

# 第四章 近似方法

## Techniques of Approximation



## § 4.1 变分法 The Variation Method

### 4.1.1 变分原理 The Variation Theorem

设给定体系的Hamilton算符 $\hat{H}$ , 其本征函数为 $\psi_i$ , 则  $\hat{H}\psi_i = E_i\psi_i$

$\{\psi_i\} \equiv \psi_0, \psi_1, \psi_2, \dots, \psi_i, \psi_{i+1}, \dots$  组成一个正交归一的完备集

$$E_0 \leq E_1 \leq E_2 \leq \dots \leq E_i \leq E_{i+1} \leq \dots \quad \langle \psi_i | \psi_j \rangle = \delta_{ij}$$

$\phi$ 为满足这一体系边界条件的任何品优函数, 则

$$W = \frac{\langle \phi | \hat{H} | \phi \rangle}{\langle \phi | \phi \rangle} = \frac{\int \phi^* \hat{H} \phi d\tau}{\int \phi^* \phi d\tau} \geq E_0$$

用任何近似状态函数计算的能量平均值, 一定大于或等于基态本征态 $\psi_0$ 的本征值 $E_0$



证明： $\phi$ 可以用完备集 $\{\psi_i\}$ 展开(Hermit算符本征函数的完备性)

$$\phi = \sum_i c_i \psi_i$$

$$\begin{aligned}\Delta &= \int \phi^* (\hat{H} - E_0) \phi d\tau = \int \phi^* \hat{H} \phi d\tau - E_0 \int \phi^* \phi d\tau \\&= \int \left( \sum_i c_i^* \psi_i^* \right) \hat{H} \left( \sum_i c_i \psi_i \right) d\tau - E_0 \int \left( \sum_i c_i^* \psi_i^* \right) \left( \sum_i c_i \psi_i \right) d\tau \\&= \sum_i \sum_j c_i^* c_j E_i \delta_{ij} - E_0 \sum_i \sum_j c_i^* c_j \delta_{ij} \\&= \sum_i c_i^* c_i (E_i - E_0) \geq 0\end{aligned}$$

$$\therefore W = \frac{\langle \phi | \hat{H} | \phi \rangle}{\langle \phi | \phi \rangle} = \frac{\int \phi^* \hat{H} \phi d\tau}{\int \phi^* \phi d\tau} \geq E_0$$



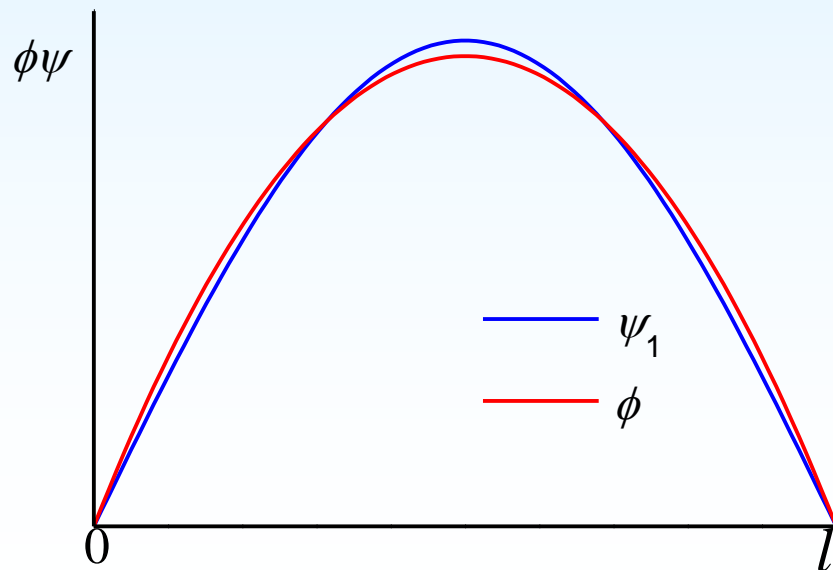
**例1：**一维势箱，抛物线函数 $\phi=x(l-x)$ 满足边界条件

$$\begin{aligned}\int \phi^* \hat{H} \phi d\tau &= -\frac{\hbar^2}{2m} \int_0^l (xl - x^2) \frac{d^2}{dx^2} (xl - x^2) dx \\ &= \frac{\hbar^2}{m} \int_0^l (xl - x^2) dx = \frac{\hbar^2 l^3}{6m}\end{aligned}$$

$$\int \phi^* \phi d\tau = \int_0^l (xl - x^2)^2 dx = \int_0^l (x^4 - 2lx^3 + x^2 l^2) dx = \frac{l^5}{30}$$

$$W = \frac{5\hbar^2}{ml^2} = \frac{5h^2}{4\pi^2 ml^2} > \frac{h^2}{8ml^2}$$

误差为1.3%



## 例2：氦原子，令变分函数为He<sup>+</sup>波函数的乘积

$$\phi = \psi_1 \psi_2 = \sqrt{\frac{8}{\pi}} e^{-Zr_1} \sqrt{\frac{8}{\pi}} e^{-Zr_2}$$

$$\hat{H} = \left( -\frac{1}{2} \nabla_1^2 - \frac{Z}{r_1} \right) + \left( -\frac{1}{2} \nabla_2^2 - \frac{Z}{r_2} \right) + \frac{1}{r_{12}} = \hat{H}_1 + \hat{H}_2 + \frac{1}{r_{12}}$$

$$\begin{array}{l} \hat{H}_1 \psi_1 = E_1 \psi_1 \\ E_1 = -Z^2/2 \end{array} \quad \downarrow \quad \begin{array}{l} \hat{H}_2 \psi_2 = E_2 \psi_2 \\ E_2 = -Z^2/2 \end{array} \quad \langle \phi | 1/r_{12} | \phi \rangle = 5Z/8$$

$$W = \langle \phi | \hat{H} | \phi \rangle = \langle \phi | \hat{H}_1 + \hat{H}_2 + 1/r_{12} | \phi \rangle$$

实验测得

$$= \langle \phi | \hat{H}_1 | \phi \rangle + \langle \phi | \hat{H}_2 | \phi \rangle + \langle \phi | 1/r_{12} | \phi \rangle$$

$$E_0 = -(I_1 + I_2) = -78.986 \text{ eV}$$

$$= E_1 + E_2 + \langle \phi | 1/r_{12} | \phi \rangle$$

误差5.3%

$$= -Z^2 + 5Z/8 = -2.75(\text{hartree}) = -74.8(\text{eV})$$

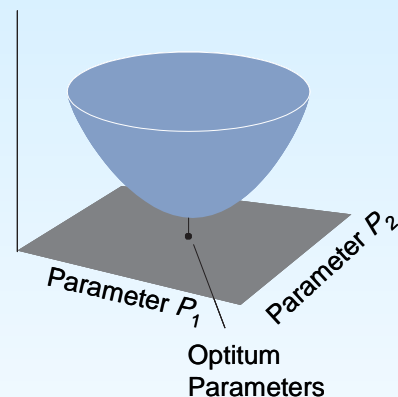


## 4.1.2 变分法 The Variation Method

利用变分原理可以求体系的近似基态波函数 $\phi$ 和基态能量 $W$ ，在选择 $\phi$ 时使其包含若干可调节的参数 $\lambda_i$ ， $W$ 是这些参数的函数 $W=W(\lambda_1, \lambda_2, \dots)$ ， $W$ 的上限是 $E_0$ ，因此 $W$ 的最小值最接近 $E_0$ ，求 $W$ 对 $\lambda_1, \lambda_2, \dots$ 的偏导，令其等于零

$$\frac{\partial W}{\partial \lambda_1} = \frac{\partial W}{\partial \lambda_2} = \dots = 0$$

可求出 $W$ 等于最低值 $W_0$ 时， $\lambda_1, \lambda_2, \dots$ 应采取哪些值



**$\phi$ 称为尝试变分函数(trial variation function)**

一般而言， $\phi$ 选择的函数越适宜(越接近真实函数形式)，包含的可调参数越多，则 $W_0$ 与 $E_0$ 越接近。



**例3:** 氢原子, 以  $\psi = e^{-kr}$  为变分函数, 求基态能量

$$\hat{H} = -\frac{1}{2}\nabla^2 - \frac{1}{r} \quad \nabla^2 = \frac{1}{r} \frac{d^2}{dr^2} r$$

$$\langle \psi | \psi \rangle = \int_0^{2\pi} d\phi \int_0^\pi \sin \theta d\theta \int_0^\infty e^{-2kr} r^2 dr = \frac{\pi}{k^3}$$

$$\langle \psi | 1/r | \psi \rangle = \int_0^{2\pi} d\phi \int_0^\pi \sin \theta d\theta \int_0^\infty e^{-2kr} r dr = \frac{\pi}{k^2}$$

$$\langle \psi | \nabla^2 | \psi \rangle = \int \psi^* \left( \frac{1}{r} \frac{d^2}{dr^2} \right) r e^{-kr} d\tau = \int \psi^* \left( k^2 - \frac{2k}{r} \right) \psi d\tau = -\frac{\pi}{k}$$

$$W = \left( \frac{\pi}{2k} - \frac{\pi}{k^2} \right) \frac{k^3}{\pi} = \frac{k^2}{2} - k$$

$$\frac{dW}{dk} = k - 1 = 0 \quad k = 1 \quad \varepsilon = -\frac{1}{2}$$



**例4：**氦原子，将Z改为可调参数 $\lambda$ ，则

$$\phi = \psi_1 \psi_2 = \sqrt{\frac{8}{\pi}} e^{-\lambda r_1} \sqrt{\frac{8}{\pi}} e^{-\lambda r_2}$$

$$W = \langle \phi | \hat{H} | \phi \rangle = \langle \phi | \hat{H}_1 | \phi \rangle + \langle \phi | \hat{H}_2 | \phi \rangle + \langle \phi | 1/r_{12} | \phi \rangle$$

$$\langle \phi | \hat{H}_1 | \phi \rangle = \langle \phi | \hat{H}_2 | \phi \rangle = \lambda^2/2 - Z\lambda \quad \downarrow \quad \langle \phi | 1/r_{12} | \phi \rangle = 5\lambda/8$$

$$W = \lambda^2 - 2Z\lambda + 5\lambda/8$$

$$dW/d\lambda = 2\lambda - 2Z + 5/8 = 0$$

$$\lambda = Z - 5/16 \quad 5/16 \text{ 反映屏蔽效应}$$

$$W = -2.84(\text{hartree}) = -77.5(\text{eV}) \quad \text{误差} 1.9\%$$





### 4.1.3 线性变分法 Linear Variation Method

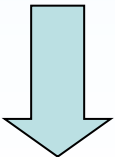
若变分函数 $\phi$ 采用若干独立函数 $\psi_i$ 的线性组合 $\phi = \sum_i c_i \psi_i$  这样的变分方法称为线性变分法,  $\psi_i$ (基函数basis function)必须满足边界条件

$$W = \frac{\langle \phi | \hat{H} | \phi \rangle}{\langle \phi | \phi \rangle} = \frac{\int \sum_i c_i \psi_i^* \hat{H} \sum_j c_j \psi_j d\tau}{\int \sum_i \sum_j c_i c_j \psi_i^* \psi_j d\tau} = \frac{\sum_i \sum_j c_i c_j H_{ij}}{\sum_i \sum_j c_i c_j S_{ij}}$$

$$H_{ij} = \int \psi_i^* \hat{H} \psi_j d\tau = \langle i | \hat{H} | j \rangle = H_{ji} \quad S_{ij} = \int \psi_i^* \psi_j d\tau = \langle i | j \rangle = S_{ji}$$

$$W \sum_i \sum_j c_i c_j S_{ij} = \sum_i \sum_j c_i c_j H_{ij}$$

$$\frac{\partial W}{\partial c_k} \sum_i \sum_j c_i c_j S_{ij} + W \frac{\partial}{\partial c_k} \sum_i \sum_j c_i c_j S_{ij} = \frac{\partial}{\partial c_k} \sum_i \sum_j c_i c_j H_{ij}$$


$$\frac{\partial W}{\partial c_k} = 0 \quad (k = 1, 2, 3, \dots, n)$$



$$W \frac{\partial}{\partial c_k} \sum_i \sum_j c_i c_j S_{ij} = \frac{\partial}{\partial c_k} \sum_i \sum_j c_i c_j H_{ij}$$

$$\begin{aligned} \frac{\partial}{\partial c_k} \sum_i \sum_j c_i c_j S_{ij} &= \sum_i c_i S_{ik} + \sum_j c_j S_{kj} = 2 \sum_i c_i S_{ik} \\ \frac{\partial}{\partial c_k} \sum_i \sum_j c_i c_j H_{ij} &= \sum_i c_i H_{ik} + \sum_j c_j H_{kj} = 2 \sum_j c_i H_{ik} \end{aligned}$$

$$W \sum_i c_i S_{ik} = \sum_i c_i H_{ik}$$

$$\sum_i c_i (H_{ik} - W S_{ik}) = 0 \quad \text{久期方程 Secular Equation}$$

久期方程是含有  $n$  个独立变量  $c_1, c_2, \dots, c_n$  的齐次线性方程组，如该方程组有非零解，其本征行列式(久期行列式)必须为零

$$|H_{ik} - W S_{ik}| = \begin{vmatrix} H_{11} - S_{11}W & H_{12} - S_{12}W & \cdots & H_{1n} - S_{1n}W \\ H_{21} - S_{21}W & H_{22} - S_{22}W & \cdots & H_{2n} - S_{2n}W \\ \vdots & \vdots & \vdots & \vdots \\ H_{n1} - S_{n1}W & H_{n2} - S_{n2}W & \cdots & H_{nn} - S_{nn}W \end{vmatrix} = 0$$



解久期行列式可以得到 $n$ 个实根(可以证明, 由于矩阵元 $H_{ik}$ 和 $S_{ik}$ 是Hermite对称的), 其最小的 $W_0$ 是 $E_0$ 的上限, 将 $W_0$ 代回久期方程, 可以求出 $c_1, c_2, \dots, c_n$ (加归一化条件), 可得到基态近似波函数 $\phi_0$

同样可以证明, 按从低到高排列的 $W_1 \leq W_2 \leq \dots \leq W_{n-1}$ 分别为激发态本征值 $E_1, E_2, \dots, E_{n-1}$ 的上限(McDonald定理), 相应得到的变分函数 $\phi_1, \phi_2, \dots, \phi_{n-1}$ 可作为各激发态的近似波函数, 这些函数相互正交并与 $\phi_0$ 正交。

## 具体应用: 分子轨道理论

变分法除能够得到能量本征值的上限, 也可以得到本征值的下限

$$W = \bar{E} \equiv \int \phi^* \hat{H} \phi d\tau \quad \overline{E^2} = \int \phi^* \hat{H}^2 \phi d\tau$$

$$\Delta \equiv \overline{E^2} - W^2$$

$$W + \sqrt{\Delta} \geq E_k \geq W - \sqrt{\Delta}$$

由于计算 $\Delta$ 积分比较困难, 该方法在实际应用中比简单的变分法困难, 但借助使 $\Delta$ 为极小的方法, 可以使得到的函数尽可能地接近正确的本征函数



## § 4.2 定态微扰理论

### Time-independent Perturbation Theory

假定一个不含时的Hamilton算符的体系不能精确求解

$$\hat{H}\psi_k = E_k\psi_k$$

而  $\hat{H}_0\psi_k^{(0)} = E_k^{(0)}\psi_k^{(0)}$  可求解

$$\hat{H} = \hat{H}_0 + \hat{H}'$$

$\hat{H}$ 与 $\hat{H}_0$ 相差很小，我们将具有 $\hat{H}_0$ 的体系称为未微扰体系， $\hat{H}$ 称为微扰体系， $\hat{H}'$ 称为微扰。

如非谐振子：

$$\hat{H} = -\frac{\hbar^2}{2m}\nabla^2 + \frac{1}{2}kx^2 + cx^3 + dx^4$$

如 $c$ 和 $d$ 都很小，则 $\hat{H}$ 与谐振子 $\hat{H}_0$ 相关

$$\hat{H}_0 = -\frac{\hbar^2}{2m}\nabla^2 + \frac{1}{2}kx^2 \quad \hat{H}' = cx^3 + dx^4$$

微扰要一点一点加，使未微扰体系连续变到微扰体系

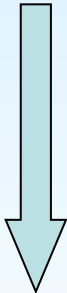
$$\hat{H} = \hat{H}_0 + \lambda\hat{H}'$$



## 4.2.1 非简并微扰理论 Nondegenerate Perturbation Theory

$\hat{H}^0 \psi_n^{(0)} = E_n^{(0)} \psi_n^{(0)}$   $\psi_n^{(0)}$  为能量为  $E_n^{(0)}$  的未微扰非简并能级的波函数,  $\psi_n$  为微扰函数.

$(\hat{H}^0 + \lambda \hat{H}') \psi_n = E_n \psi_n$   $\psi_n$  和  $E_n$  的依赖于参数  $\lambda$ , 按  $\lambda$  的幂次 Taylor 展开

$$\begin{aligned} \psi_n &= \psi_n|_{\lambda=0} + \left. \frac{\partial \psi_n}{\partial \lambda} \right|_{\lambda=0} \lambda + \left. \frac{\partial^2 \psi_n}{\partial \lambda^2} \right|_{\lambda=0} \frac{\lambda^2}{2!} + \dots & \psi_n^{(k)} &= \left. \frac{1}{k!} \frac{\partial^k \psi_n}{\partial \lambda^k} \right|_{\lambda=0} \\ E_n &= E_n|_{\lambda=0} + \left. \frac{\partial E_n}{\partial \lambda} \right|_{\lambda=0} \lambda + \left. \frac{\partial^2 E_n}{\partial \lambda^2} \right|_{\lambda=0} \frac{\lambda^2}{2!} + \dots & E_n^{(k)} &= \left. \frac{1}{k!} \frac{\partial^k E_n}{\partial \lambda^k} \right|_{\lambda=0} \end{aligned}$$


$$\psi_n = \psi_n^{(0)} + \lambda \psi_n^{(1)} + \lambda^2 \psi_n^{(2)} + \dots + \lambda^k \psi_n^{(k)} + \dots$$

$$E_n = E_n^{(0)} + \lambda E_n^{(1)} + \lambda^2 E_n^{(2)} + \dots + \lambda^k E_n^{(k)} + \dots$$

$k=1,2,3,\dots$ ,  $\psi_n^{(k)}$  和  $E_n^{(k)}$  称为波函数和能级的  $k$  级校正



$$(\hat{H}^0 + \lambda \hat{H}')(\psi_n^{(0)} + \lambda \psi_n^{(1)} + \lambda^2 \psi_n^{(2)} + \cdots + \lambda^k \psi_n^{(k)} + \cdots) \\ = (E_n^{(0)} + \lambda E_n^{(1)} + \lambda^2 E_n^{(2)} + \cdots + \lambda^k E_n^{(k)} + \cdots)(\psi_n^{(0)} + \lambda \psi_n^{(1)} + \lambda^2 \psi_n^{(2)} + \cdots + \lambda^k \psi_n^{(k)} + \cdots)$$

合并 $\lambda$ 相同的幂的系数

$$(\hat{H}^0 \psi_n^{(0)} - E_n^{(0)} \psi_n^{(0)}) + \lambda(\hat{H}^0 \psi_n^{(1)} + \hat{H}' \psi_n^{(0)} - E_n^{(0)} \psi_n^{(1)} - E_n^{(1)} \psi_n^{(0)}) \\ + \lambda^2(\hat{H}^0 \psi_n^{(2)} + \hat{H}' \psi_n^{(1)} - E_n^{(0)} \psi_n^{(2)} - E_n^{(1)} \psi_n^{(1)} - E_n^{(2)} \psi_n^{(0)}) + \cdots = 0$$

如果该级数是一致收敛的，则 $\lambda$ 系数必为零

$$\lambda^0 \quad \hat{H}^0 \psi_n^{(0)} = E_n^{(0)} \psi_n^{(0)} \quad \text{无微扰体系}$$

$$\lambda^1 \quad \hat{H}^0 \psi_n^{(1)} + \hat{H}' \psi_n^{(0)} = E_n^{(0)} \psi_n^{(1)} + E_n^{(1)} \psi_n^{(0)}$$

$$(\hat{H}^0 - E_n^{(0)}) \psi_n^{(1)} = (E_n^{(1)} - \hat{H}') \psi_n^{(0)} \quad \text{一级微扰}$$

将 $\psi_n^{(1)}$ 用未微扰的波函数展开  $\psi_n^{(1)} = \sum_j a_j^{(1)} \psi_j^{(0)}$


$$\sum_j a_j^{(1)} (\hat{H}^0 - E_n^{(0)}) \psi_j^{(0)} = (E_n^{(1)} - \hat{H}') \psi_n^{(0)}$$


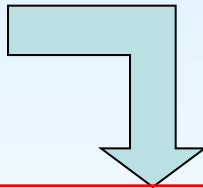


两端同乘  $\psi_m^{(0)*}$  并对整个空间积分

$$\int \psi_m^{(0)*} \sum_j a_j^{(1)} (\hat{H}^0 - E_n^{(0)}) \psi_j^{(0)} d\tau = \int \psi_m^{(0)*} (E_n^{(1)} - \hat{H}') \psi_n^{(0)} d\tau$$

$$\sum_j a_j^{(1)} (E_j^{(0)} - E_n^{(0)}) \int \psi_m^{(0)*} \psi_j^{(0)} d\tau = \int \psi_m^{(0)*} (E_n^{(1)} - \hat{H}') \psi_n^{(0)} d\tau$$


$$\langle \psi_m^{(0)} | \psi_j^{(0)} \rangle = \delta_{mj}$$

$$a_m^{(1)} (E_m^{(0)} - E_n^{(0)}) = E_n^{(1)} \delta_{mn} - \int \psi_m^{(0)*} \hat{H}' \psi_n^{(0)} d\tau$$
  $m=n$   $m \neq n$

$$E_n^{(1)} = \int \psi_n^{(0)*} \hat{H}' \psi_n^{(0)} d\tau = \langle \psi_n^{(0)} | \hat{H}' | \psi_n^{(0)} \rangle = H'_{nn}$$

能量的一级修正值等于微扰算符  $\mathbf{H}'$  对体系相应未微扰态的平均值

$$a_m^{(1)} = \frac{\int \psi_m^{(0)*} \hat{H}' \psi_n^{(0)} d\tau}{E_n^{(0)} - E_m^{(0)}}$$

可确定除  $a_n^{(1)}$  外所有系数，  
习惯上选  $a_n^{(1)}=0$

波函数一级校正

$$\psi_n^{(1)} = \sum_{m \neq n} \frac{\int \psi_m^{(0)*} \hat{H}' \psi_n^{(0)} d\tau}{E_n^{(0)} - E_m^{(0)}} \psi_m^{(0)}$$



$$\lambda^2 (\hat{H}^0 - E_n^{(0)})\psi_n^{(2)} = E_n^{(2)}\psi_n^{(0)} + (E_n^{(1)} - \hat{H}')\psi_n^{(1)} \quad \text{二级微扰}$$

$$\psi_n^{(2)} = \sum_j a_j^{(2)} \psi_j^{(0)} \quad \downarrow$$

$$\sum_j a_j^{(2)} (E_j^{(0)} - E_n^{(0)})\psi_j^{(0)} = E_n^{(2)}\psi_n^{(0)} + (E_n^{(1)} - \hat{H}')\psi_n^{(1)}$$

$\downarrow$  两端同乘  $\psi_m^{(0)*}$  并对整个空间积分

$$\sum_j a_j^{(2)} (E_j^{(0)} - E_n^{(0)})\delta_{mj} = E_n^{(2)}\delta_{mn} + E_n^{(1)} \int \psi_m^{(0)*} \psi_n^{(1)} d\tau - \int \psi_m^{(0)*} \hat{H}' \psi_n^{(1)} d\tau$$

$$a_m^{(2)} (E_m^{(0)} - E_n^{(0)}) = E_n^{(2)}\delta_{mn} + E_n^{(1)} \int \psi_m^{(0)*} \psi_n^{(1)} d\tau - \int \psi_m^{(0)*} \hat{H}' \psi_n^{(1)} d\tau$$

$\downarrow m=n$

$$E_n^{(2)} + E_n^{(1)} \int \psi_n^{(0)*} \psi_n^{(1)} d\tau - \int \psi_n^{(0)*} \hat{H}' \psi_n^{(1)} d\tau = 0$$

欲求二级微扰，必须先得到一级修正波函数

$$\int \psi_n^{(0)*} \psi_n^{(1)} d\tau = \sum_{k \neq n} \frac{H'_{kn}}{E_n^{(0)} - E_k^{(0)}} \int \psi_n^{(0)*} \psi_k^{(0)} d\tau = 0$$

$$H'_{kn} = \langle \psi_k^{(0)} | \hat{H}' | \psi_n^{(0)} \rangle$$





$$E_n^{(2)} = \int \psi_n^{(0)*} \hat{H}' \psi_n^{(1)} d\tau = \sum_{k \neq n} \frac{H'_{kn}}{E_n^{(0)} - E_k^{(0)}} \int \psi_n^{(0)*} \hat{H}' \psi_k^{(0)} d\tau$$

$$= \sum_{k \neq n} \frac{H'_{kn} H'_{nk}}{E_n^{(0)} - E_k^{(0)}} = \sum_{k \neq n} \frac{|H'_{kn}|^2}{E_n^{(0)} - E_k^{(0)}}$$

一级微扰:

$$E_n^{(1)} = \left\langle \psi_n^{(0)} \left| \hat{H}' \right| \psi_n^{(0)} \right\rangle = H'_{nn}$$

$$\psi_n \approx \psi_n^{(0)} + \sum_{m \neq n} \frac{\int \psi_m^{(0)*} \hat{H}' \psi_n^{(0)} d\tau}{E_n^{(0)} - E_m^{(0)}} \psi_m^{(0)}$$

二级微扰:

$$E_n^{(2)} = \sum_{k \neq n} \frac{|H'_{kn}|^2}{E_n^{(0)} - E_k^{(0)}}$$

$$E_n \approx E_n^{(0)} + H'_{nn} + \sum_{k \neq n} \frac{|H'_{kn}|^2}{E_n^{(0)} - E_k^{(0)}}$$

(i) 一级修正计算方便

(ii) 二级修正必须求第 $n$ 态与其它全部态 $k$ 之间的 $H'$ 矩阵元, 许多情况下不能精确求出

(iii) 波函数一级修正项中, 最重要的贡献来自最靠近 $n$ 态的项

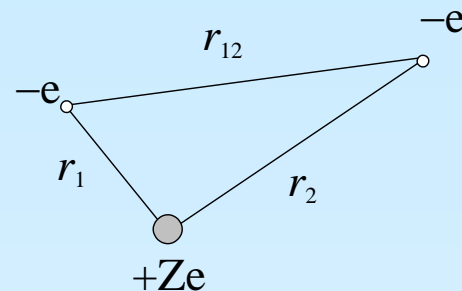
(iv) 计算时必须使用归一化的未微扰波函数。



## 例5: 氦原子基态

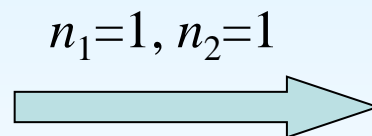
$$\hat{H} = -\frac{1}{2}\nabla_1^2 - \frac{1}{2}\nabla_2^2 - \frac{Z}{r_1} - \frac{Z}{r_2} + \frac{1}{r_{12}}$$

$$\hat{H}^{(0)} = \hat{H}_1^{(0)} + \hat{H}_2^{(0)} \quad \hat{H}' = \frac{1}{r_{12}}$$



$$\psi^{(0)}(r_1, \theta_1, \phi_1, r_2, \theta_2, \phi_2) = F_1(r_1, \theta_1, \phi_1) F_2(r_2, \theta_2, \phi_2)$$

$$E^{(0)} = E_1^{(0)} + E_2^{(0)} = -\frac{Z^2}{2} \left( \frac{1}{n_1^2} + \frac{1}{n_2^2} \right)$$



$$\psi^{(0)} = \frac{Z^3}{\pi} e^{-Z(r_1+r_2)}$$

$$E^{(0)} = -Z^2$$

$1/r_{12}$  按球谐函数展开

$$\frac{1}{r_{12}} = \begin{cases} \frac{1}{r_1} \sum_l \sum_m \frac{4\pi}{2l+1} \left( \frac{r_2}{r_1} \right)^l [Y_l^m(\theta_1, \phi_1)]^* Y_l^m(\theta_2, \phi_2) & r_1 \geq r_2 \\ \frac{1}{r_2} \sum_l \sum_m \frac{4\pi}{2l+1} \left( \frac{r_1}{r_2} \right)^l [Y_l^m(\theta_1, \phi_1)]^* Y_l^m(\theta_2, \phi_2) & r_2 \geq r_1 \end{cases}$$

$$E^{(1)} = 5Z/8$$

$$E \approx E^{(0)} + E^{(1)} = -2.75(\text{hartree}) = -74.8\text{eV} \quad \text{误差 } 5.3\%$$

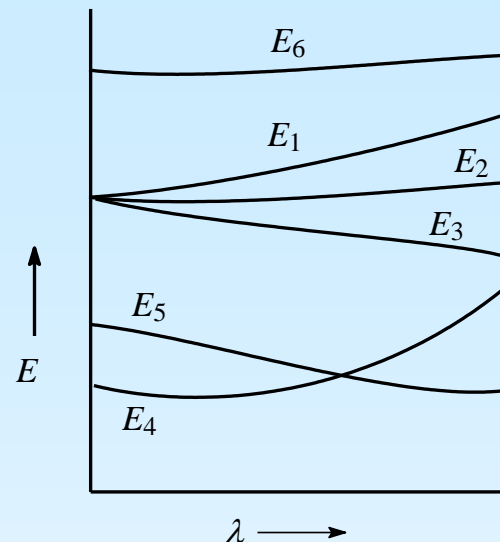


## 4.2.2 简并能级的微扰理论

### Perturbation Theory for a Degenerate Energy Level

未微扰Schrodinger方程  $\hat{H}^0 \psi_j^{(0)} = E_j^{(0)} \psi_j^{(0)}$

$$E_1^{(0)} = E_2^{(0)} = \dots = E_d^{(0)}$$



微扰:  $(\hat{H}^0 + \lambda \hat{H}') \psi_j = E_j \psi_j$

$$\lim_{\lambda \rightarrow 0} E_j = E_j^{(0)} \quad \square$$

$$\lim_{\lambda \rightarrow 0} \psi_j = \psi_j^{(0)} \quad ?$$

$$\lim_{\lambda \rightarrow 0} \psi_n = \sum_{i=1}^d c_i \psi_i^{(0)} \quad 1 \leq n \leq d$$

设零级波函数为  $\psi_n^{(0)}$   $\psi_n^{(0)} = \sum_{i=1}^d c_i \psi_i^{(0)} \quad 1 \leq n \leq d$

$$\psi_n = \psi_n^{(0)} + \lambda \psi_n^{(1)} + \lambda^2 \psi_n^{(2)} + \dots$$

$$E_n = E_d^{(0)} + \lambda E_n^{(1)} + \lambda^2 E_n^{(2)} + \dots \quad n = 1, 2, \dots, d$$

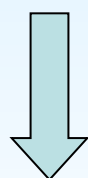


$$(\hat{H}^0 + \lambda \hat{H}')(\psi_n^{(0)} + \lambda \psi_n^{(1)} + \lambda^2 \psi_n^{(2)} + \dots) \\ = (E_d^{(0)} + \lambda E_n^{(1)} + \lambda^2 E_n^{(2)} + \dots)(\psi_n^{(0)} + \lambda \psi_n^{(1)} + \lambda^2 \psi_n^{(2)} + \dots)$$

$$\lambda^0 \quad \hat{H}^0 \psi_n^{(0)} = E_n^{(0)} \psi_n^{(0)} \quad \varphi_n^{(0)} (n=1,2,\dots,d) \text{ 的每个线性组合都是 } \mathbf{H}^0 \text{ 的本征} \\ \text{值为 } E_d^{(0)} \text{ 的本征函数}$$

$$\lambda^1 \quad \hat{H}^0 \psi_n^{(1)} + \hat{H}' \psi_n^{(0)} = E_d^{(0)} \psi_n^{(1)} + E_n^{(1)} \psi_n^{(0)}$$

$$\hat{H}^0 \psi_n^{(1)} - E_d^{(0)} \psi_n^{(1)} = E_n^{(1)} \psi_n^{(0)} - \hat{H}' \psi_n^{(0)} \quad n=1,2,\dots,d$$



两端同乘  $\psi_m^{(0)*}$  并对整个空间积分  
 $m$  是  $d$  级简并的未微扰状态之一,  $1 \leq m \leq d$

$$\langle \psi_m^{(0)} | \hat{H}^0 | \psi_n^{(1)} \rangle - E_d^{(0)} \langle \psi_m^{(0)} | \psi_n^{(1)} \rangle = E_n^{(1)} \langle \psi_m^{(0)} | \psi_n^{(0)} \rangle - \langle \psi_m^{(0)} | \hat{H}' | \psi_n^{(0)} \rangle \quad 1 \leq m \leq d$$



$$\langle \psi_m^{(0)} | \hat{H}' | \psi_n^{(0)} \rangle - E_n^{(1)} \langle \psi_m^{(0)} | \psi_n^{(0)} \rangle = 0$$

$$\sum_{i=1}^d \left[ \langle \psi_m^{(0)} | \hat{H}' | \psi_i^{(0)} \rangle - E_n^{(1)} \delta_{mi} \right] c_i = 0$$



$$\begin{cases} (H'_{11} - E_n^{(1)})c_1 + H'_{12}c_2 + \cdots + H'_{1d}c_d = 0 \\ H'_{21}c_1 + (H'_{22}c_2 - E_n^{(1)}) + \cdots + H'_{2d}c_d = 0 \\ \dots\dots\dots \\ H'_{d1}c_1 + H'_{d2}c_2 + \cdots + (H'_{dd} - E_n^{(1)})c_d = 0 \end{cases}$$

$$H'_{mi} = \langle \psi_m^{(0)} | \hat{H}' | \psi_i^{(0)} \rangle$$

$$\begin{vmatrix} H'_{11} - E_n^{(1)} & H'_{12} & \cdots & H'_{1d} \\ H'_{21} & H'_{22}c_2 - E_n^{(1)} & \cdots & H'_{2d} \\ \cdots & \cdots & \cdots & \cdots \\ H'_{d1} & H'_{d2} & \cdots & H'_{dd} - E_n^{(1)} \end{vmatrix} = 0$$

该方程的 $d$ 个根,  $E_1^{(1)}, E_2^{(1)}, \dots, E_d^{(1)}$ , 既为 $d$ 级简并的非微扰能级的一级校正, 如果所有的根不同, 校正后能级分裂成 $d$ 个非简并能级。

将 $E_1^{(1)}, E_2^{(1)}, \dots, E_d^{(1)}$ 分别带回久期行列式, 加归一化条件, 可求出 $c_1, c_2, \dots, c_d$

