frac{\Gamma_1 \vdash^\ell t_1 : T_{11} \rightarrow T_{12} \quad \Gamma_2 \vdash^\ell t_2 : T_{11}}{\Gamma_1, \Gamma_2 \vdash^\ell t_1 t_2 : T_{12}} \text{ T-App}$$

Example

$$\frac{\frac{\frac{}{f : \text{Nat} \rightarrow \text{Nat} \rightarrow T \vdash^\ell f : \text{Nat} \rightarrow \text{Nat} \rightarrow T} \text{ T-Var} \quad \frac{}{x : \text{Nat} \vdash^\ell x : \text{Nat}} \text{ T-Var}}{f : \text{Nat} \rightarrow \text{Nat} \rightarrow T, x : \text{Nat} \vdash^\ell f x : \text{Nat} \rightarrow T} \text{ T-App} \quad \frac{}{y : \text{Nat} \vdash^\ell y : \text{Nat}} \text{ T-Var}}{f : \text{Nat} \rightarrow \text{Nat} \rightarrow T, x : \text{Nat}, y : \text{Nat} \vdash^\ell (f x) y : T} \text{ T-App}$$

Question

Let Γ be $f : \text{Nat} \rightarrow \text{Nat} \rightarrow T, x : \text{Nat}, y : \text{Nat}$. Can we derive $\Gamma \vdash^\ell (f x) () : T, \Gamma \vdash^\ell (f x) x : T$?

Operational Semantics

Question

How to justify if the typing relation maintains **linearity**?

Remark

We need an operational semantics that can **keep track of how variables are used**.
Recall that for references, we introduced **stores** to keep track of how **locations** are used.

$$\frac{l \notin \text{dom}(\mu)}{\text{ref } v \mid \mu \longrightarrow l \mid (\mu, l \mapsto v)} \text{ E-RefV}$$

$$\frac{\mu(l) = v}{!l \mid \mu \longrightarrow v \mid \mu} \text{ E-DerefLoc}$$

Fine-Grained Operational Semantics

Let us introduce **value stores** by $V ::= \emptyset \mid V, x \mapsto v$ and evaluation relations as $t \mid V \longrightarrow t' \mid V'$.

$$\frac{V(x) = v}{x \mid V \longrightarrow v \mid (V \setminus x)} \text{ E-Var}$$

$$\frac{y \notin \text{dom}(V)}{(\lambda x:T_{11}. t_{12}) v_2 \mid V \longrightarrow [x \mapsto y]t_{12} \mid (V, y \mapsto v_2)} \text{ E-AppAbs}$$

Operational Semantics



$t \mid V \longrightarrow t' \mid V'$: “term t with value store V one-step evaluates to term t' with value store V' ”

$$\frac{t_1 \mid V \longrightarrow t'_1 \mid V'}{t_1 \ t_2 \mid V \longrightarrow t'_1 \ t_2 \mid V'} \text{E-App1}$$

$$\frac{t_2 \mid V \longrightarrow t'_2 \mid V'}{v_1 \ t_2 \mid V \longrightarrow v_1 \ t'_2 \mid V'} \text{E-App2}$$

$$\frac{t_1 \mid V \longrightarrow t'_1 \mid V'}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \mid V \longrightarrow \text{if } t'_1 \text{ then } t_2 \text{ else } t_3 \mid V'} \text{E-If}$$

$$\frac{}{\text{if true then } t_2 \text{ else } t_3 \mid V \longrightarrow t_2 \mid V} \text{E-IfTrue}$$

$$\frac{}{\text{if false then } t_2 \text{ else } t_3 \mid V \longrightarrow t_3 \mid V} \text{E-IfFalse}$$

$$\frac{t_1 \mid V \longrightarrow t'_1 \mid V'}{\text{succ } t_1 \mid V \longrightarrow \text{succ } t'_1 \mid V'} \text{E-Succ}$$

$$\frac{t_1 \mid V \longrightarrow t'_1 \mid V'}{\text{iszero } t_1 \mid V \longrightarrow \text{iszero } t'_1 \mid V'} \text{E-Iszero}$$

$$\frac{}{\text{iszero } 0 \mid V \longrightarrow \text{true} \mid V} \text{E-IszeroZero}$$

$$\frac{}{\text{iszero succ } v_1 \mid V \longrightarrow \text{false} \mid V} \text{E-IszeroSucc}$$

Operational Semantics



Example

$$\begin{aligned}(\lambda f. f\ 5)\ (\underline{(\lambda x. \lambda y. x + y)\ 2})\ |\ \emptyset &\longrightarrow (\lambda f. f\ 5)\ (\underline{\lambda y. z_1 + y})\ |\ (z_1 \mapsto 2) \\&\longrightarrow \underline{z_2}\ 5\ |\ (z_1 \mapsto 2, z_2 \mapsto \lambda y. z_1 + y) \\&\longrightarrow (\underline{\lambda y. z_1 + y})\ 5\ |\ (z_1 \mapsto 2) \\&\longrightarrow \underline{z_1} + z_3\ |\ (z_1 \mapsto 2, z_3 \mapsto 5) \\&\longrightarrow 2 + \underline{z_3}\ |\ (z_3 \mapsto 5) \\&\longrightarrow \underline{2 + 5}\ |\ \emptyset \longrightarrow 7\ |\ \emptyset\end{aligned}$$

Example

$$\begin{aligned}\underline{(\lambda x. x + x)\ 2}\ |\ \emptyset &\longrightarrow \underline{z_1} + z_1\ |\ (z_1 \mapsto 2) \\&\longrightarrow 2 + z_1\ |\ \emptyset \not\rightarrow\end{aligned}$$

$V : \Gamma$: “value store V conforms with typing context Γ ”

$$\frac{}{\emptyset : \emptyset} \text{T-Empty} \qquad \frac{V : (\Gamma_1, \Gamma_2) \quad \Gamma_1 \vdash^\ell v : T}{(V, x \mapsto v) : (\Gamma_2, x : T)} \text{T-Extend}$$

For example, we can have $(z_1 \mapsto 2, z_2 \mapsto \lambda y. z_1 + y) : (z_2 : \text{Nat} \rightarrow \text{Nat})$.

Theorem (Soundness)

Progress If $V : \Gamma$ and $\Gamma \vdash^\ell t : T$, then $t \mid V \longrightarrow t' \mid V'$ or t is a value.

Preservation If $V : \Gamma$, $\Gamma \vdash^\ell t : T$, and $t \mid V \longrightarrow t' \mid V'$, then $V' : \Gamma'$ and $\Gamma' \vdash^\ell t' : T$.

Corollary (Linearity)

If $\emptyset \vdash^\ell t : T$, $T = \text{Bool}$ or $T = \text{Nat}$, and $t \mid \emptyset \longrightarrow^* v \mid V'$, then $V' = \emptyset$.

Proof of Preservation (Sketch)

Proof: Induction on the Derivation of $t \mid V \longrightarrow t' \mid V'$

E-Var Have $x \mid V \longrightarrow V(x) \mid (V \setminus x)$, $x : T \vdash^\ell x : T$, and $V : (x : T)$.
 Inversion on $V : (x : T)$. Have $(V \setminus x) : \Gamma_1$ and $\Gamma_1 \vdash^\ell V(x) : T$.
 Conclude by setting $\Gamma' \stackrel{\text{def}}{=} \Gamma_1$.

E-AppAbs Have $(\lambda x:T_{11}. t_{12}) v_2 \mid V \longrightarrow [x \mapsto y]t_{12} \mid (V, y \mapsto v_2)$, $\Gamma \vdash^\ell (\lambda x:T_{11}. t_{12}) v_2 : T_{12}$, and $V : \Gamma$.
 Inversion on $\Gamma \vdash^\ell (\lambda x:T_{11}. t_{12}) v_2 : T_{12}$. Have $\Gamma = \Gamma_1, \Gamma_2$ such that
 $\Gamma_1 \vdash^\ell \lambda x:T_{11}. t_{12} : T_{11} \rightarrow T_{12}$ and $\Gamma_2 \vdash^\ell v_2 : T_{11}$.
 Inversion on $\Gamma_1 \vdash^\ell \lambda x:T_{11}. t_{12} : T_{11} \rightarrow T_{12}$. Have $\Gamma_1, x : T_{11} \vdash^\ell t_{12} : T_{12}$.
 Apply **substitution**. Have $\Gamma_1, y : T_{11} \vdash^\ell [x \mapsto y]t_{12} : T_{12}$.
 Apply **T-Extend** to $V : (\Gamma_1, \Gamma_2)$. Have $(V, y \mapsto v_2) : (\Gamma_1, y : T_{11})$.
 Conclude by setting $\Gamma' \stackrel{\text{def}}{=} (\Gamma_1, y : T_{11})$.

Lemma (Substitution)

If $\Gamma_1, x : T \vdash^\ell t_1 : T_1$ and $\Gamma_2 \vdash^\ell y : T$, then $\Gamma_1, \Gamma_2 \vdash^\ell [x \mapsto y]t_1 : T_1$.

Algorithmic Typing

$\Gamma \vdash^\ell t : T$: “term t has type T in context Γ with linear typing”

$$\frac{\Gamma, x : T_1 \vdash^\ell t_2 : T_2}{\Gamma \vdash^\ell \lambda x : T_1. t_2 : T_1 \rightarrow T_2} \text{ T-Abs}$$

$$\frac{\Gamma_1 \vdash^\ell t_1 : T_{11} \rightarrow T_{12} \quad \Gamma_2 \vdash^\ell t_2 : T_{11}}{\Gamma_1, \Gamma_2 \vdash^\ell t_1 t_2 : T_{12}} \text{ T-App}$$

Observation

Although all rules are syntax-directed, some of them (e.g., T-App) require “guessing” how to **split** a context.

Principle

Rather than trying to split the context, the algorithmic typing can pass the entire context as an input and return the unused portion as the **remainder context**, i.e., the algorithmic typing relation takes the form $\Gamma_{\text{in}} \vdash^\ell t : T; \Gamma_{\text{out}}$.

Algorithmic Typing

$\Gamma_{in} \vdash^{\ell} t : T; \Gamma_{out}$: “given context Γ_{in} , term t has type T with remainder context Γ_{out} ”

$$\begin{array}{c}
 \frac{}{\Gamma, x : T \vdash^{\ell} x : T; \Gamma} \text{A-Var} \qquad \frac{}{\Gamma \vdash^{\ell} 0 : \text{Nat}; \Gamma} \text{A-Zero} \qquad \frac{\Gamma_1 \vdash^{\ell} t_1 : \text{Nat}; \Gamma_2}{\Gamma_1 \vdash^{\ell} \text{succ } t_1 : \text{Nat}; \Gamma_2} \text{A-Succ} \\
 \\
 \frac{\Gamma_1 \vdash^{\ell} t_1 : \text{Bool}; \Gamma_2 \quad \Gamma_2 \vdash^{\ell} t_2 : T; \Gamma_3 \quad \Gamma_2 \vdash^{\ell} t_3 : T; \Gamma_3}{\Gamma_1 \vdash^{\ell} \text{if } t_1 \text{ then } t_2 \text{ else } t_3 : T; \Gamma_3} \text{A-If} \\
 \\
 \frac{\Gamma_1, x : T_1 \vdash^{\ell} t_2 : T_2; \Gamma_2 \quad x \notin \text{dom}(\Gamma_2)}{\Gamma_1 \vdash^{\ell} \lambda x : T_1. t_2 : T_1 \rightarrow T_2; \Gamma_2} \text{A-Abs} \qquad \frac{\Gamma_1 \vdash^{\ell} t_1 : T_{11} \rightarrow T_{12}; \Gamma_2 \quad \Gamma_2 \vdash^{\ell} t_2 : T_{11}; \Gamma_3}{\Gamma_1 \vdash^{\ell} t_1 t_2 : T_{12}; \Gamma_3} \text{A-App}
 \end{array}$$

Theorem (Correctness)

Soundness If $\Gamma \vdash^{\ell} t : T; \emptyset$, then $\Gamma \vdash^{\ell} t : T$.

Completeness If $\Gamma \vdash^{\ell} t : T$, then $\Gamma \vdash^{\ell} t : T; \emptyset$.

Affine Types

Remark

An **affine** type system allows **Exchange** and **Weakening** but forbids **Contraction**. That is, it allows variables to be used **at most once**.

$\Gamma \vdash^a t : T$: “term t has type T in context Γ with affine typing”

(all rules for linear types)

$$\frac{\Gamma \vdash^a t : T}{\Gamma, x_1 : T_1 \vdash^a t : T} \text{T-Weak}$$

Example

$$\frac{\frac{\frac{f : \text{Nat} \rightarrow \text{Nat} \rightarrow T \vdash^a f : \text{Nat} \rightarrow \text{Nat} \rightarrow T}{f : \text{Nat} \rightarrow \text{Nat} \rightarrow T, x : \text{Nat} \vdash^a f x : \text{Nat} \rightarrow T} \text{T-Var} \quad \frac{x : \text{Nat} \vdash^a x : \text{Nat}}{\text{T-App}}}{f : \text{Nat} \rightarrow \text{Nat} \rightarrow T, x : \text{Nat}, y : \text{Nat} \vdash^a (f x) 0 : T} \text{T-Weak} \quad \frac{\frac{\frac{\emptyset \vdash^a 0 : \text{Nat}}{y : \text{Nat} \vdash^a 0 : \text{Nat}} \text{T-Weak}}{\text{T-App}} \text{T-App}$$

Syntax-Directed Typing

Question

The structural rule (T-Weak) is **not** syntax-directed, which may complicate soundness proof.

Observation

One can always “push” uses of the weakening rule down to the **leaves** of the derivation tree. Thus, we can update the **axiom-like** rules to allow immediate weakening.

Syntax-Directed Affine Typing

$$\frac{}{\Gamma, x : T \vdash^a x : T} \text{ T-Var}$$

$$\frac{}{\Gamma \vdash^a \text{true} : \text{Bool}} \text{ T-True}$$

$$\frac{}{\Gamma \vdash^a \text{false} : \text{Bool}} \text{ T-False}$$

$$\frac{}{\Gamma \vdash^a 0 : \text{Nat}} \text{ T-Zero}$$

Relevant Types



Remark

A relevant type system allows **Exchange** and **Contraction** but forbids **Weakening**. That is, it allows variables to be used **at least once**.

$\Gamma \vdash^r t : T$: “term t has type T in context Γ with relevant typing”

(all rules for linear types)

$$\frac{\Gamma, x_2 : T_1, x_3 : T_1 \vdash^r t : T}{\Gamma, x_1 : T_1 \vdash^r [x_2 \mapsto x_1][x_3 \mapsto x_1]t : T} \text{T-Contract}$$

Example

$$\frac{\frac{\frac{}{f : \text{Nat} \rightarrow \text{Nat} \rightarrow T \vdash^r f : \text{Nat} \rightarrow \text{Nat} \rightarrow T} \text{T-Var} \quad \frac{}{y : \text{Nat} \vdash^r y : \text{Nat}} \text{T-Var}}{f : \text{Nat} \rightarrow \text{Nat} \rightarrow T, y : \text{Nat} \vdash^r f y : \text{Nat} \rightarrow T} \text{T-App} \quad \frac{}{z : \text{Nat} \vdash^r z : \text{Nat}} \text{T-Var}}{\frac{f : \text{Nat} \rightarrow \text{Nat} \rightarrow T, y : \text{Nat}, z : \text{Nat} \vdash^r (f y) z : T}{f : \text{Nat} \rightarrow \text{Nat} \rightarrow T, x : \text{Nat} \vdash^r (f x) x : T} \text{T-Contract}} \text{T-App}$$

Syntax-Directed Typing



Observation

The structural rule (T-Contract) is **not** syntax-directed, which may complicate soundness proof.

Observation

We can avoid the explicit substitution by “duplicate” variables in the **cases that need to split the context**. That is, when splitting Γ into Γ_1, Γ_2 , we allow them to **overlap**.

$\Gamma \Downarrow (\Gamma_1; \Gamma_2)$: “context Γ can be split into Γ_1 and Γ_2 with overlapping allowed”

$$\frac{}{\emptyset \Downarrow (\emptyset; \emptyset)}$$

$$\frac{\Gamma \Downarrow (\Gamma_1; \Gamma_2)}{\Gamma, x : T \Downarrow (\Gamma_1, x : T; \Gamma_2)}$$

$$\frac{\Gamma \Downarrow (\Gamma_1; \Gamma_2)}{\Gamma, x : T \Downarrow (\Gamma_1; \Gamma_2, x : T)}$$

$$\frac{\Gamma \Downarrow (\Gamma_1; \Gamma_2)}{\Gamma, x : T \Downarrow (\Gamma_1, x : T; \Gamma_2, x : T)}$$

Syntax-Directed Typing

Syntax-Directed Relevant Typing

$$\frac{\Gamma \Downarrow (\Gamma_1; \Gamma_2) \quad \Gamma_1 \vdash^r t_1 : \text{Bool} \quad \Gamma_2 \vdash^r t_2 : T \quad \Gamma_2 \vdash^r t_3 : T}{\Gamma \vdash^r \text{if } t_1 \text{ then } t_2 \text{ else } t_3 : T} \text{ T-If}$$

$$\frac{\Gamma \Downarrow (\Gamma_1; \Gamma_2) \quad \Gamma_1 \vdash^r t_1 : T_{11} \rightarrow T_{12} \quad \Gamma_2 \vdash^r t_2 : T_{11}}{\Gamma \vdash^r t_1 t_2 : T_{12}} \text{ T-App}$$

Example

Let $\Gamma \stackrel{\text{def}}{=} (f : \text{Nat} \rightarrow \text{Nat} \rightarrow T, x : \text{Nat})$, $\Gamma_1 \stackrel{\text{def}}{=} (f : \text{Nat} \rightarrow \text{Nat} \rightarrow T, x : \text{Nat})$, $\Gamma_{11} \stackrel{\text{def}}{=} (f : \text{Nat} \rightarrow \text{Nat} \rightarrow T)$, $\Gamma_{12} \stackrel{\text{def}}{=} (x : \text{Nat})$, and $\Gamma_2 \stackrel{\text{def}}{=} (x : \text{Nat})$.

$$\frac{\begin{array}{c} \dots \\ \hline \Gamma \Downarrow (\Gamma_1; \Gamma_2) \end{array} \quad \frac{\begin{array}{c} \dots \\ \hline \Gamma_1 \Downarrow (\Gamma_{11}; \Gamma_{12}) \end{array} \quad \frac{\Gamma_{11} \vdash^r f : \text{Nat} \rightarrow \text{Nat} \rightarrow T}{\Gamma_1 \vdash^r f x : \text{Nat} \rightarrow T} \quad \frac{\Gamma_{12} \vdash^r x : \text{Nat}}{\Gamma_2 \vdash^r x : \text{Nat}}}{\Gamma \vdash^r (f x) x : T}$$

Syntax-Directed Typing

Another Approach for Syntax-Directed Relevant Typing

We can also augment the language with a new term to **explicitly “duplicate” a program variable**.

$$t ::= \dots \mid \text{share } t_1 \text{ as } x_1, x_2 \text{ in } t_2$$

$$\frac{\Gamma_1 \vdash^r t_1 : T_1 \quad \Gamma_2, x_1 : T_1, x_2 : T_1 \vdash^r t_2 : T_2}{\Gamma_1, \Gamma_2 \vdash^r \text{share } t_1 \text{ as } x_1, x_2 \text{ in } t_2 : T_2} \text{ T-Share}$$

$$\frac{y_1, y_2 \notin \text{dom}(V)}{\text{share } v_1 \text{ as } x_1, x_2 \text{ in } t_2 \mid V \longrightarrow [x_1 \mapsto y_1][x_2 \mapsto y_2]t_2 \mid (V, y_1 \mapsto v_1, y_2 \mapsto v_1)} \text{ E-ShareV}$$

Example

$$\frac{\frac{}{x : \text{Nat} \vdash^r x : \text{Nat}} \text{ T-Var} \quad \frac{\frac{}{y : \text{Nat} \vdash^r y : \text{Nat}} \text{ T-Var} \quad \frac{}{z : \text{Nat} \vdash^r z : \text{Nat}} \text{ T-Var}}{y : \text{Nat}, z : \text{Nat} \vdash^r y + z : \text{Nat}}}{x : \text{Nat} \vdash^r \text{share } x \text{ as } y, z \text{ in } y + z : \text{Nat}} \text{ T-Share}$$

LFPL: A Linear-Typed Functional Programming Language



Let us augment the linearly-typed lambda calculus with useful extensions such as pairs and lists.

Syntax

$$\begin{aligned} t &::= x \mid \lambda x:T_1. t_2 \mid t_1 t_2 \mid \text{true} \mid \text{false} \mid \text{if } t_1 \text{ then } t_2 \text{ else } t_3 \mid \{t_1, t_2\} \mid \text{let } \{x_1, x_2\} = t_1 \text{ in } t_2 \\ &\mid \text{nil}[T_1] \mid \text{cons}[T_1] t_1 t_2 \mid \text{iter}_L t_1 \text{ with } \text{nil} \Rightarrow t_2 \mid \text{cons}(x, _) \text{ of } y \Rightarrow t_3 \\ T &::= T_1 \rightarrow T_2 \mid \text{Bool} \mid T_1 \times T_2 \mid \text{List } T_1 \end{aligned}$$

Linear Typing for Pairs

$$\frac{\Gamma_1 \vdash t_1 : T_1 \quad \Gamma_2 \vdash t_2 : T_2}{\Gamma_1, \Gamma_2 \vdash \{t_1, t_2\} : T_1 \times T_2} \text{T-Pair}$$

$$\frac{\Gamma_1 \vdash t_1 : T_{11} \times T_{12} \quad \Gamma_2, x_1 : T_{11}, x_2 : T_{12} \vdash t_2 : T_2}{\Gamma_1, \Gamma_2 \vdash \text{let } \{x_1, x_2\} = t_1 \text{ in } t_2 : T_2} \text{T-LetP}$$

Question

Instead of `letp`, can we use `fst` and `snd` as elimination forms?

LFPL: A Linearly-Typed Functional Programming Language



Linear Typing for Lists

$$\frac{}{\emptyset \vdash \text{nil}[T_1] : \text{List } T_1} \text{ T-Nil} \qquad \frac{\Gamma_1 \vdash t_1 : T_1 \quad \Gamma_2 \vdash t_2 : \text{List } T_1}{\Gamma_1, \Gamma_2 \vdash \text{cons}[T_1] \ t_1 \ t_2 : \text{List } T_1} \text{ T-Cons}$$
$$\frac{\Gamma_1 \vdash t_1 : \text{List } T_{11} \quad \Gamma_2 \vdash t_2 : S \quad x : T_{11}, y : S \vdash t_3 : S}{\Gamma_1, \Gamma_2 \vdash \text{iter}_L \ t_1 \ \text{with nil} \Rightarrow t_2 \mid \text{cons}(x, _) \ \text{of } y \Rightarrow t_3 : S} \text{ T-IterL}$$

Question

Why does the third premise of (T-IterL) allow **only x and y** in the context?

Observation

Recall that substructural typing constrains **resource use**.

The third premise stands for the **iteration** case, which can be executed for **multiple times**.

LFPL: A Linearly-Typed Functional Programming Language



Example

$append : List\ T \rightarrow List\ T \rightarrow List\ T$

$append \equiv \lambda l_1 : List\ T. \lambda l_2 : List\ T.$

$\quad \text{iter}_L\ l_1\ \text{with}\ nil \Rightarrow l_2$

$\quad \quad | \text{cons}(x, _) \text{ of } y \Rightarrow \text{cons}[T]\ x\ y$

$reverse : List\ T \rightarrow List\ T$

$reverse \equiv \lambda l : List\ T.$

$\quad (\text{iter}_L\ l\ \text{with}\ nil \Rightarrow (\lambda a : List\ T. a)$

$\quad \quad | \text{cons}(x, _) \text{ of } y \Rightarrow (\lambda a : List\ T. y\ (\text{cons}[T]\ x\ a)))$

$\quad nil[T]$

LFPL: A Linearly-Typed Functional Programming Language



Structural Rules

It is reasonable to allow **Weakening**; as well as **Contraction** for “copyable” types.

$$\frac{\Gamma \vdash t : T}{\Gamma, x_1 : T_1 \vdash t : T} \text{ T-Weak}$$

$$\frac{\Gamma, x_2 : T_1, x_3 : T_1 \vdash t : T \quad T_1 \text{ copyable}}{\Gamma, x_1 : T_1 \vdash [x_2 \mapsto x_1][x_3 \mapsto x_1]t : T} \text{ T-Contract}$$

$$\frac{}{\text{Bool copyable}}$$

$$\frac{T_1 \text{ copyable} \quad T_2 \text{ copyable}}{(T_1 \times T_2) \text{ copyable}}$$

Example

$\text{append2} : \text{List Bool} \rightarrow \text{List Bool} \rightarrow \text{List Bool}$

$\text{append2} \equiv \lambda l_1 : \text{List Bool}. \lambda l_2 : \text{List Bool}.$

$\quad \text{iter}_L l_1 \text{ with nil} \Rightarrow l_2$

$\quad \mid \text{cons}(x, _) \text{ of } y \Rightarrow \text{cons}[\text{Bool}] \ x (\text{cons}[\text{Bool}] \ x \ y)$

Non-Size-Increasing Functions

Question

Let us become more **explicit** about “resource,” such as memory.

In LFPL, the only data structure that consumes memory is $\text{cons}[T_1] \ t_1 \ t_2$.

Can we extend the type system to **explicitly** account for such memory consumption?

The Diamond Type

Let us introduce a type \diamond (called **diamond**), whose inhabitants are **memory cells** that hold cons -constructors.

$t ::= \dots \mid \text{nil}[T] \mid \text{cons}[T_1] \ t_d \ t_1 \ t_2 \mid \text{iter}_L \ t_1 \ \text{with nil} \Rightarrow t_2 \mid \text{cons}(x_d, x, _) \ \text{of } y \Rightarrow t_3$

$$\frac{\Gamma_d \vdash t_d : \diamond \quad \Gamma_1 \vdash t_1 : T_1 \quad \Gamma_2 \vdash t_2 : \text{List } T_1}{\Gamma_d, \Gamma_1, \Gamma_2 \vdash \text{cons}[T_1] \ t_d \ t_1 \ t_2 : \text{List } T_1} \text{T-Cons}$$

$$\frac{\Gamma_1 \vdash t_1 : \text{List } T_{11} \quad \Gamma_2 \vdash t_2 : S \quad x_d : \diamond, x : T_{11}, y : S \vdash t_3 : S}{\Gamma_1, \Gamma_2 \vdash \text{iter}_L \ t_1 \ \text{with nil} \Rightarrow t_2 \mid \text{cons}(x_d, x, _) \ \text{of } y \Rightarrow t_3 : S} \text{T-IterL}$$

Non-Size-Increasing Functions

Example

$append : List\ T \rightarrow List\ T \rightarrow List\ T$

$append \equiv \lambda l_1 : List\ T. \lambda l_2 : List\ T.$

$iter_L\ l_1\ with\ nil \Rightarrow l_2$

$| cons(x_d, x, _) \text{ of } y \Rightarrow cons[T]\ x_d\ x\ y$

$failed-append2 : List\ Bool \rightarrow List\ Bool \rightarrow List\ Bool$

$failed-append2 \equiv \lambda l_1 : List\ Bool. \lambda l_2 : List\ Bool.$

$iter_L\ l_1\ with\ nil \Rightarrow l_2$

$| cons(x_d, x, _) \text{ of } y \Rightarrow cons[Bool]\ x_d\ x\ (cons[Bool]\ x_d\ x\ y)$

Question

The diamond type \diamond is **not** copyable. Any workaround?

Non-Size-Increasing Functions

Example

$append2 : List (Bool \times \Diamond) \rightarrow List Bool \rightarrow List Bool$

$append2 \equiv \lambda l_1 : List (Bool \times \Diamond). \lambda l_2 : List Bool.$

$iter_L l_1 \text{ with } nil \Rightarrow l_2$

$| \text{cons}(x_{d,1}, x, _) \text{ of } y \Rightarrow$

$\text{letp } \{z, x_{d,2}\} = x \text{ in}$

$\text{cons}[Bool] \ x_{d,1} \ z \ (\text{cons}[Bool] \ x_{d,2} \ z \ y)$

Question

Implement a function $triple : List Bool \rightarrow List Bool$ that essentially concatenates three copies of its input. You will need to update the type to insert \Diamond accordingly.

Non-Size-Increasing Functions

Observation

LFPL with the diamond type \diamond captures **non-size-increasing** computation, where an instance of \diamond has **size one**.

For $append: List\ T \rightarrow List\ T \rightarrow List\ T$, we have $|append\ l_1\ l_2| \leq |l_1| + |l_2|$.

For $append2: List\ (Bool \times \diamond) \rightarrow List\ Bool \rightarrow List\ Bool$, we also have $|append2\ l_1\ l_2| \leq |l_1| + |l_2|$.

Definition

Let us define an **interpretation** $\llbracket T \rrbracket$ of types and then the **size function** $s_T(v)$.

Let us introduce a distinguished value \blacklozenge fro the diamond type \diamond .

$$\llbracket Bool \rrbracket = \{true, false\}$$

$$s_{Bool}(v) = 0$$

$$\llbracket \diamond \rrbracket = \{\blacklozenge\}$$

$$s_{\diamond}(\blacklozenge) = 1$$

$$\llbracket T_1 \times T_2 \rrbracket = \llbracket T_1 \rrbracket \times \llbracket T_2 \rrbracket$$

$$s_{T_1 \times T_2}(\langle v_1, v_2 \rangle) = s_{T_1}(v_1) + s_{T_2}(v_2)$$

$$\llbracket List\ T_1 \rrbracket = \{[v_1, \dots, v_n] \mid v_i \in \llbracket T_1 \rrbracket\} \quad s_{List\ T_1}([v_1, \dots, v_n]) = n + \sum_{i=1}^n s_{T_1}(v_i)$$

$$\llbracket T_1 \rightarrow T_2 \rrbracket = \llbracket T_1 \rrbracket \rightarrow \llbracket T_2 \rrbracket$$

$$s_{T_1 \rightarrow T_2}(f) = \min\{c \mid \forall v \in \llbracket T_1 \rrbracket. s_{T_2}(f(v)) \leq c + s_{T_1}(v)\}$$

A function $f \in \llbracket T_1 \rightarrow T_2 \rrbracket$ is said to be **non-size-increasing** if $s_{T_1 \rightarrow T_2}(f) = 0$.

Non-Size-Increasing Functions

Theorem

If $\emptyset \vdash v : T$, then $s_T(\llbracket v \rrbracket) = 0$, where $\llbracket v \rrbracket$ encodes a denotational interpretation of v .

We can define binary numbers using lists of Booleans:

$$\widehat{0} = \text{nil}[\text{Bool}] \quad \widehat{2n+1} = \text{cons}[\text{Bool}] \blacklozenge \text{false } \widehat{n} \quad 2(\widehat{n+1}) = \text{cons}[\text{Bool}] \blacklozenge \text{true } \widehat{n}$$

Theorem

We say that a function $h : \mathbb{N}^k \rightarrow \mathbb{N}$ is **definable** in LFPL if there exists a term $t : \text{List Bool} \rightarrow \dots \rightarrow \text{List Bool}$ such that $t \widehat{n_1} \dots \widehat{n_k} \rightarrow^* h(\widehat{n_1}, \dots, \widehat{n_k})$ for all n_1, \dots, n_k .

A function $h : \mathbb{N}^k \rightarrow \mathbb{N}$ is definable in LFPL **if and only if** h is in FP and $|h(n_1, \dots, n_k)| \leq \sum_{i=1}^k |n_i|$.

Remark

Ref: M. Hofmann. 1999. Linear types and non-size-increasing polynomial time computation. In *Logic in Computer Science (LICS'99)*, 464–473. doi: 10.1109/LICS.1999.782641.

Other Extensions: References

Question

Suppose that we store a linear resource in a reference. Can we perform arbitrary **dereferences** or **assignments**?

Syntax and Typing

$$t ::= \dots \mid \text{ref } t_1 \mid !t_1 \mid \text{swap } t_1 \ t_2$$

$$T ::= \dots \mid \text{Ref } T_1$$

$$\frac{\Gamma \vdash t_1 : T_1}{\Gamma \vdash \text{ref } t_1 : \text{Ref } T_1} \text{ T-Ref}$$

$$\frac{\Gamma \vdash t_1 : \text{Ref } T_{11}}{\Gamma \vdash !t_1 : T_{11}} \text{ T-Deref}$$

$$\frac{\Gamma_1 \vdash t_1 : \text{Ref } T_{11} \quad \Gamma_2 \vdash t_2 : T_{11}}{\Gamma_1, \Gamma_2 \vdash \text{swap } t_1 \ t_2 : \text{Ref } T_{11} \times T_{11}} \text{ T-Swap}$$

Operational Semantics

Let us allow value stores V to support both **variables** and **locations**.

$$\frac{\ell \notin \text{dom}(V)}{\text{ref } v \mid V \longrightarrow \ell \mid (V, \ell \mapsto v)}$$

$$\frac{V(\ell) = v}{! \ell \mid V \longrightarrow v \mid (V \setminus \ell)}$$

$$\frac{V(\ell) = v_1}{\text{swap } \ell \ v_2 \mid V \longrightarrow \{\ell, v_1\} \mid (V \setminus \ell, \ell \mapsto v_2)}$$

Other Extensions: Arrays

Question

Can you follow the approach for supporting references to arrays?

Syntax and Typing

$t ::= \dots \mid \text{array}(t_1, \dots, t_n) \mid \text{free } t_1 \text{ with } x. t_2 \mid \text{swap } t_1[t_2] t_3$ $T ::= \dots \mid \text{Array } T_1$

$$\frac{\Gamma_i \vdash t_i : T_1 \quad (\text{for } 1 \leq i \leq n)}{\Gamma_1, \dots, \Gamma_n \vdash \text{array}(t_1, \dots, t_n) : \text{Array } T_1} \text{ T-Array} \quad \frac{\Gamma \vdash t_1 : \text{Array } T_{11} \quad x : T_{11} \vdash t_2 : \text{Unit}}{\Gamma \vdash \text{free } t_1 \text{ with } x. t_2 : \text{Unit}} \text{ T-Free}$$

$$\frac{\Gamma_1 \vdash t_1 : \text{Array } T_{11} \quad \Gamma_2 \vdash t_2 : \text{Nat} \quad \Gamma_3 \vdash t_3 : T_{11}}{\Gamma_1, \Gamma_2, \Gamma_3 \vdash \text{swap } t_1[t_2] t_3 : \text{Array } T_{11} \times T_{11}} \text{ T-ArraySwap}$$

Question (Exercise)

Formulate the operational semantics for arrays.

Other Extensions: Reference Counting

Question

In LFPL, data structures only have two modes: (i) **copyable**, or (ii) **linear**.

Can you think of mechanisms to support some modes **between the two ends of the spectrum**?

Principle

Reference counting is a **dynamic** technique that allows any number of pointers to an object and keeps track of that number dynamically. When the reference count gets zero, the object is deallocated automatically.

Syntax

$t ::= \dots \mid \text{ref } t_1 \mid \text{inc } t_1 \mid \text{dec } t_1 \text{ with } x. t_2 \mid \text{swap } t_1 t_2$

$T ::= \dots \mid \text{Rc } T_1$

R.C. increment `inc t` evaluates `t` to a pointer, increments the ref count, and returns **two copies** of the pointer.

R.C. decrement `dec t1 with x. t2` evaluates `t1` to a pointer, decrements the ref count, and **apply** `λx. t2` **to the object if ref count gets zero**.

Other Extensions: Reference Counting



Operational Semantics

$$\frac{\ell \notin \text{dom}(V)}{\text{ref } v_1 \mid V \longrightarrow \ell \mid (V, \ell \mapsto (v, 1))} \text{E-RefV}$$

$$\frac{V(\ell) = (v, k)}{\text{inc } \ell \mid V \longrightarrow \{\ell, \ell\} \mid (V \setminus \ell, \ell \mapsto (v, k+1))} \text{E-IncLoc}$$

$$\frac{V(\ell) = (v, k) \quad k > 1}{\text{dec } \ell \text{ with } x. t_2 \mid V \longrightarrow \text{unit} \mid (V \setminus \ell, \ell \mapsto (v, k-1))} \text{E-DecNonZero}$$

$$\frac{V(\ell) = (v, 1) \quad y \notin \text{dom}(V)}{\text{dec } \ell \text{ with } x. t_2 \mid V \longrightarrow [x \mapsto y]t_2 \mid (V \setminus \ell, y \mapsto v)} \text{E-DecZero}$$

$$\frac{V(\ell) = (v_1, k)}{\text{swap } \ell \ v_2 \mid V \longrightarrow \{\ell, v_1\} \mid (V \setminus \ell, \ell \mapsto (v_2, k))} \text{E-Swap}$$

Other Extensions: Reference Counting

Typing

$$\frac{\Gamma \vdash t_1 : T_1}{\Gamma \vdash \text{ref } t_1 : \text{Rc } T_1} \text{ T-Ref}$$

$$\frac{\Gamma \vdash t_1 : \text{Rc } T_{11}}{\Gamma \vdash \text{inc } t_1 : \text{Rc } T_1 \times \text{Rc } T_{11}} \text{ T-Inc}$$

$$\frac{\Gamma \vdash t_1 : \text{Rc } T_{11} \quad x : T_{11} \vdash t_2 : \text{Unit}}{\Gamma \vdash \text{dec } t_1 \text{ with } x. t_2 : \text{Unit}} \text{ T-Dec}$$

$$\frac{\Gamma_1 \vdash t_1 : \text{Rc } T_{11} \quad \Gamma_2 \vdash t_2 : T_{11}}{\Gamma_1, \Gamma_2 \vdash \text{swap } t_1 t_2 : \text{Rc } T_{11} \times T_{11}} \text{ T-Swap}$$

Question

Are any of Ref, Array, or Rc types **copyable**?

Question (Exercise)

Try to prove the soundness of LFPL with reference counting.
What **invariants** should the operational semantics maintain?

Resource Bounds with LFPL

Remark

Recall that LFPL with the diamond type \diamond captures **non-size-increasing** computation.

$$double : \text{List} (\text{Bool} \times \diamond) \rightarrow \text{List Bool}$$

$$double \equiv \lambda l : \text{List} (\text{Bool} \times \diamond).$$

$$\text{iter}_L l \text{ with nil} \Rightarrow \text{nil}[\text{Bool}]$$

$$| \text{cons}(x_{d,1}, x, _) \text{ of } y \Rightarrow$$

$$\text{letp } \{z, x_{d,2}\} = x \text{ in cons}[\text{Bool}] x_{d,1} z (\text{cons}[\text{Bool}] x_{d,2} z y)$$

Observation

The diamonds in the input (i.e., the size of the input) are an **upper bound** on the number of cons-operations.
Can we adapt the type system to derive **upper bounds** on **other kinds of resource**?

Principle

We can separate diamonds from lists and add a **new term tick** that consumes one diamond.

Resource Bounds with LFPL

Principle

A **tick** then stands for **one units of resource use!**

$$\frac{\Gamma_1 \vdash t_1 : \Diamond \quad \Gamma_2 \vdash t_2 : T_2}{\Gamma_1, \Gamma_2 \vdash \text{tick}(t_1); t_2 : T_2} \text{ T-Tick}$$

$$\frac{\Gamma_1 \vdash t_1 : T_1 \quad \Gamma_2 \vdash t_2 : \text{List } T_1}{\Gamma_1, \Gamma_2 \vdash \text{cons}[T_1] t_1 t_2 : \text{List } T_1} \text{ T-Cons}$$

$$\frac{\Gamma_1 \vdash t_1 : \text{List } T_{11} \quad \Gamma_2 \vdash t_2 : S \quad x : T_{11}, y : S \vdash t_3 : S}{\Gamma_1, \Gamma_2 \vdash \text{iter}_L t_1 \text{ with nil} \Rightarrow t_2 \mid \text{cons}(x, _) \text{ of } y \Rightarrow t_3 : S} \text{ T-IterL}$$

Example

Let us derive a bound on the number of constructors used by an inefficient identity function.

$id : \text{List } (\Diamond \times \text{Bool}) \rightarrow \Diamond \rightarrow \text{List Bool}$

$id \equiv \lambda l : \text{List } (\Diamond \times \text{Bool}). \lambda d_1 : \Diamond.$

$\quad \text{iter } l \text{ with nil} \Rightarrow \text{tick}(d_1); \text{nil}[\text{Bool}]$

$\quad \mid \text{cons}(x, _) \text{ of } y \Rightarrow \text{letp } \{d_2, z\} = x \text{ in tick}(d_2); \text{cons}[\text{Bool}] z y$

Resource Bounds with LFPL

Question

The type $id : \text{List } (\diamond \times \text{Bool}) \rightarrow \diamond \rightarrow \text{List Bool}$ indicates a resource bound of $id(\ell)$ to be $|\ell| + 1$.

However, there are a few restrictions that make LFPL **inconvenient** to use:

- The linear nature prevents using some variables multiple times (even with contraction for copyable types).
- The diamonds “pollute” the code and we have to manage which diamond to pay for a tick.

Any workaround?

Principle (Type-Level Resource-Bound Analysis)

We can remove diamonds from terms and **only keep track of them in the types**.

Ref: [M. Hofmann and S. Jost. 2003. Static Prediction of Heap Space Usage for First-Order Functional Programs. In *Princ. of Prog. Lang.* \(POPL'03\), 185–197. doi: 10.1145/604131.604148.](#)

Ref: [J. Hoffmann and S. Jost. 2022. Two Decades of Automatic Amortized Resource Analysis. *Math. Struct. Comp. Sci.*, 32, 729–759, 6. doi: 10.1017/S0960129521000487.](#)

Type-Level Linear-Resource-Bound Analysis

Remark

The “linear” in “linear-resource-bound” means the bounds are **analytically linear** functions of the input **sizes**.

Syntax

$$\begin{aligned} t ::= & x \mid \text{fun } f \ x. \ t_2 \mid t_1 \ t_2 \mid \text{unit} \mid \{t_1, t_2\} \mid \text{let } \{x_1, x_2\} = t_1 \text{ in } t_2 \\ & \mid \text{nil} \mid \text{cons } t_1 \ t_2 \mid \text{case}_L \ t_1 \text{ of } \text{nil} \Rightarrow t_2 \mid \text{cons}(x_1, x_2) \Rightarrow t_3 \mid \text{let } x = t_1 \text{ in } t_2 \\ & \mid \text{tick } q \quad [q \in \mathbb{Q}] \mid \text{share } t_1 \text{ as } x_1, x_2 \text{ in } t_2 \end{aligned}$$

Resource-Aware Types

We define **types** and **resource-aware types** as follows:

$$\begin{aligned} T ::= & A \rightarrow B \mid \text{Unit} \mid T_1 \times T_2 \mid \text{List } A \\ A, B ::= & T^q \quad [q \in \mathbb{Q}_{\geq 0}] \end{aligned}$$

Resource-Aware Types and Potentials

Principle (The Potential Method for Amortized Complexity Analysis)

Consider a transition system $\langle S, \rightarrow, s_0 \rangle$, where $s_0 \in S$ is the initial state and $\rightarrow \subseteq S \times S \times \mathbb{Q}$ is the **resource-aware** transition relation.

The map $\Phi : S \rightarrow \mathbb{Q}_{\geq 0}$ is said to be a **potential function** if for any $s \xrightarrow{q} s'$, it holds that $\Phi(s) \geq q + \Phi(s')$.

Then for any $p_0 \geq \Phi(s_0)$ and transition sequence $s_0 \xrightarrow{q_1} s_1 \xrightarrow{q_2} \dots \xrightarrow{q_n} s_n$, it holds that $p_0 - \sum_{i=1}^n q_i \geq \Phi(s_n)$, i.e., $\Phi(s_0)$ is an **upper bound** on the resource use of the transition system.

Type-Defined Potential Functions: $\Phi_T : \llbracket T \rrbracket \rightarrow \mathbb{Q}_{\geq 0}$

$$\llbracket T^q \rrbracket = \llbracket T \rrbracket$$

$$\llbracket \text{Unit} \rrbracket = \{\text{unit}\}$$

$$\llbracket T_1 \times T_2 \rrbracket = \llbracket T_1 \rrbracket \times \llbracket T_2 \rrbracket$$

$$\llbracket \text{List } A \rrbracket = \{[v_1, \dots, v_n] \mid v_i \in \llbracket A \rrbracket\}$$

$$\llbracket A \rightarrow B \rrbracket = \llbracket A \rrbracket \rightarrow \llbracket B \rrbracket$$

$$\Phi_{T^q}(v) = \Phi_T(v) + q$$

$$\Phi_{\text{Unit}}(\text{unit}) = 0$$

$$\Phi_{T_1 \times T_2}(\langle v_1, v_2 \rangle) = \Phi_{T_1}(v_1) + \Phi_{T_2}(v_2)$$

$$\Phi_{\text{List } A}([v_1, \dots, v_n]) = \sum_{i=1}^n \Phi_A(v_i)$$

$$\Phi_{A \rightarrow B}(f) = 0$$

Resource-Aware Types and Potentials

Example

$$id: (\text{List Unit}^2)^1 \rightarrow (\text{List Unit}^0)^0$$

$$id \equiv \text{fun } f \text{ l. case}_L \text{ l with nil} \Rightarrow \text{let } _ = \text{tick } 1 \text{ in nil}$$

$$| \text{cons}(h, t) \Rightarrow \text{let } _ = \text{tick } 2 \text{ in cons } h \text{ (f t)}$$

The potential function $\Phi_{\text{List } T^q}([v_1, \dots, v_n]) = \sum_{i=1}^n \Phi_{T^q}(v_i) = q \cdot n + \sum_{i=1}^n \Phi_T(v_i)$.

Thus, $\Phi_{(\text{List Unit}^2)^1}(\ell) = \Phi_{\text{List Unit}^2}(\ell) + 1 = 2 \cdot |\ell| + \sum_{i=1}^{|\ell|} \Phi_{\text{Unit}}(\dots) + 1 = 2 \cdot |\ell| + 1$.

That is, $2 \cdot |\ell| + 1$ is **an upper bound on the amount of ticks** of executing $id(\ell)$.

Example

A function can have **more than one** resource-aware types. Such a property is useful when **composing** functions.

$$id: (\text{List Unit}^2)^1 \rightarrow (\text{List Unit}^0)^0$$

$$id: (\text{List Unit}^4)^6 \rightarrow (\text{List Unit}^2)^5$$

$$id: (\text{List Unit}^4)^6 \rightarrow (\text{List Unit}^0)^0$$

Resource-Aware Typing

$\Gamma; q \vdash t : A$: “term t has resource-aware type A under context Γ and potential q ($q \in \mathbb{Q}_{\geq 0}$)”

The typing context Γ is defined as $\Gamma ::= \emptyset \mid \Gamma, x : T$.

$$\frac{}{x : T; q \vdash x : T^q} \text{ T-Var}$$

$$\frac{}{\emptyset; q \vdash \text{unit} : \text{Unit}^q} \text{ T-Unit}$$

$$\frac{p = q + r}{\emptyset; p \vdash \text{tick } q : \text{Unit}^r} \text{ T-Tick}$$

$$\frac{\begin{array}{l} \Gamma_1; q_0 \vdash t_1 : T_1^{q_1} \\ \Gamma_2; q_1 \vdash t_2 : T_2^{q_2} \end{array}}{\Gamma_1, \Gamma_2; q_0 \vdash \{t_1, t_2\} : (T_1 \times T_2)^{q_2}} \text{ T-Pair}$$

$$\frac{\begin{array}{l} \Gamma_1; q_0 \vdash t_1 : (T_{11} \times T_{12})^{q_1} \\ \Gamma_2, x_1 : T_{11}, x_2 : T_{12}; q_1 \vdash t_2 : A \end{array}}{\Gamma_1, \Gamma_2; q_0 \vdash \text{let } \{x_1, x_2\} = t_1 \text{ in } t_2 : A} \text{ T-LetP}$$

$$\frac{\Gamma_1; q_0 \vdash t_1 : T_1^{q_1} \quad \Gamma_2, x : T_1; q_1 \vdash t_2 : A}{\Gamma_1, \Gamma_2; q_0 \vdash \text{let } x = t_1 \text{ in } t_2 : A} \text{ T-Let}$$

Resource-Aware Typing



$\Gamma; q \vdash t : A$: “term t has resource-aware type A under context Γ and potential q ($q \in \mathbb{Q}_{\geq 0}$)”

How to handle lists?

$$\Phi_{\text{List } T^p}(\text{nil}) = 0$$

$$\begin{aligned}\Phi_{\text{List } T^p}(\text{cons } v_1 \ v_2) &= \Phi_{T^p}(v_1) + \Phi_{\text{List } T^p}(v_2) \\ &= p + \Phi_T(v_1) + \Phi_{\text{List } T^p}(v_2)\end{aligned}$$

$$\begin{array}{c} \frac{}{\emptyset; q \vdash \text{nil} : (\text{List } A)^q} \text{T-Nil} \qquad \frac{\Gamma_1; q_0 \vdash t_1 : T_1^{q_1} \quad \Gamma_2; q_1 \vdash t_2 : (\text{List } T_1^p)^{q_2+p}}{\Gamma_1, \Gamma_2; q_0 \vdash \text{cons } t_1 \ t_2 : (\text{List } T_1^p)^{q_2}} \text{T-Cons} \\[2ex] \frac{\Gamma_1; q_0 \vdash t_1 : (\text{List } T_{11}^p)^{q_1} \quad \Gamma_2; q_1 \vdash t_2 : A \quad \Gamma_2, x_1 : T_{11}, x_2 : \text{List } T_{11}^p; q_1 + p \vdash t_3 : A}{\Gamma_1, \Gamma_2; q_0 \vdash \text{case}_L \ t_1 \text{ of nil} \Rightarrow t_2 \mid \text{cons}(x_1, x_2) \Rightarrow t_3 : A} \text{T-CaseL} \end{array}$$

How to handle functions?

$$\frac{\Gamma_1; \mathbf{q}_0 \vdash t_1 : (T_{11}^{\mathbf{q}_{11}} \rightarrow T_{12}^{\mathbf{q}_{12}})^{\mathbf{q}_1} \quad \Gamma_2; \mathbf{q}_1 \vdash t_2 : T_{11}^{\mathbf{q}_{11}+r}}{\Gamma_1, \Gamma_2; \mathbf{q}_0 \vdash t_1 t_2 : T_{12}^{\mathbf{q}_{12}+r}} \text{ T-App}$$

Question

Is the rule (T-Fun?) sound?

```

let  $z = \text{cons unit (cons unit nil)}$  in  $z : \text{List Unit}^2; 2$ 
let  $f = \text{fun } f \ x. (\text{case}_L \ x \text{ of nil} \Rightarrow \text{unit}$ 
 $f : (\text{List Unit}^1)^0 \rightarrow (\text{List Unit}^0)^0; 2$ 
    |  $\text{cons}(\_, t) \Rightarrow \text{let } \_ = \text{id}(z) \text{ in } f \ t)$  in
 $f(\text{cons unit (cons unit nil)})$ 

```

Resource-Aware Typing



Observation

Because $\text{fun } f \ x. \ t_2$ defines a **recursive** function, the rule below might use the resources in Γ for **multiple times**.

$$\frac{\Gamma, f : T_1^{q_1} \rightarrow T_2^{q_2}, x : T_1; q_1 \vdash t_2 : T_2^{q_2}}{\Gamma; p \vdash \text{fun } f \ x. \ t_2 : (T_1^{q_1} \rightarrow T_2^{q_2})^p} \text{ T-Fun-Unsound}$$

How about the rule below?

$$\frac{f : T_1^{q_1} \rightarrow T_2^{q_2}, x : T_1; q_1 \vdash t_2 : T_2^{q_2}}{\emptyset; p \vdash \text{fun } f \ x. \ t_2 : (T_1^{q_1} \rightarrow T_2^{q_2})^p} \text{ T-Fun-Attempt}$$

It is sound, but might be too restrictive as it requires every function to be a **closed term**.

One Workaround

We can require Γ to **carry zero units of potential** in (T-Fun), i.e., $\Gamma = |\Gamma|$.

$$|A \rightarrow B| = A \rightarrow B \quad |\text{Unit}| = \text{Unit} \quad |T_1 \times T_2| = |T_1| \times |T_2| \quad |\text{List } T^p| = \text{List } |T|^0$$

Resource-Aware Typing



$\Gamma; q \vdash t : A$: “term t has resource-aware type A under context Γ and potential q ($q \in Q_{\geq 0}$)”

How to handle contraction via the share terms?

$$\frac{\Gamma_1; q_0 \vdash t_1 : T_1^{q_1} \quad \Gamma_2, x_1 : T_1, x_2 : T_1; q_1 \vdash t_2 : A}{\Gamma_1, \Gamma_2; q_0 \vdash \text{share } t_1 \text{ as } x_1, x_2 \text{ in } t_2 : A} \text{ T-Share?}$$

Question

Is the rule (T-Share?) sound?

```
let  $z$  = cons unit (cons unit nil) in  
share  $z$  as  $x_1, x_2$  in  
{ $id(x_1), id(x_2)$ }
```

```
 $z : \text{List Unit}^2; 2$   
 $x_1 : \text{List Unit}^2, x_2 : \text{List Unit}^2; 2$ 
```


Resource-Aware Typing

Observation

Because T_1 can **carry non-zero units of potential**, the contraction in the rule below is unsound.

$$\frac{\Gamma_1; q_0 \vdash t_1 : T_1^{q_1} \quad \Gamma_2, x_1 : T_1, x_2 : T_1; q_1 \vdash t_2 : A}{\Gamma_1, \Gamma_2; q_0 \vdash \text{share } t_1 \text{ as } x_1, x_2 \text{ in } t_2 : A} \text{ T-Share-Unsound}$$

To make the rule sound, we need a notion of **splitting up the potential in a type**.

$T \Downarrow (T_1, T_2)$: “ T can be split into T_1 and T_2 and T ’s potential is the sum of T_1 ’s and T_2 ’s”

That is, if $T \Downarrow (T_1, T_2)$, then $\Phi_T(v) = \Phi_{T_1}(v) + \Phi_{T_2}(v)$.

$$\begin{array}{c} \frac{}{A \rightarrow B \Downarrow (A \rightarrow B, A \rightarrow B)} \quad \frac{}{\text{Unit} \Downarrow (\text{Unit}, \text{Unit})} \quad \frac{T_1 \Downarrow (T_{11}, T_{12}) \quad T_2 \Downarrow (T_{21}, T_{22})}{T_1 \times T_2 \Downarrow (T_{11} \times T_{21}, T_{12} \times T_{22})} \\[10pt] \frac{T \Downarrow (T_1, T_2) \quad q = q_1 + q_2}{\text{List } T^q \Downarrow (\text{List } T_1^{q_1}, \text{List } T_2^{q_2})} \end{array}$$

Resource-Aware Typing

$\Gamma; q \vdash t : A$: “term t has resource-aware type A under context Γ and potential q ($q \in \mathbb{Q}_{\geq 0}$)”

$$\frac{\Gamma_1; q_0 \vdash t_1 : T_1^{q_1} \quad T_1 \Downarrow (T_{11}, T_{12}) \quad \Gamma_2, x_1 : T_{11}, x_2 : T_{12}; q_1 \vdash t_2 : A}{\Gamma_1, \Gamma_2; q_0 \vdash \text{share } t_1 \text{ as } x_1, x_2 \text{ in } t_2 : A} \text{ T-Share}$$

Example

We have $\text{List Unit}^4 \Downarrow (\text{List Unit}^2, \text{List Unit}^2)$.

let $z = \text{cons unit (cons unit nil)}$ in
 share z as x_1, x_2 in
 $\{id(x_1), id(x_2)\}$

$z : \text{List Unit}^4; 2$
 $x_1 : \text{List Unit}^2, x_2 : \text{List Unit}^2; 2$

Question (Exercise)

Extend \Downarrow to context-level, i.e., $\Gamma \Downarrow (\Gamma_1, \Gamma_2)$. Use \Downarrow to reformulate the relation $\Gamma = |\Gamma|$.

Resource-Aware Typing



$\Gamma; q \vdash t : A$: “term t has resource-aware type A under context Γ and potential q ($q \in \mathbb{Q}_{\geq 0}$)”

How about weakening?

$$\frac{\Gamma; q \vdash t : A}{\Gamma, x_1 : T_1; q + r \vdash t : A} \text{ T-Weak}$$

Question

Any more structural rules?

$$\frac{\frac{}{\emptyset; 0 \vdash \text{nil} : (\text{List Unit}^0)^0} \quad \frac{}{\emptyset; 0 \vdash \text{tick } -1 : \text{Unit}^1} \quad \frac{\frac{}{\emptyset; 0 \vdash \text{tick } -2 : \text{Unit}^2}}{_ : \text{Unit}, _ : \text{List Unit}^0; 0 \vdash \text{tick } -2 : \text{Unit}^2}}{\emptyset; 0 \vdash \text{case}_L \text{ nil of nil} \Rightarrow \text{tick } -1 \mid \text{cons}(_, _) \Rightarrow \text{tick } -2 : ???}$$

Resource-Aware Typing

Observation

Because a value can have multiple types, e.g., Unit^1 and Unit^2 for a unit, we need to some form of **subtyping**.

Question

Which direction is sound for resource-bound analysis? $\text{Unit}^1 <: \text{Unit}^2$ or $\text{Unit}^2 <: \text{Unit}^1$?

$T_1 <: T_2$: “ T_1 is a subtype of T_2 in the sense that T_1 ’s potential is not less than T_2 ’s”

$$\frac{A_2 <: A_1 \quad B_1 <: B_2}{A_1 \rightarrow B_1 <: A_2 \rightarrow B_2}$$

$$\frac{}{\text{Unit} <: \text{Unit}}$$

$$\frac{T_{11} <: T_{21} \quad T_{12} <: T_{22}}{T_{11} \times T_{12} <: T_{21} \times T_{22}}$$

$$\frac{A_1 <: A_2}{\text{List } A_1 <: \text{List } A_2}$$

$$\frac{T_1 <: T_2 \quad q_1 \geq q_2}{T_1^{q_1} <: T_2^{q_2}}$$

Resource-Aware Typing

$\Gamma; q \vdash t : A$: “term t has resource-aware type A under context Γ and potential q ($q \in \mathbb{Q}_{\geq 0}$)”

$$\frac{\Gamma; q \vdash t : A \quad A <: B}{\Gamma; q \vdash t : B} \text{ T-Sub}$$

Example

Because $\text{Unit}^2 <: \text{Unit}^1$, we obtain the following derivation.

$$\frac{\frac{\frac{}{\emptyset; 0 \vdash \text{nil} : (\text{List Unit}^0)^0} \quad \frac{}{\emptyset; 0 \vdash \text{tick } -1 : \text{Unit}^1} \quad \frac{\frac{\frac{}{\emptyset; 0 \vdash \text{tick } -2 : \text{Unit}^2}}{_ : \text{Unit}, _ : \text{List Unit}^0; 0 \vdash \text{tick } -2 : \text{Unit}^2}}{_ : \text{Unit}, _ : \text{List Unit}^0; 0 \vdash \text{tick } -2 : \text{Unit}^1}}{\emptyset; 0 \vdash \text{case}_L \text{ nil of nil} \Rightarrow \text{tick } -1 \mid \text{cons}(_, _) \Rightarrow \text{tick } -2 : \text{Unit}^1} \text{ T-Sub}$$



Design Principles of Programming Languages

编程语言的设计原理

Key Takeaways



Principle

- The uses of type systems **go far beyond** their role in detecting errors.
- Type systems offer **crucial support** for programming: **abstraction, safety, efficiency, ...**
- Language design shall go **hand-in-hand** with type-system design.

