

# An adaptive Guided Local Search considering Human Resource Constraints for the Integrated Single-machine and Preventive Maintenance Scheduling problem

## Abstract

This work concerns the consideration of human resource constraints in the single machine scheduling problem of both production and flexible periodic maintenance activities. We assume that a maintenance activity requires the intervention of a human resource to be treated. These human resources are characterized by a competence level and availabilities considered as strong constraints allowing or not the maintenance activities' planning. Furthermore, the assignment of human resources to maintenance activities can be done by favoring either the efficiency, the training or the equity. To solve this NP-hard scheduling problem, we propose a hybrid metaheuristic based on the guided local search method that uses a combination of intensifying / diversifying techniques. We implemented and experimented the proposed method on two series of benchmarks. The first one focuses on small size instances. The results show that the quality of the solutions obtained by the proposed method compared to an exact one is good, and even it reaches the optimal solution in some cases. In the second one, we applied the method on large instances to show its advantages and efficiency, then studied the impact of the human resource assignment strategy on scheduling performance.

## 1 Introduction

Production scheduling and preventive maintenance planning are the most common and significant problems faced by the manufacturing industry. This paper focuses on a single-machine scheduling problem in which preventive maintenance activities should be performed on the machine. In the literature, several variants of the single-machine with preventive maintenance (PM) have been investigated. Preventive maintenance activities could be integrated in the production scheduling into two different ways. The former is the deterministic way where maintenance periods are fixed in advance [Ma *et al.*, 2010], [Liu *et al.*, 2015], [Yazdani *et al.*, 2017]. In the second, maintenance periods are decision variables, this is called scheduling with flexible maintenance [Chen, 2008], [Mashkani and Moslehi, 2016], [Luo *et al.*, 2015].

Besides, the majority of research works on scheduling with preventive maintenance assume a perfect environment in terms of resource availability. However, maintenance service requires agents to ensure the execution of activities. These latter should be versatile and be able to accomplish several interventions. Indeed, they are characterized by competence levels and qualifications allowing them or not to execute the maintenance activities with different durations. In addition, these human resources could not be available permanently in the shop, but in specified intervals that assess the feasibility of the maintenance intervention. It may therefore be necessary to consider the human resources in scheduling problems or even more as a scheduling problem separately (the workforce scheduling or employee timetabling problems [Yurtkuran *et al.*, 2018], [Ciro *et al.*, 2015], [Guyon *et al.*, 2014]). In the maintenance scheduling, the human resource competence had been the subject of the majority of research works done on this field [Safaei *et al.*, 2011], [Tang *et al.*, 2007], [Marmier *et al.*, 2009]. To the best of our knowledge, research on the integrated production and maintenance scheduling problems under human resource constraints has been introduced recently [Touat *et al.*, 2017], [Touat *et al.*, 2018] and research works on it still very scarce.

This paper deals with the single machine scheduling problem and flexible maintenance planning where each maintenance activity is treated by a human resource characterized by a competence and some availability intervals. The contributions of this paper are twofold. First, we propose an integrated Guided Local Search (hereafter IGLS) to solve the studied problem. Moreover, to balance the intensification and diversification search effort we embedded a post optimisation procedure and a restart scheme. Moreover, we propose three human resource assignment scenarios. The first one favors the maintenance efficiency, it is done by choosing the humans having the highest competence that leads to a short maintenance time. The second one favors the training, it is achieved by involving the humans having the lowest competence aiming to improve the human competence. The third one favors the equity, it is accomplished by selecting the humans that do not work enough. This make a balance in the workload.

In the rest of the paper, we describe the studied problem, present the proposed resolution method, discuss the obtained results then concludes the work and gives some research perspectives.

## 2 The problem description

We consider here, a single-machine scheduling problem subject to flexible and periodic maintenance. Formally, the addressed problem can be described as follows: Let  $J = J_1, J_2, \dots, J_N$  be a set of  $N$  jobs to be processed on a single machine. All the jobs are available at time zero. Each job  $J_i$  requires a given known deterministic and non negative processing time  $p_i$  and should be completed before a due date  $d_i$ . Preemption is not allowed and the machine can handle at most one job at a time and cannot stand idle until the last job is finished.

Besides, preventive maintenance must be undertaken in order to maintain a high availability of the machine. In this paper, we consider a single flexible maintenance  $M$  with multiple occurrences  $M_i$ ,  $i \in \{N + 1, \dots, N + Nb\_Occ\}$  occurring every  $T^*$  periods and each occurrence depends on the ones preceding it on the machine. The processing time  $p'$  of the maintenance activity is fixed and is nonnegative. Moreover, a maintenance  $M_i$  must be completed within a time window  $TI_i = [Tmin_i, Tmax_i]$  representing its tolerance interval. It is achieved when the maintenance activity is more profitable and before the equipment loses its optimum performance. However, it can be planed before  $Tmin_i$  and it is considered in advance (this Earliness is noted  $E_i$ ), or after  $Tmax_i$  and it is considered late (this Tardiness is noted  $T_i$ ). We assume that the first time-window is arranged in advance. The  $i^{th}$  time window depends on the completion time of the  $(i - 1)^{th}$  maintenance occurrence  $M_{i-1}$ . Since we seek scheduling over a production horizon, we do not perform a maintenance operation after the processing of the last job.

A maintenance  $M_i$  must be treated by one human resource. The maintenance service is composed of  $R$  human resources (HR). Each human resource  $HR_r$  ( $r = 1..R$ ) is characterized by a competence level  $Comp_r$  allowing to execute a maintenance task with a duration  $ph_r$ . Moreover, each resource  $HR_r$  has a timetabling which determines its availability. This is expressed by specifying for each resource  $HR_r$  a set  $AI_r = \{AI_{rl} : l = 1..m\}$  of  $m$  availability intervals (AI). More precisely,  $AI_r = \{[LB_{r1}, UB_{r1}], \dots, [LB_{rm}, UB_{rm}]\}$ . The symbols  $LB_{rl}$  and  $UB_{rl}$  denote respectively, the lower and the upper bounds of the availability interval  $AI_{rl}$  ( $l = 1..m$ ). In our problem, we define the efficiency according to the executing times of maintenance activities  $ph_r$ . The production objective  $f_p$  is to find a permutation of  $N$  production jobs that minimizes the sum of tardiness  $T_i$ , when the schedule also includes maintenance activities (eq.1).

$$f_p = \sum_{i=1}^N T_i \quad (1)$$

The maintenance objective  $f_m$  consists in minimizing the sum of earliness/tardiness of all the occurrences of the maintenance activities with respect to the pre-specified maintenance intervals. The maintenance tasks are planned by taking into account the human resource constraints and the assignment strategy (equity, efficiency and training) (eq.2).

$$f_m = \sum_{i=N+1}^{N+Nb\_Occ} (E_i + T_i) \quad (2)$$

To optimize both production and maintenance criteria, we consider the global function defined as follows (eq.3):

$$\begin{cases} f = \alpha \times f_p + \beta \times f_m \\ \alpha + \beta = 1 \end{cases} \quad (3)$$

The studied problem is NP-hard since the basic one without considering the maintenance activities denoted  $N/1/d_i/\sum T_i$  based on the classification given in [Graham *et al.*, 1979], was proved NP-hard by [Du and Leung, 1990].

## 3 The proposed integrated guided local search meta-heuristic

Guided Local Search (GLS) [Voudouris and E.Tsang, 1995a] is a penalty-based metaheuristic algorithm that sits on top of other local search algorithms. The GLS associates a set of  $M$  features with every solution  $S$ , a feature  $f_{if}$  is determined by the *indicator function*  $I_{if}$ ,  $if \in \{1, \dots, M\}$ , which is equal to 1 if  $S$  has the property  $f_{if}$  and 0 otherwise. The GLS penalizes solution features during each iteration based on the value of a utility function:  $util_{if}(S) = I_{if}(S) \times \frac{c_{if}}{1+pn_{if}}$ , where  $c_{if}$  and a  $pn_{if}$  are respectively the cost and the penalty associated to  $f_{if}$ . The penalty acts as a disturbance to an augmented objective function:  $h(S) = f(S) + \lambda \sum_{if=1}^M (pn_{if} \times I_{if}(S))$  which is adjusted during each iteration, where  $\lambda$  represents the relative weight of penalties with respect to the cost of solution. This reduces the chance that the solution procedure will get stuck in a local optimum.

GLS algorithms were successfully applied to optimization problems [Voudouris and E.Tsang, 1995b], [Alsheddy and Tsang, 2011], [Nagata and I.Ono, 2018]. However, to the best of our knowledge, no work considers the single machine scheduling problem either with flexible maintenance or under human resources constraints. In this section, we present the proposed GLS meta-heuristic for the integrated production and flexible maintenance scheduling problem where the human agents charging to realize the maintenance activities are taken into account. These human resources are characterized by availability periods and competence levels. The characteristics of our IGLS compared to the standard one are the following:

- The use of an integrated representation of production and maintenance data which embeds human resource constraints.
- The definition of two types of features for each production and maintenance activity, one is related to the advance and the other to the delay.
- The use of several local search heuristics (LS) instead of one. Indeed, In each iteration of IGLS, a specific LS heuristic is applied according to the feature which corresponds to maximum utility.
- The introduction of post-optimization process in order to minimize both production and maintenance delays (intensifying). This process is performed at the end of each local search (section 3.3) ;
- The introduction of disruption process to generate a new solution after the solution stagnation (diversifying) (section 3.3).

The main steps of the proposed integrated GLS are depicted in Algorithm 1.

**Algorithm 1** The pseudo-code of the proposed meta-heuristic IGLS.

**Input:** The scheduling data (production, maintenance and human resource);

**Parameter:** The GLS parameter ( $\lambda$ );

**Output:** The final schedule  $S_{best}$

```

1:  $k \leftarrow 0$ ;
2: Generate an initial solution  $S_0$ ;
3: Set all penalties  $pn_{if}$  to 0,  $if = 0, \dots, M$ ;
4:  $S_{best} \leftarrow S_0$ ;  $S_c \leftarrow S_0$ 
5: while (Termination criterion is not met) do
6:    $h(S) \leftarrow f(S_c) + \lambda \sum_{if=1}^M pn_{if} \times I_{if}$ ;
7:    $S_c \leftarrow Local\_Search(S_c, h(S), I(S))$ ;  $\triangleright$  perform
   the local search heuristic with the feature  $f_{if}$  corresponding the
   maximum utility  $util_{if}$  and the objective function  $h$ 
8:    $Post\_optimization(S_c)$ ;  $\triangleright$  perform the post optimization
   procedure
9:    $pn_{if} \leftarrow pn_{if} + 1$ ;  $\triangleright$  penalize features with maximum utility
10:  for (Each feature  $if$ ) do  $\triangleright$  update features' utilities
11:     $util_{if}(S_c) = I_{if}(S_c) \times \frac{c_{if}}{1+pn_{if}}$ ;
12:  end for
13:  if ( $f(S_c) < f(S_{best})$ ) then
14:     $S_{best} \leftarrow S_c$ ;
15:  end if
16:  if (Stagnation) then  $\triangleright$  restart schema after stagnation
17:     $Disruption(S_c)$ ;
18:  end if
19: end while
20: Return  $S_{best}$ ;

```

In the next sub-sections, we describe the components of the proposed meta-heuristic IGLS and then give its pseudo-code scheme.

### 3.1 The encoding scheme and initial solution generation

In IGLS, each solution is expressed by two substructures described in the following:

1. An integrated sequence which consists of two parts with different lengths as shown in Fig. 1. The first part is made up production jobs identified by numbers 1 to  $N$  and the second one of maintenance occurrences identified by numbers  $N+1$  to  $L = N + Nb\_Occ$ . Moreover, to be able to compute the maintenance activities advance/delay, we add to the maintenance identifier the execution time  $t_i$ .
2. To take into account the human resource constraints, we associate to the integrated sequence an assignment matrix  $\mathbf{Z}$  with size  $R \times m$ . Such  $\mathbf{R}$  is the number of human resources and  $\mathbf{m}$  is the number of availability intervals.  $Z[r, l]$  identifies the maintenance activity treated by resource  $HR_r$  during the interval  $AI_{rl}$ .  $Z[r, l] = 0$  means that the resource  $HR_r$  does not treat any maintenance activity during the interval  $AI_l$ .

To generate an initial integrated solution, first, the maintenance occurrences are planned according to the human resource availabilities. Our aim is to minimize the maintenance'

3	6	7:30	1	5	8:53	4	9:129	2
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	$AI_{r1}$	$AI_{r2}$	$AI_{r3}$
$HR_1$	0	8	0
$HR_2$	7	9	0

Figure 1: An example of a solution encoding

earliness/tardiness when the human resource availability intervals and the tolerance period do not overlap. Then, the production jobs are scheduled based on to the maintenance activity planning according to their due dates.

### 3.2 Local search heuristics

IGLS associates three sets of features  $F_{if}$  according to the objective function, where  $if \in \{1, 2, 3\}$ . One feature is related to production jobs and the two other ones to maintenance activities. Each feature in  $F_1$  (respectively  $F_3$ ) represents the delay of a production (respectively maintenance) activity  $T_i$ . Thus,  $F_1$  has  $N$  features and  $F_3$  has  $Nb\_Occ$  features, the total number of production (respectively maintenance) activities. The second feature  $F_2$  represents the advance of maintenance activities. There are  $Nb\_Occ$  features in  $F_2$ . Consequently, to every solution  $S$ , it is associated  $(2 \times N + Nb\_Occ)$  features. Each feature  $F_{if,i}$  is associated with an indicator  $I_{if,i}(S)$ , a cost  $c_{if,i}$  and a utility value  $util_{if,i}$  such as:  $i = 1..N + Nb\_Occ$ .

Based on each feature, a specific local search (LS) heuristic is applied. Therefore, three LS heuristics are then proposed. Each of them has a different neighborhood structure generated according to the feature having the highest utility. If several ones have the highest utility, we choose randomly an appropriated neighborhood structure.

#### The local search $LS_1$

This LS is performed if the activity with maximum utility is a production job with the goal of production objective function improvement. Let  $J_i$  be this job. The objective is to insert  $J_i$  in the integrated sequence that minimizes best its delay, i.e. its completion time  $c_i$  is equal to its due date  $d_i$ , or it is as close as possible to it (Algorithm 2).

#### The local search $LS_2$ (respectively $LS_3$ )

$LS_2$  (respectively  $LS_3$ ) is performed if the activity with a maximum utility is a maintenance occurrence in delay (respectively in advance) according to its tolerance interval with the goal of maintenance objective function improvement. Let  $M_i$  be this maintenance activity and let  $[Tmin_i, Tmax_i]$  be its tolerance interval, we assume that  $M_i$  is executed in the availability interval  $AI_{lr}$ . In order to reduce the maintenance activity tardiness (respectively earliness), we try to advance (respectively delay) it in the same availability interval of the same assigned human resource if possible, or in the most nearest one (Algorithm 3).

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**Algorithm 2** The pseudo code of  $LS_1$ .

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**Input:** The solution  $S_c$ , The activity  $J_i$ ,  $h(S_c)$ , The scheduling data (production, maintenance and human resource);  
**Output:** The solution  $S_c$ ;

- 1: Let  $t_i^*$  be the desired start time of  $J_i$  ( $d_i - p_i$ );
- 2: Let  $i'$  be the identifier of activity scheduled at  $t^*$ ;
- 3: **if** ( $J_i$  is a production job) **then**
- 4:   **if** (all activities scheduled in  $[t^*, d_i]$  are production jobs) **then**
- 5:     Schedule  $J_i$  at  $t^*$ ;
- 6:   **else**
- 7:     Let  $t_j$  be the stating time of maintenance activity scheduled at  $[t^*, d_i]$ ;
- 8:     Schedule  $J_i$  at  $[(t_j - p_i, t_j]$ ;
- 9:   **end if**
- 10: **else**
- 11:   **if** ( $J_i$  can be scheduled before  $M_i'$ ) **then**
- 12:     Schedule  $J_i$  at  $[t_i' - p_i, t_i']$ ;
- 13:   **else**
- 14:     Schedule  $J_i$  at  $[t_i', t_i' + p_i]$ ;
- 15:   **end if**
- 16: **end if**
- 17:  $S_v \leftarrow$  schedule of the production jobs in the same sequence that  $S_c$ ;
- 18: **if** ( $h(S_v) < h(S_c)$ ) **then**
- 19:    $S_c \leftarrow S_v$ ;
- 20: **end if**
- 21: Return  $S_c$ ;

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### 3.3 Intensification and diversification strategies

It consists in reducing the idle time of the machine by advancing the production jobs, looking for the production objective function improvement. Then, for each maintenance activity  $M_i$ , we try to delay it in the same availability interval and advance the production ones scheduled after it, if possible, or advance  $M_i$  as possible. The solution  $S$  obtained after the post-optimization procedure is compared to the one issued from the local search  $S_c$ , if it is better, we replace  $S_c$  by  $S$  as explained in Algorithm 4.

Besides, we found that the penalties are not sufficient to overcome the constraints imposed by human resources in order to explore the entire research space. Hence, if after several iterations  $Nb_{Improve}$ , the objective function is not improved, we disrupt the scheduling in order to explore new solutions. It consists in rescheduling a randomly selected job at the end of the sequence which allows finding new schedules, what means of new search regions in the total search space.

### 3.4 The regulation parameter and stopping criteria

In the IGLS algorithm, we propose two different ways to manage the regulation parameter  $\lambda$ : In a static way, where  $\lambda$  is assigned a fixed value or in a dynamic way where the value of  $\lambda$  varies during the resolution process. Finally, if a solution cannot be improved any more in consecutive  $Nb_{Improve}$  iterations, the algorithm terminates, otherwise it stops after a  $Nb_{It}$  iterations.

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**Algorithm 3** The pseudo code of  $LS_2$  (respectively  $LS_3$ ).

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**Input:** The solution  $S_c$ , The activity  $M_i$ ,  $h(S_c)$ , The scheduling data (production, maintenance and human resource);  
**Output:** The solution  $S_c$ ;

- 1: Let  $AI_{rl} = [LB_{rl}, UB_{rl}]$  be the availability interval in which  $M_i$  is planned and  $t_i$  its starting time;
- 2: **if** ( $t_i > LB_{rl}$ ) (respectively  $c_i < UB_{rl}$ ) **then**
- 3:    $t_i \leftarrow LB_{rl}$  (respectively  $c_i \leftarrow UB_{rl}$ );
- 4:    $S_v \leftarrow S$  with the new maintenance planning;
- 5: **else**
- 6:   Let  $AI_{r'l'}$  be the unoccupied availability interval coming just before (respectively after)  $AI_{rl}$  and that does not disrupted the planning of  $M_{i-1}$  (respectively  $M_{i+1}$ );
- 7:   Schedule  $M_i$  at  $AI_{r'l'}$  such as:  $t_i = UB_{r'l'} - p_i$  (respectively  $t_i = LB_{r'l'}$ ) and  $c_i = UB_{r'l'}$  (respectively  $c_i = LB_{r'l'} + p_i$ );
- 8:    $S_v \leftarrow S_c$  with the new maintenance planning at  $AI_{r'l'}$ ;
- 9: **end if**
- 10: Update the tolerance intervals  $TI_{i+1}$  for maintenance activities  $i + 1 \dots N + Nb\_Occ$ ;
- 11: Update the human resource availabilities;
- 12:  $S_v \leftarrow S_c$  with production job Scheduling in same order that  $S_c$ ;
- 13: **if** ( $h(S_v) < h(S_c)$ ) **then**
- 14:    $S_c \leftarrow S_v$ ;
- 15: **end if**
- 16: Return  $S_c$ ;

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## 4 Experimental results

In this section, we present some experimental results to: firstly, show the effectiveness of the proposed IGLS in solving the integrated single-machine scheduling problem with flexible maintenance under the human resource constraints. In the second time, we will study the impact of the human resource assignment strategy on the obtained results. The tests are performed on a personal computer with an *Intel Core i7 2.70 GHz* CPU and *16 Gb* RAM memory under *Windows 7* operating system.

### 4.1 IGLS validation

The experiments are conducted by considering the same data used in [Touat *et al.*, 2018]. Thus, we used two types of data. The first one related to production/maintenance data, while the second one is related to the human resource ones. We experimented both small size instances ranging from 9 to 13 jobs; and large ones ranging from 20 to 700 jobs with 10 instances for each considered benchmarks. Due to the lack on human resources benchmarks, the availability intervals are generated according to the production horizon. Thereby, for each integrated production and maintenance instance, a human resource one is specified. We consider two human resources ( $HR_1, HR_2$ ) with respectively two competence levels ( $Comp_1, Comp_2$ ). Both  $Comp_1$  and  $Comp_2$  are distributed uniformly in  $]0, 2[$  ( $U[0, 2]$ ). In order to execute the occurrence  $M_i$  by a human resource, two different durations are possible:  $ph_1$  and  $ph_2$ . That is,  $ph_1 = p' \div Comp_1$  and  $ph_2 = p' \div Comp_2$ . The set  $AI_{rl}$  of availability intervals with  $l \in \{1, 2\}$  is generated according to Algorithm presented in [Touat *et al.*, 2018]. We propose two types of availability inter-

**Algorithm 4** The pseudo code of the post optimization procedure.

**Input:** The solution  $S_c$ , The scheduling data (production, maintenance and human resource);

**Output:** The solution  $S_c$ ;

```

1: for (Each maintenance occurrence  $M_i$ ,  $i = N + 1 \dots N + Nb.Occ$ ) do
2:   if (There is an idle period  $id$  between  $M_i$  and the previous production job  $J_j$ ) then
3:     if (There is a production job  $J_{i'}$  coming after  $M_i$  and having a processing times  $p_{i'} < id$ ) then
4:       Advance  $J_{i'}$ ;
5:     else
6:       if (It is possible to delaying  $M_i$  in its  $AI_{rl}$  generating an idle time  $id$ ) then
7:         if (There is a production job  $J_{i'}$  coming after  $M_i$  and having a processing times  $p_{i'} < id$ ) then
8:           Delay  $M_i$  and Advance  $J_{i'}$ ;
9:           Update the tolerance intervals  $TI_{i+1}$ ;
10:        end if
11:      end if
12:    end if
13:  else
14:    if (It is possible to delaying  $M_i$  in its  $AI_{rl}$  generating an idle time  $id$ ) then
15:      if (There is a production job  $J_{i'}$  coming after  $M_i$  and having a processing times  $p_{i'} < id$ ) then
16:        Delay  $M_i$  and Advance  $J_{i'}$ ;
17:        Update the tolerance intervals  $TI_{i+1}$ ;
18:      end if
19:    end if
20:  end if
21: end for
22:  $S \leftarrow S_c$  with the new maintenance planning and production scheduling;
23: if ( $f(S) < f(S_c)$ ) then
24:    $S_c \leftarrow S$ ;
25: end if
26: Return  $S_c$ ;

```

vals according to their wideness: the strict availability interval (SAI), and the large availability interval (LAI). According to human resource characteristics: higher and lower human resource competences (respectively LC and HC) and strict and large availability intervals (respectively SAI and LAI), four classes of experiments ( $SAI/LC$ ,  $SAI/HC$ ,  $LAI/LC$  and  $LAI/HC$ ) are performed.

For the rest of experiments, the values of the control parameters  $\alpha$  and  $\beta$  of equation 3 are set to 0.5. The proposed common weighted global objective function will allow tackling the studied problem in a simplified way. In addition, the values of the control parameters of the proposed IGLS are fixed on the base of different trials of the proposed approach. The complete details are not reported for the sake of concise presentation. Their values are the following:

- We try the different values of  $\lambda$  between 0 and 1 with a step of 0.2 between the different values. The value  $\lambda = 0.9$  gives, in general, better solutions than the results of the other static values. For this reason, in the following tests we take this value to represent the static values of  $\lambda$ .

- $Nb_{It} = \begin{cases} 300 & \text{for } N \in \{9, 10, 11, 12, 13\} \\ 500 & \text{for } N \in \{20, 40, 60, 80\} \\ 1000 & \text{for } N \in \{100, 140, 160, 200, 300\} \\ 2000 & \text{for } N \in \{500, 700\} \end{cases}$
- $Nb_{Improve} = 20$ .

#### A comparative analysis with the Cplex implementation

The objective of these set of experiments is to evaluate the performance of the proposed IGLS compared to the optimal results obtained by the exact method Cplex [Touat *et al.*, 2018] on some small size instances  $N \in \{9, 10, 11, 12, 13\}$ . The relative percentage deviation (RPD) is used as an index to evaluate the solution quality and the performance of the proposed heuristic (eq.4).

$$RPD = \frac{(f - f_{CP})}{f_{CP}} \times 100 \quad (4)$$

where  $f$  is the global objective function defined in Equation 3 and  $f_{CP}$  is the global objective function of the CP modeling. We note that the execution time of small benchmarks vary in  $[0, 0.16]$  seconds. We perform tests for a static and dynamic values of  $\lambda$ . We vary  $\lambda$  during the execution of the algorithm by computing the ratio between the cost of the best solution found " $S_{best}$ " and the one of the current solution " $S_c$ ":  $\lambda = \frac{f(S_{best})}{f(S_c)}$ .

Table 1 shows the obtained results. For each value of  $\lambda$  (static and dynamique (D) values) and each benchmark, we report the average value of the global objective function obtained by running 10 instances. The columns corresponding to  $B$  give the number of instances among those of each class that have been solved optimally by IGLS. It should be noted that we performed  $5 \times 10 \times 4 \times 4 = 800$  tests.

$\lambda$	$N$	SAI							
		LC				HC			
		$f_{CP}$	$f$	RPD	$B$	$f_{CP}$	$f$	RPD	$B$
0.9	9	423,95	454,95	7,31%	10	134,95	143	5,97%	12
	10	404,55	450,55	11,33%		147,55	156,35	5,96%	
	11	441,25	465,55	5,51%		174,7	187,2	7,16%	
	12	507,1	545,8	7,63%		216	237,75	10,07%	
	13	418,25	456,75	8,21%		183,25	195,7	6,79%	
D	9	423,95	459,75	8,44%	14	134,95	144,95	7,41%	12
	10	404,55	449,65	11,15%		147,55	157,65	6,85%	
	11	441,25	461,85	4,67%		174,7	189,9	8,7%	
	12	507,1	544,55	7,39%		216	237,25	9,84%	
	13	418,25	456,2	9,07%		183,25	200,4	9,36%	
$\lambda$	$N$	LAI							
		LC				HC			
		$f_{CP}$	$f$	RPD	$B$	$f_{CP}$	$f$	RPD	$B$
0.9	9	304,6	330,45	8,49%	5	106,8	120,35	12,69%	8
	10	284,65	311,65	9,45%		121,65	133,65	9,86%	
	11	356,3	396	11,14%		147,4	169,24	14,82%	
	12	368,15	409,85	11,33%		176,55	198,35	12,35%	
	13	349,45	385,15	9,42%		172	185,8	8,02%	
D	9	304,6	330	8,44%	4	106,8	117,95	10,44%	8
	10	284,65	310	8,91%		121,65	136,25	12%	
	11	356,3	410,1	15,1%		147,4	166,85	13,2%	
	12	368,15	413,7	12,37%		176,55	196,85	11,5%	
	13	349,45	376,6	7,77%		172	179,85	4,56%	

Table 1: IGLS results on small size instance.

The obtained results show that for most of the tested instances, the RPD does not exceed 11% for all classes of experiments, except 20% of cases when  $\lambda$  is dynamic and 30% of cases when  $\lambda$  is static. In the average it varies between 5 and 10%. The best results of the IGLS are obtained for the  $LAI/HC$  class. It is even lower than 6% (5.51%) in the case of  $SAI/LC$  where  $N = 11$  and  $\lambda$  is static.

For the 50 instances used for the tests, we remark that the proposed IGLS with dynamic value of  $\lambda$  succeeds to find more optimal solutions than in the case of static value (B columns). This is due to the adaptation of  $\lambda$  to each current solution. Thus IGLS finds the optimal solution in around 30% of the cases for all classe of experiments.

It seems reasonable for as to trust to the proposed IGLS since it usually succeeds to find good solutions and even the optimal solutions in some cases with CPU times that are very small comparing to the ones of the exact method Cplex.

Based on these results, the value of  $\lambda$  is computed dynamically for the rest of experiments.

### A stability test

In previous section, we used the **RDP** (eq.4) to present the IGLS results. However, it could be interesting to present the dispersion too. This later is expressed according to the standard deviation. Thus, first we compute for each instance its deviation to the average as mentionned in Equation 5. Then, we compute the standard deviation of each benchmark as mentionned in Equation 6:

$$Var_{ins} = \sqrt{(RPD_{ins} - RPD_{bench})^2} \quad (5)$$

$$Var_{bench} = \frac{\sum_{ins=1}^{10} \sqrt{(RPD_{ins} - RPD_{bench})^2}}{10} \quad (6)$$

Where  $RPD_{ins}$  is the deviation of one instance and  $RPD_{bench}$  is the deviation of one benchmark (the average of  $RPD_{ins}$ ). Table 2 shows the obtained results.

Bench	SAI		LAI	
	LC	HC	LC	HC
9	8.56	6.80	5.91	7.33
10	8.23	5.58	5.98	5.66
11	4.90	5.29	5.14	7.32
12	7.67	4.88	3.70	5.73
13	8.65	5.08	4.41	4.54

Table 2: Standard deviation results.

We remark from Table 2 that the standard deviations do not exceed 9%. Moreover, all instances' deviations are in [0.01, 21.44] for all cases. Based on these results, one could remark that IGLS is relatively stable on the considered benchmarks.

## 4.2 The impact of human resource assignment strategy on results

In this class, we will test the different strategies of human resource assignment to mesure their impact on the obtained results. Thus, when more than one resource is available at the same time, the assignment of human resource to maintenance activity is also based on the adopted strategy in maintenance service (efficiency, training and equity).

We generate production and maintenance data as instance size ranging from 20 to 700 production jobs. However, we generate a new instances for the human resource ones. We consider 4, 6 and 8 resources in the workshop with the competence generated randomly in ]0,2[. The availability intarvals have a medium size and are generated accordring the algorithm presented in [Touat *et al.*, 2018]. Table 3 shows results.

For each benchmark, we present the global objective function average of ten instances according to the chosen strategy. We denote the objective functions by  $f_{efficiency}$ ,  $f_{training}$ ,  $f_{equity}$ . We remark from Table 3 that the strategy based on

Human resources	Bench	$f_{efficiency}$	$f_{training}$	$f_{equity}$
4	20	1175,9	2134,7	1788,6
	40	4747,3	9799,8	7959,9
	60	7129,3	12451,4	24303,44
	80	15861,6	27957,8	31442,6
	100	18602,7	37778,5	36632,5
	140	27278,9	106814,2	65288,5
	200	52575,5	113510,3	109250,6
	300	90283,2	239302,7	183922,6
	500	215061,3	418284,9	412511,1
	700	395487,9	599921,2	618758,3
6	20	1062,7	2022	1899,9
	40	4990,4	8460,9	8123
	60	6726,7	20472,4	13047,5
	80	15088,9	24797	27419,5
	100	17990,6	54512,5	35707,3
	140	33717,6	70575,7	12982,4
	200	60336,7	115246,4	109104,1
	300	114116	256655,3	197197
	500	221788,4	356243,4	403858,2
	700	427389,5	1195366,5	642475,3
8	20	1096,5	2826,6	2101,8
	40	4810,8	8409,4	7848,2
	60	7105	26112,5	13454,3
	80	14182	26519,4	26723,3
	100	16436,3	35948,6	34374,3
	140	29177,4	68288,8	56756
	200	53391,8	141778,3	96346,9
	300	101257,5	235978,2	187679,5
	500	186284,9	374318	561955,9
	700	354529,4	704401,2	644433,6

Table 3: IGLS results according to the human resource assignment strategy.

efficiency always gives the best results, contrary to the strategy based on training which gives the worst results for almost all benchmarks. Thus, the strategy based on efficiency is better than other ones since it involvs the human resources having a high competence level. Consequently, the operating time of maintenance activities is reduced and their tardiness too (eq. 2). Thus, the tardiness of all production jobs scheduled after the maintenance activity in question is reduced.

## 5 Conclusion and future work

In this paper, we treated the single machine problem with flexible and periodic maintenance activities. We assume that each maintenance activitie must be treated by a human resource characterized by a competence level and a timetabling precising their availabilities in the workshop. In addition, the assignment of human resources is subject to some rules related the workshop assignment strategy which could favor: the efficiency, when human resources with high competences are chosen. The equity, when all resources are assigned respecting the same workload. The training, when human resources having low competences are favored. To solve the problem we proposed an adapted guided local search characterized by the application of an intensifying / diversifying techniques. The experimental results show that IGLS gives good solutions in reasonable CPU times. We also studied the impact of the humain assignment strategy on the obtained results. In future work, we aim to introduce the learning effect to the human resources allowing them to improve their cometenence level. Also, one could consider the studied problem as a multi-objective optimization one.

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