

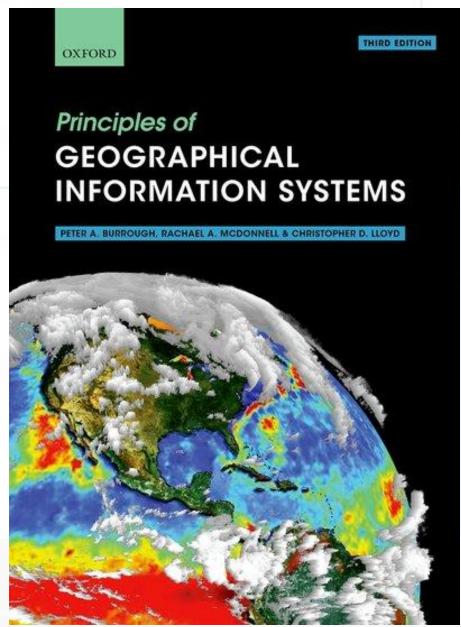
GEO3460 – Landmåling, fotogrammetri og romlig analyse – vår 2024

GEO3460 - Surveying, photogrammetry and spatial analysis - spring 2024

## Interpolation

Martin Lund (e.m.lund@geo.uio.no)

Based on the lectures by Dr. Livia Piermattei





• Reference text book: "Geographical information systems" (not available online)

o Chapter 8

UiO: University of Oslo

#### **Learning Objectives**



GIS interpolation

- What?
- When?
- Data sources for interpolation

SpatialInterpolationMethods

Interpolation algorithms

Interpolation examples and application

Today's topics

#### **Learning Objectives**



#### GIS interpolation

- What?
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Spatial Interpolation Methods

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Today's topics

#### Interpolation – What and When

- Have you ever heard the word "interpolation"?
- Can you define it?
- and come up with some examples?

#### Interpolation – What and When

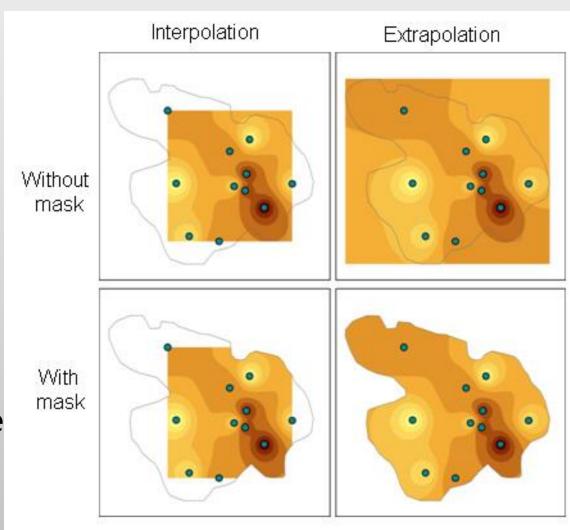
- What
  - The prediction of data values at locations where we have no measurements
- Point interpolation
  - Convert data from point observations to continuous fields
  - Predicting the value of attributes at unsampled sites from measurements made at point locations within the same area
- When
  - Changing grid cell size
  - Converting grids/images between coordinate systems
  - Conversion between different data models
  - Generating continuous surfaces from discrete data

- The basis assumption of interpolation:
  - On average, the values of points close to sampled points are more likely to be similar than those farther away.

"Everything in the universe is related to everything else, but closer things are more related." – Tobler's First Law of Geography

We can predict conditions at unsampled locations on the basis of information from the nearest available measured points.

- Spatial interpolation when the predictions are made within the spatial extent of the measured point locations
- Spatial extrapolation: predictions are made outside the spatial extent of the measured points



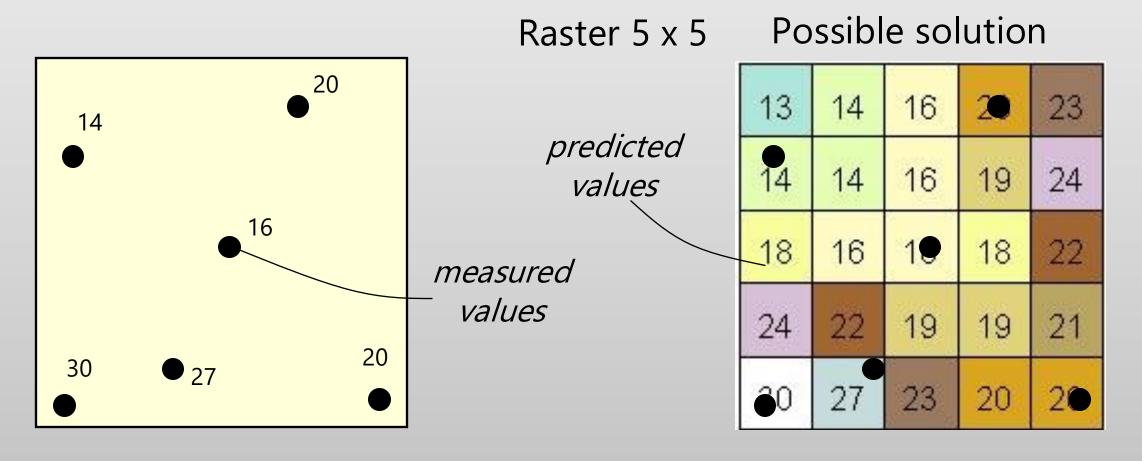
- Examples of spatial interpolation (or extrapolation)
  - 1. elevation between survey benchmark locations to create a digital elevation model (DEM);
  - 2. meteorological conditions such as precipitation or temperature at locations other than weather stations;
  - 3. a continuous air pollution surface from a network of regulatory monitoring stations (e.g. measuring NO2, ozone, etc.)
  - 4. indicators of water quality (e.g. salinity, temperature, dissolved oxygen) in surface water bodies based on field measurements at a set of sampling locations.
  - Do you have other examples?

For a given sample of measurements  $\{z_1, z_2, ..., z_n\}$  at locations  $\{x_1, x_2, ..., x_n\}$ 

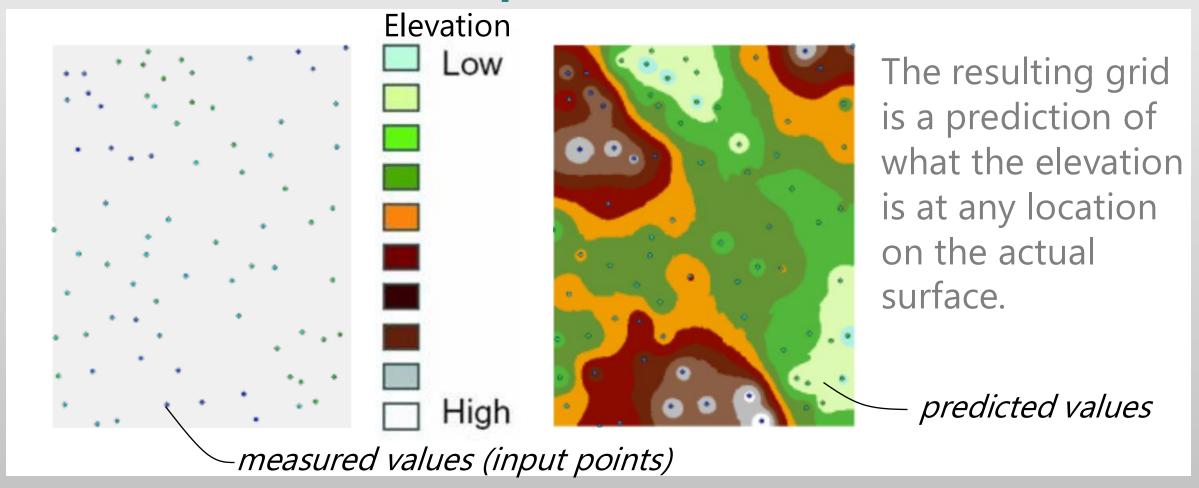
...the main goal is to estimate the value z at some new point x

# When: Generating continuous surfaces from discrete data (samples)

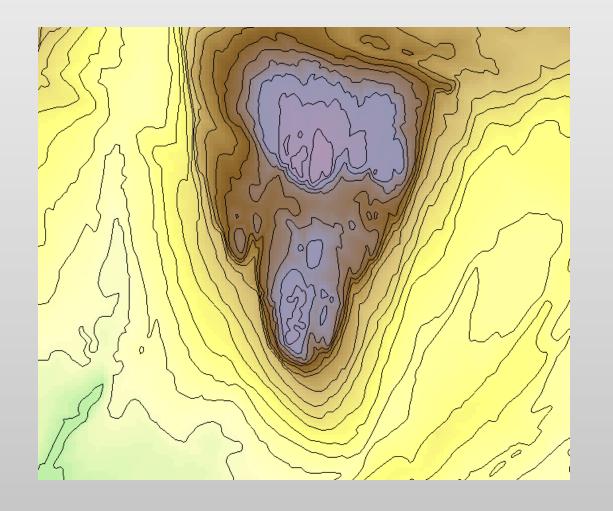
# When: Generating continuous surfaces from discrete data (samples)

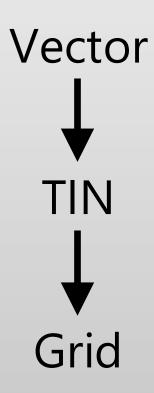


# When: Generating continuous surfaces from discrete data (samples)



#### When: Conversion between data models



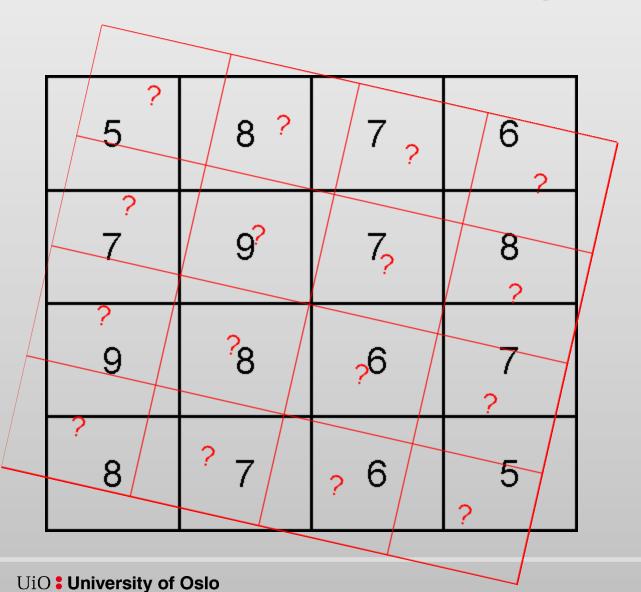


#### When: Changing cell size

### When: Changing cell size

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?_	?	?	?	?	?	?	?
? '	?	?	?	?	?	?	?
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?	?	?	?	?	?	<u>~</u>	?
?	?	?	?	?	?	?	?
?	?	?	?	?	?	?	?

### When: Transforming to another coord. sys.



#### Data sources for interpolation

Point samples of attributes measured in the field

- Remote sensing (airborne and spaceborne)
- Stereo images (for elevation data)

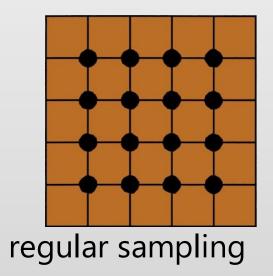
#### Spatial sampling (data/points)

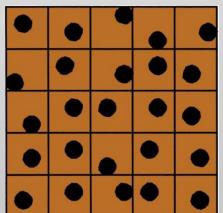
#### Spatial sampling (data/points)

- Two characteristics of sampling
  - Location of samples
  - Number of samples (point density)

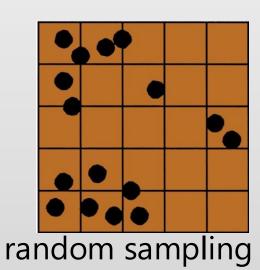
- Sometimes we can not control sampling
  - Cost of sampling
  - Available resources
  - You may be limited to occurrences of an event

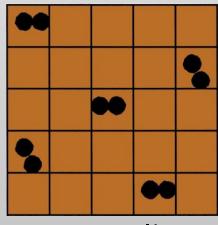
### Spatial sampling: Common sampling pattern



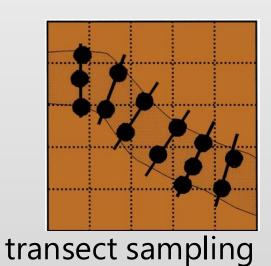


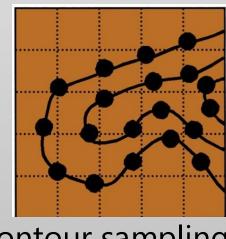
Stratified random sampling





cluster sampling





contour sampling

Burrough et al. 2015

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#### **Spatial Interpolation Methods?**

- No interpolation method is superior for all datasets
- Method choice depends on:
  - Characteristics of the sample data (variable) to be measured
    - Sampling pattern
  - Accuracy requirements of the users
- Methods differ in:
  - the mathematical functions used to weight each observation
  - the number of observations used

#### How interpolate?

Deterministic vs. stochastic (statistical)

Global vs. local

- Exact vs. inexact/approximation
- Error estimate vs. no error estimate

#### How interpolate

- Deterministic methods:
  - Deterministic Models use a mathematical function to predict unknown values and result in hard classification of the value of features.
  - Example: splines, IDW, Natural Neighbour, Trend
- ☐ Statistical (geostatistical) methods:
  - Statistical techniques are based on statistical models that include autocorrelation: the statistical relationship among the measured points.
  - Provide some measure of the accuracy of the predictions.
  - Example: kriging

#### How interpolate

- Global interpolators
  - Use all available data to provide predictions
  - Used for examining trends
- Local interpolators
  - Operate within a small zone around the point being interpolated

#### How interpolate

- ☐ Inexact interpolation:
  - The measured value is not reproduced
  - In these methods the differences between measured and predicted values can be used as an indicator of the quality
- Exact interpolation:
  - The predicted value at a sample point is identical to the measured value
  - In these methods we may use an independent dataset to test the quality

### Interpolation algorithms

- Trend surfaces (global polynomial interpolation, GPI)
- Nearest neighbour (Thiessen)
- Inverse distance weighting (IDW) (moving average)
- Radial basis functions or Spline
- $\square$  **Kriging** (ordinary, simple, universal, etc....analyses of spatial variation)

next lecture

#### Interpolation algorithms

- Trend surfaces (global polynomial interpolation, GPI)
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- Radial basis functions or Spline
- Kriging (ordinary, simple, universal, etc...analyses of spatial variation)

- Fit a polynomial line f(x) or surface f(x,y) by least squares through the sampled points.
  - Trend is based on regression. Regression analysis is the optimized fitting of a line or curve to derive a mathematical function that best fits the raw data.

#### Linear example:

```
z(x) = b0 + b1x + \varepsilon
```

- $\circ$  where b0 is the intercept, b1 is the slope and ε is the residual (noise)
- $\circ$  By increasing the number of terms it is possible to fit any set of points by a complicated curve, thereby reducing  $\epsilon$  to zero

#### <u>Surface example</u> (two dimensions):

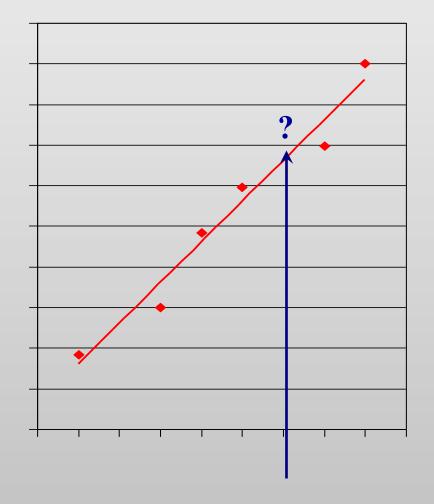
```
linear: z(x, y) = b0 + b1x + b2y
quadratic (polynomial regression): z(x, y) = b0 + b1x + b2y + b3x2 + b4xy + b5y2
```

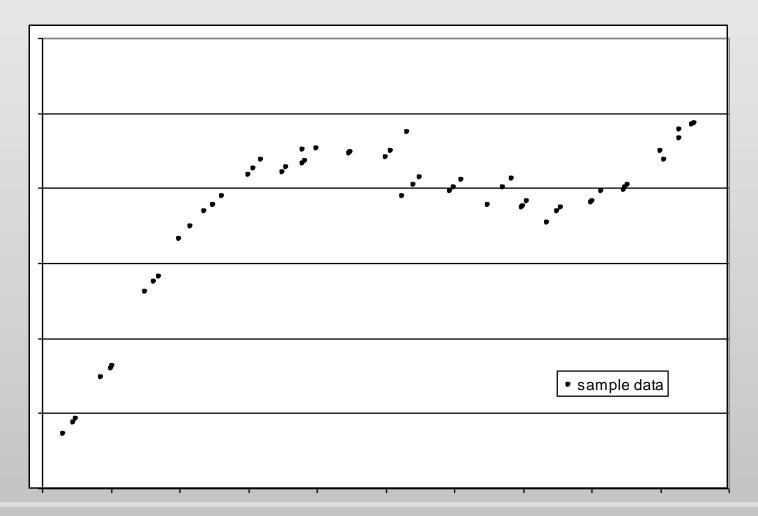
#### Linear interpolation

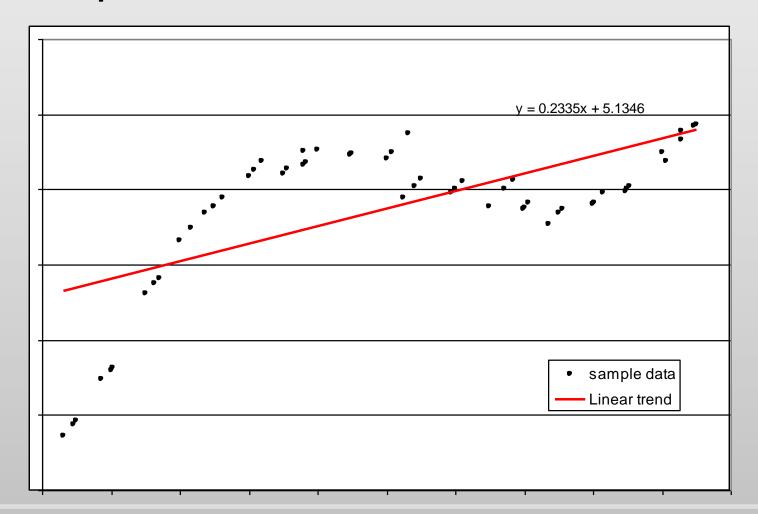
Least square fit
the sum of the squared distances
between the predicted and the
measured points is minimised

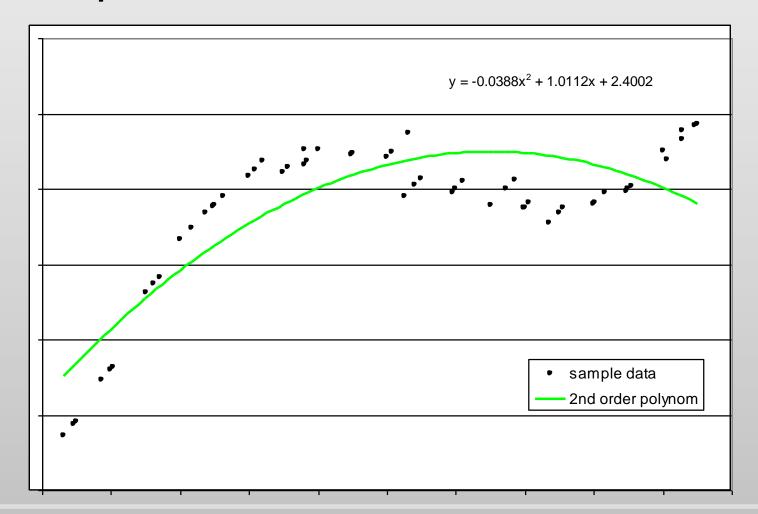
$$z(x) = b0 + b1x + \varepsilon$$

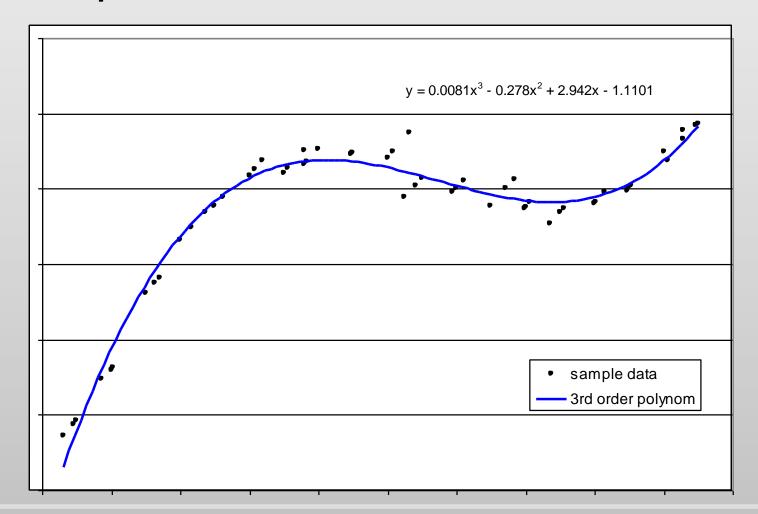
• where b0 is the intercept, b1 is the slope and  $\epsilon$  is the residual (noise)







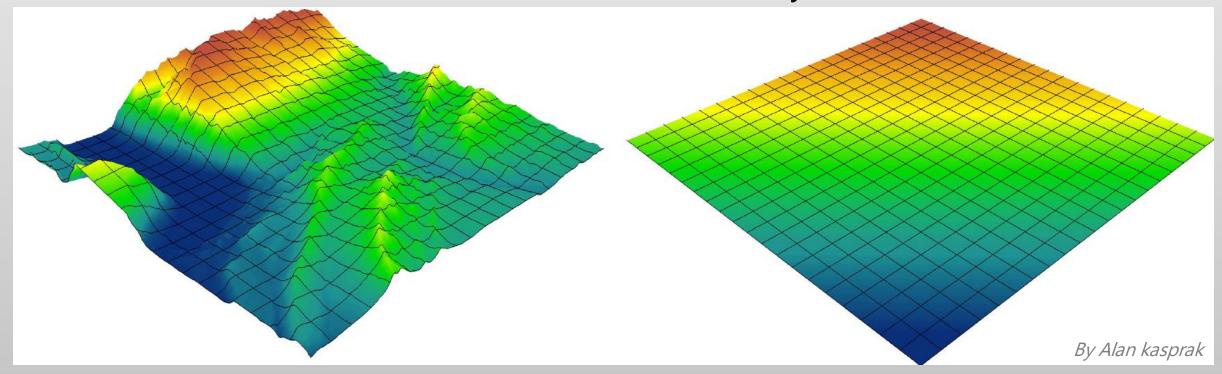




Surface interpolation: Trend spatial interpolation is just regression in two dimensions

Actual landscape

First-order (y = x); some constant value

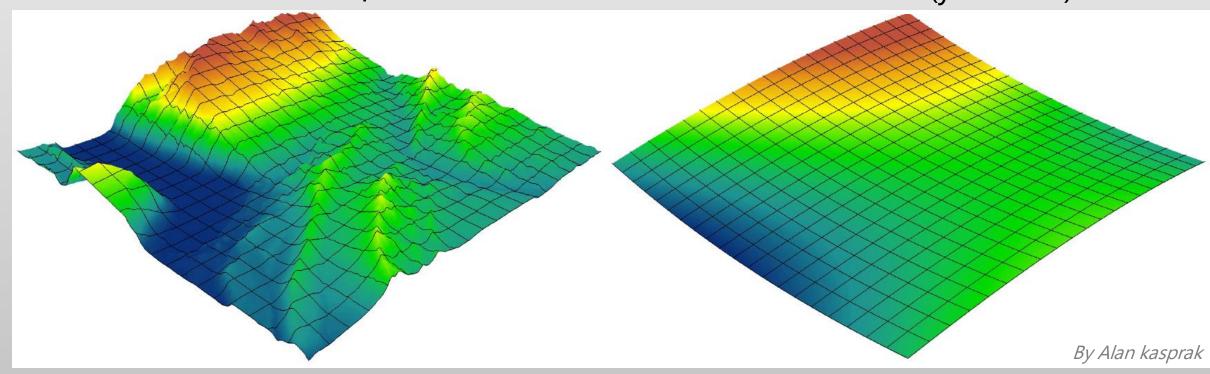


The higher-order polynomial, the more complex terrain you can reproduce (but it takes more time)

Surface interpolation: Trend spatial interpolation is just regression in two dimensions

Actual landscape

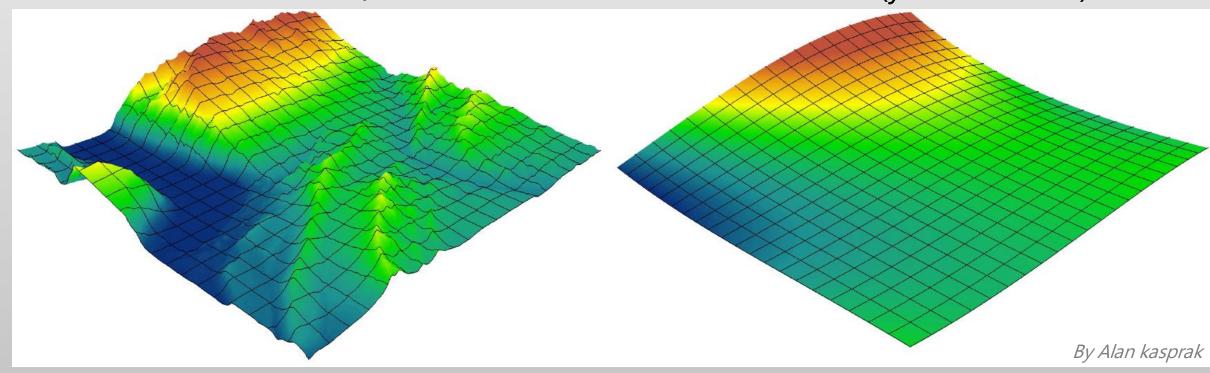
second-order ( $y = x^2 + x$ )



Surface interpolation: Trend spatial interpolation is just regression in two dimensions

Actual landscape

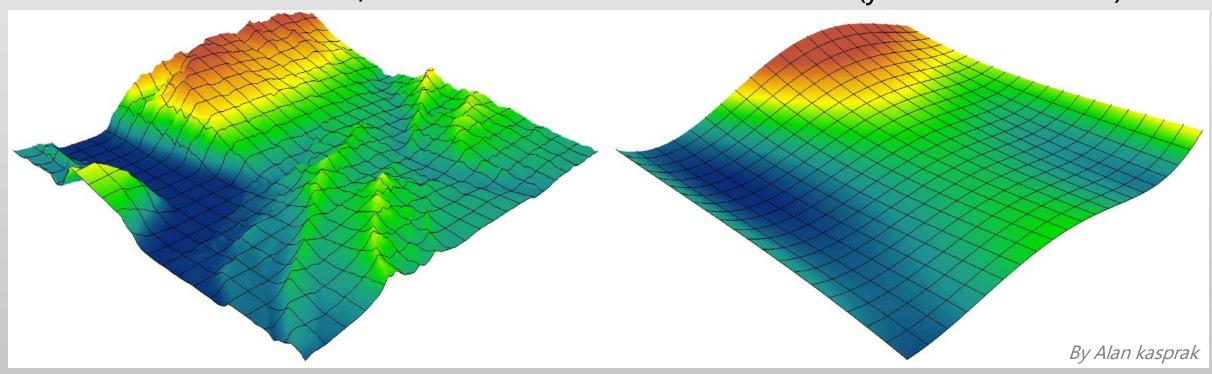
third-order ( $y = x^3 + x^2 + x$ )



Surface interpolation: Trend spatial interpolation is just regression in two dimensions

Actual landscape

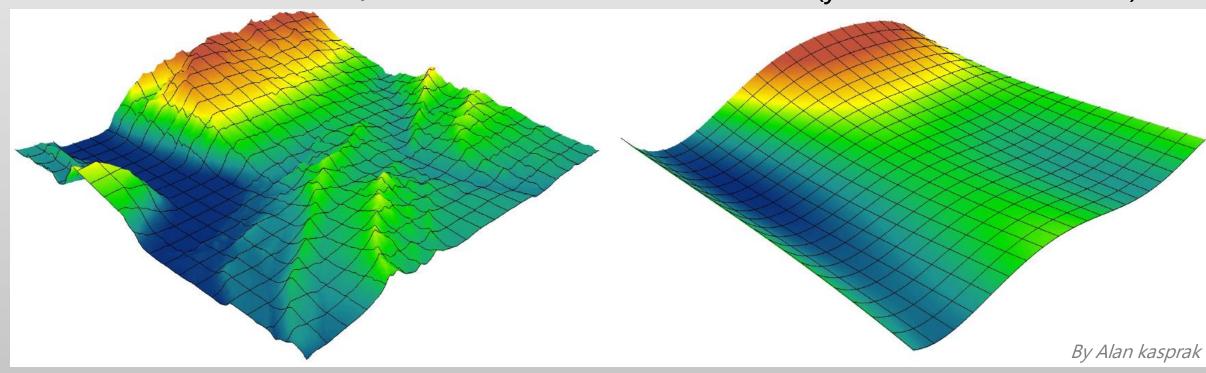
fourth-order (y = 
$$x^4$$
 +  $x^3$  +  $x^2$  + x)



Surface interpolation: Trend spatial interpolation is just regression in two dimensions

Actual landscape

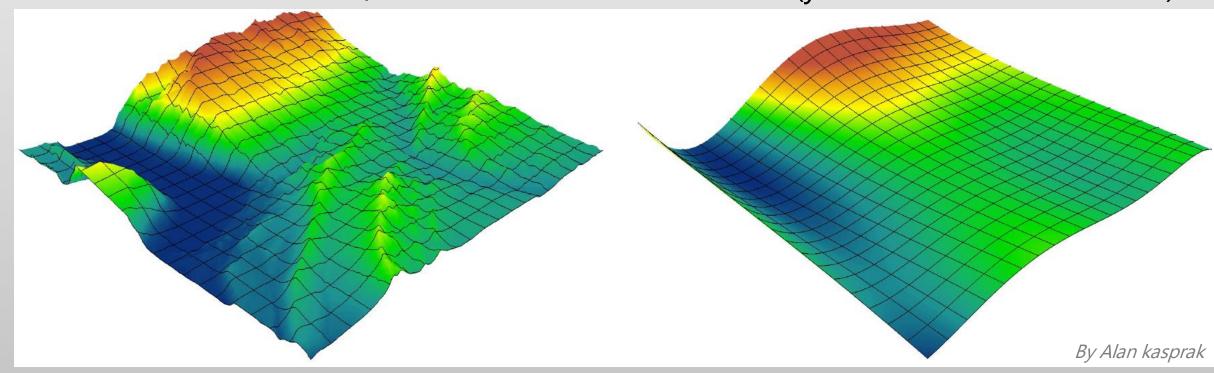
fifth-order (y = 
$$x^5 + x^4 + x^3 + x^2 + x$$
)



Surface interpolation: Trend spatial interpolation is just regression in two dimensions

Actual landscape

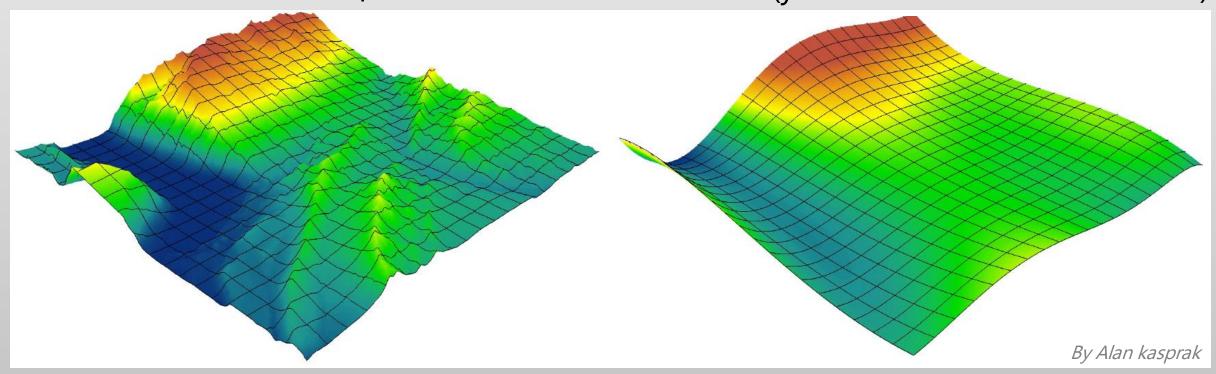
sixth-order (y = 
$$x^6 + x^5 + x^4 + x^3 + x^2 + x$$
)

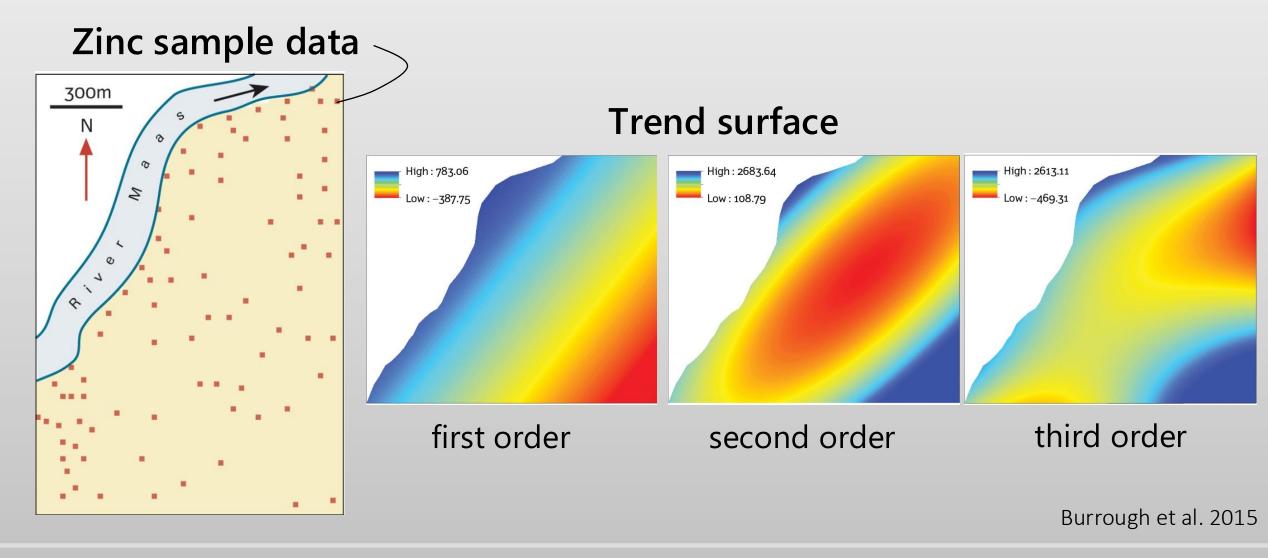


Surface interpolation: Trend spatial interpolation is just regression in two dimensions

Actual landscape

seventh-order (y = 
$$x^7 + x^6 + x^5 + x^4 + x^3 + x^2 + x$$
)





- Trend interpolation is useful when conditions vary gradually over relatively broad areas (when the surface varies gradually from region to region over the area of interest).
  - Elevation is a bad example
  - Atmospheric conditions (temperature, humidity, pollution, etc.) and aquatic conditions (temperature, pH, salinity) are good examples
- Broad features can be modelled with low-order surfaces
- Examining or removing the effects of global trends
- Difficult to describe a physical meaning to complex higher order polynomials.
  - Higher-order polynomials will always increase the model fit, but at the risk of over-fitting your model and takes more time to interpolate
- Susceptible to edge effects (do not extrapolate!) and to outliers (extremely high and low values)

- Trend surfaces (global polynomial interpolation, GPI)
- Nearest neighbour (Thiessen)
- Inverse distance weighting (IDW) (moving average)
- Radial basis functions or Spline
- Kriging (ordinary, simple, universal, etc...analyses of spatial variation)

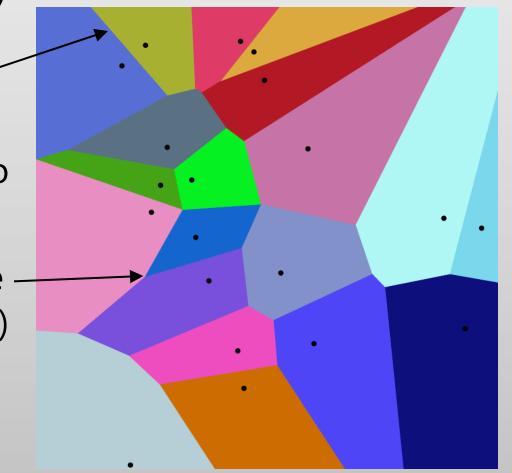
- Voronoi diagram (Thiessen polygons)
  - Voronoi diagram is a partition of a plane into regions close to each of a given set of point  $\{p_1, ..., p_n\}$  For each point there is a corresponding region consisting of all points of the plane closer to that point than to any other.
  - The regions (Voronoi cells) are known as <u>Thiessen polygons</u>  $(R_k)$

Assign to all unsampled locations the value of the closest sampled location Black dots are random set of points in 2D

Voronoi diagram (Thiessen polygons)

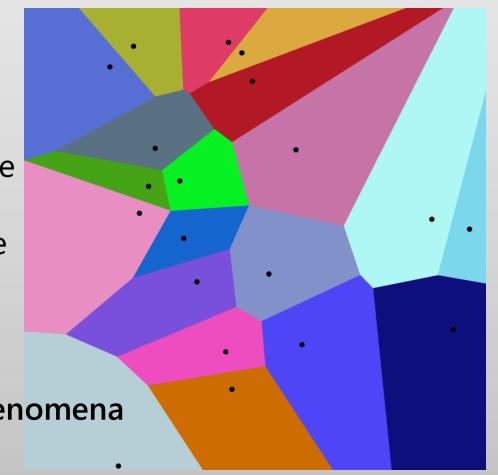
The <u>line segments</u> of the Voronoi
 diagram are all the points in the
 plane that are equidistant to the two
 nearest sites.

 The <u>Voronoi vertices</u> (nodes) are the points equidistant to three (or more) sites.

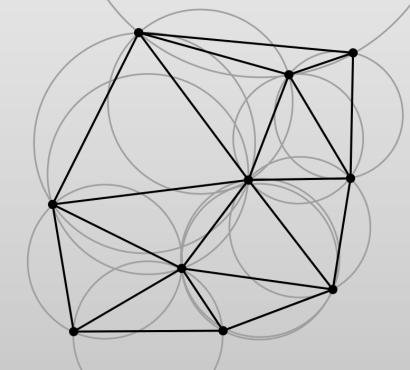


#### Voronoi diagram (Thiessen polygons)

- Assigns a value to an unsampled location that is equal to the value found at the nearest sample location
  - The predicted value is equal to the value of the nearest sample point
  - Exact interpolator: Value at each sample point is preserved.
- No variation within polygons
- Not appropriate for gradually varying phenomena
- Suitable for qualitative data

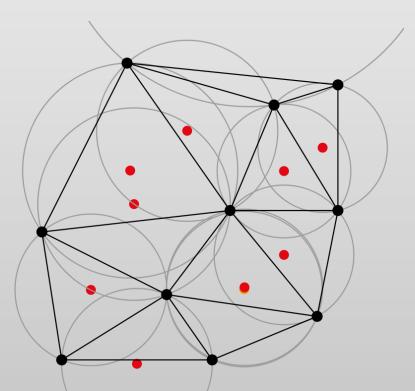


- ☐ Relationship of the Voronoi diagram with Delaunay triangulation
  - The vertices of the Voronoi diagram are the circumcenters of Delaunay triangles
  - The triangles satisfies the "Delaunay condition", i.e., that the circumcircles of all triangles have empty interiors

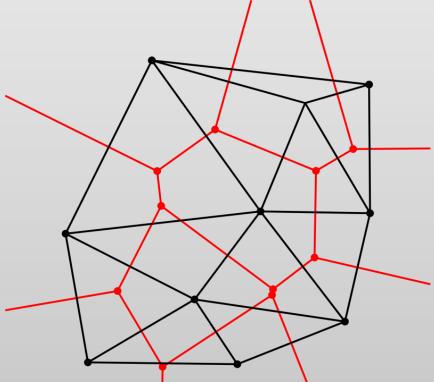


A Delaunay triangulation in the plane with circumcircles shown

Relationship of the Voronoi diagram with Delaunay triangulation

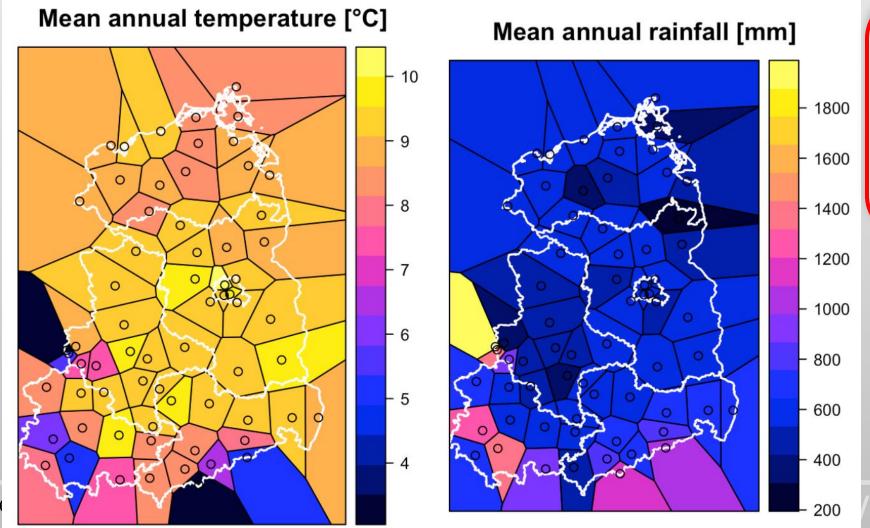


The **Delaunay triangulation** with all the circumcircles and their centers (in red)



Connecting the centers of the circumcircles produces the Voronoi diagram (in red).

Voronoi polygons for the weather station data set in Germany



What is the problematic aspect of this approach?

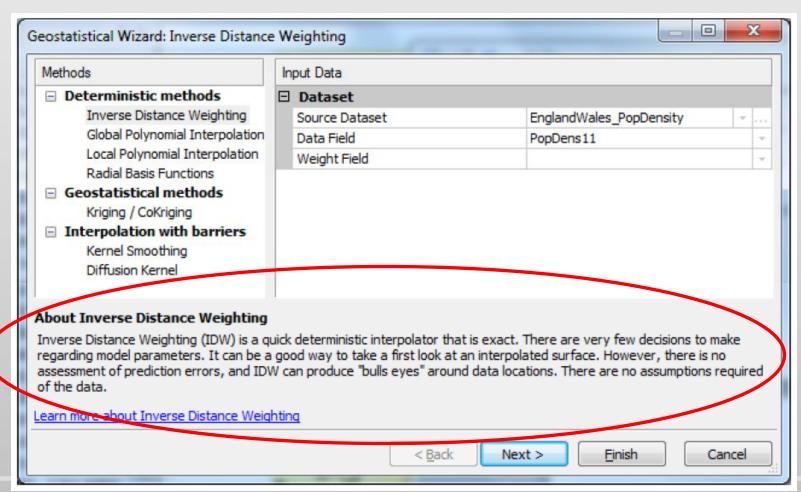
The surface values change abruptly across the tessellated boundaries

Brundson and Comber, 2015

analysis - Interpolation

- Trend surfaces (global polynomial interpolation, GPI)
- Nearest neighbour (Thiessen)
- Inverse distance weighted (IDW) (moving average)
- Radial basis functions or Spline
- Kriging (ordinary, simple, universal, etc....analyses of spatial variation)

□ Inverse distance weighting (IDW) or weighted moving average



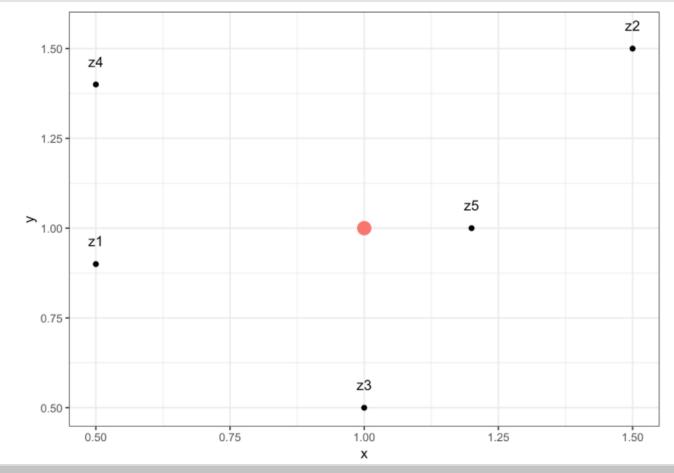
- Inverse distance weighting (IDW) or weighted moving average
  - The predicted value at any point is the weighted average of the surrounding points

$$\hat{z}(\mathbf{x}) = rac{\sum_{i}^{n} w_{i} z_{i}}{\sum_{i}^{n} w_{i}}$$
 where  $w_{i} = |\mathbf{x} - \mathbf{x}_{i}|^{-eta}$ 

- where:  $\beta \ge 0$ 
  - $\beta$  is the inverse distance power (or power coefficient)
  - $|x-x_i|$  is the euclidean distance
  - n is the number of surrounding points to be included

■ Example: Inverse distance weighting (IDW)

<u>ID</u>	Х	У	Z
z0	1.0	1.0	?
z1	0.5	0.9	1
z2	1.5	1.5	3
z3	1.0	0.5	5
z4	0.5	1.4	7
z5	1.2	1.0	7



■ Example: Inverse distance weighting (IDW)

The euclidean distance, d, between two points (u,v) in a plane (R2) is given by

$$d(\mathbf{u}, \mathbf{v}) = \sqrt{(u_1 - v_1)^2 + (u_2 - v_2)^2}$$

You can compute  $w_i$  as follow. Consider  $\beta=2$  and n=5

$$egin{bmatrix} w_1 \ w_2 \ \dots \ w_5 \end{bmatrix} = egin{bmatrix} |\mathbf{z} - \mathbf{z}_1|^{-eta} \ |\mathbf{z} - \mathbf{z}_2|^{-eta} \ \dots \ |\mathbf{z} - \mathbf{z}_5|^{-eta} \end{bmatrix}$$

■ Example: Inverse distance weighting (IDW)

$$\begin{bmatrix} w_1 \\ w_2 \\ w_3 \\ w_4 \\ w_5 \end{bmatrix} = \begin{bmatrix} |\mathbf{z} - \mathbf{z}_1|^{-\beta} \\ |\mathbf{z} - \mathbf{z}_2|^{-\beta} \\ |\mathbf{z} - \mathbf{z}_3|^{-\beta} \\ |\mathbf{z} - \mathbf{z}_4|^{-\beta} \\ |\mathbf{z} - \mathbf{z}_5|^{-\beta} \end{bmatrix} = \begin{bmatrix} \sqrt{(1 - 0.5)^2 + (1 - 0.9)^2}^{-2} \\ \sqrt{(1 - 1.5)^2 + (1 - 1.5)^2}^{-2} \\ \sqrt{(1 - 1)^2 + (1 - 0.5)^2}^{-2} \\ \sqrt{(1 - 0.5)^2 + (1 - 1.4)^2}^{-2} \end{bmatrix} \approx \begin{bmatrix} 3.846 \\ 2 \\ 4 \\ 2.439 \\ 25 \end{bmatrix}$$

Hence:

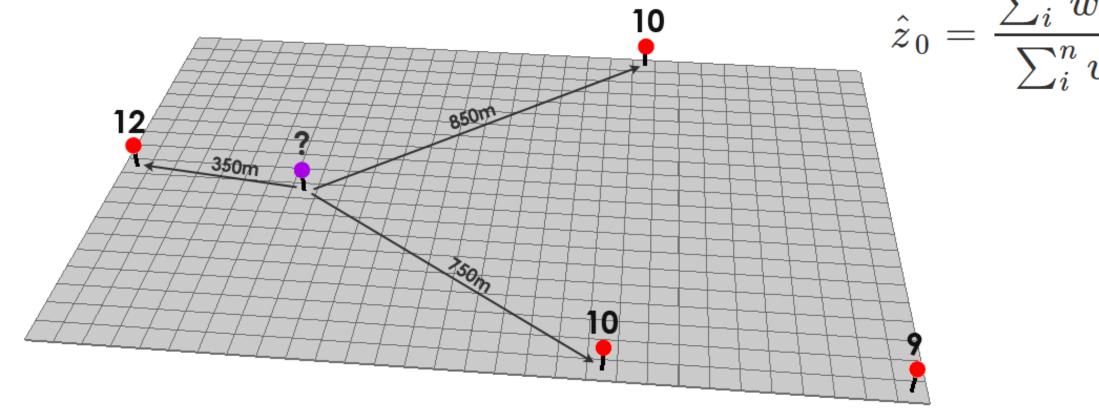
$$\sum_{i}^{n}w_{i}pprox37.285 \qquad w_{i}z_{i}=egin{bmatrix} 3.540 \ 2 \ 4 \ 2.439 \ 25 \end{bmatrix} egin{bmatrix} 1 \ 3 \ 5 \ 7 \ 7 \end{bmatrix} \qquad \sum_{i}^{n}w_{i}z_{i}=221.919$$

■ Example: Inverse distance weighting (IDW)

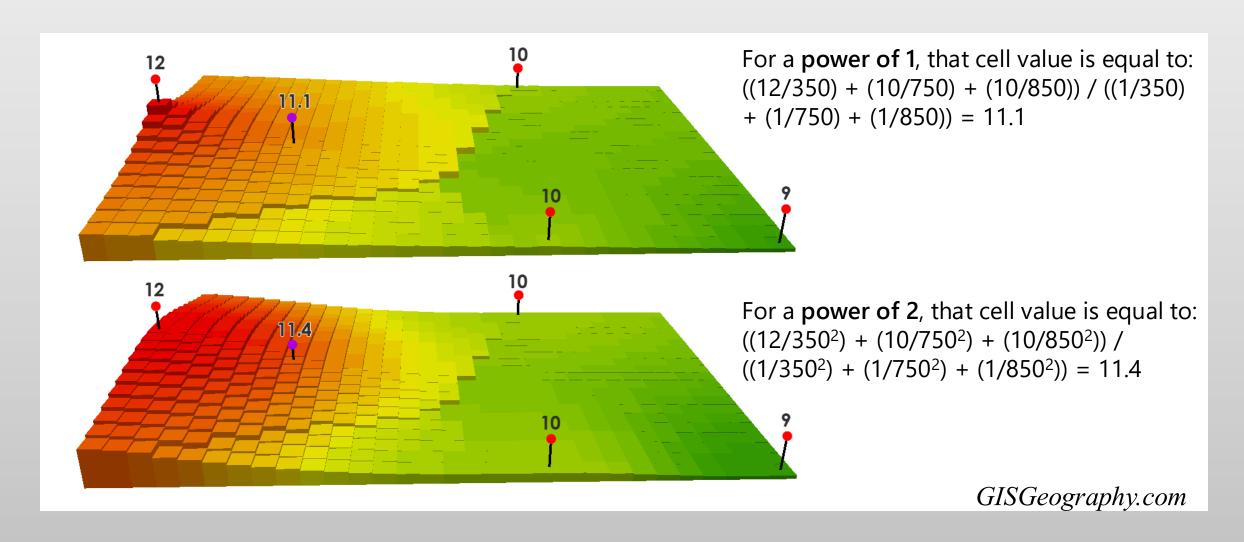
Plugging the numerator and the denominator into the equation from above yields

$$\hat{z}_0 = \frac{\sum_{i}^{n} w_i z_i}{\sum_{i}^{n} w_i} \approx \frac{221.919}{37.285} = 5.952$$

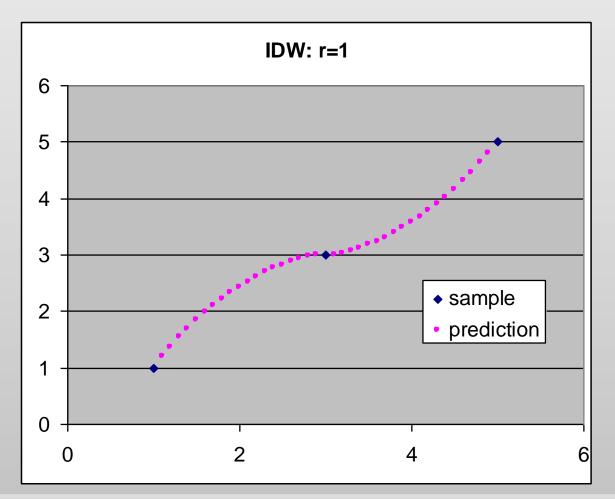
□ Example: Inverse distance weighting (IDW)

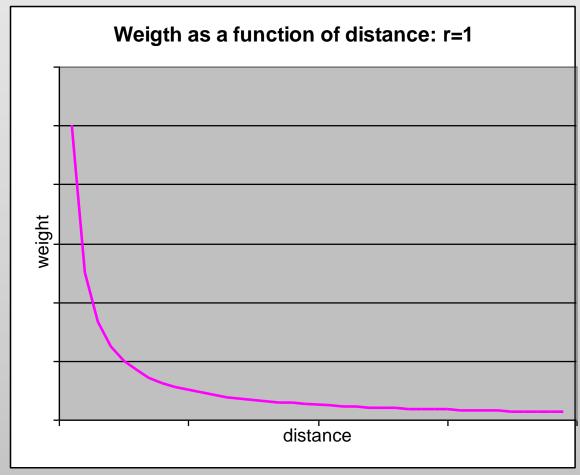


GISGeography.com

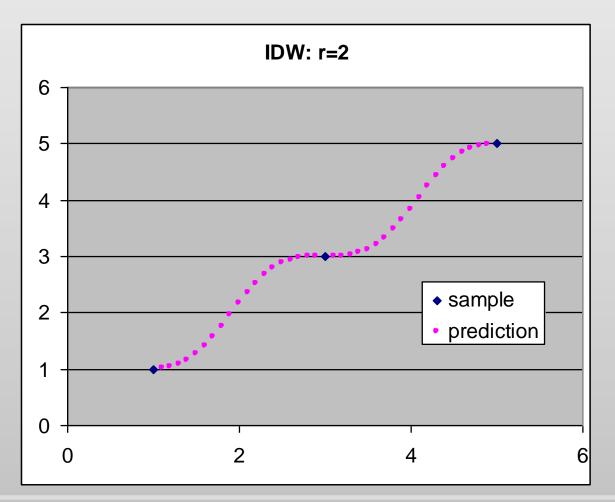


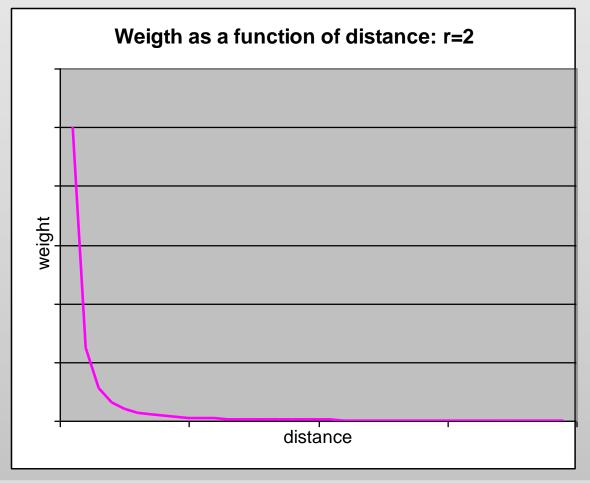
• The r value controls the level of relative spatial dependency (low r value)



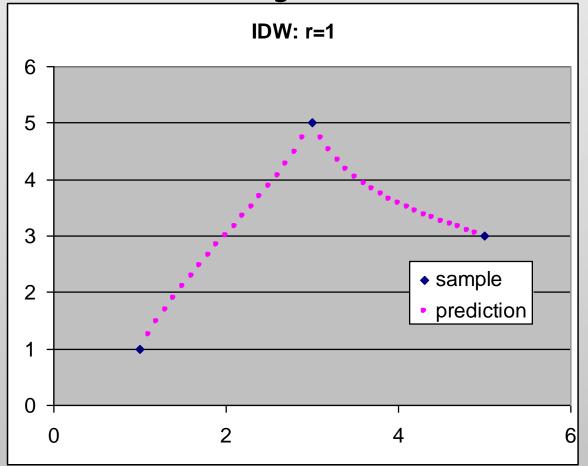


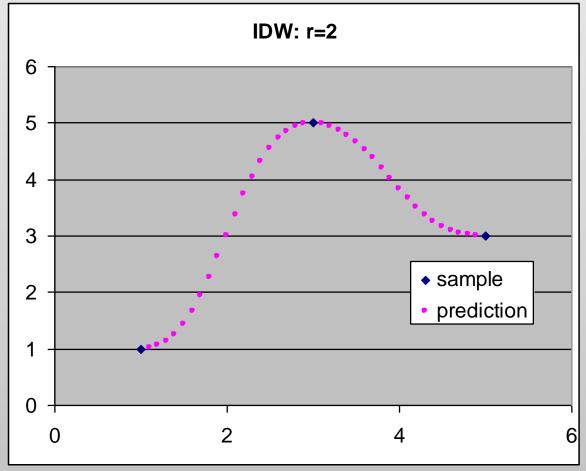
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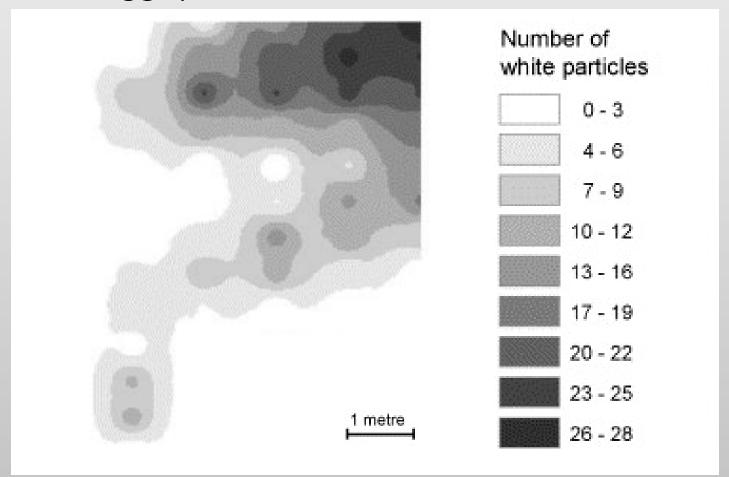


 "Duck-egg" pattern appear around solitary data points that differ greatly from their surroundings



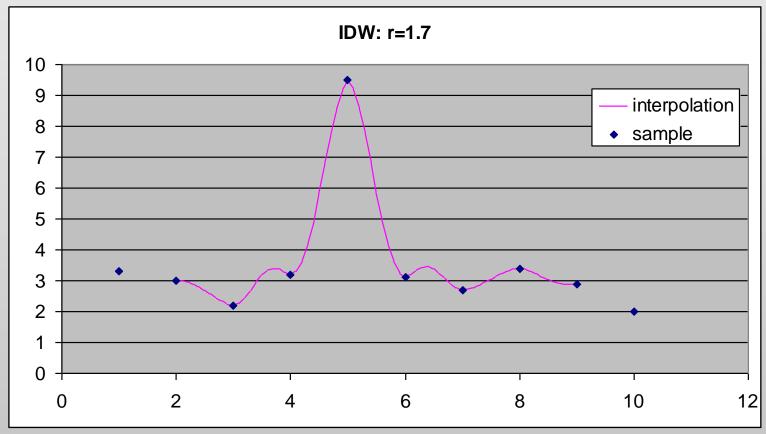


"Duck-egg" pattern



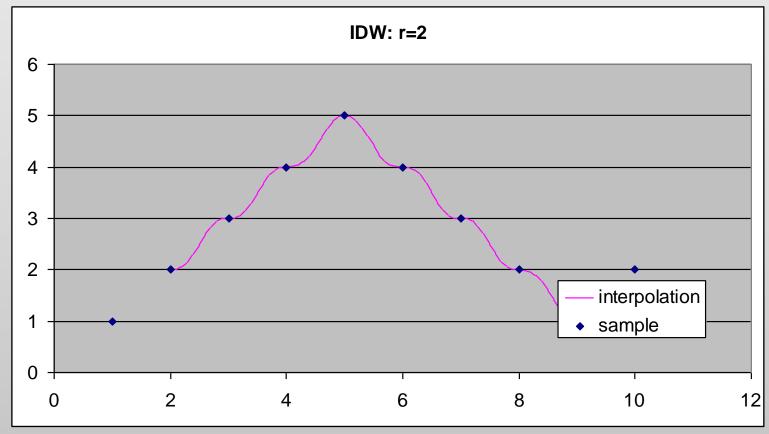
(McKinley and Ruffell 2006)

Outlier effects



(McKinley and Ruffell 2006)

Terracing effects may also occur (especially for high r values)



(McKinley and Ruffell 2006)

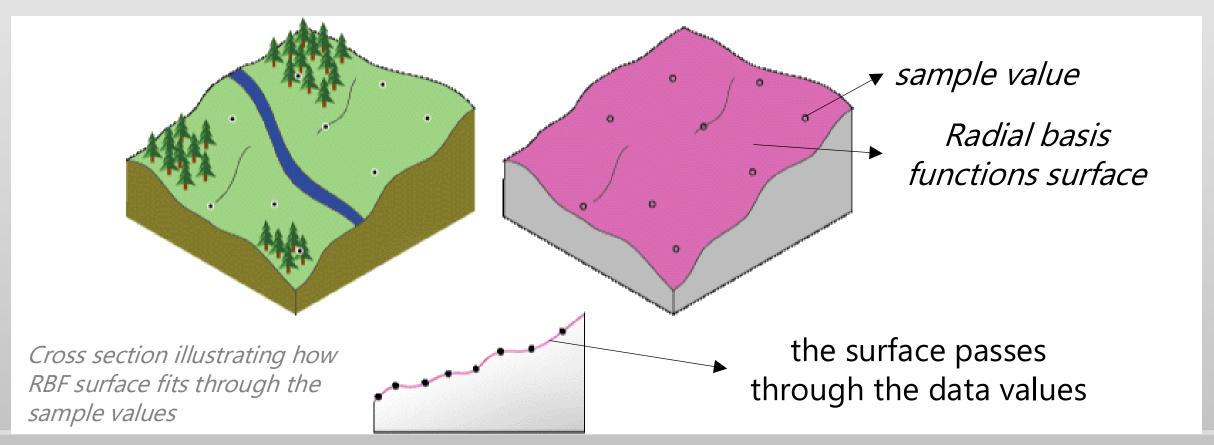
- Trend surfaces (global polynomial interpolation, GPI)
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- Radial basis functions or spline
- Kriging (ordinary, simple, universal, etc...analyses of spatial variation)

- Radial basis functions are a series of <u>exact interpolation</u> techniques i.e. the surface must pass through each measured sample value.
- There are five different basis functions:
  - Thin-plate spline
  - Spline with tension
  - Completely regularized spline
  - Multiquadric function
  - Inverse multiquadric function
- Radial basis functions methods are a special case of splines.

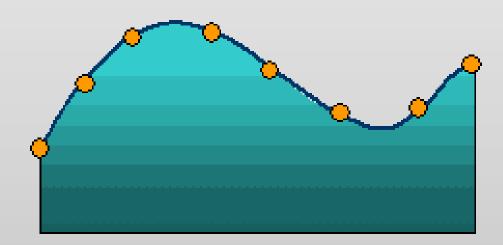
#### **Splines**

- Piece-wise functions
  - Fitted exactly to a small number of data points
  - Joins between one part of the curve and another are continuous
- Bicubic spline
  - Three dimensional (surface)
  - The resulting smooth surface passes exactly through the input points.
- Thin-plate spline
  - Exact spline surface is replaced by a locally smoothed average

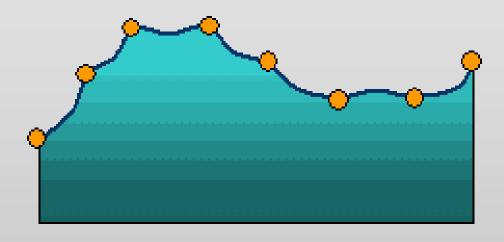
Radial basis functions are a series of exact interpolation techniques i.e. the surface must pass through each measured sample value.



□ Difference between Radial basis functions (RBF) and Inverse Distance Weighted (IDW )

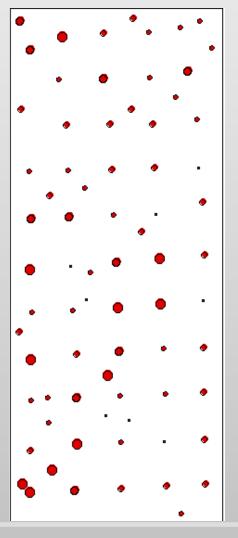


Example RBF profile

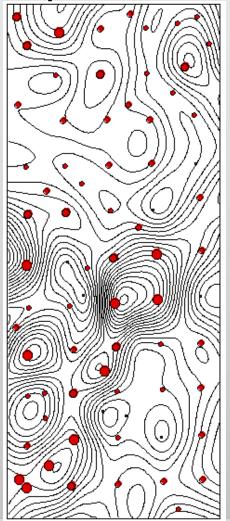


Example IDW profile

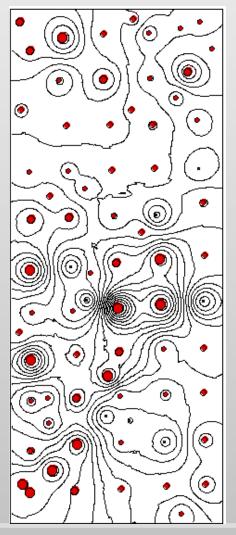
#### Point data



#### Spline result



#### **IDW** result



### Interpolation algor.: Radial basis functions

- RBFs are used to produce smooth surfaces from a large number of data points.
- The functions produce good results for gently varying surfaces such as elevation.
- The techniques are inappropriate when
  - large changes in the surface values occur within short distances
  - the sample data is prone to measurement error or uncertainty

### Interpolation algorithms

- □ Trend surfaces
- Nearest neighbour (Thiessen)
- Inverse distance interpolation / weighted moving average (IDW)
- Spline/local polynomials
- Kriging (analyses of spatial variation)



# Summary of interpolation tool

Tool	Description
Trend	Interpolates a raster surface from points using a trend technique.
Natural Neighbour	Interpolates a raster surface from points using a natural neighbour technique.
<u>IDW</u>	Interpolates a raster surface from points using an inverse distance weighted (IDW) technique.
<u>Spline</u>	Interpolates a raster surface from points using a two-dimensional minimum curvature spline technique.  The resulting smooth surface passes exactly through the input points.
Spline with Barriers	Interpolates a raster surface, using barriers, from points using a minimum curvature spline technique. The barriers are entered as either polygon or polyline features.
Topography to Raster	Interpolates a raster surface from point, line, and polygon data. E.g. contour line
Kriging	Interpolates a raster surface from points using kriging.

# **Learning Objectives**



GIS interpolation

- What?
- When?
- Data sources for interpolation

Spatial Interpolation Methods

Interpolation algorithms

Interpolation examples and application

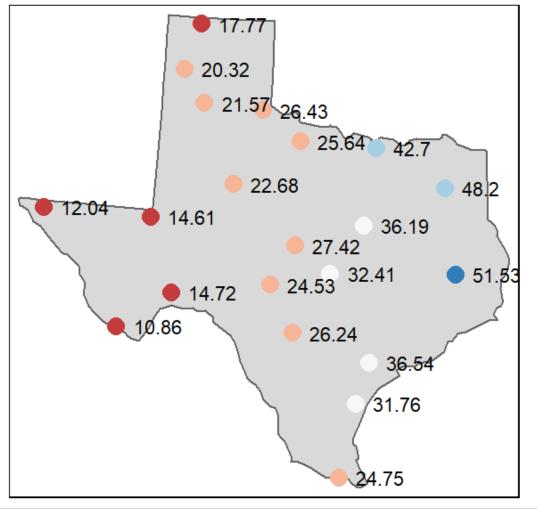
Today's topics

Given a distribution of point meteorological stations showing precipitation values,

How can I estimate precipitation values where data have not been observed?

Distribution of meteorological stations (points) showing precipitation

values

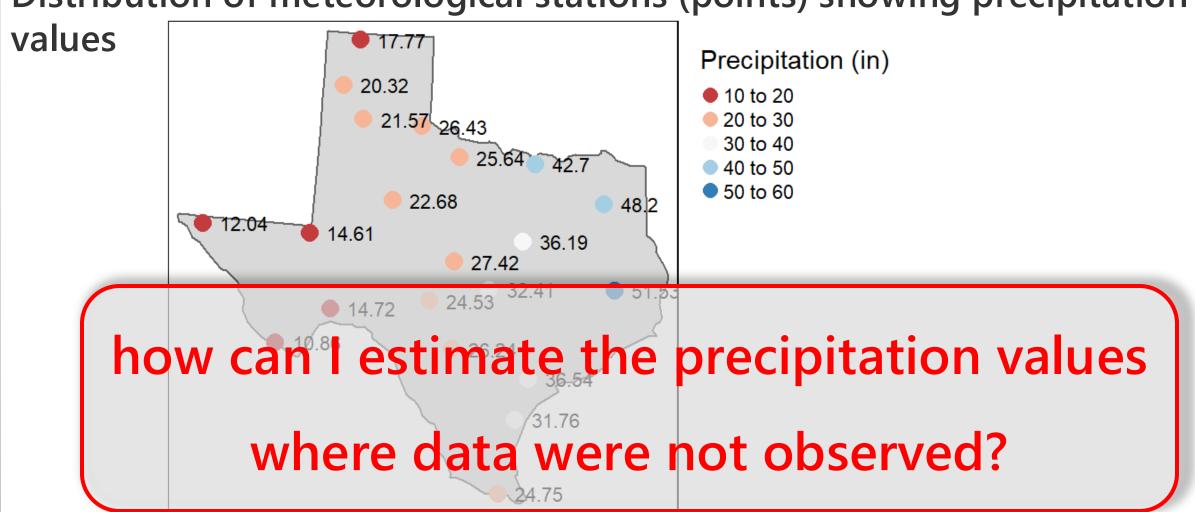


Precipitation (in)

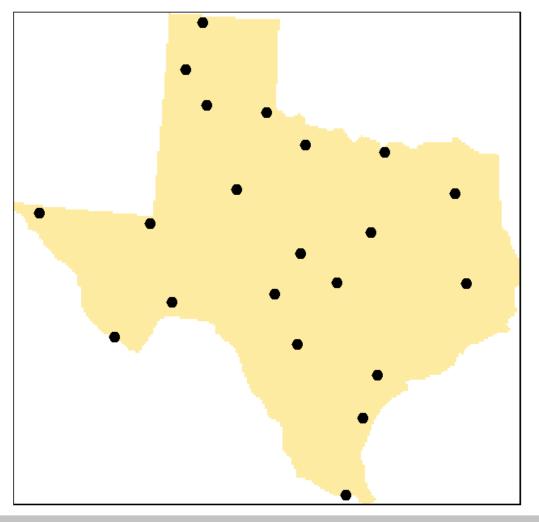
- 10 to 20
- 20 to 30
  - 30 to 40
- 40 to 50
- 50 to 60

Average yearly precipitation (reported in inches) for several meteorological sites in Texas

Distribution of meteorological stations (points) showing precipitation



### **Oth Order Trend Surface**



Predicted precip

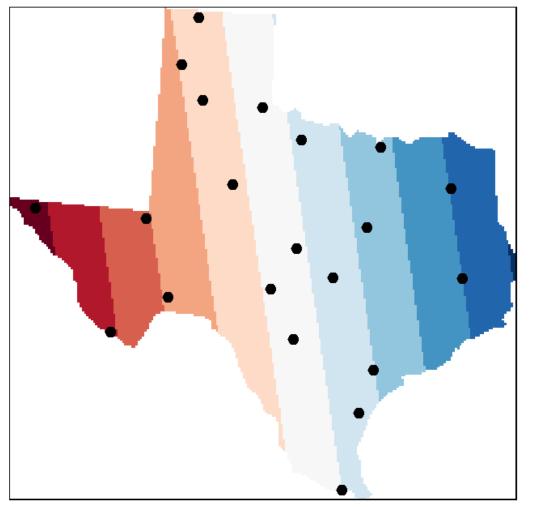
27.09

Z = a

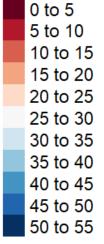
where a is the mean precipitation value of all sample points

The simplest model where all interpolated surface values are equal to the mean precipitation.

### 1st Order Trend Surface



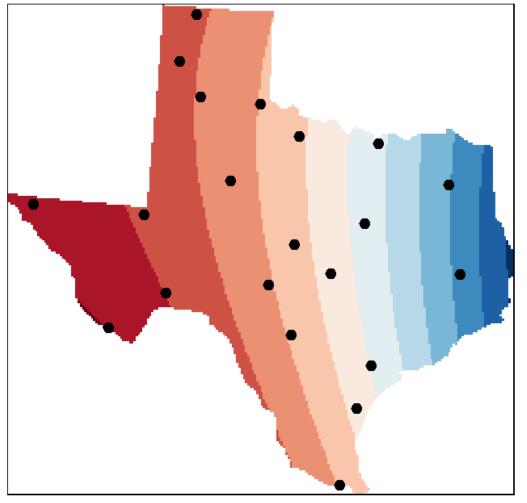
#### Predicted precip

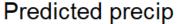


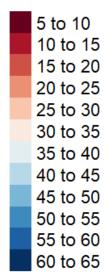
Z = a + bX + cYwhere X and Y are the coordinate pairs

Result of a first order interpolation

2<sup>nd</sup> Order Trend Surface (quadratic polynomial)



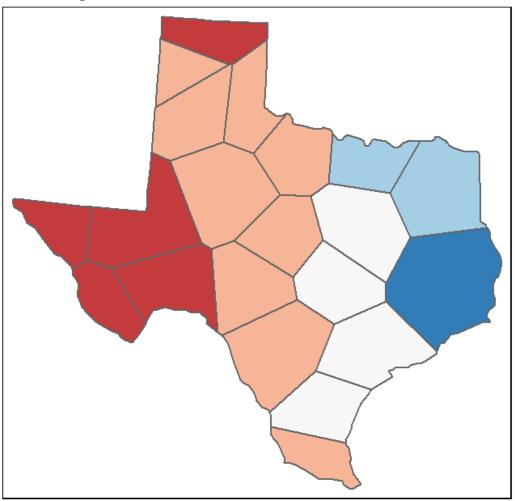


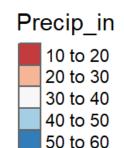


$$Z=a+bX+cY+dX2+eY2+fXY$$

Result of a second order interpolation

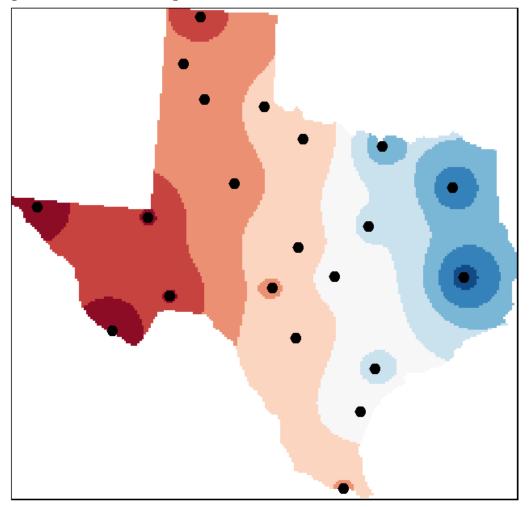
### Thiessen interpolation



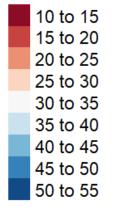


Tessellated surface generated from discrete point samples.

### IDW interpolation, power of 2



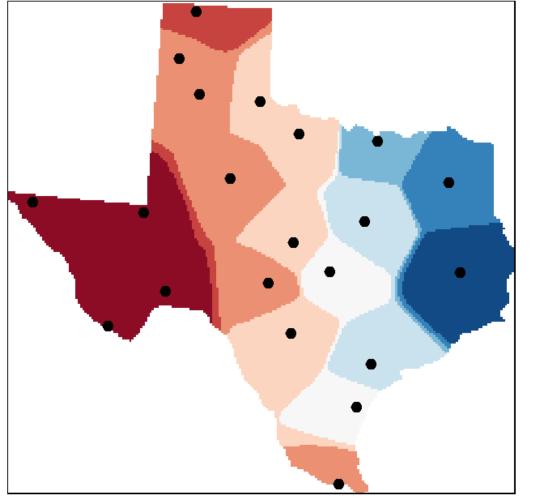
#### Predicted precip



$$\hat{Z}_j = rac{\sum_i Z_i/d_{ij}^n}{\sum_i 1/d_{ij}^n}$$

An IDW interpolation of the average yearly precipitation. An IDW generated with power coefficient (n) of 2

### IDW interpolation, power of 15

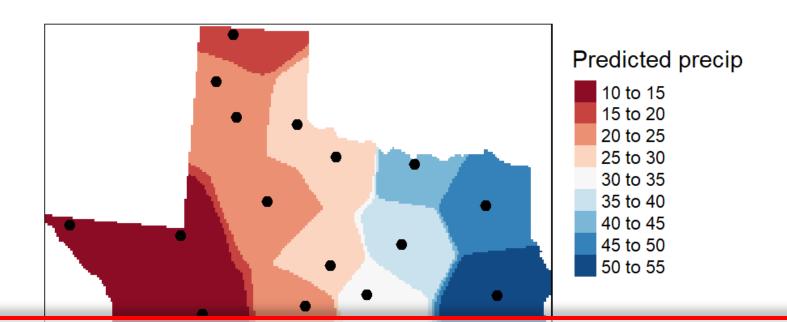


#### Predicted precip



$$\hat{Z}_j = \frac{\sum_i Z_i / d_{ij}^n}{\sum_i 1 / d_{ij}^n}$$

An IDW power coefficient n of 15



how can I quantify the accuracy of the estimated values?

### Accuracy of the estimated values

- Option 1: split the points into two sets:
  - The points used in the interpolation operation and the points used to validate the results
- Option 2: so called "leave-one-out cross validation analysis"
  - Remove one data point from the dataset and interpolate its value using all other points in the dataset
  - Repeat this process for each point in that dataset (the interpolator parameters remain constant)
  - The interpolated values are then compared with the actual values from the omitted point
    - Statistics: example root-mean of squared residuals (RMSE)

### Accuracy of the estimated values

□ Option 2: so called "leave-one-out cross validation analysis"

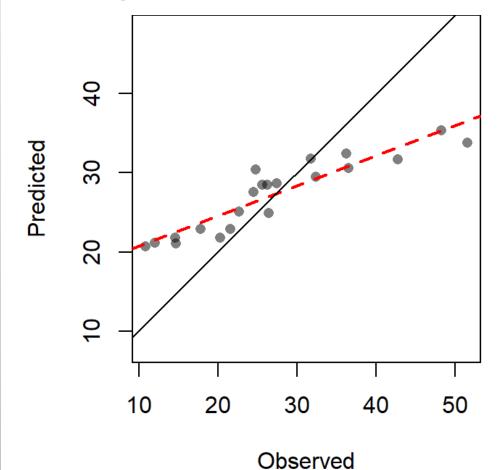
$$RMSE = \sqrt{rac{\sum_{i=1}^{n}(\hat{Z}_i - Z_i)^2}{n}}$$

### Where

- $\hat{Z}_i$  is the interpolated value at the unsampled location i where the sample point was removed
- $Z_i$  is the true value at location i
- *n* is the number of points in the dataset

### Accuracy of the estimated values

Option 2: so called "leave-one-out cross validation analysis"



Scatter plot fitting predicted values vs. the observed values at each sampled location following a leave-one-out cross validation analysis



Thanks!

Feedback questions