

*Lie Groups and Representations*  
*Spring 2021*

*Notes by Patrick Lei*

Lectures by Andrei Okounkov



## **Disclaimer**

These notes were taken during lecture using the `vimtex` package of the editor `neovim`. Any errors are mine and not the instructor's. In addition, my notes are picture-free (but will include commutative diagrams) and are a mix of my mathematical style and that of the instructor. If you find any errors, please contact me at `plei@math.columbia.edu`.

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## Lie Algebras

If  $G$  is a compact Lie group, then  $\mathfrak{g} = \text{Lie}(G)$  has an invariant metric  $(-, -)$ . If  $\mathfrak{g}_1 \subset \mathfrak{g}$  is an ideal, then  $\mathfrak{g}_1^\perp$  is also an ideal and we have  $\mathfrak{g} = \mathfrak{g}_1 \oplus \mathfrak{g}_2$ . In particular, we have

$$\mathfrak{g} = \bigoplus \mathfrak{g}_i \quad \mathfrak{g}_i = \begin{cases} \mathbb{R} \\ \text{simple nonabelian Lie algebra} \end{cases}.$$

The simple nonabelian Lie algebras are very interesting and very special, and there are only countably many of them. Recall that they are classified by root systems.

### 1.1 Solvable and Nilpotent Lie Algebras

These are built out of abelian Lie algebras. They are not very interesting, but it is easy to find them. In some sense, if we consider the moduli space of Lie algebras, most Lie algebras will be nilpotent.

**Definition 1.1.1.** Define the *commutant*  $\mathfrak{g}'$  of a Lie algebra to be the span of  $[\mathfrak{g}, \mathfrak{g}]$ . Here, we have an exact sequence

$$0 \rightarrow \mathfrak{g}' \rightarrow \mathfrak{g} \rightarrow \text{abelian} \rightarrow 0.$$

By analogy, we may define  $G'$  to be the commutator subgroup of a Lie group  $G$ .

**Theorem 1.1.2.** *If  $G$  is simply connected, then  $G'$  is a Lie subgroup.*

**Example 1.1.3.** The commutant of the group of all matrices of the form

$$\begin{pmatrix} 1 & * & * \\ & 1 & * \\ & & 1 \end{pmatrix}$$

is the set of all matrices of the form

$$\begin{pmatrix} 1 & 0 & * \\ & 1 & 0 \\ & & 1 \end{pmatrix}.$$

Now if we take

$$G = \begin{pmatrix} 1 & * & * \\ & 1 & * \\ & & 1 \end{pmatrix} \times \mathbb{R}/\Lambda,$$

where  $\Lambda$  is a lattice in the center  $\mathbb{R}^2$ , then  $G'$  is the image of

$$\begin{pmatrix} 1 & 0 & * \\ & 1 & 0 \\ & & 1 \end{pmatrix},$$

which is not necessarily a Lie subgroup.

*Proof.* Consider the exact sequence  $0 \rightarrow \mathfrak{g}' \rightarrow \mathfrak{g} \rightarrow \mathbb{R}^k \rightarrow 0$ . Because  $G$  is simply connected, we can lift to an exact sequence

$$1 \rightarrow G'' \rightarrow G \rightarrow \mathbb{R}^k \rightarrow 0.$$

We know that  $G' \subseteq G''$  but then  $\text{Lie}(G'') = \mathfrak{g}'$  and therefore we must have  $G'' = G'$ .  $\square$

**Definition 1.1.4.** A Lie algebra is called *solvable* if  $(\mathfrak{g}')' \cdots = 0$ . In other words, repeatedly taking the commutant eventually reaches 0. Alternatively, one should think about  $\mathfrak{g}$  as an iterated extension by abelian Lie algebras.

Similarly, a group  $G$  is called *solvable* if  $(G')' \cdots = 1$ .

**Corollary 1.1.5.** A connected Lie group  $G$  is solvable if and only if  $\text{Lie}(G)$  is solvable.

**Example 1.1.6.** The group  $B \subset GL_n$  of upper-triangular matrices is solvable. In some sense, this is an universal example.

Note that if  $G_1 \subset G$  and  $G$  is solvable, then  $G_1$  is solvable. Conversely, if  $G \hookrightarrow G_2$  and  $G$  is solvable, then so is  $G_2$ . Next, if

$$1 \rightarrow G_1 \rightarrow G \rightarrow G_2 \rightarrow 1$$

is an exact sequence and  $G_1, G_2$  are solvable, then so is  $G$ .

A stronger condition than being solvable is being *nilpotent*.

**Definition 1.1.7.** A Lie algebra  $\mathfrak{g}$  is *nilpotent* if  $[[[\mathfrak{g}, \mathfrak{g}], \mathfrak{g}], \dots] = 0$ .

There is a similar definition for Lie groups, and we have

**Corollary 1.1.8.** A connected Lie group is nilpotent if and only if  $\text{Lie}(G)$  is nilpotent.

**Example 1.1.9.** The group of unitriangular matrices (equivalently the Lie algebra of strictly upper-triangular matrices) is nilpotent. Again, this is in some sense a universal example.

**Theorem 1.1.10 (Lie).** If  $\mathfrak{g}$  is a solvable Lie algebra over  $\mathbb{C}$  and  $\mathfrak{g} \rightarrow \mathfrak{gl}(V)$  is a representation, then  $\mathfrak{g}$  maps into the set of upper-triangular matrices in some basis.

*Remark 1.1.11.*  $\mathbb{C}$  or any algebraically closed field of characteristic 0 is important because we need to ensure that every operator actually has an eigenvalue and therefore can be upper-triangularized. Having characteristic 0 is also important because  $\mathfrak{sl}_2(\mathbb{Z}/2\mathbb{Z})$  is solvable. Here, we have  $[h, e] = [h, f] = 0$ , and in particular, the defining representation cannot be upper-triangularized.

*Proof.* The usual proof is induction. We simply need to find one common eigenvector, and first we find an eigenvector in  $\mathfrak{g}'$  and then extend to  $\mathfrak{g}$ . We will prove the result more globally. If  $G$  has a common eigenvector  $v_1$ , then the line  $\mathbb{C}v_1 \in \mathbb{P}(V)$  is fixed by  $G$ . Therefore, if  $G$  is triangular in the basis  $v_1, \dots, v_n$ , this is equivalent to fixing a flag  $\mathbb{C}v_1 \subset \mathbb{C}v_1 + \mathbb{C}v_2 \subset \cdots \subset V$ . Then by Borel-Morozov, a fixed flag exists because the flag variety is projective.  $\square$

**Theorem 1.1.12** (Borel-Morozov). *If  $G$  is a connected solvable affine algebraic group over an arbitrary algebraically closed field acting on a proper variety  $X$ , then  $X^G$  is nonempty.*

If we apply this discussion to the adjoint representation, we see that over a field of characteristic 0,  $\mathfrak{g}$  is solvable if and only if  $\mathfrak{g}'$  is nilpotent.

**Theorem 1.1.13** (Engel). *Suppose  $\mathfrak{g} \subset \mathfrak{gl}(V)$  consists of nilpotent operators. Then  $\mathfrak{g}$  is contained in the set of strictly upper-triangular matrices for some basis.*

The usual proof of this is by induction, so we skip it.

**Corollary 1.1.14.** *If we apply this to the adjoint representation, then  $\mathfrak{g}$  is nilpotent if and only if  $\text{ad } x = [x, -]$  is nilpotent for every  $x$ .*

This result has a global analog, due to Kolchin (who incidentally was once a professor at Columbia).

**Theorem 1.1.15** (Kolchin). *Let  $G \subset GL(V)$  be any group consisting of unipotent operators. Then  $G$  is contained in the set of unitriangular matrices for some basis of  $V$ .*

*Proof.* By induction, it is enough to find one common fixed vector  $v_1$ . We will assume that  $V$  is irreducible. Then we consider  $\text{Span}(G) \subset \text{End}(V)$ . On  $\text{End}(V)$ , we have a nondegenerate pairing  $(a, b) = \text{tr}(ab)$ , and thus if we consider

$$\text{tr}(g_1 - 1) \sum c_i g_i = \sum c_i \text{tr}(g_1 g_i - g_i) = 0$$

we see that for all  $g$ ,  $g = 1$ . Therefore  $\dim V = 1$  and every element is fixed.  $\square$

*Proof of Borel-Morozov.* Consider the exact sequence  $1 \rightarrow G' \rightarrow G \rightarrow \text{abelian} \rightarrow 1$ . By induction on the dimension of  $G'$  we see that  $X^{G'} \neq \emptyset$  is closed and thus proper. Now rename  $X = X^{G'}$ , so we only need to prove the result for abelian  $G$ .

Any algebraic group action on an algebraic variety has a closed orbit  $\mathcal{O}$ , which in this case is proper. On the other hand,  $\mathcal{O} = G/\text{stabilizer}$  is an affine algebraic group and therefore must be a point.

For another proof, every affine abelian group is built out of  $G_a, G_m$ , so we simply prove the result for these two groups. For  $G_m$ , let  $x \in X$ . Then we simply consider the limit as  $t \rightarrow 0$  of  $t \cdot x$ , which exists by the valuative criterion of properness. This must be a fixed point. For  $G_a = \mathbb{A}^1$ , we run the same argument except we consider the limit at  $\infty$ .  $\square$

In the Lie theorem,  $G \subset GL(n, \mathbb{C})$  is an arbitrary connected solvable Lie group. We need to see that the Zariski closure of  $G$  is still solvable. If we write  $\bar{g} = \text{Lie}(\bar{G})$ , then we have

**Lemma 1.1.16.**  $[\bar{g}, \bar{g}] = [\mathfrak{g}, \mathfrak{g}]$ .

*Proof.* We show that  $[\bar{g}, \bar{g}] \subset [\mathfrak{g}, \mathfrak{g}]$ . Consider

$$\tilde{G} = \left\{ h \mid \text{Ad } h(\mathfrak{g}) = \mathfrak{g}, \text{Ad } h \Big|_{\mathfrak{g}/[\mathfrak{g}, \mathfrak{g}]} = 1 \right\}.$$

In particular, we have  $G \subset \bar{G} \subset \tilde{G}$  because  $\tilde{G}$  is closed, and therefore  $[\bar{g}, \bar{g}] \subset [\mathfrak{g}, \mathfrak{g}]$ .

Now consider the same construction but with  $\mathfrak{g}$  replaced by  $\bar{g}$ . This implies that  $[\bar{g}, \bar{g}] \subset [\bar{g}, \bar{g}]$ .  $\square$

## Algebraic Groups

**Corollary 2.0.1** (Borel). *All maximal connected solvable subgroups  $B \subset G$  are conjugate for any connected linear algebraic group  $G$ .*

*Proof.* The idea is that if  $B_0 \subseteq G$  is connected solvable of maximal dimension, then  $X = G/B_0$  is projective. Then any other  $B$  will have a fixed point  $gB_0 \in X$ , and so  $g^{-1}Bg$  fixes  $B_0$ . This implies that  $g^{-1}Bg \subset B_0$  and so they must be equal (by maximality).

Really, we will prove that  $G \subseteq GL(n, k)$ . Therefore we will consider the action of  $G$  on the flag variety  $\text{Fl}(n)$ , and the stabilizer of any point is solvable. Then stabilizers of maximal dimension correspond to orbits of smallest dimension, which are closed and thus projective. Choose some maximal  $B_0$ , which stabilizes a point in a closed orbit. Then  $B_0$  is solvable and  $X = G/B_0$  is projective, so the argument above works.  $\square$

Now consider the variety  $X = G/B$ . This is called the *flag variety* for  $G$ .

**Example 2.0.2.** 1. If  $G = GL(n, k)$ , then  $G/B$  is the usual flag variety. Here,  $B$  is all upper-triangular matrices.

2. Let  $G$  be one of the classical groups. Suppose  $g$  preserves a flag  $0 \subset V_1 \subset V_2 \subset \cdots \subset V_n = k^n$ . Then  $g$  also preserves  $V_i^\perp$  and intersections of the  $V_i$  and their orthogonal complements, so we impose  $V_i^\perp = V_{n-i}$ . Thus we take the space of flags to be

$$X = \left\{ 0 \subset V_1 \subset \cdots \subset V_n = k^n \mid V_i^\perp = V_{n-i} \right\}.$$

We need to check that  $G$  acts on  $X$  transitively, so we check it up to  $V_{\lfloor n/2 \rfloor}$ , which is a maximal isotropic subspace.

**Theorem 2.0.3.** *For all  $v_1, \dots, v_m \in k^n$ , invariants of  $G = SO$  or  $G = Sp$  are generated by  $(v_i, v_j)$  and minors like  $v_{i_1} \wedge \cdots \wedge v_{i_m}$ . But all of these vanish because the  $v_i$  are all orthogonal, so there are no invariants.*

**Definition 2.0.4.** A linear algebraic group  $G$  is an affine algebraic variety over  $k$  which is also a group.

**Theorem 2.0.5** (Chevalley). *Over any field of characteristic 0, any group scheme is reduced and hence smooth.*



**Example 2.0.6.** Consider the group  $\mathbb{A}^1 = \mathbb{G}_a$ , the additive group of  $k$ . Then  $k[G] = k[t]$ , and so the addition map  $(t_1, t_2) \mapsto t_1 + t_2$  corresponds to the map  $f(t) \mapsto f(t_1 + t_2)$ .

If  $\text{char } k = p$ , then  $t \mapsto t^p$  is a group homomorphism. This gives us an exact sequence

$$0 \rightarrow \text{Spec } k[t]/t^p \rightarrow \mathbb{G}_a \rightarrow \mathbb{G}_a \rightarrow 0.$$

Here, the first term is an affine group scheme because  $\Delta t^p = t^p \otimes 1 + 1 \otimes t^p$  and therefore  $k[t]/t^p$  has a well-defined coproduct.

Therefore in characteristic 0, we can simply consider algebraic varieties. Then  $G$  is smooth, and we note that the maps  $m: G \times G \rightarrow G, i: G \rightarrow G, 1 \rightarrow G$  induce maps  $\Delta: A \rightarrow A \otimes A$  (comultiplication),  $S: A \rightarrow A$  (antipode), and  $\varepsilon: A \rightarrow k$  (counit). Here, the comultiplication is required to be *coassociative*, and the antipode is required to satisfy the identity

$$\mu \circ (1 \otimes S) \circ \Delta = \iota \circ \varepsilon.$$

In other words, the diagram

$$\begin{array}{ccccc} A & \xrightarrow{\Delta} & A \otimes A & \xrightarrow{1 \otimes S} & A \otimes A \\ \downarrow \varepsilon & & & & \downarrow \mu \\ k & \xrightarrow{\iota} & & & A \end{array}$$

commutes.

Now  $A$  has two sets of tensors:

1. As a commutative algebra over  $k$ , it has  $\mu: A \otimes A \rightarrow A$ , which is dual to  $\Delta: G \rightarrow G \times G$  and the unit  $\iota: k \rightarrow A$ . In principle, the multiplication does not need to be commutative.
2. As functions on a group, it gets  $\Delta: A \rightarrow A \otimes A, \varepsilon: A \rightarrow k, S: A \rightarrow A$ . Note that the comultiplication may not be cocommutative.

**Definition 2.0.7.** A Hopf algebra  $A$  over a field  $k$  is a bialgebra over  $k$  such that the axioms listed above for  $k[G]$  are satisfied.

Note that there is no need for  $A$  to be commutative and that the set of axioms is symmetric. Therefore we can consider the dual of a Hopf algebra, where all vector spaces are replaced with their duals and all maps are replaced by the dual maps. Now we see that linear algebraic group schemes over  $k$  are equivalent to finitely generated commutative Hopf algebras over  $k$ .

Now let  $f \in A$ . We see that  $(\Delta f)(g, h) = f(gh) = \sum c_i(g) f_i(h)$ , so if  $g = 1$ , then  $f$  is in the span of the  $f_i$ . Also,

$$f(g_1 g_2 h) = \sum c_i(g_1 g_2) f_i(h) = \sum c_i(g_1) f_i(g_2 h)$$

so every  $f \in A$  belongs to a finite-dimensional subspace that is invariant under the left regular representation. This implies that every affine algebraic group  $G$  is contained in  $GL(N, k)$

Now note that if  $G \xrightarrow{\varphi} H$  is a homomorphism of algebraic groups, then  $\text{Im } \varphi \subset H$  is closed.

**Theorem 2.0.8.** For all subgroups  $H \subseteq G$ , there exists a morphism  $G \rightarrow GL(V)$  such that  $\text{Im}(H)$  is contained in the stabilizer of a line.

*Proof.* Let  $I_H$  be the ideal of  $H$  in  $k[G]$ . Then  $H$  is the stabilizer of  $I_H \subset k[G]$  under the natural  $G$ -action. Here, note that  $L_{h^{-1}} f(g) = f(gh)$ , so if we set  $g = 1$ , then  $f(h) = 0$  for all  $h \in \text{stab}(I_H)$ .

Note that a tangent vector to an algebraic variety is an map in  $\text{Hom}(\text{Spec } k[\varepsilon]/\varepsilon^2, X)$  that sends the closed point of  $\text{Spec } k[\varepsilon]/\varepsilon^2$  to  $x \in X$ . Therefore, we have

$$\text{Lie}(G) = \{1 + \varepsilon \zeta \in G, \varepsilon^2 = 0\}.$$

Next, from last time, we know that  $\dim \text{Span}\{f(g^{-1})\} < \infty$ , so  $I_H = (f_1, \dots, f_k)$ , where  $f_i \in L$ , a finite-dimensional  $G$ -invariant subspace. Let  $L_H = I_H \cap L$ . Then  $H$  is the stabilizer of  $L_H$ , so  $H$  stabilizes a point in  $G(\dim L_H, \dim L)$ . Now we note that  $\wedge^k L_H \subseteq \wedge^k L$  is a line, as desired.  $\square$

**Definition 2.0.9.** We define  $G/H$  to be the orbit of the line that is stabilized in  $\mathbb{P}(V)$ .

Note that this definition is not necessarily independent of  $V$  and we also need to know what properties it satisfies. From now, we will assume  $H$  is smooth and  $\dim \text{Lie}(H) = \dim H$ . Then we have an exact sequence

$$0 \rightarrow \text{Lie}(H) \rightarrow \text{Lie}(G) \rightarrow T_H G/H \rightarrow 0,$$

and therefore  $G \rightarrow \text{Orbit}$  is *separable*.

**Theorem 2.0.10.** If  $X \rightarrow Y$  is dominant, separable, and generically one-to-one, then it is birational.

**Proposition 2.0.11.** Let  $x \in P(V)$  be as above and let  $y \in Y$ , where  $Y$  is any variety with a  $G$ -action such that  $H \subset G_y$ . Then there exists a unique  $G$ -invariant map  $G \cdot x \rightarrow G \cdot y$  such that  $x \mapsto y$ .

*Proof.* Consider the map  $g \mapsto (g \cdot x, g \cdot y)$  that sends  $G \rightarrow G \cdot x \times G \cdot y$ . Then the map  $p_1: G \cdot x \times G \cdot y \rightarrow G \cdot x$  must be separable. But then  $G_y \subset G_x = H$  implies  $p_1$  is one-to-one. This implies that  $p_1$  is birational when restricted to the image of  $g \mapsto (g \cdot x, g \cdot y)$ . But this means that  $p_1$  is an isomorphism, so we can take  $p_2 \circ p_1^{-1}$  as the required map.  $\square$

Now we will study what the space  $G/H$  looks like. In the case where  $G$  is connected and  $B$  is a maximal solvable groups, then the flag variety  $G/B$  is projective. The space  $G/H$  could also be an affine variety.

**Definition 2.0.12.** A group  $G$  is reductive if for any  $G \subset GL(V)$ , we can write  $V = \bigoplus V_i$ , where the  $V_i$  are irreducible  $G$ -modules.

*Remark 2.0.13.* Over  $\mathbb{C}$ , this definition is equivalent to being the complexification of a compact group.

**Theorem 2.0.14** (Matsushita-Onishchik). If  $G$  is reductive, then  $G/H$  is affine if and only if  $H$  is reductive.

Note that for most  $H$ ,  $G/H$  is neither projective nor affine. For example, if we consider  $GL(2)$  and let

$$H = \begin{pmatrix} 1 & * \\ 0 & * \end{pmatrix},$$

then  $G/H$  is the orbit of a single vector, which is  $\mathbb{A}^2 \setminus 0$ . However,  $G/H$  is always quasiprojective, so it can be embedded in projective space.

**Proposition 2.0.15.** The space  $G/H$  is quasiprojective if and only if  $H$  is the stabilizer of a point in an affine algebraic variety with a  $G$ -action. Such subgroups are called *observable*.

**Proposition 2.0.16.** The space  $G/H$  is projective if and only if  $B \subset H$ . Such subgroups are called *parabolic*.

*Proof.* First, if  $G/H$  is projective, then  $(G/H)^B \neq \emptyset$  and thus  $B$  is conjugate to a subgroup of  $H$ . On the other hand,  $\square$

## 2.1 Invariant Theory

Now note that if  $G$  acts on  $X$ , then  $G \times X \rightarrow X$  is a morphism of algebraic varieties. Now we want to study the space  $X/G$ . We can consider this in some world more general than algebraic varieties (namely stacks), but this is beyond the scope of this course. Instead, we will consider the best possible approximation in the category of schemes. Here, we will consider  $Y = X/G$  if for all  $Z$  (with the trivial action),  $G$ -equivariant maps  $X \rightarrow Z$  factor uniquely through  $Y$ .

Our goal is to show that the GIT quotient  $X/G$  exists if  $X$  is affine and  $G$  is reductive.

**Example 2.1.1.** Consider the action of  $GL(V)$  on  $V, V \otimes V, V^*, V^* \otimes V, \dots$ . Then the first (second?) fundamental theorem of invariant theory says that all invariants of these actions come from contracting tensors. For example, if we consider  $V^* \otimes V = \text{Hom}(V, V)$ , the invariants are generated by the coefficients of the characteristic polynomial. This means that  $\text{Hom}(V, V)/GL(V) = \mathbb{A}^{\dim V}$ .

*Remark 2.1.2.* Note there are several notions of being reductive. The first is structural. The second is being linearly reductive, which means that we need something like  $k \rightarrow k[G] \rightarrow k$ , where the last map is some sort of invariant integration. Finally, there is the notion of being geometrically reductive. If  $k$  has characteristic 0, then all of these notions are equivalent.

**Lemma 2.1.3.** Suppose we can split every module with an invariant element as  $k \rightarrow M \rightarrow k$ . Then all representations are linearly reductive.

*Proof.* Let  $M_1 \subset M$  be some submodule. We want a  $G$ -invariant map  $M \rightarrow M_1$ , which requires a  $G$ -equivariant map  $\text{Hom}(M, M_1) \rightarrow \text{Hom}(M_1, M_1)$  that maps onto  $1_{M_1}$ . But this problem is resolved by taking the transpose of a matrix acting on  $M$  that preserves  $M_1$ .  $\square$

Note that  $GL(V)$  is not linearly reductive if  $\text{char } k = p$ . In this case, consider the action of  $GL(2)$  on the polynomials of degree  $d$  in  $x_1, x_2$ . Then the span of  $x_1^p, x_2^p$  does not split off.

**Definition 2.1.4.** A group  $G$  is *reductive* if the radical of  $G$  is a torus. Equivalently, the unipotent radical of  $G$  is trivial. Here, the radical of  $G$  is defined to be

$$\left( \bigcap_g Bg^{-1} \right)_0$$

and is the largest normal connected solvable subgroup. The unipotent radical is defined to be the largest normal unipotent connected subgroup, and is

$$\left( \bigcap_g Ug^{-1} \right)_0 \quad U = \left\{ \begin{pmatrix} 1 & * & * \\ & \ddots & * \\ & & 1 \end{pmatrix} \right\}.$$

**Definition 2.1.5.** A group  $G$  is *geometrically reductive* if for any  $G$ -module  $M$  and line of  $G$ -fixed points, there exists a complementary divisor given by a  $G$ -invariant polynomial  $f(m)$  that does not vanish on the line.

**Example 2.1.6.** Finite groups fail to be linearly reductive in positive characteristic. For example, the representation of  $\mathbb{Z}/p\mathbb{Z}$  given by

$$\mathbb{Z}/p\mathbb{Z} \ni m \mapsto \begin{pmatrix} 1 & m \\ & 1 \end{pmatrix}$$

is not completely reducible. On the other hand, they are geometrically reductive.

*Proof.* Take any  $f_0$  such that  $f_0(0) = 0$  and  $f_0(x) = 1$ , where  $x$  is a fixed point. Then take

$$f(m) = \prod_g f(g^{-1}m) \quad f(x) = 1, f(0) = 0.$$

Now we can choose the Taylor series of  $f(x)$  to be homogeneous of degree  $p$ . □

**Theorem 2.1.7** (Haboush). *Group-theoretic reductivity is equivalent to geometric reductivity.*

**Corollary 2.1.8.** *Let  $A$  be an algebra with a  $G$ -action and suppose  $A \twoheadrightarrow B$ , which also has a  $G$ -action. Then linear reductivity means the natural map  $A^G \twoheadrightarrow B^G$  is surjective. Geometric reductivity means that for all  $f \in B^G$ , there exists  $m = p^k$  such that  $b^m \in \text{Im}(A^G)$ . In particular,  $B^G$  is integral over  $A^G$ .*

**Theorem 2.1.9** (Nagata, Popov, ...). *A group  $G$  is reductive if and only if for all finitely generated (commutative) algebras  $A$ , the algebra  $A^G$  of invariants is finitely generated.*

This result is extremely hard. Instead, we will prove

**Theorem 2.1.10** (Hilbert). *Let  $X$  be an affine variety over a field  $k$  of characteristic 0 and  $G$  a reductive group. Then  $k[X]^G$  is finitely generated.*

*Proof.* Let  $X \subseteq V$  and  $G \hookrightarrow GL(V)$ . Now  $k[V]^G \twoheadrightarrow k[X]^G$  by linear reductivity. Consider the ideal  $I = (k[V]_+^G)$ . This is finitely generated by another theorem of Hilbert (from the same paper). If  $f_1, \dots, f_k$  are generators, then we will show that they also generate  $k[V]^G$ .

Let  $F \in k[V]_d^G$  for some  $d > 0$ . Then  $F \in I$  and we can write  $F = \sum c_i f_i$ . Now we will take the average over  $G$ , which is linear over invariants. Now we obtain  $F = \sum \bar{c}_i f_i$ , where the  $\bar{c}_i$  are all invariants of degree less than  $d$ . By induction on  $d$ , we are done. □

For the proof in arbitrary characteristic, there is a book on invariant theory by T. Springer.

Now consider a map  $X \xrightarrow[\pi]{(f_1, \dots, f_k)} \mathbb{A}^k$ , where  $X$  is an affine variety with an action of a reductive group  $G$ . Then we will show that

1. The map  $\pi$  takes  $G$ -invariant  $X' \subseteq X$  to closed subsets.
2. If  $X', X''$  are disjoint  $G$ -invariant closed subsets, then  $\pi(X') \cap \pi(X'') = \emptyset$ .
3. For any open  $U \subseteq \pi(X)$ ,  $\pi^* \mathcal{O}_U = \mathcal{O}_{\pi^{-1}(U)}^G$ .

In particular, we will show that if  $G$  is reductive and  $X', X'' \subseteq X$  are closed and disjoint, then there exists  $f \in k[X]^G$  such that  $f(X') = 0, f(X'') = 1$ . To see this, we know that  $I_{X'} + I_{X''} = k[X]$ , so we can find  $f_0 \in I_{X'}, f_1 \in I_{X''}$  such that  $f_0 + f_1 = 1$ . Thus  $f_0(X') = 0, f_0(X'') = 1$ . Then if  $f_0, \dots, f_m$  span  $f_0(g^{-1}-)$ , then the map  $X \xrightarrow{(f_0, \dots, f_m)} \mathbb{A}^{m+1}$  sends  $X'$  to  $(0, \dots, 0)$  and  $X''$  to  $(1, \dots, 1)$ . By geometric reductivity, there exists a polynomial  $P(f_0, \dots, f_m)$  which is invariant and takes values 0 on  $X'$  and 1 on  $X''$ .

Note that if  $G$  is not reductive, then closed subsets cannot be separated by invariants. For an example, consider the action of  $G_a$  on  $\mathbb{A}^2$  by translating the second coordinate. Then  $(x, 0), (y, 0)$  cannot be separated by invariants.

Now we need to show that  $\pi(X)$  is closed. If not, then if  $p \in \overline{\pi(X')} \setminus \pi(X')$ , then  $\pi^{-1}(p)$  is closed and disjoint from  $X'$ . But this implies there exists  $f$  such that  $f(X'') = f(p) = 1$  and  $f(X') = f(\pi(X')) = 0$ , a contradiction.

Finally, let  $U \subseteq \pi(X)$  be given by  $\{F_1 \neq 0, F_2 \neq 0\}$ . Then

$$\mathcal{O}_U = \mathbb{k}[f_1, \dots, f_k][1/F_i] = \mathbb{k}[X]^G[1/F_i] = (\mathbb{k}[X][1/F_i])^G = \mathcal{O}_{\pi^{-1}(U)}^G.$$

This all implies that  $\pi(X) = X/G$  is the categorical quotient of  $X$  under the action of  $G$ . To see this, observe that if  $U_i$  is an affine open cover of  $Z$ , then  $p^{-1}(U_i)$  cover  $X$ , so  $X_i = X \setminus p^{-1}(U_i)$  is closed and  $\bigcap X_i = \emptyset$ . Now let  $V_i = Y \setminus \pi(X_i)$ . These form an open cover of  $Y$ , so now write  $\bar{p}: V_i \rightarrow U_i$ . Then we have

$$\mathcal{O}_{U_i} \xrightarrow{p^*} \mathcal{O}_{X \setminus X_i}^G \hookrightarrow \mathcal{O}_{\pi^{-1}(V_i)}^G = \pi^* \mathcal{O}_{V_i} \subset \mathcal{O}_{\pi^{-1}(V_i)},$$

and this must be unique, so  $\pi^* \bar{p}^* = p^*$ , so  $\bar{p}: V_i \rightarrow U_i$ .

Therefore we have proved that if  $X$  is affine and  $G$  is reductive, then  $Y = \text{Spec } \mathbb{k}[X]^G$  is the categorical quotient. Note that this is surjective, and for  $p \in Y$ ,  $\pi^{-1}(p)$  is nonempty and contains a unique closed orbit.

Now we will discuss quotients of general varieties by algebraic groups. This is very complicated because  $x \in X$  may not have a  $G$ -invariant affine neighborhood (consider the example of Hironaka). Now if we consider  $X \subset \mathbb{P}(V)$  for a  $G$ -module  $V$  with  $V^* = \mathcal{O}(1)$ , then  $\mathcal{O}(1)$  is a very ample line bundle on  $X$  with a linearization by  $G$ . Similarly to  $Y = \text{Spec } \mathbb{k}[X]^G$ , we may consider the affine cone  $\hat{X}$  over  $X$  and then take  $Y = \text{Proj } \mathbb{k}[\hat{X}]^G$ . This is covered by open sets where  $\{F_i(x) \neq 0\}$ , and then  $\mathbb{P}(V) \setminus \{F(x) = 0\}$  is an affine  $G$ -invariant set.

Not all points have an invariant polynomial  $F_i$  such that  $F_i \neq 0$ . The points that do are called *semistable*.

**Definition 2.1.11.** The *GIT quotient*  $X // G$  is defined to be  $\text{Proj } \mathbb{k}[\hat{X}]^G = X^s / G$ , where  $X^s$  is the stable locus.

The unstable points are those such that there is no invariable  $F_d$  such that  $F_d(x) \neq 0$ . But this implies that the closure of the orbit of  $x$  in  $V$  contains  $0 \in V$ .

Note that if  $\chi: G \rightarrow \mathbb{G}_m$  is a character, then  $V \mapsto V \otimes \chi$  does not change the action on  $\mathbb{P}(V)$  because  $S^d V^* \mapsto S^d V^* \otimes \chi^{-d}$  sends  $\chi$ -covariants to invariants. Therefore, even in the affine situation, it makes sense to consider  $X // G = \text{Proj covariants}$ . For the most basic example, consider  $\mathbb{P}(V) = \text{Proj } \bigoplus S^d V^*$ . Then  $V / \mathbb{G}_m$  is a point, and  $V // \mathbb{G}_m = \mathbb{P}(V)$ . On the other hand, we have  $V //_{\chi=t} \mathbb{G}_m = \text{Proj } \mathbb{C} = \emptyset$ , so in both cases the map  $X // G \rightarrow X / G$  is uninteresting.

Now we want to find generators of the algebra  $\mathbb{k}[X]^G = \mathbb{k}[f_1, \dots, f_N]$ . Then the affine scheme  $X / G$  is cut out by the relations among the  $f_i$ . Finding the relations is incredibly hard, so we can try to find the generators. Results of this form go under the form of the *first fundamental theorem of invariant theory*. Here, we will assume  $G = GL(n), SL(n)$ . These fit into the exact sequence

$$1 \rightarrow SL(V) \rightarrow GL(V) \xrightarrow{\det} GL(1) \rightarrow 1.$$

Therefore  $SL(V)$ -invariants are the same as  $GL(V)$ -covariants with respect to the determinant character. We know that  $\mathbb{k}[X]$  contains a finite-dimensional  $G$ -invariant module  $M$ , which can be included in  $\mathbb{k}[G]^{\oplus m}$ . This implies that any  $X$  can be emdedded in some  $V^{\oplus m_1} \oplus (V^*)^{\oplus m_2} =: M_{m_1, m_2}$  because there is a natural map  $\mathbb{k}[\text{End}(V)] \rightarrow \mathbb{k}[G]$ . This gives us a map  $\mathbb{k}[M_{m_1, m_2}] \rightarrow \mathbb{k}[X]$  that restricts to invariants, so we have reduced the problem of finding invariants to vector spaces.

**Theorem 2.1.12** (First fundamental theorem of invariant theory). *The invariants of  $SL(V)$  acting on  $V^{\oplus m_1} \oplus (V^*)^{\oplus m_2}$  are generated by*

1. Contracting tensors:  $(v_1, \dots, v_{m_1}, \ell_1, \dots, \ell_{m_2}) \mapsto \langle v_i, \ell_j \rangle$ ;
2. Determinants of the form  $\det(v_{i_1} \dots v_{i_n})$  with weight  $\det$  and dually for the  $\ell_j$  with weight  $\det^{-1}$  (weights are under the action of  $GL$ ).

*Proof.* Note that  $M_{m_1, m_2} = \text{Hom}(\mathbb{k}^{m_1}, V) \oplus \text{Hom}(V, \mathbb{k}^{m_2})$ . Now the two parts have actions by the groups  $GL(m_1), GL(m_2)$  and maximal tori  $T_{m_1}, T_{m_2}$ . Then the weights record how many times we use a particular vector or covector. Now it suffices to consider functions of weight  $(1^\ell, 0^k)$ .

To see this, we use a polarization trick. If  $\deg_{v_i} f(v_1, \dots) = d$ , then we can write  $v_i = \sum \lambda_i u_i$  and now we have a function of  $m_1 + d - 1$  vectors  $u_1, \dots, u_d, v_2, \dots, v_{m_1}$ . Expanding this, we obtain a new polynomial  $\tilde{f}$  that is linear in each of the  $u_1, \dots, u_d$ . Then considering the polynomial  $\tilde{f}(v_1, \dots, v_1, v_2, \dots, v_m)$  gives us the desired reduction.

But now functions on  $M_{m_1, m_2}$  linear in each of the  $v_1, \dots, v_m, \ell_1, \dots, \ell_{m_2}$  are just the space  $(V^*)^{\otimes m_1} \otimes V^{\otimes m_2}$ . We will show that

$$((V^*)^{\otimes m_1} \otimes V^{\otimes m_2})^{GL(V)} = \begin{cases} 0 & m_1 \neq m_2 \\ \text{Span} \left\{ \prod_{i=1}^m \langle v_i, \ell_{\sigma(i)} \rangle \right\}_{\sigma \in S_m} & m_1 = m_2 = m. \end{cases}$$

The scalars  $t \cdot I$  act with weights  $t^{-m_1+m_2}$  so there are no invariants unless  $m_1 = m_2$ . Now if  $m_1 = m_2$ , we are looking for

$$\text{Hom}(V^{\otimes m}, V^{\otimes m})^{GL(V)} \cong \mathbb{k} S_m.$$

This result is known as *Schur-Weyl duality*. If we consider the natural map  $GL(V) \times S_m \rightarrow \text{End}(V^{\otimes m})$ . In fact, each piece of the product generates the commutant of the other. We see that both images are semisimple subalgebras in  $\text{End}(V^{\otimes m})$ . Now the desired result is equivalent to proving that  $\text{End}(V^{\otimes m})^{S_m}$  is the image of  $GL(V)$ . Then polynomials on  $\text{End}(V)$  of degree  $m$  are the same as  $S^m \text{End}(V)^* = \text{End}(V^{\otimes m})^{S_m}$ . Suppose that  $\text{End}(V^{\otimes m})^{S_m} \supsetneq GL(V)$ . But then we can consider  $GL(V)^\perp$  in the set of polynomials of degree  $m$ . Let  $P$  be such a polynomial. Then  $P(g, \dots, g) = 0$  for all  $g \in GL(V)$ . But then by Zariski density of  $GL(V)$  in  $\text{End}(V)$ , we see that  $P = 0$ . This now tells us that

$$\mathbb{k}[V^{\oplus m_1} \otimes (V^*)^{\oplus m_2}]^{GL(V)} = \mathbb{k}[\langle v_i, \ell_j \rangle].$$

Now we need to compute the additional  $SL(V)$ -invariants, which are given by

$$\left( \mathbb{k}[V^{\oplus m_1} \oplus (V^*)^{\oplus m_2}] \otimes \det^{-1} \right)^{GL(V)} = \mathbb{k}[\langle v_i, \ell_j \rangle] \otimes \text{Span} \det(v_{i_1} \dots v_{i_n}).$$

We will introduce new covectors  $\bar{\ell}_1, \dots, \bar{\ell}_n$  and consider the functions

$$f \cdot \det \begin{pmatrix} \bar{\ell}_1 \\ \vdots \\ \bar{\ell}_n \end{pmatrix},$$

which is an invariant and thus contained in  $\mathbb{k}[\langle v_i, \ell_j \rangle, \langle v_i, \bar{\ell}_j \rangle]$ . Now  $\det$  is multilinear and skew-symmetric, so each  $\bar{\ell}_j$  has to be used exactly once. But now  $f$  is a product  $f_1, f_2$  where  $f_1 \in \mathbb{k}[\langle v_i, \ell_j \rangle]$  and  $f_2$  is contained in the antisymmetrization of  $\prod \langle v_{i_k}, \bar{\ell}_k \rangle$ , so  $f_2 = \det(v_{i_k}) \cdot \det(\bar{\ell}_k)$ .  $\square$

**2.1.1 Finite Subgroups of  $SL(2, \mathbb{C})$**  Now consider a finite group  $G \subset SL(2, \mathbb{C})$ . For example,  $G$  is cyclic, dihedral, etc. Now we have an exact sequence

$$1 \rightarrow \{\pm 1\} \rightarrow SL(2) \rightarrow SO(3) \rightarrow 1.$$

and now we can find in  $SO(3)$  symmetries of the Platonic solids  $A_4, D_4, A_5$  corresponding to tetrahedron, cube, and dodecahedron. Now if  $\gamma \in SO(3)$  has order 3 with eigenvalues  $1, \zeta_3, \zeta_3^2$ , then we know  $\tilde{\gamma} \in SL(2)$  has eigenvalues  $\zeta_6, \zeta_6^{-1}$ . Now for  $G \in \tilde{A}_4, \tilde{S}_4, \tilde{A}_5$  and  $V = \mathbb{C}^2$ , we know that  $SL(2) = Sp(2)$  preserves the skew pairing. We know

$$V/G = \text{Spec}(S^*V^*)^G,$$

so now consider the Hilbert/Poincaré series

$$H(t) = \sum_d t^d \dim(S^d V)^G.$$

By an observation of Hilbert, this is a rational function for any finitely generated graded module over a finitely generated algebra. But now we know that  $\mathbb{C}[a_1, \dots, a_m] \twoheadrightarrow A$ . If  $a_i$  has degree  $d_i$ , then the free module has Hilbert series

$$H_{\text{free}}(t) = \frac{1}{\prod_i (1 - t^{d_i})}.$$

In general, a module  $M$  has a finite free resolution

$$\cdots \rightarrow \bigoplus A_i r_i \rightarrow \bigoplus A \cdot m_i \rightarrow M \rightarrow 0.$$

This gives us

$$H_M(t) = \frac{\sum t^{m_i} - \sum t^{r_i} + \cdots}{\prod (1 - t^{d_i})}.$$

**Theorem 2.1.13 (Molien).** *Let  $G$  be a reductive group over  $\mathbb{C}$  acting on a vector space  $V$ . Then*

$$H_{(S^\bullet V)^G}(t) = \int_{\text{maximal compact}} d_{\text{Haar}} g \frac{1}{\det_V(1 - tg)} \quad , |t| < \varepsilon.$$

To do the actual computation, we can use the Weyl character formula. This is simply

$$H_{S^\bullet V}(t) = \frac{1}{|W|} \int_T d_{\text{Haar}}(s) \frac{\prod_{\alpha \neq 0} (1 - s^\alpha)}{\prod_{\text{weights } \mu} (1 - ts^\mu)},$$

and this can be computed using residues. Of course, if  $G$  is finite, then we just sum over conjugacy classes. For example, if  $G = A_4$ , then these are cycles of signature either  $(3, 1)$  or  $(2, 2)$ , and therefore

$$\tilde{A}_4 = \{\pm 1, \pm i, \pm j, \pm k\} \cup \left\{ \frac{1}{2}(\pm 1 \pm i \pm j \pm k) \right\}$$

is a group of order 24. Now the conjugacy classes are given by

$$\begin{aligned} 1 &\longrightarrow \frac{1}{(1-t)^2} \\ -1 &\longrightarrow \frac{1}{(1+t)^2} \\ i &\longrightarrow \frac{1}{(1+it)(1-it)} = \frac{1}{1+t^2} \\ \zeta_3 &\longrightarrow \frac{1}{(1-\zeta_3 t)(1-\zeta_3^{-1}t)} = \frac{1}{1+t+t^2} \\ \zeta_6 &\longrightarrow \frac{1}{1-t+t^2}. \end{aligned}$$

*Remark 2.1.14.* Andrei admires the mathematicians of the past who were able to compute things by hand. Now he cannot imagine performing these computations without a computer. It is important to note that we should always use a free and open-source program to perform such computations and not something proprietary like some programs that shall not be named.<sup>1</sup>

This tells us that

$$H(t) = \frac{(1-t^{24})}{(1-t^6)(1-t^8)(1-t^{12})} = \frac{(1+t^{12})}{(1-t^6)(1-t^8)}.$$

This suggests generators of degree 6, 8, 12 and a relation in degree 24.

**Theorem 2.1.15** (E. Noether). *The ring  $(S^\bullet V)^G$  is generated in degree at most  $|G|$ .*

*Proof.* By polarization, we know  $S^d V$  is spanned by  $v^d$ . But then we know that  $(S^d V)^G$  is spanned by polynomials of the form

$$\sum g \cdot v^d = \sum (gv)^d = p_d(\underbrace{v, g_1 v, g_2 v, \dots}_{|G|}),$$

and this can be expressed in elementary symmetric functions of degree at most  $|G|$ . □

Now we can rewrite

$$H(t) = 1 + t^6 + t^8 + 2t^{12} + t^{14} + t^{16} + 2t^{18} + \dots$$

Let  $x, y, z$  be the generators of degree 6, 8, 12, and then in degree 24, we have some relation

$$Ax^4 + By^3 + Cz^2 = 0.$$

There are no further relations because  $\dim V/\widetilde{A}_4 = 2$ , so we have a map

$$V \xrightarrow{(x,y,z)} V/G \subset \mathbb{C}^3.$$

*Remark 2.1.16.* This classification of finite subgroups of  $SL(2)$  also gives us Du Val singularities, the classification of simple Lie algebras, the McKay correspondence, and many other interesting objects in mathematics.

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<sup>1</sup>See <https://www.gnu.org/proprietary/proprietary.en.html> or <https://www.gnu.org/philosophy/why-free.en.html>



Now consider the action of  $SL(2, \mathbb{Z})$  on the upper half-plane  $\mathcal{H}$ . Then we have an exact sequence

$$1 \rightarrow \Gamma(m) \rightarrow SL(2, \mathbb{Z}) \rightarrow SL(2, \mathbb{Z}/m) \rightarrow 1$$

and we have an action of  $SL(2, \mathbb{Z}/m)$  on  $\mathcal{H}/\Gamma(m)$ .

But now  $\Gamma(m)$  has no torsion, so we have finitely many cusps, corresponding to the action of  $\Gamma(m)$  on  $\mathbb{Q}$ , and  $\mathcal{H}/\Gamma(m)$  is a curve of genus  $g = g(\Gamma(m))$ . For  $m = 3, 4, 5$ , we have  $g = 0$  and thus  $SL(2, \mathbb{Z}/m)$  acts on  $\mathbb{P}^1$ .

Now the tetrahedron corresponds to the standard fundamental domain for  $SL(2, \mathbb{Z})$ . The cube corresponds to the below:

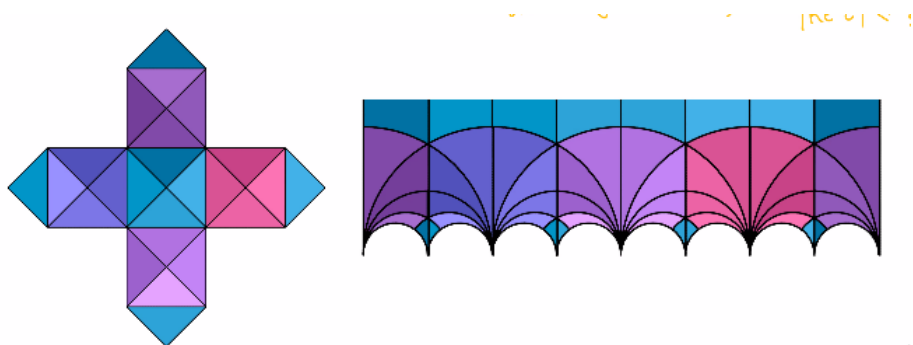


Figure 2.1: Fundamental domain subdivided

The dodecahedron and icosahedron correspond to the following:

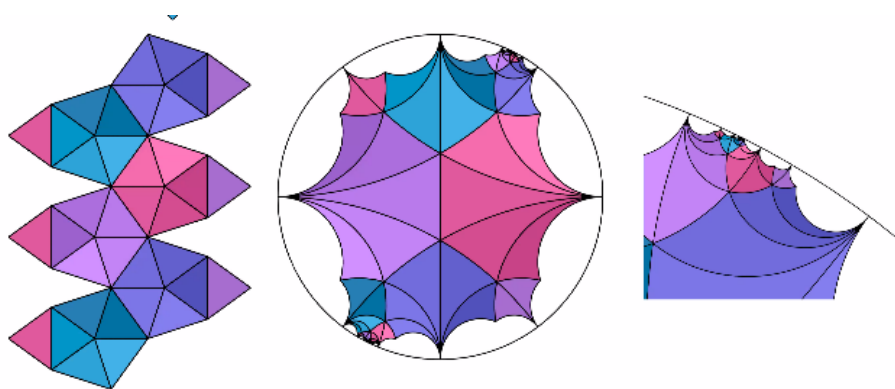


Figure 2.2: Fundamental domain for icosahedron

The cusps correspond to points of 5-fold symmetry, and correspond to  $0, 1, 2, \infty, \varphi, 1/\varphi, \dots$ , and the points converging to  $1/\varphi$  are given by ratios  $F_n/F_{n-1}$  of Fibonacci numbers.

*Remark 2.1.17.* Instead of just considering the icosahedron, we should consider an infinite strip with the given pattern in the picture.

## 2.2 Jordan Decomposition

Let  $\mathbb{k} = \bar{\mathbb{k}}$ . Then for all  $g \in G = GL(V)$ , we can decompose  $g$  into Jordan blocks. In particular, we can write  $g = g_s g_n$ , where  $g_s$  is semisimple and  $g_n$  is strictly upper triangular (in some basis). The analogous decomposition for  $\xi \in \mathfrak{g}$  is  $\xi = \xi_s + \xi_n$ . Now if  $V \subseteq \mathbb{k}^n$  is invariant under  $g$ , it is invariant under both  $g_s, g_n$ .

Consider the regular representation  $\rho$  of  $G = GL(n, \mathbb{k})$  on  $\mathbb{k}[G]$ .

**Lemma 2.2.1.** *For any  $g \in G$ , we have  $\rho(g)_s = \rho(g_s)$ .*

**Corollary 2.2.2.** *If  $G$  is an algebraic group, then  $g_s, g_n \in G$  for all  $g \in G$ . Moreover, if  $\varphi: G_1 \rightarrow G_2$  is a homomorphism, then  $\varphi(g)_s = \varphi(g_s)$  and  $\varphi(g)_n = \varphi(g_n)$ .*

*Proof.* By Chevalley,  $G$  is the stabilizer of a subspace on  $\mathbb{k}[GL(n)]$  and therefore because  $g$  stabilizes the subspace, so do  $g_s, g_n$ .

For the second part, we can pull back  $\varphi^*: \mathbb{k}[G_2] \rightarrow \mathbb{k}[G_1]$  and then the desired result is obvious.  $\square$

## More on Lie Algebras

### 3.1 More Solvable Lie Algebras

We will return to solvable Lie algebras. Assume  $\text{char } \mathbb{k} = 0$ .

**Theorem 3.1.1.** *Let  $\mathfrak{g} \subset \mathfrak{gl}(V)$  be a Lie subalgebra. Then  $\mathfrak{g}$  is solvable if and only if  $\text{tr } x[y, z] = 0$  for all  $x, y, z \in \mathfrak{g}$ .*

*Proof.* One direction is clear by Borel. Here,  $\mathfrak{g} \subset \mathfrak{b}$  is contained in the subalgebra of upper-triangular matrices. In the other direction, the form  $\text{tr } x[y, z] \in (\Omega^3 \mathfrak{g})^{\mathfrak{g}}$ . In particular, we see that if  $\mathfrak{g} = \text{Lie}(G)$ , then this becomes a bi-invariant 3-form on  $G$ . This gives a class in  $H_{\text{dR}}^3(G)^{G \times G}$ . Now we have an exact sequence

$$1 \rightarrow \text{unipotent radical} \rightarrow G \rightarrow G_{\text{reductive}} \rightarrow 1,$$

and here the unipotent radical is homeomorphic to  $\mathbb{R}^n$ , while  $G_{\text{reductive}}$  is a product of simple nonabelian  $G_i$  and a torus up to a finite cover. Then  $\text{rk } \pi_3$  is the number of simple nonabelians, and so the morphisms  $SU(2) \simeq S^3 \hookrightarrow (G_i)_{\text{compact}}$  generate  $\pi_3 \otimes \mathbb{Q}$ .

Now suppose that  $\text{tr } x[y, z] = 0$ . Now we will show that  $\mathfrak{g}$  is solvable. It suffices to show that  $\mathfrak{g}' = [\mathfrak{g}, \mathfrak{g}]$  is nilpotent. By Engel, it suffices to show that any  $x \in \mathfrak{g}'$  is nilpotent. Consider the subalgebra

$$\mathfrak{gl}(V) \supset \tilde{\mathfrak{g}} = \{\zeta \mid [\zeta, \mathfrak{g}] \subset [\mathfrak{g}, \mathfrak{g}]\} \supset \mathfrak{g}.$$

This is the Lie algebra of an algebraic group

$$\tilde{G} = \{h \mid \text{Ad}(h)(\mathfrak{g}) = \mathfrak{g}, \text{Ad}(h) \equiv 1 \pmod{[\mathfrak{g}, \mathfrak{g}]}\}.$$

But then  $\text{tr } x\zeta = 0$  for all  $x \in \mathfrak{g}', \zeta \in \tilde{\mathfrak{g}}$ . Now if  $x = \sum [y_i, z_i]$ , then we have

$$\text{tr } x\zeta = \sum \text{tr } y_i [z_i, \zeta] = 0,$$

so if  $x \in \mathfrak{g} \subset \tilde{\mathfrak{g}}$ , then  $x_s \in \tilde{\mathfrak{g}}$ . Now considering  $f(x_s) \in \tilde{\mathfrak{g}}$ , we will obtain some condition on  $\text{ad } f(x_s)$ . These will have the same eigenvectors as  $\text{ad } x_s$ . If  $E_{ij}$  is an eigenvector of  $\text{ad } x_s$  with eigenvalue  $\lambda_i - \lambda_j$ , then it has eigenvalue  $f(\lambda_i) - f(\lambda_j)$  under  $\text{ad } f(x_s)$ . If there exists  $\psi$  such that  $f(\lambda_i) - f(\lambda_j) = \psi(\lambda_i - \lambda_j)$ , then  $\text{ad } f(x_s) = \psi(\text{ad } x_s)$  and thus  $f(x_s) \in \tilde{\mathfrak{g}}$ .

Now if  $f$  is linear over  $\mathbb{Q}$ , then  $f(x_s) \in \tilde{\mathfrak{g}}$  and  $\text{ad } f(x_s) = f(\text{ad } x_s)$ . Next we see that  $\text{tr } x_s f(x_s) = 0$  because we can embed  $\{\lambda_i\} \subset \mathbb{C}$  and then take  $f(\lambda_i) = \bar{\lambda}_i$ . Then we see that  $\text{tr } x_s f(x_s) = \sum |\lambda_i|^2 = 0$ , and then we see that all  $\lambda_i = 0$ . Alternatively, if  $\dim_{\mathbb{Q}} \bigoplus \mathbb{Q}\lambda_i > 0$ , then there exists a nonzero  $f: \bigoplus \mathbb{Q}\lambda_i \rightarrow \mathbb{Q}$ , but then  $f(\sum \lambda_i f(\lambda_i)) = \sum f_i(\lambda)^2$ .  $\square$

**Definition 3.1.2.** Define the *Killing form* by

$$(x, y) := \text{tr ad}(x) \text{ad}(y).$$

*Remark 3.1.3.* Killing apparently lived a very sad life and did not get the recognition he deserved. Unfortunately, Andrei (and I) do not know more about him.

**Theorem 3.1.4.** A Lie algebra  $\mathfrak{g}$  is solvable if and only if  $(x, [y, z]) = 0$ .

*Proof.* Consider the exact sequence

$$0 \rightarrow Z(\mathfrak{g}) \rightarrow \mathfrak{g} \rightarrow \text{ad } \mathfrak{g} \rightarrow 0.$$

Then solvability of  $\mathfrak{g}$  is equivalent to solvability of  $\text{ad } \mathfrak{g}$ . □

**Theorem 3.1.5** (Cartan Criterion). A Lie algebra  $\mathfrak{g}$  is semisimple if and only if the Killing form is nondegenerate.

*Proof.* Suppose  $(-, -)$  is degenerate. Then  $\mathfrak{g}^\perp$  is a solvable ideal in  $\mathfrak{g}$ . But then if  $\mathcal{I}$  is a solvable ideal with  $\mathcal{I}^{n+1} = 0$ , then  $\mathfrak{a} = \mathcal{I}^n$  is an abelian ideal. Therefore, for all  $x, y$ , we see that

$$[\mathfrak{a}, [y, [\mathfrak{a}, x]]] = 0,$$

and therefore for all  $a \in \mathfrak{a}, y \in \mathfrak{g}$ , we have  $\text{ad}(a) \text{ad}(y) \text{ad}(a) = 0$ , so  $(\text{ad}(a) \text{ad}(y))^2 = 0$ , and thus  $\text{tr ad}(a) \text{ad}(y) = 0$ . But then  $a \in \mathfrak{g}^\perp$ . □

**Corollary 3.1.6.** If  $\mathfrak{g}$  is semisimple, then  $\mathfrak{g} = \bigoplus \mathfrak{g}_i$  is a sum of simple nonabelians.

*Proof.* Suppose  $\mathfrak{h} \subset \mathfrak{g}$  is an ideal. Then  $\mathfrak{h} \cap \mathfrak{h}^\perp = 0$  (because it is a solvable ideal). Note that if  $\mathfrak{h} \subset \mathfrak{g}$  is an ideal, then  $(h_1, h_2)_\mathfrak{h} = (h_1, h_2)_\mathfrak{g}$ . □

## 3.2 Lie algebra cohomology

There are three kinds of properties:

- General abstract properties;
- Properties derived from the structure theory  $\mathfrak{g} = \mathfrak{h} \oplus \bigoplus_\alpha \mathfrak{g}_\alpha$ ;
- Properties derived from the classification of root systems.

We will begin with the first. If  $\mathfrak{g}$  is semisimple, then:

1. The category  $\text{Mod}_{\text{fd}} \mathfrak{g}$  is semisimple. In particular, every finite-dimension  $\mathfrak{g}$ -module has the form  $M = \bigoplus M_i$ , where the  $M_i$  are simple.
2. The algebra  $\mathfrak{g}$  has no *deformations*.
3. All derivations of  $\mathfrak{g}$  are inner derivations. In particular, we see that  $\mathfrak{g} = \text{Lie}(\text{Aut}(\mathfrak{g}))$ . In addition, in the exact sequence

$$0 \rightarrow Z(\mathfrak{g}) \rightarrow \mathfrak{g} \xrightarrow{\text{ad}} \text{Der } \mathfrak{g} \rightarrow \text{Out } \mathfrak{g} \rightarrow 0,$$

the two outside terms vanish.

4. For any Lie algebra  $\mathfrak{g}_{\text{any}}$ , we have

$$0 \rightarrow \text{radical} \rightarrow \mathfrak{g}_{\text{any}} \rightarrow \mathfrak{g}_{\text{ss}} \rightarrow 0,$$

and this exact sequence splits into  $\mathfrak{g}_{\text{any}} = \mathfrak{g}_{\text{ss}} \ltimes \text{radical}$ .

All of these phenomena fit under the umbrella of the vanishing of some cohomology groups.

**Definition 3.2.1.** Let  $\mathfrak{g}$  be a Lie algebra over a field  $\mathbb{k}$  and  $M$  be a  $\mathfrak{g}$ -module. We may consider the complex

$$\text{Hom}_{\mathbb{k}} \left( \bigwedge^n \mathfrak{g}, M \right) \ni \omega(\xi_1, \dots, \xi_n) \quad \xi_i \in \mathfrak{g}.$$

and define

$$d\omega(\xi_1, \dots, \xi_{n+1}) = \sum_i (-1)^{i-1} \xi_i \omega(\dots, \widehat{\xi_i}, \dots) + \sum_{i < j} (-1)^{i+j} \omega([\xi_i, \xi_j], \dots, \widehat{\xi_i}, \dots, \widehat{\xi_j}, \dots).$$

In the homework, we will show that  $d^2 = 0$ , so we may define the *Lie algebra cohomology*  $H^n(\mathfrak{g}, M)$ .

For an example in low dimension, we see that  $C_0 = M \rightarrow C_1$  is given by  $d\omega(\xi_1) = \xi_1(\omega)$ , so  $H^0(M) = M^{\mathfrak{g}}$ . For a more modern definition, we see that  $H^i(\mathfrak{g}, M)$  are the derived functors of  $M \rightarrow M^{\mathfrak{g}}$ .

We may motivate this formula in the following way from the de Rham differential. Suppose  $G$  acts on a manifold  $X$ . This gives a morphism  $\mathfrak{g} \rightarrow \Gamma(X, TX)$  into the vector fields. Then if  $\xi_1, \dots, \xi_{n+1}$  are vector fields on  $X$  and  $\omega$  is an  $n$ -form on  $X$ , we have

**Proposition 3.2.2.** *The formula for  $d\omega(\xi_1, \dots, \xi_n)$  is the same formula as in Lie algebra cohomology, where  $\xi_i \omega$  is the Lie derivative of  $\omega$  along  $\xi_i$ .*

*Proof.* Andrei's proof is way too confusing. This is also Proposition 12.19 in Lee's smooth manifolds book. The proof there is the same, but is done in a much easier-to-digest way.  $\square$

**Theorem 3.2.3.** *Let  $\mathfrak{g}$  be a semisimple Lie algebra over a field of characteristic 0.*

1. *If  $M$  is irreducible and nontrivial, then  $H^\bullet(\mathfrak{g}, M) = 0$ .*
2.  *$H^\bullet(\mathfrak{g}, \mathbb{k})$  is the free anticommutative algebra on finitely many generators of degree contained in  $\{3, 5, 7, \dots\}$ . When  $\mathbb{k} = \mathbb{C}$ , this is the same as  $H^\bullet(G, \mathbb{C})$ . This is also the same as the cohomology of the maximal compact subgroup. For example,*

$$H^\bullet(SL(n, \mathbb{C}), \mathbb{C}) = H^\bullet(SU(n), \mathbb{C}) = \mathbb{C} \langle \omega_3, \omega_5, \dots, \omega_{2n-1} \rangle.$$

*In particular, if  $\mathfrak{g}$  is semisimple, then  $H^1, H^2$  vanish for all  $M$ . Also, we have an isomorphism  $H^3(\mathfrak{g}, \mathbb{k}) \cong \mathbb{k}^{\# \text{ simple factors}}$ , and this is just the space of invariant bilinear forms.*

*Proof.*

1. Suppose  $M$  is nontrivial and irreducible. Without loss of generality, assume  $\mathfrak{g}$  is simple and consider  $\mathfrak{g} \subseteq \mathfrak{gl}(M)$ . We will show that multiplication by  $\dim \mathfrak{g}$  is homotopic to 0. Consider the form  $B(x, y) = \text{tr}_M xy$ , which is nondegenerate. Then if  $\{e_1, \dots, e_d\}, \{f_1, \dots, f_d\}$  are dual bases of  $\mathfrak{g}$ , the Casimir element  $\sum e_i f_i$  commutes with  $\mathfrak{g}$  and is nonzero because  $\text{tr} \sum e_i f_i = \sum \text{tr} e_i f_i = \dim \mathfrak{g}$ . Now we will define our homotopy by

$$h\omega(\xi_1, \dots, \xi_{n-1}) = \sum e_i \omega(f_i, \xi_1, \dots, \xi_{n-1}).$$

Now, we compute  $d \circ h + h \circ d$ . We have

$$dh\omega(\xi_1, \dots, \xi_n) = \sum_i (-1)^{i-1} \xi_i e_* \omega(f_*, \dots, \widehat{\xi_i}, \dots) + \sum_{i < j} (-1)^{i+j} (-1)^{i+j} e_* \omega(f_*, [\xi_i, \xi_j], \dots)$$

Then writing

$$\begin{aligned} d\omega(\xi_0, \xi_1, \dots, \xi_n) &= \xi_0 \omega(\xi_1, \dots, \xi_n) + \sum (-1)^i \xi_i \omega(\xi_0, \dots, \widehat{\xi_i}, \dots, \xi_n) \\ &\quad + \sum_i (-1)^i \omega([\xi_0, \xi_i], \dots) + \sum_{0 < i < j} (-1)^{i+j} \omega([\xi_i, \xi_j], \dots), \end{aligned}$$

we have

$$\begin{aligned} h d\omega(\xi_1, \dots, \xi_n) &= e_* f_* \omega(\xi_1, \dots, \xi_n) + \sum (-1)^i e_* \xi_i \omega(f_*, \dots) \\ &\quad + \sum_i (-1)^i e_* \omega([f_*, \xi_i], \dots) + \sum_{i < j} (-1)^{i+j} e_* \omega([\xi_i, \xi_j], f_*, \dots). \end{aligned}$$

Now we may verify that all of the relevant terms cancel, so

$$(hd + dh)\omega = e_* f_* \omega + \sum_i (-1)^i ([e_*, \xi_i] \omega(f_*, \dots) + e_* \omega([f_*, \xi_i], \dots)).$$

The second term is given by inserting the tensor  $[e_* \otimes f_*, \xi_i \otimes 1 + 1 \otimes \xi]$ . But then  $e_* \otimes f_*$  is an invariant tensor, so  $\text{ad}(\xi) e_* \otimes f_* = [\xi \otimes 1 + 1 \otimes \xi, e_* \otimes f_*] = 0$ .

2. We may assume that  $\mathbb{k} = \mathbb{R}$  or  $\mathbb{k} = \mathbb{C}$ , so let  $G$  be a connected compact Lie group. We will see that  $H^\bullet(\mathfrak{g}) = (\bigwedge^\bullet \mathfrak{g})^G \simeq H^\bullet(G)$ . We will compute ordinary cohomology using the de Rham complex. First, we will note that  $g \in G$  acts trivially on  $H^\bullet(G)$ . To see this, observe that  $\xi \in \mathfrak{g}$  acts on forms by the Lie derivative  $L_\xi$ , and  $[L_\xi \omega] = 0$  if  $d\omega = 0$  by the Cartan formula.

Now for any compact group  $G$  acting on a manifold  $X$ , the inclusion  $(\Omega^i X)^G \rightarrow \Omega^i X$  induces an isomorphism on cohomology. To see this, we simply note that  $\int g^* \omega dg$  is cohomologous to  $\omega$ . Therefore, we may consider right-invariant forms in  $\Omega^i G$ . But then

$$(\Omega^i G)_{\text{right invariant}} \simeq \bigwedge^i \mathfrak{g}^* \simeq \bigwedge^i \mathfrak{g},$$

and the differential on  $\bigwedge^i \mathfrak{g}^*$  is the differential from Lie algebra cohomology. Now if we consider  $(\Omega^i)^{G \times G} \simeq (\bigwedge^i \mathfrak{g}^*)^G$ , the differential vanishes. To see this, the map  $g \mapsto g^{-1}$  preserves the biinvariants but acts by  $(-1)^i$  on  $\bigwedge^i \mathfrak{g}^*$ , and so  $d \mapsto -d$ , so  $d = 0$ . Because  $g$  acts trivially on cohomology, it is also possible to see that

$$\left( \left( \bigwedge^\bullet \mathfrak{g}^* \right)^{\mathfrak{g}}, 0 \right) \hookrightarrow \left( \bigwedge^\bullet \mathfrak{g}^*, d \right)$$

is a quasi-isomorphism. □

**Example 3.2.4.** 1. Let  $\mathfrak{g}$  be abelian. Then

$$H^\bullet(\mathfrak{g}, \mathbb{R}) = \bigwedge^\bullet \mathfrak{g}^* = H^\bullet(\mathfrak{g}/\Lambda, \mathbb{R}) = \bigwedge^\bullet H^1\left(\prod S^1, \mathbb{R}\right).$$

2. The condition that  $G$  is compact is important. Note that

$$H^\bullet(SL(2, \mathbb{R}), \mathbb{R}) = H^\bullet(S^1) \neq H^\bullet(S^3) = H^\bullet(SU(2)) = H^\bullet(\mathfrak{su}(2), \mathbb{R}).$$

Next, we will actually prove that  $H^\bullet(\mathfrak{g}, \mathbb{k}) = \mathbb{k} \langle \omega_{2d_i-1} \rangle_{i=1, \dots, \text{rank } \mathfrak{g}}$ . In particular, by a theorem of Hopf, this is a Hopf algebra. Similarly, if  $G$  is a compact Lie group then  $H^\bullet(G, \mathbb{R})$  is a Hopf algebra. Here are some properties of the cohomology:

- It is graded and supercommutative.
- Under the map  $G \rightarrow G \times G \xrightarrow{\mu} G$  given by  $g \mapsto (g, 1) \rightarrow g$ , if we write

$$\Delta\omega = \sum \omega'_i \otimes \omega''_i,$$

then  $(1 \otimes \eta)\Delta\omega = \omega$  because  $\Delta\omega = \omega \otimes 1 + 1 \otimes \omega + H^{>0} \otimes H^{>0}$ .

**Theorem 3.2.5** (Hopf). *Any finitely generated graded supercommutative Hopf algebra and the second property has the form  $\mathbb{k} \langle \omega_{m_i} \rangle$ .*

**Corollary 3.2.6.** *If, additionally, our Hopf algebra is assumed to be finite-dimensional, then all of the  $m_i$  are odd.*

*Proof.* Let  $\omega_{m_i}$  be generators with  $m_1 \leq m_2 \leq \dots$ . Then let  $\mathcal{H}_k$  be the algebra generated by  $\omega_{m_1}, \dots, \omega_{m_k}$ . Then we know that  $\Delta\omega_{m_k} = \omega_{m_k} \otimes 1 + 1 \otimes \omega_{m_k} + \dots$ , so each  $\mathcal{H}_k$  is a sub-bialgebra. Now it suffices to show that  $\mathcal{H}_k = \mathcal{H}_{k-1} \langle \omega_{m_k} \rangle$ .

Suppose there is a relation  $R = \sum_{i=0}^d c_i x^i = 0$  of degree  $d$ . But now if we consider the ideal  $\Delta R$  modulo  $1 \otimes \langle \mathcal{H}_{k-1}, x^2 \rangle$ , which does not contain  $x$  for grading reasons, then we have

$$\begin{aligned} \Delta c_i &= c_i \otimes 1 + \dots \\ \Delta x &= x \otimes 1 + 1 \otimes x + \dots \\ \Delta x^n &= (\Delta x)^n = x^n \otimes 1 + nx^{n-1} \otimes x + \dots \\ \Delta R &= R \otimes 1 + \frac{\partial}{\partial x} R \otimes x + \dots, \end{aligned}$$

and this must be a relation of smaller degree. Therefore we have no relations beyond supercommutativity.  $\square$

Now an interesting problem is to compute the degrees of the generators. For example, we have

$$H^\bullet(SU(n)) = \mathbb{R} \langle \omega_3, \omega_5, \dots, \omega_{2n-1} \rangle.$$

**Theorem 3.2.7** (Cartier-Kostant-Gabriel-...). *If  $\mathcal{H}$  is a supercommutative Hopf algebra over a field  $\mathbb{k}$  of characteristic 0, then*

$$\mathcal{H} = \mathbb{k}G \ltimes \mathcal{U}(\mathfrak{g}).$$

where  $G$  is a (typically finite) group and  $\mathfrak{g}$  is a Lie superalgebra over  $\mathbb{k}$ .

The elements with  $\Delta g = g \otimes g$  are called *grouplike*, and the elements with  $\Delta \xi = \xi \otimes 1 \pm 1 \otimes \xi$  are called *primitive*. The grouplike elements give us  $G$ , and the primitive elements give us  $\mathfrak{g}$ .

Now we may take the dual Hopf algebra, and this gives us another graded supercommutative Hopf algebra. These give us algebraic supergroups over  $\mathbb{k}$ . But this algebraic supergroup must be an odd vector space. Another consequence of the theorem is that a commutative Hopf algebra over  $\mathbb{k}$  has no nilpotent elements. This implies that all group schemes over  $\mathbb{k}$  are reduced and therefore smooth.

Recall that if  $G$  is a compact connected Lie group, then  $H^\bullet(G, \mathbb{R}) = \mathbb{R} \langle \omega_{2d_i-1} \rangle_{i=1, \dots, \text{rank } G}$ .

**Theorem 3.2.8.** We have  $\dim_{\mathbb{R}} H^{\bullet}(G, \mathbb{R}) = 2^{\text{rank } G}$ , where  $\text{rank } G$  is the dimension of the maximal torus.

We would also like to compute the  $d_i$ . In fact,  $d_i$  are the degrees of the generators of  $(S^{\bullet} \mathfrak{g}^*)^G$ . We know that every element of  $\mathfrak{g}$  is conjugate to an element of  $\mathfrak{t} = \text{Lie } T$ . The normalizer of this is the Weyl group  $W$ . Now, by definition, we have

$$(S^{\bullet} \mathfrak{t}^*)^W = \mathbb{R}[\mathfrak{t}/W],$$

and this is free on some generators  $p_{d_i}$  of degree  $|p_{d_i}| = d_i$  for  $i = 1, \dots, \dim \mathfrak{t} = \text{rk } G$ .

**Theorem 3.2.9.** The  $d_i$  defined in the various ways are the same numbers and are called exponents of  $G$ .

**Example 3.2.10.** For  $G = U(n)$ , we have  $\{d_i\} = \{1, 2, \dots, n\}$  and  $p_d = \text{tr } \xi^d$ . Alternatively, we can use the coefficients of the characteristic polynomial. In addition, we see that

$$H^{\bullet}(U(n)) = \mathbb{R} \langle \omega_1, \omega_3, \omega_5, \dots, \omega_{2n-1} \rangle,$$

and  $(\wedge^{\bullet} \mathfrak{g}^*)^G$  is generated by  $\omega_1(\xi) = \text{tr } \xi$ ,  $\omega_3(\xi_1, \xi_2, \xi_3) = \text{tr } \xi_1[\xi_2, \xi_3]$ , and in general

$$\omega_d(\xi_1, \dots, \xi_d) = \sum_{\sigma \in S(d)/(123\dots d)} (-1)^{\sigma} \text{tr} \prod_{i=1}^d \xi_{\sigma(i)}.$$

In this formula, we observe that  $d$  must be odd.

*Proof of Theorem 3.2.8.* Use the Molien series. We have

$$\dim V^G = \int_G \text{tr}_V g \, dg = \frac{1}{|W|} \int_T \text{tr}_V g \cdot \frac{\det(1 - \text{Ad}(t))}{\det_{\mathfrak{g}/\mathfrak{t}}(1 - \text{Ad}(t))} d_{\text{Haar}} t.$$

Setting  $V = \wedge^{\bullet} \mathfrak{g}$ , we see that

$$\text{tr}_V g = \det_{\mathfrak{g}}(1 + \text{Ad}(g)) = 2^{\text{rank}} \det_{\mathfrak{g}/\mathfrak{t}}(1 + \text{Ad}(t)).$$

This now gives us

$$2^{\text{rank}} \frac{1}{|W|} \int_T \det_{\mathfrak{g}/\mathfrak{t}}(1 - \text{Ad}(t^2)) d_{\text{Haar}} t = 2^{\text{rank}}$$

by change of variables. □

We already know that  $H^{\bullet}(G) = \mathbb{R} \langle \omega_{2d_i-1} \rangle$ . On the other hand, we know the cohomology of the flag manifold  $H^{\bullet}(G/T)$  is all even, and finally we have the cohomology

$$H^{\bullet}(BG) = H^{\bullet}(\text{pt}/G) = (S^{2\bullet} \mathfrak{g}^*)^G = (S^{\bullet} \mathfrak{t}^*)^W.$$

On the other hand, we have

$$H^{2\bullet}(G/T) = (S^{\bullet} \mathfrak{t}^*) / (S^{\bullet} \mathfrak{t}^*)_{>0}^W.$$

For  $G = U(n)$ , this becomes the space of polynomials divided by symmetric polynomials of positive degree and has dimension  $n! = |W|$ . This is the fiber over 0 of the map  $\mathfrak{t} \rightarrow \mathfrak{t}/W$ . To define  $BG$ , consider the category of spaces with a free action of  $G$  (equivalently principal  $G$ -bundles) for any group  $G$ . Given a commutative diagram

$$\begin{array}{ccc} G & \hookrightarrow & X \\ \downarrow \varphi_G & & \downarrow \varphi_X \\ G' & \hookrightarrow & X' \end{array}$$



with  $\varphi(g \cdot x) = \varphi(g) \cdot \varphi(x)$ , we would like to consider the possibilities for  $\varphi_X$  for a fixed  $\varphi_G$ . If we consider the graph of  $\varphi_X$ , this is just a section of  $X \times X' / X$  because  $G$  acts freely, this is the same as a section of  $(X \times X') / G \rightarrow X / G$ .

**Theorem 3.2.11.** *If  $X'$  is contractible, then there exists a unique  $\varphi_X: X \rightarrow X'$  compatible with  $\varphi_G$ .*

**Proposition 3.2.12.** *For any compact group  $G$ , there exists a contractible space  $EG$  with a free  $G$ -action.*

**Corollary 3.2.13.**

1.  $EG$  is unique up to homotopy.
2.  $EG$  is functorial in  $G$ .
3. For any free action of  $G$  on  $X$ , the map  $X \rightarrow X/G$  is the pullback of

$$\begin{array}{ccc} X & \longrightarrow & EG \\ \downarrow & & \downarrow \\ X/G & \longrightarrow & BG \end{array}$$

for some map  $X/G \rightarrow BG$ . Therefore, we see that

$$\{\text{principal } G\text{-bundles over } B\} = [B, BG].$$

*Proof of proposition.* For all  $G$  compact, there exists an embedding  $G \subseteq U(n)$ , so it suffices to consider  $U(n)$ . Consider the embedding  $U(n) \hookrightarrow \text{Mat}(n, N)_{\text{rank}=n}$  for some  $N \gg 0$ . For example, we have the action of  $U(1)$  on  $\mathbb{C}^n \setminus 0 \simeq S^{N-1}$ , so we can consider  $S^\infty$ , which is contractible. Therefore we have  $BU(1) = S^\infty / U(1) = \mathbb{CP}^\infty$ .

In general, we can consider the action of  $U(n)$  on

$$\text{Mat}(n, N) \setminus \{\text{rank} < n\} \supseteq \{X \mid XX^* = 1_n\},$$

and this last space becomes contractible as  $N \rightarrow \infty$ . To see this, it sits inside of  $(S^N)^n$ , and thus as  $N \rightarrow \infty$ , we obtain a contractible subspace of  $(S^\infty)^n$ . Finally, we have  $BU(n) = \text{Gr}(n, \infty)$ .  $\square$

Therefore, we have a tautological  $U(n)$ -bundle on  $\text{Gr}(n, \infty)$  and a tautological  $\mathbb{C}^n$ -bundle where the fiber above a subspace is the subspace itself. The vector bundle is the associated bundle of the  $U(n)$ -bundle and the  $U(n)$ -bundle is the bundle of unitary operators on the vector bundle. Also, we have proved that

$$\{\text{complex vector bundles of rank } n \text{ over } B\} \longleftrightarrow [B, \text{Gr}(n, \infty)].$$

The same statement holds for  $O(n)$ , real vector bundles, and the real Grassmannian. Explicitly over  $B$ , consider the exact sequence

$$0 \rightarrow \ker \rightarrow \mathbb{C}_B^N \rightarrow V \rightarrow 0.$$

Now the kernel defines a map  $B \rightarrow \text{Gr}(N - n, N) \simeq \text{Gr}(n, N)$ . Taking  $N \rightarrow \infty$ , we obtain the desired result.

*Remark 3.2.14.* The spaces  $EG$  and  $BG$  are naturally approximated by algebraic varieties such as  $\text{Gr}(n, N)$  and are therefore ind-schemes.

We want to show that  $\mathbb{R}^\infty \setminus 0$  is contractible. We can consider the function  $T$  on  $\mathbb{R}^\infty \setminus 0$  given by  $T(x_1, x_2, \dots, 0, \dots) = (0, x_1, x_2, \dots)$ . Then  $x, Tx$  are never collinear for  $x \neq 0$  and thus  $T$  is homotopic to the identity. But then  $Tx$  is never collinear to  $e_1 = (1, 0, \dots)$  and therefore  $T$  is nullhomotopic. We also see that  $C^\infty \setminus 0$  is contractible. Also,  $S^\infty \sim \mathbb{R}^\infty \setminus 0$  is contractible and so are Stiefel manifolds

$$\{v_1, \dots, v_n \in \mathbb{C}^\infty \mid v_i \text{ are linearly independent}\}.$$

The Stiefel manifold has a free action of  $GL(n, \mathbb{C})$ , so this is  $EGL(n, \mathbb{C})$ . We also see that  $BGL(n, \mathbb{C}) = \text{Gr}(n, \infty, \mathbb{C})$ . We know that the maximal compact subgroup of  $G$  is homotopy equivalent to  $G$ , so  $BGL(n, \mathbb{C}) = BU(n)$ .

*Remark 3.2.15.* The high-brow way that we prove that all of these spaces are contractible is by proving that they are weakly contractible, and then smashing the remaining part that weakly contractible implies contractible for spaces with the homotopy type of a CW complex.

Now the cohomology  $H^\bullet(B, G)$  is intimately connected to characteristic classes for principal  $G$ -bundles. If  $G$  acts on a manifold  $M$ , we have a map  $\mathfrak{g} = \text{Lie}(G) \rightarrow \text{Vect}(M)$ , so we may consider the Lie derivative  $L_x: \Omega^k M \rightarrow \Omega^k M$  for  $x \in \mathfrak{g}$ . For the Lie derivatives, recall the identity  $[L_x, \iota_y] = \iota_{[x, y]}$ . This gives us a super Lie algebra  $\widehat{\mathfrak{g}}$  with  $\widehat{\mathfrak{g}}_1 = \mathbb{C}_d$ ,  $\widehat{\mathfrak{g}}_0 = \mathfrak{g}$ , and  $\widehat{\mathfrak{g}}_{-1} \cong \text{Ad } \mathfrak{g}$ . This gives us the super-Lie bracket

$$[a, b] = ab - (-1)^{|a||b|}ba.$$

Now we see that  $[\widehat{\mathfrak{g}}_1, \widehat{\mathfrak{g}}_0] = 0$ ,  $[\widehat{\mathfrak{g}}_1, \widehat{\mathfrak{g}}_{-1}]$  is given by the Cartan formula, and  $[\widehat{\mathfrak{g}}_0, \widehat{\mathfrak{g}}_{-1}]$  is the adjoint action of  $\mathfrak{g}$  on itself. Therefore, if  $M$  is a manifold with a  $G$ -action, then  $\omega^\bullet M$  is a supercommutative DG algebra with an action of  $\widehat{\mathfrak{g}}$ .

If the action of  $G$  is free, we may choose a  $G$ -invariant metric on  $M$ . For every  $v \in T_m M$ , we have its projection onto  $T_m Gm \simeq \mathfrak{g}$ . This gives us a connection, which is a  $G$ -invariant 1-form with values in  $\mathfrak{g}$  and thus gives us a map

$$\alpha: \mathfrak{g}^* \rightarrow \Omega^1 M \quad [\alpha(\xi)](\iota_x) = \langle \xi, x \rangle.$$

Therefore, if a map  $\widehat{\mathfrak{g}} \rightarrow \mathcal{A}^\bullet$ , where  $\mathcal{A}$  is a supercommutative DG algebra means that  $G$  acts on  $M$ , we would like to give an interpretation of a connection  $\alpha: \mathfrak{g}^* \rightarrow \mathcal{A}^1$  such that  $[\alpha(\xi)](\iota_x) = \langle \xi, x \rangle$ .

**Theorem 3.2.16.** *There exists a unique acyclic supercommutative DG algebra with  $H^0(\mathcal{A}^\bullet) = \mathbb{C}$ ,  $H^i(\mathcal{A}^\bullet) = 0, i > 0$ . We will denote this universal algebra by  $\mathbb{E}$ .*

*Proof.* Set  $\mathcal{A}^0 = \mathbb{C}$  and  $\mathcal{A}^1 = \alpha(\mathfrak{g}^*)$  with  $L_x$  acting by the coadjoint action. We set  $d: \mathcal{A}^0 \rightarrow \mathcal{A}^1$  to be the zero map. We also set  $\iota_x(\alpha(\xi)) = \langle \xi, x \rangle$ . Because  $d: \mathcal{A}^1 \rightarrow \mathcal{A}^2$  must be an isomorphism, we see that  $\mathcal{A}^2 = \beta(\mathfrak{g}^*) \oplus \wedge^2 \mathcal{A}^1$  with the coadjoint action of  $\mathfrak{g}$ . Then we define  $i_x$  by

$$i_x d\alpha(\xi) = L_x \alpha(\xi) - d i_x \alpha(\xi) = L_x \alpha(\xi). \quad \square$$

Note that  $\mathbb{E}$  looks like  $\Omega^\bullet \mathfrak{g}$ , which are polynomials in  $x$  multiplied by  $\wedge dx_i$ . For  $\beta \in \mathcal{A}^2$  and  $\alpha \in \mathcal{A}^1$ , we can define  $d^* \beta(\xi) = \alpha, d^* \alpha(\xi) = 0$ . Therefore,  $d^*$  is a derivation, and the Laplacian

$$[d^*, d] = d^* d + d d^*$$

is the identity on  $\mathcal{A}^1$  and  $\mathcal{A}^2$ . This implies that multiplication by  $(k + \ell)$  on  $(\mathbb{E}^1)^k (\mathbb{E}^2)^\ell$  is homotopic to the identity and thus  $H^\bullet(\mathbb{E}) = \mathbb{C}$ .

Now we return to our manifold  $M$  and base  $B = M/G$ . Then the image of  $H^\bullet(B) \hookrightarrow H^\bullet(M)$  are the so-called *basic forms*, which vanish on  $\iota_x$  (horizontal) and are  $G$ -invariant. In particular, they are killed by both  $\iota_x, L_x$ . Then the map  $H^\bullet(M) \rightarrow H^\bullet(B)$  has kernel the horizontal forms, so we need to consider the horizontal forms.

**Proposition 3.2.17.** *Horizontal forms are generated by curvatures, which have the form*

$$\beta(\zeta) + \delta\alpha(\zeta),$$

where  $\delta\alpha$  is the map  $\mathfrak{g}^* \rightarrow \wedge^2 \mathbb{E}^1$  given by the transpose of the Lie bracket.

We now have

$$\iota_x(\beta(\zeta) + \delta\alpha(\zeta)) = \alpha(\mathrm{ad}_x^* \zeta) + \alpha(\zeta)[x, -] = 0$$

because  $\alpha(\zeta)[x, -] = -\alpha(\mathrm{ad}_x \zeta)$ . Therefore we can write

$$\mathbb{E} = \bigwedge^\bullet \alpha(\zeta) \otimes S^\bullet(\text{curvatures}) = \bigwedge^\bullet \alpha(\zeta) \otimes S^\bullet \beta(\zeta).$$

Therefore, we have  $\mathbb{E}_{\text{horizontal}} = S^\bullet \mathfrak{g}^*$  and  $\mathbb{E}_{\text{basic}} = (S^\bullet \mathfrak{g}^*)^G$  with zero differential, so we have  $H^{2^\bullet}(\mathbb{E}_{\text{basic}}) = (S^\bullet \mathfrak{g}^*)^G$ . Now we have a *transgression* map  $H^{2m}(\mathbb{E}_{\text{basic}}) \rightarrow H^{2m-1}(G)$ , which is the “inverse”  $\pi \circ \frac{d^*}{m} : \mathbb{E}^G \rightarrow (\wedge^\bullet \mathfrak{g}^*)^G$  of the differential. This vanishes on  $\left((S^\bullet \mathfrak{g}^*)_{>0}^2\right)^2$ .