# CS 326 Programming Languages, Concepts and Implementation

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Scheme

# The Scheme Programming Language

- 1950s-1960s: Lisp developed at MIT (John McCarthy), based on lambda calculus (Alonzo Church)
- Lisp the first functional programming language
- No single standard evolved for Lisp
- Two major Lisp dialects Common Lisp and Scheme
- Scheme developed at MIT in 1970s
- Member of functional class of programming languages
- Actually has a functional core plus some imperative features
- Main application symbolic computing, artificial intelligence

# The Structure of a Scheme Program

- All programs and data are expressions
- Expressions can be atoms or lists
- Atom: number, string, identifier, character, boolean
- List: sequence of expressions separated by spaces, between parentheses

#### Syntax:

```
expression \rightarrow atom | list atom \rightarrow number | string | identifier | character | boolean list \rightarrow ( expr_seq ) expr_seq \rightarrow expression expr_seq | expression
```

# Interacting with Scheme

Interpretor: "read-eval-print" loop

Reads 1, evaluates it (1 evaluates to itself), then prints its value

+ => function +

$$3 => 3$$

Applies function + on operands 2 and 3 => 5

## **Evaluation**

Constant atoms - evaluate to themselves

```
- a number
3.14 - another number
"hello" - a string
#/a - character 'a'
#t - boolean value "true"

> "hello world"
"hello world"
```

## **Evaluation**

Identifiers (symbols) - evaluate to the value bound to them

```
(define a 7)
> a
7
> +
##procedure +>
```

## **Evaluation**

 Lists - evaluate as "function calls": (function arg1 arg2 arg3 ...)

- First element must evaluate to a function
- Recursively evaluate each argument
- Apply the function on the evaluated arguments

```
> (- 7 1)6> (* (+ 2 3) (/ 6 2))15
```

# Operators - More Examples

- Prefix notation
- Any number of arguments

# Preventing Evaluation (quote)

Evaluate the following:

```
> (1 2 3)
Error: attempt to apply non-procedure 1.
```

Use the quote to prevent evaluation:

```
> (quote (1 2 3))
(1 2 3)
```

Short-hand notation for quote:

```
> '(1 2 3)
(1 2 3)
```

## More Examples

```
(define a 7)
                 => 7
a
'a
                 =>a
(+23) => 5
'(+23) => (+23)
((+ 2 3)) => Error: attempt to apply non-procedure 5.
'(her 3 "sons") => (her 3 "sons")
```

Make a list:

# Forcing Evaluation (eval)

```
(+ 1 2 3) => 6

'(+ 1 2 3) => (+ 1 2 3)

(eval '(+ 1 2 3)) => 6

(list + 1 2) => (+ 1 2)

(eval (list + 1 2)) => 3
```

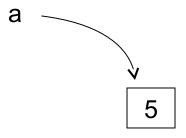
- Eval evaluates its single argument
- Eval is implicitly called by the interpretor to evaluate each expression entered:

"read-eval-print" loop

# **Special Forms**

 Have different rules regarding whether/how arguments are evaluated

(define a 5) ; binds a to 5, does not evaluate a



(quote (1 2 3)); does not evaluate (1 2 3)

There are a few other special forms – discussed later

# **Using Scheme**

- Petite Chez Scheme
  - free downloads for Windows, Linux, Unix
- The essentials:

```
scheme ; to start Scheme from the Unix prompt

'C ; to interrupt a running Scheme program

(exit) ; to exit Scheme
```

Documentation available on Chez Scheme webpage

## Announcements

- Readings
  - May look at Scheme books or on-line references

cons – returns a list built from head and tail

```
(cons 'a '(b c d)) => (a b c d)

(cons 'a '()) => (a)

(cons '(a b) '(c d)) ((a b) c d)

(cons 'a (cons 'b '())) => (a b)

(cons 'a 'b) => (a . b) ; improper list
```

car – returns first member of a list (head)

```
(car '(a b c d)) => a

(car '(a)) => a

(car '((a b) c d)) => (a b)

(car '(this (is no) more difficult)) => this
```

cdr – returns the list without its first member (tail)

```
(cdr '(a b c d)) => (b c d)

(cdr '(a b)) => (b)

(cdr '(a)) => ()

(cdr '(a (b c))) => ((b c))

(car (cdr (cdr '(a b c d)))) => c

(caddr '(a b c d)) => c
```

null? – returns #t if the list is null ()
 #f otherwise

list – returns a list built from its arguments

```
(list 'a 'b 'c) => (a b c)

(list 'a) => (a)

(list '(a b c)) =★(a b c))

(list '(a b) 'c) =★(a b) c)

(list '(a b) '(c d)) =★(a b) (c d))
```

length – returns the length of a list

```
(length '(1 3 5 7)) => 4
(length '((a b) c)) 2 =>
```

reverse – returns the list reversed

```
(reverse '(1 3 5 7)) => (7 5 3 1)
(reverse '((a b) c)) => (c (a b))
```

append – returns the concatenation of the lists received as arguments

```
(append '(1 3 5) '(7 9)) => (1 3 5 7 9)

(append '(a) '()) => (a)

(append '(a b) '((c d) e)) => (a b (c d) e)
```

# Type Predicates

Check the type of the argument and return #t or #f

```
(boolean? x) ; is x a boolean?
(char? x) ; is x a char?
(string? x) ; is x a string?
(symbol? x) ; is x a symbol?
(number? x) ; is x a number?
(list? x) ; is x a list?
(procedure? x) ; is x a procedure (function)?
```

# **Boolean Expressions**

```
(< 12)
                           => #t
(>= 34)
                           => #f
(= 4 4)
                           => #t
                        => #f ; same object?
(eq? '(a b) '(a b))
(equal? '(a b) '(a b))
                                    => #t ; recursively equivalent
  structure?
(not (> 56))
                                    => #t
(and (< 3.4) (= 2.3))
                                   => #f
(or (< 3.4) (= 2.3))
                           => #t
```

 and, or are special forms - evaluate arguments only while needed

# **Conditional Expressions**

if – has the form:

```
(if <test_exp> <then_exp> <else_exp>)

(if (< 5 6) 1 2) => 1

(if (< 4 3) 1 2) => 2
```

Anything other than #f is treated as true:

```
(if 3 4 5) => 4
(if '() 4 5) => 4 ; as opposed to Lisp!!
```

 if is a special form - evaluates its arguments only when needed:

(if 
$$(= 3 \ 4) \ 1 \ (2)$$
) =\(\frac{1}{2}\) rror: attempt to apply non-procedure 2. (if  $(= 3 \ 3) \ 1 \ (2)$ ) => 1

# **Conditional Expressions**

cond – has the form:

```
(cond
  (<test_exp1> <exp1> ...)
 (<test exp2> <exp2> ...)
 (else <exp> ...))
(define n -5)
(cond ((< n 0) "negative")
      ((> n 0) "positive")
      (else "zero")) => "negative"
```

 cond is a special form - evaluates its arguments only when needed

# Syntax (C vs. Scheme)

#### C

```
1 + 2 + 3
3 + 4 * 5
factorial (9)
(a == b) && (c != 0)
(low < x) && (x < high)
f (g(2,-1), 7)
```

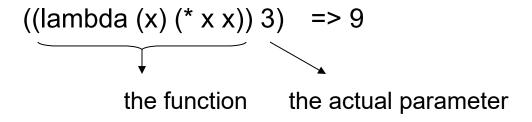
#### Scheme

Create a function by evaluating a lambda expression:

```
    (lambda (id1 id2 ...) exp1 exp2 ...)
    id1 id2 ... - formal parameters
    exp1 exp1 ... - body of the function
    return value of function - last expression in body
    return value of lambda expression - the (un-named) function
    (lambda (x) (* x x)) => #procedure>
```

 Returns an un-named function that takes a parameter and returns its square

 Call a function by applying the evaluated lambda expression on its actual parameters:



- How can you reuse the function?
  - You can't!
- Why is it then useful?
  - Return a function from another function
- What if you REALLY want to reuse it?

Bind a name to a function:

(define square (lambda (x) (\* x x)))

square

###procedure>

Equivalent short-hand notation (typical way to use it):

Now call the function:

Functions vs. variables:

```
(define f 3)
f => 3
(f)
Error: attempt to apply non-procedure 3.
(define (f) 3)
f  #<procedure>
(f)
3 =>
```

Last definition is equivalent to:

```
(define f (lambda () 3)) ; a function that takes no parameters and ; returns 3
```

#### C

# if (a == 0) return f(x,y); else return g(x,y);

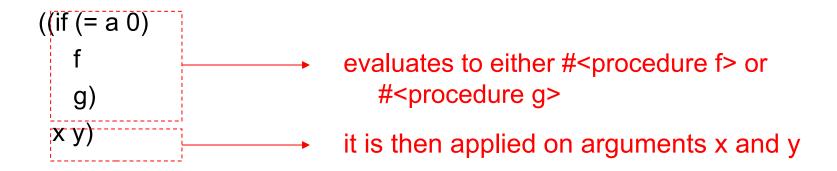
#### Scheme

C

```
if (a == 0)
    return f(x,y);
else
    return g(x,y);
```

Can we write it better in Scheme?

#### Scheme



## Interacting with Scheme

- Instead of writing everything at the Scheme prompt, you can:
  - write your function definitions and your global variable definitions (define...) in a file ("file\_name")
  - at the Scheme prompt, load the file with: (load "file\_name")
  - at the Scheme prompt call the desired functions
  - there is no "formal" main function

## **Announcements**

#### Homework

- HW 2 out due February 20
- Submission
  - Submit your code in Canvas as one "hw2.txt" file containing all your functions.
  - The file must be able to load and be tested in the interpreter.
    - Consequently, the file must be in a plain text format; do <u>not</u> submit Word, PDF, RTF, JPG or any such types of files.
    - Also make sure that any auxiliary information (such as your name or question numbers) is commented out.

- Recursion plays a greater role in Scheme than in other languages
- Why?
- Functional programming avoid side effects (assignments) and iterations
- Recursive data structures a list is either empty, or has a car and a cdr; the cdr is (again) a list
- Elegance recursive algorithms are considered more elegant than iterative ones (just ask a Scheme or Lisp programmer!)

- How do you solve a problem recursively?
- Do not rush to implement it
- Think of a recursive way to describe the problem:
  - Show how to solve the problem in the general case, by decomposing it into similar, but smaller problems
  - Show how to solve the smallest version of the problem (the base case)
- Now the implementation should be straightforward (in ANY language)
  - But don't forget to handle base case first when implementing

- How do you THINK recursively?
- Example: define factorial

$$factorial(n) = \underbrace{1 * 2 * 3 * ...(n-1) * n}_{factorial}$$

$$factorial(n-1)$$

$$case)$$

$$factorial(n) = \begin{cases} 1 & \text{if } n=1 \\ n * factorial(n-1) & \text{otherwise} \\ step) \end{cases}$$

$$(inductive)$$

Implement factorial in Scheme:

Fibonacci:

$$fib(n) = \begin{cases} 0 & \text{if } n = 0 \\ 1 & \text{if } n = 1 \\ fib(n-1) + fib(n-2) & \text{otherwise} \end{cases}$$

Implement in Scheme:

```
(define (fib n)

(cond

((= n 0) 0)

((= n 1) 1)

(else (+ (fib (- n 1)) (fib (- n 2))))))
```

Length of a list:

```
0 if list is empty
len(lst) =
1 + len (lst-without-first-element) otherwise
```

Implement in Scheme:

```
(define (len lst)
(if (null? lst)
0
(+ 1 (len (cdr lst)))))
```

Sum of elements in a list of numbers:

```
sum(lst) = 0 if list is empty

sum(lst) = first-element + sum (lst-without-first-element) otherwise
```

Implement in Scheme:

```
(define (sum lst)
(if (null? lst)
0
(+ (car lst) (sum (cdr lst)))))
```

Check membership in a list:

Return the nth element in a list:

Return a reversed list:

#### In general:

- When recurring on a list lst, ask two questions about it: (null? lst) and else
- When recurring on a number n, ask two questions about it: (= n 0)
   and else
- When recurring on a list lst, make your recursive call on (cdr lst)
- When recurring on a number n, make your recursive call on (- n 1)

- let has a list of bindings and a body
  - each binding associates a name to a value
  - bindings are local (visible only in the body of let)
  - let returns the last expression in the body

```
    > (let ((a 2) (b 3)); list of bindings
        (+ a b)); body - expression to evaluate and return
    => Error: variable a is not bound.
    => Error: variable b is not bound.
```

Factor out common sub-expressions:

$$f(x,y) = x(1+xy)^{2} + y(1-y) + (1+xy)(1-y)$$

$$a = 1+xy$$

$$b = 1-y$$

$$f(x,y) = xa^{2} + yb + ab$$

$$a = 1+xy$$
  
 $b = 1-y$   
 $f(x,y) = xa^2 + yb + ab$ 

Locally define the common sub-expressions:

Functions can also be declared locally:

```
(let ((a 3)
(b 4)
(square (lambda (x) (* x x)))
(plus +))
(sqrt (plus (square a) (square b)))) => 5
```

let makes its bindings in parallel:

- 1. First evaluate all expressions in the binding list
- 2. Then bind all names in binding list to those values

Bad let bindings:

```
(let ((x 1)
	(y (+ x 1)))
	(list x y)) => Error: variable x is not
bound.
```

- What if we want y to be computed in terms of x?
  - Need to use let\*

 let\* - similar to let, except that bindings are made sequentially

```
(let^* ((x 1) (y (+ x 1)))
(list x y)) => (1 2)
```

- How can you do this using let?
- let\* is equivalent to ("syntactic sugar" for):

```
(let ((x 1))
(let ((y (+ x 1)))
(list x y)))
```

- What if we want to locally define a recursive function?
- letrec similar to let\*, but allows recursive definitions
- Define factorial locally:

=> 120

#### Indentation

- Indentation is essential in Scheme. With all these parentheses, we need something to depend on
- There are two main schools of thought on this:

 You may choose the style you like most, but be consistent and ALWAYS indent. Otherwise you will not be able to read or debug your own program

read - returns the input from the keyboard

```
> (read)
234 ; user types this
234 ; the read function returns this
> (read)
"hello world"
"hello world"
```

display - prints its single parameter to the screen

```
 (display "hello world")hello world (display (+ 2 3))
```

- newline displays a new line
- Define a function that asks for input:

```
(define (ask-them str)
  (display str)
  (read))
> (ask-them "How old are you? ")
How old are you? 32
32
```

 Define a function that asks for a number (if it's not a number it keeps asking):

```
(define (ask-number)
  (display "Enter a number: ")
  (let ((n (read)))
  (if (number? n)
  (ask-number))))
> (ask-number)
Enter a number: a
Enter a number: (5 6)
Enter a number: "Why don't you like these?"
Enter a number: 7
```

 An outer-level function to go with factorial, that reads the input, computes the factorial and displays the result:

```
(define (factorial-interactive)
  (display "Enter an integer: ")
  (let ((n (read)))
    (display "The factorial of ")
    (display n)
    (display " is ")
    (display (factorial n))
    (newline)))
> (factorial-interactive)
Enter an integer: 4
The factorial of 4 is 24
```

### Announcements

- Readings
  - May look at Scheme books or on-line references

- In Scheme, a function is a first-class object it can be passed as an argument to another function, it can be returned as a result from another function, and it can be created dynamically
- A function is called a higher-order function if it takes a function as a parameter, or returns a function as a result

#### map

- takes as arguments a function and a sequence of lists
- there must be as many lists as arguments of the function, and lists must have same length
- applies the function on corresponding sets of elements from the lists
- returns all the results in a list

```
(define (square x) (* x x))

(map square '(1 2 3 4 5)) => (1 4 9 16 25)

(map + '(1 2 3) '(4 5 6)) => (5 7 9)
```

You can also define the function in-place:

$$(map (lambda (x) (* 2 x)) '(1 2 3)) => (2 4 6)$$

#### apply

- takes a function and a list
- there must be as many elements in the list as arguments of the function
- applies the function with the elements in the list as arguments

```
(apply * '(5 6)) => 30
(apply append '((a b) (c d))) =≯a b c d)
```

Comparison to eval:

```
(eval <expression>) or (eval (<func> <arg1> <arg2>...)) (apply <func> (<arg1> <arg2>...))
```

 Define a function compose, that composes two functions given as parameters:

```
(define (compose f g)

(lambda (x)

(f (g x))))

((compose car cdr) '(1 2 3)) =2
```

compose not only takes functions as arguments, but also returns a function

 Define a function filter, that takes a predicate function (one that returns #t or #f) and a list, and returns a list containing only elements that satisfy the predicate:

Building procedures:

```
(define (greater-than-n? n)
       (lambda (x) (>= x n)))
                                =#procedure>
    greater-than-n?
    (greater-than-n? 2)
                                =#procedure>
       ; this is a predicate with one parameter x, that checks if x \ge 2
((greater-than-n? 2) 3) =>
                                 #t
   (define greater-than-2? (greater-than-n? 2))
   (greater-than-2? 3)
                            => #t
```

## Sequencing

- begin
  - defines a block of expressions that are sequentially evaluated
  - returns the last expression in the block(begin <exp1> <exp2> ... <expn>)
- Typical use in if expressions, where normally only one expression is allowed for each branch:

## Programming without Side-Effects

```
(define x 1)

(+ x 1) => 2

x => 1

(define y '(1 2 3))

(reverse y) => (3 2 1)

y => (1 2 3)
```

- Functions compute a result based on the input, and return it
- They do not alter the input

# **Coming Next**

- What is missing so far?
- assignment operations
- iterations ("for", "while"...)
- pointers
- allocation

### Imperative Features

- So far, almost everything we discussed has conformed to a "pure" functional programming style (without side-effects)
- What has not?
  - define
  - display
- Significant departure from functional programming discuss a number of imperative extensions to the Scheme language – assignment, iteration
- In general, functional programming is the preferred style (also easier to use) in Scheme

## Assignment

- set!
  - assigns a value to a variable
  - return value is unspecified

```
(set! x 1)
(set! y "apple")
```

- Difference between set! and define:
  - define introduces a new symbol in the current scope, and binds it to a value
  - set! modifies an existing symbol

## Assignment

Difference between set! and define:

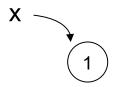
```
(begin
                                            (begin
    (define x 3)
                                                (define x 3)
    (display x)
                                                (display x)
    (let ((y 4)))
                                                (let ((y 4)))
            (define x 5)
                                                        (set! x 5)
            (display x))
                                                        (display x))
    (display x))
                                                (display x))
What is displayed?
                         353
                                                                    355
                                            What is displayed?
```

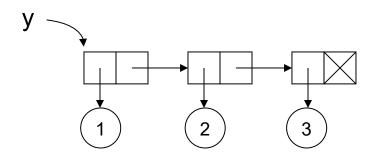
- define introduced a new variable x, locally within let
- set! just changed the global variable x

## Internal Structure of Expressions

- Implicitly, all variables are pointers that are bound to values
  - Atom values:

- List values:





- Each element in the list is a cons cell, which contains:
  - a pointer to a value
  - a pointer to the next cons cell

## Internal Structure of Expressions

- cons did not alter list x
- the list elements b and c are shared
- no side-effects

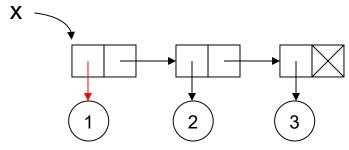
## Internal Structure of Expressions

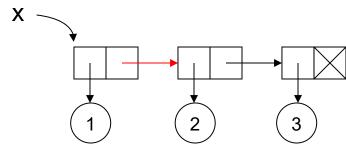
```
(define x '(b c))
(define y '(d))
(define z (append x y))
                    => (b c)
X
                    => (d)
                    => (b c d)
```

- in order not to alter list x, append created new instances of b, c
- no side-effects

- To alter the structure of a list:
- set-car! changes the pointer-tovalue in the first cons cell

 set-cdr! - changes the pointer-tonext in the first cons cell





- They alter the arguments (lists) passed to them
- Exclamation mark (!) traditionally used in names of functions that alter their arguments
- Exhibit side-effects, not a functional feature

Change the first element in a list:

```
(define x '(a b c))

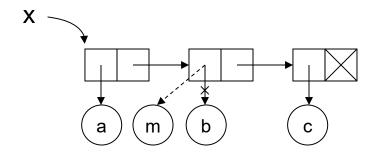
x => (a b c)

(set-car! x 'm)

x (m +b>c)
```

Change the second element in a list:

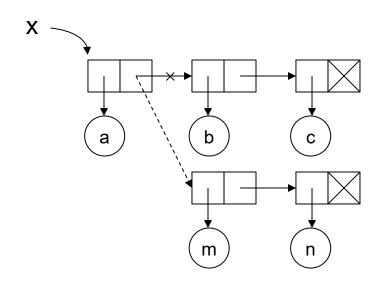
```
(define x '(a b c))
x => (a b c)
```



```
(set-car! (cdr x) 'm)
x (a m+s)
```

Change the list tail:

```
(define x '(a b c))
x => (a b c)
```



```
(set-cdr! x '(m n))
x (a m→ n)
```

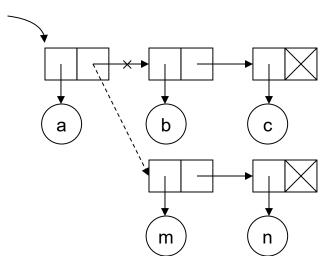
Insert an element in the second position:

```
(define x '(a b c))
                    => (a b c)
X
(set-cdr! x (cons 'm (cdr x)))
                 (a m=b c)
Χ
```

## Memory Management

- The programmer never needs to explicitly allocate memory
- Memory is allocated as needed by the language implementation (interpretor)

```
(define x '(a b c))
(set-cdr! x '(m n))
```



- How can the memory be deallocated? What happens to the list (b c)?
  - Garbage collection (periodically checks and deallocates any memory cells that are not referenced by anyone)

#### do

- very general iteration mechanism
- has three parts
  - a list of triples (<variable> <init-value> <update-value>)
  - a pair (<termination-test> <return-value>)
  - body (sequence of expressions to be evaluated in each iteration)

Display all integer numbers from 0 to n:

```
(define (display-num n)
  (do ( (i 0 (+ i 1)) )
                            ; initially 0, incremented each iteration
    ( (> i n) "Done!" )
                            ; termination test and return value
    (display i)
                            ; body
    (newline)))
                            ; body
> (display-num 3)
0
3
"Done!"
```

Iterative version of factorial:

Display the first n Fibonacci numbers:

```
(define (display-fib n)
  (do ( (i 0 (+ i 1)); initially 0, incremented each iteration
      (a1 0 a2); initially 0, set to a2 each iteration
      (a2 1 (+ a1 a2))); initially 1, set to a1+a2 each iteration
    ((= i n) "Done!") ; termination test and return value
    (display a1); body
    (display " "))); body
> (display-fib 7)
0 1 1 2 3 5 8 "Done!"
```

## A Checkbook Program

```
(define (checkbook)
     (letrec ((IB "Enter initial balance: ")
                  (AT "Enter transaction (- for withdrawal): ")
                  (FB "Your final balance is: ")
                  (prompt-read (lambda (Prompt)
                            (display Prompt)
                (read)))
    ; Compute the new balance given an initial balance init and a new transaction t
    ; Termination occurs when t is 0
                  (newbal (lambda (Init t)
                (if (= t 0))
                   (list FB Init)
                   (transaction (+ Init t)))))
    ; Read the next transaction and pass the information to newbal
                   (transaction (lambda (lnit)
                (newbal Init (prompt-read AT)))))
    ; Body of checkbook - prompts for the starting balance
         (transaction (prompt-read IB))))
```

## A Checkbook Program

Here is a sample run:

```
> (checkbook)
Enter initial balance: 100
Enter transaction (- for withdrawal): -20
Enter transaction (- for withdrawal): -40
Enter transaction (- for withdrawal): 150
Enter transaction (- for withdrawal): 0
("Your final balance is: " 190)
```

Note the use of recursion instead of iteration

#### Announcements

- Readings
  - Chapter 3, up to 3.2.3