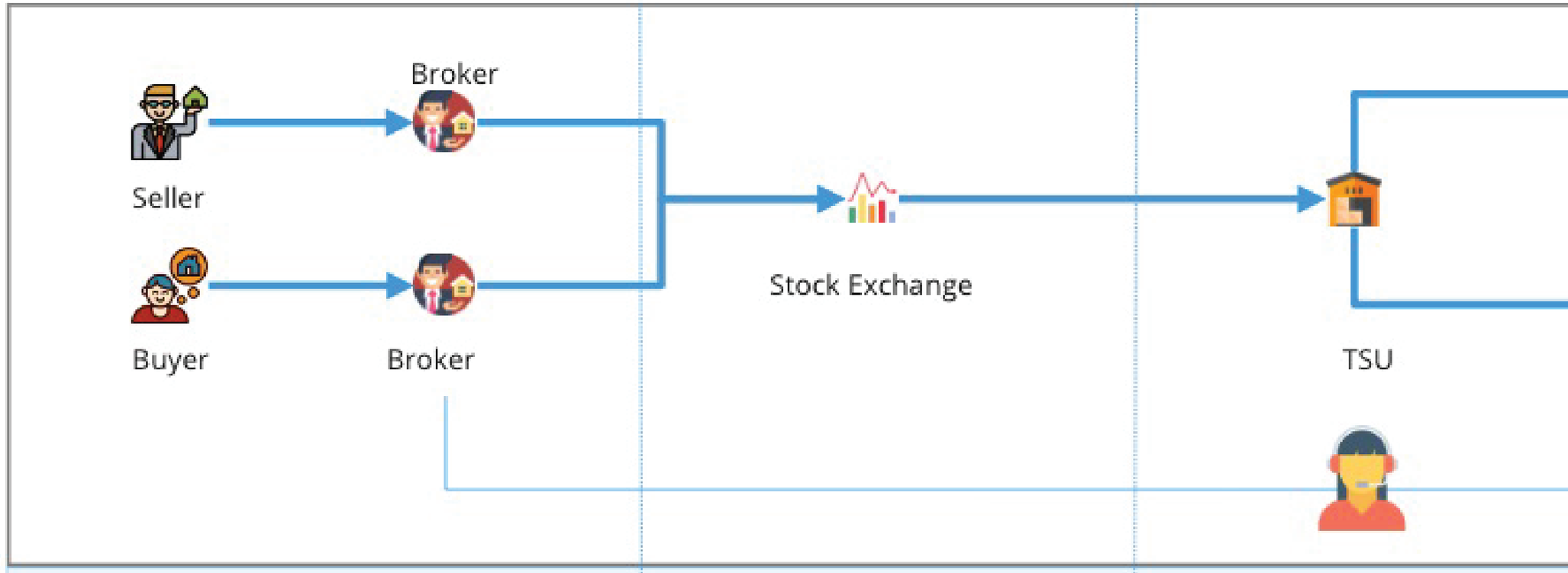
CMA Clearing DPH



Team	Front Desk, Client	NA	TSU Team, Support Desk

MFR

SGW

Nvision

Client Allocation Adaptor

NewClear

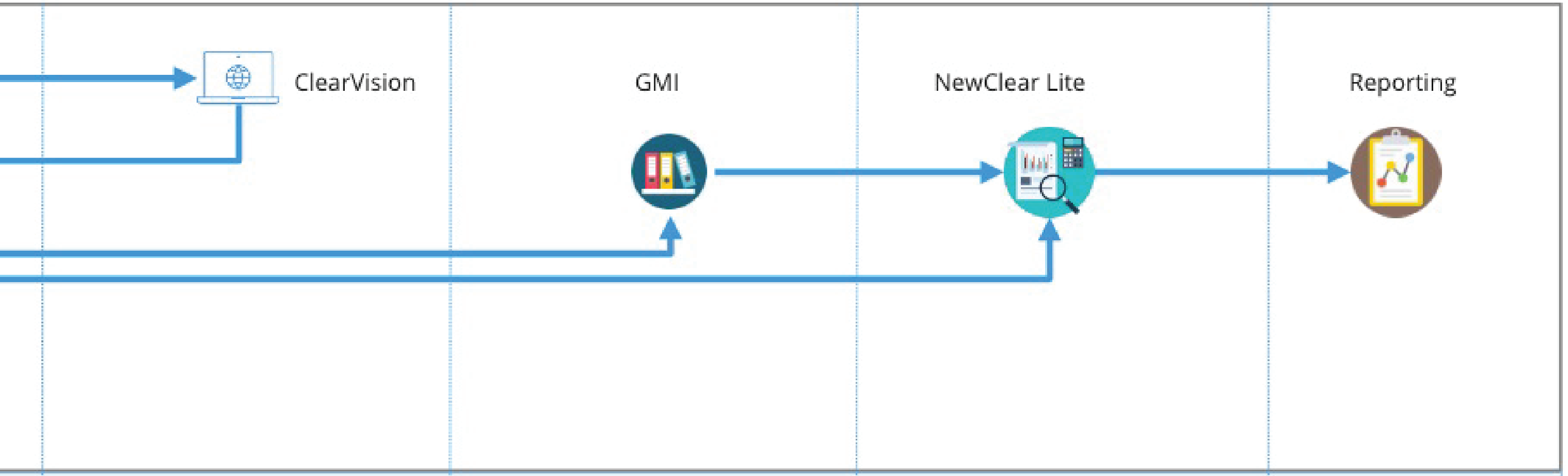
Nvision and ClearVision Support team

Clearing Team, Nvision Support team

Clearing Team, Nvision support-ITech

Clearing Team

Process Journey Map



Actions	Send allocations via FTP send allocations via email/phone calls	NA	• Chase clients for manual allocations • Get confirmation from Clients for allocations • monitor market cutoff
Challenges	time zone difference bulk allocations market cut off times multiple regions, multiple markets, multiple brokers	NA	• Time zone difference • no way to know if allocation sent by clients is accurate • approaching market cut offs • multiple clients, multiple trades, multiple markets , multiple regions
Opportunity	seamless channel for sending allocations	NA	reduce manual intervention spent on email.phone chasing ease out load of managing multiple entities, cut offs

• Provide support for development requirement • Investigate issues raised by production teams	• Design and Development for new clients on boarding • Getting client allocations in uniform format	• Ensuring top day clearing • Clearing of NVision Breaks • Chasing TSU for allocations • Ensuring entry of trades in GMI • Reconciliation (Exchange- Vs- GMI) • Trade break rectification initiation • creating static, accounts, rules	manually entering allocations received through email/phone Matching of market lines and ticket lines(FTP)
	Supporting various formats in which FTP files are received converting all files into a consumable format by NV	Investigating exception breaks in NV delays in clearing due to not receiving client allocation on time managing top day clearing before cut off managing mailbox managing communication with different stakeholders manually entering allocations received via email managing risk and errors related to that	No way to validate allocation sent until T+1
	unified format		manual intervention could b reduced for allocations via email/phone

s d	• ClearVision writes back to clearing house • Reallocation is possible only in Clear Vision • Actual allocation happens in ClearVision	NA	Check trade breaks	Generate different reports like client reporting GOLD, Spartacus
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