

Training Networks in Null Space of Feature Covariance for Continual Learning *

Shipeng Wang¹, Xiaorong Li¹, Jian Sun(\(\omega\))^{1,2,3}, Zongben Xu^{1,2,3}

¹ School of Mathematics and Statistics, Xi'an Jiaotong University, Xi'an, 710049, China

² National Engineering Laboratory of Big Data Algorithms and Analysis Technology, Xi'an, 710049, China

³ Pazhou Lab, Guangzhou, Guangdong, 510335, China

{wangshipeng8128, lixiaorong}@stu.xjtu.edu.cn, {jiansun, zbxu}@xjtu.edu.cn

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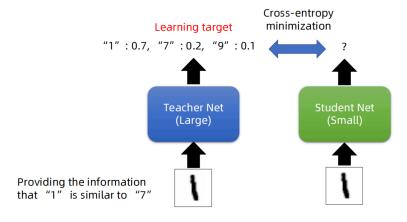
01 Continual learning的一些方法



Regularization
 l2 wise-FT EWC (2017) ...

$$\mathcal{L}_{EWC} = \sum_{i} \mathcal{F}_{\theta_i^{t-1}} \cdot \left(\theta_i^t - \theta_i^{t-1}\right)^2 ,$$

Knowledge Distillation (2015)
 LwF (2017) ...



- Data Replay
- Architecture
 Adapter LoRA...
- Algorithm
 GEM (2017) 、Adam-NSCL (本文) ...

$$\ell(f_{ heta}, \mathcal{M}_k) = rac{1}{|\mathcal{M}_k|} \sum_{(x_i, k, y_i) \in \mathcal{M}_k} \ell(f_{ heta}(x_i, k), y_i).$$

$$\begin{split} & \text{minimize}_{\theta} & & \ell(f_{\theta}(x,t),y) \\ & \text{subject to} & & \ell(f_{\theta},\mathcal{M}_k) \leq \ell(f_{\theta}^{t-1},\mathcal{M}_k) \text{ for all } k < t, \end{split}$$



对于网络的某一线性层:

$$O_{p,t}^{l} = X_{p,t}^{l} \tilde{w}_{t}^{l}, \ X_{p,t}^{l+1} = \sigma_{l}(O_{p,t}^{l})$$

参数更新:
$$W_{t+1} = W_t + \Delta W$$

如果能保证:
$$X_{\rho,t}^{l} \triangle w = 0$$

那么:
$$X_{p,t}^{l}(w_t + \Delta w) = X_{p,t}^{l}w_t = O_{p,t}^{l}$$

continual learning $\exists \overline{\mathbf{k}} : X_{p,t}^l = X_{p,t-1}^l \text{ and } f(X_p, \tilde{\mathbf{w}}_{t-1}) = f(X_p, \tilde{\mathbf{w}}_t).$

找一个投影矩阵P,P将梯度G投影到X的右零空间中,使得XPG恒为0, 用投影后的梯度PG更新网络参数

$$X_{p,t}^l$$
 任务p的数据,第 l 层的输入

$$O_{p,t}^l$$
 第 l 层的输出

t 表示网络参数来自task t训练 后

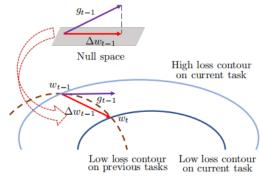


Figure 1. To avoid forgetting, we train network in the layer-wise null space of the corresponding uncentered covariance of all input features of previous tasks.



X形状为(n,d), n通常很大 X和X^TX有相同的右零空间. (Ax=O和ATAx=O的解x相同)

$$\mathcal{X}_{t-1}^l = \frac{1}{n_{t-1}} (X_{t-1}^l)^\top X_{t-1}^l$$
 , 形状为(d,d)
$$\bar{\mathcal{X}}_{t-1}^l = \frac{\bar{n}_{t-2}}{\bar{n}_{t-1}} \bar{\mathcal{X}}_{t-2}^l + \frac{n_{t-1}}{\bar{n}_{t-1}} \mathcal{X}_{t-1}^l,$$

By applying SVD to $\bar{\mathcal{X}}_{t-1}^l$, we have

$$U^{l}, \Lambda^{l}, (U^{l})^{\top} = \text{SVD}(\bar{\mathcal{X}}_{t-1}^{l}), \tag{5}$$

where $U^l=[U^l_1,U^l_2]$ and $\Lambda^l=\begin{bmatrix}\Lambda^l_1&0\\0&\Lambda^l_2\end{bmatrix}$. If all singular values of zero are in Λ_2^l , i.e., $\Lambda_2^l = 0$, then $\bar{\mathcal{X}}_{t-1}^l U_2^l =$ $U_1^l \Lambda_1^l (U_1^l)^\top U_2^l = 0$ holds, since U^l is an unitary matrix. It suggests that the range space of U_2^l is the null space of $\bar{\mathcal{X}}_{t-1}^l$. Thus we can get the parameter update $\Delta w_{t,s}^l$ lying in the null space of $\bar{\mathcal{X}}_{t-1}^l$ by

$$\Delta w_{t,s}^{l} = U_{2}^{l} (U_{2}^{l})^{\top} g_{t,s}^{l} \tag{6}$$

with $U_2^l(U_2^l)^{\top}$ as projection operator



实际上, 零奇异值不一定存在:

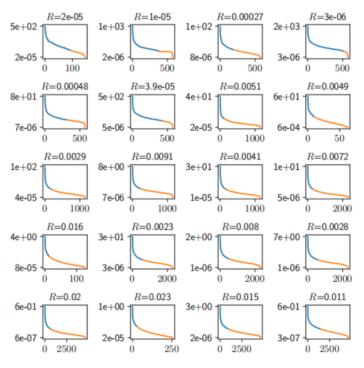
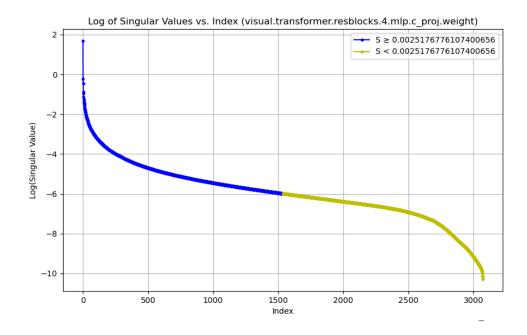


Figure 3. Singular values of uncentered covariance matrix at different layers of pretrained ResNet-18 on ImageNet ILSVRC 2012. Orange curves denote the singular values smaller than $50\lambda_{\min}^l$.

取近似:

 Λ_2^l . We adaptively select Λ_2^l with diagonal singular values $\lambda \in \{\lambda | \lambda \leq a\lambda_{\min}^l\}$ (a>0), where λ_{\min}^l is the smallest singular value. Furthermore, to empirically verify the ratio-

以中位数为阈值:





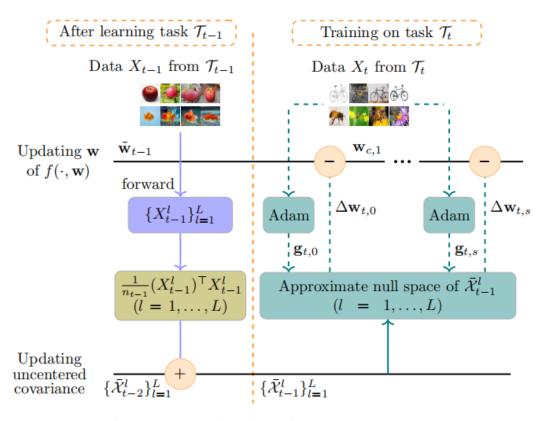


Figure 2. The pipeline of our algorithm.



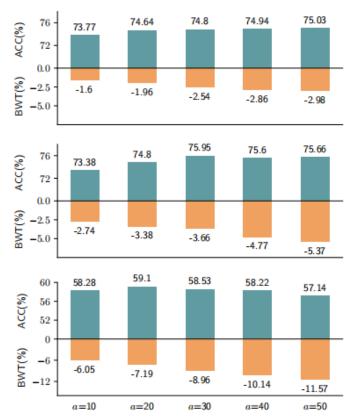


Figure 5. Stability and plasticity analysis. Top: 10-split-CIFAR-100. Middle: 20-split-CIFAR-100. Bottom: 25-split-TinyImageNet.

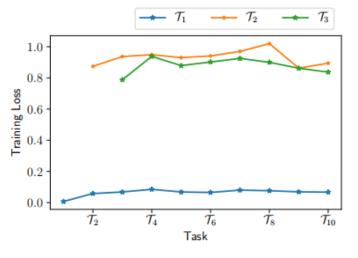


Figure 6. The curves of training losses of network on tasks \mathcal{T}_1 , \mathcal{T}_2 and \mathcal{T}_3 when the network is trained on sequential tasks.



ALPHAEDIT: NULL-SPACE CONSTRAINED KNOWLEDGE EDITING FOR LANGUAGE MODELS

Junfeng Fang¹*, Houcheng Jiang² *, Kun Wang², Yunshan Ma¹, Jie Shi¹, Xiang Wang², Xiangnan He^{2†}, Tat-Seng Chua¹

¹National University of Singapore, ²University of Science and Technology of China fangjf1997@gmail.com, jianghc@mail.ustc.edu.cn

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An autoregressive large language model (LLM) predicts the next token x in a sequence based on the preceding tokens. Specifically, the hidden state of x at layer l within the model, denoted as h^l , can be calculated as:

$$\boldsymbol{h}^{l} = \boldsymbol{h}^{l-1} + \boldsymbol{a}^{l} + \boldsymbol{m}^{l}, \quad \boldsymbol{m}^{l} = \boldsymbol{W}_{\text{out}}^{l} \, \sigma(\boldsymbol{W}_{\text{in}}^{l} \, \gamma(\boldsymbol{h}^{l-1} + \boldsymbol{a}^{l})), \tag{1}$$

where a^l and m^l represent the outputs of the attention block and the feed-forward network (FFN) layer, respectively; $W^l_{\rm in}$ and $W^l_{\rm out}$ are the weight matrices of the FFN layers; σ is the non-linear activation function, and γ denotes the layer normalization. Following Meng et al. (2022), we express the attention and FFN modules in parallel here.

It is worth noting that $\mathbf{W}_{\text{out}}^l$ within FFN layers is often interpreted as a linear associative memory, functioning as key-value storage for information retrieval (Geva et al., 2021). Specifically, if the knowledge stored in LLMs is formalized as (s,r,o) — representing subject s, relation r, and object s (e.g., s = "The latest Olympic Game", s = "was held in", s = "Paris") — s = "Paris" associates a set of input keys s encoding s = "with corresponding values s = encoding s = en

$$\underbrace{\boldsymbol{m}^{l}}_{\boldsymbol{v}} = \boldsymbol{W}_{\text{out}}^{l} \underbrace{\sigma(\boldsymbol{W}_{\text{in}}^{l} \gamma(\boldsymbol{h}^{l-1} + \boldsymbol{a}^{l}))}_{\boldsymbol{k}}.$$
(2)

This interpretation has inspired most model editing methods to modify the FFN layers for knowledge updates (Hase et al., 2023; Li et al., 2024a; Hu et al., 2024). For simplicity, we use W to refer to W_{out}^l in the following sections.



需要更新的知识:

$$\boldsymbol{K}_1 = [\boldsymbol{k}_1 \, | \boldsymbol{k}_2 | \dots | \, \boldsymbol{k}_u] \in \mathbb{R}^{d_0 \times u}, \quad \boldsymbol{V}_1 = [\boldsymbol{v}_1 \, | \boldsymbol{v}_2 | \dots | \, \boldsymbol{v}_u] \in \mathbb{R}^{d_1 \times u},$$

优化目标:
$$\Delta = \underset{\tilde{\Delta}}{\operatorname{arg\,min}} (\|(\boldsymbol{W} + \tilde{\boldsymbol{\Delta}})\boldsymbol{K}_1 - \boldsymbol{V}_1\|^2 + \|(\boldsymbol{W} + \tilde{\boldsymbol{\Delta}})\boldsymbol{K}_0 - \boldsymbol{V}_0\|^2).$$
 其中 $\boldsymbol{W}\boldsymbol{K}_0 = \boldsymbol{V}_0$ 表示模型的保留知识

闭式解:
$$\Delta = (\mathbf{V}_1 - \mathbf{W} \mathbf{K}_1) \mathbf{K}_1^T (\mathbf{K}_0 \mathbf{K}_0^T + \mathbf{K}_1 \mathbf{K}_1^T)^{-1}.$$
 (6)

Although K_0 is difficult to obtain directly since we hardly have access to the LLM's full extent of knowledge, it can be estimated using abundant text input (Meng et al., 2023). In practical applications, 100,000 (s,r,o) triplets from Wikipedia are typically randomly selected to encode K_0 (Meng et al., 2023), making K_0 a high-dimensional matrix with 100,000 columns ($i.e., K_0 \in \mathbb{R}^{d_0 \times 100,000}$). See Appendix B.1 for detailed implementation steps.

02 AlphaEdit



闭式解:
$$\Delta = (V_1 - WK_1)K_1^T (K_0K_0^T + K_1K_1^T)^{-1}$$
. (6)

如果 $(W + \Delta')K_0 = WK_0 = V_0$.

那么此次编辑不会影响保留知识——将 Δ 投影到 K_0 的零空间中

Following the existing methods for conducting null space projection (Wang et al., 2021), we first apply a Singular Value Decomposition (SVD) to $K_0(K_0)^T$:

$$\{U, \Lambda, (U)^T\} = \text{SVD}\left(K_0(K_0)^T\right),$$
 (8)

where each column in U is an eigenvector of $K_0(K_0)^T$. Then, we remove the eigenvectors in U that correspond to non-zero eigenvalues¹, and define the remaining submatrix as \hat{U} . Based on this, the projection matrix P can be defined as follows:

$$P = \hat{U}(\hat{U})^T. \tag{9}$$

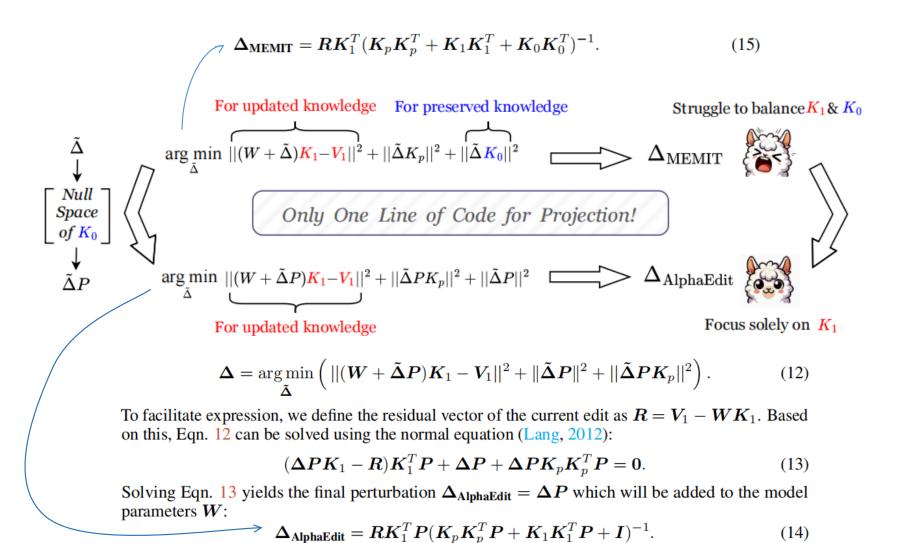
This projection matrix can map the column vectors of Δ into the null space of $K_0(K_0)^T$, as it satisfies the condition $\Delta P \cdot K_0(K_0)^T = 0$. The detailed derivation is exhibited in Appendix B.3.

Since K_0 and $K_0(K_0)^T$ share the same null space, we can derive $\Delta P \cdot K_0 = 0$. Hence, we have:

$$(W + \Delta P)K_0 = WK_0 = V_0.$$
 (10)

This shows the projection matrix P ensures that the model edits occur without interference with the preserved knowledge in LLMs.







总共2000个样本, batch size为100.

结果:好

Table 1: Comparison of AlphaEdit with existing methods on the sequential model editing task. *Eff.*, *Gen.*, *Spe.*, *Flu.* and *Consis.* denote Efficacy, Generalization, Specificity, Fluency and Consistency, respectively. The best results are highlighted in bold, while the second-best results are underlined.

Method	Model	Counterfact					ZsRE		
		Eff.↑	Gen.↑	Spe.↑	Flu.↑	Consis.↑	Eff.↑	Gen.↑	Spe.↑
Pre-edited		7.85±0.26	10.58±0.26	89.48±0.18	635.23±0.11	24.14±0.08	36.99±0.30	36.34±0.30	31.89±0.22
FT	LLaMA3	83.33±0.37	67.79±0.40	46.63±0.37	233.72±0.22	8.77±0.05	30.48±0.26	30.22±0.32	15.49±0.17
MEND		63.24±0.31	61.17±0.36	45.37 ± 0.38	372.16 ± 0.80	4.21±0.05	0.91±0.05	1.09 ± 0.05	$0.53_{\pm 0.02}$
InstructEdit		66.58±0.24	64.18±0.35	$47.14{\scriptstyle\pm0.37}$	443.85 ± 0.78	7.28 ± 0.04	1.58±0.04	1.36 ± 0.08	1.01 ± 0.05
ROME		64.40±0.41	61.42±0.42	49.44 ± 0.38	449.06 ± 0.26	3.31 ± 0.02	2.01±0.07	1.80±0.07	$0.69_{\pm 0.03}$
MEMIT		65.65±0.47	64.65 ± 0.42	51.56 ± 0.38	$437.43_{\pm 1.67}$	6.58 ± 0.11	34.62±0.36	31.28 ± 0.34	$18.49_{\pm 0.19}$
PRUNE		68.25±0.46	$64.75_{\pm 0.41}$	49.82 ± 0.36	$418.03{\scriptstyle\pm1.52}$	5.90±0.10	24.77 _{±0.27}	23.87 ± 0.27	$20.69_{\pm 0.23}$
RECT		66.05±0.47	63.62±0.43	61.41±0.37	526.62±0.44	20.54±0.09	86.05±0.23	80.54±0.27	31.67 ± 0.22
AlphaEdit		98.90±0.10	94.22 _{±0.19}	67.88±0.29	622.49 _{±0.16}	32.40±0.11	94.47 _{±0.13}	91.13±0.19	32.55±0.22
Pre-edited		16.22±031	18.56±0.45	83.11±0.13	621.81±0.67	29.74±0.51	26.32±037	25.79±0.25	27.42±0.53
FT	GPT-J	92.15±0.27	72.38±0.38	43.35±0.37	297.92±0.77	6.65±0.10	72.37±0.29	68.91±0.32	19.66±0.23
MEND		46.15±0.50	46.22±0.51	$53.90_{\pm 0.48}$	242.41±0.41	$3.94_{\pm 0.03}$	0.71±0.04	0.71±0.04	$0.52_{\pm 0.03}$
InstructEdit		50.62±0.58	51.73±0.42	56.28±0.50	$245.89_{\pm 0.44}$	4.21±0.04	0.92±0.07	0.88 ± 0.03	$0.65_{\pm 0.06}$
ROME		57.50±0.48	54.20±0.40	52.05±0.31	589.42 ± 0.08	3.22±0.02	56.42±0.42	54.65 ± 0.42	9.86±0.16
MEMIT		98.55±0.11	95.50±0.16	63.64±0.31	546.28 ± 0.88	34.89 ± 0.15	94.91±0.16	90.22 ± 0.23	$30.39{\scriptstyle\pm0.27}$
PRUNE		86.15±0.34	86.85±0.29	53.87±0.35	427.14±0.53	14.78±0.11	0.15±0.02	0.15 ± 0.02	0.00 ± 0.00
RECT		98.80±0.10	86.58±0.28	72.22 ± 0.28	617.31±0.19	41.39±0.12	96.38±0.14	91.21±0.21	$27.79_{\pm 0.26}$
AlphaEdit		99.75±0.08	$96.38 \scriptstyle{\pm 0.23}$	$75.48 \scriptstyle{\pm 0.21}$	618.50±0.17	42.08±0.15	99.79 _{±0.14}	$96.00{\scriptstyle\pm0.22}$	$28.29_{\pm 0.25}$
Pre-edited		22.23±0.73	24.34±0.62	78.53±0.33	626.64±0.31	31.88±0.20	22.19±0.24	31.30±0.27	24.15±0.32
FT	GPT2-XL	63.55±0.48	42.20±0.41	57.06±0.30	519.35±0.27	10.56±0.05	37.11±0.39	33.30±0.37	10.36±0.17
MEND		50.80±0.50	50.80±0.48	49.20±0.51	407.21±0.08	1.01 ± 0.00	0.00±0.00	0.00 ± 0.00	0.00 ± 0.00
InstructEdit		55.32±0.58	53.63±0.42	53.25 ± 0.62	$412.57{\scriptstyle \pm 0.15}$	1.08 ± 0.03	3.54±0.03	4.25 ± 0.02	$3.23_{\pm 0.04}$
ROME		54.60±0.48	51.18±0.40	52.68±0.33	$366.13_{\pm 1.40}$	0.72 ± 0.02	47.50±0.43	43.56 ± 0.42	$14.27_{\pm 0.19}$
MEMIT		94.70±0.22	85.82±0.28	$60.50{\scriptstyle\pm0.32}$	477.26±0.54	22.72±0.15	79.17±0.32	$71.44{\scriptstyle\pm0.36}$	$26.42{\scriptstyle\pm0.25}$
PRUNE		82.05±0.38	$78.55{\scriptstyle\pm0.34}$	53.02 ± 0.35	530.47±0.39	15.93±0.11	21.62±0.30	$19.27 {\scriptstyle \pm 0.28}$	$13.19_{\pm0.18}$
RECT		92.15±0.26	81.15±0.33	65.13±0.31	$480.83{\scriptstyle\pm0.62}$	21.05 ± 0.16	81.02±0.31	73.08 ± 0.35	24.85 ± 0.25
AlphaEdit		99.50±0.24	$93.95{\scriptstyle\pm0.34}$	$66.39 \scriptstyle{\pm 0.31}$	$597.88{\scriptstyle\pm0.18}$	$39.38 \scriptstyle{\pm 0.15}$	94.81±0.30	86.11±0.29	$\underline{25.88 \scriptstyle{\pm 0.21}}$

连续编辑,性能基 本不变

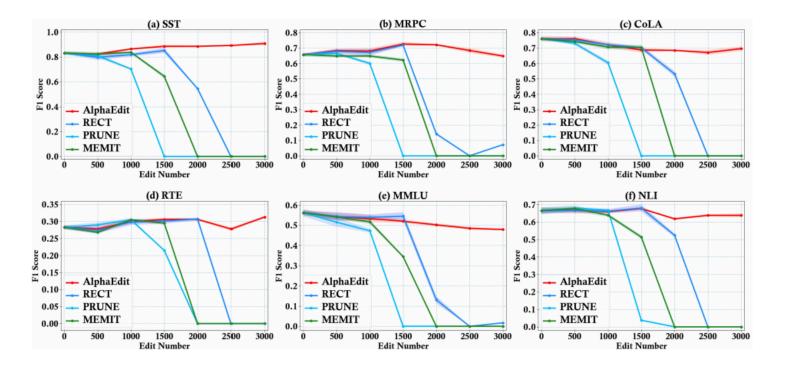
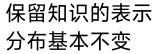


Figure 4: F1 scores of the post-edited LLaMA3 (8B) on six tasks (*i.e.*, SST, MRPC, CoLA, RTE, MMLU and NLI) used for general capability testing. Best viewed in color.





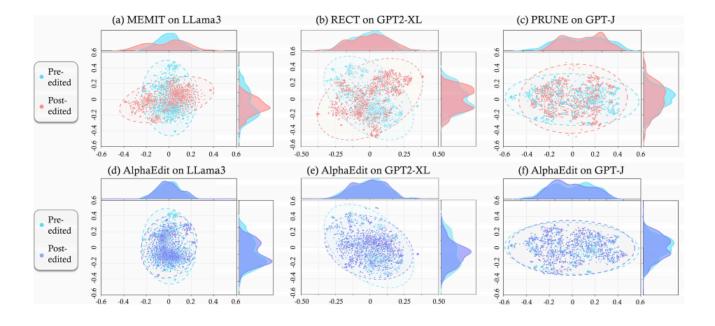


Figure 5: The distribution of hidden representations of pre-edited and post-edited LLMs after dimensionality reduction. The top and right curve graphs display the marginal distributions for two reduced dimensions, where AlphaEdit consistently exhibits minimal shift. Best viewed in color.