

VENGATESHA SUNDARARAJAN

MCA, CISA, CAIIB (IIBF), Prevention of Cyber-Crimes and Fraud management (IIBF), AML/KYC (IIBF)
IT Governance Risk & Control | System Audit | Information System Security

□ PROFILE SYNOPSIS

- A qualified and purposeful professional with 14+ years of progressive experience at Lakshmi Vilas Bank, which DBS acquired
- Working knowledge of governance, risk management, control frameworks, and audit methodologies. Maintaining competency in information systems requires continuous professional development and staying current on emerging technologies, risks, and controls in information and cyber security
- Capable of developing and maintaining strong relationships with stakeholders to a catalyst for positive change and improvement in information security risk management
- Strong interpersonal and communication skills, including effectively communicating technical information to a non-technical audience facilitating cross-functional collaboration

□ KEY ACHIEVEMENTS

- Ensure compliance is completed promptly and that compliance reports are submitted to regulators (RBI) on time. DBS awarded me the Rock Star award for sustaining the same for a couple of years
- Assuring that IT Risk Assessments are performed on time, and resultant issues are mitigated
- Ascertain that VAPT is performed and that its risks are evaluated, and that they are addressed on time
- Assure that IT security tools such as PAM, DLP, and VAPT are managed properly
- Point person in handling cyber frauds, leading investigations and implementing mitigative measures
- Recognized in information systems auditing and assigned lead role for conducting system audits and investigations of IT fraud in ATM, POS, and other locations
- Assumed the role of the Investigative Authority
- Assure that ATM Switch operations are managed effectively, and that uptime is maintained
- In 2012, successfully deployed 500 ATMs over three months

□ PROFESSIONAL EXPERIENCE

Chief Manager IT Risk & Audit DBS, Chennai | Aug 2021 – Present

- ✓ Refresh IT/IS policies and procedures on an annual basis and submit them to the IT strategy/steering committee for approval and to the Board of Directors for adoption
- ✓ Conduct an IT risk assessment and process review to ensure that IT policies and procedures are being followed
- ✓ Take ownership of and responsibility for the IT Risk Control Self-Assessment (RCSA) exercise. Examine and update critical risks and focus areas, as well as IT tower leads
- ✓ Inform the IT Steering / Strategy committee and other risk management committees of significant risks
- ✓ Assemble an impact assessment with the business unit and assign risk severity to risk acceptance requests (policy exceptions) following the operational risk management framework
- ✓ Follow up with risk/action owners to ascertain the status of the risk mitigation plan and to keep IT management informed
- ✓ Coordination and collaboration with external and internal information technology auditors, as well as review and oversight of audit responses from information technology tower leaders before audit submissions
- ✓ Participate in audit closure meetings to discuss the impact and severity of audit issues

□ CONTACT DETAILS

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□ EXPERTISE

- Risk management plan
- Information System Security
- Disaster recovery plan
- Incident response plan
- Audit Management
- Incident Management
- ATM Switch Operations
- ATM Security
- ATM Implementation
- Influential Communication

□ ACADEMIC CREDENTIALS

- MCA, Anna University, First class with Distinction, KSR College of Technology, Thiruchengode, 2007
- Bachelor of Science, Computer Technology, KSR College of Technology, Thiruchengode, 2004

□ PERSONAL DETAILS

- Languages: English and Tamil

Chief Manager - Information Security

Lakshmi Vilas Bank | Sep 2019 – Jul 2021

- ✓ Implemented a strategic vision for information security per established risk management frameworks
- ✓ Investigation into business practices to identify business-specific risks, as well as their impact and mitigating controls
- ✓ Assumed leadership and strategic direction for the function, from planning and budgeting to educating employees about the importance of information security and certifications
- ✓ Facilitated security audits and assessments, as well as ensuring compliance with applicable laws, regulations, and standards
- ✓ Security controls were monitored and audited, including filtering, data loss prevention, account management oversight, and access control
- ✓ Established a security culture and developed a compelling security vision and strategy for the organisation
- ✓ Conducted security awareness campaign in addition to ongoing security training, phishing campaigns, and security digests

Chief Manager IS Audit

Lakshmi Vilas Bank | Mar 2019 – August 2019

- ✓ Together with the Chief Information Security Officer, defined the overall strategic vision for security architecture
- ✓ Rendered SME advice on Information Security matters such as BAU security activities, emerging security risks and relevant security controls
- ✓ Supported the risk evaluation and review of the IT framework implementation
- ✓ Mitigated enterprise vulnerabilities and reduced attack surface vectors identified through security reviews and controls
- ✓ Oversight of threat & risk assessments, security architecture design reviews, and project security reviews, ensuring key applications and products are assessed for risk

Senior Manager IS Audit

Lakshmi Vilas Bank | Sep 2015 – Feb 2019

- ✓ Handled various types of System audits during the tenure like Application, Effectiveness of security controls, ATM Switch Operations, POS Operations, FI operations and eCommerce operations
- ✓ Drove the onsite Information Security audits covering Branches, Data Centres, Central Processing Cell, DP Cell, and Treasury
- ✓ Oversaw projects and products' strategic security/risk management, including the technical recommendation controls

Deputy Manager IS Audit

Lakshmi Vilas Bank | Mar 2014 – Aug 2015

- ✓ Supported business during audits or regulatory examinations and developed action plans to address any issues discovered
- ✓ Provided expertise in planning and executing end-to-end audits promptly, per applicable policies and regulatory guidelines
- ✓ Supported the audit opinion by presenting the findings and recommendations to the client and audit management by internal controls and professional audit standards
- ✓ Maintained the highest standards of integrity while adhering to all regulatory requirements, performing due diligence, and managing confidential information with discretion

Deputy Manager IT

Lakshmi Vilas Bank | Mar 2012 – Feb 2014

- ✓ Handled ATM switch operations & project implementation
- ✓ Owned the IT Risk Management and led the application UAT testing
- ✓ Governed IT Audits, coordinating with IS auditors and ensuring that the audit observations were resolved on time

Assistant Manager IT

Lakshmi Vilas Bank | Aug 2007 – Feb 2012

- ✓ Served as an IT Support Cell Officer for Chennai regions, handling core banking migration, ATM installation, ATM Testing, IT Asset Management, Technical support for branches on Core banking and other software applications.
- ✓ Drove ATM-related projects like VISA cards, Automation of Centralized EJ pulling, and ATM help Desk operations. Incharge of ATM help desk operations and New ATM installations
- ✓ Led New ATM project and achieved 500+ ATMs before the estimated date.
- ✓ Spearheaded the ATM helpdesk and maintained high uptime
- ✓ Centralised Electronic Journal pulling and Screen Push for all ATMs