

AGE, GENDER AND ETHNICITY PREDICTION

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Motivation



1. For those working in the fields of computer vision and facial recognition, the UTKFace dataset is a helpful resource.
2. The unique characteristics of this dataset and its potential applications across numerous domains serve as the inspiration for training on it.

Reasons UTKFace is revolutionary in the field of face data sets:

1. Age Diversity
2. Real-World Challenges
3. Annotations for Gender and Ethnicity
4. Multi-Task Learning

Benchmark:

1. Contribute to the advancement of state-of-the-art techniques in facial analysis.
2. Compare our algorithm against existing state-of-the-art methods using the UTKFace dataset.
3. Understand how your solutions measure up in terms of accuracy, efficiency, and robustness.

Literature Survey



1. GRA_Net:

- Consists of multiple layers, each containing an attention block.
- Each attention block combines features from the previous layer with attention weights to produce refined feature representation.
- Gating mechanism dynamically controls the influence of attention on the feature at each layer.
- GRA_Net is trained using standard deep learning techniques, such as backpropagation and gradient descent.

The classification accuracies achieved by the proposed GRA_Net model for UTKFace datasets was found to be 99.2%.

Truth data				
Classifier results		Class 1	Class 2	Classification overall
	Class 1	1796	19	1815
	Class 2	33	1959	1992
	Truth overall	1829	1978	3807
	User Accuracy (Recall)	98.196%	99.039%	
Overall accuracy (OA):		98.634%		

Thorough comparison with various alternative models, GRA_Net has unequivocally demonstrated its supremacy by consistently yielding superior results.

Model	Gender(%)	Age(%)
Facenet	91.2	56.9
Finetuned Facanet (FFNet)	96.1	64
MTCNN	98.23	70.1
RAN (Wang et al. (2017))	97.5	85.4
Proposed model	99.2	93.7

Literature Survey



2. Feature Extraction based Face Recognition, Gender and Age Classification algorithm

- The algorithm yields good results with small training data.
- Steps involved:
 - Preprocessing:
 - Color Conversion
 - Noise Reduction
 - Edge detection
 - Feature Extraction:
 - Computation: Ratios* are calculated.
 - Gender Classification: Naive Bayes
 - Training on the dataset
 - Artificial Neural Network(ANN): carried out in two parts:
 - Feed-forward path
 - Feedback path
 - Back Propagation

Performance Analysis

Gender	Sample size	Correctly Labeled(CL)	Correct Rate(CR)	Total CR
Male	40	38	95%	94.82%
Female	18	17	94.44%	

Algorithm	AG	Sample size	CL	CR	Total CR
FEBFRGAC	Y	28	25	89.3%	89.65%
	M	20	18	90%	
	O	10	09	90%	
CAGBFF	Y	44	37	84.4%	78.49%
	M	32	25	78.1%	
	O	17	11	64.7%	

*Ratios that were taken into account were left-to-right eye distance upon eye-to-nose distance, left-to-right eye distance upon eye-to-lip distance, eye-to-nose distance upon eye to chin distance, and eye-to-nose distance upon eye-to-lip distance.

Dataset Description



The [UTKFace dataset](#) is a fairly large dataset with over 20,000 face images with annotations of age, gender and ethnicity.

The subjects covered in the dataset consisted of people ranging from the [age of 0 to 116 years](#) old, over 4 ethnicities.

[Use Cases](#): Face detection, Age estimation, Age progression/regression, Landmark localization, etc.

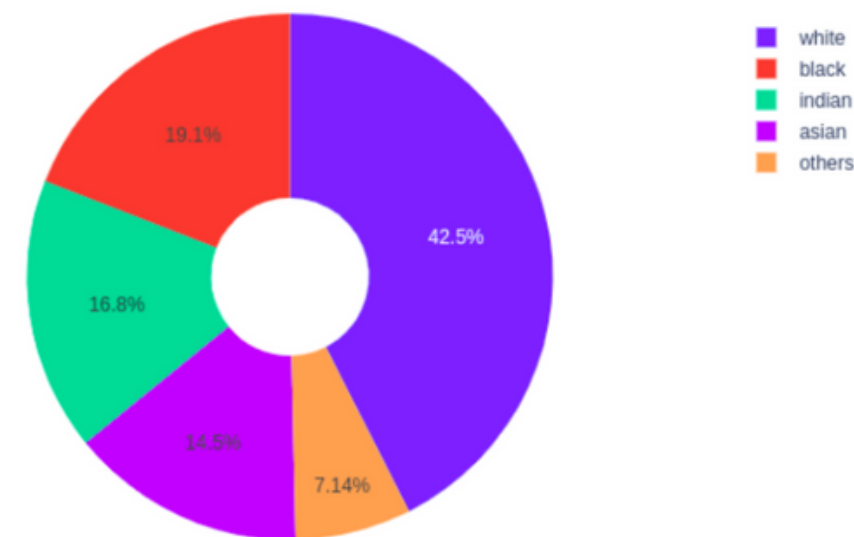


Figure 12. Race Distribution

- Data was collected from a wide number of sources across the internet
- Model may have slightly higher bias with context to race

Dataset Description

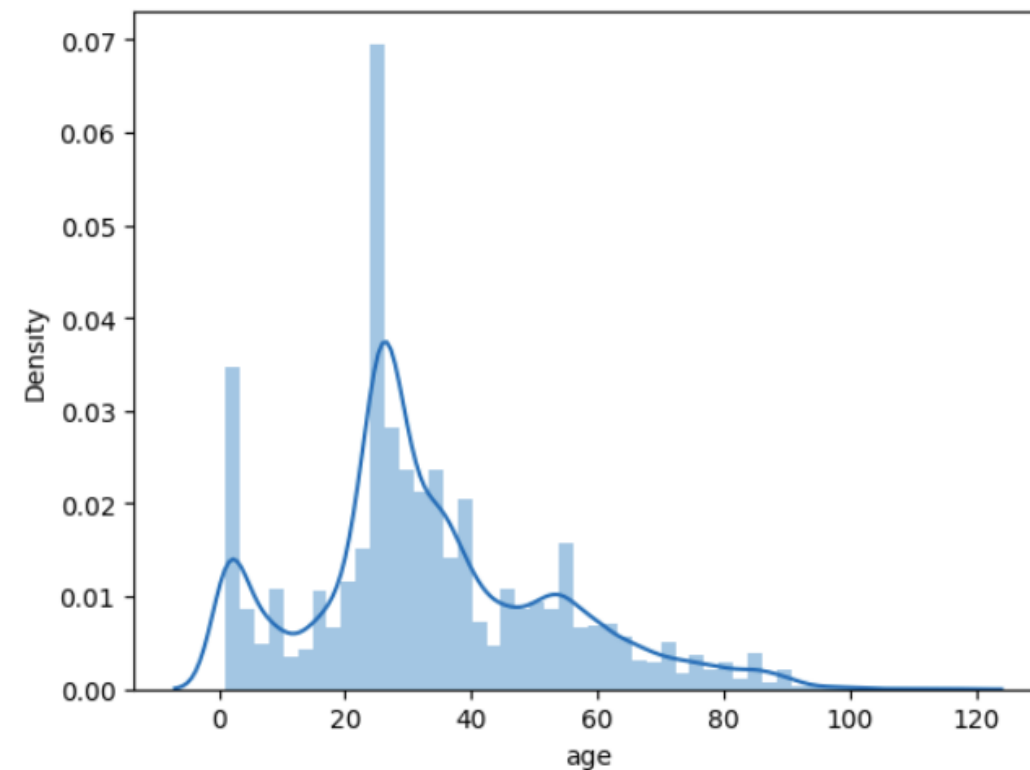
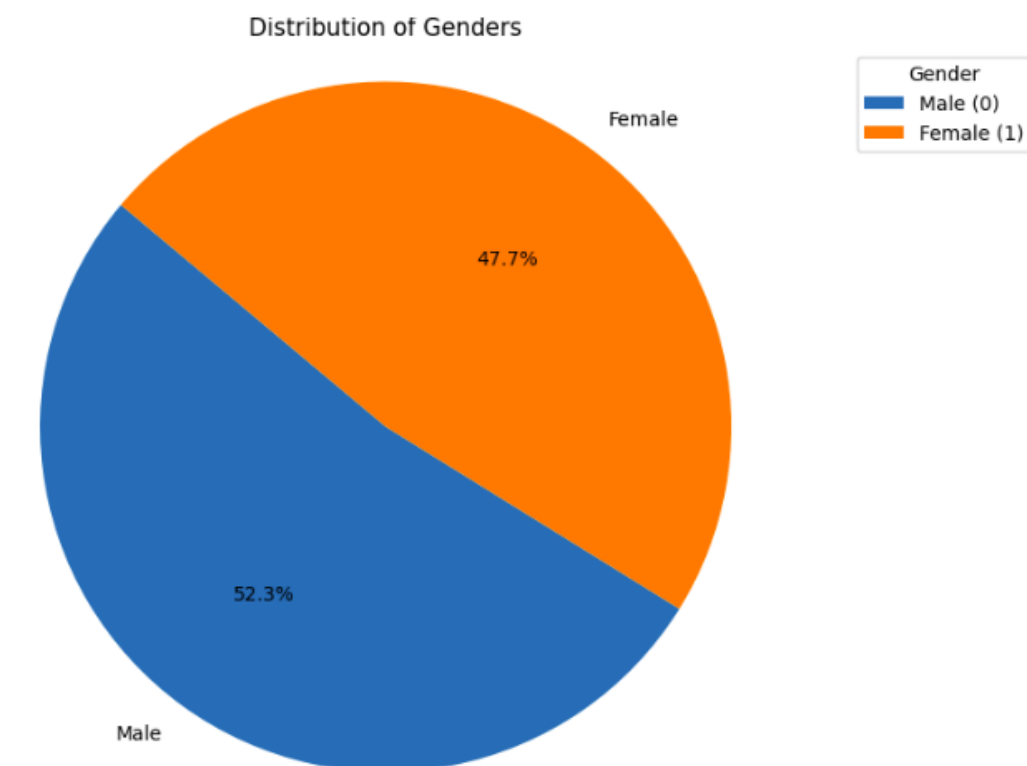


Figure 14. Age distribution plot

- The plot shows us that the data is **normally distributed**.
- Surface Observation shows us that the data is **skewed to left** ie most people in hf the dataset are **less than 40 years old**

- Data spread was fairly **symmetrical** with regard to gender
- Not from IIIT D !



Pre-processing



- Effective Preprocessing is **critical for ML** tasks.
- Extracted features from image path name.
- **Resizing** is essential for overall quality and adaptability: Resized from **128 x 128** pixels to **28 x 28** pixels
- **Converted** from RGB Scale to **grayscale** to reduce data complexity and processing resource requirements
- Normalized the pixel values.

Models Used:-

- Logistic Regression for gender prediction
- K-Nearest Neighbours for gender prediction
- Naive Bayes for gender, ethnicity and age prediction
- SVM for gender, ethnicity and age prediction
- Random Forest for gender, ethnicity and age prediction
- CNN for gender, ethnicity and age prediction



Logistic Regression



- Splitting the dataset into training and test set. For example, we can have 70% data for training, 20% for validation and the rest for testing.
- Creation of model
- $P(Y = 1) = 1 / 1 + e^{-(\beta_0 + \beta_1 X_1 + \beta_2 X_2 + \dots + \beta_p X_p)}$, where $P(Y = 1)$ is the probability of the event occurring and $\beta_0, \beta_1, \beta_2, \dots, \beta_p$ are coefficients that represent the relationship between the independent variables X_1, X_2, \dots, X_p and the probability of the event.
- Model Performance
- Tuning hyperparameters and regularization
- Gender prediction

K-Nearest Neighbours



- Splitting the dataset into training and test set. For example, we can have 70% data for training, 20% for validation and the rest for testing.
- Creation of a classifier model based on the KNN algorithm which is a non-parametric, instance-based algorithm that classifies data points based on their similarity to the k-nearest neighbors in the training data.
- Model training
- Model performance
- Tuning hyperparameters and regularization
- Gender prediction



Naive Bayes



- Since we are using Gaussian Naive Bayes architecture we had to change the RGB scale to Grey scale and apply the image transformation and we also flattened the image
- We then applied PCA on the flattened image to reduce the dimensionality of the image

- Naive Bayes model :

$$P(Y|X_1, X_2, \dots, X_n) = \frac{P(Y) \cdot P(X_1|Y) \cdot P(X_2|Y) \cdot \dots \cdot P(X_n|Y)}{P(X_1) \cdot P(X_2) \cdot \dots \cdot P(X_n)}$$

- Here Xs are the features while Y is label
- Performance Metrics:
 - **Accuracy on Gender:** 0.79
 - **Accuracy on Ethnicity:** 0.56
 - **Accuracy on Age:** 0.37
- We used a number of different components in PCA and best accuracy was achieved in 100 components

Support Vector Machine

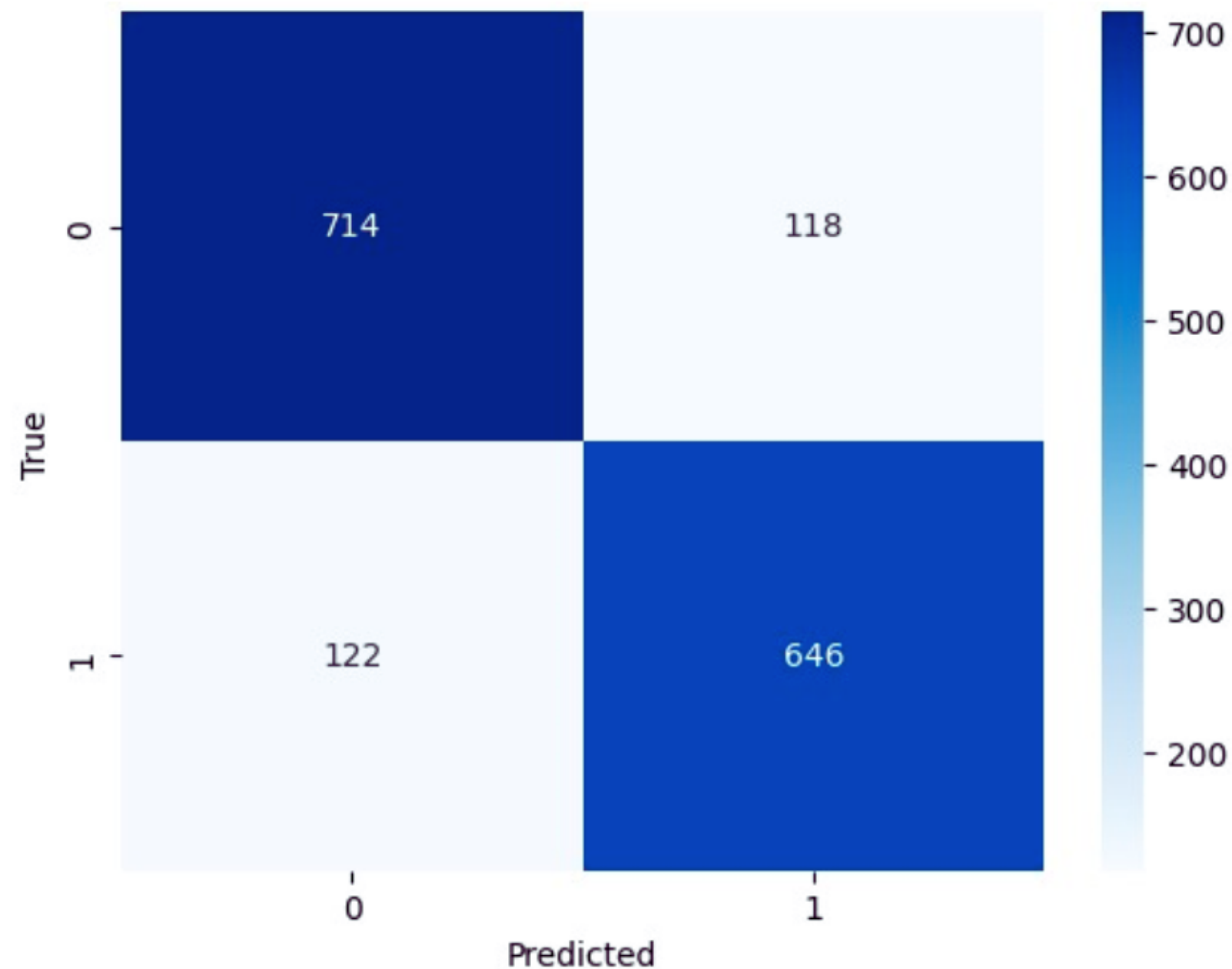


- The SVM model was trained by flattening the image dimensions and we used the sklearn library to train our model.
- We used RBF kernel for our model. It performed better than the linear kernel.
- Performance Metrics:
 - **Accuracy on Gender: 0.83**
 - **Accuracy on Ethnicity: 0.69**
 - **Mean Absolute Error(MAE) on Age: 11.0**
- SVM performed quite well relative to other previous models for gender and ethnicity prediction.
- Classic ML models failed to provide good prediction for age.

Support Vector Machine

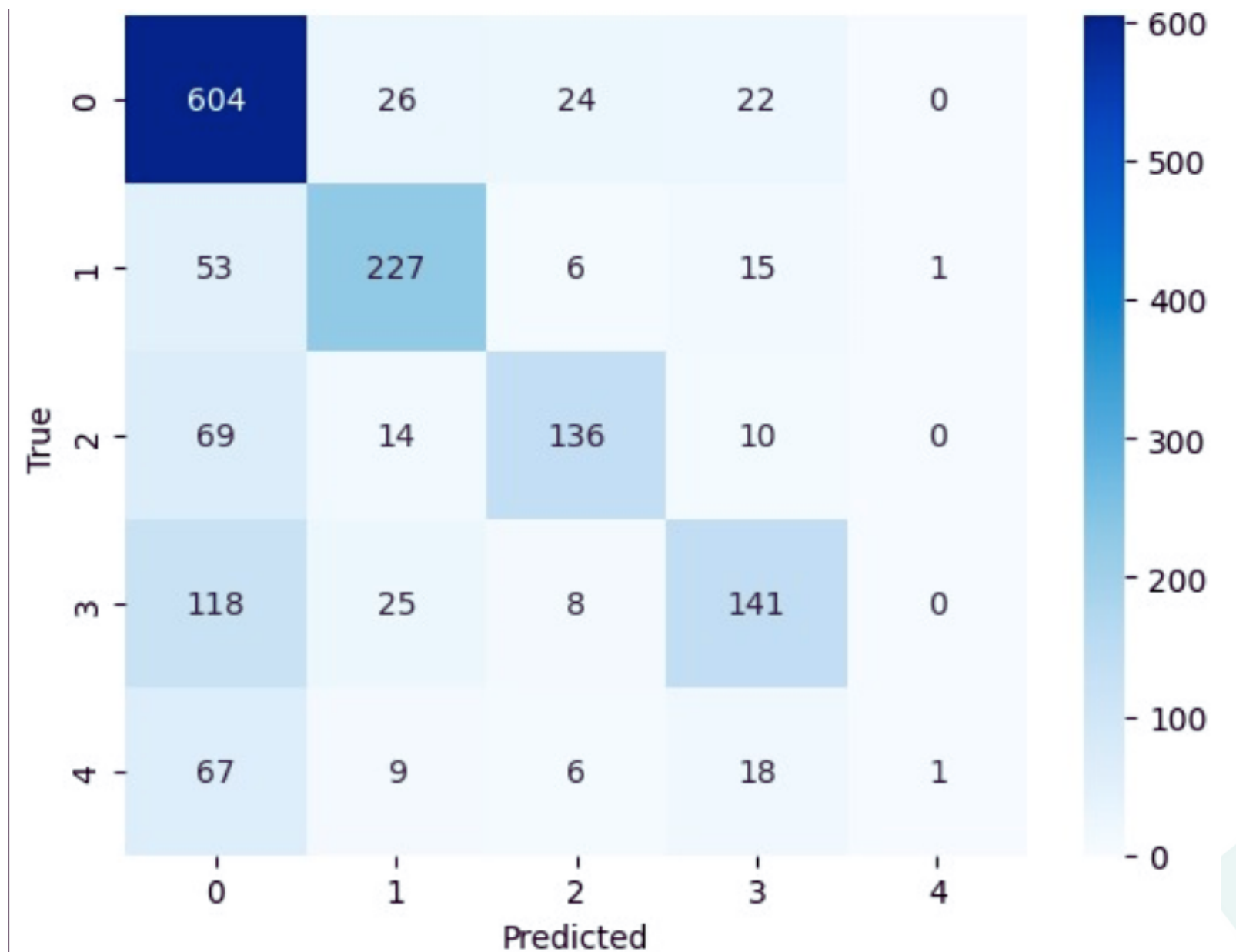


Gender Prediction



Accuracy: 0.83
Precision: 0.8398
Recall: 0.8396
F1-Score: 0.8397

Ethnicity Prediction



Accuracy: 0.69
Precision: 0.6714
Recall: 0.5436
F1-Score: 0.5529

Random Forests



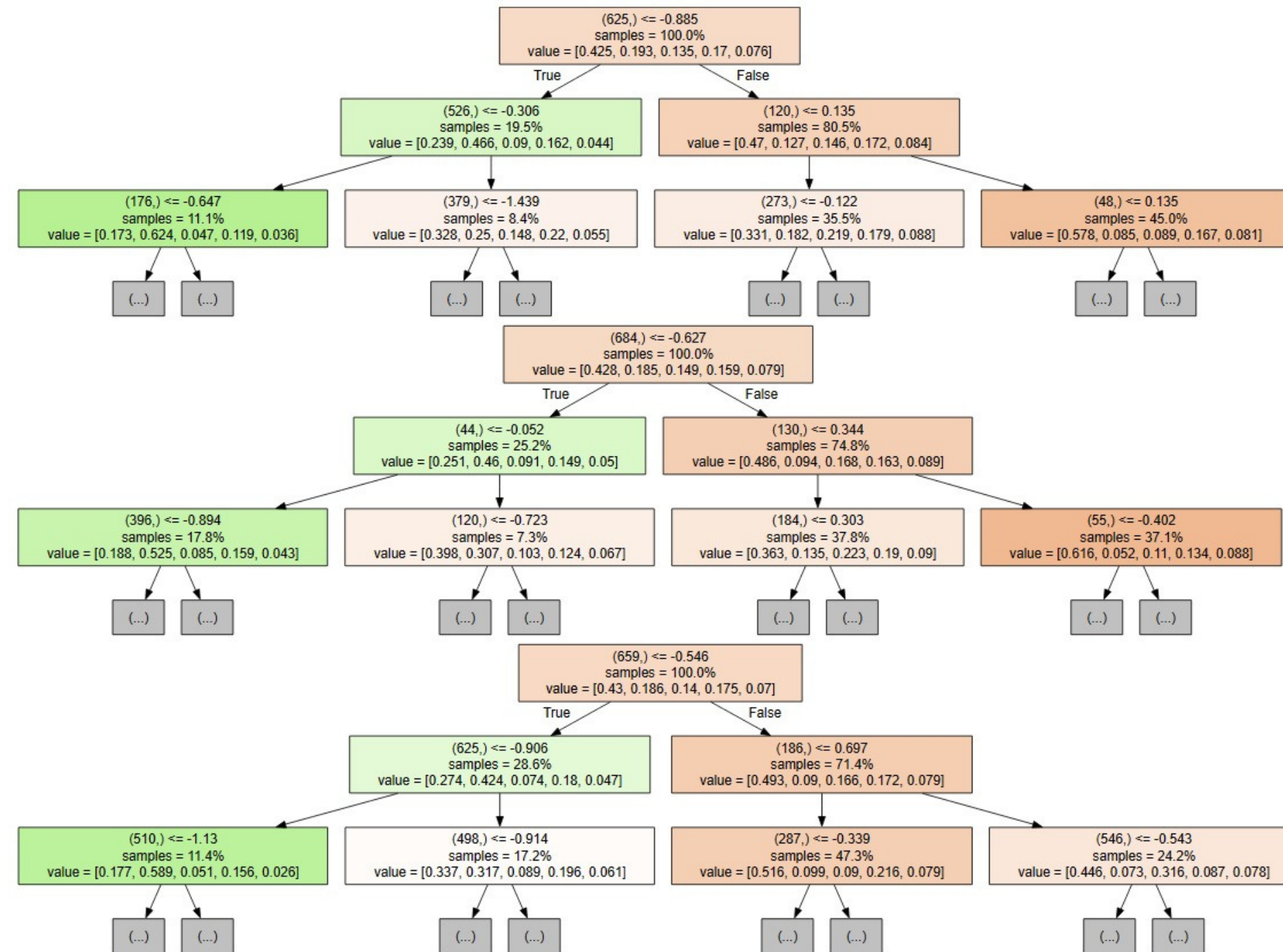
- The model was trained by flattening the image dimensions and we used sklearn library to train our model.
- We normalized the features before feeding them to our model for training. Following this preprocessing helped us gain the maximum accuracy possible with the random forest model.
- We used 100 estimators for our ensemble learning process and used gini impurity as criterion.
- Performance Metrics:
 - **Accuracy on Gender: 0.80**
 - **Accuracy on Ethnicity: 0.61**
- Age prediction was not worth noting for this model
- It performed quite well for ethnicity prediction in comparison with logistic regression and naive bayes model.



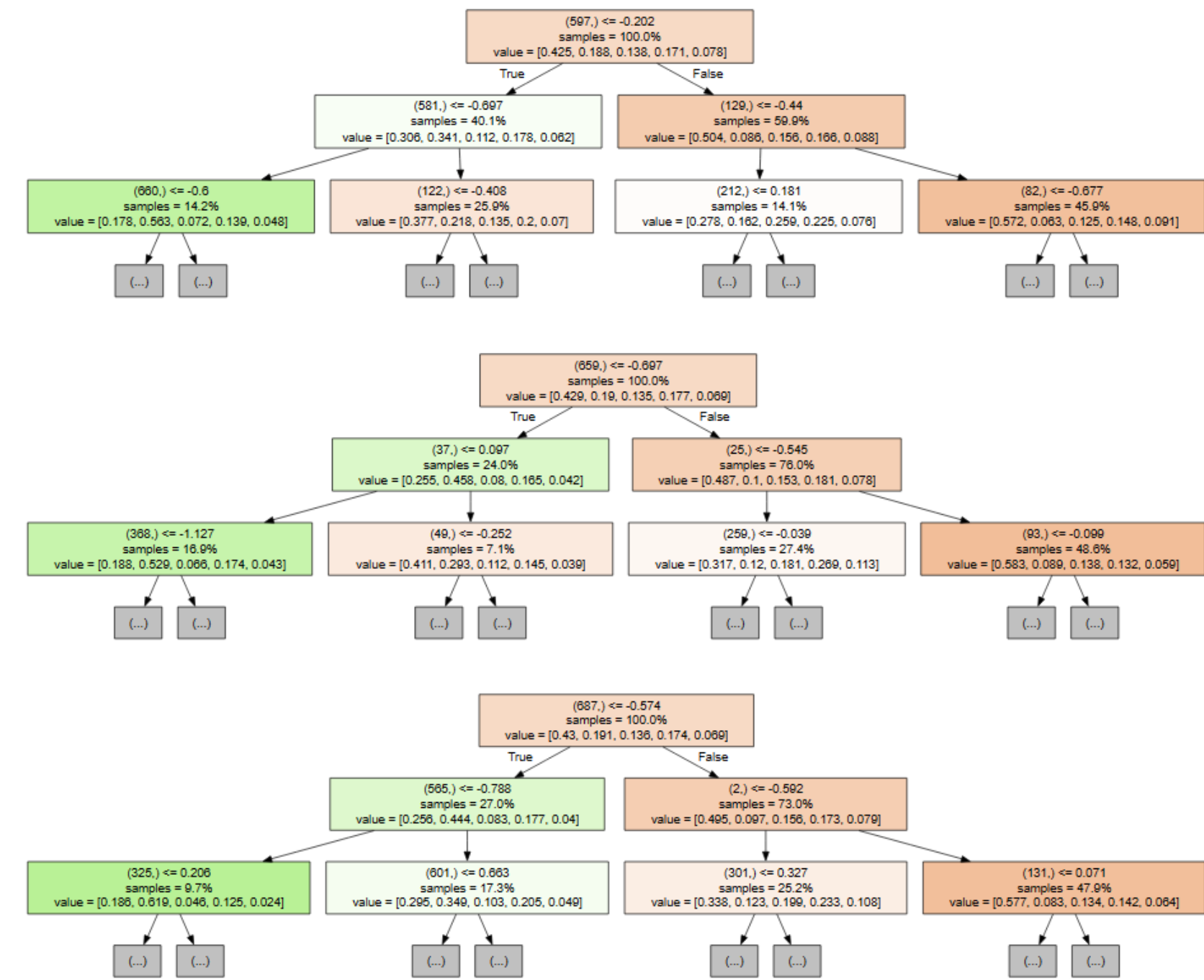
Random Forests



First 3 Decision Trees



Gender Prediction



Ethnicity Prediction

Convolution Neural Networks

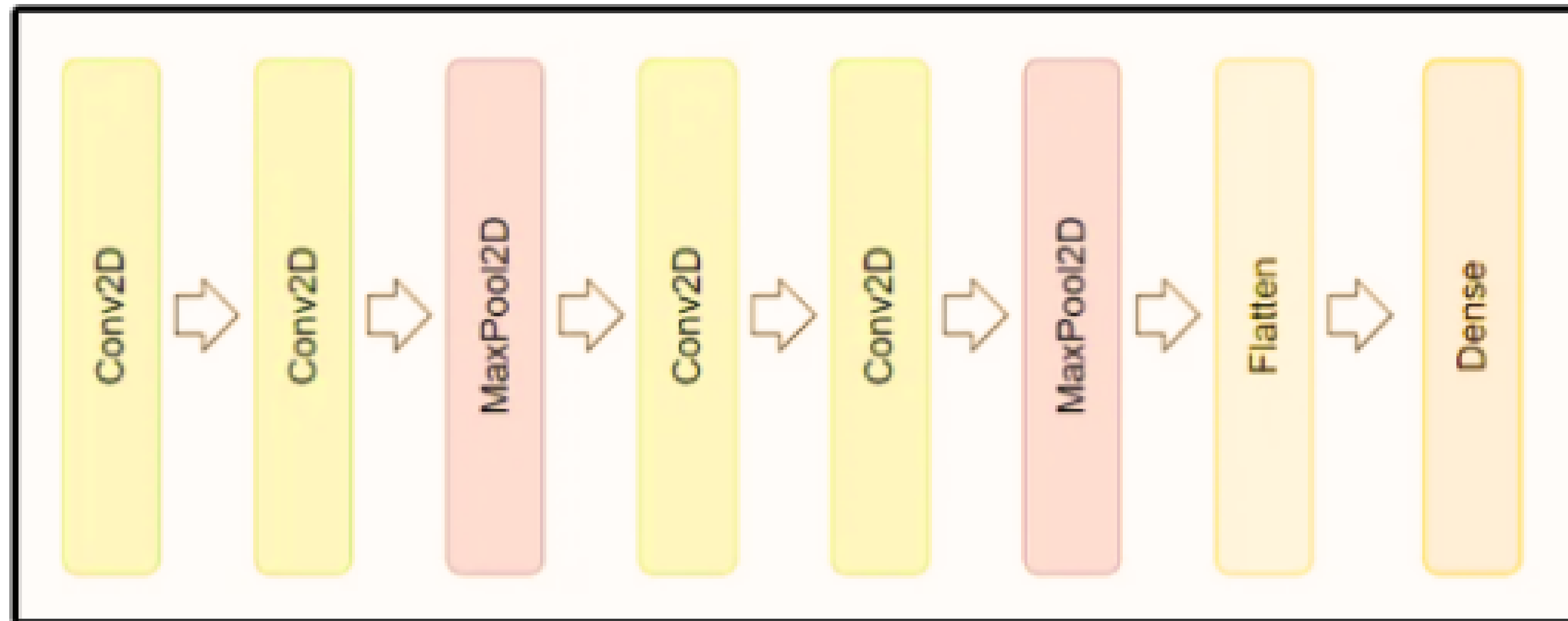


- Splitting the dataset into training and test set. For example, we can have 70% data for training, 20% for validation and the rest for testing.
- Since we were using CNN, no flattening was required to convert and no conversion to grayscale was required either and ADAM optimiser was used.
- Performance Metrics:
 - **Accuracy on Gender:** 0.87
 - **Accuracy on Ethnicity:** 0.72
 - **Accuracy on Age:** 0.47
- We divided our training data into batch sizes of 32. Given the complexity of our architecture, it converged in 6 iterations only.
- This wasn't possible with other models since they weren't as complex as CNN architecture.

Convolution Neural Networks



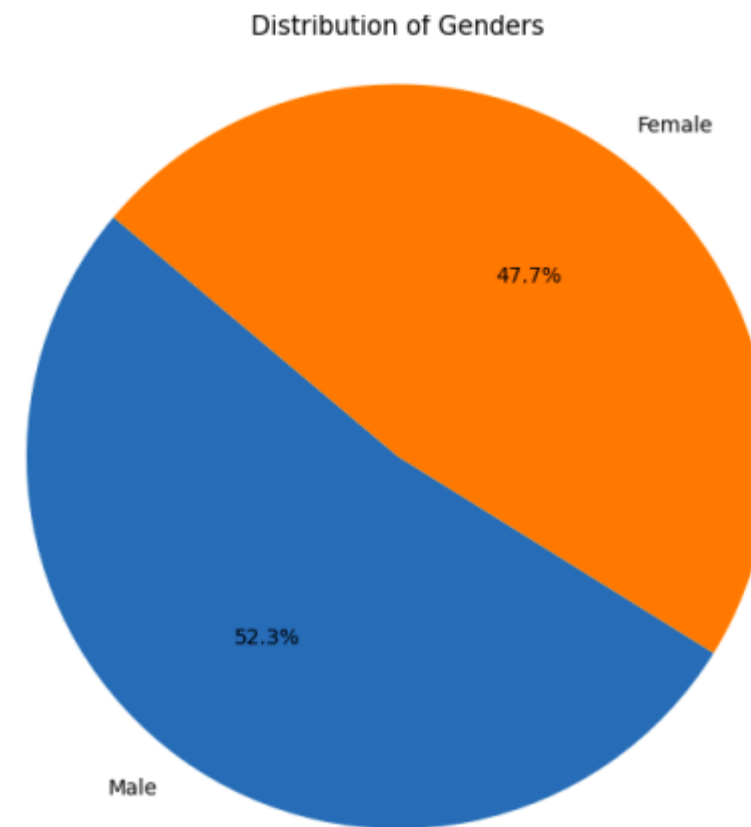
CNN model architecture



Results and Analysis



Gender Distribution

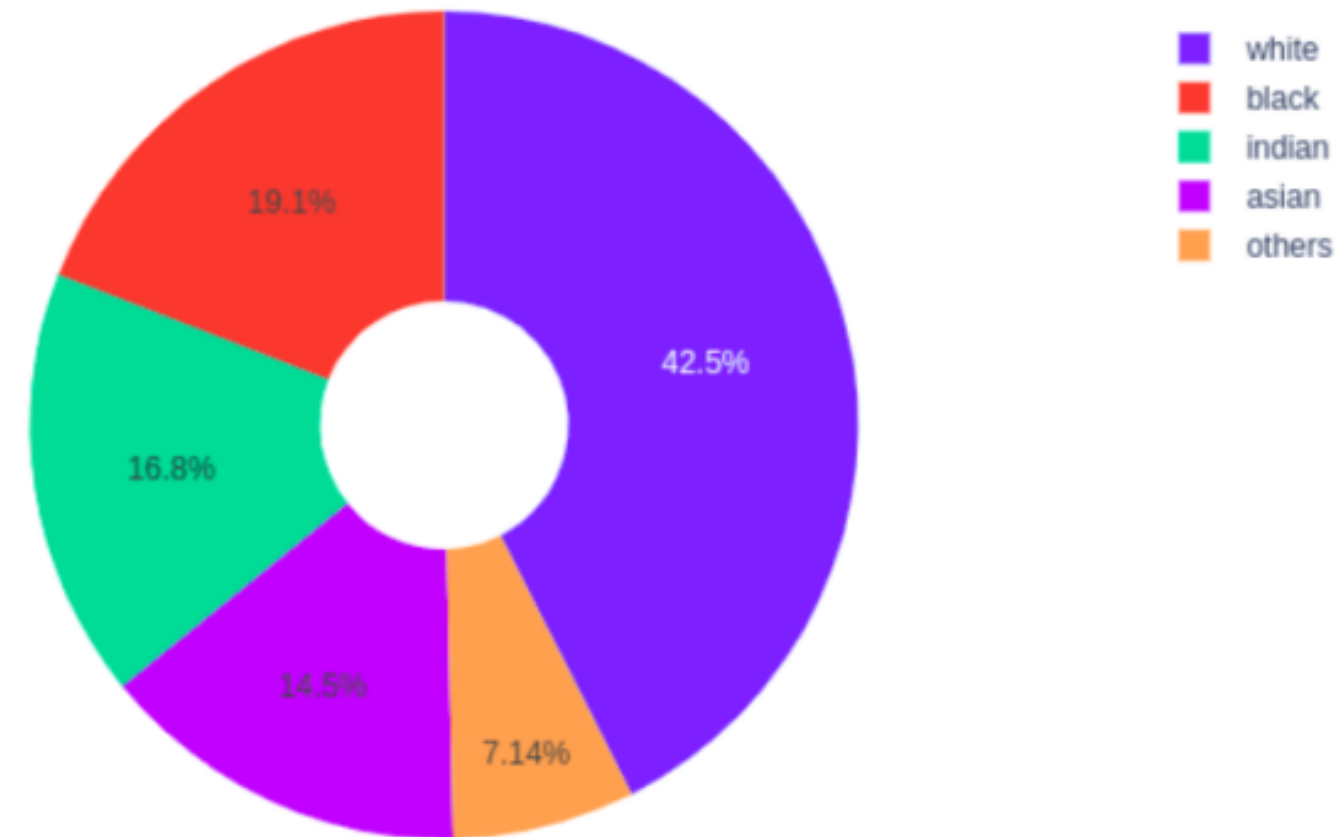


The above figure gives a visualization of gender distribution. We can see that the percentage of the male population is slightly greater than females but the difference is minor. It's not capable of creating high bias.

Results and Analysis



Ethnicity Breakdown

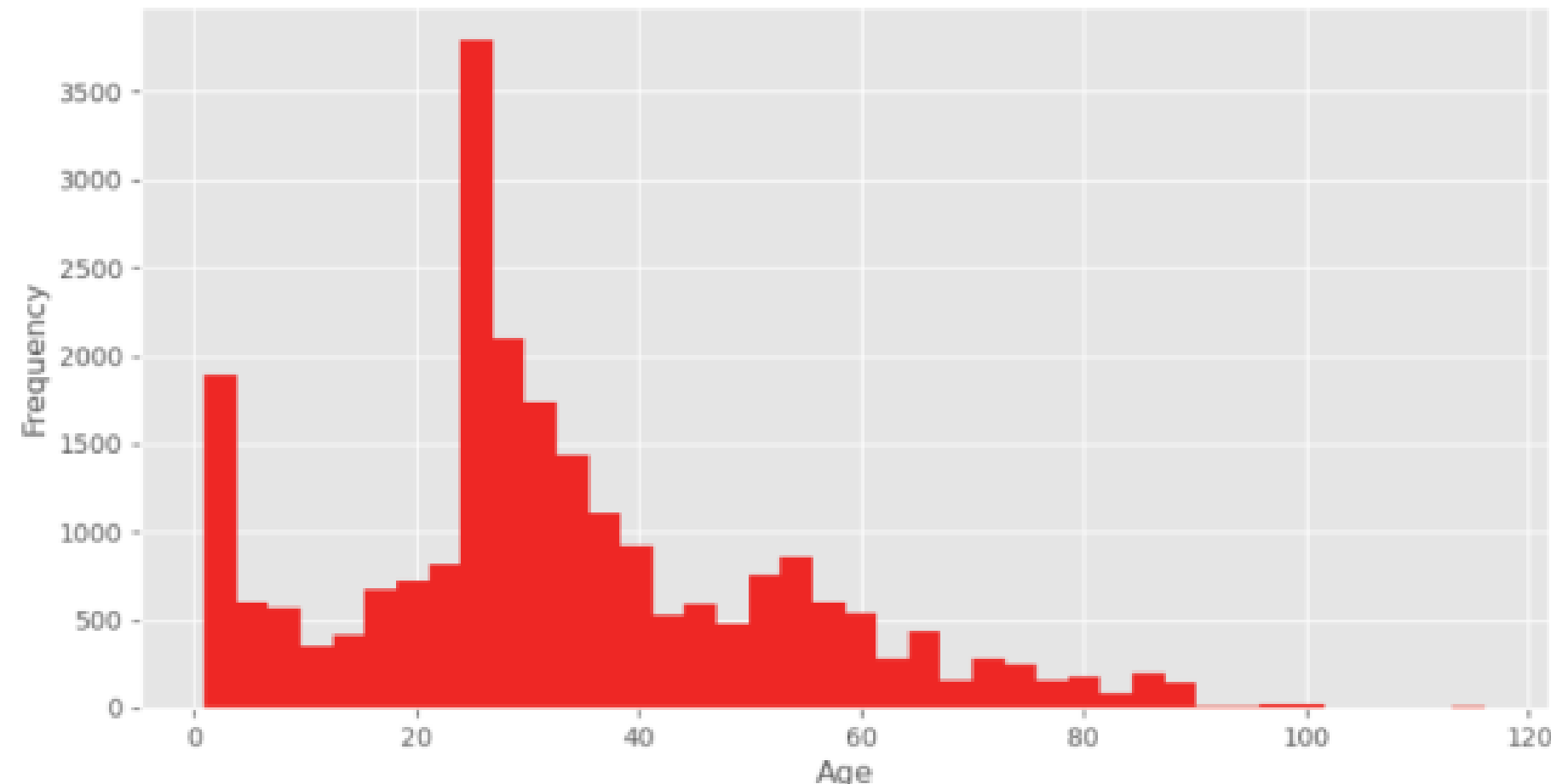


The above figure gives visualization for ethnicity distribution in our data set. Our data set majorly consists of images of white ethnicity with 42.5 percent. It is followed by black at 19.1 percent, Indian with 16.8 percent and Asian with 14.5 percent. Rest of the population are categorized by others.

Results and Analysis



Age Distribution



The above figure gives visualization for age distribution in our data set. From surface observation, we can see that the data is skewed to the left. Thus our data set mainly consists of a population less than 40 years. From the figure we can also see that the data is normally distributed.

Results and Analysis



Logistic Regression

Performance Metrics

The model was trained using a batch size of 32, binary cross-entropy as the loss function, and stochastic gradient descent (SGD) as the optimization algorithm. After 10 epochs of training, we achieved an accuracy of 80

The following statistics summarize the model's performance:

- **Training Loss: 0.3654**
- **Test Loss: 0.3598**
- **Test Accuracy: 84.41**

These results indicate that our logistic regression model performs well in classifying images into male and female categories. The relatively low training and test losses suggest that the model effectively minimized the classification error, and the test accuracy of 84.41 percent demonstrates its ability to correctly classify the gender of previously un-seen images

Results and Analysis



K-Nearest Neighbours

Performance Metrics

The model was trained by flattening the image dimensions into one dimension and setting the k parameter to 20.

The following statistics and the classification report summarize the model's performance:

- **Accuracy: 0.7344**

Class	Precision	Recall	F1-Score	Support
0 (Male)	0.70	0.85	0.77	2468
1 (Female)	0.79	0.61	0.69	2273
Accuracy			0.73	4741
Macro Avg	0.75	0.73	0.73	4741
Weighted Avg	0.74	0.73	0.73	4741

1. True Positive: 2095
2. False Negative: 373
3. False Positive: 886
4. True Negative: 1387

Results and Analysis-2



Naive Bayes

Performance Metrics

The model was trained by changing the RGB scale to grayscale and applying PCA on the flattened image to reduce the dimensionality of the image. The best accuracy was achieved with 100 components in PCA.

The following statistics summarize the model's performance:

- **Accuracy on Gender:** 0.79
- **Accuracy on Ethnicity:** 0.56
- **Accuracy on Age:** 0.37

The model works fairly well for gender and ethnicity prediction. In fact it has better gender prediction than accuracy than KNN.

Results and Analysis-2



Support Vector Machine

Performance Metrics

The model was trained by using the sklearn library after flattening the image.

RBF kernel was used as it gave better accuracy

The following statistics summarize the model's performance:

- **Accuracy on Gender:** 0.83
- **Accuracy on Ethnicity:** 0.69
- **Mean Absolute Error(MAE) on Age:** 11.0

Confusion matrix for gender prediction --->
$$\begin{bmatrix} 714 & 118 \\ 122 & 646 \end{bmatrix}$$

SVM performed quite well relative to other previous models for gender and ethnicity prediction.

Results and Analysis-2



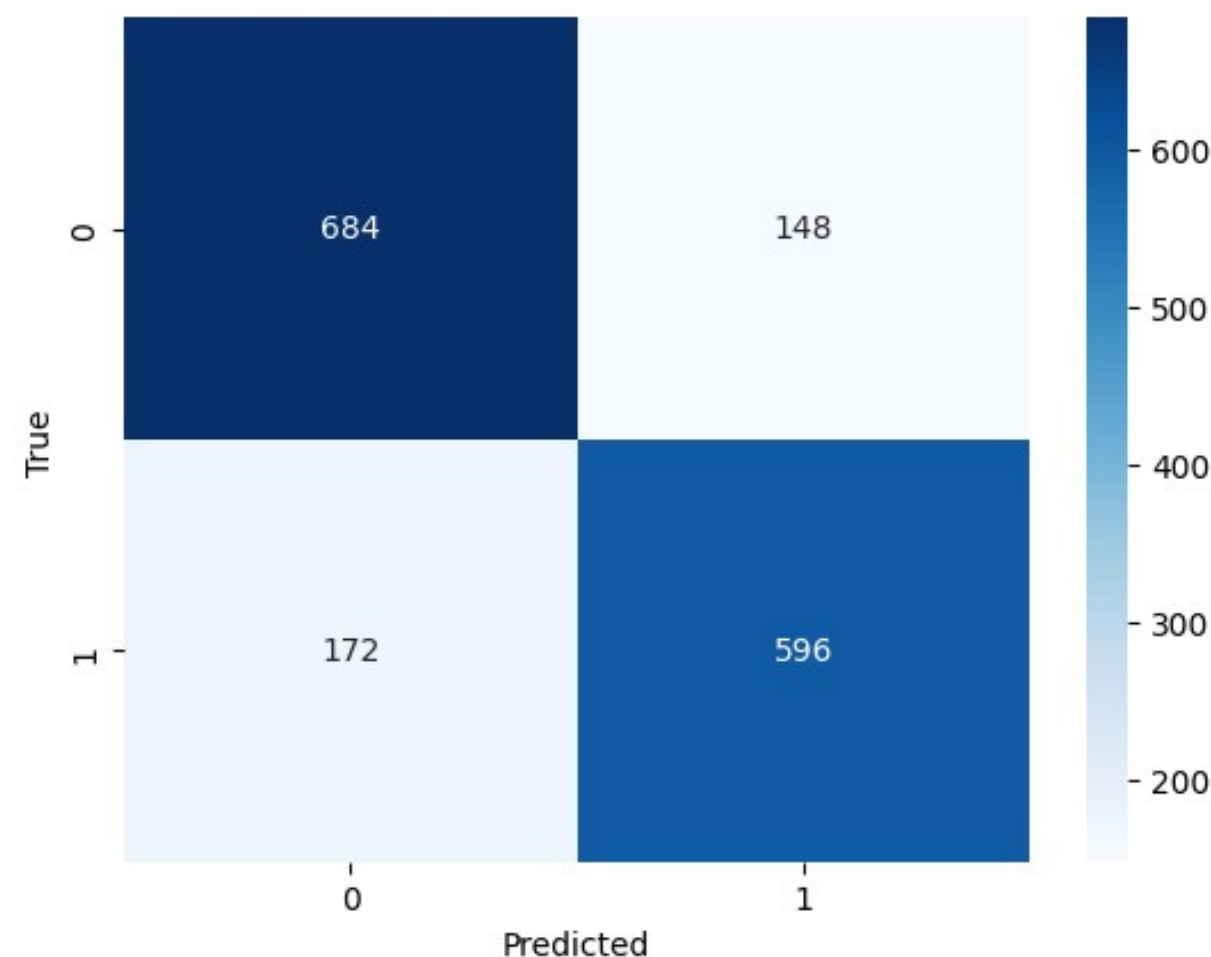
Random Forests

Performance Metrics

Features were normalized before feeding them to our model for training to gain the maximum accuracy possible with the random forest model.

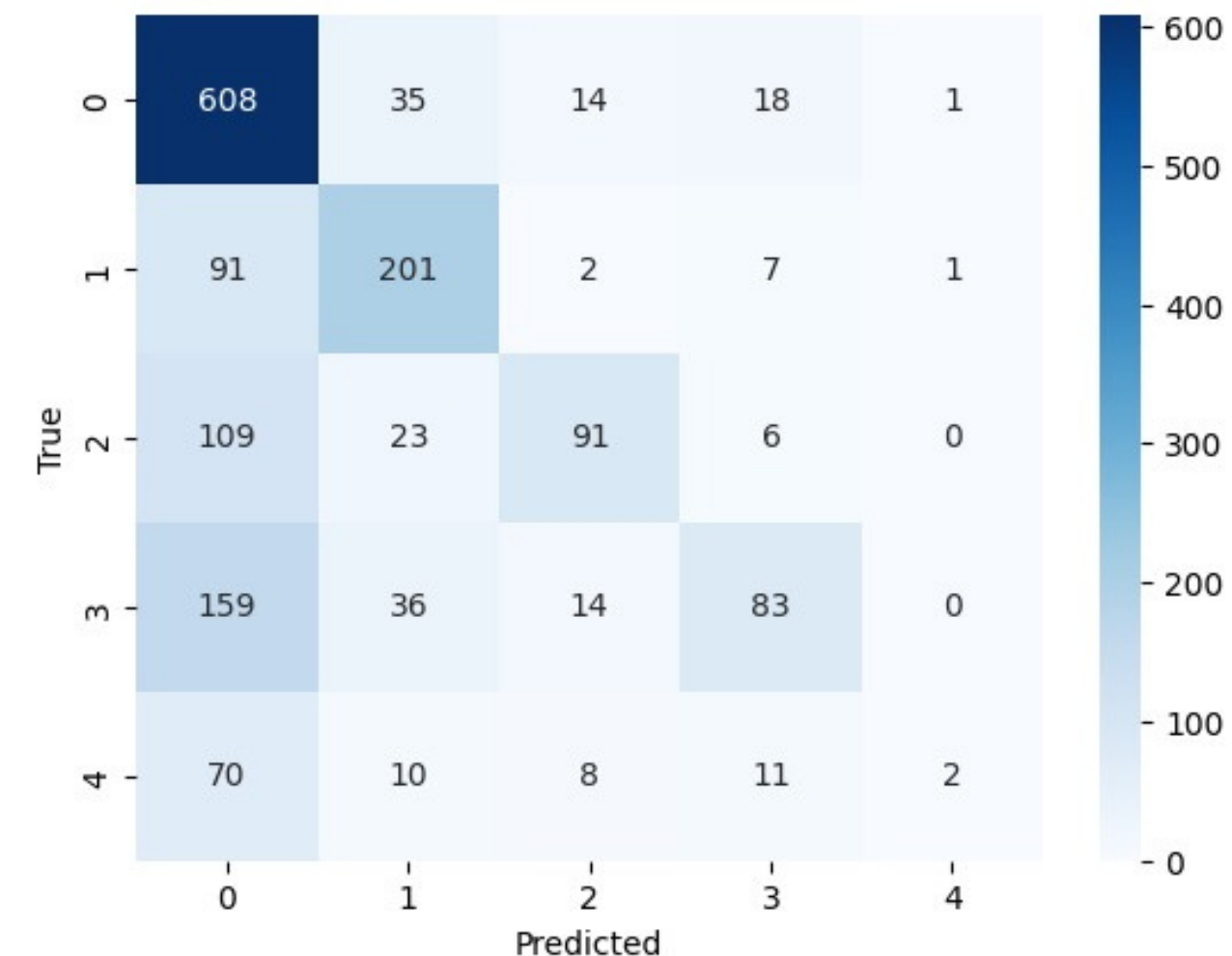
100 estimators were used for our ensemble learning process and gini impurity was used as the criterion.

The following statistics summarize the model's performance:



Gender Prediction

Accuracy: 0.8
Precision: 0.8
Recall: 0.799
F1 score: 0.799



Ethnicity Prediction

Accuracy: 0.61
Precision: 0.623
Recall: 0.453
F1 score: 0.463

Results and Analysis-2



Convolutional Neural Networks

Performance Metrics

We didn't gray scale our image and we trained our model in 3 channels i.e RGB. We also normalized the RGB values

This helped us get the best result in comparison with other models

The following statistics summarize the model's performance:

- **Accuracy on Gender:** 0.87
- **Accuracy on Ethnicity:** 0.72
- **Accuracy on Age:** 0.47

Given the complexity of CNN's architecture, it converged in 6 iterations only which wasn't possible for other relative less complex models

CNN gave the best results for prediction of age, gender and ethnicity.

Conclusion



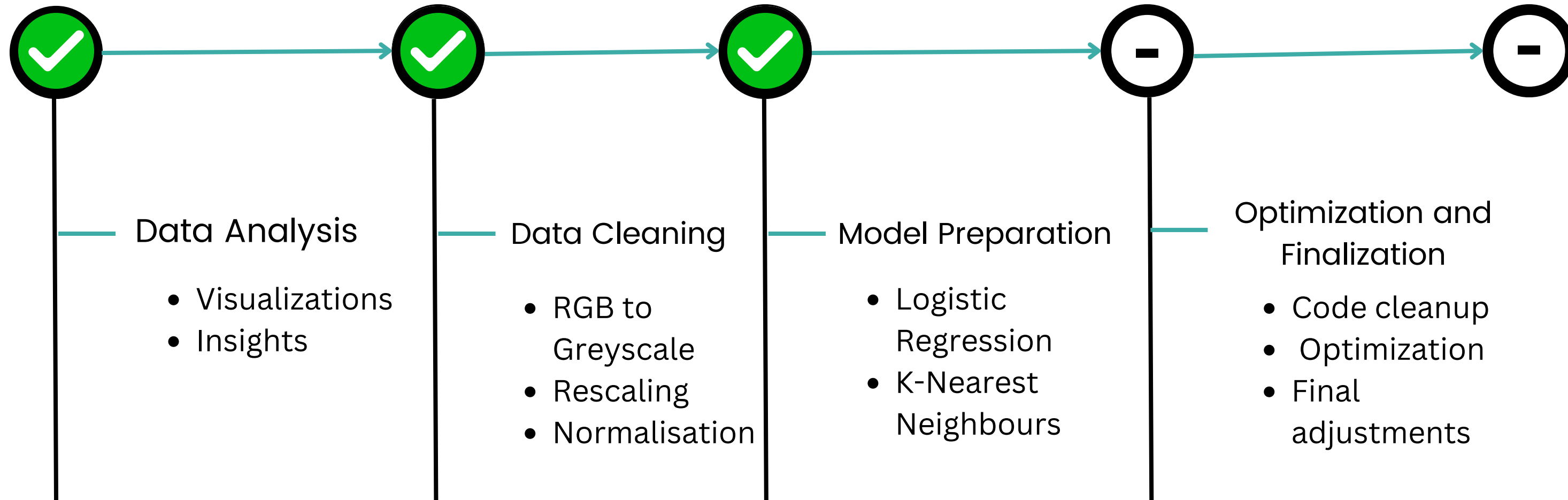
In our study of image data, six models were employed to predict age, ethnicity, and gender. While binary classification, particularly for gender, saw strong performance across multiple models, challenges arose in multi-class classification (e.g., ethnicity) and regression tasks like age prediction. CNN architecture demonstrated rapid learning, excelling in all prediction labels and outperforming others in multi-class scenarios. SVM performed well but was computationally expensive, while Random Forest showed comparable results but lagged behind SVM. KNN, logistic regression, and custom architectures struggled, with Tiny VGG net standing out as the most effective. CNN's speed and simplicity led to convergence in just 5 iterations, far surpassing other models requiring 20 iterations with the same batch size and optimizer.



Timeline Progress



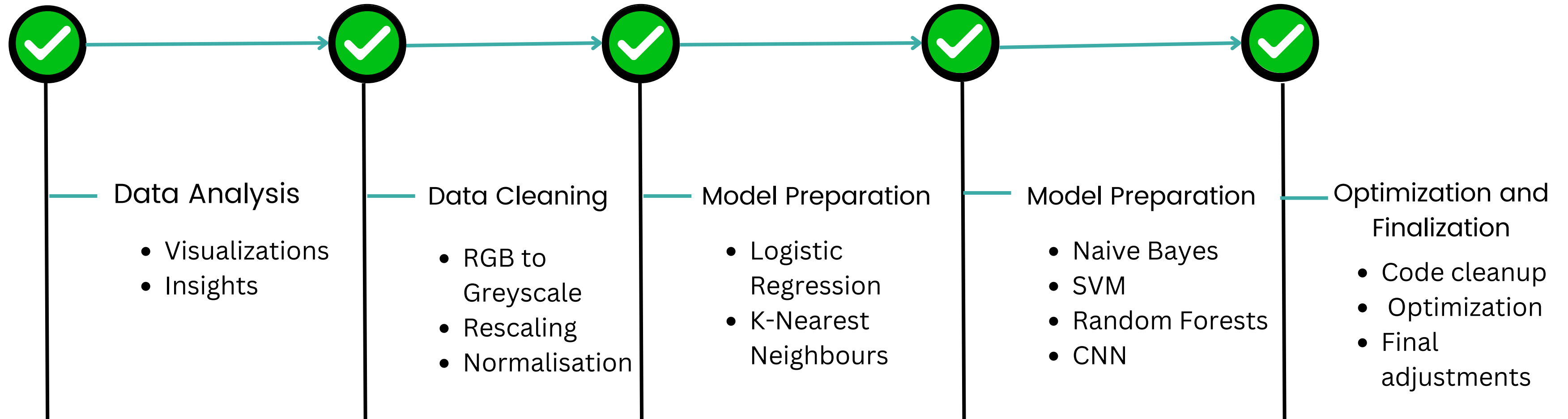
August 15 — September 15 — October 01 — November 01 — End sems



Timeline Progress (Updated)



August 15 — September 15 — October 01 — November 01 — End sems



Individual Contribution



- **Priyash Shah** : Training and Testing Models, Data Visualisation, Code optimisation (CNN, RF, SVM)
- **Aditya Arya** : Training and Testing Models, Results and Analysis, Code optimisation (Naive Bayes, Logistic Regression , KNN)
- **Aditya Mishra** : Training and Testing Models, Hyper parameter Tuning, Code optimisation (CNN, RF, SVM)
- **Divyansh Mishra**: Training and Testing Models, Results and Analysis, Code optimisation (Naive Bayes, Logistic Regression, KNN)