CS-446/646

Filesystems

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Filesystem

Main tasks:

- > Persistence of Data & its structure
- Associate Bytes with name (Files)
- Associate names with each other (*Directories*)
- Can implement *Filesystems* on Disk, over *Network*, in *Memory*, in Non-Volatile RAM (NVRAM), on Tape, ...
 - Focus on Disk and generalize later

File

Named Bytes on Disk

- > Data with some properties
- > Contents, size, owner, last read/write time, protection, etc.

How a File's data is managed by the Filesystem (more later)

➤ Basic idea (in Unix):

A struct called an Index Node: The "inode"

- Describes where on the Disk the *Blocks* for a given *File* are located
 - > inode also holds some extra information (Metadata)
- Disk stores an array of inodes: The "inode Table"
- > inode # is the index in the inode Table: The "i-number"



Filetypes

- A File can also have a Type
- ➤ Understood by the *Filesystem*
 - block, character, device, portal, link, etc.
- > Understood by other parts of the OS or runtime libraries
 - > executable, dll, source, object, text, etc.
- A File's Type can be encoded in its Filename or Contents
- Windows encodes type in name (.com, .exe, .bat, .dll, etc.)
- Unix also encodes type in contents (magic numbers, initial characters)
 - > e.g. #! for Shellscripts

Basic File Operations

```
Windows
Unix
                                       CreateFile(name, CREATE)
creat(name)
                                       CreateFile(name, OPEN)
rename (oldname, newname)
                                       ReadFile(handle, ...)
open (name, how)
                                       WriteFile(handle, ...)
read(fd, buf, len)
                                       FlushFileBuffers(handle, ...)
write(fd, buf, len)
                                       SetFilePointer(handle, ...)
truncate (fd, len)
                                       CloseHandle (handle, ...)
sync(fd)
                                       DeleteFile (name)
lseek(fd, pos)
                                       CopyFile(name)
close (fd)
                                       MoveFile (name)
unlink (name)
```



File Access Methods

Filesystem usually provides different access methods (ways for accessing data in a File):

- > Sequential Access
 - Read Bytes one at a time, in order
- Random Access
 - Random Accessing of a given Block Number/Byte-offset
- Record Access
 - Record-Oriented Filesystems stores Records (group of related Data) instead of just Bytes of information
 - File is array of fixed-length or variable-length Records
 - ➤ Read/written either Sequentially or Randomly by Record #
- > Indexed Access
 - Filesystem contains an index to a particular field of each Record in a File
 - Reads specify a value for that field and the system finds the Record via the index



Directories

➤ Problem:
How to reference *Files*

Should users remember where on Disk their Files are located (e.g. Disk Sector Number)?

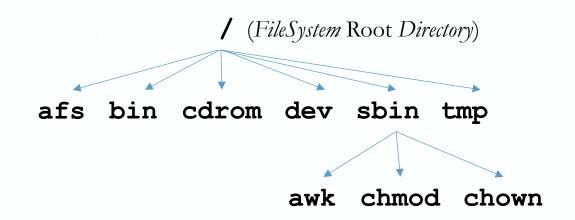
- > Human interface means we need human-readable names
- > We use *Directories* to map names to *File Blocks*

Directories serve two purposes

- For users, they provide a structured way to organize *Files*
- For Filesystem, they provide a convenient naming interface that allows the separation of Logical Organization of Files, from Physical Placement on the Disk

Hierarchical Directories

- ➤ Used since CTSS (1960s)
 - > Unix picked up and used nicely



- Large Namespaces tend to be Hierarchical
 - > IP Addresses, Domain Names, scoping in Programming Languages, etc.

Directory Internals

A Directory is a list of Directory Entries

Directory Entry:

- > <name, inode#> tuple: The inode # gets us to an inode, that contains a Disk location
- Directories stored on Disk just like regular Files
 - > Its Filetype is: Directory
 - Users can read its Data just like any other File
 - > Only special System Calls can write to its Data
 - > Data is list of *Directory Entries* that point to other Disk locations (through *inode*#s)
 - File pointed to by an inode #, may also be a Directory (inode is of Directory Filetype)
 - > Filesystem becomes a Hierarchical Tree
- > Simple, and any speedup in *File* operations also speeds up *Directory* operations

File contents for /

<afs,1021>
<tmp,1020>
<bin,1022>
<bin,1022>
<cdrom,4123>
<(through inode#s)
Directory Filetype)</pre>

File contents for /
<afs,1021>
<tmp,1020>
<bin,1022>
<cdrom,4123>
<dev,1001>
<sbin,1011>

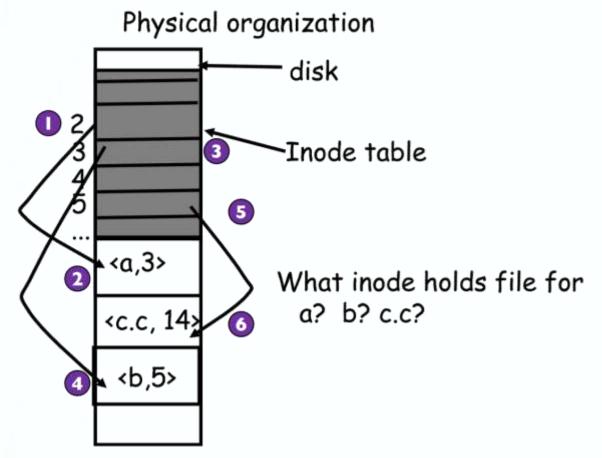
afs bin cdrom dev sbin tmp

Pathname Translation (simple & high-level overview)

- To open File /one/two/three the Filesystem will translate as follows:
- Directory Entries map Filenames to Disk location(s) (via the inode # that gets us to an inode)
- > Open *Directory* "/": Root *Directory* is always *inode* #2 (more later)
- Search for the *Directory Entry* named "one", get *inode* # and then *inode* of "one", get its Disk location
- > Open *Directory* one, search *Directory Entries* for the one named "two", get *inode* # and then *inode* of "two", get its Disk location
- > Open *Directory* two, search *Directory Entries* for the one named "three", get *inode* # and then *inode* of "three", get its Disk location
- > Open File "three"

Unix Example

/a/b/c.c:



Unix inodes and Path Search (more detailed overview)

- > Unix *inodes* are not *Directories*
 - An *inode* describes where on the Disk the Data *Blocks* for a *File* are located
 - A Directory is like a File, so its inode just describes the location of its Data Blocks on Disk; but also a Directory's Data Blocks contain its list of Directory Entries
- Each Directory Entry maps a Filename to an inode

For a not-so-elaborate *Filesystem* structure, to open File "/one":

- Read *inode Table* (more later) into *Memory* and find for "/" its *inode* and then its Disk *Block Number*(s) That is the location of its Data *Block*(s) on Disk
- Read the Data *Block* of "/" into *Memory*, look into its Data for a *Directory Entry* named "one" This *Directory Entry* contains the *inode*# for "one"
- Find the *inode* for **"one"** in the *inode Table* (should be regular *File*) and then its Disk *Block Number*(s) That is the location of its *Data Block*(s) on Disk
- Read the Data *Block*(s) into *Memory* to access the *File* Data



Naming

- ➤ Bootstrapping: Where do you start looking?
 - \triangleright Root Directory always inode #2 \rightarrow Note
- > Special names:
 - Root directory: /
 - Current directory: .
 - Parent directory: ...

- > #0 used as a NULL value (indicates an *inode* does not exist); i.e. there is no *inode* #0
- First *inode* is *inode* #1, and is reserved for recording defective *Blocks* on the Disk
- Some special aliases are provided by the *Shell*, not the *Filesystem*:
 - ➤ User's Home *Directory*: ~
 - ➤ Globbing: **foo.*** (expands to all files starting with **foo.**)
- \triangleright Using the given naming, only need two operations to navigate the entire *Namespace*:
 - > cd <name>: Move into (change Current Working Directory context to) Directory Name
 - > 1s: Enumerate all names in Current Working Directory (context)



Basic *Directory* Operations

Unix

Directories implemented in Files

- ➤ Use *File* operations to create *Directories*
- C-library provides a higher-level abstraction:

```
opendir(name)
readdir(DIR*)
seekdir(DIR*)
closedir(DIR*)
```

Windows

Explicit *Directory* operations

CreateDirectory(name)

RemoveDirectory(name)

Very different method for reading Directory Entries

FindFirstFile(pattern)

FindNextFile()

Default Context: Working Directory

- Cumbersome to always have to specify full *Pathnames*
 - In Unix, each process has a "Current Working Directory" (cwd)
 - Filenames not beginning with / are assumed to be relative to the cwd
 - Otherwise translation happens as before
- A Shell also tracks a default list of active contexts
 - A "Search Path" for the Programs you are running
 - (:-separated list of *Pathnames*)
 - Fiven a Search Path A:B:C, the Shell will check in A, then B, then C
 - Can get around this by using explicit paths, e.g. ./foo
- > Example of *Locality*

Hardlinks

More than one Directory Entries can refer to a given File

- > "Hardlink" creates a synonym name for a File (i.e. creates another Directory Entry for it)
- Unix stores count of references (Hardlinks) to the inode (of that File) itself
- If one of the *Hardlinks* is removed (e.g. using **rm**), the data are still accessible through any other *Hardlink* that remains
- > If all Hardlinks are removed, then the space of that File's Data is considered as freed

Existing File Hardlink to create

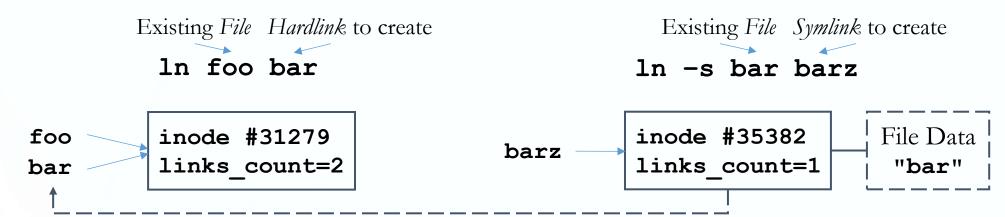
ln foo bar

foo inode #31279
bar links_count=2

Softlinks / Symlinks

Softlinks / Sym(bolic)links: Synonyms for Filenames

- \triangleright Point to a File(/Dir)name, but object can be deleted from underneath it
 - i.e. does not even have to exist
- ➤ Unix implements these like *Directories*:
 - inode itself has special Symlink bit set, and its Data contain name of Symlink target
- When the Filesystem encounters a Symlink inode, it automatically translates it



File Sharing

- File Sharing has been around since Time-sharing
 - Easy to do on a single machine
 - > PCs, workstations, and networks get us there (mostly)
- > File Sharing is important for getting work done
 - ➤ Basis for Communication and Synchronization
- ➤ 2 key issues when sharing *Files*:
 - > Semantics of Concurrent Access
 - What happens when one *Process* reads while another writes?
 - What happens when two *Processes* open a *File* for writing?
 - What should be used to coordinate these?
 - > Protection



Protection

- > Filesystems implement a Protection system
 - ➤ Who can access a File
 - ➤ How they are allowed to access it (read/write/etc.)
- A Protection system dictates whether a given Action performed by a given Subject on a given Object should be allowed
 - > Examples:
 - Your *User* account can read and/or write your own *Files*, but other *Users* cannot
 - You can read /etc/motd, but you cannot write to it

Protection Representation

Access Control Lists (ACL)

For each *Object*, maintain a list of *Subjects* and their permitted *Actions*

Capabilities

For each *Subject*, maintain a list of *Objects* and the permitted *Actions*

Objects

		/one	/two	/three	
Subjects	root	rw	rw	rw	
	alice	rw		r	
<u> </u>	bob	w	r	rw	
					

Capability

ACL

ACLs and Capabilities

- Capabilities are easier to transfer
 - "A Capability is a **token**, **ticket**, or **key** that gives the possessor permission to access an entity or *Object* in a computer system" (Dennis and Van Horn, 1966)
- > ACLs are easier to manage
 - > Object-centric, easy to grant, revoke
 - To revoke Capabilities, have to keep track of all Subjects that have the Capability
 - A challenging problem
- ACLs have a problem when Objects are heavily shared (i.e. many Subjects (/ Users))
 - The ACLs become very large
 - > Mitigation:
 - ➤ Use Subject (/User) Groups (e.g. in Unix)



Unix File Protection

Unix uses both Protection approaches in its Filesystem

- > ACLs:
 - https://linux.die.net/man/5/acl
 - Example: Unix File Permissions
 - View ACL: getfacl <filename>
- > Capabilities:
 - Example: File Descriptors

- ➤ How are they used together?
 - > e.g. making an open () System Call which gets us a File Descriptor

Filesystem Implementation

- A File Descriptor is an application of a Capability
- > When a File is open () ed, a File Descriptor is created and stored in the "filp Table"
 - Each Process has a filp Table, which is stored in Kernel-Space
 - The *User-Space* Application is given access to the index of the *File Descriptor*
 - We often call this index itself the File Descriptor, but it is actually just an index to the **filp** Table
 - The **filp** Table is actually a Capability list (contains a list of File Descriptors)
 - Each *File Descriptor* contains a *Permission* part that describes what the *Process* can do to this file; the *File Descriptor* also contains an identifier, which is the address of the file's *inode*

Note: A File Descriptor in the Process' filp Table is a Capability that allows the Process to access the File in a specific way, even after a change in the ACL of the File

• (i.e. the "token / ticket / key" is not revoked)



	Disk	MLC NAND Flash	DRAM
Smallest write	sector	sector	byte
Atomic write	sector	sector	byte/word
Random read	8 ms	3-10 μs	50 ns
Random write	8 ms	9-11 µs*	50 ns
Sequential read	100 MB/s	550-2500 MB/s	> 1 GB/s
Sequential write	100 MB/s	520-1500 MB/s*	> 1 GB/s
Cost	\$0.03/GB	\$0.35/GB	\$6/GiB
Persistence	Non-volatile	Non-volatile	Volatile

^{*:} Flash write performance degrades over time



Filesystems vs Virtual Memory

Disk review:

- Disk reads/writes in terms of *Sectors*, not Bytes
 - Read/write single *Sector* or adjacent groups

"Read-Modify-Write"

- ➤ How is a single Byte written
 - Read in *Sector* containing the Byte
 - Modify that Byte
 - ➤ Write entire *Sector* back to Disk

Sector = Unit of Atomicity

- > Sector Write will be done completely, even if a crash happens in middle
 - Disk saves up enough momentum to guarantee its completion
- Larger Atomic units have to be Synchronized by OS



- > Trends:
- ➤ Disk Bandwidth and cost-per-bit improving exponentially
 - ➤ Similar to CPU speed, Memory size, etc.
- Seek Time and Rotational Delay improving very slowly
 - Require mechanical motion (e.g. disk arm)
- Disk access is a huge system bottleneck & getting worse
 - ➤ Bandwidth increase lets system (pre-)fetch large chunks for about the same cost as a smaller chunk
 - > Trade Bandwidth for Latency if you can get lots of related stuff
- ➤ Desktop *Memory* size increasing faster than typical workloads
 - More and more of workload fits in File Cache
 - Disk traffic changes: Mostly writes and new data
- ➤ Memory and CPU resources increasing
 - ➤ Use *Memory* and CPU to make better decisions
 - Complex prefetching to support more I/O patterns
 - Delay in Data Placement decisions reduces random I/O



- ➤ Goal: Operations should have as few Disk Accesses as possible & at minimal Space overhead
 - i.e. by grouping related things
- ➤ What's hard about grouping *Blocks*?
 - Remember: Virtual Memory Pages and Process Locality
- Like Page Tables, the Filesystem Metadata construct mappings
 - e.g. Page Table: Provides mapping of Virtual Page Number to Physical Page Number
 - ➤ File Metadata:

 Provide mapping of Byte Offset to Disk Block Address
 - ➤ Directory Data (i.e. List of Directory Entries):

 Provide mapping of Name to inode # (i-number)



- ➤ In both Filesystems and Virtual Memory management, want location transparency
 - Application shouldn't care about particular Disk *Blocks* or *Physical Memory* locations
- ➤ In some ways, *Filesystems* have an easier job than *Virtual Memory*:
 - > CPU time to do Filesystem mappings not a big deal
 - No Virtual Address Space invalidation on Context Switch, TLB Misses, etc.
 - ► Page Tables deal with sparse Address Spaces and random access
 - Files often denser ([0 ... filesize 1] ~ Sequentially Accessed)
- ➤ In some ways, a *Filesystem*'s problem is harder:
 - Each layer of translation = potential Disk Access
 - > Space a huge premium! (But Disk can be huge)
 - Disk Cache Space never enough; amount of Data you can get in a single fetch never enough
 - Range very extreme: Many files < 10 KB, some files GB



- Some Working Intuitions:
- Filesystem performance dominated by # of Disk Accesses
 - ➤ If each Disk Access costs ~10 milliseconds, touching the Disk 100 times = 1 second
 - > Can perform a billion ALU operations in same time
- Disk Access cost dominated by Mechanical Motion, not Transfer:
 - \triangleright 1 Sector. $5ms + 4ms + 5\mu s (\approx 512 B/(100 MB/s)) \approx 9ms$
 - \triangleright 50 Sectors: 5ms + 4ms + .25ms = 9.25ms
 - \triangleright Can get 50x the data for only \sim 3% more overhead
- > Important Observations:
 - All *Blocks* in *File* tend to be used together, sequentially
 - All Files in a Directory tend to be used together
 - All Names in a Directory tend to be used together



Problem: How to Track a File's Data

- Disk management:
 - Need to keep track of where File contents are on Disk
 - Must be able to use this to map Byte Offset to Disk Block Address

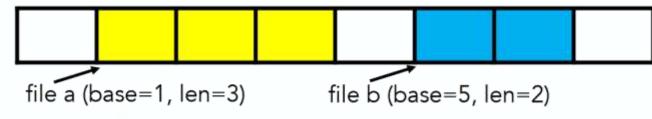
The "Index Node" -or- "inode"

- A structure that tracks a File's Disk Sectors
- > inodes must be stored on Disk too (Remember: Persistence)
- Things to keep in mind while designing *File* structure:
 - Most Files are small
 - > Much of the Disk is allocated to large Files
 - ➤ Many of the I/O operations are made to large Files
 - Want good Sequential and good Random Access
 - Each imposes its own requirements



First Idea: Contiguous Allocation

- > "Extent-based": Allocate Files similarly to Virtual Memory Segmentation model
 - > When creating a File, do "Allocate-on-Flush"
 - inode contents: Location, Size



Fragmentation:

What if File c needs 2 Sectors

Example: IBM OS/360

Advantages

Simple, fast Access, both Sequential and Random

Disadvantages (think of corresponding Virtual Memory Segmentation scheme)

- Files may not dynamically grow after creation
- > External Fragmentation



Better Idea: Linked Files

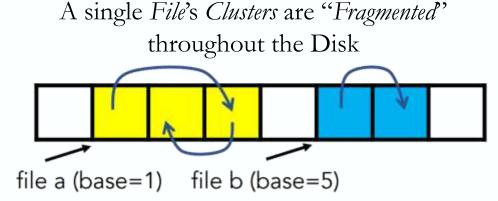
- ➤ Basically a Singly-Linked List on Disk
 - ➤ Keep a Singly-Linked List of all Free Blocks
 - inode contents: a pointer to File's first Block
 - In each *Block*, keep a pointer to the next one
- Examples (sort-of): Alto, TOPS-10, DOS FAT

Advantages

Easy dynamic growth & Sequential Access, no External Fragmentation

Disadvantages

- Linked Lists on Disk a bad idea because of Access Times
- Random Access very slow (e.g. have to traverse whole File to Access last Block)
- Pointers necessary in every *Block*, skewing Data alignment





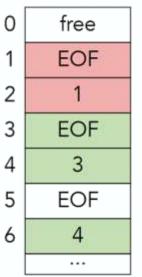
File Allocation Table (FAT) – Example: DOS Filesystem

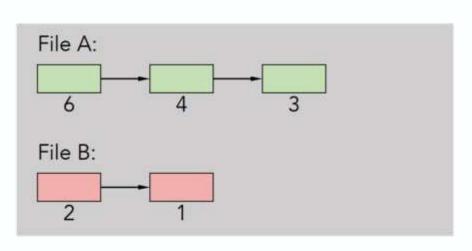
Linked Files with key optimization:

- Links are placed in fixed-size "File Allocation Table" (FAT), rather than individually inside each Block
- > Still does Pointer chasing, but can cache entire FAT in *Memory* (cheaper than Disk Access)

FAT (16-bit entries)

Directory (5)
A: 6
B: 2

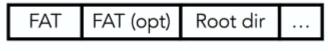




FAT Discussion

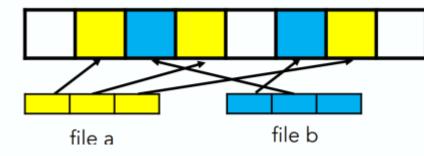
Entry size = 16 bits (initial FAT16 in MS-DOS 3.0)

- \triangleright Maximum size of the FAT: $2^4 = 65,536$ Entries
- \triangleright Given a 512 Byte *Block*, maximum size of *Filesystem*: $2^4 * 512 B = 32 MiB$
- ➤ One solution: Go to bigger *Blocks* (Pros? Cons?)
- > Space overhead of FAT itself is trivial
 - \triangleright 2 Bytes (16-bit Pointer size) / 512 Byte *Block* = \sim 0.4%
- Reliability: How to protect against errors?
 - > Create duplicate copies of FAT on the Disk
 - > State duplication a very common theme in Reliability
- ➤ Bootstrapping: Where is Root *Directory*?
 - Fixed Location on Disk: The special "Root Directory Region"
 - FAT has: 1) Reserved Sectors, 2) FAT Region (contains FAT #1 & FAT #2 (optional Reliability copy)
 - 3) Root Directory Region, 4) Data Region



Another Idea: Indexed Files

- Have an Array that holds all of a File's Block Pointers
 - Similarly to a *Page Table*, so will have similar issues
 - Max File Size determined by Array's size
 - > Static or Dynamic?
 - Allocate *Array* to hold *File*'s *Block* Pointers on *File* creation



Allocate actual *Blocks* on demand using *Freelist* of the Disk's *Blocks*

Advantages

➤ Both Sequential and Random Access easy

Disadvantages

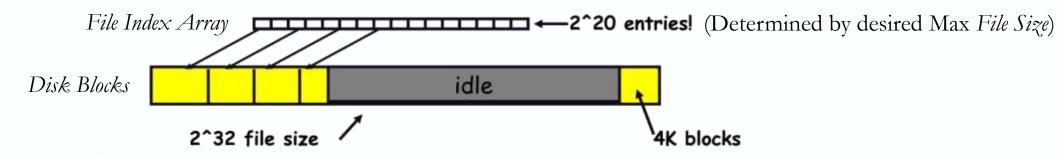
- Mapping Table (for all File Arrays) requires large chunk of Contiguous Space
- > Same problem we were trying to solve initially



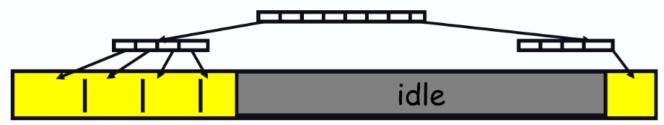


Indexed Files Discussion

- ➤ Issues similar as with Page Tables
 - Facilitate large Max File Size \rightarrow Suffer lots of unused Entries (per File Index Array)
 - Mapping Table (all File Index Arrays) requires large chunk of Contiguous Space



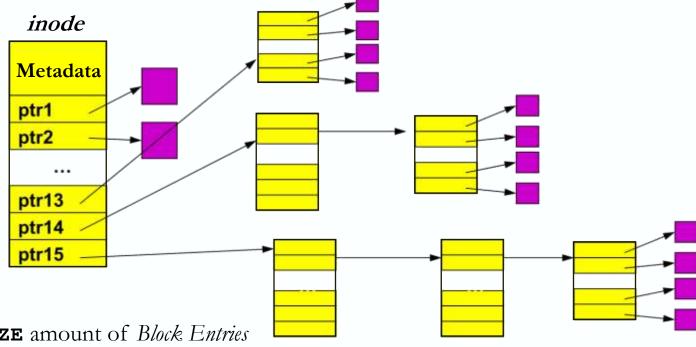
Solve similarly: Add Indirection Layers & use Multi-Level Index Arrays



Multi-Level Indexed Files: Unix inodes

Unix inode:

- ➤ inode = 15 Block Pointers (+ Metadata)
- First 12 are Direct Blocks
 - Giving faster Access performance for initial *Blocks*
- Then 1 *Single*-indirect *Block*, 1 *Double*-indirect *Block*, 1 *Triple*-indirect *Block*



Note:

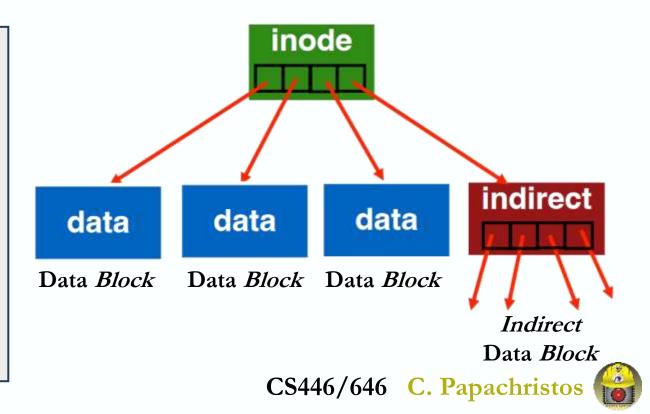
Indirect Blocks: Contain BLKSIZE/PTRSIZE amount of Block Entries

More about inodes

Note: Information about file_type (File/Directory/Symlink/Character Device/etc.) stored in corresponding Directory Entry that points to this inode, e.g.: <inode, file_type, name_len, name>

struct inode

```
uid (Owner)
gid (Group)
rwx (Permissions)
size (of File in Bytes)
blocks (# Blocks of this File – whether
                               used or not)
atime (Access Time)
mtime (Modification Time)
ctime (Creation Time)
dtime (Deletion Time)
links_count (Hardlinks-# of Paths)
block[15] (12+1+1+1 Data Blocks)
```



More about inodes

The "inode Table": Array that stores inodes (one inode for every File)

- (Similar functionality to the *Mapping Table* for all *Files* on the *Filesystem*)
- inode Table: Fixed-size (can support max # of inodes) when Disk is initialized; can't be changed
- Lives in known Location, originally at outer edge of Disk
 - This resulted in long seeks between *inodes* and *File* Data, improved by having many small *inode Tables* spread across the Disk

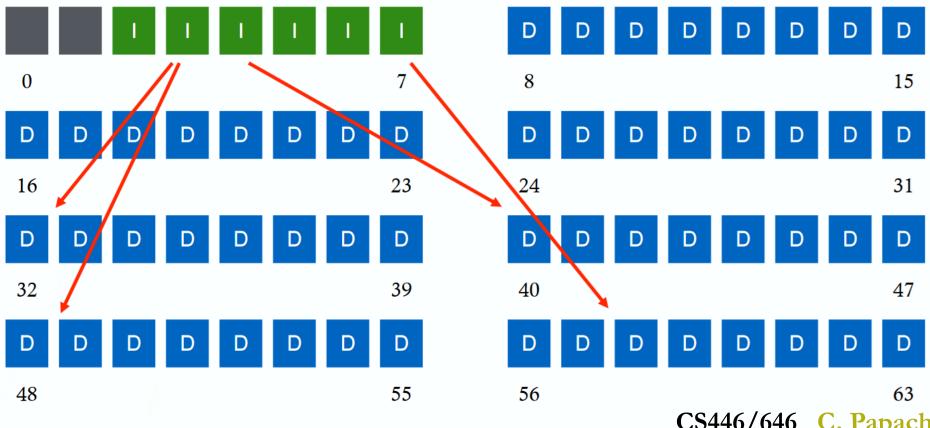
Inode array file blocks ...

- The index of an *inode* in the *inode Table* called the "*i-number*"
 - Internally, the OS refers to Files by i-number
 - When File is opened, its inode is brought in to Memory
 - Written back to Disk when modified and File closed, or timeout elapses



More about inodes

Example: inode Table located at start of Disk



Remember: Unix inodes and Path Search

- > Unix *inodes* are not *Directories*
 - An *inode* describes where on the Disk the Data *Blocks* for a *File* are located
 - A Directory is like a File, so its inode just describes the location of its Data Blocks
 - Remember: Its Data is a List of *Directory Entries*
- Directory Entries map Filenames to inodes
 - To open /one, read inode #2 ("/") from inode Table on Disk into Memory
 - Read Data (Directory Entries) of "/" from Disk into Memory, find Entry for "one"
 - This *Entry* gives the *inode* # for "one"

Note: 4 Disk

From the *inode Table* again, find the *inode* for "one"

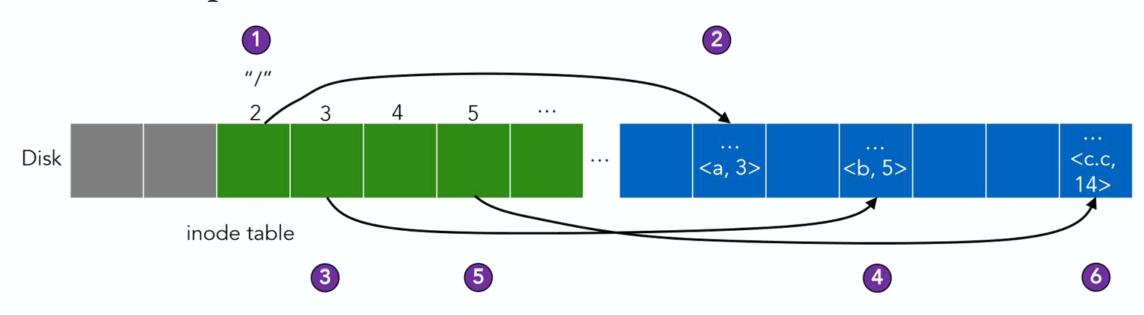
Accesses

The *inode* says where the Data *Blocks* of "one" are on Disk

required

Read its first *Block* into *Memory* to access the initial Data stored by the *File*

Unix Example: /a/b/c.c



- > inode #2 holds File for /
- > inode #3 holds File for a
- > inode #5 holds File for b
- > inode #14 holds File for c.c



File Buffer Cache

- Disk operations are slow, but Applications exhibit Locality for reading and writing Files
- ➤ Idea: Cache File Blocks into Memory to capture Locality
 - > Called the "File Buffer Cache"
 - File Buffer Cache is system-wide, used and shared by all Processes
 - Reading from the *File Buffer Cache* helps Disk-based operations to perform more like *Memory*-based ones
 - Even a small File Buffer Cache can be very effective
- > Issues
 - The File Buffer Cache competes with Virtual Memory for Space (tradeoff here)
 - Like with *Virtual Memory*, there are actual limitations (due to *Physical Memory*)
 - ➤ Need Replacement Algorithms again (LRU usually used)



Caching Writes

- ➤ OSes typically do "Write-Back Caching"
 - Maintain a queue of *Uncommitted Blocks*
 - Periodically flush the queue to Disk (30 second threshold)
 - ➤ If *Blocks* changed many times in 30 secs, only need one I/O
 - ➤ If Blocks deleted before 30 secs (e.g. /tmp), no I/Os needed
- > Unreliable, but practical
 - > On a crash, all writes within last 30 secs are lost
 - Modern OSes do this by default; too slow otherwise
- > System Calls exist to enable Applications to force-write data to Disk
 - > e.g. fsync(): Flush OS Buffers to Physical Media (Device)



Read-Ahead

- ➤ Many Filesystems implement "Read-Ahead"
 - > Filesystem "predicts" that the Process will request a next Block
 - Filesystem requests it from the Disk ahead of time
 - This can happen while the *Process* is executing on the previous *Block*
 - Overlap I/O with execution
 - When the *Process* requests next *Block*, it will already be in the *Read-Ahead Cache*
 - Complements the Disk (Hardware) Cache
 - Remember: Disk is also is doing Read-Ahead
- For Sequentially-Accessed Files can be a big win
 - > Unless *Blocks* for the *File* are scattered across the Disk
 - Filesystems try to prevent that though (through proper File allocation)



CS-446/646 Time for Questions! CS446/646 C. Papachristos