## THE DT CREPANT RESOLUTION CONJECTURE

#### PATRICK LEI

ABSTRACT. We will prove the DT crepant transformation conjecture by crossing infinitely many walls in a finite amount of time.

## 1. Brief review

Recall that  $\mathcal{X}$  is a projective Calabi-Yau 3-orbifold (where we require  $H^1(\mathcal{O}_{\mathcal{X}}=0)$ ), that X is the coarse moduli space (which is a projective, Gorenstein, Calabi-Yau variety with at worst quotient singularities), and Y was a distinguished crepant resolution of X. Also recall that we have derived equivalences  $\Phi \colon D(Y) \leftrightarrow D(\mathcal{X}) \colon \Psi$ .

Let C be the category  $Coh(\mathfrak{X})$  tilted at the torsion pair  $(Coh_{\leq 1}(\mathfrak{X}), Coh_{\geq 2}(\mathfrak{X}))$ . We consider the graded motivic Hall algebra  $H_{gr}(C)$ , which is a module over  $K(St_C)$ . Also, recall the category

$$\mathtt{A} = \left\langle \mathtt{O}_{\mathfrak{X}}[1], \mathtt{Coh}_{\leqslant 1}(\mathfrak{X}) \right\rangle.$$

Finally, recall the integration map  $I \colon H_{gr,sc}(\mathtt{C}) \to \left\{ \sum_{\alpha \in N(\mathfrak{X})} \mathfrak{n}_{\alpha} q^{\alpha} \right\}$ , where  $H_{sc}(\mathtt{C})$  is a quotient of the algebra

$$H_{\text{reg}}(\mathtt{C}) = \mathsf{K}(\mathtt{Var}_{\mathbb{C}})[\mathbb{L}^{-1}][[\mathbb{P}^n]^{-1} \mid n \geqslant 1] \cdot \{\text{schemes}\} \subset \mathsf{H}(\mathtt{C}).$$

of regular elements.

### 2. Stability conditions

Fix an ample class  $\omega \in N^1(Y)$  and an ample line bundle A on X.

**Definition 2.1.** A *stability condition* on  $Coh_{\leq 1}(\mathfrak{X})$  consists of a slope function  $\mu \colon N_{\leq 1}(\mathfrak{X}) \to S$  to a totally ordered set (S,<) such that if

$$0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$$
,

then either  $\mu(A) > \mu(B) > \mu(C)$  or  $\mu(A) < \mu(B) < \mu(C)$  or  $\mu(A) = \mu(B) = \mu(C)$  and every  $F \in \mathtt{Coh}_{\leqslant 1}(\mathfrak{X})$  has a Harder-Narasimhan filtration  $0 = F_0 \subset \cdots \subset F_n = F$ .

We will now define a number of stability conditions. First, we fix a "generating" vector bundle V (where every coherent sheaf on  $\mathfrak X$ ) is locally a quotient of  $V^{\oplus n}$  for some  $\mathfrak n$ . We can assume that  $V=V^\vee$  (by taking  $V\oplus V^\vee$ ). Now we define a modified Hilbert polynomial for a sheaf F by

$$p_F(k) = \chi(\mathfrak{X}, V^{\vee} \otimes F(k)) = \ell(F)k + deg(F).$$

Date: April 28, 2022.

PATRICK LEI

**Definition 2.2.** Define the *Nironi slope* of F to be

$$\nu(F) \coloneqq \frac{\text{deg } F}{\ell(F)}$$

if  $F \notin Coh_0(X)$  and  $\nu(F) = \infty$  otherwise. Also write  $\nu_+(F), \nu_-(F)$  for the slopes of the Harder-Narasimhan factor of F with largest (resp. smallest) slope.

**Definition 2.3.** Define the stability condition  $\zeta$  on  $N_1^{\text{eff}}(\mathfrak{X}) \setminus 0$  by

$$\zeta(\beta,c) = \left(-\frac{deg_{\gamma}(ch_2(\Psi(A \cdot \beta)) \cdot \omega)}{deg(A \cdot \beta)}, \nu(\beta,c)\right) \in (-\infty,\infty]^2$$

for  $\beta=0$  and  $\zeta(0,c)=(\infty,\infty)$ . Here, we use the lexicographical ordering on  $(-\infty,\infty]$ .

For a stability condition  $\mu$  and  $s \in S$ , define a torsion pair by

$$\begin{split} T_{\mu,s} &:= \big\{ T \in \mathtt{Coh}_{\leqslant 1}(\mathfrak{X}) \mid T \twoheadrightarrow Q \neq 0 \implies \mu(Q) \geqslant s \big\}; \\ F_{\mu,s} &:= \big\{ F \in \mathtt{Coh}_{\leqslant 1}(\mathfrak{X}) \mid 0 \neq H \hookrightarrow F \implies \mu(H) < s \big\}. \end{split}$$

Also, call the category of  $(T_{\mu,s},F_{\mu,s})$ -pairs  $P_{\mu,s}$ . Finally, define the category of semistable sheaves of slope s by  $\mathfrak{M}^{ss}_{\mathfrak{u}}(s)$ .

In order to control DT-like invariants coming from stability conditions, we need our categories of semistable objects and of pairs to satisfy openness and boundedness properties.

# Proposition 2.4.

2

- (1) For any  $\delta \in \mathbb{R}$ , the torsion pair  $(T_{\nu,\delta}, F_{\nu,\delta})$  is open.
- (2) For any  $(\gamma, \eta) \in \mathbb{R}_{>0} \times \mathbb{R}$ , the torsion pair  $(T_{\zeta,(\gamma,\eta)}, F_{\zeta,(\gamma,\eta)})$  is open. In addition, the moduli stack  $\underline{\mathcal{M}}^{ss}_{\zeta}(\mathfrak{a},\mathfrak{b}) \subset \underline{\mathtt{Coh}}_{\leqslant 1}(\mathfrak{X})$  is open for any  $(\mathfrak{a},\mathfrak{b}) \in \mathbb{R}^2$ .

We will now discuss boundedness. For any real number  $\gamma > 0$ , define the function

$$L_{\gamma} \colon N_0(\mathfrak{X}) \to \mathbb{R} \qquad c \mapsto deg(c) + \gamma^{-1} \, deg_{Y}(ch_2(\Psi(c)) \cdot \omega).$$

This will control the series expansion of the rational function  $f_{\beta}(q)$ , where  $PT(\mathfrak{X})_{\beta}$  is the expansion of  $f_{\beta}(q)$  in  $\mathbb{Q}[N_0(\mathfrak{X})]_{deg}$  (this means roughly that degree is bounded below).

**Definition 2.5.** Let  $S \subset N_0(\mathfrak{X})$  and  $L \colon N_0(\mathfrak{X}) \to \mathbb{R}$  be a homomorphism. Then S is L-bounded if the set

$$S \cap \{c \in N_0(\mathcal{X}) \mid L(c) \leq M\}$$

is finite for every  $M \in \mathbb{R}$ . We also say that S is weakly L-bounded if the set

$$(S/\ker L) \cap \{c \in N_0(\mathfrak{X})/L \mid L(c) \leq M\}$$

is finite for every  $M \in \mathbb{R}$ .

The main results about semistable sheaves and pairs are the following. Recall that a category  $\mathbb{W}$  is log-able if  $(\mathbb{L}-1)\log[\underline{\mathbb{W}}]\in H_{gr,reg}(\mathbb{C})$ .

**Proposition 2.6.** *Let*  $(a,b) \in \mathbb{R}^2$ . *The set* 

$$\{c \in N_0(\mathfrak{X}) \mid \mathfrak{M}^{ss}(\mathfrak{a}, \mathfrak{b}) \neq \emptyset\}$$

is  $L_{\gamma}$ -bounded. Moreover, the category  $\mathfrak{M}_{\gamma}^{ss}(\mathfrak{a},\mathfrak{b})$  is log-able.

**Proposition 2.7.** *For any*  $(\gamma, \eta) \in \mathbb{R}_{>0} \times \mathbb{R}$ *, the set* 

$$\left\{c \in \mathsf{N}_0(\mathfrak{X}) \,|\, \mathsf{P}_{\zeta,(\gamma,\eta)}(\beta,c) \neq \emptyset\right\}$$

is  $L_{\gamma}$ -bounded. Moreover, the stack  $\underline{P}_{\zeta_{\gamma}(\gamma,n)}(\beta,c)$  is of finite type.

Corollary 2.8. The category  $P_{\zeta,(\gamma,\eta)}$  defines an element of  $H_{gr}(C).$ 

Finally, we will locate regions in which the notion of a  $(T_{\zeta,(\gamma,\eta)},F_{\zeta,(\gamma,\eta)})$ -pair is constant.

**Lemma 2.9.** Let  $\beta \in N_1(\mathfrak{X})$ .  $T_{\gamma,(\gamma,\eta)} \cap Coh_{\leqslant 1}(\mathfrak{X})_{\leqslant \beta}$  and  $F_{\zeta,(\gamma,\eta)} \cap Coh_{\leqslant 1}(\mathfrak{X})_{\leqslant \beta}$  are constant on the components of  $(\mathbb{R}_{>0} \times \mathbb{R}) \setminus (V_{\beta} \times \mathbb{R})$ , where

$$V_{\beta} = \left\{ -\frac{deg_{Y}(ch_{2}(\Psi(A \cdot \beta')) \cdot \omega)}{deg(A \cdot \beta')} \mid 0 < \beta' \leqslant \beta \right\} \cap \mathbb{R}_{>0}.$$

For  $\gamma \in V_{\beta}$ , the categories are locally constant on  $\{\gamma\} \times \mathbb{R} \setminus W_{\beta}$ , where  $W_{\beta} = \frac{1}{\ell(\beta)!}\mathbb{Z}$ .

## 3. DT-LIKE INVARIANTS

We define DT-like invariants counting objects in the categories that we have defined. Once we do this, we will cross our infinitely many walls.

Recall that  $\mathcal{M}^{ss}_{\zeta}(\mathfrak{a},\mathfrak{b})$  is log-able for any  $(\mathfrak{a},\mathfrak{b})\in\mathbb{R}^2$ . Therefore, we have an element

$$\eta_{\zeta,(\alpha,b)} = (\mathbb{L} - 1) \log[\underline{\mathcal{M}}_{\zeta}^{ss}(\alpha,b)] \in \mathsf{H}_{gr,reg}(\mathtt{C}).$$

Therefore, we can define DT-type (Joyce-Song) invariants by

$$\sum_{\zeta(\beta,c)=(\alpha,b)} J^\zeta_{(\beta,c)} z^\beta q^c \eqqcolon I\Big(\eta_{\zeta,(\alpha,b)}\Big).$$

Now let  $(\gamma, \eta) \in \mathbb{R}_{>0} \times \mathbb{R}$  be away from the walls. By a result of Abramovich-Corti-Vistoli, there is an element  $(\mathbb{L}-1)[\underline{P}_{\zeta,(\gamma,\eta)}(\beta,c)] \in H_{reg}(C)$ . Then we can define DT-type invariants by

$$\mathrm{DT}_{(\beta,c)}^{\zeta,(\gamma,\eta)}z^{\beta}\mathfrak{q}^{c}\mathsf{t}^{-[\mathfrak{O}_{\mathfrak{X}}]}\coloneqq\mathrm{I}((\mathbb{L}-1)[\underline{P}_{\zeta,(\gamma,\eta)}(\beta,c)]).$$

Finally, we can form generating series

$$\mathrm{DT}_{\beta}^{\zeta,(\gamma,\eta)} \coloneqq \sum_{c \in N_0(\mathfrak{X})} \mathrm{DT}_{(\beta,c)}^{\zeta,(\gamma,\eta)} \mathfrak{q}^c \in \mathbb{Z}[N_0(\mathfrak{X})]_{L_\gamma};$$

$$J^{\zeta}(\mathfrak{a},\mathfrak{b})_{\beta}\coloneqq\sum_{\substack{\mathfrak{c}\in N_{0}(\mathfrak{X})\\\zeta(\beta,\mathfrak{c})=(\mathfrak{a},\mathfrak{b})}}J^{\zeta}_{(\beta,\mathfrak{c})}\mathfrak{q}^{\mathfrak{c}}\in\mathbb{Q}[N_{0}(\mathfrak{X})]_{L_{\gamma}}.$$

**Lemma 3.1.** Let  $\beta \in N_1(\mathfrak{X})$  and let  $\gamma > \gamma'$  for all  $\gamma' \in V_\beta$ . An object  $E \in A$  of class  $(-1,\beta,c)$  is a  $(T_{\zeta,(\gamma,\eta)},F_{\zeta,(\gamma,\eta)})$ -pair if and only if it is a PT stable pair.

PATRICK LEI

4

The following is a technical lemma whose proof requires the Hard Lefschetz condition.

**Lemma 3.2.** For every  $\gamma \in V_{\beta}$ , there is a unique class  $\beta_{\gamma} \in N_1(\mathfrak{X})$  with  $0 < \beta_{\gamma} \leqslant \beta$  such that  $L_{\gamma}(A \cdot \beta_{\gamma}) = 0$ . Class the class  $c_{\gamma} \coloneqq A \cdot \beta_{\gamma} \in N_0(\mathfrak{X})$ .

Now we will discuss wall-crossing. Once we cross all of the walls in  $V_{\beta}$ , we will have Bryan-Steinberg invariants, which we will define later. First, we need to understand what happens when we reach a wall  $\gamma \in V_{\beta}$ . Note that the set

$$S = \bigcup_{\beta' \leq \beta} \left\{ (\beta', c) \mid L_{\gamma}(c) \leqslant x, \underline{P}_{\zeta, (\gamma, \eta)}(\beta', c) \neq \emptyset \right\}$$

is finite for any  $x \in \mathbb{R}$ , so we can define

$$M_{\beta,\gamma,x}^+ = \max_{(\beta',c) \in S} \deg(\beta',c) \qquad M_{\beta,\gamma,x}^- \coloneqq \min_{(\beta',c) \in S} \deg(\beta',c).$$

**Lemma 3.3.** Let  $\gamma \in V_{\beta}$ ,  $E \in A$  be of class  $(-1, \beta, c)$ , and let

$$\begin{split} &\eta_{\gamma,(\beta,c)}^{+} \coloneqq \text{max} \left\{ 0, \text{deg}(\beta,c) - M_{\beta,\gamma,L_{\gamma}(c)-K_{\gamma}}^{-} \right\}; \\ &\eta_{\gamma,(\beta,c)}^{-} \coloneqq \text{min} \left\{ 0, \text{deg}(\beta,c) - M_{\beta,\gamma,L_{\gamma}(c)-K_{\gamma}}^{+} \right\}; \end{split}$$

$$\label{eq:theory_equation} \begin{split} & \textit{If} \, \eta > \eta_{\gamma,(\beta,c)}^+, \textit{then} \, E \textit{ is a} \, (T_{\zeta,(\gamma,\eta)}, F_{\zeta,(\gamma,\eta)}) \textit{-pair iff} \, E \textit{ is a} \, (T_{\zeta,(\gamma+\epsilon,\eta)}, F_{\zeta,(\gamma+\epsilon,\eta)}) \textit{-pair.} \\ & \textit{If} \, \eta < \eta_{\gamma,(\beta,c)}^-, \textit{ then} \, E \textit{ is a} \, (T_{\zeta,(\gamma,\eta)}, F_{\zeta,(\gamma,\eta)}) \textit{-pair iff} \, E \textit{ is a} \, (T_{\zeta,(\gamma-\epsilon,\eta)}, F_{\zeta,(\gamma-\epsilon,\eta)}) \textit{-pair.} \\ & \textit{pair.} \end{split}$$

This tells us that on each wall  $\gamma \in V_{\beta}$ , we can enter  $\{\gamma\} \times \mathbb{R}$  at  $\infty$  from the right and then leave the wall to the left at  $-\infty$ . Now we need to understand what happens at the walls  $W_{\beta}$  as we move from  $\infty$  to  $-\infty$ .

**Proposition 3.4.** Let  $\beta \in N_1(\mathfrak{X})$ ,  $\gamma \in V_{\beta}$ , and  $\eta \in W_{\beta}$ . Then

$$DT_{\leqslant\beta}^{\zeta,(\gamma,\eta+\epsilon)}t^{-[\mathfrak{O}_{\mathfrak{X}}]}=exp\Big(\Big\{J^{\zeta}(\gamma,\eta)_{\leqslant\beta},-\Big\}\Big)DT_{\leqslant\beta}^{\zeta,(\gamma,\eta-\epsilon)}t^{-[\mathfrak{O}_{\mathfrak{X}}]}\in\mathbb{Q}[N_{1}^{eff}(\mathfrak{X})]_{\leqslant\beta}.$$

Now define the series

$$DT_{(\beta,c_0+\mathbb{Z}c_\gamma)}^{\zeta,(\gamma,\eta)} \coloneqq \sum_{k\in\mathbb{Z}} DT_{(\beta,c_0+kc_\gamma)}^{\zeta,(\gamma,\eta)} q^{c_0+kc_\gamma}.$$

**Lemma 3.5.** Let  $\beta \in N_1(\mathfrak{X})$ ,  $c_0 \in N_0(\mathfrak{X})$ ,  $\gamma \in V_{\beta}$ , and  $\eta_0 \leqslant -\ell(\beta)$ . Then

$$DT_{(\beta,c_0+\mathbb{Z}c_{\gamma})}^{\zeta,(\gamma,\eta_0)}-DT_{(\beta,c_0+\mathbb{Z}c_{\gamma})}^{\zeta,(\gamma,\infty)}$$

is a rational function of degree less than  $deg(\beta,0) + M^+_{\beta,\gamma,L_{\gamma}(c_0)} + n_0\ell(\beta) + \ell(\beta)^2.$ 

Taking  $n_0 \to -\infty$ , we obtain

**Corollary 3.6.** Let  $\beta$ ,  $c_0$ ,  $\gamma$  be as above. Then  $DT^{\zeta,(\gamma,\infty)}_{(\beta,c_0+\mathbb{Z}c_\gamma)}$  and  $DT^{\zeta,(\gamma,-\infty)}_{(\beta,c_0+\mathbb{Z}c_\gamma)}$  are equal as rational functions.

**Theorem 3.7.** Let  $\beta \in N_1(\mathfrak{X})$ ,  $\gamma \in R_{>0} \setminus V_{\beta}$ ,  $\eta \in \mathbb{R}$ . Then  $DT_{\beta}^{\zeta,(\gamma,\eta)}$  is the expansion of  $f_{\beta}(q)$  in  $\mathbb{Z}[N_0(\mathfrak{X})]_{L_{\gamma}}$ .

## 4. Bryan-Steinberg invariants

In order to have a crepant resolution conjecture, we need some kind of enumerative invariants on Y. Define

$$\mathtt{T}_{\mathtt{f}} = \big\{ \mathtt{F} \in \mathtt{Coh}_{\leqslant 1}(\mathtt{Y}) \mid \mathtt{Rf}_{\ast}\mathtt{F} \in \mathtt{Coh}_{0}(\mathtt{X}) \big\}.$$

Then define  $F_f = T_f^{\perp}$ . We can define a Bryan-Steinberg pair (F, s) as a  $(T_f, F_f)$ -pair in  $A_Y$ . Equivalently, we have

**Definition 4.1.** A Bryan-Steinberg pair (F,s) consists of  $F \in Coh_{\leq 1}(Y)$  and  $s \in H^0(Y,F)$  such that  $Rf_* \operatorname{coker}(s) \in Coh_0(X)$  and F admits no maps from elements of  $T_f$ .

For a class  $(\beta, n) \in N_1(Y) \oplus \mathbb{Z}$ , let  $\underline{P}_{BS}(\beta, n)$  be the moduli stack of Bryan-Steinberg pairs of class  $(-1, \beta, n) \in \mathbb{Z} \oplus N_{\leqslant 1}(Y)$ . Then we can define the BS invariant  $BS(Y/X)_{(\beta, n)}$  via the Behrend function.

Before we continue, we will say a little but more about the McKay correspondence. Define the category Per(Y/X) to be the category of complexes  $E \in D(Y)$  such that  $Rf_*(E) \in Coh(X)$  and such that for any  $F \in Coh(Y)$  with  $Rf_*F = 0$ , Hom(F[1], E) = 0 (called *perverse coherent sheaves*).

**Proposition 4.2.** The equivalence  $\Phi \colon D(Y) \to D(X)$  restricts to an equivalence of abelian categories  $Per(Y/X) \simeq Coh(X)$ .

We now need to relate Bryan-Steinberg pairs to objects living on X. We first define a new torsion pair.

**Definition 4.3.** Let  $T_{\zeta,0} \subset \mathtt{Coh}_{\leqslant 1}(\mathfrak{X})$  denote the subcategory of sheaves T such that if T  $\twoheadrightarrow$  Q, then either  $Q \in \mathtt{Coh}_0(\mathfrak{X})$  or

$$deg_{\mathbf{Y}}(ch_2(\Psi(\mathbf{Q}\cdot\mathbf{A}))\cdot\boldsymbol{\omega})<0.$$

Let  $F_{\zeta,0}\subset Coh_{\leqslant 1}(\mathfrak{X})$  be the full subcategory on sheaves F such that if  $S\hookrightarrow F$ , then S has pure dimension 1 and  $deg_Y(ch_2(\Psi(S\cdot A))\cdot\omega)\geqslant 0$ .

The following result justifies the inclusion of  $\zeta$ , 0 in the subscript.

**Lemma 4.4.** Let  $\beta \in N_1(\mathfrak{X})$ . If  $0 < \gamma < \min_{\gamma' \in V_\beta} \gamma'$ , then for any  $\eta \in \mathbb{R}$  an object  $E \in A$  of class  $(-1, \beta, c)$  is a  $(T_{\zeta,0}, F_{\zeta,0})$ -pair if and only if it is a  $(T_{\zeta,(\gamma,\eta)}, F_{\zeta,(\gamma,\eta)})$ -pair.

We should think of  $(T_{\zeta,0},F_{\zeta,0})$  as being the limit of  $(T_{\zeta,(\gamma,\eta)},F_{\zeta,(\gamma,\eta)})$ -pairs as  $\gamma \to 0$ . Finally, we relate  $(T_{\zeta,0},F_{\zeta,0})$ -pairs to  $(T_f,F_f)$ -pairs, and as a corollary, we can relate enumerative invariants on  $\mathcal X$  to enumerative invariants on Y.

PATRICK LEI

**Lemma 4.5.** We have the following:

6

$$\begin{split} &T_f = \Psi(\texttt{Coh}_0(\mathcal{X})) \cap \texttt{Coh}(Y); \\ &T_{\zeta,0} = \left\langle \Phi(\texttt{Per}_{\leqslant 1}(Y/X) \cap \texttt{Coh}(Y)[1]), \Phi(T_f) \right\rangle_{ex}; \\ &F_{\zeta,0} = \Phi\Big(\texttt{Per}_{\leqslant 1}(Y/X) \cap \texttt{Coh}(Y) \cap T_f^{\perp}\Big). \end{split}$$

These give us the following:

**Lemma 4.6.** If E is a  $(T_{\zeta,0},F_{\zeta,0})$ -pair with  $\beta_E \in N_{1,mr}(\mathfrak{X})$ , then  $\Psi(E)$  is an f-stable pair. On the other hand, if  $E = (\mathfrak{O}_Y \to F)$  is an f-stable pair, then  $\Phi E$  is a  $(T_{\zeta,0},F_{\zeta,0})$ -pair.

This implies that  $\underline{P}_{BS}(\beta,n) \cong \underline{P}_{\zeta,(\gamma,\eta)}(\Phi(\beta,n))$  for  $0 < \gamma < min_{\gamma' \in V_{\beta}} \gamma'$ , so we have proven

**Theorem 4.7** (Crepant resolution conjecture). *There exists a unique rational function*  $f_{\beta} \in Q(N_0(\mathfrak{X}))$  *such that* 

- (1) The Laurent expansion of  $f_{\beta}$  with respect to deg is the series  $PT(\mathfrak{X})_{\beta}$ ;
- (2) The Laurent expansion of  $f_{\beta}$  with respect to  $L_{\gamma}$  for  $0 < \gamma < min_{\gamma' \in V_{\beta}} \gamma'$  is the series  $BS(Y/X)_{\beta}$ .

Using results of Bryan-Steinberg and of the previous lecture, we have

**Corollary 4.8** (Crepant resolution conjecture, original formulation). *There is an equality of rational functions* 

$$\frac{DT(\mathfrak{X})_{\beta}}{DT(\mathfrak{X})_{0}} = \frac{DT(Y)_{\beta}}{DT_{exc}(Y)}.$$