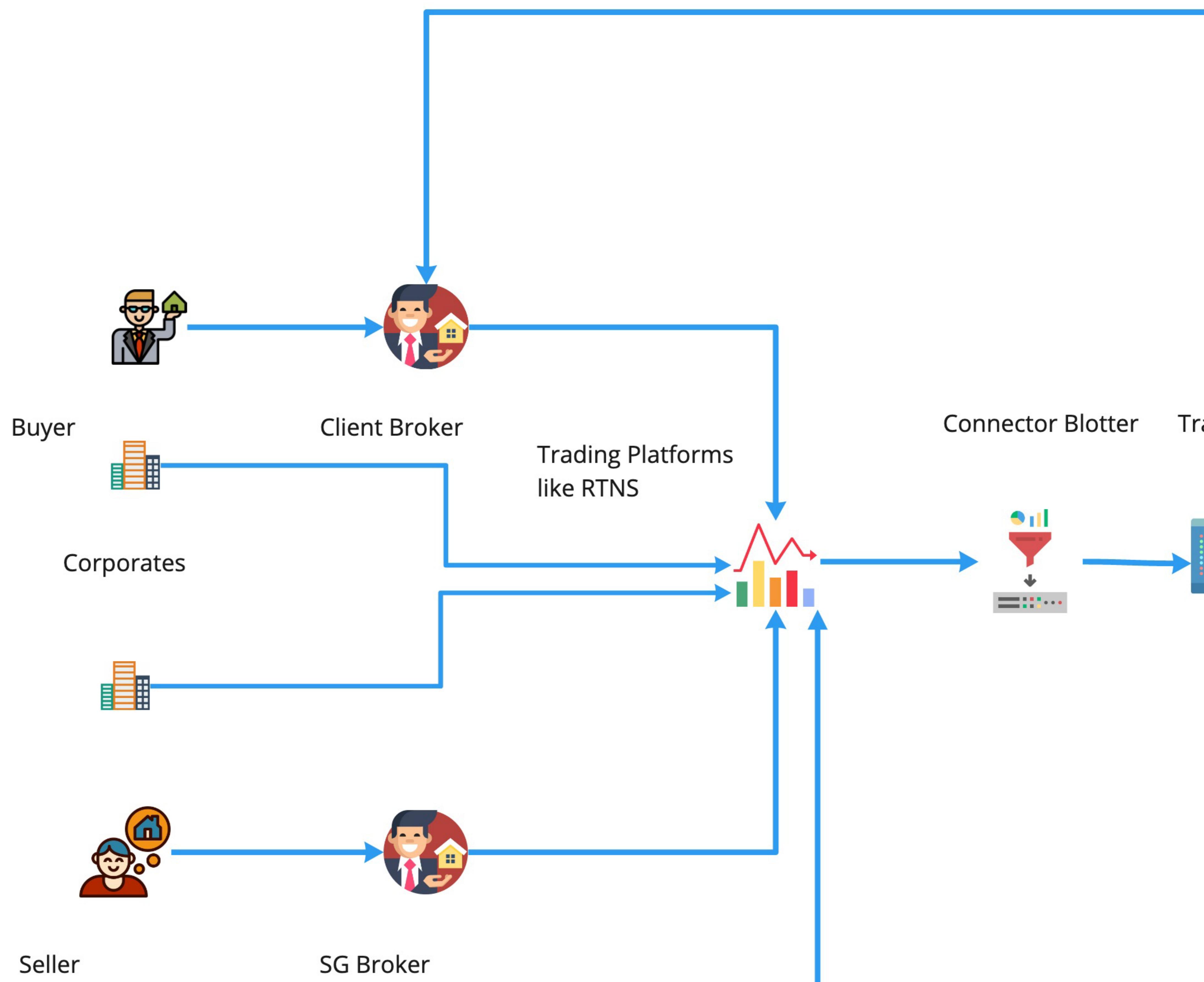


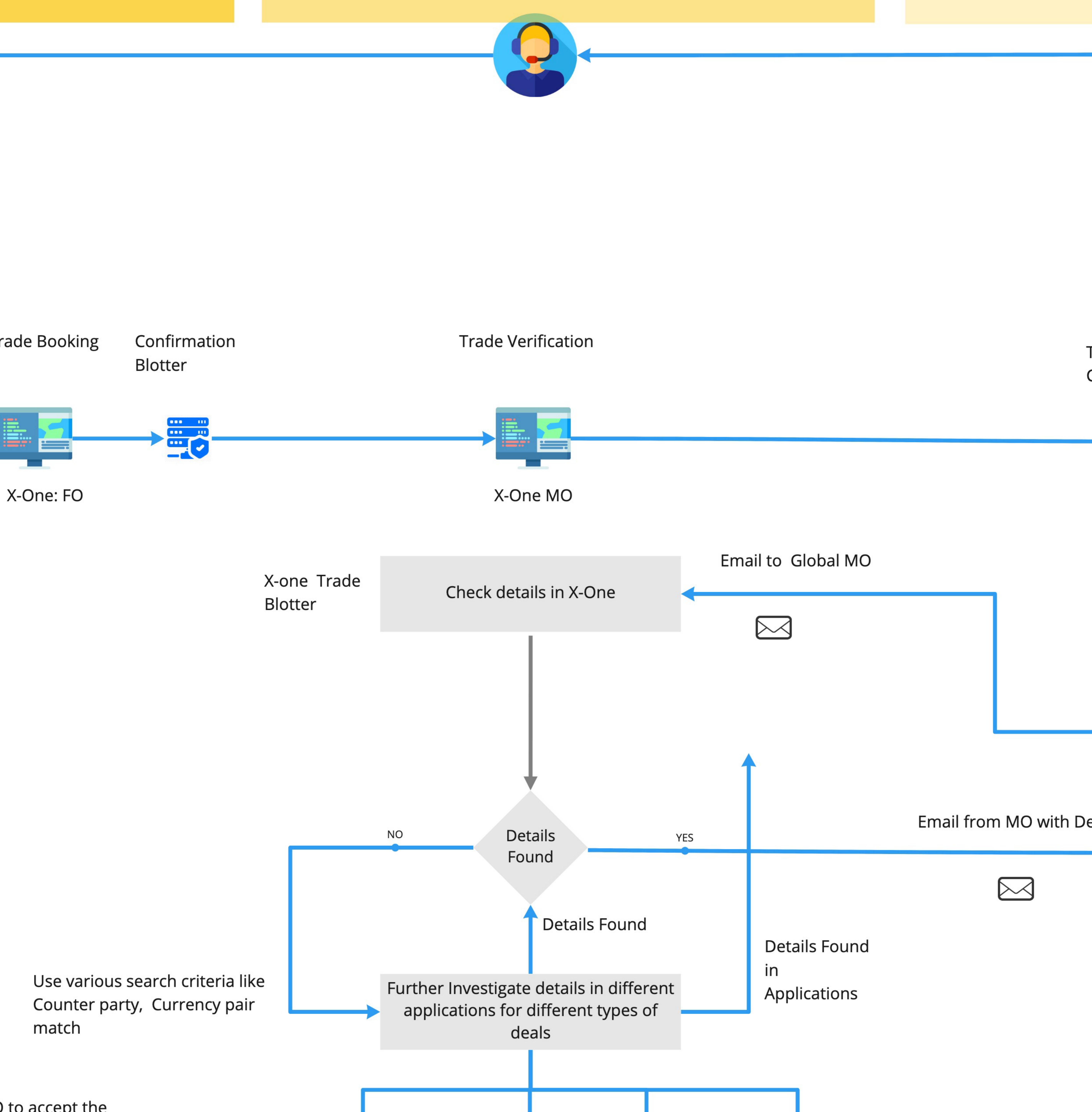
Process Mapping for FX Trades

Front Office/Sales

As-Is Process



Middle/TSU



Order Management System

Actions/Steps

1. Initiate a trade/order.
2. Chooses STP or Non STP for each step.

1. Receives the orders.
2. Records the received order ambiguity/ mistakes in pr
3. Collates the orders and se
4. Maintains the order reco
5. Communicates to the cli
- the order.
6. Sends an official contract
- trade.
7. Pre validation

Tools/Apps

RTNS, Reuters Conversations, X-One

Challenges in
Maximising client
relationship and
complaint
resolution

Handling trade
volume

Tackling new and
emerging risks

ade

RTNS

FX All

D2C

12-13 Other Applications

Risk Management System

settlement System

ders so that there is no processing.
send for execution.
ords against client ID.
ent about execution of
t note with details of the

1. Does various risk management check
2. Confirms the order by affirmation, confirmation and matching.

1. Continuous platforms
2. Monitor corr. Outlook
3. Resolve FX corr.
4. Raise and close information

X-One, RTNS, Other trade booking platform

High Volume and data management

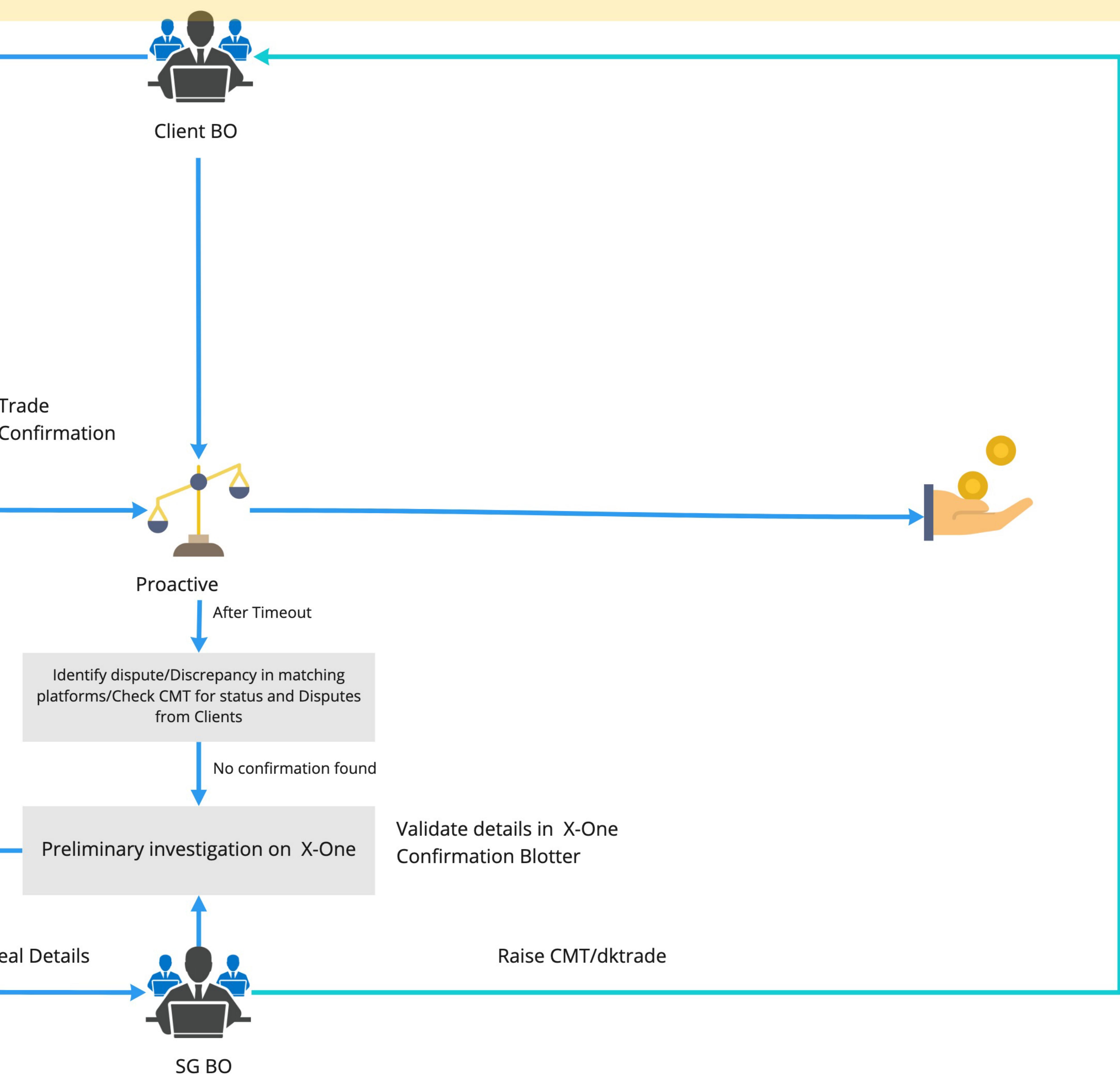
Lack of automation and intelligent workflow

Dependency for information on multiple sources

Legacy systems

Chasing different teams for more information

Back office/Support



ly Monitor the trades in matching communication platforms like CMT, disputes and Queries
hase back office for more n/action to resolve the query

1. Consults on non economic mismatches.

Matching application(Proactive, Sirocco, CLS, FXall), X-One, CMT

Dependency on MO/FO for responses

Minimum Response time for Information

Significant time is spent on specific type of queries.

Limitation in terms of accessibility