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## A SERIES ELASTIC ACTUATOR DESIGN AND CONTROL IN A LINKAGE BASED HAND EXOSKELETON

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### Abstract

This paper presents the design of a series elastic actuator and a higher level controller for said actuator to assist the motion of a user's hand in a linkage based hand exoskeleton. While recent trends in the development of exoskeleton gloves has been to exploit the advantages of soft actuators, their size and power requirements limit their adoption. On the other hand, a series elastic actuator can provide compliant assistance to the wearer while remaining compact and lightweight. Furthermore, the linkage based mechanism integrated with the SEA offers repeatability and accuracy to the hand exoskeleton. By measuring the user's motion intention through compression of the elastic elements in the actuator, a virtual dynamic system can be utilized that assists the users in performing the desired motion while ensuring the motion stability of the overall system. This work describes the detailed design of the actuator followed by performance tests using a simple PD controller on the integrated robotic exoskeleton prototype. The performance of the proposed high level controller is tested using the integrated exoskeleton glove mechanism for a single finger, using two types of input motion. Preliminary results are discussed as well as plans for integrating the proposed actuator and high level controller into a complete hand exoskeleton prototype to perform intelligent grasping.

### Keywords

Mechanism design; series elastic actuator; exoskeletons; human-machine interaction; motion planning

## 1 INTRODUCTION

A major source of disability is the lack of full functionality in ones hands. An estimated 4.19 million American adults reported difficulty grasping or handling small objects and 10.20 million reported difficulty lifting or carrying more than ten pounds as per the recent national survey in [1]. A frequent source of disability that could lead to this type of difficulty is

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stroke [2], with approximately five hundred thousand new stroke victims each year [3]. Much of the loss of grasping functionality comes from the improper muscle activation during individual finger motions leading to the inability to extend the fingers [4], potentially remedied by intensive rehabilitation.

Passive devices such as SaeboFlex [5] have been designed to assist the wearer in extending their digits after voluntary flexion. More intelligent, robotic approaches are being pursued and are of continued interest to the research community. This has led to the almost ubiquitous development and integration of soft actuators for hand exoskeletons. Examples commonly fall into two categories based on pneumatic actuation of flexible membranes [6–10] and cable based transmission [11–14]. In pneumatic systems, the controllability can suffer from the lack of accurate measurements in the task space while requiring compressed air stored in tanks for operation. With regards to the cable driven approach, the wearer can experience discomfort from the tendon pre-loading and decreased efficiency due to frictional losses from contact along the cable routing [15]. Furthermore, both of these categories of system are large and sometimes heavy, which limits their usability.

With regards to the control of exoskeleton gloves, and robotic exoskeletons in general, electromyography (EMG) sensors are often used to determine user intent [16]. However, the signal noise from EMG sensors is too large for direct determination of the desired operation and prolonged placement of these sensors becomes uncomfortable. Alternatively, EMG sensors can be placed at other locations on the body and those muscles can be used to control the exoskeleton at the cost of highly unintuitive operation. EMG based approaches can be coupled with vision based sensing to better estimate user intent [17,18] with the drawback of requiring additional hardware and therefore an even larger operational setup.

In observation of both the unaddressed design and control challenges, this work presents a step towards intelligent control of a linkage based exoskeleton for those with partial hand mobility using series elastic actuators (SEAs). A compact SEA design is presented and integrated with a linkage based finger mechanism that is an evolution of the mechanism first presented in [19] with optimized kinematics from [20]. The compliant elements in the SEA allow the user to be comfortably assisted by the device and express their intent. The linkage system couples the motion of the metacarpophalangeal (MCP), proximal interphalangeal (PIP), and distal interphalangeal (DIP) joints in a highly compact form factor that sits entirely alongside the finger. While this work does not challenge the positive aspects of soft actuation, it aims to explore a novel hard linkage based approach, taking into account the distinct advantages in terms of force transmission and repeatability.

Numerous force control methods have been presented for robotic, lower extremity exoskeletons. While many of these methods seek to match the force of the actuator to its corresponding joint on the human body, a recent approach to amplify the force of the user is shown in [21] where positive feedback, coupled with a low pass filter is able to provide additional energy into the system while maintaining motion stability. This paper presents an approach inspired by the above method with modifications based on the specific operating range of the human finger. A feedback term is used to provide energy into a virtual dynamical system via spring displacement of the SEA. In addition, a nonlinear damping

force is used to ensure that the system both does not exceed the physical range of the finger while ensuring stability during motion. In this regard, the system acts as a positive admittance controller by increasing the displacement of the wearer's finger as determined by the physical spring displacement.

The goal of this work is to provide an actuator design and control structure that facilitate improved gross hand motion by an impaired individual. Prediction algorithms, as shown in [22] could be used to analyze the motion and assist the user with more specific grasping actions. In this way, we hope to accomplish both general and unique finger motion to assist the wearer fully through the grasping motion.

## 2 SERIES ELASTIC ACTUATOR DESIGN AND INTEGRATION

To avoid the drawbacks of soft actuators, the entirety of the actuator should occupy a small volume, while having minimal weight. As such, the most reasonable location for the actuator within the exoskeleton is on the dorsum of the hand. The design of the SEA is shown in Fig. 1. The section view presented in Fig. 1a shows the key components of the design. The force transmission is accomplished through a leadscrew, driven by a DC motor and gearbox, that is connected to the output linkage by the compression springs. The pulling and pushing loads are transferred from the output linkage (1) to the front and back compression springs (2), respectively, into the leadscrew (3,4) as indicated by Fig. 1b. A magnetic encoder is used to determine the position of the lead nut assembly as shown in dark grey in Fig. 1b. The assembled prototype is shown in Fig. 1c and has an envelope of 60 mm × 22 mm × 22 mm when the actuator is completely retracted. The mass of the assembled actuator is approximately 36 grams, fulfilling the lightweight requirement.

Utilizing a Pololu Micrometal Gearmotor with a 250:1 gear reduction and an output speed of 130 RPM, the system can travel the full 20mm in stroke required for closing the finger in approximately 0.659 seconds using a leadscrew with a 20 mm/rev lead. Considering the standard leadscrew lifting force calculation,

$$F_{raise} = \frac{2T}{\tan(\phi + \lambda)d_m} \quad (1)$$

The maximum linear force output of the SEA is 61 N after the springs become fully compressed. The chosen springs have a maximum working load of 44.5 N with a spring rate of 9.42 N/mm. In Eqn. (1),  $T$  is the actuator stall torque,  $\phi$  is the angle of friction calculated as  $\tan^{-1}(\mu_s)$  where  $\mu_s$  is the static coefficient of friction between the leadscrew and nut,  $\lambda$  is the lead angle, and  $d_m$  is the leadscrew mean diameter.

The integration of the SEA with the finger linkage mechanism is shown in Fig. 2. Linear motion from the output linkage of the SEA is transmitted to the slotted member of the linkage mechanism via the slot shown in Fig. 2. The kinematic chain comprised of two serially connected four-bar mechanisms causes synchronized motion along the MCP, PIP, and DIP joints of the finger. The position of the finger is measured by a rotary potentiometer located at the MCP joint. For determining the compression of the elastic members in the SEA, rotation angle is converted to a corresponding linear position,  $x_{pot}$  through

$$x_{pot} = \tan(\theta_{MCP})h \quad (2)$$

where  $\theta_{MCP}$  is the angle measured by the potentiometer and  $h$  is the vertical offset of the actuator from the sensor as shown in Fig. 2. The base of the SEA, indicated by the white members in Fig. 1b, is rigidly attached to the dorsum of the hand and considered fixed.

It should be noted that while determination of the user finger force is possible by measuring the spring compression, the purpose of the controller presented in the next section is to determine the user's motion intention, not force intention. In this way, the springs are a means to transmit force, not measure it. However, the design would allow such consideration in the future for a different control scheme.

### 3 MOTOR CONTROL

The position of the actuator,  $x'_1$ , is calculated from the rotation of the motor using

$$x'_1 = \frac{l\eta\theta_m}{2\pi} \quad (3)$$

where  $l$  is the leadscrew lead,  $\eta$  is the gear reduction, and  $\theta_m$  is the rotational position of the motor. Their values are also shown in Tab. 1.

A simple PD controller, running at a frequency of 1 kHz, was implemented to control  $x'_1$  on the prototype of the complete system shown in Fig. 2. It was implemented on a Teensy 3.2 microcontroller with a DRV8801 motor driver and a 12 count/rev magnetic encoder.

The results of the controller under a sinusoidal input are shown in Fig. 3. The input had a frequency near the edge of the motor's performance capabilities, to demonstrate the actuator motion bandwidth. It can be seen that this is in fact faster than the theoretical maximum actuator linear speed of 40 mm/s and the servo bandwidth of the system is 1.2 Hz at an amplitude of 20 mm. In addition, the corresponding values of  $x_{pot}$  are shown in green where no additional load was placed on the finger mechanism, measured using the Bourns 3382 rotary potentiometer. The error in the motor position tracking was less than 0.5 mm. The larger error between the  $x_{pot}$  and  $x'_1$ , was averaged in the cases of positive and negative motor velocities and is shown in red in the second plot and can be used to characterize the backlash in the system. In the positive direction, the average backlash was 4.06 mm and in the negative direction it was 1.07 mm.

### 4 MOTION AMPLIFICATION CONTROL

To assist the motion of the user, a controller must be designed that behaves as though more energy was input into the SEA than actually was. Furthermore, the system must be able to quickly stop if an antagonistic motion is performed, while also stopping on its own in cases when the user may not have sufficient strength or control to stop the motion. As such, the higher level control of the finger mechanism is chosen according to

$$\begin{aligned}\dot{x}_1 &= x_2 \\ \dot{x}_2 &= -\alpha x_1 - b(\vec{x})x_2 \quad \alpha > 0\end{aligned}\tag{4}$$

The value for  $x_1$  is the spring deflection determined as  $x'_{1ref} - x'_1$  where  $x'_1$  is the position of the finger according to the encoder. In practice,  $x'_{1ref}$  is the same as  $x_{pot}$  and for purposes of modeling and motion stability analysis, chosen as a static value. The authors would again like to note that this is an important advantage of both this system design and control architecture. By estimating only the user's motion intent through a difference in the two position measurements, rather than user force, the level of uncertainty due to modeling errors is reduced. The user's intent will be defined by a non-zero value of  $x_1$ .

The damping,  $b(\vec{x})$ , is a strictly positive damping function, based on both the position and velocity of the finger, chosen as

$$b(\vec{x}) = \frac{\exp(\tan^{-1}(-x'_1)\tan^{-1}(x_2))}{x'_{1max} - |x'_1|}\tag{5}$$

The above function was determined such that the damping becomes very large as the position of the finger moves towards the physical limit on either end. The manifold for the damping function is shown in Fig. 4. The value of  $x'_{1max}$  was chosen to be 5% larger than the true physical limit of the system as a factor of safety.

The stability of the controller can be shown by choosing the Lyapunov function candidate

$$V(\vec{x}) = \alpha \frac{x_1^2}{2} + \frac{x_2^2}{2}\tag{6}$$

where  $V(\vec{x})$  is positive definite under the condition  $\alpha > 0$  and its derivative is

$$\dot{V}(\vec{x}) = \alpha x_1 \dot{x}_1 + x_2 \dot{x}_2\tag{7}$$

By substituting  $\dot{x}_1$  and  $\dot{x}_2$  from Eqn. (4),

$$\begin{aligned}\dot{V}(\vec{x}) &= \alpha x_1 x_2 + x_2(-\alpha x_1 - b(\vec{x})x_2) \\ &= -b(\vec{x})x_2^2 \leq 0\end{aligned}\tag{8}$$

The set  $S = \{x_1, x_2 / \dot{V}(\vec{x}) = 0\}$  reduces to  $S = \{x_1, x_2 | x_2 = 0\}$  as  $b(\vec{x})$  is strictly positive. Since the only trajectory that causes  $S$  to be invariant is  $x_1(t) = x_2(t) = 0$ , by LaSalle's invariance principle, we can say the system is globally asymptotically stable as  $V(\vec{x})$  is radially unbounded in  $\vec{x}$ ; however, as the system has bounds, it is stable within that domain. The bounds for the system are  $x'_1 \in [-10, 10]$  and  $x_2 \in [-20, 20]$ . The bounds for the system were enforced in software on top of the controller.

The response of the system was simulated using two cases, explained below, and the results of these simulations can be seen in Fig. 5 in which Eqn. (4) was numerically evaluated for 2 seconds in MATLAB. The first “drifting” case is when the user moves towards  $x'_{1ref}$  and then stops deflecting the spring once  $x'_1 = x'_{1ref}$ . More explicitly,

$$x_1 = \begin{cases} x'_{1ref} - x'_1 & \text{if } \text{sign}(x'_{1ref}) = \text{sign}(x'_{1ref} - x'_1) \\ 0 & \text{otherwise} \end{cases} \quad (9)$$

The case is designed to simulate a user who is trying to close their hand with varying strength, rather than trying to move their hand to a specific position. The response is shown in Fig. 5a for different values of  $x'_{1ref}$ . Manipulating the expression for  $\dot{x}_2$  yields

$$\dot{x}_2(x_{1max} - |x'_1|) = -\alpha x_1(x_{1max} - |x'_1|) - \exp(\tan^{-1}(-x'_1)\tan^{-1}(x_2))x_2 \quad (10)$$

where it can be seen that equilibrium positions exist when  $x'_{1ref} - x'_1 = 0$  and  $|x'_1| = x'_{1max}$ . However, the latter is not achievable as  $b(\vec{x})$  prevents it and  $\lim_{t \rightarrow \infty} |x'_1| = x'_{1max}$ . However, the response for two seconds is shown in Fig. 5a wherein a quantifiable increase in motion is present and shown in Fig. 6. The increase in motion as the  $|x'_{1ref}| \rightarrow x'_{1max}$  asymptotically approaches unity.

The second “holding” case is when the user continuously tries to move to  $x'_{1ref}$ . As such,  $x_1$  is always  $x'_{1ref} - x'_1$  as opposed to the cases in Eqn (5). This response is much more reminiscent of a spring mass damper system as seen in Fig. 5b. Due to the persistent input from the user, the system reaches the closer equilibrium of  $x'_1 = x'_{1ref}$ . As the position of the user,  $x'_1$ , increases in magnitude, the damping ratio increases which leads to a change in behavior from under damped to over damped. This is reflected in the response when  $x'_{1ref} = x'_{1max}$  and  $x'_{1ref} \ll x'_{1max}$ .

The results of preliminary testing of the high level controller on the physical system shown in Fig. 7 are shown in Figs. 8a and 8b. Figure 7 shows the entire glove, but only a single finger is detailed as this is the focus of this work. The additional PCB shown on the actuator was not used in these experiments.

Two “drifting” cases of large and small impulses were tested and their desired and measured positions of  $x'_1$  are shown in Fig. 8a where the impulses were delivered, manually, at 0.75 seconds and 1.1 seconds for the smaller and larger spring displacements, respectively. The operator, in this case, moved a small or large amount and then relaxed their finger. It can be seen that the velocity of the system,  $x_2$ , naturally decreases to zero for the smaller motion but instantly decelerates as the limit on  $x'_1$  was enforced at the maximum desired range of motion.

The holding case is shown, with two discrete movements, in Fig. 8b. The motions were performed at 1.1 seconds and 2.2 seconds. The motion stops far more suddenly than in the

drifting case as expected due to the operator attempting to move and then stop rather than move and stop applying resistance.

In both experiments, the motion is less smooth due to both the imperfect motion of the operator as well as clearly observable noise in the potentiometer as shown in Fig. 3. Furthermore, the motions shown are only for closing the finger due to the goal of the motion amplification as a means to facilitate sufficient motion for the grasp prediction algorithm.

## 5 CONCLUSION AND FUTURE WORK

This work presented the design of a compact SEA to actuate a linkage based mechanism for a hand exoskeleton. The low level motor controller was presented and evaluated with a maximum tracking error of less than 0.5 mm. In the same testing, the backlash between the actuator and the linkage mechanism was characterized. A high level motion planner was formulated as a virtual spring-mass-damper system with nonlinear damping to assist the motion of the user. The compliant elements in the SEA allow the user to provide a physical input into the system which is treated as a virtual spring displacement. The damping in the system becomes infinitely large at the maximum range of motion to gradually slow the user as they fully extend or contract their finger. The stability of the virtual dynamical system was proven and the response for two types of motion were shown in simulation and tested on a physical prototype.

The next stages of development of this actuator will involve the formal quantification of the motion amplification scheme as qualitative analysis with multiple human subjects wearing the device. Additionally, more ranges and types of motion will be tested such as small “holding” motions and following a trajectory. The actuator and controller will be integrated into a full prototype, along with a holistic grasp controller that uses the amplified motion to predict the grasping action the user is attempting [22]. The complete design will be made as light as possible to ensure portability and usability. Upon integration, the exoskeleton glove will be capable of full, start to finish grasp assistance.

## ACKNOWLEDGMENT

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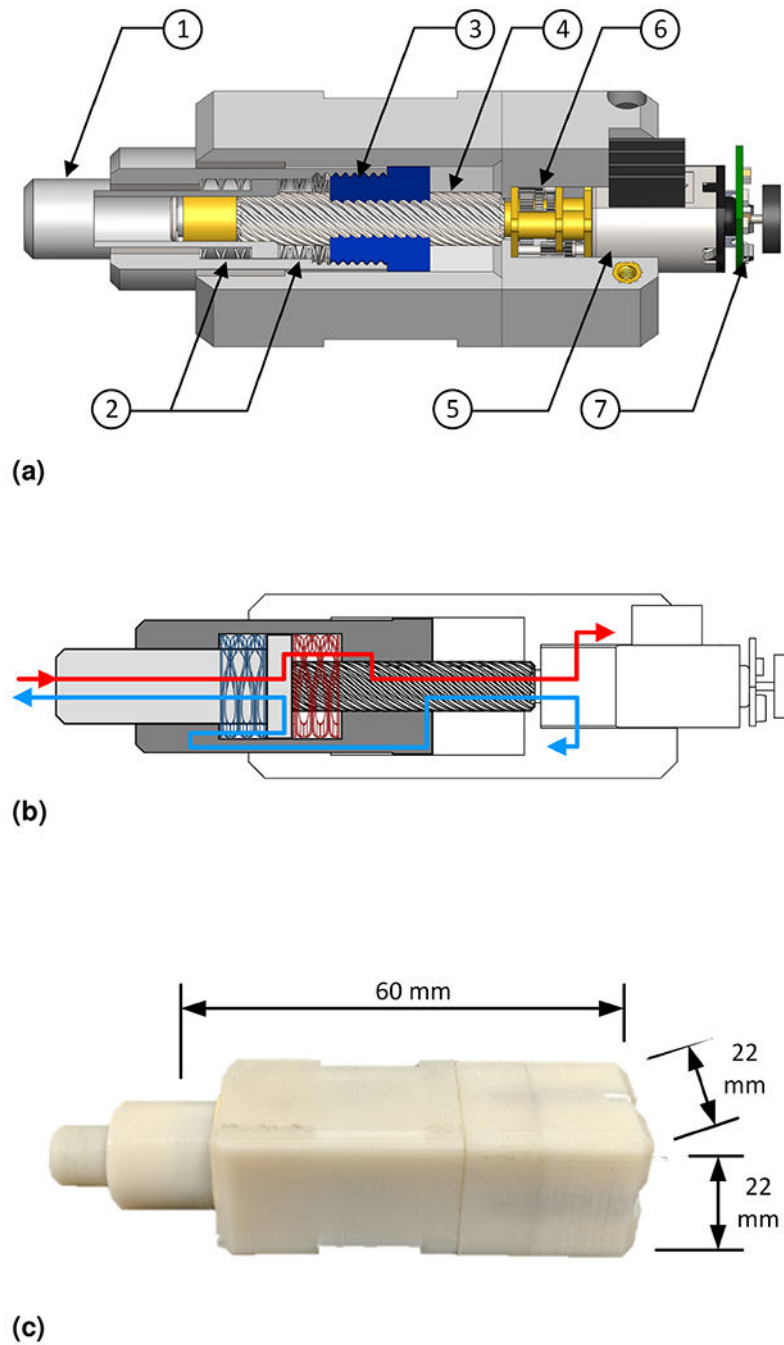
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**Figure 1:**

The SEA design overview.

(a) The section view of the SEA module showing the 1) output linkage, 2) compression springs, 3) lead nut, 4) lead screw, 5) motor, 6) gearbox, and 7) magnetic encoder. The two load paths are indicated by the dashed red lines.

(b) The load path for pulling (bright blue) and pushing (bright red) loads that are transmitted through the output linkage (light grey) to the springs (dark blue and red, respectively) to the lead nut assembly (dark grey) down the lead screw into the base.

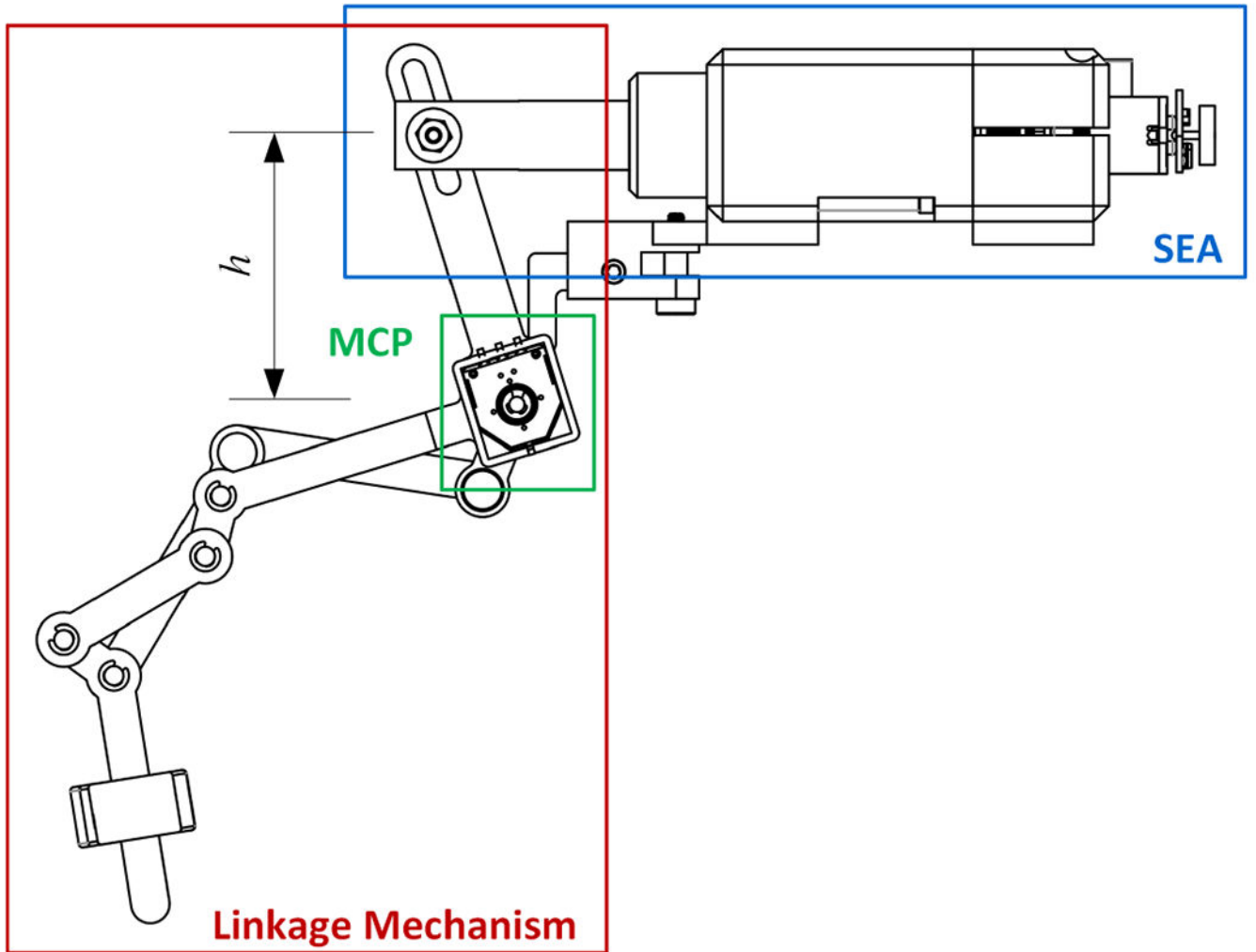
(c) The prototype of the SEA and its overall dimensions at its fully retracted position.

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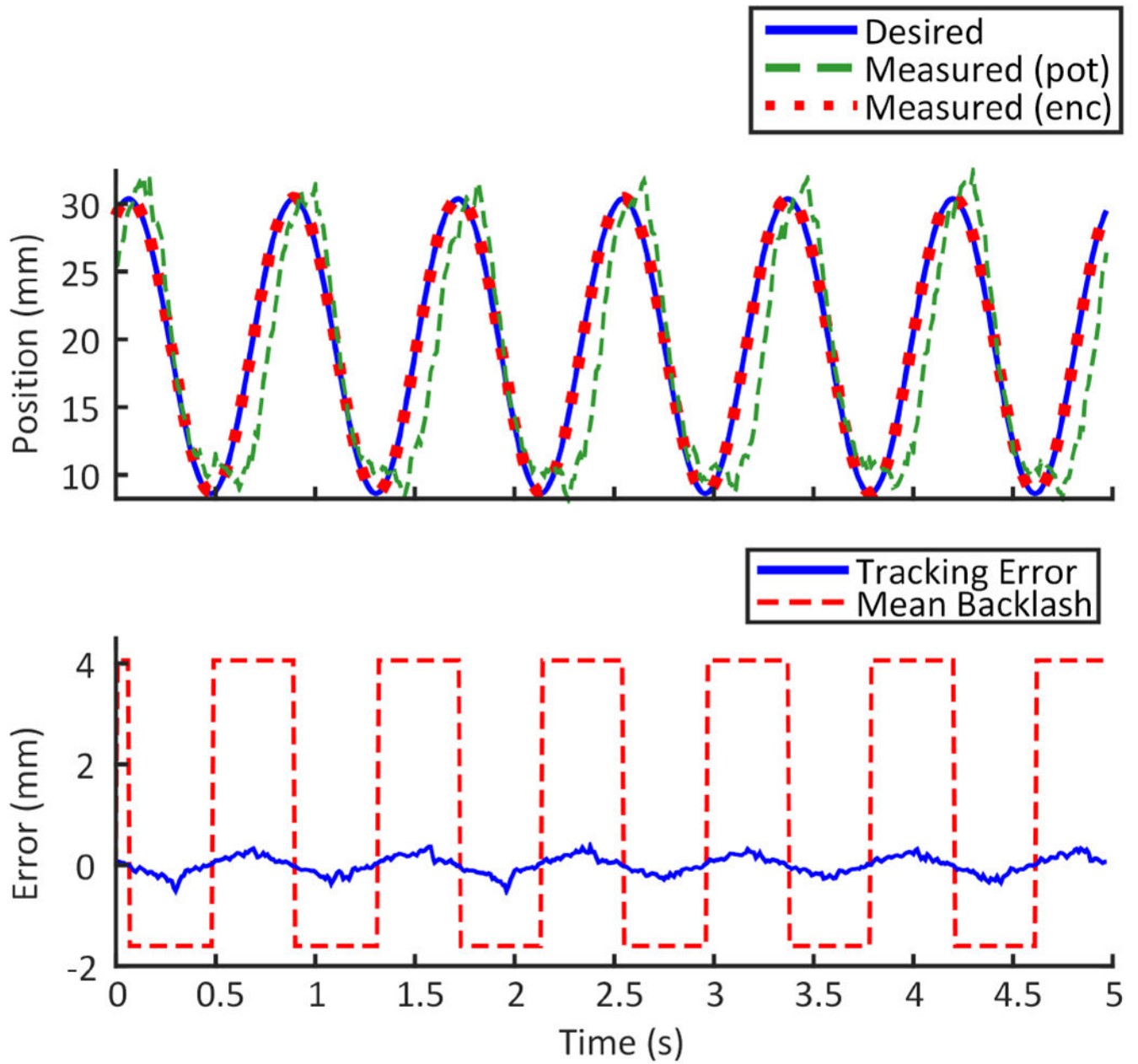
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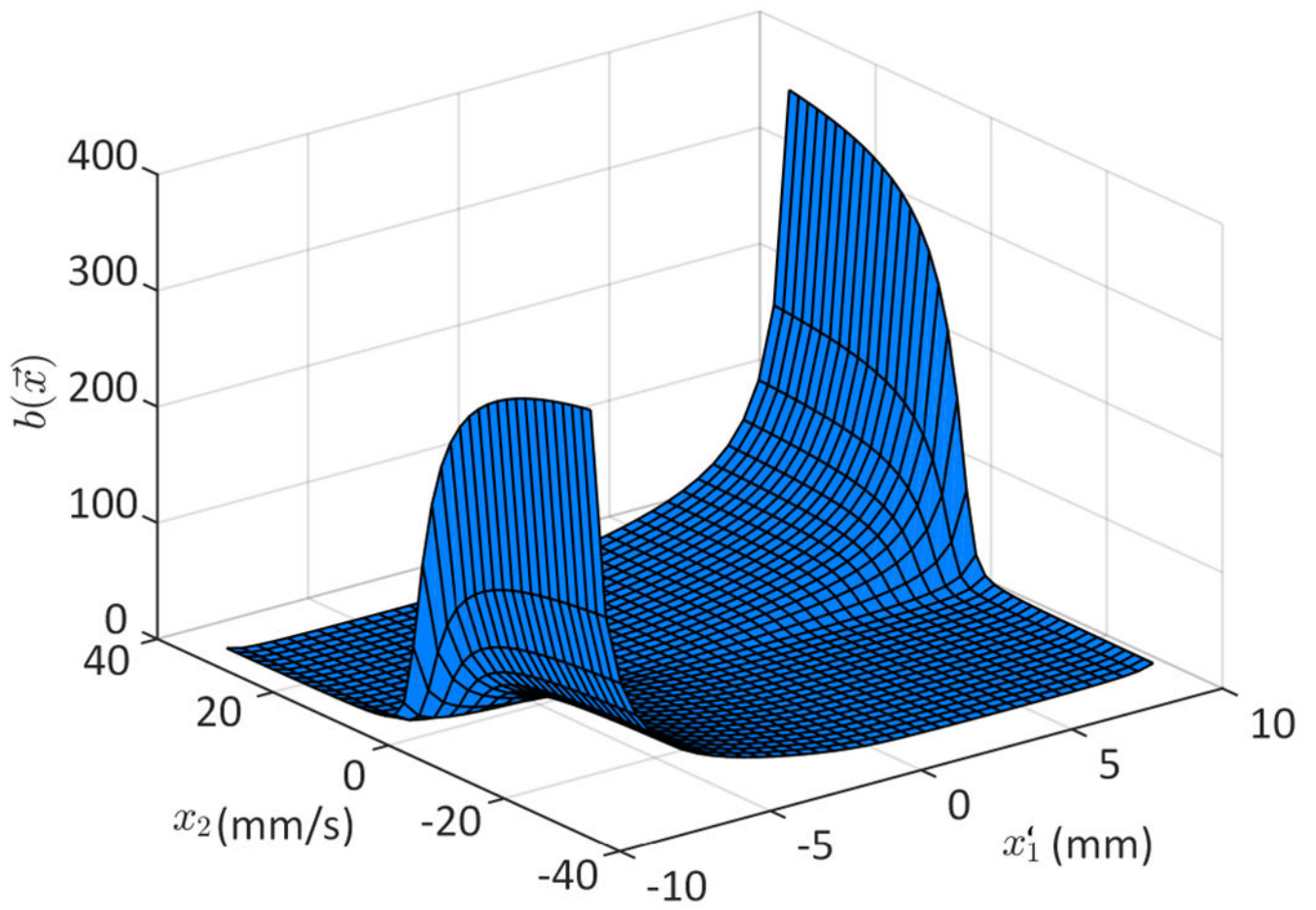


**Figure 2:**  
The layout of a single finger assembly with the actuator (blue) and linkage mechanism (red) with the potentiometer located at the MCP joint (green).

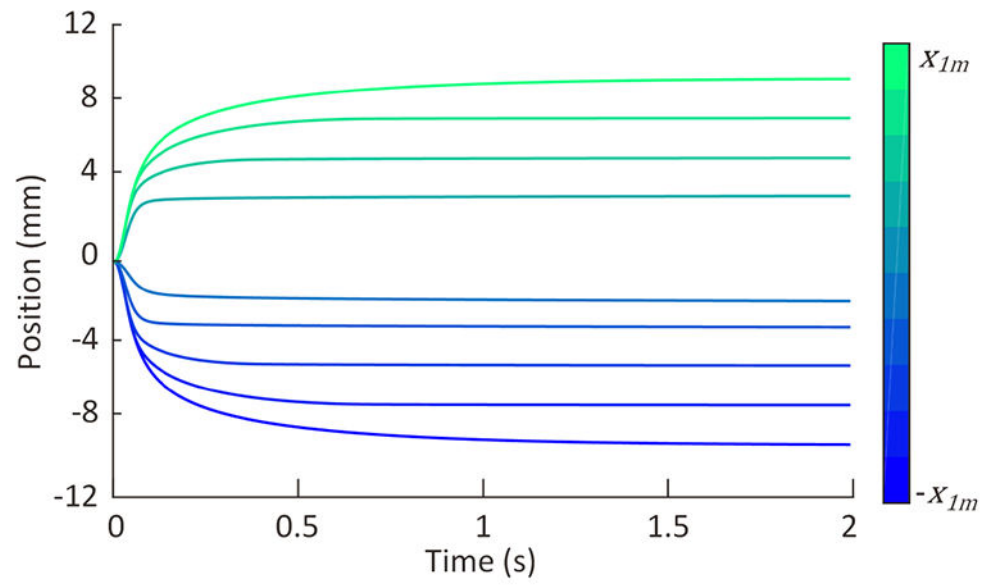


**Figure 3:**

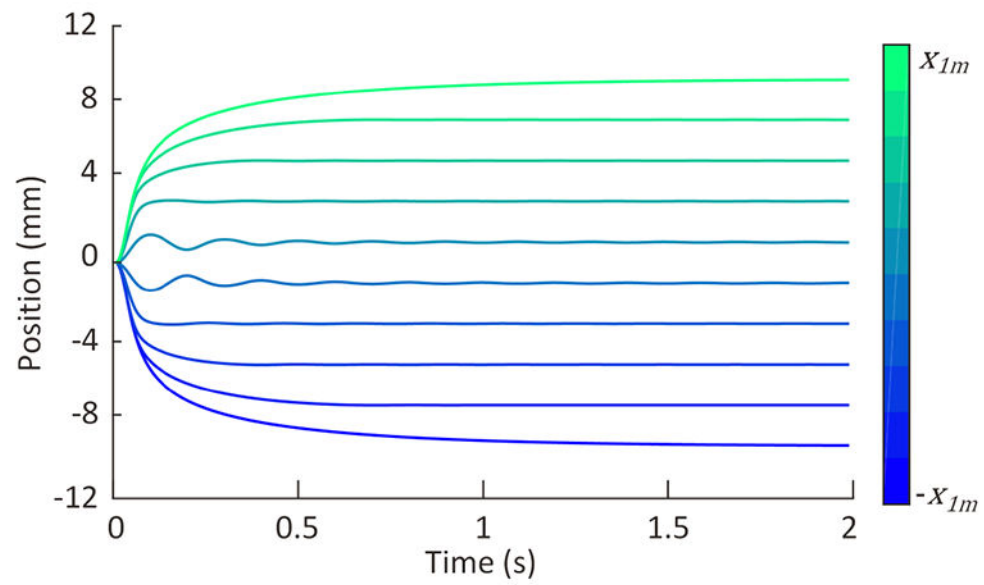
The tracking of the controller and the measured backlash in the system at the edge of the motor bandwidth.



**Figure 4:**  
The damping manifold for the operating region of the actuator.

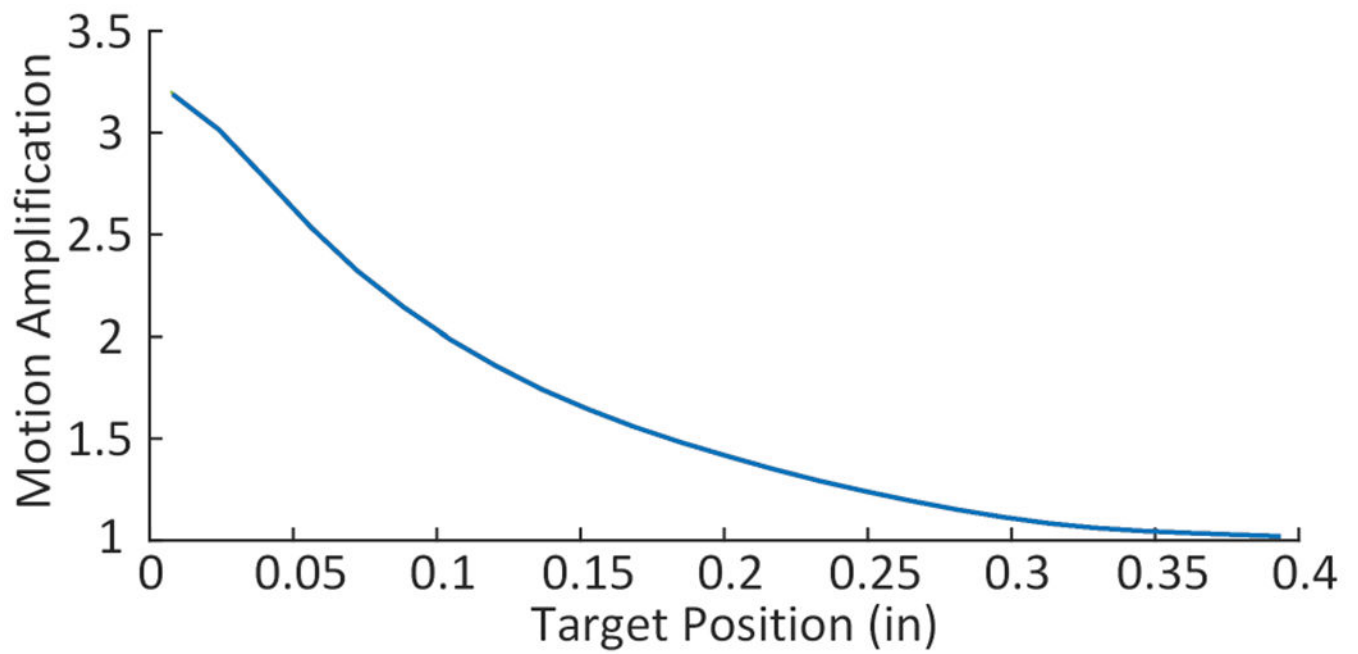


(a)



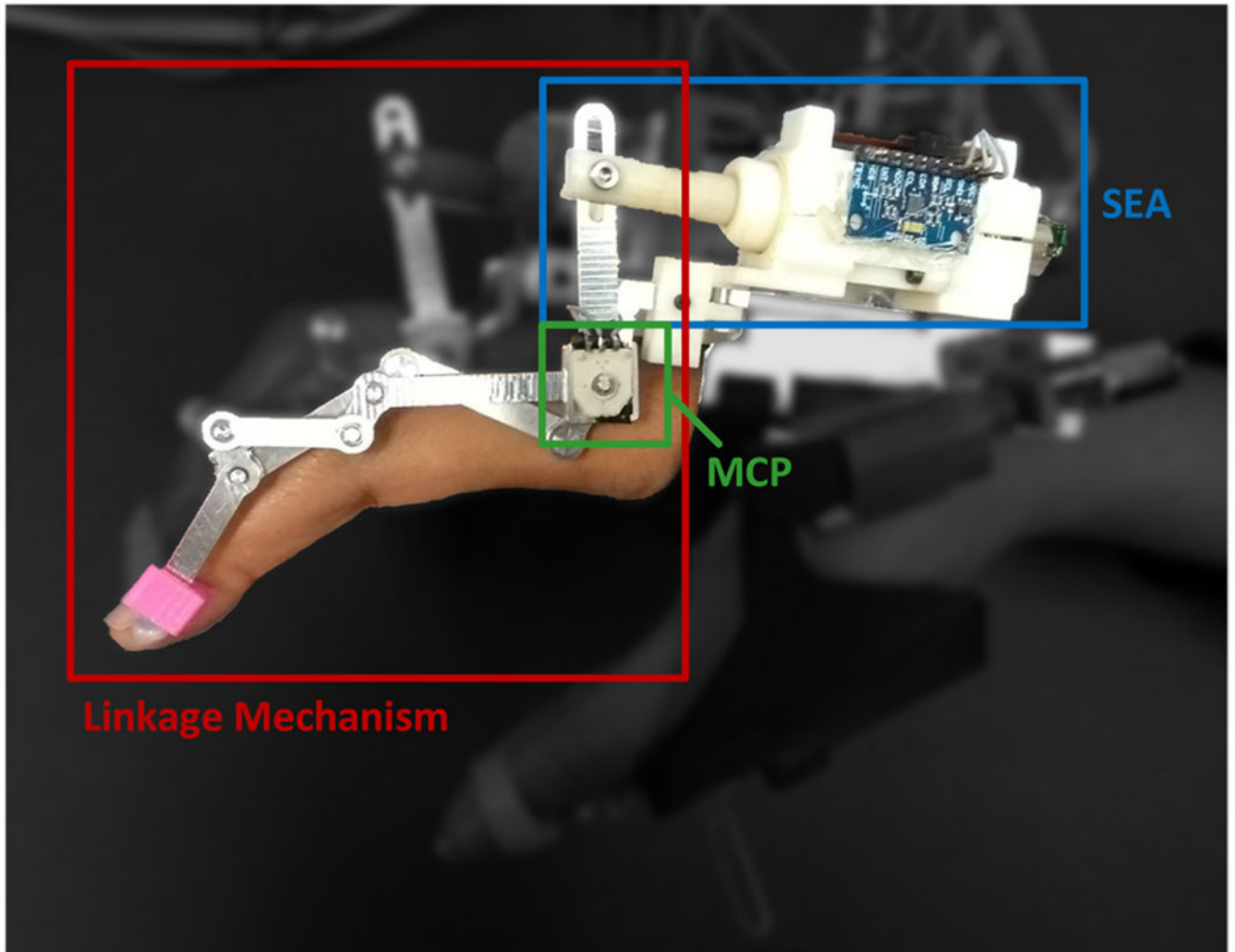
(b)

**Figure 5:**  
 The simulated responses for the two types of input.  
 (a) The drifting case response.  
 (b) The holding case response.



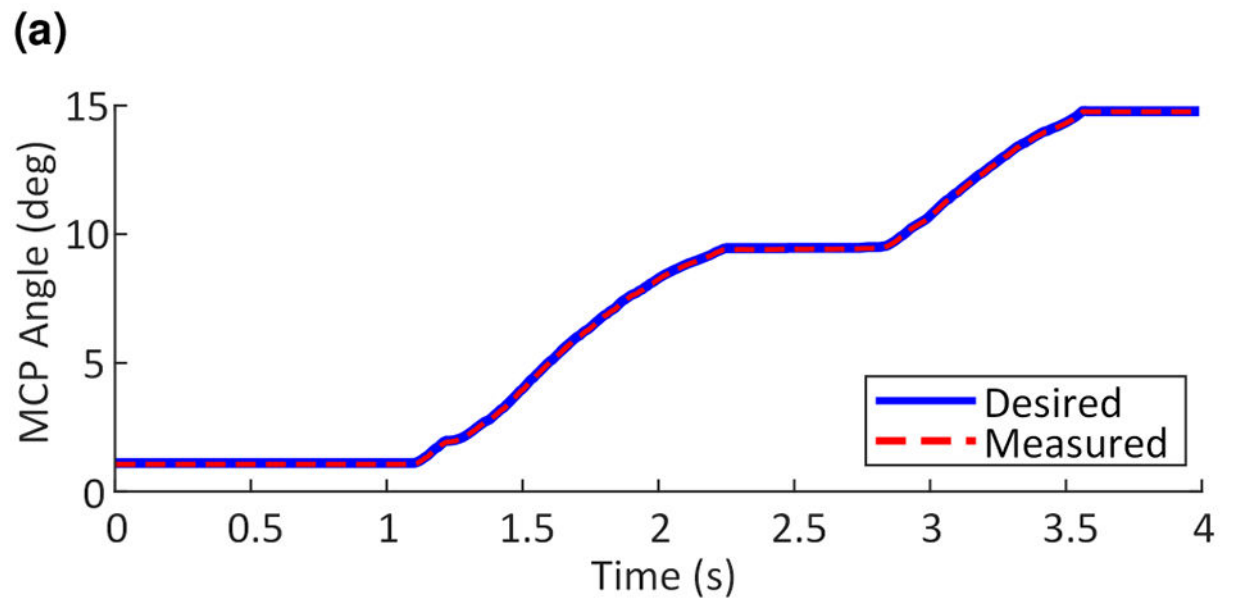
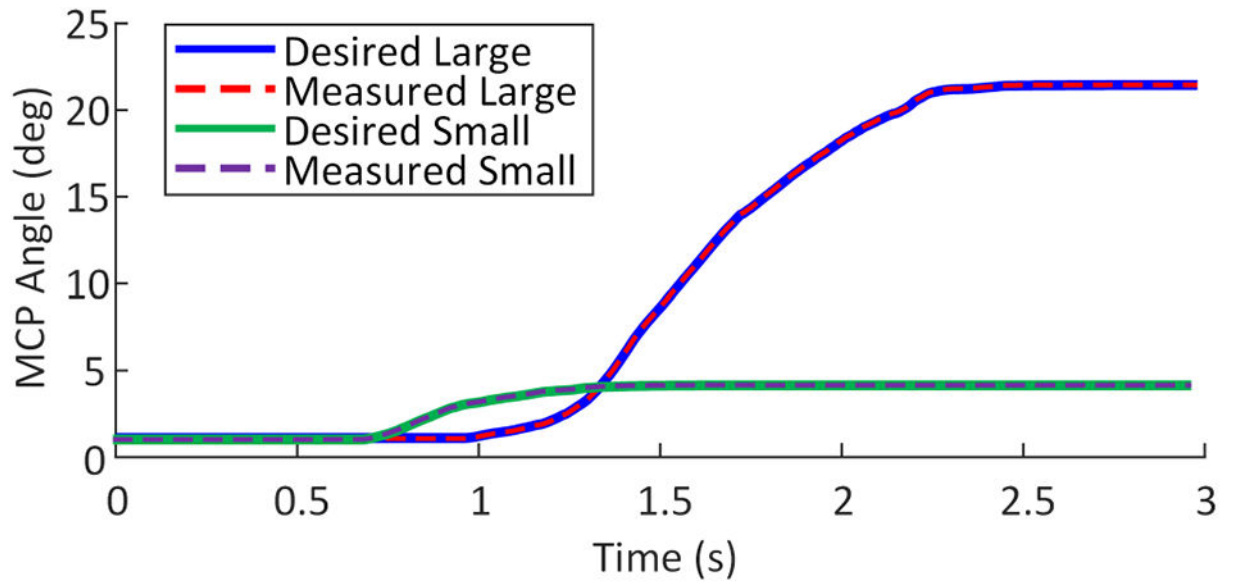
**Figure 6:**  
The increase in motion for the drifting case after two seconds.





**Figure 7:**

The prototype of the actuator and finger mechanism. The single digit assembly is shown in color with the actuator (blue), MCP joint sensor (green), and the linkage mechanism (red). The remainder of the prototype is not detailed as the focus of this work is on validating the single finger mechanism.



**(b)**

**Figure 8:**

The experimental results of the motion amplification controller.

(a) The drifting case with a large and small input motion.

(b) The holding case with two target positions.

**Table 1:**

The parameters for the SEA.

Parameter	Value
$T$	297 N-mm
$\varphi$	0.197 rad
$\mu_s$	0.2
$\lambda$	0.858 rad
$d_m$	5.5 mm
$l$	20 mm/rev
$\eta$	1:250 rev/rev
$h$	30 mm