

## Fine-Tuning Language Models with Reward Learning on Policy



https://arxiv.org/pdf/2403.19279.pdf

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#### **Overview**

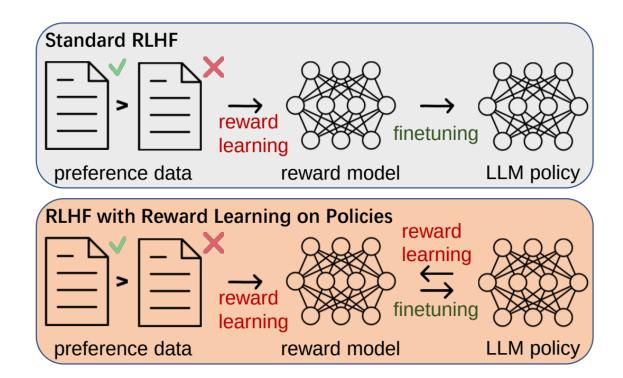


Figure 1: Comparison of standard RLHF (top) and RLHF with reward learning on policies (bottom). Different from (top), which performs reward learning and policy optimization serially, we iteratively train one of the two models with the help of the other.

### **RLHF**

- Human Preference Collecting
- Reward learning

$$\mathcal{L}_R = -\mathbb{E}_{(x, y_w, y_l) \sim \mathcal{D}}[\log \sigma(r_\phi(x, y_w) - r_\phi(x, y_l))],$$

• RL policy optimization

$$\mathbb{E}_{x \sim \mathcal{U}, y \sim \pi_{\theta}(y|x)}[r_{\phi}(x, y) - \beta \mathbb{D}_{\mathrm{KL}}(\pi_{\theta}(y|x) || \pi_{\mathrm{ref}}(y|x))],$$

## **Reward Learning on Policy**

$$P = \{(x, y) | x \in \mathcal{U}, y \sim \pi_{\theta}(y | x)\}$$
$$v_i = (x, y) | y \sim \pi_{\theta}(y | x)$$

Unsupervised Multi-view learning

$$\mathcal{L}_M = \mathbb{E}_{(x, \boldsymbol{y}) \sim \mathcal{P}}[-\mathbb{I}(z_1; z_2) + \mathbb{D}_{SKL}(p_{\psi}(z|v_1)||p_{\psi}(z|v_2))],$$

**Synthetic Preference Generation** 

$$\hat{D} = \left\{ (x, y_w, y_l) \mid (x, \mathbf{y}) \in P, \frac{|\hat{\mathbf{g}}|}{|\mathbf{y}|} > \gamma, y_w \sim \hat{\mathbf{g}}, y_l \sim \mathbf{y}/\hat{\mathbf{g}} \right\}$$

$$\mathcal{L}_{\hat{R}} = -\mathbb{E}_{(x, y_w, y_l) \sim \mathcal{D} \cup \hat{\mathcal{D}}} [\log \sigma(\hat{r}_{\phi}(x, y_w) - \hat{r}_{\phi}(x, y_l))] + \lambda \mathcal{L}_M,$$

## **Policy Retraining**

# **Algorithm 1:** RLP: RLHF with Reward Learning on Policy

**Input:** SFT model  $\pi^{\text{SFT}}$ , unlabeled data  $\mathcal{U}$ .

**Output:** A language model policy  $\hat{\pi}_{\theta}$ .

- 1 Collect a human preference dataset  $\mathcal{D}$ .
- <sup>2</sup> Train a reward model  $r_{\phi}$  using  $\mathcal{D}$ .
- 3 Fine-tune a language model  $\pi_{\theta}$  from  $\pi^{\text{SFT}}$  using  $\mathcal{U}$  and  $r_{\phi}$ .
- 4 Retrain a reward model  $\hat{r}_{\phi}$  using  $\mathcal{L}_{\hat{R}}$  (Eq. 1).
- 5 Fine-tune  $\hat{\pi}_{\theta}$  from  $\pi^{\text{SFT}}$  using  $\mathcal{U}$  and  $\hat{r}_{\phi}$ .

### **Proof**

Method	Alpacal Simulated Win-Rate		LLMBar Simulated Win-Rate	Vicuna Simulated Win-Rate
GPT-4	79.0	69.8	74.0	85.0
ChatGPT	61.4	52.9	59.0	63.7
PPO	46.8	55.1	47.5	57.5
Best-of- $n$	45.0	50.7	43.4	52.5
SFT	36.7	44.3	42.4	50.0
LLaMA-7B	11.3	6.5	12.5	12.8
RLP-UML (ours)	49.1	56.5	48.5	61.3
RLP-SPG (ours)	50.2	<b>57.4</b>	50.5	62.5

Table 2: The win-rate (%) performance of RLP and baselines. Win-rates are computed against reference model text-davinci-003. Baseline results in AlpacaFarm come from Dubois et al. (2024). Bold numbers are superior results among the implemented LLMs. We omitted LLMBar and Vicuna for human evaluation because the simulated method rankings consistently correlate with the human method rankings in AlpacaFarm.

## **Thanks**