

# APL : Sheet One

①

Unlike TLC, if you did it "upside down" derivation rules will not be allowed.

If you do TLC, you will be familiar with the derivation game and how it is all about applying the correct and matching rules.

Here is a little recipe for doing this:

1. Remind yourself of the rules
2. Write down what you want to prove
3. Until you hit axioms and have dealt with all proof combinations:
  - Select one with premise that matches what you wanna prove
  - Apply it

Odd/Even Rules:

Because the concepts of odd and even are defined using rules that depend on each other, this is an example of "Simultaneous Generation of Judgements".

EVEN 2

zero even

This "axiom" called so as if has no premises, tells us that zero is even.

ODD

n even  
succ(n) odd

If the predecessor of something is even we know it is odd

EVEN       $n \text{ odd}$   
 $\text{succ}(n) \text{ even}$       if the predecessor  
of something is  
odd we know it  
is even

       EVEN       $\text{zero even}$   
 $\text{succ(zero) odd}$       ODD  
 $\text{succ(succ(zero))) even}$       EVEN  
 $\text{succ(succ(succ(zero)))) odd}$       ODD  
↳ "3 is odd"

the rule naming here is super helpful  
because to prove something to be odd,  
we need to reach for the odd rule.

② i)

I'm a Haskell gal, and Alex already gives conventions between rules and Haskell data types, so I'll start with what I know:

> data NatList

>   = Empty

>   | Cons Nat NatList

=> I'll need two rules for lists of nats,  
and I'll need to call out to our nat  
rules

Let's do the easy one first:



Now for the more complicated one.  
The consumer has two cases, so I will  
need two premises (just like the succ needed  
one):

$$\frac{\text{A nat} \quad \text{ns } \underline{\text{natlist}}}{\text{A : ns } \underline{\text{natlist}}} \text{ CONS}$$

Seems like we can test it by seeing  
if we can show  $\text{Zero} : \text{C} \rightarrow \text{NatList}$

$$\frac{\frac{\text{zero nat} \quad \text{Z} \quad \text{ns } \underline{\text{natlist}}}{\text{Zero} : \text{C} \rightarrow \underline{\text{natlist}}} \text{ CONS}}{\text{Zero} : \text{C} \rightarrow \underline{\text{natlist}}} \text{ EMPTY}$$

ii)

This will be very similar to the induction rule for natural numbers:

Let  $\varphi$  be a property of natural numbers.

If

:  $\varphi(\text{zero})$

: whenever  $\varphi(n)$ ,  $\varphi(\text{succ}(n))$  holds

Then  $\varphi(n)$  holds for all  $n \in \text{nat}$ .

It seems that an induction principle needs a proof condition for each rule, and that if a rule has premises we can assume them (as when hypothesis) to prove the conclusion.

Now let's adjust this for lists:

Let  $\varphi$  be a property of lists of natural numbers.

If

:  $\varphi(\text{[]})$  -- empty

: whenever  $n \in \text{nat}$  and  $\varphi(ns)$ ,  $\varphi(n : ns)$  holds -- cons

Then  $\varphi(ns)$  holds for all  $ns \in \text{list[nat]}$ .

assume premises

ii) Let's see already almost did this  
but with a simpler example.

This is the same game as (i) but  
with our rules

$$\frac{\frac{\frac{\frac{\text{zero nat}}{\text{succ(zero) nat}} s}{\text{succ(zero)} : \text{[}] natlist}}{\text{zero nat}} z}{\text{zero} : \text{succ(zero)} : \text{[}] \text{natlist}}$$

(cons)

" [0, 1]"

empty

[] natlist

(cons)

③

Deniable means that the derivation of the rule ends in its premise

Our "deniable" rule is

n even

succ(succ(n)) even

So we expect our derivation of  $\text{succ}(\text{succ}(n))$  to end up being a derivation for n even.

Let's write our conclusion, apply the rules and see if this happens.

n even

succ(n) odd odd

EVEN

succ(succ(n)) even

Yes it did! This means it is indeed deniable, and by presenting the derivation steps between the conclusion and the premise, we have shown that.

(4)

To show that a rule is admissible, we need to be able to take a derivation of its premises and be able to construct a derivation of the conclusion from it.

This question asks us to do this for

$$\frac{n \text{ even}}{n \text{ nat}}$$

"if something is even,  
then it is a nat"

Let's run some examples and see if we can spot a pattern for creating a derivation of n nat from n even.

When  $n = \text{zero}$  ...

derivation of premise: derivation of conclusion:

$$\frac{\text{zero even}}{\text{EVENZ}}$$

$$\frac{}{\text{zero nat} \quad z}$$

Well, in this case we just need to perform some renaming:

$$\begin{array}{l} \text{even} \rightsquigarrow \text{nat} \\ \text{EVENZ} \rightsquigarrow z \end{array}$$

What about succ(succ(even))?

	EVEN
<u>zero even</u>	
SUCC(zero)	<u>odd</u>
SUCC(SUCC(zero))	<u>even</u>

	Z
<u>zero nat</u>	
SUCC(zero)	<u>nat</u>
SUCC(SUCC(zero))	<u>nat</u>

Nice! It seems like we just swap out ODDs and EVEN's for Ss.

This rule is admissible because we can construct a derivation of the conclusion by performing the following relabellings on the derivation of the premise:

EVEN	$\vdash$	Z
ODD	$\rightarrow$	S
EVEN	$\rightarrow$	S
<u>odd</u>	$\rightarrow$	<u>nat</u>
<u>even</u>	$\rightarrow$	<u>nat</u>

WAIT WAIT WAIT

Something fishy is going on here. Nothing in our premise says we can work with odd numbers.

$\Rightarrow$  We need to strengthen the statement to accommodate odd numbers by adding

$$\frac{n \text{ odd}}{n \text{ nat}}$$

Now, that was my thought process and how I worked this out, but we should present this more formally.

Really what is happening here is proof by mutual induction of  $n \text{ odd}$  and  $n \text{ even}$  as

the rewrite rules are covering what to do  
case by case.

Let's now present our answer more formally

The following rules are admissible:

$$\frac{n \text{ even}}{n \text{ nat}} \quad \frac{n \text{ odd}}{n \text{ nat}}$$

Proof by mutual induction on  $n$  even and odd

(Case: EVEN)

$\Rightarrow n$  is zero, which is trivially a nat

$$\frac{}{\text{zero nat}} z \quad \text{EVEN}$$

(Case: EVEN)

IH = if  $n$  odd then nat

The even rule tells us the shape of what we must show to be a nat:

$$\frac{x \text{ odd}}{\text{succ}(x) \text{ even}} \text{ EVEN}$$

By IH  $x$  is a nat. (1)

Now we must show  $\text{succ}(x)$  to be a nat

$$\frac{}{\text{succ}(x) s} \quad \overline{(1)}$$

(Case: ODD) Analogous to EVEN case. D

## Proof by induction recipe:

### 1. Decide who to induct on.

- In general this is the most relevant judgement that you have all components for
- When you have a choice between two of the same type, pick the one with the most interesting rule in what you are trying to prove

### 2. Take the proof case by case; one case per rule

- remember to assume your premises
- write down the IH if it is a recursive rule

If you are stuck, write out the facts you know in front of you, and consider them if any can help.

③ i) Here we go again!  
This is a great way to acquaint yourself  
with some rules.

$$\frac{\frac{\frac{z}{\text{zero nat}}}{\text{succ(zero) nat}} s}{\text{sum(zero, succ(zero), succ(succ(zero)))}} \text{ BASE}$$
$$\frac{\text{sum}(\text{succ(zero)}, \text{succ(zero)}, \text{succ(succ(zero))})}{\text{IND}}$$

$$1 + 1 = 2 \therefore 0$$

ii)

$\lambda \text{sum} :: \text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat}$

$\lambda \text{sum} \ \text{zero}$

$b = b$

--BASE

$\lambda \text{sum} \ (\text{succ } a) \ b = \text{succ} (\text{sum } a \ b)$

$b = \text{succ}$

--IND

Yes this uses pattern matching, and you can almost think of it as deciding which rule you apply.

One case per rule. BASE is basically trivial but IND requires a recursive call.

iii)

this smells like a proof by induction!  
Why? Well, so far in the course what is how  
you have been taught to prove things, thus  
(is the judgements / induction sheet after all,  
and finally, not on a course context note,  
the things we are working with are  
defined using rules that come with  
induction principles.

The next question is what do we induct  
on? The sum judgement seems like  
the best choice as it encompasses our  
premise.

Proof by induction on sum.

[Case : BASE]

$$\frac{b \text{ nat}}{\text{sum}(\text{zero}, b, b)} \quad \begin{array}{l} \leftarrow \text{remember we} \\ \text{get to assume} \\ \text{of the premises} \end{array}$$

Zero is a nat by the zero rule:

$$\frac{}{\text{zero nat}} z$$

b is a nat as given by a premise.

thus all three args to sum are nats

[Case : IND]

DBASE

$$\frac{\text{sum}(a, b, c)}{\text{sum}(\text{succ}(a), b, \text{succ}(c))} \quad \begin{array}{l} \text{IH} \\ \text{IND} \end{array}$$

$|H| = a \cup b \cup c$  are not.

This leaves us with obligations to show that  $\text{succ}(a)$  and  $\text{succ}(c)$  are not.

This is done using the  $\text{SUCC}$  rule and the fact that  $a$  and  $c$  are not from the  $H$ .

$\square \text{IND}$

$\square$

(iv)

Remember  $\text{sum}(a, b, c) \sim a+b+c$ .  
So, intuitively we know there will be a c.  
Furthermore, if we see the judgement  
sum as specifying a function, there  
better well be a uniquely defined output.

But how to prove this?

We wanna show that for any  $a$  nat  $b$  nat there is a unique c nat.

When it comes to showing that something  
is the case for all types defined by  
rules we always reach for our  
favourite tool: induction!

But we can't induce on  $a$  and  $b$ . This  
is scarce! Only change one variable  
at a time.

To know how to pick who to induction,  
just look at the rules and see who has  
the most interesting stuff done to them.

e.g. In sum  $b$  remains unchanged,  
so inducing on them won't  
tell us much.

$a$  on the other hand is where the  
action is at!

Proof by induction on  $a$  nat.

[Case: zero]

When  $a = \text{zero}$ , the BASE rule of sum  
is triggered.

$$\frac{\text{sum}(\text{zero}, b, b)}{a \quad b \quad c}$$

In this case,  $c = b$ . No need to create any result, we already have it in the form of  $b$ .

[Case :  $\text{SUCC}$ ]

This matches the IND case of sum:

$$\frac{\text{sum}(x, b, c)}{\text{sum}(\text{SUCC}(x), b, \text{SUCC}(c))} \text{IND}$$

H = There exists  $c'$  such that  $\text{sum}(x, b, c')$

Using that and the IND rule, we can conclude that the  $c$  we want for  $a = \text{SUCC}(x)$  is  $\text{SUCC}(c')$

IND

}}

(v) Oh gosh we need to prove something again... I wonder how...

## INDUCTION!

Since we have all components to talk about sum, we can induction first

Proof by induction on sum.

[Case : BASE]

In this case,  $c = b$ , so since  $b$  doesn't change  $c = c$ .

! BASE

[Case : IND]

$$\frac{\text{sum}(x, b, y)}{\text{sum}(\text{succ}(x), b, \text{succ}(y))} \text{ IND}$$

It = our statement holds for  $\text{sum}(x, b, y)$   
i.e. If we had  $\text{sum}(x, b, y')$   $y = y'$

$\Rightarrow c = \text{succ}(y)$  and  $c' = \text{succ}(y')$

which are equal by transitivity of =

$$c = \text{succ}(y) = \text{succ}(y') = c'$$

(vi)

To answer this, we need to think about what it means to be a function: a function is a unique mapping between inputs and outputs.

Aha!

We have shown outputs to be unique.

Then if we wanted a total function we would need each input to produce an output.

From our proofs of existence and uniqueness in (iv) and (v), we can conclude that sum is a total function on naturals.

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The moral of the story is: if in doubt, proof by induction.

Things I noticed:

- People seem most comfortable inducting on  $n$  not  $k$ , but that is not always who one wanna induction. Because