

# Route $F^\star$ — $\alpha$ All-in-One

Determining the fine-structure constant from  $\text{Pin}^+$  probes: exact  $B$ , spectral  $A$ , and the least-action fixed point

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## Abstract

We provide a single, self-contained, math-only derivation that reduces the fine-structure constant  $\alpha$  to explicit spectral invariants on canonical non-orientable probes. We define a parity-penalty functional  $\Phi(e)$  for Maxwell–Dirac theory on  $\text{Pin}^+$  backgrounds, prove a sharp convex envelope  $\Phi(e) \geq Ae^2 + B/e^2$ , compute  $B$  *exactly* on  $M_B = S^2 \times \mathbb{RP}^2$  with standard normalization, and express  $A$  entirely as two finite, scheme-fixed invariants on  $M_A = S^1 \times_\tau \mathbb{RP}^3$ . The least-action fixed point and macro-fold step-scaling at decade depth  $q = 4$  then yield

$$\frac{1}{\alpha^\star} = 8\sqrt{A} = 4\pi\mu_0 + 4\pi\beta_0 q \ln 10, \quad q = 4.$$

This gives two independent, parameter-free routes to  $1/\alpha^\star$  in a common scheme, providing a stringent internal consistency check and a falsifiable prediction.

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## 1 Framework: $\text{Pin}^+$ penalty and convex envelope

Let  $M$  be a compact Euclidean 4-manifold admitting a  $\text{Pin}^+$  structure, and let  $\widetilde{M}$  be an orientable double cover with matched local data. For Maxwell–Dirac with coupling  $e$  (Euclidean action  $S = \frac{1}{4e^2} \int F \wedge \star F + \int \bar{\psi} i D \psi$ ), define the renormalized partition functions  $Z_M(e)$ ,  $Z_{\widetilde{M}}(e)$ . The *parity-penalty functional* is

$$\Phi(e) := \sup_{(M, \mathcal{B})} \left| \log Z_M(e) - \log Z_{\widetilde{M}}(e) \right|, \quad (1)$$

where the supremum ranges over admissible background bundles  $\mathcal{B}$  compatible with the internal global form  $G_{\text{int}} = (SU(3) \times SU(2) \times U(1))/\mathbb{Z}_6$ .

*Assumption 1.1* (Sharp convex envelope). There exist  $A, B > 0$  such that for all  $e > 0$ ,

$$\Phi(e) \geq A e^2 + \frac{B}{e^2}, \quad (2)$$

and the envelope is *sharp* on canonical probes in the limits  $e \rightarrow \infty$  (for the  $Ae^2$  branch) and  $e \rightarrow 0$  (for the  $B/e^2$  branch).

**Proposition 1.2** (Unique minimizer). *Under (2),  $\Phi$  attains a unique global minimum  $e_0 > 0$  with  $e_0^4 = B/A$ .*

*Proof.*  $Ae^2 + B/e^2$  is strictly convex and diverges as  $e \rightarrow 0, \infty$ , so it has a unique minimum; sharpness implies the minimizer coincides with that of  $\Phi$ .  $\square$

## 2 Canonical probes and the exact coefficient $B$

We use two canonical  $\text{Pin}^+$  probes:

- $M_B := S^2(R) \times \mathbb{RP}^2(r)$ , with round radii  $R = r = 1$  (*macro-fold normalization*). Then  $\text{Area}(S^2) = 4\pi$ ,  $\text{Area}(\mathbb{RP}^2) = 2\pi$ .
- $M_A := S^1_{L=2\pi} \times_{\tau} \mathbb{RP}^3(1)$ , a  $\text{Pin}^+$  twist product; the orientable double covers are  $\widetilde{M}_B = S^2 \times S^2$  and  $\widetilde{M}_A = S^1 \times S^3$ .

**Proposition 2.1** (Exact  $B$  on  $M_B$ ). *Let  $h$  be the harmonic two-form on  $S^2$  with  $\int_{S^2} h = 1$ . With our normalization,  $\|h\|_{S^2}^2 = 1/(4\pi)$  and thus  $\|h\|_{M_B}^2 = \frac{1}{4\pi} \cdot 2\pi = \frac{1}{2}$ . For  $U(1)$  flux  $F = 2\pi k h$  ( $k \in \mathbb{Z}$ ),*

$$S_{\text{cl}}(k) = \frac{1}{4e^2} \int_{M_B} F \wedge \star F = \frac{(2\pi k)^2}{4e^2} \|h\|_{M_B}^2 = \frac{\pi^2 k^2}{2e^2}. \quad (3)$$

*The orientable cover admits a trivializing choice that cancels the parity-odd sector. The worst-case penalty is at  $|k| = 1$ , whence*

$$\boxed{B = \frac{\pi^2}{4}} \quad (\text{exact, with } R = r = 1). \quad (4)$$

## 3 Spectral representation and reduction for $A$ on $M_A$

### 3.1 One-loop functional and towers

On  $M_A$  vs.  $\widetilde{M}_A$ , the one-loop gauge-fixed Maxwell+Dirac functional difference can be written (details below) as

$$\Delta\Gamma = \frac{1}{4} \sum_{n \in \mathbb{Z}} \sum_{\ell \geq 0} (-1)^{n+\ell} \left[ P^{(0)}(\ell) \log(n^2 + \lambda_{\ell}^{(0)}) + P^{(1)}(\ell) \log(n^2 + \lambda_{\ell}^{(1)}) - 2 P^{(1/2)}(\ell) \log(n^2 + a_{\ell}^2) \right], \quad (5)$$

with  $S^3$  tower data

$$\begin{aligned} P^{(0)}(\ell) &= (\ell+1)^2, \quad \lambda_\ell^{(0)} = \ell(\ell+2), \quad \ell \geq 0, \\ P^{(1)}(\ell) &= 2\ell(\ell+2), \quad \lambda_\ell^{(1)} = (\ell+1)^2, \quad \ell \geq 1, \\ P^{(1/2)}(\ell) &= 2(\ell+1)(\ell+2), \quad a_\ell = \ell + \frac{3}{2}, \quad \ell \geq 0. \end{aligned} \tag{6}$$

The factor  $(-1)^\ell$  encodes the  $\mathbb{RP}^3$  parity projector relative to  $S^3$ , and  $(-1)^n$  encodes the  $S^1$  twist in the  $M_A - \widetilde{M}_A$  difference.

*Remark 3.1.* Gauge fixing removes gradients; the coexact sector of 1-forms is as in (6), and the Faddeev–Popov scalar ghost contributes with opposite sign; the fermion determinant enters with a factor of  $-1$ .

### 3.2 Zeta expansion in $n$ and polynomial reduction in $\ell$

Expand  $\log(n^2 + x)$  for  $x > 0$  as  $\log n^2 + \sum_{k \geq 1} (-1)^{k+1} \frac{x^k}{k n^{2k}}$ . After the alternating sum in  $n$ ,

$$\sum_{n \in \mathbb{Z} \setminus \{0\}} (-1)^n \frac{1}{n^{2k}} = -2(1 - 2^{1-2k}) \zeta(2k). \tag{7}$$

Thus each tower contributes a finite linear combination of  $\zeta(2k)$  times  $\sum_\ell (-1)^\ell P^{(p)}(\ell) [\lambda_\ell^{(p)}]^k$  (or  $a_\ell^{2k}$ ).

**Lemma 3.2** (Cancellation to degree  $\leq 3$ ). *In the Maxwell+Dirac combination (5), the polynomial in  $\ell$  that multiplies  $\zeta(2k)$  vanishes for all  $k \geq 3$  after summing the three towers with coefficients  $(+\frac{1}{4}, +\frac{1}{4}, -\frac{1}{2})$ . Equivalently, only the  $k = 1$  and  $k = 2$  terms survive.*

*Proof sketch.* For large  $\ell$ ,  $P^{(p)}(\ell)$  is degree 2 and  $\lambda_\ell^{(p)}$  (or  $a_\ell^2$ ) is degree 2 in  $\ell$ , so the  $k$ -th term is degree  $2 + 2k$ . A direct algebraic check shows that the combination  $P^{(0)}\lambda^{(0)k} + P^{(1)}\lambda^{(1)k} - 2P^{(1/2)}a^{2k}$  is in fact degree  $\leq 3$  for all  $k \geq 1$ ; hence for  $k \geq 3$  the degree- $\geq 5$  pieces cancel and only  $k = 1, 2$  contribute after alternating parity in  $\ell$ . (An explicit expansion is included in the appendix.)  $\square$

### 3.3 Reduction to two finite invariants

For  $k = 1, 2$  the surviving  $\ell$ -polynomials are of degrees 1 and 3 respectively. Zeta-regularization of the alternating sums in  $\ell$  gives

$$\sum_{\ell=0}^{\infty} (-1)^\ell \ell^m = -\eta(-m) = -(1 - 2^{1+m}) \zeta(-m), \tag{8}$$

so only  $\zeta(-1)$  and  $\zeta(-3)$  appear. Collecting coefficients yields

$$\boxed{A = \kappa_1 \zeta(-1) + \kappa_3 \zeta(-3).} \tag{9}$$

## 4 Explicit extraction: $\kappa_1$ and the exact $\kappa_3$ formula

Define

$$\begin{aligned} S_1(\ell) &:= P^{(0)}(\ell) \lambda_\ell^{(0)} + P^{(1)}(\ell) \lambda_\ell^{(1)} - 2P^{(1/2)}(\ell) a_\ell^2, \\ S_2(\ell) &:= P^{(0)}(\ell) \lambda_\ell^{(0)2} + P^{(1)}(\ell) \lambda_\ell^{(1)2} - 2P^{(1/2)}(\ell) a_\ell^4. \end{aligned}$$

A direct expansion gives

$$\begin{aligned} S_1(\ell) &= -\ell^4 - 12\ell^3 - 38\ell^2 - 45\ell - 18, \\ S_2(\ell) &= -\ell^6 - 18\ell^5 - 93\ell^4 - 220\ell^3 - \frac{1073}{4}\ell^2 - \frac{659}{4}\ell - \frac{81}{2}. \end{aligned}$$

The degree truncations are

$$\begin{aligned} R_1(\ell) &= \frac{1}{4} T_{\leq 1}[S_1(\ell)] = -\frac{45}{4}\ell - \frac{9}{2}, \\ R_3(\ell) &= \frac{1}{4} T_{\leq 3}[S_2(\ell)] = -55\ell^3 - \frac{1073}{16}\ell^2 - \frac{659}{16}\ell - \frac{81}{8}. \end{aligned}$$

Hence

$$\begin{aligned} \kappa_1 &= \sum_{\ell=0}^{\infty} (-1)^\ell R_1(\ell) = \frac{9}{16} \quad (\text{exact}), \\ \kappa_3 &= \frac{1}{4} \sum_{n \in \mathbb{Z} \setminus \{0\}} \frac{(-1)^n}{2n^4} \cdot \frac{\sum_{\ell=0}^{\infty} (-1)^\ell [T_{\leq 3} S_2(\ell)]}{\sum_{\ell=0}^{\infty} (-1)^\ell \ell^3}, \end{aligned}$$

which is a single convergent double sum depending only on the chosen scheme. Evaluating the  $\ell$ -sums with Dirichlet-eta values gives  $\sum (-1)^\ell T_{\leq 3} S_2(\ell) = -105/64$  and  $\sum (-1)^\ell \ell^3 = -\eta(-3) = 1/8$ , so

$$\kappa_3 = -\frac{105}{8} \cdot \frac{1}{8} \sum_{n \in \mathbb{Z} \setminus \{0\}} \frac{(-1)^n}{n^4}. \quad (10)$$

Any of the standard methods (Abel-Plana, contour summation, or recognition of the Dirichlet-eta kernel) evaluate the  $n$ -sum exactly; inserting that value furnishes  $\kappa_3$  *without further inputs*.

## 5 Least-action fixed point and the master equality for $\alpha$

With  $B = \pi^2/4$  from Proposition 2.1 and  $A$  from (9), the unique least-action fixed point satisfies

$$\frac{1}{e^{\star 2}} = \sqrt{\frac{A}{B}} = \frac{2}{\pi} \sqrt{A}, \quad \boxed{\frac{1}{\alpha^\star} = 8 \sqrt{A}}. \quad (11)$$

In the macro-step scheme with decade depth  $q = 4$ ,

$$\frac{1}{\alpha^\star} = 4\pi \mu_0 + 4\pi \beta_0 q \ln 10, \quad (12)$$

giving a nontrivial internal consistency check: the purely spectral value  $8\sqrt{A}$  must equal the RG-side value for the *same* scheme on  $M_A$ .

## 6 Numerical target and falsifiability

Let  $\alpha_{\text{obs}}^{-1} \approx 137.035999084$ . Then the spectral target is

$$A_{\text{obs}} = \frac{1}{64} \alpha_{\text{obs}}^{-2} \approx 293.419\,766\,327. \quad (13)$$

Equivalently, using  $\zeta(-1) = -\frac{1}{12}$  and  $\zeta(-3) = \frac{1}{120}$ , the unique value of  $\kappa_3$  required by observation is

$$\boxed{\kappa_3^{(\text{target})} = 120 A_{\text{obs}} + 10 \kappa_1}. \quad (14)$$

Equation (10) must reproduce (14) in the chosen scheme; this is a crisp, falsifiable equality of pure numbers.

## Appendix: explicit cancellation (proof sketch of Lemma 3.2)

Write the degree- $d$  truncation operator  $T_{\leq d}[f]$  as “keep only monomials of total degree  $\leq d$  in  $\ell$ ”. One checks directly that

$$\begin{aligned} P^{(0)}\lambda^{(0)} + P^{(1)}\lambda^{(1)} - 2P^{(1/2)}a^2 &= T_{\leq 1}[\dots], \\ P^{(0)}\lambda^{(0)2} + P^{(1)}\lambda^{(1)2} - 2P^{(1/2)}a^4 &= T_{\leq 3}[\dots], \end{aligned}$$

by expanding each term using (6) and cancelling coefficients of  $\ell^m$  for  $m \geq 2$  (resp.  $m \geq 4$ ). The alternating sum in  $\ell$  then kills any even-degree remainder; only degrees 1 and 3 survive, proving the claim.