

Principles of Program Analysis:

Data Flow Analysis

Transparencies based on Chapter 2 of the book: Flemming Nielson, Hanne Riis Nielson and Chris Hankin: [Principles of Program Analysis](#). Springer Verlag 2005. ©Flemming Nielson & Hanne Riis Nielson & Chris Hankin.

Example Language

Syntax of While-programs

$$a ::= x \mid n \mid a_1 \text{ op}_a a_2$$
$$b ::= \text{true} \mid \text{false} \mid \text{not } b \mid b_1 \text{ op}_b b_2 \mid a_1 \text{ op}_r a_2$$
$$S ::= [x := a]^\ell \mid [\text{skip}]^\ell \mid S_1; S_2 \mid \\ \text{if } [b]^\ell \text{ then } S_1 \text{ else } S_2 \mid \text{while } [b]^\ell \text{ do } S$$

Example: $[z:=1]^1; \text{while } [x>0]^2 \text{ do } ([z:=z*y]^3; [x:=x-1]^4)$

Abstract syntax – parentheses are inserted to disambiguate the syntax

Building an “Abstract Flowchart”

Example: $[z:=1]^1; \text{while } [x>0]^2 \text{ do } ([z:=z*y]^3; [x:=x-1]^4)$

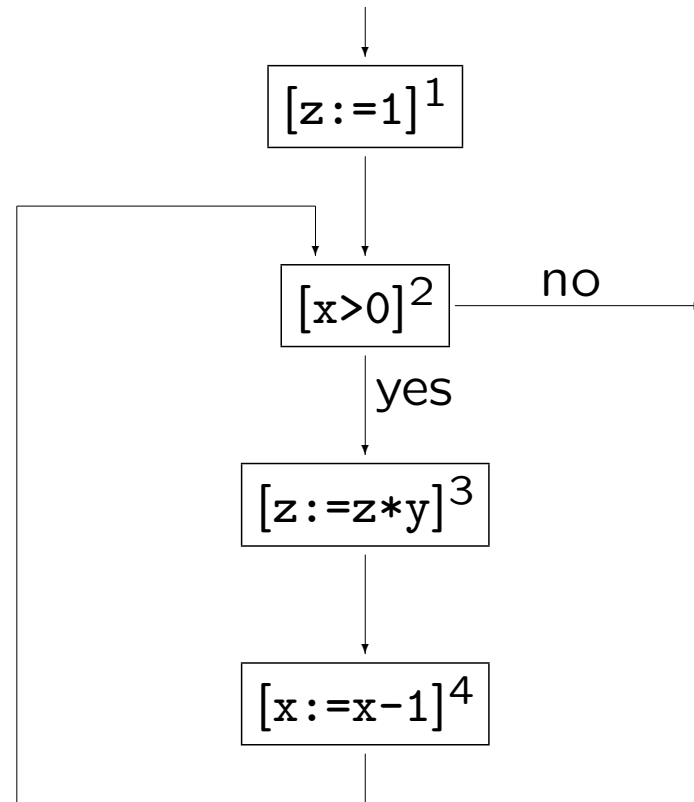
$\text{init}(\dots) = 1$

$\text{final}(\dots) = \{2\}$

$\text{labels}(\dots) = \{1, 2, 3, 4\}$

$\text{flow}(\dots) = \{(1, 2), (2, 3), (3, 4), (4, 2)\}$

$\text{flow}^R(\dots) = \{(2, 1), (2, 4), (3, 2), (4, 3)\}$



Initial labels

$init(S)$ is the label of the first elementary block of S :

$init : \text{Stmt} \rightarrow \text{Lab}$

$$init([x := a]^\ell) = \ell$$

$$init([\text{skip}]^\ell) = \ell$$

$$init(S_1; S_2) = init(S_1)$$

$$init(\text{if } [b]^\ell \text{ then } S_1 \text{ else } S_2) = \ell$$

$$init(\text{while } [b]^\ell \text{ do } S) = \ell$$

Example:

$$init([z:=1]^1; \text{while } [x>0]^2 \text{ do } ([z:=z*y]^3; [x:=x-1]^4)) = 1$$

Final labels

final(*S*) is the set of labels of the last elementary blocks of *S*:

$$\textit{final} : \text{Stmt} \rightarrow \mathcal{P}(\text{Lab})$$

$$\textit{final}([x := a]^\ell) = \{\ell\}$$

$$\textit{final}([\text{skip}]^\ell) = \{\ell\}$$

$$\textit{final}(S_1; S_2) = \textit{final}(S_2)$$

$$\textit{final}(\text{if } [b]^\ell \text{ then } S_1 \text{ else } S_2) = \textit{final}(S_1) \cup \textit{final}(S_2)$$

$$\textit{final}(\text{while } [b]^\ell \text{ do } S) = \{\ell\}$$

Example:

$$\textit{final}([z:=1]^1; \text{while } [x>0]^2 \text{ do } ([z:=z*y]^3; [x:=x-1]^4)) = \{2\}$$

Labels

$labels(S)$ is the entire set of labels in the statement S :

$$labels: \text{Stmt} \rightarrow \mathcal{P}(\text{Lab})$$

$$labels([x := a]^\ell) = \{\ell\}$$

$$labels([\text{skip}]^\ell) = \{\ell\}$$

$$labels(S_1; S_2) = labels(S_1) \cup labels(S_2)$$

$$labels(\text{if } [b]^\ell \text{ then } S_1 \text{ else } S_2) = \{\ell\} \cup labels(S_1) \cup labels(S_2)$$

$$labels(\text{while } [b]^\ell \text{ do } S) = \{\ell\} \cup labels(S)$$

Example

$$labels([z:=1]^1; \text{while } [x>0]^2 \text{ do } ([z:=z*y]^3; [x:=x-1]^4)) = \{1, 2, 3, 4\}$$

Flows and reverse flows

$flow(S)$ and $flow^R(S)$ are representations of how control flows in S :

$$flow, flow^R : \text{Stmt} \rightarrow \mathcal{P}(\text{Lab} \times \text{Lab})$$

$$flow([x := a]^\ell) = \emptyset$$

$$flow([\text{skip}]^\ell) = \emptyset$$

$$\begin{aligned} flow(S_1; S_2) &= flow(S_1) \cup flow(S_2) \\ &\quad \cup \{(\ell, \text{init}(S_2)) \mid \ell \in \text{final}(S_1)\} \end{aligned}$$

$$\begin{aligned} flow(\text{if } [b]^\ell \text{ then } S_1 \text{ else } S_2) &= flow(S_1) \cup flow(S_2) \\ &\quad \cup \{(\ell, \text{init}(S_1)), (\ell, \text{init}(S_2))\} \end{aligned}$$

$$\begin{aligned} flow(\text{while } [b]^\ell \text{ do } S) &= flow(S) \cup \{(\ell, \text{init}(S))\} \\ &\quad \cup \{(\ell', \ell) \mid \ell' \in \text{final}(S)\} \end{aligned}$$

$$flow^R(S) = \{(\ell, \ell') \mid (\ell', \ell) \in flow(S)\}$$

Elementary blocks

A statement consists of a set of *elementary blocks*

$$\text{blocks} : \text{Stmt} \rightarrow \mathcal{P}(\text{Blocks})$$

$$\text{blocks}([x := a]^\ell) = \{[x := a]^\ell\}$$

$$\text{blocks}([\text{skip}]^\ell) = \{[\text{skip}]^\ell\}$$

$$\text{blocks}(S_1; S_2) = \text{blocks}(S_1) \cup \text{blocks}(S_2)$$

$$\text{blocks}(\text{if } [b]^\ell \text{ then } S_1 \text{ else } S_2) = \{[b]^\ell\} \cup \text{blocks}(S_1) \cup \text{blocks}(S_2)$$

$$\text{blocks}(\text{while } [b]^\ell \text{ do } S) = \{[b]^\ell\} \cup \text{blocks}(S)$$

A statement S is *label consistent* if and only if any two elementary statements $[S_1]^\ell$ and $[S_2]^\ell$ with the same label in S are equal: $S_1 = S_2$

A statement *where all labels are unique* is automatically label consistent

Intraprocedural Analysis

Classical analyses:

- Available Expressions Analysis
- Reaching Definitions Analysis
- Very Busy Expressions Analysis
- Live Variables Analysis

Derived analysis:

- Use-Definition and Definition-Use Analysis

Available Expressions Analysis

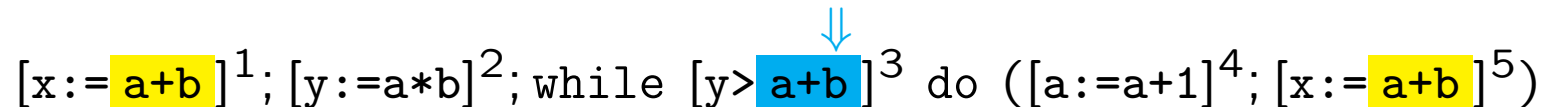
The aim of the *Available Expressions Analysis* is to determine

For each program point, which expressions must have already been computed, and not later modified, on all paths to the program point.

Example:

point of interest

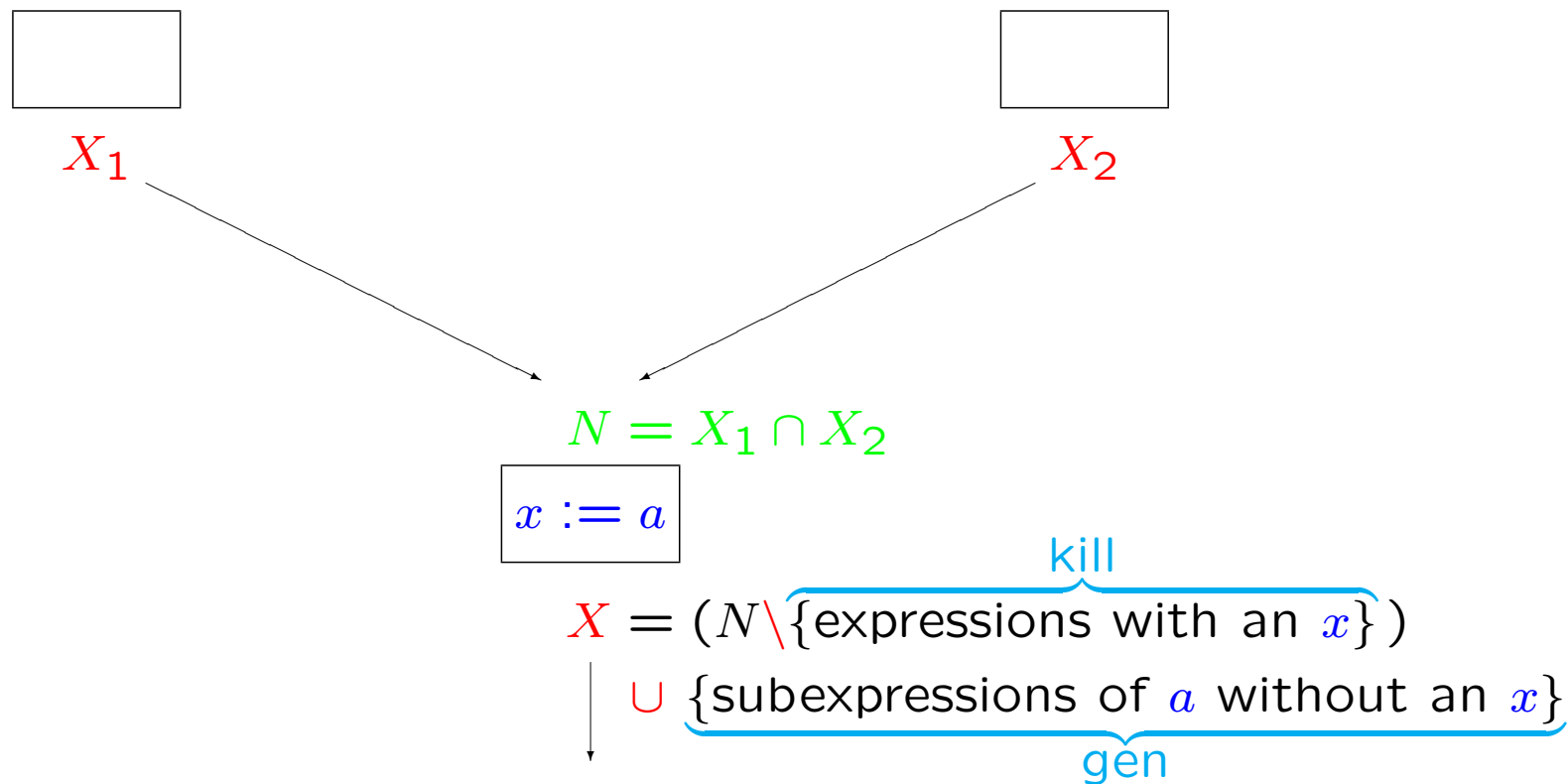
$[x := a+b]^1; [y := a*b]^2; \text{while } [y > a+b]^3 \text{ do } ([a := a+1]^4; [x := a+b]^5)$



The analysis enables a transformation into

$[x := a+b]^1; [y := a*b]^2; \text{while } [y > x]^3 \text{ do } ([a := a+1]^4; [x := a+b]^5)$

Available Expressions Analysis – the basic idea



Available Expressions Analysis

kill and *gen* functions

$$\begin{aligned} \text{kill}_{\text{AE}}([x := a]^\ell) &= \{a' \in \mathbf{AExp}_\star \mid x \in FV(a')\} \\ \text{kill}_{\text{AE}}([\text{skip}]^\ell) &= \emptyset \\ \text{kill}_{\text{AE}}([b]^\ell) &= \emptyset \\ \text{gen}_{\text{AE}}([x := a]^\ell) &= \{a' \in \mathbf{AExp}(a) \mid x \notin FV(a')\} \\ \text{gen}_{\text{AE}}([\text{skip}]^\ell) &= \emptyset \\ \text{gen}_{\text{AE}}([b]^\ell) &= \mathbf{AExp}(b) \end{aligned}$$

data flow equations: \mathbf{AE}^\equiv

$$\begin{aligned} \text{AE}_{\text{entry}}(\ell) &= \begin{cases} \emptyset & \text{if } \ell = \text{init}(S_\star) \\ \bigcap \{ \text{AE}_{\text{exit}}(\ell') \mid (\ell', \ell) \in \text{flow}(S_\star) \} & \text{otherwise} \end{cases} \\ \text{AE}_{\text{exit}}(\ell) &= (\text{AE}_{\text{entry}}(\ell) \setminus \text{kill}_{\text{AE}}(B^\ell)) \cup \text{gen}_{\text{AE}}(B^\ell) \\ &\text{where } B^\ell \in \text{blocks}(S_\star) \end{aligned}$$

Example:

$[x:=a+b]^1; [y:=a*b]^2; \text{while } [y>a+b]^3 \text{ do } ([a:=a+1]^4; [x:=a+b]^5)$

kill and *gen* functions:

ℓ	$kill_{AE}(\ell)$	$gen_{AE}(\ell)$
1	\emptyset	$\{a+b\}$
2	\emptyset	$\{a*b\}$
3	\emptyset	$\{a+b\}$
4	$\{a+b, a*b, a+1\}$	\emptyset
5	\emptyset	$\{a+b\}$

Example (cont.):

$[x:=a+b]^1; [y:=a*b]^2; \text{while } [y>a+b]^3 \text{ do } ([a:=a+1]^4; [x:=a+b]^5)$

Equations:

$$AE_{entry}(1) = \emptyset$$

$$AE_{entry}(2) = AE_{exit}(1)$$

$$AE_{entry}(3) = AE_{exit}(2) \cap AE_{exit}(5)$$

$$AE_{entry}(4) = AE_{exit}(3)$$

$$AE_{entry}(5) = AE_{exit}(4)$$

$$AE_{exit}(1) = AE_{entry}(1) \cup \{a+b\}$$

$$AE_{exit}(2) = AE_{entry}(2) \cup \{a*b\}$$

$$AE_{exit}(3) = AE_{entry}(3) \cup \{a+b\}$$

$$AE_{exit}(4) = AE_{entry}(4) \setminus \{a+b, a*b, a+1\}$$

$$AE_{exit}(5) = AE_{entry}(5) \cup \{a+b\}$$

Example (cont.):

$[x:=a+b]^1; [y:=a*b]^2; \text{while } [y > \text{a+b}]^3 \text{ do } ([a:=a+1]^4; [x:=a+b]^5)$

Largest solution:

ℓ	$AE_{entry}(\ell)$	$AE_{exit}(\ell)$
1	\emptyset	$\{a+b\}$
2	$\{a+b\}$	$\{a+b, a*b\}$
3	$\{a+b\}$	$\{a+b\}$
4	$\{a+b\}$	\emptyset
5	\emptyset	$\{a+b\}$

Why largest solution?

$[z:=x+y]^\ell; \text{while } [\text{true}]^{\ell'} \text{ do } [\text{skip}]^{\ell''}$

Equations:

$$AE_{\text{entry}}(\ell) = \emptyset$$

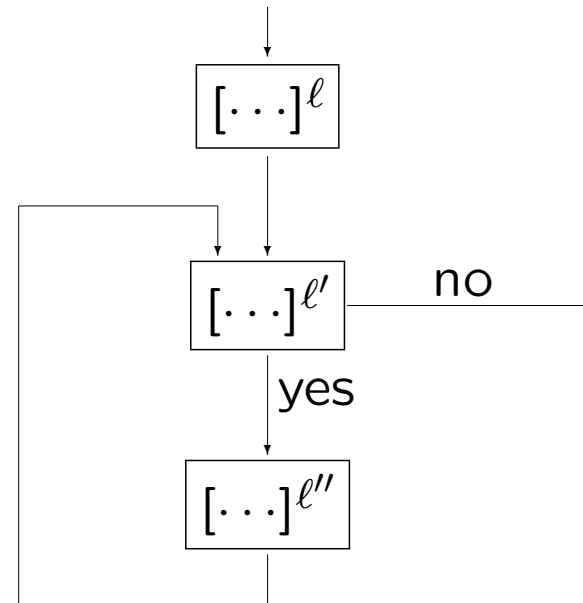
$$AE_{\text{entry}}(\ell') = AE_{\text{exit}}(\ell) \cap AE_{\text{exit}}(\ell'')$$

$$AE_{\text{entry}}(\ell'') = AE_{\text{exit}}(\ell')$$

$$AE_{\text{exit}}(\ell) = AE_{\text{entry}}(\ell) \cup \{x+y\}$$

$$AE_{\text{exit}}(\ell') = AE_{\text{entry}}(\ell')$$

$$AE_{\text{exit}}(\ell'') = AE_{\text{entry}}(\ell'')$$



After some simplification: $AE_{\text{entry}}(\ell') = \{x+y\} \cap AE_{\text{entry}}(\ell')$

Two solutions to this equation: $\{x+y\}$ and \emptyset

Reaching Definitions Analysis


The aim of the *Reaching Definitions Analysis* is to determine

For each program point, which assignments may have been made and not overwritten, when program execution reaches this point along some path.

Example:

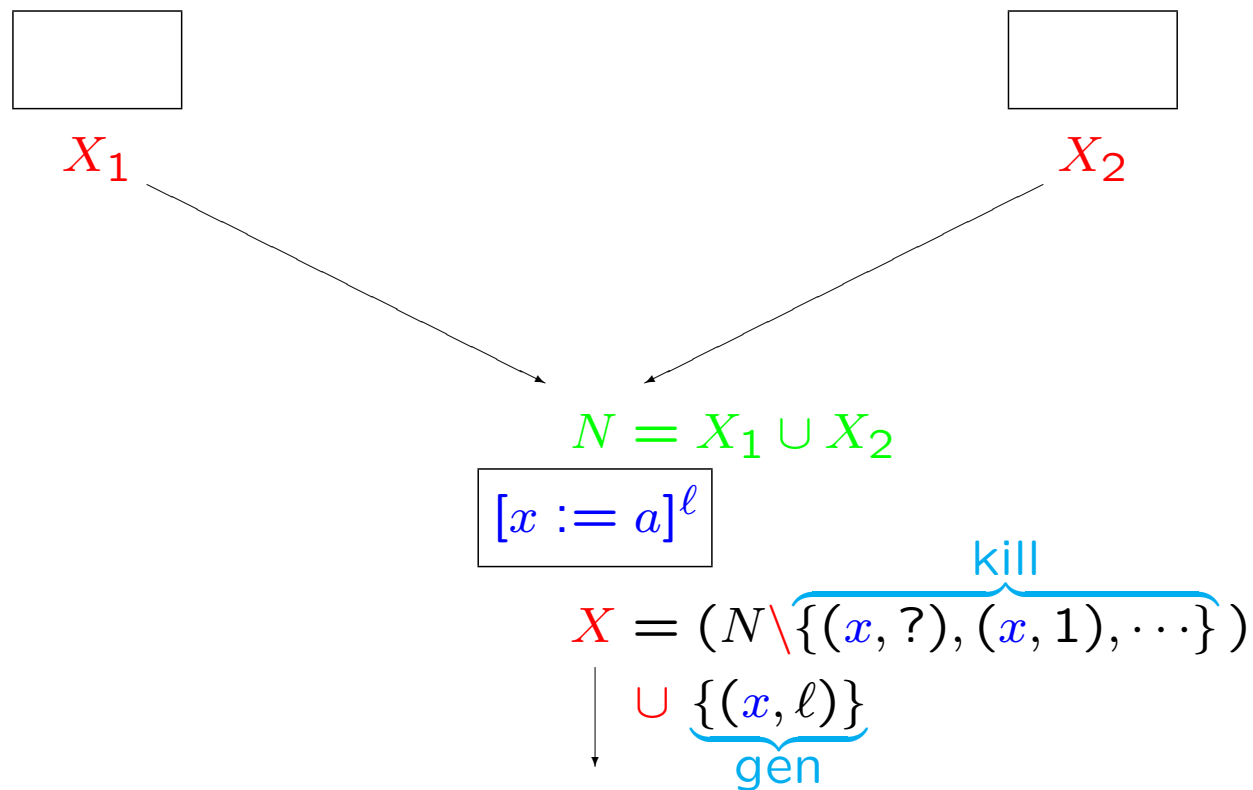
point of interest

$[x:=5]^1; [y:=1]^2; \text{while } [x>1]^3 \text{ do } ([y:=x*y]^4; [x:=x-1]^5)$



useful for definition-use chains and use-definition chains

Reaching Definitions Analysis – the basic idea



Reaching Definitions Analysis

kill and *gen* functions

$$\begin{aligned} \textit{kill}_{\text{RD}}([x := a]^\ell) &= \{(x, ?)\} \\ &\quad \cup \{(x, \ell') \mid B^{\ell'} \text{ is an assignment to } x \text{ in } S_\star\} \end{aligned}$$

$$\textit{kill}_{\text{RD}}([\text{skip}]^\ell) = \emptyset$$

$$\textit{kill}_{\text{RD}}([b]^\ell) = \emptyset$$

$$\textit{gen}_{\text{RD}}([x := a]^\ell) = \{(x, \ell)\}$$

$$\textit{gen}_{\text{RD}}([\text{skip}]^\ell) = \emptyset$$

$$\textit{gen}_{\text{RD}}([b]^\ell) = \emptyset$$

data flow equations: $\text{RD}^=$

$$\text{RD}_{\text{entry}}(\ell) = \begin{cases} \{(x, ?) \mid x \in \text{FV}(S_\star)\} & \text{if } \ell = \textit{init}(S_\star) \\ \cup \{\text{RD}_{\text{exit}}(\ell') \mid (\ell', \ell) \in \textit{flow}(S_\star)\} & \text{otherwise} \end{cases}$$

$$\begin{aligned} \text{RD}_{\text{exit}}(\ell) &= (\text{RD}_{\text{entry}}(\ell) \setminus \textit{kill}_{\text{RD}}(B^\ell)) \cup \textit{gen}_{\text{RD}}(B^\ell) \\ &\text{where } B^\ell \in \textit{blocks}(S_\star) \end{aligned}$$

Example:

$[x:=5]^1; [y:=1]^2; \text{while } [x>1]^3 \text{ do } ([y:=x*y]^4; [x:=x-1]^5)$

kill and *gen* functions:

ℓ	$kill_{RD}(\ell)$	$gen_{RD}(\ell)$
1	$\{(x, ?), (x, 1), (x, 5)\}$	$\{(x, 1)\}$
2	$\{(y, ?), (y, 2), (y, 4)\}$	$\{(y, 2)\}$
3	\emptyset	\emptyset
4	$\{(y, ?), (y, 2), (y, 4)\}$	$\{(y, 4)\}$
5	$\{(x, ?), (x, 1), (x, 5)\}$	$\{(x, 5)\}$

Example (cont.):

$[x:=5]^1; [y:=1]^2; \text{while } [x>1]^3 \text{ do } ([y:=x*y]^4; [x:=x-1]^5)$

Equations:

$$RD_{entry}(1) = \{(x, ?), (y, ?)\}$$

$$RD_{entry}(2) = RD_{exit}(1)$$

$$RD_{entry}(3) = RD_{exit}(2) \cup RD_{exit}(5)$$

$$RD_{entry}(4) = RD_{exit}(3)$$

$$RD_{entry}(5) = RD_{exit}(4)$$

$$RD_{exit}(1) = (RD_{entry}(1) \setminus \{(x, ?), (x, 1), (x, 5)\}) \cup \{(x, 1)\}$$

$$RD_{exit}(2) = (RD_{entry}(2) \setminus \{(y, ?), (y, 2), (y, 4)\}) \cup \{(y, 2)\}$$

$$RD_{exit}(3) = RD_{entry}(3)$$

$$RD_{exit}(4) = (RD_{entry}(4) \setminus \{(y, ?), (y, 2), (y, 4)\}) \cup \{(y, 4)\}$$

$$RD_{exit}(5) = (RD_{entry}(5) \setminus \{(x, ?), (x, 1), (x, 5)\}) \cup \{(x, 5)\}$$

Example (cont.):

$[x:=5]^1; [y:=1]^2; \text{while } [x>1]^3 \text{ do } ([y:=x*y]^4; [x:=x-1]^5)$

Smallest solution:

ℓ	$RD_{entry}(\ell)$	$RD_{exit}(\ell)$
1	$\{(x, ?), (y, ?)\}$	$\{(y, ?), (x, 1)\}$
2	$\{(y, ?), (x, 1)\}$	$\{(x, 1), (y, 2)\}$
3	$\{(x, 1), (y, 2), (y, 4), (x, 5)\}$	$\{(x, 1), (y, 2), (y, 4), (x, 5)\}$
4	$\{(x, 1), (y, 2), (y, 4), (x, 5)\}$	$\{(x, 1), (y, 4), (x, 5)\}$
5	$\{(x, 1), (y, 4), (x, 5)\}$	$\{(y, 4), (x, 5)\}$

Why smallest solution?

$[z:=x+y]^\ell; \text{while } [\text{true}]^{\ell'} \text{ do } [\text{skip}]^{\ell''}$

Equations:

$$RD_{entry}(\ell) = \{(x, ?), (y, ?), (z, ?)\}$$

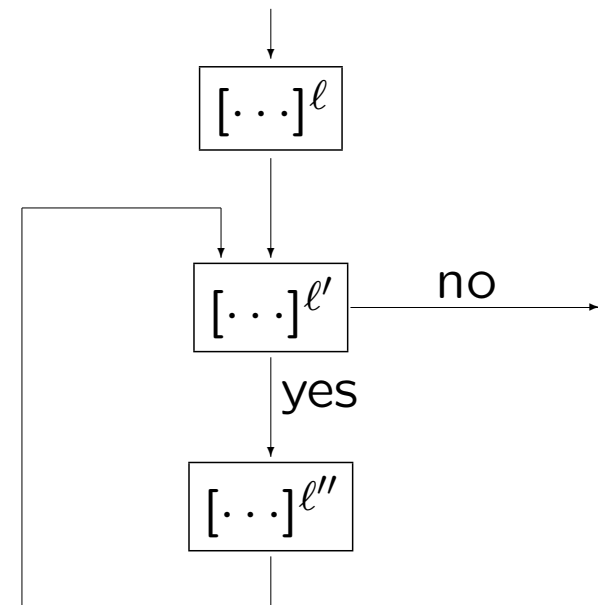
$$RD_{entry}(\ell') = RD_{exit}(\ell) \cup RD_{exit}(\ell'')$$

$$RD_{entry}(\ell'') = RD_{exit}(\ell')$$

$$RD_{exit}(\ell) = (RD_{entry}(\ell) \setminus \{(z, ?)\}) \cup \{(z, \ell)\}$$

$$RD_{exit}(\ell') = RD_{entry}(\ell')$$

$$RD_{exit}(\ell'') = RD_{entry}(\ell'')$$



After some simplification: $RD_{entry}(\ell') = \{(x, ?), (y, ?), (z, \ell)\} \cup RD_{entry}(\ell')$

Many solutions to this equation: any superset of $\{(x, ?), (y, ?), (z, \ell)\}$

Very Busy Expressions Analysis

An expression is *very busy* at the exit from a label if, no matter what path is taken from the label, the expression is always used before any of the variables occurring in it are redefined.

The aim of the *Very Busy Expressions Analysis* is to determine

For each program point, which expressions must be very busy at the exit from the point.

Example:

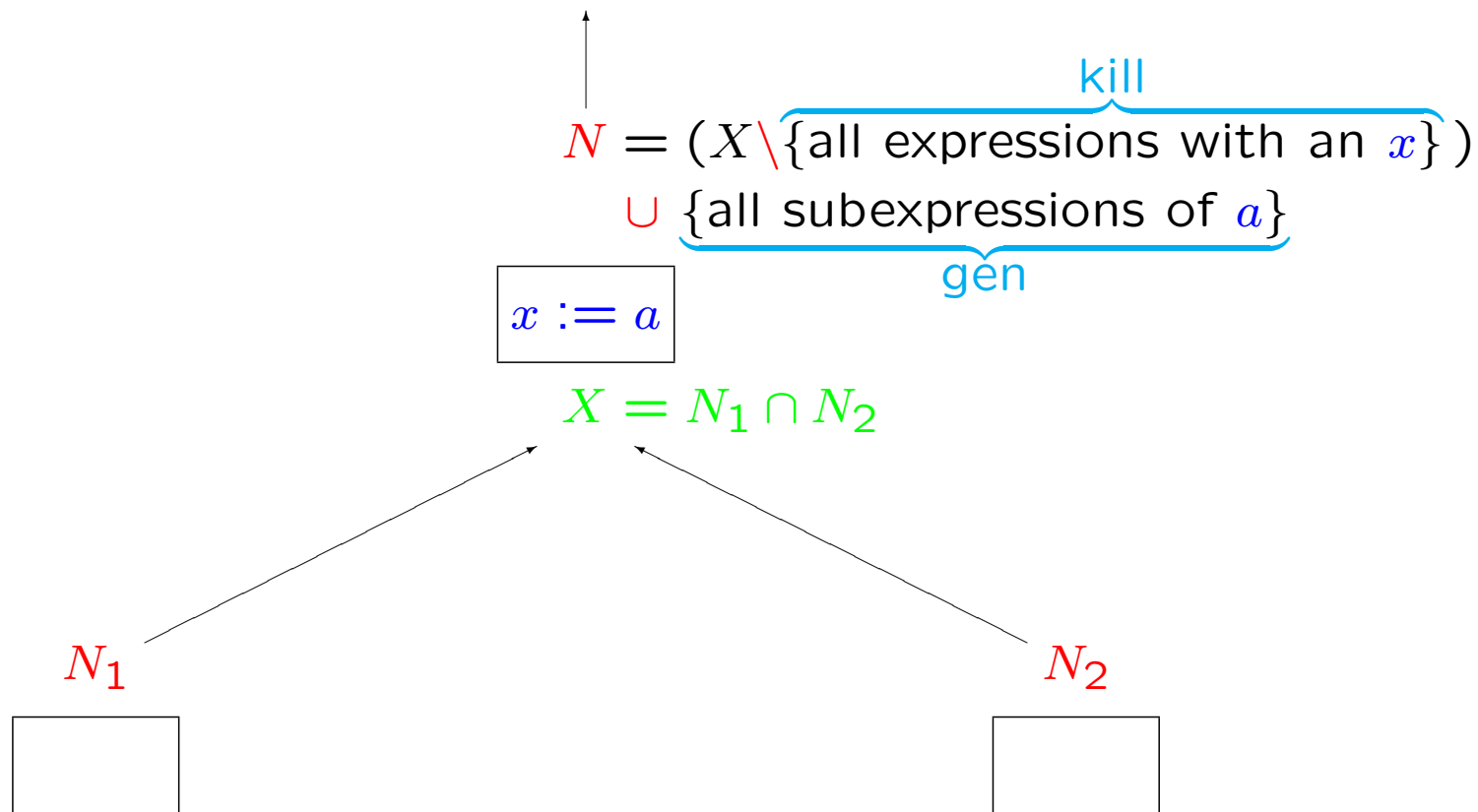
point of interest

↓ if $[a > b]^1$ then $([x := b - a]^2; [y := a - b]^3)$ else $([y := b - a]^4; [x := a - b]^5)$

The analysis enables a transformation into

$[t1 := b - a]^A; [t2 := a - b]^B;$
if $[a > b]^1$ then $([x := t1]^2; [y := t2]^3)$ else $([y := t1]^4; [x := t2]^5)$

Very Busy Expressions Analysis – the basic idea



Very Busy Expressions Analysis

kill and *gen* functions

$$\textit{kill}_{\text{VB}}([x := a]^\ell) = \{a' \in \mathbf{AExp}_\star \mid x \in \text{FV}(a')\}$$

$$\textit{kill}_{\text{VB}}([\text{skip}]^\ell) = \emptyset$$

$$\textit{kill}_{\text{VB}}([b]^\ell) = \emptyset$$

$$\textit{gen}_{\text{VB}}([x := a]^\ell) = \mathbf{AExp}(a)$$

$$\textit{gen}_{\text{VB}}([\text{skip}]^\ell) = \emptyset$$

$$\textit{gen}_{\text{VB}}([b]^\ell) = \mathbf{AExp}(b)$$

data flow equations: $\text{VB}^=$

$$\text{VB}_{\text{exit}}(\ell) = \begin{cases} \emptyset & \text{if } \ell \in \text{final}(S_\star) \\ \bigcap \{\text{VB}_{\text{entry}}(\ell') \mid (\ell', \ell) \in \text{flow}^R(S_\star)\} & \text{otherwise} \end{cases}$$

$$\text{VB}_{\text{entry}}(\ell) = (\text{VB}_{\text{exit}}(\ell) \setminus \textit{kill}_{\text{VB}}(B^\ell)) \cup \textit{gen}_{\text{VB}}(B^\ell)$$

where $B^\ell \in \text{blocks}(S_\star)$

Example:

if $[a > b]^1$ then $([x := b - a]^2; [y := a - b]^3)$ else $([y := b - a]^4; [x := a - b]^5)$

kill and *gen* function:

ℓ	$kill_{VB}(\ell)$	$gen_{VB}(\ell)$
1	\emptyset	\emptyset
2	\emptyset	$\{b - a\}$
3	\emptyset	$\{a - b\}$
4	\emptyset	$\{b - a\}$
5	\emptyset	$\{a - b\}$

Example (cont.):

if $[a > b]^1$ then $([x := b - a]^2; [y := a - b]^3)$ else $([y := b - a]^4; [x := a - b]^5)$

Equations:

$$VB_{entry}(1) = VB_{exit}(1)$$

$$VB_{entry}(2) = VB_{exit}(2) \cup \{b - a\}$$

$$VB_{entry}(3) = \{a - b\}$$

$$VB_{entry}(4) = VB_{exit}(4) \cup \{b - a\}$$

$$VB_{entry}(5) = \{a - b\}$$

$$VB_{exit}(1) = VB_{entry}(2) \cap VB_{entry}(4)$$

$$VB_{exit}(2) = VB_{entry}(3)$$

$$VB_{exit}(3) = \emptyset$$

$$VB_{exit}(4) = VB_{entry}(5)$$

$$VB_{exit}(5) = \emptyset$$

Example (cont.):

if $[a > b]^1$ then $([x := b - a]^2; [y := a - b]^3)$ else $([y := b - a]^4; [x := a - b]^5)$

Largest solution:

ℓ	$VB_{entry}(\ell)$	$VB_{exit}(\ell)$
1	$\{a - b, b - a\}$	$\{a - b, b - a\}$
2	$\{a - b, b - a\}$	$\{a - b\}$
3	$\{a - b\}$	\emptyset
4	$\{a - b, b - a\}$	$\{a - b\}$
5	$\{a - b\}$	\emptyset

Why largest solution?

$(\text{while } [x > 1]^\ell \text{ do } [\text{skip}]^{\ell'}); [x := x + 1]^{\ell''}$

Equations:

$$VB_{\text{entry}}(\ell) = VB_{\text{exit}}(\ell)$$

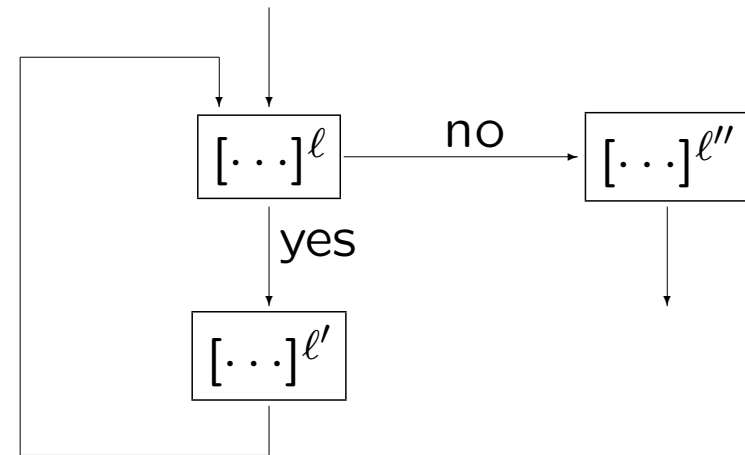
$$VB_{\text{entry}}(\ell') = VB_{\text{exit}}(\ell')$$

$$VB_{\text{entry}}(\ell'') = \{x+1\}$$

$$VB_{\text{exit}}(\ell) = VB_{\text{entry}}(\ell') \cap VB_{\text{entry}}(\ell'')$$

$$VB_{\text{exit}}(\ell') = VB_{\text{entry}}(\ell)$$

$$VB_{\text{exit}}(\ell'') = \emptyset$$



After some simplifications: $VB_{\text{exit}}(\ell) = VB_{\text{exit}}(\ell) \cap \{x+1\}$

Two solutions to this equation: $\{x+1\}$ and \emptyset

Live Variables Analysis

A variable is *live* at the exit from a label if there is a path from the label to a use of the variable that does not re-define the variable.

The aim of the *Live Variables Analysis* is to determine

For each program point, which variables may be live at the exit from the point.

Example:

point of interest

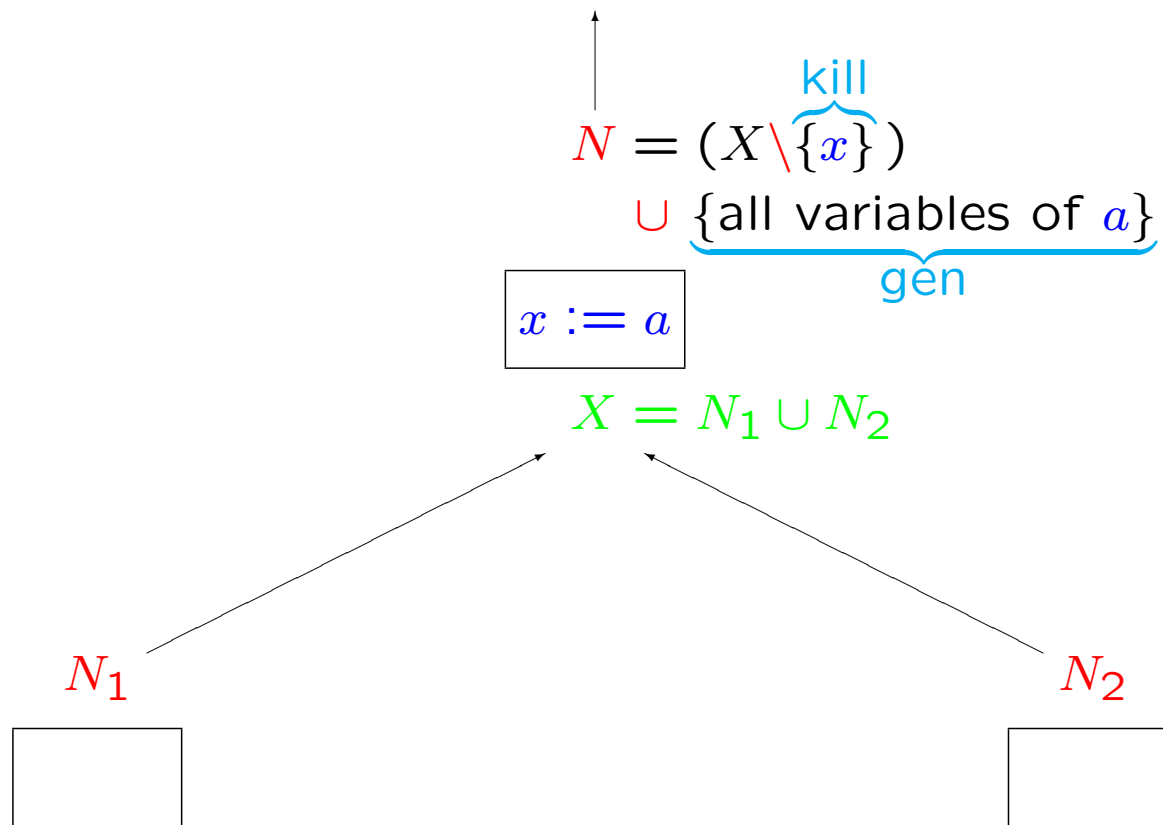


$[x := 2]^1; [y := 4]^2; [x := 1]^3; (\text{if } [y > x]^4 \text{ then } [z := y]^5 \text{ else } [z := y * y]^6); [x := z]^7$

The analysis enables a transformation into

$[y := 4]^2; [x := 1]^3; (\text{if } [y > x]^4 \text{ then } [z := y]^5 \text{ else } [z := y * y]^6); [x := z]^7$

Live Variables Analysis – the basic idea



Live Variables Analysis

kill and *gen* functions

$$\textit{kill}_{LV}([x := a]^\ell) = \{x\}$$

$$\textit{kill}_{LV}([\text{skip}]^\ell) = \emptyset$$

$$\textit{kill}_{LV}([b]^\ell) = \emptyset$$

$$\textit{gen}_{LV}([x := a]^\ell) = FV(a)$$

$$\textit{gen}_{LV}([\text{skip}]^\ell) = \emptyset$$

$$\textit{gen}_{LV}([b]^\ell) = FV(b)$$

data flow equations: LV^\equiv

$$LV_{exit}(\ell) = \begin{cases} \emptyset & \text{if } \ell \in \textit{final}(S_\star) \\ \bigcup \{LV_{entry}(\ell') \mid (\ell', \ell) \in \textit{flow}^R(S_\star)\} & \text{otherwise} \end{cases}$$

$$LV_{entry}(\ell) = (LV_{exit}(\ell) \setminus \textit{kill}_{LV}(B^\ell)) \cup \textit{gen}_{LV}(B^\ell)$$

where $B^\ell \in \textit{blocks}(S_\star)$

Example:

$[x:=2]^1; [y:=4]^2; [x:=1]^3; (\text{if } [y>x]^4 \text{ then } [z:=y]^5 \text{ else } [z:=y*y]^6); [x:=z]^7$

kill and *gen* functions:

ℓ	$kill_{LV}(\ell)$	$gen_{LV}(\ell)$
1	$\{x\}$	\emptyset
2	$\{y\}$	\emptyset
3	$\{x\}$	\emptyset
4	\emptyset	$\{x, y\}$
5	$\{z\}$	$\{y\}$
6	$\{z\}$	$\{y\}$
7	$\{x\}$	$\{z\}$

Example (cont.):

$[x:=2]^1; [y:=4]^2; [x:=1]^3; (\text{if } [y>x]^4 \text{ then } [z:=y]^5 \text{ else } [z:=y*y]^6); [x:=z]^7$

Equations:

$LV_{entry}(1) = LV_{exit}(1) \setminus \{x\}$	$LV_{exit}(1) = LV_{entry}(2)$
$LV_{entry}(2) = LV_{exit}(2) \setminus \{y\}$	$LV_{exit}(2) = LV_{entry}(3)$
$LV_{entry}(3) = LV_{exit}(3) \setminus \{x\}$	$LV_{exit}(3) = LV_{entry}(4)$
$LV_{entry}(4) = LV_{exit}(4) \cup \{x, y\}$	$LV_{exit}(4) = LV_{entry}(5) \cup LV_{entry}(6)$
$LV_{entry}(5) = (LV_{exit}(5) \setminus \{z\}) \cup \{y\}$	$LV_{exit}(5) = LV_{entry}(7)$
$LV_{entry}(6) = (LV_{exit}(6) \setminus \{z\}) \cup \{y\}$	$LV_{exit}(6) = LV_{entry}(7)$
$LV_{entry}(7) = \{z\}$	$LV_{exit}(7) = \emptyset$

Example (cont.):

$[x:=2]^1; [y:=4]^2; [x:=1]^3; (\text{if } [y>x]^4 \text{ then } [z:=y]^5 \text{ else } [z:=y*y]^6); [x:=z]^7$

Smallest solution:

ℓ	$LV_{entry}(\ell)$	$LV_{exit}(\ell)$
1	\emptyset	\emptyset
2	\emptyset	$\{y\}$
3	$\{y\}$	$\{x, y\}$
4	$\{x, y\}$	$\{y\}$
5	$\{y\}$	$\{z\}$
6	$\{y\}$	$\{z\}$
7	$\{z\}$	\emptyset

Why smallest solution?

$(\text{while } [x > 1]^\ell \text{ do } [\text{skip}]^{\ell'}); [x := x + 1]^{\ell''}$

Equations:

$$LV_{entry}(\ell) = LV_{exit}(\ell) \cup \{x\}$$

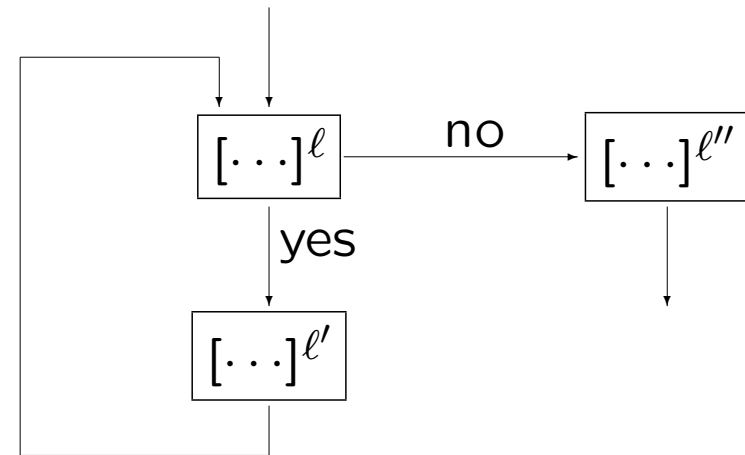
$$LV_{entry}(\ell') = LV_{exit}(\ell')$$

$$LV_{entry}(\ell'') = \{x\}$$

$$LV_{exit}(\ell) = LV_{entry}(\ell') \cup LV_{entry}(\ell'')$$

$$LV_{exit}(\ell') = LV_{entry}(\ell)$$

$$LV_{exit}(\ell'') = \emptyset$$



After some calculations: $LV_{exit}(\ell) = LV_{exit}(\ell) \cup \{x\}$

Many solutions to this equation: any superset of $\{x\}$

Derived Data Flow Information

- *Use-Definition chains* or *ud chains*:

each **use** of a variable is linked to all **assignments** that reach it

$[x:=0]^1; [x:=3]^2; (\text{if } [z=x]^3 \text{ then } [z:=0]^4 \text{ else } [z:=x]^5); [y:=x]^6; [x:=y+z]^7$



- *Definition-Use chains* or *du chains*:

each **assignment** to a variable is linked to all **uses** of it

$[x:=0]^1; [x:=3]^2; (\text{if } [z=x]^3 \text{ then } [z:=0]^4 \text{ else } [z:=x]^5); [y:=x]^6; [x:=y+z]^7$



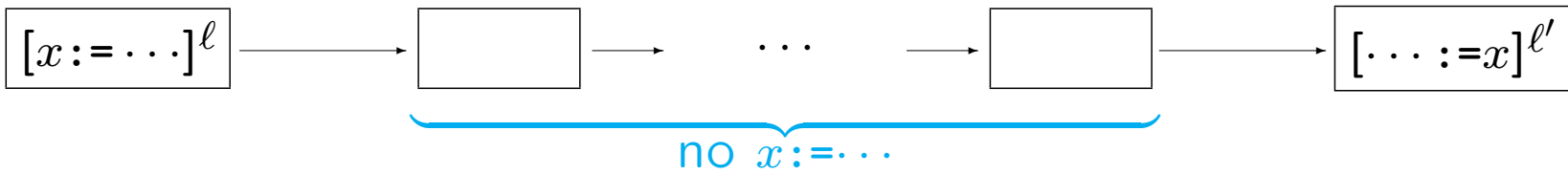
ud chains

$$ud : \text{Var}_\star \times \text{Lab}_\star \rightarrow \mathcal{P}(\text{Lab}_\star)$$

given by

$$\begin{aligned} ud(x, \ell') &= \{ \ell \mid \text{def}(x, \ell) \wedge \exists \ell'' : (\ell, \ell'') \in \text{flow}(S_\star) \wedge \text{clear}(x, \ell'', \ell') \} \\ &\cup \{ ? \mid \text{clear}(x, \text{init}(S_\star), \ell') \} \end{aligned}$$

where



- $\text{def}(x, \ell)$ means that the block ℓ assigns a value to x
- $\text{clear}(x, \ell, \ell')$ means that none of the blocks on a path from ℓ to ℓ' contains an assignment to x but that the block ℓ' uses x (in a test or on the right hand side of an assignment)

ud chains - an alternative definition

$$\mathbf{UD} : \mathbf{Var}_\star \times \mathbf{Lab}_\star \rightarrow \mathcal{P}(\mathbf{Lab}_\star)$$

is defined by:

$$\mathbf{UD}(x, \ell) = \begin{cases} \{\ell' \mid (x, \ell') \in \mathbf{RD}_{entry}(\ell)\} & \text{if } x \in \mathbf{gen}_{LV}(B^\ell) \\ \emptyset & \text{otherwise} \end{cases}$$

One can show that:

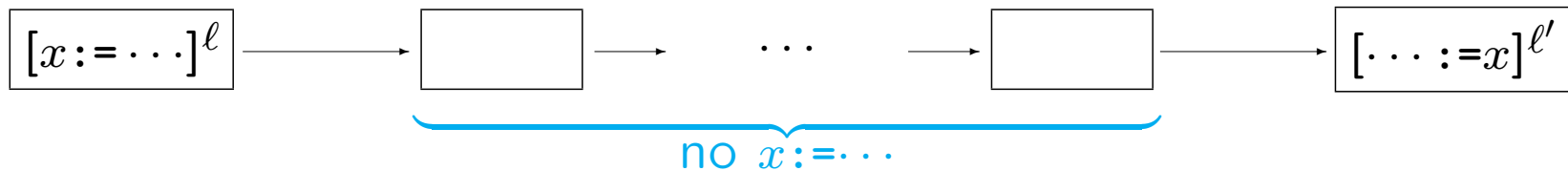
$$\mathbf{ud}(x, \ell) = \mathbf{UD}(x, \ell)$$

du chains

$$du : \text{Var}_\star \times \text{Lab}_\star \rightarrow \mathcal{P}(\text{Lab}_\star)$$

given by

$$du(x, \ell) = \begin{cases} \{\ell' \mid \text{def}(x, \ell) \wedge \exists \ell'' : (\ell, \ell'') \in \text{flow}(S_\star) \wedge \text{clear}(x, \ell'', \ell')\} & \text{if } \ell \neq ? \\ \{\ell' \mid \text{clear}(x, \text{init}(S_\star), \ell')\} & \text{if } \ell = ? \end{cases}$$



One can show that:

$$du(x, \ell) = \{\ell' \mid \ell \in ud(x, \ell')\}$$

Example:

$[x:=0]^1; [x:=3]^2; (\text{if } [z=x]^3 \text{ then } [z:=0]^4 \text{ else } [z:=x]^5); [y:=x]^6; [x:=y+z]^7$

$ud(x, \ell)$	x	y	z	$du(x, \ell)$	x	y	z
1	\emptyset	\emptyset	\emptyset	1	\emptyset	\emptyset	\emptyset
2	\emptyset	\emptyset	\emptyset	2	$\{3, 5, 6\}$	\emptyset	\emptyset
3	$\{2\}$	\emptyset	$\{?\}$	3	\emptyset	\emptyset	\emptyset
4	\emptyset	\emptyset	\emptyset	4	\emptyset	\emptyset	$\{7\}$
5	$\{2\}$	\emptyset	\emptyset	5	\emptyset	\emptyset	$\{7\}$
6	$\{2\}$	\emptyset	\emptyset	6	\emptyset	$\{7\}$	\emptyset
7	\emptyset	$\{6\}$	$\{4, 5\}$	7	\emptyset	\emptyset	\emptyset
				?	\emptyset	\emptyset	$\{3\}$

Theoretical Properties

- Structural Operational Semantics
- Correctness of Live Variables Analysis

The Semantics

A *state* is a mapping from variables to integers:

$$\sigma \in \text{State} = \text{Var} \rightarrow \mathbf{Z}$$

The semantics of arithmetic and boolean expressions

$$\mathcal{A} : \mathbf{AExp} \rightarrow (\text{State} \rightarrow \mathbf{Z}) \quad (\text{no errors allowed})$$

$$\mathcal{B} : \mathbf{BExp} \rightarrow (\text{State} \rightarrow \mathbf{T}) \quad (\text{no errors allowed})$$

The *transitions* of the semantics are of the form

$$\langle S, \sigma \rangle \rightarrow \sigma' \quad \text{and} \quad \langle S, \sigma \rangle \rightarrow \langle S', \sigma' \rangle$$

Transitions

$$\langle [x := a]^\ell, \sigma \rangle \rightarrow \sigma[x \mapsto \mathcal{A}[[a]]\sigma]$$

$$\langle [\text{skip}]^\ell, \sigma \rangle \rightarrow \sigma$$

$$\frac{\langle S_1, \sigma \rangle \rightarrow \langle S'_1, \sigma' \rangle}{\langle S_1; S_2, \sigma \rangle \rightarrow \langle S'_1; S_2, \sigma' \rangle}$$

$$\frac{\langle S_1, \sigma \rangle \rightarrow \sigma'}{\langle S_1; S_2, \sigma \rangle \rightarrow \langle S_2, \sigma' \rangle}$$

$$\langle \text{if } [b]^\ell \text{ then } S_1 \text{ else } S_2, \sigma \rangle \rightarrow \langle S_1, \sigma \rangle \quad \text{if } \mathcal{B}[[b]]\sigma = \text{true}$$

$$\langle \text{if } [b]^\ell \text{ then } S_1 \text{ else } S_2, \sigma \rangle \rightarrow \langle S_2, \sigma \rangle \quad \text{if } \mathcal{B}[[b]]\sigma = \text{false}$$

$$\langle \text{while } [b]^\ell \text{ do } S, \sigma \rangle \rightarrow \langle (S; \text{while } [b]^\ell \text{ do } S), \sigma \rangle \quad \text{if } \mathcal{B}[[b]]\sigma = \text{true}$$

$$\langle \text{while } [b]^\ell \text{ do } S, \sigma \rangle \rightarrow \sigma \quad \text{if } \mathcal{B}[[b]]\sigma = \text{false}$$

Example:

$\langle [y:=x]^1; [z:=1]^2; \text{while } [y>1]^3 \text{ do } ([z:=z*y]^4; [y:=y-1]^5); [y:=0]^6, \sigma_{300} \rangle$
→ $\langle [z:=1]^2; \text{while } [y>1]^3 \text{ do } ([z:=z*y]^4; [y:=y-1]^5); [y:=0]^6, \sigma_{330} \rangle$
→ $\langle \text{while } [y>1]^3 \text{ do } ([z:=z*y]^4; [y:=y-1]^5); [y:=0]^6, \sigma_{331} \rangle$
→ $\langle [z:=z*y]^4; [y:=y-1]^5;$
 $\text{while } [y>1]^3 \text{ do } ([z:=z*y]^4; [y:=y-1]^5); [y:=0]^6, \sigma_{331} \rangle$
→ $\langle [y:=y-1]^5; \text{while } [y>1]^3 \text{ do } ([z:=z*y]^4; [y:=y-1]^5); [y:=0]^6, \sigma_{333} \rangle$
→ $\langle \text{while } [y>1]^3 \text{ do } ([z:=z*y]^4; [y:=y-1]^5); [y:=0]^6, \sigma_{323} \rangle$
→ $\langle [z:=z*y]^4; [y:=y-1]^5;$
 $\text{while } [y>1]^3 \text{ do } ([z:=z*y]^4; [y:=y-1]^5); [y:=0]^6, \sigma_{323} \rangle$
→ $\langle [y:=y-1]^5; \text{while } [y>1]^3 \text{ do } ([z:=z*y]^4; [y:=y-1]^5); [y:=0]^6, \sigma_{326} \rangle$
→ $\langle \text{while } [y>1]^3 \text{ do } ([z:=z*y]^4; [y:=y-1]^5); [y:=0]^6, \sigma_{316} \rangle$
→ $\langle [y:=0]^6, \sigma_{316} \rangle$
→ σ_{306}

Equations and Constraints

Equation system $LV^=(S_\star)$:

$$LV_{exit}(\ell) = \begin{cases} \emptyset & \text{if } \ell \in \text{final}(S_\star) \\ \bigcup \{LV_{entry}(\ell') \mid (\ell', \ell) \in \text{flow}^R(S_\star)\} & \text{otherwise} \end{cases}$$

$$LV_{entry}(\ell) = (LV_{exit}(\ell) \setminus \text{kill}_{LV}(B^\ell)) \cup \text{gen}_{LV}(B^\ell) \\ \text{where } B^\ell \in \text{blocks}(S_\star)$$

Constraint system $LV^\subseteq(S_\star)$:

$$LV_{exit}(\ell) \supseteq \begin{cases} \emptyset & \text{if } \ell \in \text{final}(S_\star) \\ \bigcup \{LV_{entry}(\ell') \mid (\ell', \ell) \in \text{flow}^R(S_\star)\} & \text{otherwise} \end{cases}$$

$$LV_{entry}(\ell) \supseteq (LV_{exit}(\ell) \setminus \text{kill}_{LV}(B^\ell)) \cup \text{gen}_{LV}(B^\ell) \\ \text{where } B^\ell \in \text{blocks}(S_\star)$$

Lemma

Each solution to the equation system $LV^=(S_*)$ is also a solution to the constraint system $LV^{\subseteq}(S_*)$.

Proof: Trivial.

Lemma

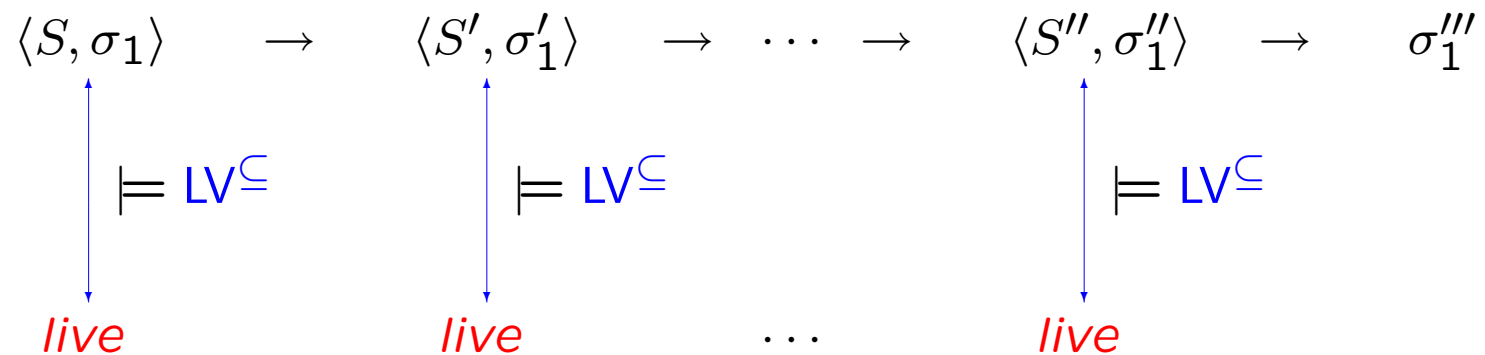
The **least** solution to the equation system $LV^=(S_*)$ is also the **least** solution to the constraint system $LV^{\subseteq}(S_*)$.

Proof: Use Tarski's Theorem.

Naive Proof: Proceed by contradiction. Suppose some LHS is strictly greater than the RHS. Replace the LHS by the RHS in the solution. Argue that you still have a solution. This establishes the desired contradiction.

Lemma

A solution *live* to the constraint system is preserved during computation



Proof: requires a lot of machinery — see the book.

Correctness Relation

$$\sigma_1 \sim_V \sigma_2$$

means that for all practical purposes the two states σ_1 and σ_2 are equal: only the values of the live variables of V matters and here the two states are equal.

Example:

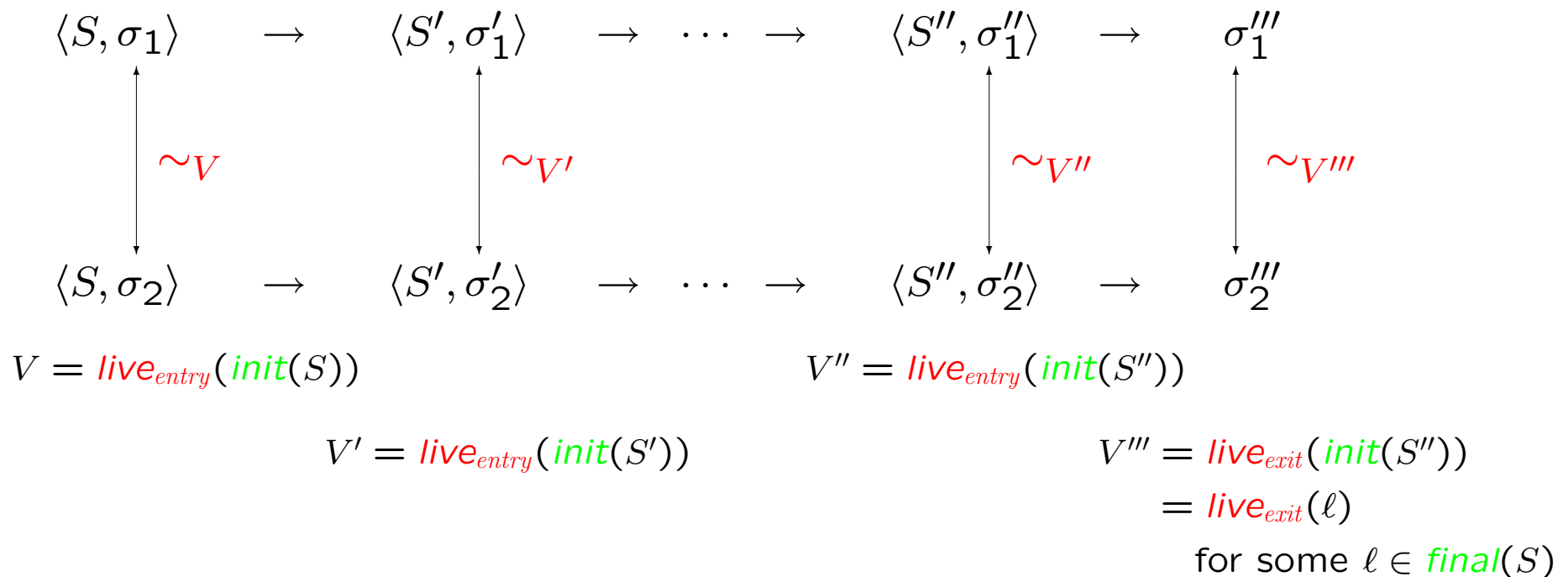
Consider the statement $[x:=y+z]^\ell$

Let $V_1 = \{y, z\}$. Then $\sigma_1 \sim_{V_1} \sigma_2$ means $\sigma_1(y) = \sigma_2(y) \wedge \sigma_1(z) = \sigma_2(z)$

Let $V_2 = \{x\}$. Then $\sigma_1 \sim_{V_2} \sigma_2$ means $\sigma_1(x) = \sigma_2(x)$

Correctness Theorem

The relation “ \sim ” is *invariant* under computation: the live variables for the initial configuration remain live throughout the computation.



Monotone Frameworks

- Monotone and Distributive Frameworks
- Instances of Frameworks
- Constant Propagation Analysis

The Overall Pattern

Each of the four classical analyses take the form

$$\begin{aligned} \textit{Analysis}_\circ(\ell) &= \begin{cases} \iota & \text{if } \ell \in E \\ \sqcup \{ \textit{Analysis}_\bullet(\ell') \mid (\ell', \ell) \in F \} & \text{otherwise} \end{cases} \\ \textit{Analysis}_\bullet(\ell) &= f_\ell(\textit{Analysis}_\circ(\ell)) \end{aligned}$$

where

- \sqcup is \cap or \cup (and \sqcap is \cup or \cap),
- F is either $\textit{flow}(S_\star)$ or $\textit{flow}^R(S_\star)$,
- E is $\{\textit{init}(S_\star)\}$ or $\textit{final}(S_\star)$,
- ι specifies the initial or final analysis information, and
- f_ℓ is the transfer function associated with $B^\ell \in \textit{blocks}(S_\star)$.

The Principle: forward versus backward

- The *forward analyses* have F to be $\text{flow}(S_\star)$ and then Analysis_\circ concerns entry conditions and Analysis_\bullet concerns exit conditions; the equation system presupposes that S_\star has isolated entries.
- The *backward analyses* have F to be $\text{flow}^R(S_\star)$ and then Analysis_\circ concerns exit conditions and Analysis_\bullet concerns entry conditions; the equation system presupposes that S_\star has isolated exits.

The Principle: union versus intersection

- When \sqcup is \cap we require the **greatest sets** that solve the equations and we are able to detect properties satisfied by *all execution paths* reaching (or leaving) the entry (or exit) of a label; the analysis is called a **must**-analysis.
- When \sqcup is \cup we require the **smallest sets** that solve the equations and we are able to detect properties satisfied by *at least one execution path* to (or from) the entry (or exit) of a label; the analysis is called a **may**-analysis.

Property Spaces

The *property space*, L , is used to represent the data flow information, and the *combination operator*, \sqcup : $\mathcal{P}(L) \rightarrow L$, is used to combine information from different paths.

- L is a *complete lattice*, that is, a partially ordered set, (L, \sqsubseteq) , such that each subset, Y , has a least upper bound, $\sqcup Y$.
- L satisfies the *Ascending Chain Condition*; that is, each ascending chain eventually stabilises (meaning that if $(l_n)_n$ is such that $l_1 \sqsubseteq l_2 \sqsubseteq l_3 \sqsubseteq \dots$, then there exists n such that $l_n = l_{n+1} = \dots$).

Example: Reaching Definitions

- $L = \mathcal{P}(\mathbf{Var}_\star \times \mathbf{Lab}_\star)$ is partially ordered by subset inclusion so \sqsubseteq is \subseteq
- the least upper bound operation \sqcup is \cup and the least element \perp is \emptyset
- L satisfies the Ascending Chain Condition because $\mathbf{Var}_\star \times \mathbf{Lab}_\star$ is finite (unlike $\mathbf{Var} \times \mathbf{Lab}$)

Example: Available Expressions

- $L = \mathcal{P}(\mathbf{AExp}_\star)$ is partially ordered by superset inclusion so \sqsubseteq is \supseteq
- the least upper bound operation \sqcup is \cap and the least element \perp is \mathbf{AExp}_\star
- L satisfies the Ascending Chain Condition because \mathbf{AExp}_\star is finite (unlike \mathbf{AExp})

Transfer Functions

The set of transfer functions, \mathcal{F} , is a set of **monotone functions** over L , meaning that

$$l \sqsubseteq l' \text{ implies } f_\ell(l) \sqsubseteq f_\ell(l')$$

and furthermore they fulfil the following conditions:

- \mathcal{F} contains *all* the transfer functions $f_\ell : L \rightarrow L$ in question (for $\ell \in \mathbf{Lab}_\star$)
- \mathcal{F} contains the *identity function*
- \mathcal{F} is *closed under composition* of functions

Frameworks

A *Monotone Framework* consists of:

- a complete lattice, L , that satisfies the Ascending Chain Condition; we write \sqcup for the least upper bound operator
- a set \mathcal{F} of *monotone* functions from L to L that contains the identity function and that is closed under function composition

A *Distributive Framework* is a Monotone Framework where additionally all functions f in \mathcal{F} are required to be *distributive*:

$$f(l_1 \sqcup l_2) = f(l_1) \sqcup f(l_2)$$

Instances

An *instance* of a Framework consists of:

- the complete lattice, L , of the framework
- the space of functions, \mathcal{F} , of the framework
- a finite flow, F (typically $flow(S_*)$ or $flow^R(S_*)$)
- a finite set of *extremal labels*, E (typically $\{init(S_*)\}$ or $final(S_*)$)
- an *extremal value*, $\iota \in L$, for the extremal labels
- a mapping, $f.$, from the labels \mathbf{Lab}_* to transfer functions in \mathcal{F}

Equations of the Instance:

$$\begin{aligned} \textit{Analysis}_\circ(\ell) &= \bigsqcup \{ \textit{Analysis}_\bullet(\ell') \mid (\ell', \ell) \in F \} \sqcup \iota_E^\ell \\ \text{where } \iota_E^\ell &= \begin{cases} \iota & \text{if } \ell \in E \\ \perp & \text{if } \ell \notin E \end{cases} \end{aligned}$$

$$\textit{Analysis}_\bullet(\ell) = f_\ell(\textit{Analysis}_\circ(\ell))$$

Constraints of the Instance:

$$\begin{aligned} \textit{Analysis}_\circ(\ell) &\sqsupseteq \bigsqcup \{ \textit{Analysis}_\bullet(\ell') \mid (\ell', \ell) \in F \} \sqcup \iota_E^\ell \\ \text{where } \iota_E^\ell &= \begin{cases} \iota & \text{if } \ell \in E \\ \perp & \text{if } \ell \notin E \end{cases} \end{aligned}$$

$$\textit{Analysis}_\bullet(\ell) \sqsupseteq f_\ell(\textit{Analysis}_\circ(\ell))$$

The Examples Revisited

	Available Expressions	Reaching Definitions	Very Busy Expressions	Live Variables
L	$\mathcal{P}(\mathbf{AExp}_\star)$	$\mathcal{P}(\mathbf{Var}_\star \times \mathbf{Lab}_\star)$	$\mathcal{P}(\mathbf{AExp}_\star)$	$\mathcal{P}(\mathbf{Var}_\star)$
\sqsubseteq	\supseteq	\subseteq	\supseteq	\subseteq
\sqcup	\cap	\cup	\cap	\cup
\perp	\mathbf{AExp}_\star	\emptyset	\mathbf{AExp}_\star	\emptyset
ι	\emptyset	$\{(x, ?) \mid x \in FV(S_\star)\}$	\emptyset	\emptyset
E	$\{\textit{init}(S_\star)\}$	$\{\textit{init}(S_\star)\}$	$\textit{final}(S_\star)$	$\textit{final}(S_\star)$
F	$\textit{flow}(S_\star)$	$\textit{flow}(S_\star)$	$\textit{flow}^R(S_\star)$	$\textit{flow}^R(S_\star)$
\mathcal{F}	$\{f : L \rightarrow L \mid \exists l_k, l_g : f(l) = (l \setminus l_k) \cup l_g\}$			
f_ℓ	$f_\ell(l) = (l \setminus \textit{kill}(B^\ell)) \cup \textit{gen}(B^\ell)$ where $B^\ell \in \textit{blocks}(S_\star)$			

Bit Vector Frameworks

A *Bit Vector Framework* has

- $L = \mathcal{P}(D)$ for D finite
- $\mathcal{F} = \{f \mid \exists l_k, l_g : f(l) = (l \setminus l_k) \cup l_g\}$

Examples:

- Available Expressions
- Live Variables
- Reaching Definitions
- Very Busy Expressions

Lemma: Bit Vector Frameworks are always Distributive Frameworks

Proof

$$\begin{aligned}
 f(l_1 \sqcup l_2) &= \begin{cases} f(l_1 \cup l_2) \\ f(l_1 \cap l_2) \end{cases} &= \begin{cases} ((l_1 \cup l_2) \setminus l_k) \cup l_g \\ ((l_1 \cap l_2) \setminus l_k) \cup l_g \end{cases} \\
 &= \begin{cases} ((l_1 \setminus l_k) \cup (l_2 \setminus l_k)) \cup l_g \\ ((l_1 \setminus l_k) \cap (l_2 \setminus l_k)) \cup l_g \end{cases} &= \begin{cases} ((l_1 \setminus l_k) \cup l_g) \cup ((l_2 \setminus l_k) \cup l_g) \\ ((l_1 \setminus l_k) \cup l_g) \cap ((l_2 \setminus l_k) \cup l_g) \end{cases} \\
 &= \begin{cases} f(l_1) \cup f(l_2) \\ f(l_1) \cap f(l_2) \end{cases} &= f(l_1) \sqcup f(l_2)
 \end{aligned}$$

- $id(l) = (l \setminus \emptyset) \cup \emptyset$
- $f_2(f_1(l)) = (((l \setminus l_k^1) \cup l_g^1) \setminus l_k^2) \cup l_g^2 = (l \setminus (l_k^1 \cup l_k^2)) \cup ((l_g^1 \setminus l_k^2) \cup l_g^2)$
- monotonicity follows from distributivity
- $\mathcal{P}(D)$ satisfies the Ascending Chain Condition because D is finite

The Constant Propagation Framework

An example of a Monotone Framework that is **not** a Distributive Framework

The aim of the *Constant Propagation Analysis* is to determine

For each program point, whether or not a variable has a constant value whenever execution reaches that point.

Example:

$[x:=6]^1; [y:=3]^2; \text{while } [x > y]^3 \text{ do } ([x:=x-1]^4; [z:=y*y]^6)$

The analysis enables a transformation into

$[x:=6]^1; [y:=3]^2; \text{while } [x > 3]^3 \text{ do } ([x:=x-1]^4; [z:=9]^6)$

Elements of L

$$\widehat{\text{State}}_{\text{CP}} = ((\text{Var}_\star \rightarrow \mathbf{Z}^\top)_\perp, \sqsubseteq)$$

Idea:

- \perp is the least element: no information is available
- $\hat{\sigma} \in \text{Var}_\star \rightarrow \mathbf{Z}^\top$ specifies for each variable whether it is constant:
 - $\hat{\sigma}(x) \in \mathbf{Z}$: x is constant and the value is $\hat{\sigma}(x)$
 - $\hat{\sigma}(x) = \top$: x might not be constant

Partial Ordering on L

The partial ordering \sqsubseteq on $(\mathbf{Var}_\star \rightarrow \mathbf{Z}^\top)_\perp$ is defined by

$$\forall \hat{\sigma} \in (\mathbf{Var}_\star \rightarrow \mathbf{Z}^\top)_\perp : \quad \perp \sqsubseteq \hat{\sigma}$$

$$\forall \hat{\sigma}_1, \hat{\sigma}_2 \in \mathbf{Var}_\star \rightarrow \mathbf{Z}^\top : \quad \hat{\sigma}_1 \sqsubseteq \hat{\sigma}_2 \quad \text{iff} \quad \forall x : \hat{\sigma}_1(x) \sqsubseteq \hat{\sigma}_2(x)$$

where $\mathbf{Z}^\top = \mathbf{Z} \cup \{\top\}$ is partially ordered as follows:

$$\forall z \in \mathbf{Z}^\top : z \sqsubseteq \top$$

$$\forall z_1, z_2 \in \mathbf{Z} : (z_1 \sqsubseteq z_2) \Leftrightarrow (z_1 = z_2)$$

Transfer Functions in \mathcal{F}

$$\mathcal{F}_{\text{CP}} = \{f \mid f \text{ is a monotone function on } \widehat{\text{State}}_{\text{CP}}\}$$

Lemma

Constant Propagation as defined by $\widehat{\text{State}}_{\text{CP}}$ and \mathcal{F}_{CP} is a Monotone Framework

Instances

Constant Propagation is a forward analysis, so for the program S_\star :

- the flow, F , is $\text{flow}(S_\star)$,
- the extremal labels, E , is $\{\text{init}(S_\star)\}$,
- the extremal value, ι_{CP} , is $\lambda x. \top$, and
- the mapping, f^{CP} , of labels to transfer functions is as shown next

Constant Propagation Analysis

$$\begin{array}{c}
 \mathcal{A}_{\text{CP}} : \mathbf{AExp} \rightarrow (\widehat{\text{State}}_{\text{CP}} \rightarrow \mathbf{Z}_{\perp}^{\top}) \\
 \hline
 \mathcal{A}_{\text{CP}} \llbracket x \rrbracket \hat{\sigma} = \begin{cases} \perp & \text{if } \hat{\sigma} = \perp \\ \hat{\sigma}(x) & \text{otherwise} \end{cases} \\
 \mathcal{A}_{\text{CP}} \llbracket n \rrbracket \hat{\sigma} = \begin{cases} \perp & \text{if } \hat{\sigma} = \perp \\ n & \text{otherwise} \end{cases} \\
 \mathcal{A}_{\text{CP}} \llbracket a_1 \text{ op}_a a_2 \rrbracket \hat{\sigma} = \mathcal{A}_{\text{CP}} \llbracket a_1 \rrbracket \hat{\sigma} \widehat{\text{op}}_a \mathcal{A}_{\text{CP}} \llbracket a_2 \rrbracket \hat{\sigma}
 \end{array}$$

transfer functions: f_{ℓ}^{CP}

$$\begin{array}{l}
 [x := a]^{\ell} : f_{\ell}^{\text{CP}}(\hat{\sigma}) = \begin{cases} \perp & \text{if } \hat{\sigma} = \perp \\ \hat{\sigma}[x \mapsto \mathcal{A}_{\text{CP}} \llbracket a \rrbracket \hat{\sigma}] & \text{otherwise} \end{cases} \\
 [\text{skip}]^{\ell} : f_{\ell}^{\text{CP}}(\hat{\sigma}) = \hat{\sigma} \\
 [b]^{\ell} : f_{\ell}^{\text{CP}}(\hat{\sigma}) = \hat{\sigma}
 \end{array}$$

Lemma

Constant Propagation is **not** a Distributive Framework

Proof

Consider the transfer function f_ℓ^{CP} for $[y := x * x]^\ell$

Let $\hat{\sigma}_1$ and $\hat{\sigma}_2$ be such that $\hat{\sigma}_1(x) = 1$ and $\hat{\sigma}_2(x) = -1$

Then $\hat{\sigma}_1 \sqcup \hat{\sigma}_2$ maps x to \top — $f_\ell^{\text{CP}}(\hat{\sigma}_1 \sqcup \hat{\sigma}_2)$ maps y to \top

Both $f_\ell^{\text{CP}}(\hat{\sigma}_1)$ and $f_\ell^{\text{CP}}(\hat{\sigma}_2)$ map y to 1 — $f_\ell^{\text{CP}}(\hat{\sigma}_1) \sqcup f_\ell^{\text{CP}}(\hat{\sigma}_2)$ maps y to 1

Equation Solving

- The MFP solution — “Maximum” (actually least) Fixed Point
 - Worklist algorithm for Monotone Frameworks
- The MOP solution — “Meet” (actually join) Over all Paths

The MFP Solution

– Idea: iterate until stabilisation.

Worklist Algorithm

Input: An instance $(L, \mathcal{F}, F, E, \iota, f.)$ of a Monotone Framework

Output: The MFP Solution: $MFP_{\circ}, MFP_{\bullet}$

Data structures:

- **Analysis:** the current analysis result for block entries (or exits)
- The worklist **W**: a list of pairs (ℓ, ℓ') indicating that the current analysis result has changed at the entry (or exit) to the block ℓ and hence the entry (or exit) information must be recomputed for ℓ'

Worklist Algorithm

Step 1 Initialisation (of W and Analysis)

$W := \text{nil};$
for all (ℓ, ℓ') in F do $W := \text{cons}((\ell, \ell'), W);$
for all ℓ in F or E do
 if $\ell \in E$ then $\text{Analysis}[\ell] := \iota$ else $\text{Analysis}[\ell] := \perp_L;$

Step 2 Iteration (updating W and Analysis)

while $W \neq \text{nil}$ do
 $\ell := \text{fst}(\text{head}(W)); \ell' = \text{snd}(\text{head}(W)); W := \text{tail}(W);$
 if $f_\ell(\text{Analysis}[\ell]) \not\sqsubseteq \text{Analysis}[\ell']$ then
 $\text{Analysis}[\ell'] := \text{Analysis}[\ell'] \sqcup f_\ell(\text{Analysis}[\ell]);$
 for all ℓ'' with (ℓ', ℓ'') in F do $W := \text{cons}((\ell', \ell''), W);$

Step 3 Presenting the result (MFP_\circ and MFP_\bullet)

for all ℓ in F or E do
 $MFP_\circ(\ell) := \text{Analysis}[\ell];$
 $MFP_\bullet(\ell) := f_\ell(\text{Analysis}[\ell])$

Correctness

The worklist algorithm always terminates and it computes the least (or MFP) solution to the instance given as input.

Complexity

Suppose that E and F contain at most $b \geq 1$ distinct labels, that F contains at most $e \geq b$ pairs, and that L has finite height at most $h \geq 1$.

Count as basic operations the applications of f_ℓ , applications of \sqcup , or updates of Analysis.

Then there will be at most $O(e \cdot h)$ basic operations.

Example: Reaching Definitions (assuming unique labels):

$O(b^2)$ where b is size of program: $O(h) = O(b)$ and $O(e) = O(b)$.

The MOP Solution

- Idea: propagate analysis information along **paths**.

Paths

The paths up to **but not including** ℓ :

$$\text{path}_\circ(\ell) = \{[\ell_1, \dots, \ell_{n-1}] \mid n \geq 1 \wedge \forall i < n : (\ell_i, \ell_{i+1}) \in F \wedge \ell_n = \ell \wedge \ell_1 \in E\}$$

The paths up to **and including** ℓ :

$$\text{path}_\bullet(\ell) = \{[\ell_1, \dots, \ell_n] \mid n \geq 1 \wedge \forall i < n : (\ell_i, \ell_{i+1}) \in F \wedge \ell_n = \ell \wedge \ell_1 \in E\}$$

Transfer functions for a path $\vec{\ell} = [\ell_1, \dots, \ell_n]$:

$$f_{\vec{\ell}} = f_{\ell_n} \circ \dots \circ f_{\ell_1} \circ id$$

The MOP Solution

The solution up to but not including ℓ :

$$MOP_{\circ}(\ell) = \sqcup \{f_{\vec{\ell}}(\iota) \mid \vec{\ell} \in path_{\circ}(\ell)\}$$

The solution up to and including ℓ :

$$MOP_{\bullet}(\ell) = \sqcup \{f_{\vec{\ell}}(\iota) \mid \vec{\ell} \in path_{\bullet}(\ell)\}$$

Precision of the MOP versus MFP solutions

The MFP solution safely approximates the MOP solution: $MFP \sqsupseteq MOP$ (“because” $f(x \sqcup y) \sqsupseteq f(x) \sqcup f(y)$ when f is monotone).

For Distributive Frameworks the MFP and MOP solutions are equal: $MFP = MOP$ (“because” $f(x \sqcup y) = f(x) \sqcup f(y)$ when f is distributive).

Lemma

Consider the MFP and MOP solutions to an instance $(L, \mathcal{F}, F, B, \iota, f.)$ of a Monotone Framework; then:

$$MFP_{\circ} \sqsupseteq MOP_{\circ} \text{ and } MFP_{\bullet} \sqsupseteq MOP_{\bullet}$$

If the framework is distributive and if $path_{\circ}(\ell) \neq \emptyset$ for all ℓ in E and F then:

$$MFP_{\circ} = MOP_{\circ} \text{ and } MFP_{\bullet} = MOP_{\bullet}$$

Decidability of MOP and MFP

The MFP solution is always computable (meaning that it is decidable) because of the **Ascending Chain Condition**.

The MOP solution is often uncomputable (meaning that it is undecidable): the existence of a general algorithm for the MOP solution would imply the decidability of the *Modified Post Correspondence Problem*, which is known to be undecidable.

Lemma

The MOP solution for Constant Propagation is undecidable.

Proof: Let u_1, \dots, u_n and v_1, \dots, v_n be strings over the alphabet $\{1, \dots, 9\}$; let $|u|$ denote the length of u ; let $\llbracket u \rrbracket$ be the natural number denoted.

The Modified Post Correspondence Problem is to determine whether or not $u_{i_1} \dots u_{i_m} = v_{i_1} \dots v_{i_n}$ for some sequence i_1, \dots, i_m with $i_1 = 1$.

```
x :=  $\llbracket u_1 \rrbracket$ ; y :=  $\llbracket v_1 \rrbracket$ ;
while [...] do
  (if [...] then x := x *  $10^{|u_1|}$  +  $\llbracket u_1 \rrbracket$ ; y := y *  $10^{|v_1|}$  +  $\llbracket v_1 \rrbracket$  else
    :
    if [...] then x := x *  $10^{|u_n|}$  +  $\llbracket u_n \rrbracket$ ; y := y *  $10^{|v_n|}$  +  $\llbracket v_n \rrbracket$  else skip)
[z := abs((x-y)*(x-y))]  $^\ell$ 
```

Then $MOP_\bullet(\ell)$ will map z to 1 if and only if the Modified Post Correspondence Problem has no solution. This is undecidable.