

NICTA Evacuation Planner: Actionable Evacuation Plans with Contraflows

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Abstract. Evacuations are a critical aspect of disaster management, and generally the first prevention measure to ensure the safety of the population under threat. Designing evacuation plans is a complex task that requires to take into account multiple factors in order to limit congestion and ensure that all evacuees reach safety in time. This paper proposes a conflict-based path-generation algorithm for evacuation planning and a web-based intelligent system targeted at local authorities and emergency services. The key contribution of this paper is to propose the first scalable approach to produce actionable evacuation plans that simultaneously schedules the evacuation and selects contraflow roads. The benefits of the approach are illustrated on two large-scale case studies. The resulting optimization model is integrated in NICTA EVACUATION PLANNER, a tool to model, plan, and simulate evacuations.

1 INTRODUCTION

Evacuation orders are arguably the most important decision enforced by local authorities to ensure the safety of a population under a major threat. Depending on the threat, the affected area can vary from a building (e.g., in the case of a fire), to a neighborhood (e.g., in the case of an industrial hazard) and a large regional area (e.g., in the case of a hurricane). In areas prone to natural disasters or in the vicinity of industrial hazards, evacuation plans are generally designed beforehand and enforced whenever a threat arises. However, this process generally relies on expert knowledge and is performed manually. A direct consequence is that local authorities cannot always react to unforeseen threats or to changing conditions during the execution of the evacuation. This stresses the need for intelligent evacuation planning systems that embody the practice in the field and take advantage of state-of-the-art artificial intelligence and operations research techniques to produce high-quality plans in real time.

The critical challenge for evacuation planning is how to deal with congestion since the capacity of the road network is the main limitation for regional evacuations using personal vehicles. Congestion can rapidly propagate in the network, preventing people from evacuating and increasing the risk for evacuees of being trapped in the affected areas.

A first strategy to reduce congestion is to prepare evacuation plans minimizing the conflicts between evacuated areas. The key is to choose evacuation paths and to stage the evacuation to distribute the pressure on the road network evenly. The authors addressed this challenge for large scale evacuation plans with a Conflict-Based Path-Generation Heuristic (CPG) [14].

A second strategy aims at increasing the capacity of the road network by reversing the direction of certain lanes on major roads. This procedure, known as contraflows [12], is implemented on a regular basis for large-scale evacuations such as the evacuation of New Orleans in preparation for hurricane Katrina in 2005. Contraflows can virtually double the capacity of the selected roads without affecting traffic safety [12]. However, contraflows also increase the complexity of evacuation planning by adding a new degree of freedom: Selecting roads that will be used in contraflows is \mathcal{NP} -Hard [8]. Additionally, contraflows have an operational cost as they require specific traffic control measures.

This work combines these two strategies. It proposes the first scalable and comprehensive approach to simultaneously design an evacuation plan and decide which roads should be used in contraflows. The experimental results show that it is computationally possible to plan effective, large-scale evacuations with contraflows for threat scenarios involving up to 1,000,000 people. The approach can also be adapted to other contexts such as building or pedestrian evacuations.

The remainder of this paper is organized as follows: Section 2 formally defines the evacuation planning problem; Section 3 briefly reviews the literature on evacuation planning and contraflows; Section 4 details the proposed approach and Section 5 presents a web-based intelligent evacuation planning system; Section 6 presents a computational results on two case studies. Finally, Section 7 draws conclusions and directions for future research.

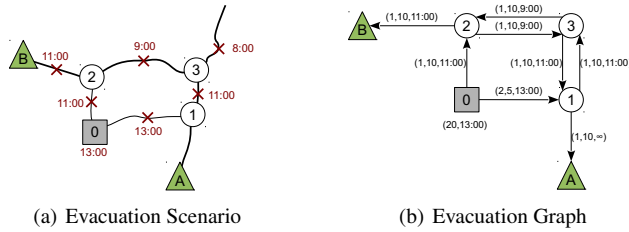
2 Problem Formulation

Figure 1 illustrates an instance of the Evacuation Planning Problem (EPP) as introduced by the authors in previous work [14]. Figure 1(a) presents an evacuation scenario with one evacuated node (0) and two safe nodes (A and B). In this example, the evacuated node 0 has to be evacuated by 13:00, considering that certain links become unavailable at different times (for instance, (2, 3) is cut at 9:00). This evacuation scenario can be represented by the graph $\mathcal{G} = (\mathcal{N} = \mathcal{E} \cup \mathcal{T} \cup \mathcal{S}, \mathcal{A})$ of Figure 1(b), where \mathcal{E} , \mathcal{T} , and \mathcal{S} are the set of evacuated, transit, and safe nodes respectively, and \mathcal{A} is the set of directed edges. Each evacuated node i is characterized by a number of evacuees d_i and an evacuation deadline f_i (e.g., 20 and 13:00 for node 0 respectively), while each edge e is associated with a triple (s_e, u_e, f_e) , where s_e is the travel time, u_e is the capacity, and f_e is the time at which the edge becomes unavailable. Finally, we note $\mathcal{A}_c \subseteq \mathcal{A}$ the subset of edges that can be used in contraflow. In practice, \mathcal{A}_c will contain major roads and one-way streets.

A common way to deal with the space-time aspects of evacuation problems is to discretize the planning horizon into time steps of identical length, and to work on a *time-expanded graph*, as illustrated in Figure 2. This graph $\mathcal{G}^d = (\mathcal{N}^d = \mathcal{E}^d \cup \mathcal{T}^d \cup \mathcal{S}^d, \mathcal{A}^d)$ is constructed

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approach that decides the lane configuration at each intersection to avoid crossings and maximize flow. However, the method does not produce evacuation plans for each evacuated zone.

Contrary to existing approaches, the algorithm in this paper is the first to combine *actionable evacuation plans*, which provide an evacuation path and departure time to each evacuee, *evacuation staging*, which distributes the load on the network over time, and *contraflow selection* for roads. In addition, the optimization models are integrated in the NICTA EVACUATION PLANNER, a web-based intelligent system for evacuation. The result is an integrated tool that provides a wide array of functionalities to evacuation planners and produces high-quality evacuation plans.

4 Proposed Approaches

This paper proposes a Conflict-Based Heuristic Path Generation approach (CPG) that separates the generation of evacuation paths from the scheduling of the evacuation. Algorithm 1 gives an overview of the CPG approach. First, the algorithm generates an initial set of paths Ω' (line 1) and solves a master scheduling problem to find an evacuation schedule optimizing the objective function (line 2). Then it identifies *critical* evacuated nodes \mathcal{E}_c (line 4), which are not fully evacuated or evacuated late, and includes nodes that are potentially in conflict. In the next step, the algorithm generates new paths for the nodes in \mathcal{E}_c (line 5). Finally, it solves the scheduling problem including the newly generated paths (line 6). The steps (4-6) are repeated until a stopping criterion is met (line 3).

Algorithm 1 The Conflict-Based Path Generation.

HN-Input: \mathcal{G} the evacuation graph, \mathcal{G}^d the time-expanded graph.

Output: \mathcal{S} the best solution found

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1:  $\Omega' \leftarrow \text{generatePaths}(\mathcal{G}, \emptyset, \mathcal{E}, \emptyset)$ 
2:  $\mathcal{S} \leftarrow \text{scheduleEvacuation}(\Omega', \mathcal{G}, \mathcal{G}^d)$ 
3: while stopping criterion not met do
4:    $\mathcal{E}_c \leftarrow \text{findCriticalEvacuatedNodes}(\mathcal{S})$ 
5:    $\Omega' \leftarrow \Omega' \cup \text{generatePaths}(\mathcal{G}, \Omega', \mathcal{E}_c, \mathcal{S})$ 
6:    $\mathcal{S} \leftarrow \text{scheduleEvacuation}(\Omega', \mathcal{G}, \mathcal{G}^d)$ 
7: end while
8: return  $\mathcal{S}$ 

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Both the original (CPG) and contraflow (CPC-CF) approaches rely on the structure described in Algorithm 1. They share the same path generation procedure, but have different master scheduling problems, referred to as CPG-MP and CPG-CF-MP respectively.

4.1 Path Generation

The Path Generation (PG) algorithm aims at generating evacuation paths that improve the solution of the master problem MP. As explained in the next section, the structure of the MP does not allow for traditional column generation approaches. Therefore, the algorithm exploits a randomized heuristic that uses costs derived from the incumbent solution to the MP in order to generate new paths.

The approach finds, for each node from \mathcal{E}_c , the shortest path to any safe node in the evacuation graph \mathcal{G} . In other words, it transforms a multi-commodity flow problem in the time-expanded graph into a series of shortest paths in the evacuation graph, relaxing the edge-capacity constraints in the form of a penalty in the objective function. More specifically, the cost c_e of edge e is adjusted at each iteration using the linear combination of the edge travel time s_e , the number

of occurrences of e in the current set of paths Ω' , and the utilization of e in the incumbent solution:

$$c_e = \alpha_t \frac{s_e}{\max_{e \in \mathcal{E}} s_e} + \alpha_c \frac{\sum_{p \in \Omega'} \frac{1}{e \in p}}{|\Omega'|} + \alpha_u \frac{\sum_{p \in \Omega'} \sum_{t \in \mathcal{H}_p} \varphi_p^t}{u_e} \quad (1)$$

where α_t , α_c , and α_u are positive weights summing to 1.

4.2 CPG-MP

The master problem of the original algorithm (CPG-MP) is responsible for the assignment of evacuation paths to evacuated nodes, and the scheduling of the evacuation over the horizon.

Let Ω be a set of feasible paths between evacuated nodes and safe nodes, and Ω_k the subset of evacuation paths for evacuated node k . We define a binary variable x_p which takes the value of 1 if and only if path $p \in \Omega$ is selected, a continuous variable φ_p^t representing the number of evacuees that leave on path p at time t , and a continuous variable φ_k accounting for the number of non-evacuated evacuees in node k . In addition, we denote by $\omega(e)$ the subset of paths that contain edge e , and by τ_p^e the number of time steps required to reach edge e when following path p . Finally, we note $\mathcal{H}_p \subseteq \mathcal{H}$ the subset of time steps in which path p is usable, and u_p the capacity of path p , defined as the maximum flow on path p over \mathcal{H} .

$$\min \sum_{k \in \mathcal{E}} \varphi_k c_e + \sum_{p \in \Omega} \sum_{t \in \mathcal{H}_p} \varphi_p^t c_p^t \quad (2)$$

$$\text{s.t.} \quad \sum_{p \in \Omega_k} x_p = 1 \quad \forall k \in \mathcal{E} \quad (3)$$

$$\sum_{p \in \Omega_k} \sum_{t \in \mathcal{H}_p} \varphi_p^t + \varphi_k = d_k \quad \forall k \in \mathcal{E} \quad (4)$$

$$\sum_{\substack{p \in \omega(e) \\ t - \tau_p^e \in \mathcal{H}_p}} \varphi_p^{t - \tau_p^e} \leq u_e \quad \forall e \in \mathcal{A}, t \in \mathcal{H} \quad (5)$$

$$\sum_{t \in \mathcal{H}_p} \varphi_p^t \leq |\mathcal{H}_p| x_p u_p \quad \forall p \in \Omega \quad (6)$$

$$\varphi_p^t \geq 0 \quad \forall p \in \Omega, t \in \mathcal{H}_p \quad (7)$$

$$\varphi_k \geq 0 \quad \forall k \in \mathcal{E} \quad (8)$$

$$x_p \in \{0, 1\} \quad \forall p \in \Omega \quad (9)$$

Model (2-9) presents the master scheduling problem CPG-MP. The objective (2) minimizes the cost of the solution as defined previously. Constraints (3) ensure that exactly one path is selected for each evacuated node, while constraints (4) account for the number of evacuated and non-evacuated evacuees. Constraints (5) enforce the capacity on the edges of the graph. Finally, constraints (6) ensure that there is no flow on paths that are not selected.

Figure 3 highlights a specificity of the MP model: each new path p^* adds a binary variable x_{p^*} , a set of continuous variables $\varphi_{p^*}^t$, and a set of constraints (6). For this reason, traditional column generation approaches cannot be used to generate new paths. It is worth noting that the master problem does not use a variable for each edge e and time step t , but relies on variables φ_p^t . This greatly reduces the number of variables, and changes the structure of the MP.

4.3 CPG-CF-MP

Like in CPG-MP, the contraflow master scheduling Problem (CPG-CF-MP) assigns paths to evacuated nodes, and then schedules the

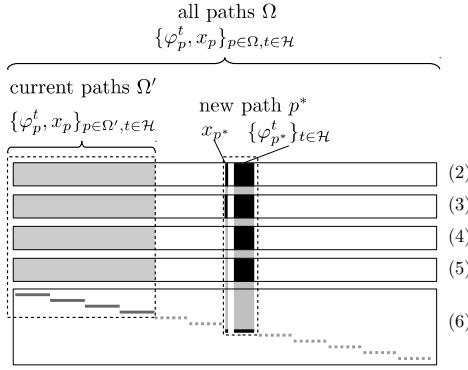


Figure 3. The structure of the MP model.

evacuation. However, the two models differ in the way the edge capacity is modeled.

Considering that the evacuation graph is directed and contains at most one edge between two nodes in each direction, we define \bar{e} as the unique edge going in the opposite direction of edge $e \in \mathcal{A}_c$. In addition, we define an arbitrary partition $\mathcal{A}_c = \{\hat{\mathcal{A}}_c | \check{\mathcal{A}}_c\}$ such that $\forall e \in \hat{\mathcal{A}}_c, \bar{e} \in \check{\mathcal{A}}_c$. In order to control the contraflows, we create a binary variable x_e for edge $e \in \mathcal{A}_c$ equal to 1 if edge e is used in its normal direction. With this definition, there are three possible configuration $(x_e, x_{\bar{e}})$ for a road segment (e, \bar{e}) : (1, 1) if both edges are used in their normal direction, (1, 0) if edge \bar{e} is in contraflow, (0, 1) if edge e is in contraflow.

$$\min \sum_{k \in \mathcal{E}} \varphi_k c_{ne} + \sum_{p \in \Omega} \sum_{t \in \mathcal{H}_p} \varphi_p^t c_p^t \quad (10)$$

s.t.

$$\sum_{p \in \Omega_k} x_p = 1 \quad \forall k \in \mathcal{E} \quad (11)$$

$$\sum_{p \in \Omega_k} \sum_{t \in \mathcal{H}_p} \varphi_p^t + \varphi_k = d_k \quad \forall k \in \mathcal{E} \quad (12)$$

$$\sum_{\substack{p \in \omega(e) \\ t - \tau_p^e \in \mathcal{H}_p}} \varphi_p^{t - \tau_p^e} \leq u_e \quad \forall e \in \mathcal{A} \setminus \mathcal{A}_c, t \in \mathcal{H} \quad (13)$$

$$\sum_{t \in \mathcal{H}_p} \varphi_p^t \leq |\mathcal{H}_p| x_p u_p \quad \forall p \in \Omega \quad (14)$$

$$\sum_{\substack{p \in \omega(e) \\ t - \tau_p^e \in \mathcal{H}_p}} \varphi_p^{t - \tau_p^e} \leq x_e u_e + (1 - x_{\bar{e}}) u_{\bar{e}} \quad e \in \mathcal{A}_c, t \in \mathcal{H} \quad (15)$$

$$x_e + x_{\bar{e}} \geq 1 \quad \forall e \in \hat{\mathcal{A}}_c \quad (16)$$

$$x_e \geq x_p \quad \forall e \in \mathcal{A}_c, \forall p \in \omega(e) \quad (17)$$

$$x_p \in \{0, 1\}, \varphi_p^t, \varphi_k \geq 0 \quad \forall p \in \Omega, k \in \mathcal{E}, t \in \mathcal{H}_p \quad (18)$$

Model (10-18) details the contraflow master scheduling problem CPG-CF-MP. Constraints (11-13) are identical to (3-5) in CPG-MP. Constraints (15) enforce the capacity on edges that allow contraflow. They allocate to e the capacity of edge \bar{e} whenever \bar{e} is used in contraflow, and forbid any flow on e when it is used in contraflow.

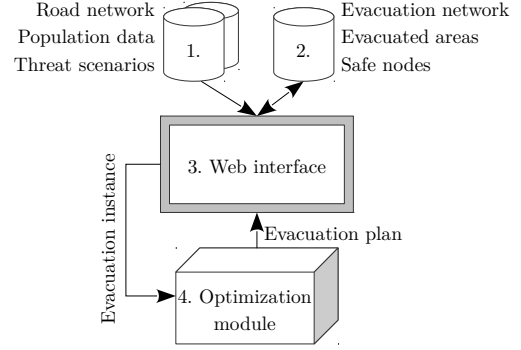


Figure 4. The Web Interface of the NICTA Evacuation Planner.

Constraints (16) prohibit the simultaneous use of e and \bar{e} in contraflow. Finally constraints (17) force the use of the edges in any selected path.

In this model, the contraflows and their directions remain the same for the entire evacuation. In theory, changing the sense of contraflows could be useful when evacuating an area threatened by a disaster with unpredictable expansion. Bushfires, for instance, are highly dependent on wind conditions and a variation in wind direction may change the direction of the fire without notice. In practice though, contraflows require significant coordination efforts and it does not appear desirable to change the direction of lanes over time.

The set of edges allowing contraflow \mathcal{A}_c is decided prior to computation. Based on the consideration that authorities in charge of the evacuation can monitor contraflow lane reversals only on a few portions of roads, we decided to allow contraflow on the road sections that are the most likely to make the evacuation quicker. Those roads are usually main roads such as highways and freeways with a great capacity and a shorter travel time. Beyond the fact that it is not practical to implement contraflow on every road section due to a limited number of resources, the benefit of using contraflows on secondary roads is rather limited as they have a lower contribution to the network outflow capacity. In fact, the major roads are usually the bottlenecks as they collect traffic from a number of evacuated areas to specific safe zones.

5 NICTA Evacuation Planner

Figure 4 presents an overview of the NICTA EVACUATION PLANNER, an intelligent system for integrated evacuation planning. In a nutshell, the tool pulls information from raw databases (1) containing the detailed road network (e.g., via Open Street Maps), population census, threat scenarios, and preprocesses the data to display it to the planners via the web interface (3). Planners are able to manipulate the data and define the evacuation network (which can be a simplified or reduced version of the road network). Planners can then specify the areas that need to be evacuated, as well as the safe areas and shelters. This information is saved in a database (2) and can be combined to produce an evacuation instance which is then used as input to the evacuation optimization module (4). The resulting evacuation plans are then presented to the planner who can then iterate the process, refining the selection of residential zones, evacuation roads, contraflow edges, and threat scenarios.

The web interface presented in Figure 5 allows planners to work with multiple scenarios simultaneously. The left panel presents the

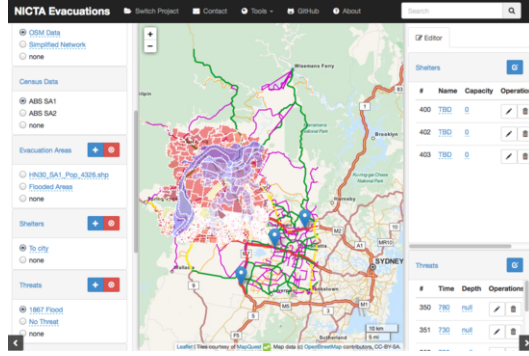


Figure 5. The NICTA Evacuation Planner web interface.

different layers (e.g., road network, population, evacuated areas), while the right panel provides editing functionalities.

6 Computational Experiments

This section considers two case studies to assess the quality and performance of our algorithms. The first is the Hawkesbury-Nepean (HN) floodplain, located North-West of Sydney, for which a 1-in-200 years flood would require the evacuation of 70,000 persons (approximately 38,000 vehicles). The evacuation graph contains 80 evacuated nodes, 6 safe nodes, 191 transit nodes, and 604 edges. The algorithms consider a time horizon of 10 hours with a time step of 5 minutes (starting at 00h00). The HN-Ix instances share the same evacuation graph but the number of evacuees is scaled by a factor of $x \in [1.1, 3.0]$. The second is the New Orleans Metropolitan Area (NO), which is threatened by hurricanes of category 2 or more every 3 years on average. Stronger hurricanes such as Katrina in 2005 require the evacuation of more than 1 million people (approximately 400,000 vehicles). The evacuation graph in this case study contains 323 evacuated nodes, 5 safe nodes, 1493 transit nodes, and 3606 edges. The algorithms consider a time horizon of 72 hours divided in time steps of 20 minutes.

All approaches were implemented using Java 7 and GUROBI 5.5. Experiments were conducted on a cluster of 64bits machines with 2.8GHz AMD 6-Core Opteron 4184 and 16Gb of RAM. The path generation method relies on Dijkstra's algorithm to compute the shortest paths. Both CPG and CPG-CF have a limit of 10 iterations.

Table 1 presents the number of paths generated (Num. Paths), the numbers of variables (Num. Cols) and constraints (Num. Rows) of the MP model, the total computational time (CPU Time), the percentage of evacuees reaching safety (Perc. Evac.), and the time required to complete the evacuation (Evac. Time) for CPG and CPG-CF on the HN-Ix instances. Results are an average over 10 runs given the randomized nature of the algorithms. The results illustrate the benefits of using contraflows both in terms of the percentage of people evacuated and the total evacuation time. It only takes 5 hours and 34 minutes to evacuate the population for the whole Hawkesbury-Nepean region with CPG-CF compared to a total evacuation time of 8 hours for CPG. In addition, CPG-CF is able to evacuate 91% of vehicles in the HN region in 10 hours when the population is increased by 200%, whereas CPG only evacuates 72% of the vehicles. The total number of paths generated is consistent across instances, with an average of 1,066 paths, which correspond to 100 paths per iteration. The variance is explained by the generation of duplicate

paths that are discarded. The results also indicate that the numbers of columns and rows vary with the instance. This is due to the fact that we use the incumbent solution to discard variables that would lead to a longer evacuation time. Therefore the size of the MP decreases with the evacuation time in the incumbent solution. The CPG-CF model consistently evacuates more persons in less time, which in turns translate into fewer columns and rows in the model, and average computational times lower than CPG, despite a larger number of binary variables a priori.

Solver	Instance	Num. Paths	Num. Cols (10 ³)	Num. Rows (10 ³)	CPU Time (min)	Perc. Evac.	Evac. Time
CPG	HN	1014	78	54	15	100%	08h05
	HN-I1.1	1050	88	54	19	100%	08h45
	HN-I1.2	1090	101	54	18	100%	09h25
	HN-I1.4	735	73	54	6	99%	10h00
	HN-I1.7	1160	116	54	30	97%	10h00
	HN-I2.0	983	99	54	30	91%	10h00
	HN-I2.5	1046	106	54	36	80%	10h00
	HN-I3.0	958	96	54	32	72%	10h00
CPG-CF	HN	1125	47	74	6	100%	05h34
	HN-I1.1	1183	56	75	10	100%	06h00
	HN-I1.2	1248	62	76	12	100%	06h14
	HN-I1.4	1055	68	72	15	100%	07h17
	HN-I1.7	1132	85	74	22	100%	08h19
	HN-I2.0	991	93	71	22	100%	09h46
	HN-I2.5	1185	112	74	24	96%	10h00
	HN-I3.0	1110	107	73	32	91%	10h00

Table 1. Experimental Results on HN-I Instances.

Figure 6 compares the convergence of the CPG and CPG-CF approaches measured as the percentage of evacuees in the incumbent solution over time for the 8 instances and 10 runs. Interestingly, it appears that for instances HN to HN-I1.4 (CPG) and HN-I-2.0 (CPG-CF), the first solution already evacuates as many evacuees as in the final solution. As expected, CPG-CF shows a steeper convergence. It is worth noting that a similar analysis for the evacuation duration shows that CPG stagnates at the initial value, while CPG-CF finds gradually improving solutions.

Table 2 presents the same statistics for the New Orleans case study. Values reported are either the average over the 10 runs (avg.) or the value of the solution with the lowest evacuation time (best). The results confirms the observations made for HN: in the New Orleans case, the evacuation takes on average 37% less time when allowing contraflows. CPG-CF is able to evacuate the whole Greater New Orleans region in less than 40 hours. On the other hand, CPG can only complete the evacuation in about 60 hours, much more than the 48 hours available for the Katrina evacuation. As a result, about 80,000 vehicles would be left behind.

Solver		Num. Paths	Num. Cols (10 ³)	Num. Rows (10 ³)	CPU Time (min)	Perc. Evac.	Evac. Time
CPG	avg.	3741	567	712	131	100%	60h48
	best	3398	506	712	92	100%	59h40
CPG-CF72	avg.	3818	355	872	152	100%	39h53
	best	3938	361	880	153	100%	37h00

Table 2. Experimental Results on the New Orleans Instance.

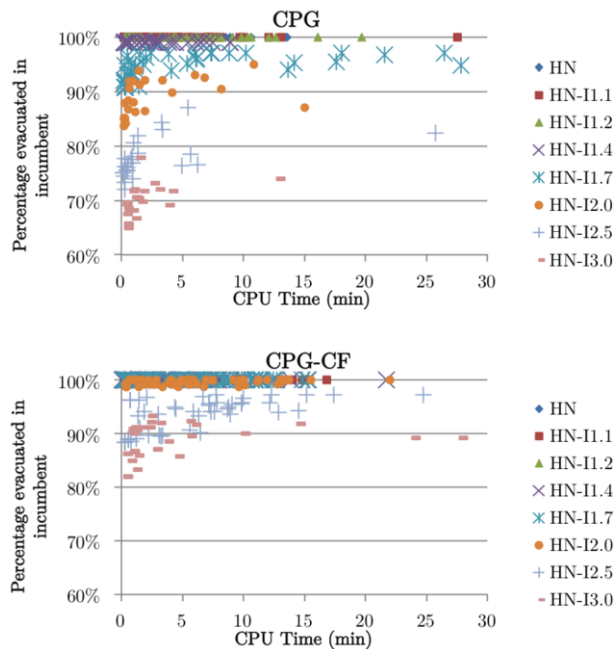


Figure 6. Solution quality vs. CPU Time for CPG and CPG-CF.

7 Conclusion

This paper proposed a conflict-based path-generation heuristic for evacuation planning with contraflows (CPG-CF). The approach borrows concepts from column generation and decomposes the evacuation planning problem with contraflows (EPP-CF) in a master problem and a path generation subproblem. While the subproblem generates diverse evacuation paths, the master problem assigns paths to evacuated areas and schedules the evacuation. To the best of our knowledge, CPG-CF is the first approach to consider the design of evacuation plans and the selection of contraflow roads jointly. The CPG output is a high-quality evacuation plan operating at a fine granularity: It includes a route and an evacuation schedule for each evacuated area at each time step. The CPG-CF model further enhances this plan with contraflow decisions for a selected set of roads.

The CPG and CPG-CF models were applied to the planning of large-scale evacuations involving from 70,000 to 1,000,000 people. The experimental results demonstrated the significant benefits of contraflows which improve the evacuation time by up to 37% in both the New Orleans and Hawkesbury Nepean evacuations. The evacuation plans were computed in reasonable time when compared to the evacuation horizon.

Finally, the paper presented a web-based intelligent system that allows local authorities and emergency services to model, plan, and simulate evacuations, enabling rapid evacuation planning and what-if scenario analysis.

Future work will focus on refining the modeling of the flow of evacuees entering and leaving contraflow roads. In particular, we are interested in assigning evacuation areas to different lanes depending on the side of the road from which they enter the contraflow. In addition, practical evidence suggests that diverging flows can lead to confusion among evacuees and create additional congestion. We are currently developing models that attempt to address these two issues, while retaining the benefits of contraflow.

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