



# Diodes

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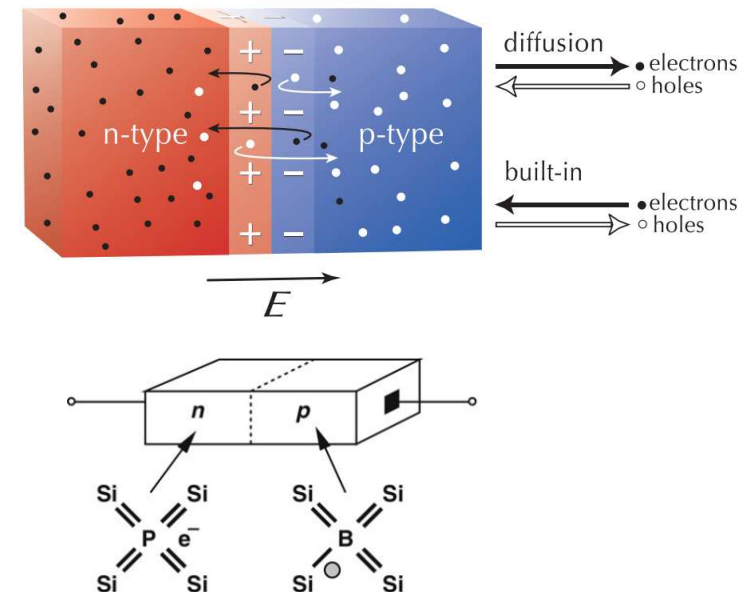
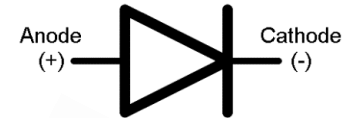
# Introduction

- *Non-linear* circuit element
- 2-terminals: cathode and anode
- Implemented as semiconductor *p-n* junction

## I-V Characteristics of Diodes

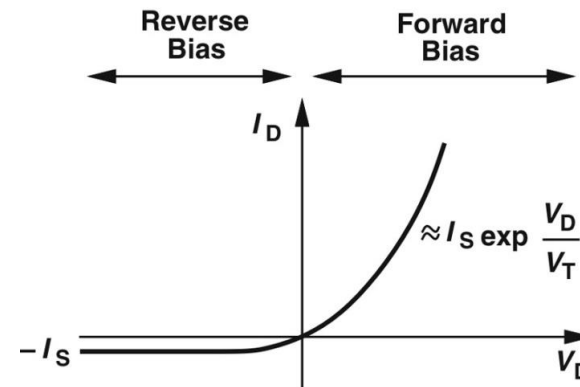
The mathematical relationship between applied voltage  $V_D$  across the *p-n* junction and its current  $I_D$  is

$$I_D = I_S \left( \exp \frac{V_D}{V_T} - 1 \right)$$



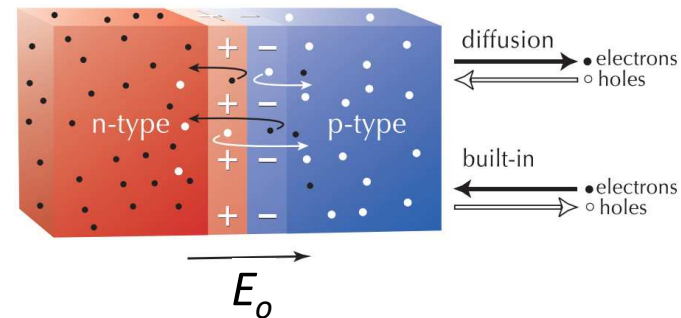
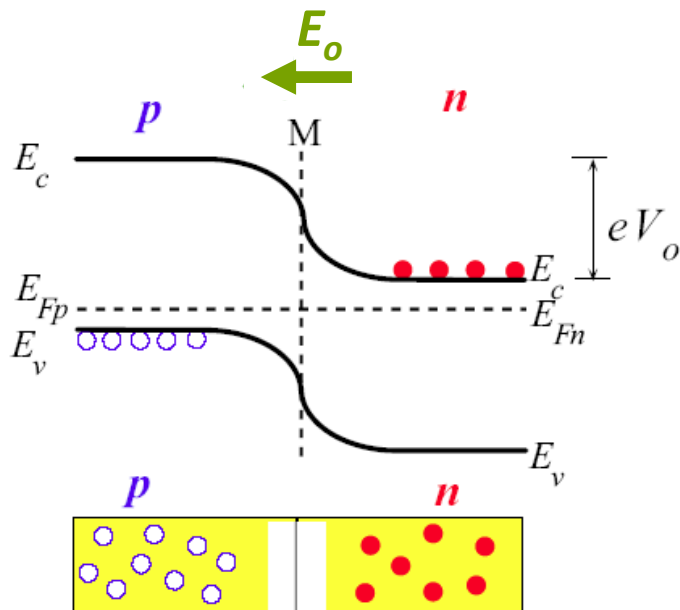
# Introduction

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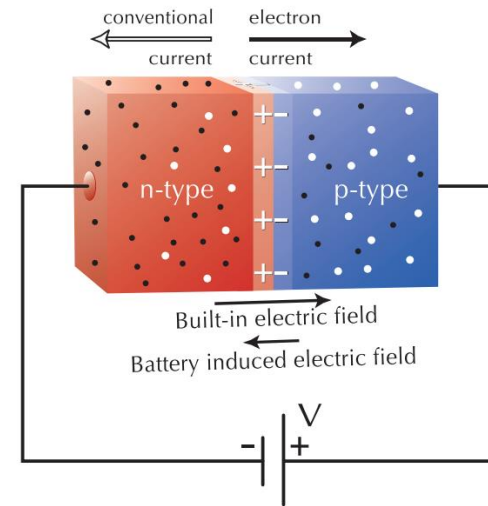
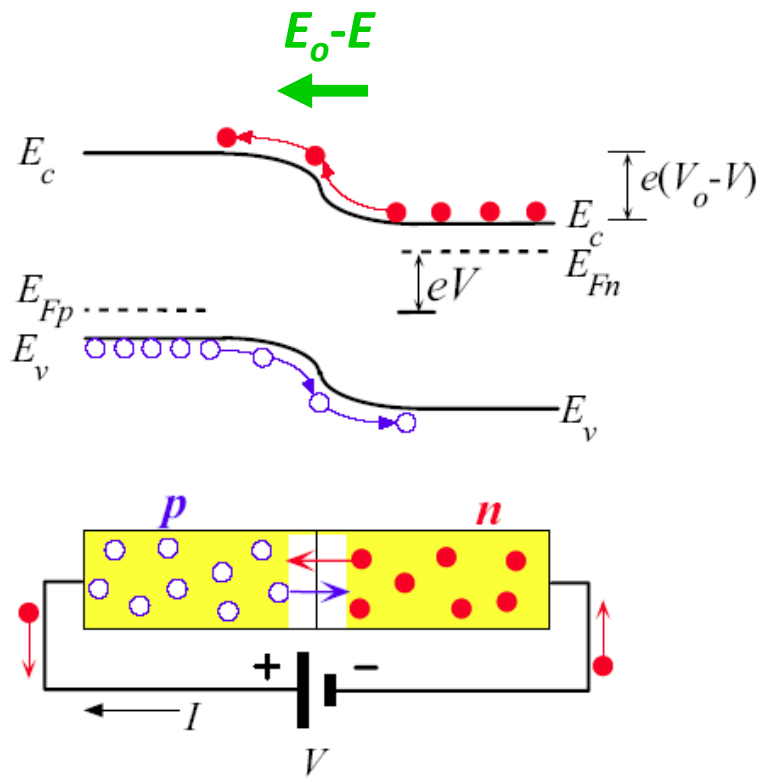
- $I_S$  is the “reverse saturation current” and is proportional to the cross-section area of the diode
- $V_T = kT/q$  is the thermal voltage
  - $k$  = Boltzmann’s constant =  $1.38 \times 10^{-23}$  joules/kelvin
  - $T$  = absolute temperature in kelvin
  - $q$  = the amount of charge carried by an electron =  $1.6 \times 10^{-19}$  coulomb
- Note that both  $I_S$  and  $V_T$  can be considered to be constant for a given diode and operating environment

# Open-circuited PN junction



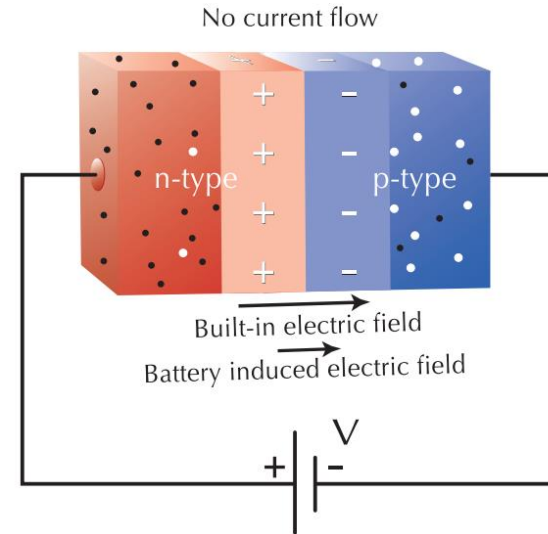
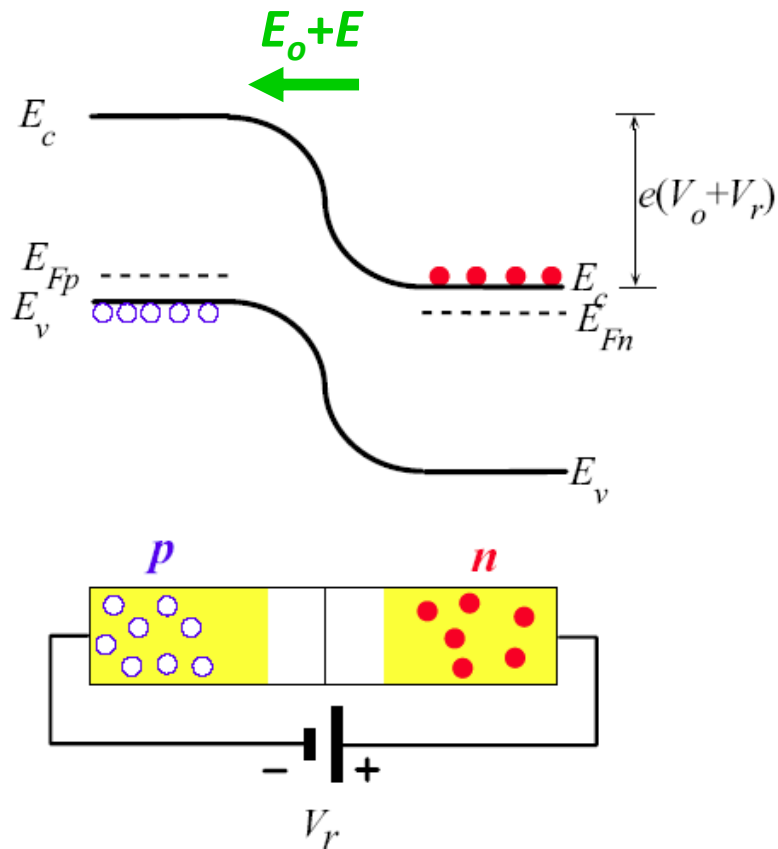
- The “built-in” electric field ( $E_o$ ) causes some of the electrons and holes to flow in the opposite direction to the flow caused by diffusion.
- These opposing flows eventually reach a stable equilibrium with the number of electrons flowing due to diffusion exactly balancing the number of electrons flowing back due to the electric field.
- The net flow of electrons (or holes) across the junction is zero.
- Hence, there is no net current.

# Forward biased PN junction



- The potential barrier is reduced with the applied voltage ( $V$ ).
- Electrons at  $E_c$  on the n-side can now readily overcome the barrier and diffuse to the p-side.
- There is a net flow of electrons (or holes) across the junction.
- Hence, conventional current ( $I$ ) flows from P side to N side.

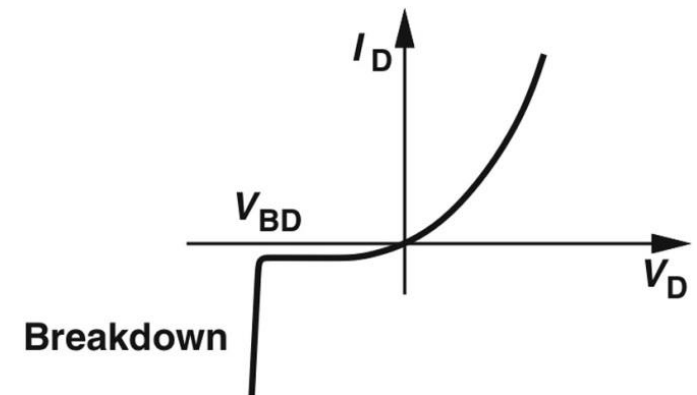
# Reverse biased PN junction



- The potential barrier is increased with the applied reverse voltage ( $V_r$ ).
- Diffusion current due to electrons is now negligible.
- Hence, there is no (or exceptionally small) current.

# Reverse Breakdown

- As we increase the reverse voltage across the p-n junction, the depletion region widens.
- The diffusion current  $I_D$  becomes smaller and smaller, eventually to zero.
- That is why the reverse current in the previous slide is  $-I_S$ .
- If the reverse voltage continues to increase, “breakdown” eventually occurs.
- Two breakdown mechanisms: zener effect, avalanche effect.
- Zener breakdown occurs because of the high electric field whereas avalanche breakdown occurs due to the collision of free electrons with atoms. Both these breakdowns can occur simultaneously.



# Diode Models

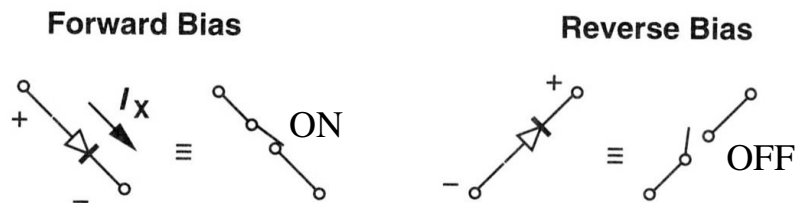
Circuit models for solving circuit problems:

- Ideal diode model
- Constant voltage model
- Small-signal model

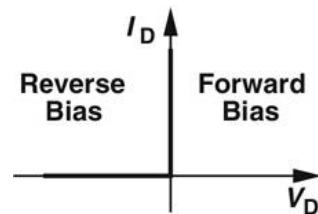


# Ideal Diode Model

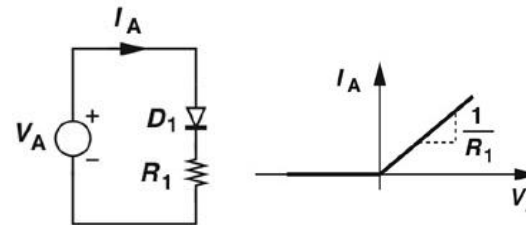
- Ideal diode has no resistance in the forward direction, but infinite resistance in the reverse direction.
- Equivalent circuit:



- I/V Characteristics:

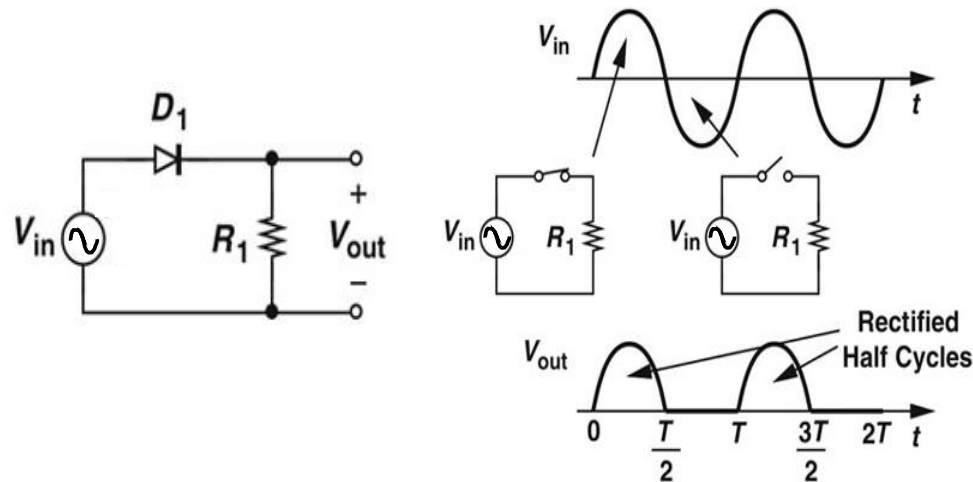


- Example: Consider the circuit on the right.
  - If  $V_A > 0$ ,  $D_1$  exhibits no resistance  $\Rightarrow I_A = V_A / R_1$
  - If  $V_A < 0$ ,  $D_1$  behaves as an open circuit  $\Rightarrow I_A = 0$



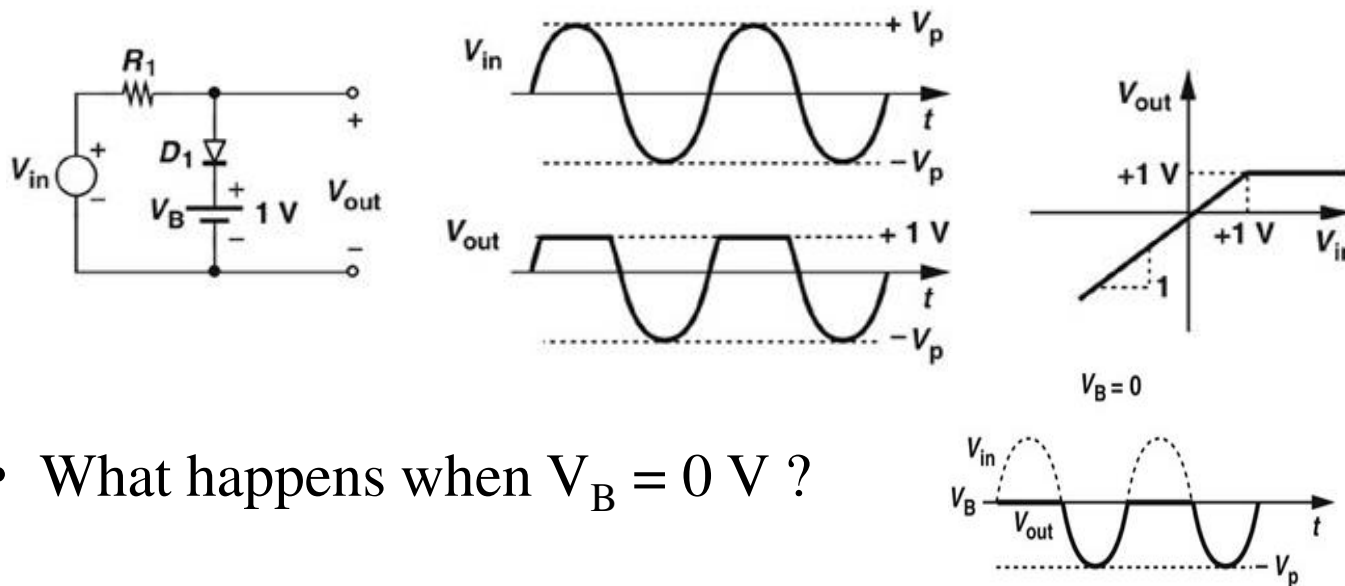
# Simple Rectifying Circuit (Ideal Diode Model)

- As  $V_{in}$  rises,  $D_1$  is forward biased, shorting the output to the input; this state hold for the positive half cycle.
- When  $V_{in}$  falls below zero,  $D_1$  turns off and  $R_1$  ensures that  $V_{out} = 0$  because  $I_d R_1 = 0$ .
- The circuit is known as a rectifier.



# Voltage Rectifying Circuit (Ideal Diode Model)

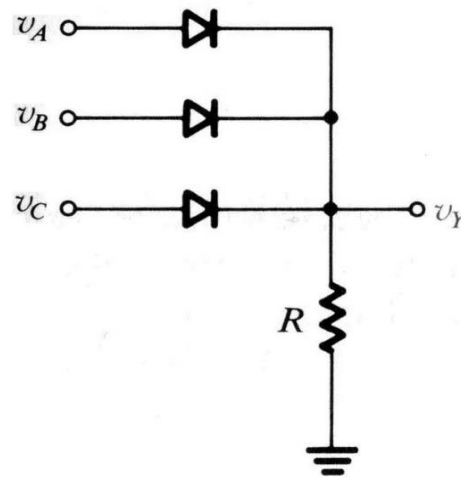
- 1-V battery placed in series with an ideal diode
- $D_1$  turns on only when  $V_{out}$  approaches +1 V
- Circuit “clips” or “limits” at +1 V



- What happens when  $V_B = 0$  V ?

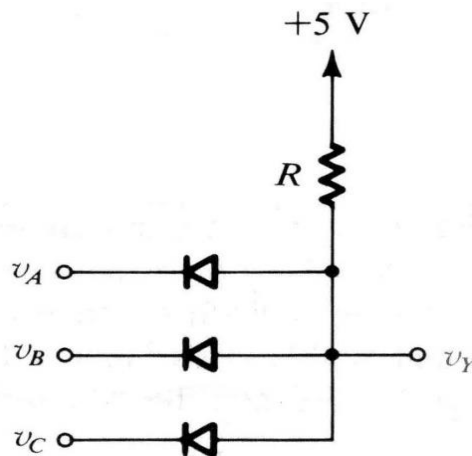
# Diode Logic (OR function)

- Diodes together with resistors can be used to implement digital logic functions
  - Diodes connected to 5 V inputs (logic 1) will conduct (forward biased).
  - Current from source flow to resistor, so  $v_Y = 5$  V and keep the diodes whose inputs are low (logic 0) in reverse bias.
  - $Y = A \text{ OR } B \text{ OR } C$



# Diode Logic (AND function)

- When all input voltages are high (input logic 1), the voltage drops across the diodes are zero and these diode switches are open.
- The output voltage is high (output logic 1) since no current flows through the resistor and there is no voltage drop across it.
- If the voltage of some input voltage source is low (input logical 0), a current will flow from the power supply (+5 V) to the input source via the resistor ( $R$ ) and diode.
- $Y = A \text{ AND } B \text{ AND } C$



# Example (1)

Question: Find the values of  $I$  and  $V$  in Fig. 1 on the right.

- At first sight, it is not obvious which diodes ( $D_1$ ,  $D_2$ ) are ON.
  - We can make assumption, proceed with assumption.
  - Then check whether we end up with inconsistency.
- 
- Assume both diodes are conducting.
  - Then  $V_B = 0$  V and  $V = 0$  V
  - By applying KCL at node B, we have  $I + I_{D2} = I_B \Rightarrow I = I_B - I_{D2}$
  - Since  $I_{D2} = 10/10k = 1$  mA and  $I_B = 10/5k = 2$  mA, we have  $I = 1$  mA
  - This is consistent with the assumption that  $D_1$  is conducting

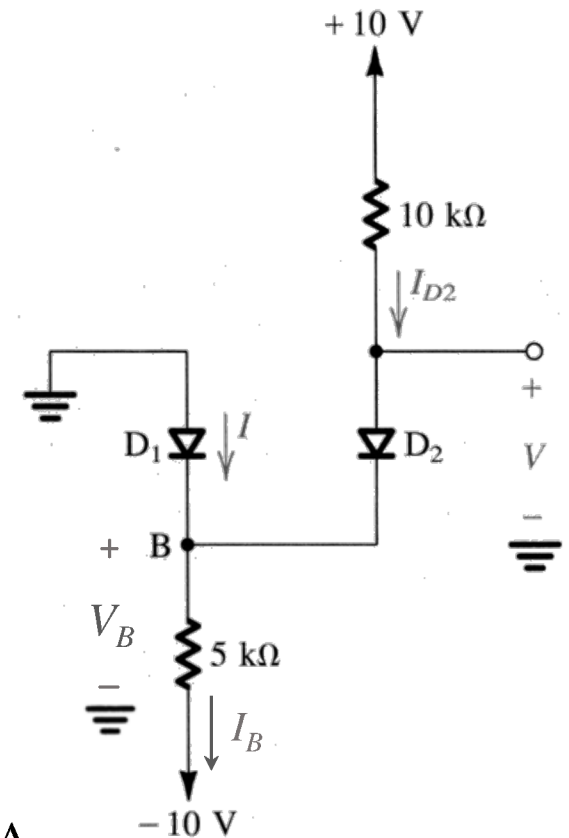


Fig. 1.

## Example (2)

Consider the circuit in Fig. 2 on the right.

- If we assume both diodes ( $D_1$ ,  $D_2$ ) are conducting (ON), then
  - We still have  $V_B = 0$  V and  $V = 0$  V
  - By invoking KCL at node B, we have  $I + I_{D2} = 10/10k = 1$  mA
  - $I_{D2}$  is now  $10/5k = 2$  mA
  - Then  $I = -1$  mA, which is impossible
- If we assume  $D_1$  is OFF and  $D_2$  is ON
  - Then  $I = 0$ , and  $I_{D2} = [10 - (-10)] / 15k = 4/3$  mA
  - Hence,  $V = 10 - 5k \times (4/3 \text{ mA}) = 3.33$  V
  - Since  $V_B$  is also 3.33 V,  $D_1$  is indeed OFF.
  - This validates the assumption that  $D_1$  is OFF.

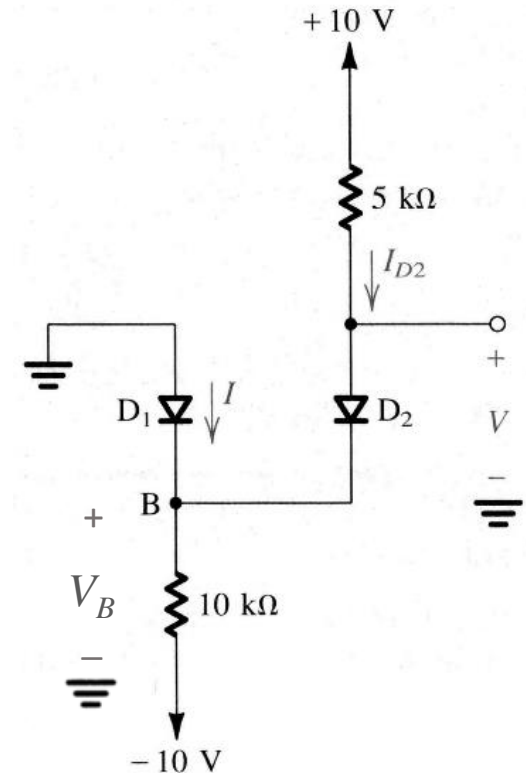
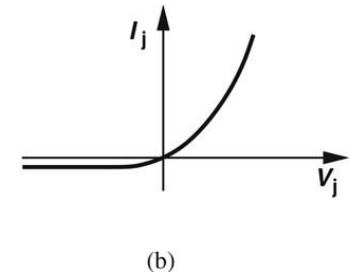
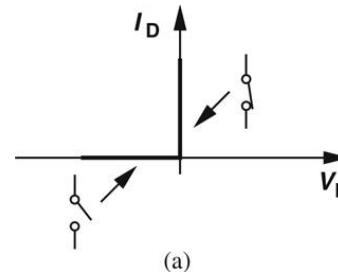


Fig. 2.

# PN Junction as a Diode

- In practice, a diode is implemented using a p-n junction



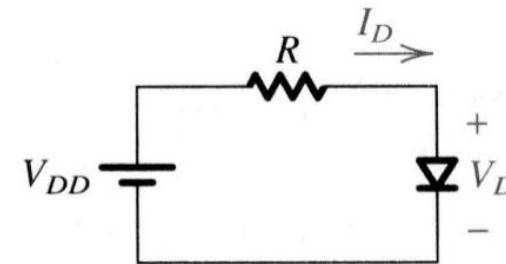
- Example: Determine  $V_D$  and  $I_D$  in the simple circuit. Use the exponential model of the p-n junction for the diode.

The I-V characteristic of the pn junction diode is

$$I_D \approx I_S \exp(V_D / V_T) \quad (1)$$

On the other hand, the I-V equation for the resistor is

$$I_D = \frac{V_{DD} - V_D}{R} \quad (2)$$

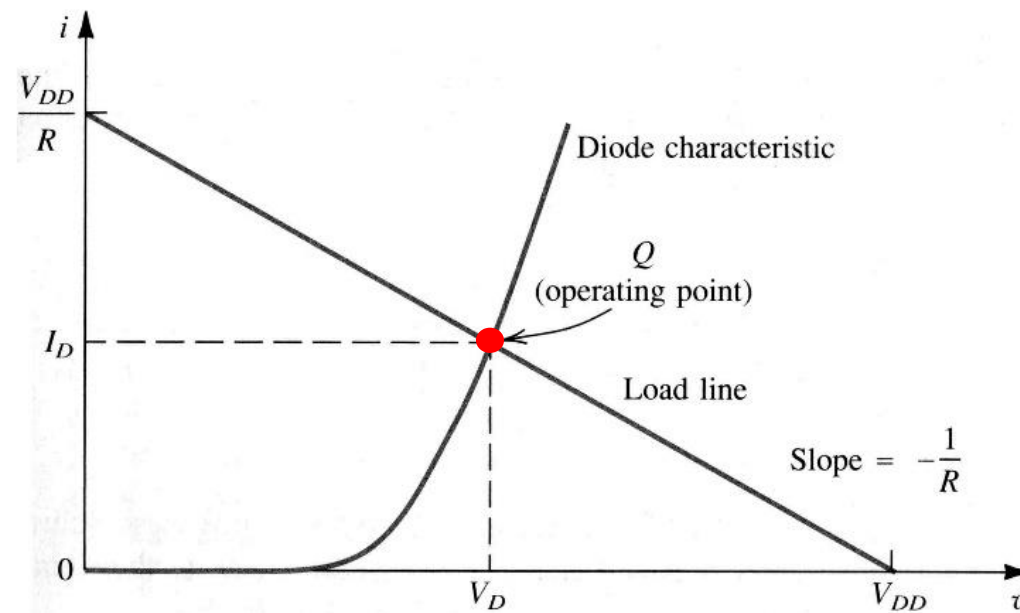


In both equations, we don't know  $V_D$  and  $I_D$ . Thus, we need to solve equation (1) and (2) for the two unknowns. But, one of them is nonlinear!



# PN Junction as a Diode

One method is draw both lines on the I-V plane and determine the intersection point, which is called the intersection point or Q-point.



# PN Junction as a Diode

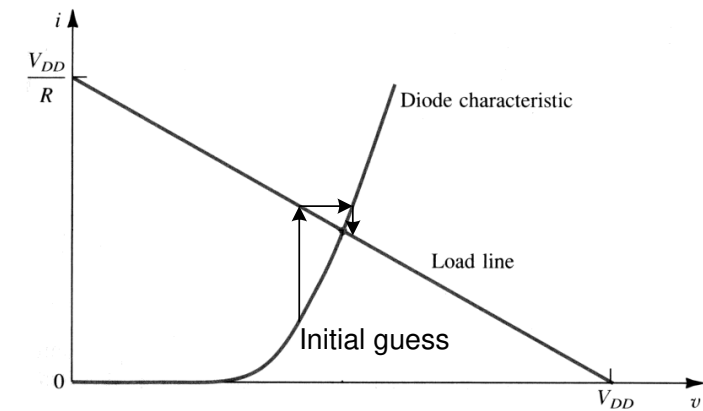
Another method is *iterative method*:

- Guess a  $V_D$ , then solve for  $I_D$  using equation (2).
- Using the obtained  $I_D$  to solve for a new  $V_D$  using equation (1).
- The process is repeated until the solutions from two consecutive iterations are very close to each other.

Iterative method in action:

Given:  $V_{DD} = 5\text{ V}$ ,  $R = 1\text{ k}\Omega$ ,  $I_s = 2.0298 \times 10^{-15}\text{ A}$ , and  $V_T = 26\text{ mV}$ .

- Assume  $V_D = 0.7\text{ V}$  (or 700 mV).
- From (2), we have  $I_D = (V_{DD} - V_D) / R = (5 - 0.7) / 1\text{ k} \Rightarrow I_D = 4.3\text{ mA}$
- By substituting  $I_D = 4.3\text{ mA}$  into (1), we have
$$4.3 \times 10^{-3} = 2.0298 \times 10^{-15} \times \exp(V_D / 26 \times 10^{-3}) \Rightarrow V_D = 0.7379\text{ V}$$
- From (2),  $I_D = 4.262\text{ mA}$
- From (1),  $V_D = 0.73769\text{ V}$  (or 737.69 mV)



# PN Junction as a Diode

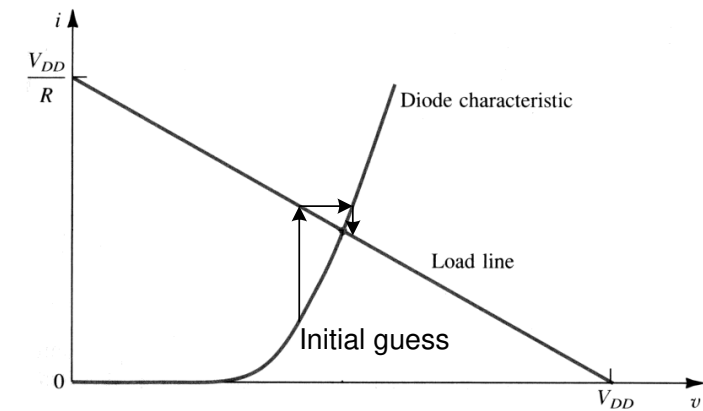
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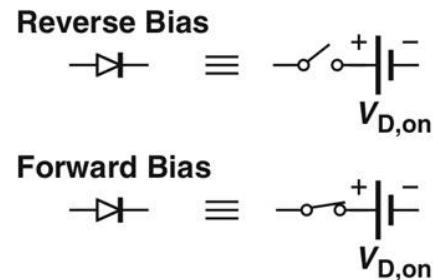
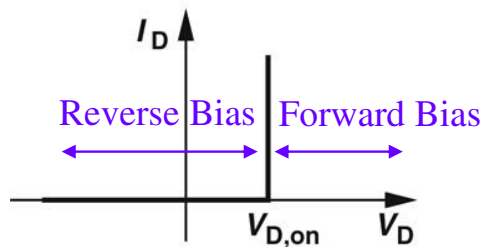


# Constant-voltage Model

- The exponential I/V characteristic of the diode results in nonlinear equations, making analysis rather difficult.
- Notice that the diode voltage is a relatively weak function of the device current.
- Example: Suppose  $I_D = I_S \exp V_D / V_T$ . With two valid points on this curves, we can write

$$\frac{I_{D1}}{I_{D2}} = \exp \frac{V_{D1} - V_{D2}}{V_T} \Rightarrow V_{D1} - V_{D2} = V_T \ln(I_{D1} / I_{D2})$$

- If  $I_{D1} = 10 I_{D2}$ , the increase in voltage is only  $V_T \ln 10 \approx 60\text{mV}$ , which verifies the claim above.
- This forms the basis for the constant-voltage model with a typical value of  $V_{D,\text{on}} = 700\text{ mV}$  (or  $0.7\text{ V}$ ).



# Constant-voltage Model

Plot the input/output characteristic for the circuit shown in (a). Assume a constant-voltage model for the diode.

- We begin with  $V_{in} = -\infty$ , then the circuit is shown in (b).

- $D_1$  is on, and  $V_{out} = V_{in} + V_{D,on}$

- The currents through  $R_2$  and  $R_1$  are:

$$I_{R2} = \frac{V_{D,on}}{R_2}, \quad I_{R1} = \frac{0 - V_{out}}{R_1} = -\frac{(V_{in} + V_{D,on})}{R_1}$$

- As  $V_{in}$  increases,  $I_{R2}$  remains constant but  $I_{R1}$  decreases.

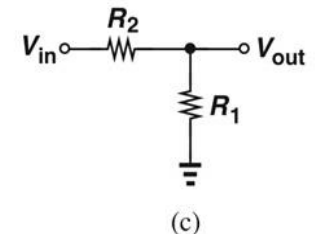
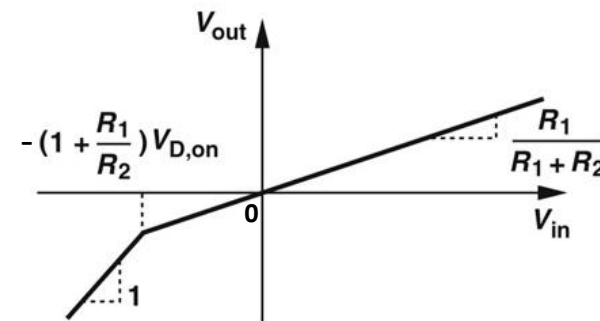
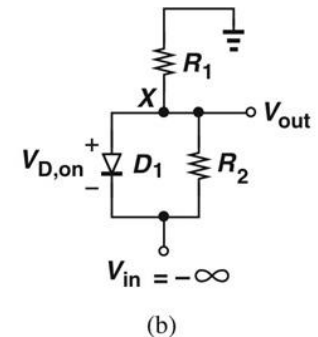
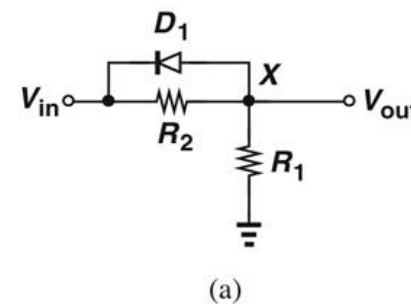
- At some point,  $I_{R2} = I_{R1}$ , and then  $D_1$  is turned off.

This occurs at

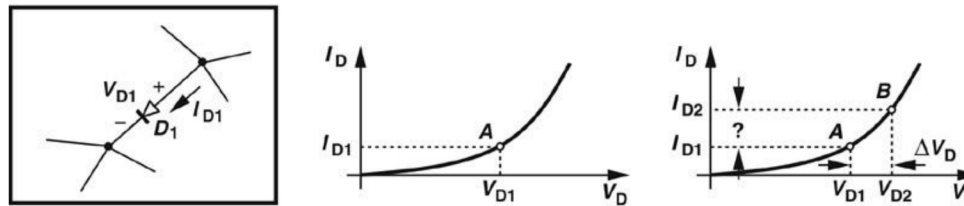
$$\frac{V_{D,on}}{R_2} = -\frac{(V_{in} + V_{D,on})}{R_1} \Rightarrow V_{in} = -\left(1 + \frac{R_1}{R_2}\right)V_{D,on}$$

- After this voltage, the circuit is shown in (c)

$$\frac{V_{out}}{V_{in}} = \frac{R_1}{R_1 + R_2}$$



# Small-signal Operations



- Suppose a diode operates at a DC operating point in the forward I/V curve (say point A).
- A small perturbation in the circuit changes the diode voltage by a small amount ( $\Delta V_D$ ), how can we predict the change in the diode current ?
- We begin by considering the exponential model

$$I_{D2} = I_S \exp\left(\frac{V_{D1} + \Delta V_D}{V_T}\right) = I_S \exp\left(\frac{V_{D1}}{V_T}\right) \exp\left(\frac{\Delta V_D}{V_T}\right)$$

- If  $\Delta V_D \ll V_T$ , we have  $\exp(\Delta V_D / V_T) \approx 1 + \Delta V_D / V_T$ . Hence, we have

$$I_{D2} \approx I_S \exp\frac{V_{D1}}{V_T} + \frac{\Delta V_D}{V_T} I_S \exp\frac{V_{D1}}{V_T} = I_{D1} + \underbrace{\frac{I_{D1}}{V_T} \Delta V_D}_{=\Delta I_D}$$

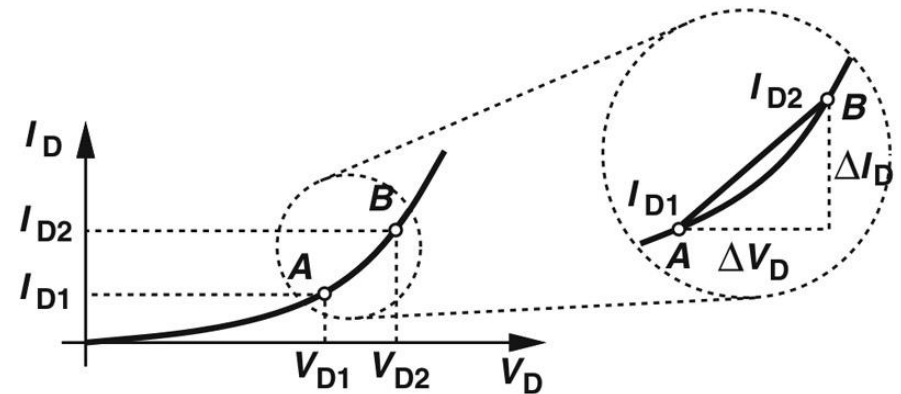
# Small-signal Operations

- Physical meaning:
  - If  $\Delta V_D$  is small, the section A–B is approximately linear.
  - The slope is

$$\frac{\Delta I_D}{\Delta V_D} = \left. \frac{dI_D}{dV_D} \right|_{V_D=V_{D1}} = \frac{1}{V_T} \times I_s \exp \frac{V_{D1}}{V_T} = \frac{I_{D1}}{V_T}$$

- Point A is the operating point / Q-point
- Hence, the **small-signal resistance** of the diode is

$$r_d = \frac{V_T}{I_D}$$



# Small-signal Operations

A signal  $V(t) = V_o + V_p \cos(\omega t)$  is applied to a diode with  $V_p \ll V_T$ , determine the diode current.

The operating point of the diode is  $(V_o, I_o)$ :  $I_o = I_s \exp(V_o / V_T)$

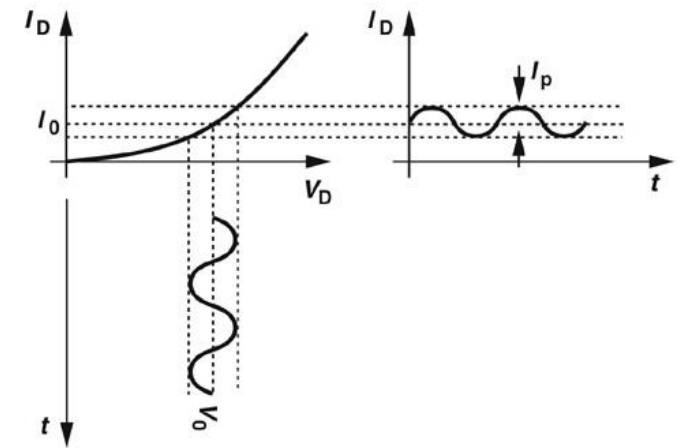
From the small-signal model,  $r_d = V_T / I_o$ , so the peak current is:

$$I_p = V_p / r_d = \frac{I_o}{V_T} V_p$$

Hence, the total current is:

$$I_D(t) = I_o + I_p \cos \omega t = I_s \exp \frac{V_o}{V_T} + \frac{I_o}{V_T} V_p \cos \omega t$$

Notice that if  $V_p$  was large, we would need to solve  $I_D(t) = I_s \exp \frac{V_o + V_p \cos \omega t}{V_T}$

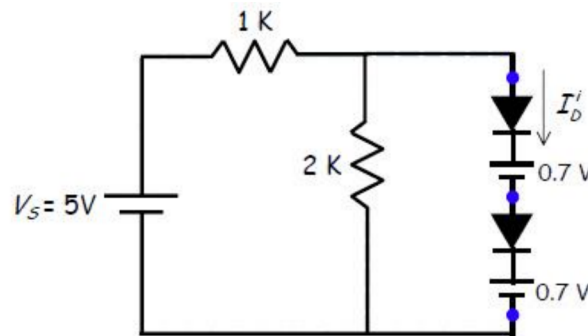
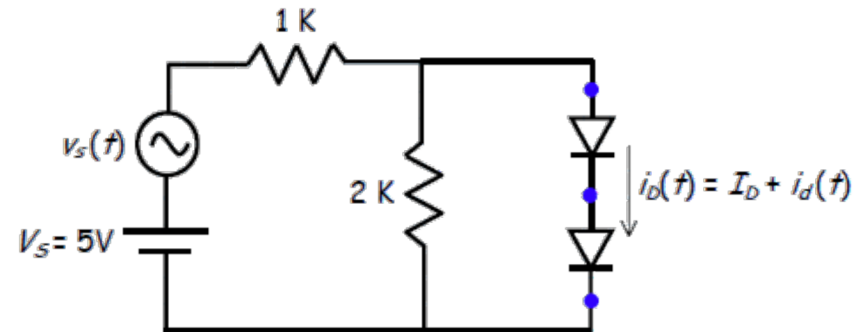




# Example (3)

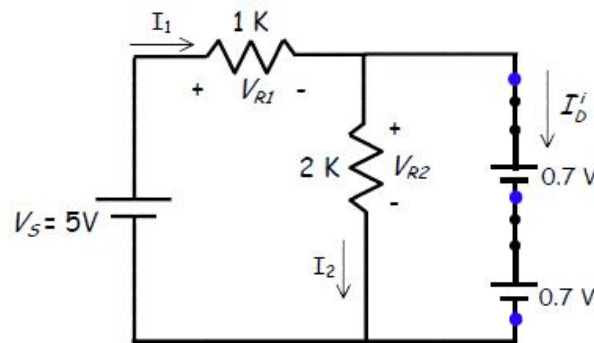
Consider the circuit. Determine  $i_d(t)$  if  $v_s(t) = 0.01 \sin \omega t$  by small-signal analysis.

- Find  $r_d$  requires knowledge of the quiescent current  $I_D$  (DC).
- Therefore, both DC and AC analysis needs to be carried out.
- The DC and AC circuits can be singled out by superposition.
- For DC analysis, turn off the small-signal source and replace the diodes with the **constant voltage model**.



## Example (3) (cont'd)

- DC analysis:

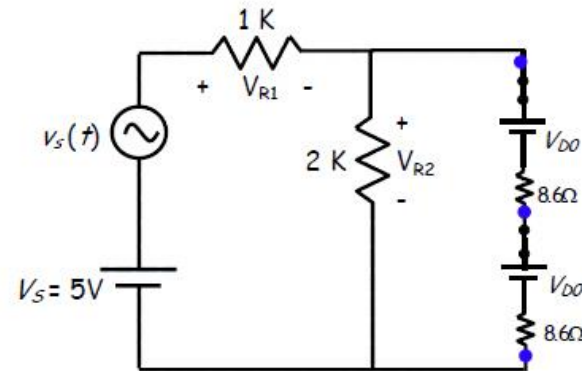


- By KVL,  $V_{R2} = 0.7 + 0.7 = 1.4\text{ V}$
- Since  $I_2 = V_{R2} / 2\text{ k}$  and  $V_{R2} = 1.4\text{ V}$ ,  $I_2 = 0.7\text{ mA}$
- By KVL,  $V_{R1} = 5.0 - V_{R2} = 3.6\text{ V}$
- By Ohm's law,  $I_1 = V_{R1} / 1\text{ k} = 3.6\text{ mA}$
- Hence,  $I'_D = I_1 - I_2 = 2.9\text{ mA} > 0$  (Quiescent current)
- Calculate the small-signal resistance  $r_d$ :

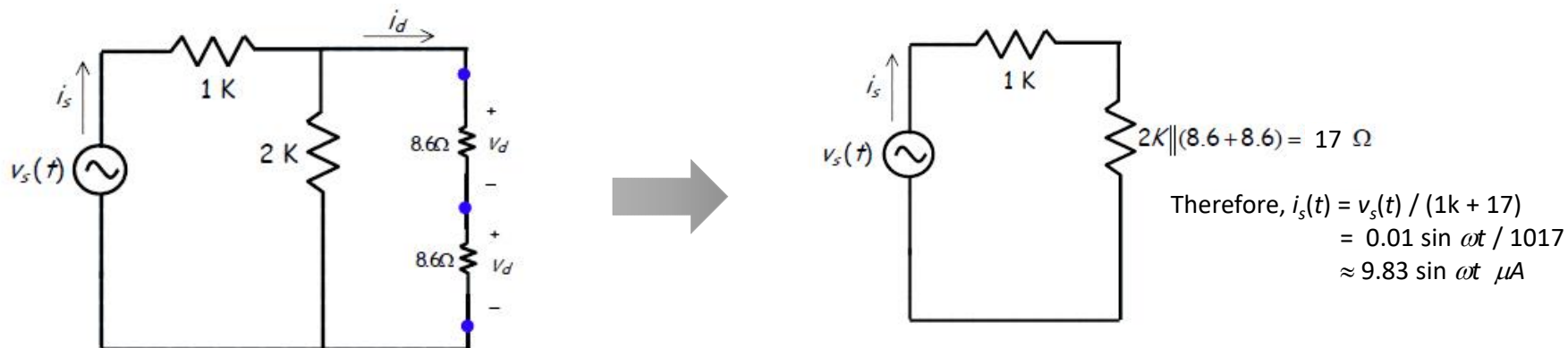
$$r_d = V_T / I_D = 0.025 / 0.0029 \approx 8.62\ \Omega$$

# Example (3) (cont'd)

- Replace the diodes with the small-signal model:



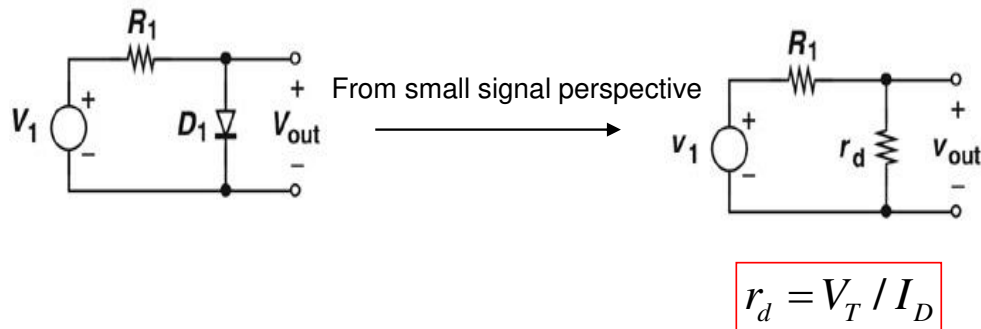
- Carry out ac small-signal analysis by turning off all DC sources:



# Summary

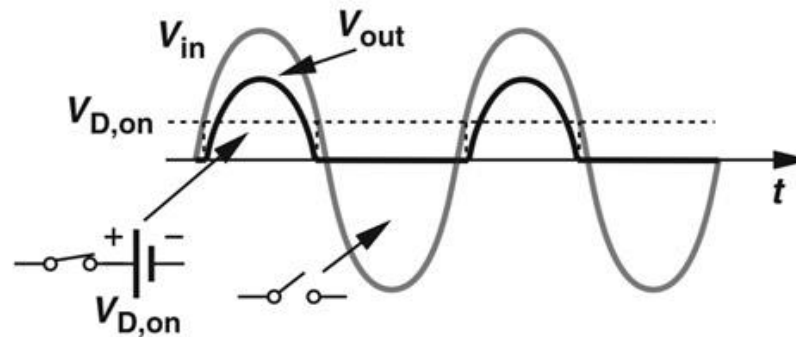
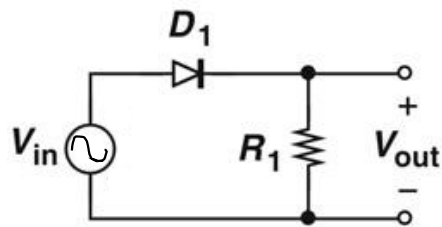
How to systematically perform analysis of diode circuits using the small-signal model ?

- Determine the operating point (initial voltage and current).
- Develop the small-signal model (i.e., calculate  $r_d$ ).
- Replace each diode with its small-signal model.



# Application: Rectifying Circuits

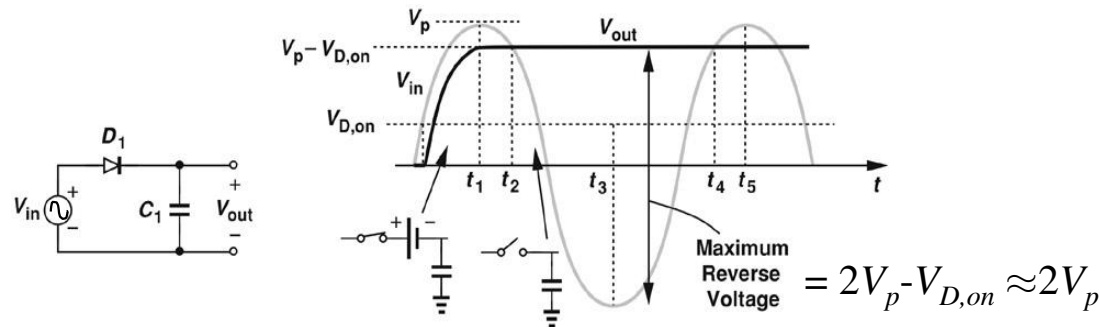
- Half-wave rectifier with constant-voltage diode model:



- The diode  $D_1$  is no longer assumed ideal, use constant-voltage model instead.
- $V_{out}$  remains zero until  $V_{in}$  exceeds  $V_{D,on}$ , at which point  $D_1$  is turned on (i.e. forward biased).
- The circuit essentially operates as a half-wave rectifier.

# Application: Rectifying Circuits (cont'd)

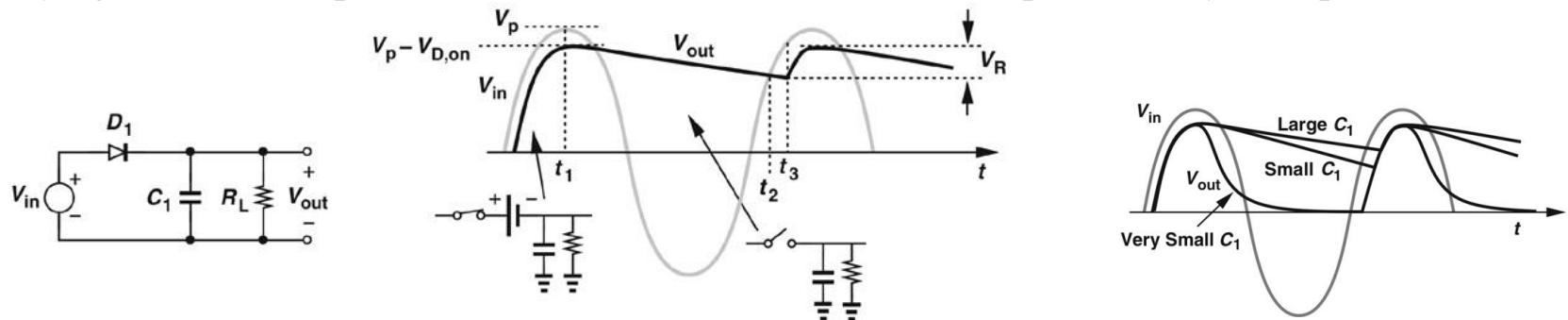
- Output amplitude of the “half-wave rectifier” varies too much for practical use. Now, replace the resistor with a capacitor.



- As  $V_{in}$  rises from zero, the diode  $D_1$  is OFF until  $V_{in} > V_{D,on}$  at which point  $D_1$  is turned ON (forward biased).
- At  $t = t_1$ ,  $V_{in} = V_p$ , where  $V_p$  is the peak value of  $V_{in}$ , and  $V_{out} = V_p - V_{D,on}$ .
- As  $V_{in}$  begins to fall,  $V_{out}$  must remain constant because if  $V_{out}$  were to fall,  $C_1$  would need to be discharged by a current flowing from its top plate through the cathode of  $D_1$ , which is impossible.
- Therefore,  $D_1$  is OFF (reverse biased) after  $t = t_1$ .
- At  $t = t_2$ ,  $V_{in} = V_p - V_{D,on} = V_{out}$  ( $D_1$  experiences **zero** voltage difference).
- At  $t > t_2$ ,  $V_{in} < V_{out}$ ,  $D_1$  experiences negative voltage.
- At  $t = t_3$ ,  $V_{in} = -V_p$  with a maximum reverse bias voltage of  $V_{out} - V_{in} = 2V_p - V_{D,on}$  across the diode  $D_1$ .
- Hence, the diode in this rectifier must withstand a reverse voltage of  $\sim 2V_p$ .

# Application: Rectifying Circuits (cont'd)

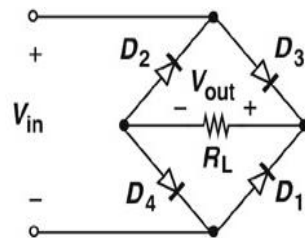
- In reality, the rectifying circuit has to provide a current to a load, which can be represented by a simple resistor.



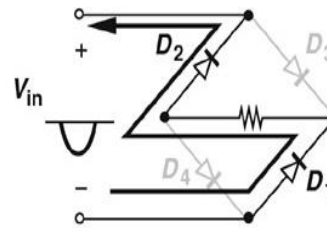
- $V_{out}$  behaves as before until  $t = t_1$ .
- As  $V_{in}$  begins to fall after  $t_1$ , so does  $V_{out}$  because  $R_L$  provides a discharge path for  $C_1$ .
- Since too much variation in  $V_{out}$  is undesirable,  $C_1$  must be large enough so that the current drawn by  $R_L$  does *not* reduce  $V_{out}$  significantly.
- Nonetheless,  $V_{out}$  continues to decrease while  $V_{in}$  goes through a negative excursion before returning to positive values again.
- At  $t = t_3$ ,  $V_{in}$  exceeds  $V_{out}$  by  $V_{D,on}$ , thereby turning  $D_1$  on and forcing  $V_{out} = V_{in} - V_{D,on}$ .
- Variation in  $V_{out}$  is called “ripple”, and  $C_1$  is the “smoothing” capacitor.
- Because this is an RC circuit, the ripple amplitude  $V_R$  depends on the value of  $C_1$ .

# Full-wave Rectifier

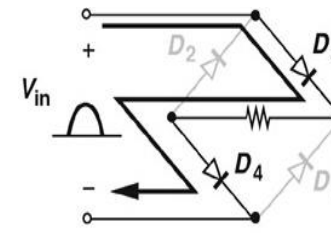
- Half-wave rectifier suffers from large ripple.
- Full-wave rectifier (also known as bridge rectifier), assuming ideal diodes:



(a)



(b)



(c)

- If  $V_{in} < 0$ ,  $D_1$  and  $D_2$  are ON whereas  $D_3$  and  $D_4$  are OFF, reducing the circuit to (b) and  $V_{out} = -V_{in}$ .
- If  $V_{in} > 0$ , the bridge is simplified to (c) and  $V_{out} = V_{in}$ .
- However, with non-ideal diodes,  $V_{out} = V_{in} - 2V_{D,on}$  for full-wave rectifier, compared to  $V_{out} = V_{in} - V_{D,on}$  for half-wave rectifier.
- Less ripple than half-wave rectifier:

