

Umesh V

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## Objective:

To pursue a successful yet challenging career as a result oriented professional, accented by meticulous and strategic planning and to play an integral part of the organization.

## Specialized Software / Technical Competency:

- **Technical Skills**
  - ✓ KYC and CDD in Corporate and Commercial banking
  - ✓ Risk Assessment and Quality Assurance
  - ✓ Screening (Sanctions, PEP, Adverse media)
  - ✓ Transaction monitoring
  - ✓ Identification and Verification
- **Applications:** Citrix, eCDD+, LexisNexis, RDC screening tool and respective banking applications.

## Experience:

### TATA CONSULTANCY SERVICES (JAN 2021 – TILL DATE)

#### Senior Process Associate:

- Working on a project for ABN AMRO Bank (Netherlands) on Detecting Financial Crime.
- Main Responsibility is to perform KYC and periodic review for the existing clients (commercial banking).
- Gathering complete data of the client entity such as company documents, ownership structure, ID&V documents, products availed, transaction reports etc. for performing the review.
- Analysing client's transactions over the years with transaction overview report and account reports to identify any suspicious transactions are made.
- Sanction, PEP and adverse check and screening performed for the entity and individual's with RDC screening tool.
- Performing Risk Assessments, Quality Assurance and categorizing the client with low to high risk by conducting all the research on risk-based approach.
- I have been moved into various levels in this project such as Maker, Checker, QA and SME roles, also performed activities such as conducting daily meeting and sharing process updates to the team.
- Performing error analysis for the cases, finding solution and justification and providing feedback to the team, tracking the daily work of my team and sharing the data to the management.

## **STANDARD CHARTERED BANK GLOBAL BUSINESS SERVICES (APRIL 2019 – JAN 2021)**

### **Officer:**

- Working on a project for SCB Singapore.
- Responsibility is to perform CDD (Client Due Diligence) while the customer opens the account with the bank.
- Making a case in eCDD+ with the client documents and perform periodic review for the client to ensure there is no suspicious activities by the client and also to avoid any type of risk to the bank.
- Performing KYC, ID&V, and Screening for the client and individuals associated with the client.
- Sanction, PEP & Adverse check are done, also additional researches from public domain are performed to avoid risks.
- Assigning risk rating (A to D) to the client, based on the entire research and review done on risk-based approach.
- We use application such as eCDD+, ID&V tool etc.

## **NTT DATA – CHENNAI (JAN 2017 – APRIL 2019)**

### **F&A Ops Processing Associate:**

- Working on a project for Bank of Ireland.
- Need to perform CDD (Customer Due Diligence) while the customer opens the account with the bank.
- Main responsibility is to do Risk Assessment, Quality Assurance (QA) and Periodic review for the customer.
- We use application such as Citrix, LexisNexis, Service link.
- Handling client calls on daily basis regarding updates related to process and updating same to the team.
- Providing process trainings to the team and conducting team huddles to discuss about daily updates and quality checks.

## **RR DONNELLEY – CHENNAI (JUL 2016 – DEC 2016)**

### **Process Associate:**

- Working on a project for Barclays Bank Plc London for their payment protection.
- Main responsibility is to cover monthly repayments on product such as mortgage, Loans, credit and store cards.
- Working on calculation for making necessary refund amount to client on Loss of their insurance against products.
- Once Quality check is done on calculations and profile, necessary fund transfer will be done to the customer.
- We use application such as Respond, Gateway, Citrix.

## EDUCATION:

**D.G Vaishnav College,**  
**Arrumbakam,**  
*Chennai - 600106*

*May 2016*

**Don Bosco Matriculation,**  
**Erukancherry,**  
*Chennai-600118.*

*May 2013*

## HOBBIES:

- Bike ride
- Cricket
- Photography

## PERSONAL DETAILS:

- NAME : Umesh V
- FATHERS NAME : Vasudevan K
- D.O.B : 17.01.1995
- GENDER : Male
- LANGUAGES KNOWN : English, Tamil, Malayalam, Hindi
- ADDRESS : No: 8, Asheer, 2<sup>nd</sup> street Esther garden, Madambakkam, Chennai - 600126.

## ***Declaration***

I hereby acknowledge that all of the above mentioned are true and fair to my knowledge.

**Umesh.V**