

# Scheduling RECAP: Complexity Theory

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# Recap: Complexity Theory

- mathematical framework to study the difficulty of algorithmic problems

## Notations/Definitions

- problem: generic description of a problem (e.g.  $1 \parallel \sum C_j$ )
- instance of a problem: given set of numerical data (e.g.  $n, p_1, \dots, p_n$ )
- size of an instance  $I$ : length of the string necessary to specify the data (Notation:  $|I|$ )
  - binary encoding:  $|I| = n + \log(p_1) + \dots + \log(p_n)$
  - unary encoding:  $|I| = n + p_1 + \dots + p_n$

## Notations/Definitions

- efficiency of an algorithm: upper bound on number of steps depending on the size of the instance (worst case consideration)
- big O-notation: for an  $O(f(n))$  algorithm a constant  $c > 0$  and an integer  $n_0$  exist, such that for an instance  $I$  with size  $n = |I|$  and  $n \geq n_0$  the number of steps is bounded by  $cf(n)$   
Example:  $7n^3 + 230n + 10 \log(n)$  is  $O(n^3)$
- (pseud)polynomial algorithm:  $O(p(|I|))$  algorithm, where  $p$  is a polynomial and  $I$  is coded binary (unary)  
Example: an  $O(n \log(\sum p_j))$  algorithm is a polynomial algorithm and an  $O(n \sum p_j)$  algorithm is a pseudopolynomial algorithm

## Classes $\mathcal{P}$ and $\mathcal{NP}$

- a problem is (pseudo)polynomial solvable if a (pseudo)polynomial algorithm exists which solves the problem
- Class  $\mathcal{P}$ : contains all decision problems which are polynomial solvable
- Class  $\mathcal{NP}$ : contains all decision problems for which - given an 'yes' instance - the correct answer, given a proper clue, can be verified by a polynomial algorithm

Remark: each optimization problem has a corresponding decision problem by introducing a threshold for the objective value (does a schedule exist with objective smaller  $k$ ?)

## Polynomial reduction

- a decision problem  $P$  polynomially reduces to a problem  $Q$ , if a polynomial function  $g$  exists that transforms instances of  $P$  to instances of  $Q$  such that  $I$  is a 'yes' instance of  $P$  if and only if  $g(I)$  is a 'yes' instance of  $Q$

Notation:  $P \leq Q$

## NP-complete

- a decision problem  $P \in \mathcal{NP}$  is called NP-complete if all problems from the class  $\mathcal{NP}$  polynomially reduce to  $P$
- an optimization problem is called NP-hard if the corresponding decision problem is NP-complete

## Examples of NP-complete problems:

- SATISFIABILITY: decision problem in Boolean logic, Cook in 1967 showed that all problems from  $\mathcal{NP}$  polynomially reduce to it
- PARTITION:
  - given  $n$  positive integers  $s_1, \dots, s_n$  and  $b = 1/2 \sum_{j=1}^n s_j$
  - does there exist a subset  $J \subset I = \{1, \dots, n\}$  such that

$$\sum_{j \in J} s_j = b = \sum_{j \in I \setminus J} s_j$$

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## Examples of NP-complete problems (cont.):

- **3-PARTITION:**

- given  $3n$  positive integers  $s_1, \dots, s_{3n}$  and  $b$  with  $b/4 < s_j < b/2$ ,  $j = 1, \dots, 3n$  and  $b = 1/n \sum_{j=1}^{3n} s_j$
- do there exist disjoint subsets  $J_i \subset I = \{1, \dots, 3n\}$  such that

$$\sum_{j \in J_i} s_j = b; \quad i = 1, \dots, n$$

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## Proving NP-completeness

If an NP-complete problem  $P$  can be polynomially reduced to a problem  $Q \in \mathcal{NP}$ , then this proves that  $Q$  is NP-complete (transitivity of polynomial reductions)

Example:  $PARTITION \propto P2||C_{max}$

Proof: on the board

Famous open problem: Is  $\mathcal{P} = \mathcal{NP}$ ?

- solving one NP-complete problem polynomially, would imply  $\mathcal{P} = \mathcal{NP}$