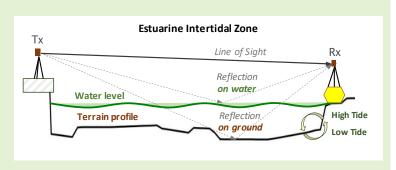


# Modeling LoRa Communications in Estuaries for IoT Environmental Monitoring Systems

Miguel Gutiérrez Gaitán, Pedro M. d'Orey, José Cecílio, Marta Rodrigues, Pedro M. Santos, Luís Pinto, Anabela Oliveira, António Casimiro, Luís Almeida

Abstract—Low-power wide-area networks are extending beyond the conventional terrestrial domain. Coastal zones, rivers, wetlands, among others, are nowadays common deployment settings for Internet-of-Things nodes where communication technologies such as LoRa are becoming popular. In this article, we investigate large-scale fading dynamics of LoRa line-of-sight links deployed over an estuary with characteristic intertidal zones, considering both shore-to-shore and shore-to-vessel communications. We propose a novel methodology for path loss prediction which captures i) spatial, ii) temporal and iii) physical



features of the RF signal interaction with the environmental dynamics, integrating those features into the two-ray propagation model. To this purpose, we resort to precise hydrodynamic modeling of the estuary, including the specific terrain profile (*bathymetry*) at the reflection point. These aspects are key to accounting for a reflecting surface of varying altitude and permittivity as a function of the tide. Experimental measurements using LoRa devices operating in the 868 MHz band show major trends on the received signal power in agreement with the methodology's predictions.

Index Terms—Intertidal zone, LoRa, overwater communications, path loss, RF propagation, tidal fading, two-ray model.

#### I. INTRODUCTION

THE protection of water environments is an important challenge for present and future societies around the world. Due to the relevance and timeliness of this issue, the United Nations (UNs) 2030 agenda for sustainable development advocates for access to safe water for all and the sustainable management of water resources in all its aspects [1]. Coastal zones, rivers, estuaries, wetlands, among others, are

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examples of fragile ecosystems that are under threat due to pollution (e.g. microplastics), illegal dumping, industrial activities, water misuse, among many others. These threats can also have devastating consequences for the surrounding wildlife and local populations that make use of available water resources.

To properly protect these aquatic ecosystems, resilient systems should be deployed in selected locations for real-time monitoring of key indicators (e.g. water quality). Nowadays, this task is effectively carried out by static (e.g. [2]) and/or mobile (e.g. [3]) Internet of Things (IoT) devices. In particular, low-power wide-area networks (LPWANs), such as LoRa (Long Range), are among the most popular communication protocols enabling low-cost, low-power and long-range IoT-based monitoring applications [4]. While mostly deployed in terrestrial domains (e.g., urban or rural areas), its applicability in different types of marine and freshwater environments has been demonstrated successfully [5]–[9].

Nevertheless, the large-scale adoption of monitoring applications in aquatic scenarios is yet far from being substantial. A major influencing factor is that wireless *over water* communications is affected by multiple environmental issues. Specifically, distinctive conditions such as tides or waves still require further characterization and modelling. Although it is well established that these phenomena may impair the link quality (e.g., [10]–[15]), specific circumstances such as the recurrent flooding and drying of the so-called *intertidal* 

zones<sup>1</sup> [16] are generally ignored from a radio propagation perspective. This gap has yet to be addressed for LoRa communication devices operating in the 868 MHz radio-frequency (RF) band, communication technology which we use for validation purposes.

In this work, we focus on the theoretical modeling and experimental validation of the large-scale fading dynamics of Line-of-Sight (LOS) RF links deployed over estuaries, specifically considering the impact of the intertidal zones. To this purpose, we propose a novel methodology for path loss prediction which captures i) spatial, ii) temporal and iii) physical features of the RF signal interaction with the (estuarine) tidal dynamics, integrating those features into the well-known two-ray propagation model [17]. The key idea is to obtain the parametric inputs for the two-ray model (e.g. antenna heights) based on the tide-driven shifting of the reflection point, i.e. the point which determines both the relative height of the antennas to the surface medium and its relative permittivity (e.g., water or soil with varying water content). To accomplish this with high-precision, we resort to state-of-the-art hydrodynamic modelling of an estuary [18], [19], which provides water level estimations at any point along the link path (spatial) and the tidal cycle (temporal), but also the corresponding water content of the soil (physical) of the reflection point. This latter aspect is crucial for the path loss estimation in the estuarine intertidal zone, since if the reflection point falls within this area, it will vary from dry to wet regions (or vice-versa) following the tide dynamics, also possibly changing the relative altitude w.r.t. the antennas due to specific terrain profile (bathymetry).

The proposed methodology is evaluated using data from two experimental campaigns conducted at the bay of Seixal, Tagus Estuary, Portugal. These campaigns target both *shore-to-shore* (S2S) and *shore-to-vessel* (S2V) links, for which the time-varying reflection point falls within the intertidal zone. The latter case considers that one of the nodes is installed on a floating platform (e.g., as in the case of a moored boat), which floats only during part of the tidal cycle and sits on the mud during the low tide. As for the hydrodynamic model, we resort to state-of-the-art methods – calibrated using empirical data for Tagus estuarine region – to obtain precise water level measurements at the space- and time-evolving reflection point.

To the best of our knowledge, the methodology herein proposed pioneers on modeling large-scale fading of (LoRa) links in estuaries, both from the perspective of channel modeling over the intertidal zone, as well as from the viewpoint of incorporating precise and location-dependent hydrodynamic features to aid (deterministic) path loss estimation. Note that, without loss of generality, the methodology is applicable to different tidal environments and corresponding intertidal zones (e.g., at the marine shoreline) for which both location-specific bathymetry and tidal data are commonly available. The main contributions of this work can be summarized as follows:

• An insightful investigation of the impact of tides in LoRa communication over estuarine waters with intertidal

zones

- A novel methodology for path loss prediction in tidal environments based on the non-trivial integration of the two-ray model and a precise hydrodynamic model with location-specific data;
- A validation of the proposed methodology with realworld measurements for both S2S and S2V link scenarios.

The remainder of the paper is organized as follows. The relevant related work is presented in Section II. The novel methodology to model large-scale fading of RF links operating over estuarine waters is given in Section III. The hydrodynamic model for determining tidal dynamics with improved temporal and spatial resolution is described in Section IV. The two-ray channel model both for S2S and S2V communication links is revisited in Section V. The experimental measurements campaigns at the Tagus Estuary, Portugal, are detailed in Section VI. The empirical results and the validation of the proposed methodology are reported and discussed in Section VII. Concluding remarks and future work directions are given in Section VIII.

#### II. RELATED WORK

The relevant state-of-the-art works have been classified into three categories: i) tidal fading, ii) intertidal zones and iii) RF propagation over mixed/water land paths. While the literature on RF propagation for maritime communication is much broader (see e.g. the review papers in [20], [21]), we restricted the interest of this section to those works matching more closely the distinguishing aspects of our research, i.e. the impact of tides and the intertidal zone in RF signal propagation. Likewise, despite not considering literature beyond the maritime domain, we recognize the existence of further research proposing related procedures or methodologies for LoRa-based sensing systems in specific environments (e.g., mountains [22]) that exploit local conditions for improved path-loss estimation.

## A. Tidal fading

Wireless RF propagation in water environments is known to be affected by multiple factors [20], [21] including the natural oscillations of the water surface. Specifically, tides and waves are among the most common phenomena heavily affecting RF propagation due to the changes in the water level. Prior literature that has recognized and addressed this situation (e.g., [8], [10]–[15]) still shows several gaps from the perspective of channel modeling and characterization.

Tides, particularly, can lead to a severe but barely explored condition known as *tidal fading* [10], i.e. path loss changes induced by the varying (relative) antenna heights of the nodes w.r.t. the surface resulting from the recurrent influence of floods and ebbs. Despite some aspects of this phenomenon having been effectively described by the two-ray model (e.g. [23]–[27]), further investigations on diverse environmental settings (e.g., estuaries and wetlands), using emerging communication technologies (e.g., LoRa) and/or incorporating precise tidal modeling methods, are still scarce.

<sup>&</sup>lt;sup>1</sup>Intertidal zones correspond to areas that are repeatedly covered and uncovered by water as the tide rises and falls, respectively.

Traditionally, research reporting and/or mitigating tidal fading have typically focused on kilometric RF links [10], [11], [13], [23], [24], [28], often using antennas installed at several meters above surface. This is in contrast with the current trend in IoT-driven application scenarios (e.g., water-quality monitoring, flooding prevention, etc.), which often require (shorter) links at near-shore with antennas relatively close to the surface [29], [30]. These different implementation settings imply tides can induce changes on the water level that are in the order of magnitude of the antenna height, possibly intensifying tidal fading and other propagation effects. Although being addressed by a few works in the literature [25]–[27], [29], [31], [32], this issue has been largely ignored in practice and thus represents one of the main targets of our research.

#### B. Intertidal zones

Tidal environments such as estuaries and their surrounding wetlands offer distinctive water dynamics (e.g., due to shallow water tides or intertidal zones) that deserve dedicated RF propagation studies. Specifically, the intertidal zone, i.e. the area within the (estuarine) shoreline that is submerged by water during the high tide and then becomes unveiled during the low tide [16], may pose difficult challenges to channel modeling and characterization. Though a few works have already demonstrated its impact on different aspects of wireless communications (e.g., link quality estimation [33], energy consumption [34], or time-synchronization [35]), these prior works considered communicating nodes deployed at the ground level, which become covered by water during the high tide, in contrast to our research. These works offer little insights into the path loss dynamics occurring above the intertidal zone, which is our major concern.

## C. RF propagation over mixed water/land paths

The case of intertidal zones entails a challenging and unusual condition for channel modeling which is to have a dynamic water/land portion along the link path continuously changing according to the tide. This situation, as far as we know, has been addressed only partially by a few works modeling RF signal propagation over the so-called mixed water/land paths [36]–[39]. While these works show ideas resembling our geometrical analysis of the direct and reflected ray using different reflection coefficients depending on where the reflection occurs, they did not consider important challenges such as tidal fading or intertidal zones. The work in [38] assumes that the river level can take different values along the day, thus having an effect on the radio modeling. Still, their analysis focused on a kilometric link using antennas of up to 200 m high, in contrast to our research.

By comparison, our work is more general and challenging since targeting path loss modeling over dynamic mixed water/land paths that change their physical properties during the day depending on the tide, e.g., from a relatively flat (water) surface with varying level to a possibly rough (soil) surface of varying moisture and specific terrain profile. Without loss of generality, this could be applied to different types of tide-induced environments for which tides and bathymetry are known.

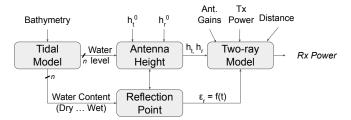


Fig. 1: The proposed methodology for path loss prediction and its major building blocks.

#### III. METHODOLOGY

This section introduces our novel methodology for modeling large-scale fading of (LoRa) RF links operating over tidal environments. The proposed framework considers i) the precise and location-dependent hydrodynamic modeling of the water environment (Section IV) and ii) the physical and geometrical basis of the two-ray propagation model (Section V) as building blocks that when integrated improve path loss estimation. As stated previously, our methodology captures i) spatial (height, distance), ii) temporal (over-time tidal dynamics) and iii) physical (terrain profile, permittivity) features of the RF signal interaction with the environment and integrates them into the two-ray propagation model.

More concretely, our methodology takes a step forward on the existing research by simultaneously addressing the following shortcomings:

- Spatial variability. Typically, tidal data is available for a subset of key spots only (e.g. ports, harbours). However, water level estimations can differ significantly even between two close locations due to local dynamics, being more pronounced in shallow and border waters.
- 2) Low temporal resolution. In general, publicly available tidal data provide only estimates on the so-called *High Water* and *Low Water* levels (usually 4 samples per day), which, although useful for some general activities, are insufficient for accurately describing complex tidal dynamics at specific locations (e.g. estuaries).
- 3) Varying reflecting surface. Assuming that the secondary ray always reflects on the water can impact the estimation precision of the signal received power. In a realistic scenario, the reflection point can vary between the high and the low tide, especially if links are over an intertidal zone. This implies the reflection surface can change from water to wet/dry soil as a result of the tide.

Consequently, our methodology includes components for 1) increasing both temporal and spatial resolution of tidal data, as well as 2) accounting for a reflective surface of varying altitude and permittivity as a function of the tide, providing a seamless integration of the tidal and two-ray models. To the best of our knowledge, this integration has not been proposed by any other work in the literature.

The proposed methodology is depicted in Fig. 1. The function of each major component is detailed in the following:

• **Tidal model**. Bathymetry and other input data (summarized in Table I) are foundational for the construction

TABLE I: Main methodology Inputs & Outputs for the Hydrodynamic (baroclinic mode) and Two-ray models. For the tidal model, the number of inputs/outputs is reduced when simulating for barotropic applications (\*: optional field).

Model	Type	Data
Hydrodynamic	Input	bathymetry, atmospheric data (atmospheric pressure, humidity, wind, air temperature*, downwards/longwave shortwave radiation*), river boundary conditions (flow, salinity*, water temperature*), ocean boundary conditions (tides, salinity, water temperature)
	Output	water level, velocity, salinity*, water temperature*
Two-ray	Input	varying antenna heights $(h_t, h_r)$ and varying reflection coefficient $(\epsilon_r)$ , static parameters (e.g. tx power, distance)
	Output	Rx Power

of the tidal model. They allow to obtain precise hydrodynamic outputs, particularly, i) the water level<sup>2</sup> or the altitude of the soil surface, and ii) the associated water content, to be used in the following components of this methodology.

- Antenna height. The water level estimation and the nominal heights of the Rx and Tx antennas w.r.t. the water level at the high tide (h<sub>r</sub><sup>0</sup> and h<sub>t</sub><sup>0</sup>, respectively) are the inputs of this component. They are used to obtain the (relative) antenna heights (w.r.t. the reflecting surface) to be used by the two-ray model, specifically, h<sub>r</sub> and h<sub>t</sub>. As shown in Fig. 1, this component also represents a recursive input for the computation of the reflection point.
- **Reflection point.** The water content allows to know if the reflection will happen on water or on dry/wet soil. This, in turn, enables the computation of the relative antenna heights, as well as the specific relative permittivity  $(\varepsilon_r)$  of the reflective medium, which are the two main tide-driven inputs for the two-ray model.
- Two-ray model. Both static (e.g. Tx power) and varying
  (e.g. ε<sub>r</sub>) parameters are then used to determine the main
  output of the methodology, i.e. the Rx power. This main
  output is equivalent to the average path loss, which here
  is computed as a consequence of a tide-shifted reflection
  point of time-varying tidal/terrain data and permittivity.

To the best of our knowledge, this non-trivial integration between precise hydrodynamic and radio-frequency propagation modeling represents the first tide-informed methodology for path loss prediction in overwater RF links deployed over characteristic intertidal zones.

# IV. TIDAL DYNAMICS

#### A. Preliminaries

The sea level in large bodies of water is determined in great measure by the *variable* gravitational forces of both the Moon and the Sun, and the rotation of the Earth [16]. Tides are the result of the influence of those conditions and other astronomical factors (e.g. lunar declination and lunar orbit),

which then combine with further phenomena, e.g., of meteorological nature (e.g. high/low barometric pressure, wind, storms) to determine the recurrent rise and fall of the sea level. Still, at some specific locations, e.g., rivers, estuaries or sea coasts, tides exhibit patterns of higher complexity depending, among other details, on the geographical characteristics of the environment. This situation makes variations of the water level at open waters, e.g. oceans, being clearly different than those at in-land locations, e.g., in narrow (estuarine) channels.

To better understand sea-level processes, tidal data is generally obtained from a limited set of *tidal gauges* that record deviations of the water level w.r.t. a given reference. In general, tidal gauge data is publicly available given its usefulness for several maritime activities (e.g., navigation or habitat protection). However, it typically exhibits poor temporal and spatial resolution, thus being insufficient to properly characterize locations with complex tidal dynamics (e.g., estuaries). For this reason, this work resorts to high-resolution tidal data obtained from hydrodynamic modeling, which has been previously calibrated and validated using field data.

#### B. Tidal model

The dynamics of estuarine and coastal waters were simulated using the system of models termed Semi-implicit Crossscale Hydroscience Integrated System Model (SCHISM) [40] in three-dimensional baroclinic mode. SCHISM aims at the simulation of surface water processes across estuary/river to ocean scales. The model uses highly efficient and precise semi-implicit finite-element and finite-volume methods, combined with Eulerian-Lagrangian methods, to solve the shallow water equations. The model is based on unstructured grids in the horizontal dimension. In the vertical dimension, the model uses hybrid coordinates, combining terrain followingcoordinates (sigma or S) and geo-potential coordinates (Z), or Localized Sigma Coordinates with Shaved Cell ( $LSC^2$ ) [41]. The simulation also includes wetting and drying of tidal flats. SCHISM can be run in operational mode using the Water Information Forecast Framework (WIFF) [42] [43] and as part of the OPENCoastS service [44]. The simulation outputs include hydrodynamics and water quality forecasts for the next 48 hours. Within the context of this work, the main simulation output provided by the tidal model are summarized in Table I.

**Model limitations.** Despite its advantages, the tidal model still presents some limitations. First, the model resorts to bathymetric data provided by the Portuguese Hydrographic Institute from various sources and different time periods. This implies, for example, that bathymetric data might be outdated due to morphological changes (e.g. induced by currents). Second, bathymetric data provides the terrain model for the simulation, thus it determines the accuracy of the provided results. For example, for this test site, bathymetric data have limited spatial resolution (only  $100 \, \text{m}$ ), being last acquired in the year 2009. Lastly, the calibration of shallow water constituents (e.g.  $M_4$ ) may present higher errors, both because of lower predictability and bathymetric imprecision.

<sup>&</sup>lt;sup>2</sup>The water level also provides the water content as a value of -99 means that the sampling point is dry.

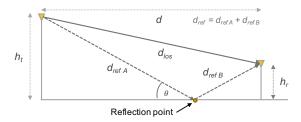


Fig. 2: The classical two-ray model showing (1) the direct line-of-sight (LoS) path, and (2) the ray reflected on the surface.

## V. TWO-RAY CHANNEL MODEL

This section revisits the two-ray propagation model from the perspective of shore-to-shore (S2S) and shore-to-vessel (S2V) RF communication links subject to variations in the water surface level. A similar analysis has been presented before in the literature [23]–[27], but using a simplified version of the two-ray model, and without considering the impact of the intertidal zone. Thus, this section also discusses the geometrical aspects determining if the reflection point (from the two-ray model viewpoint) falls within the intertidal zone, and how this situation may influence the modeling approach.

## A. The classical two-ray model

The two-ray model [17] describes the average path loss trend (or equivalently, the received power if the transmission power is known) of a link assuming a multipath effect dominated by a single surface reflection. This implies the Received Signal Strength (RSS) is computed as the vectorial summation of two copies of the transmitted signal that simultaneously arrive at the receiver following two different paths. The first ray follows a direct LOS path between the transmitter and the receiver, and the second indirect path is reflected by the surface (Fig. 2). Note that the length of the reflected ray  $(d_{ref} = d_{refA} + d_{refB})$  is longer than the length of the direct path  $(d_{los})$ , and thus a phase shift  $\Delta_{\phi} = 2\pi (d_{los} - d_{ref})/\lambda$ exists between the two copies of the received signal, where  $\lambda = c/f$  is the wavelength, c the speed of light and f the operating frequency. Formally, the two-ray model can be expressed in terms of the average received power  $P_r$  [17] as in Eq. 1, where  $P_t$  is the transmit power and  $G_t$  and  $G_r$  are the transmitter and receiver antenna gains, respectively.

$$P_r = P_t G_t G_r \left[ \frac{\lambda}{4\pi d} \right]^2 \left| \frac{1}{d_{los}} + \Gamma \frac{e^{-j\Delta_{\phi}}}{d_{ref}} \right|^2$$
 (1)

The parameter  $\Gamma$  is the Fresnel reflection coefficient given by Eq. 2.

$$\Gamma = \frac{\sin(\theta) - Z}{\sin(\theta) + Z} \tag{2}$$

The parameter Z is given by Eq. 3, where  $\varepsilon_r$  is the relative permittivity or dielectric constant of the reflective medium (e.g. ground or water) and  $\theta$  is the angle of incidence of the ray reflected from the surface.

$$Z = \begin{cases} \sqrt{\varepsilon_r - \cos^2 \theta} / \varepsilon_r & \text{for vertical polarization} \\ \sqrt{\varepsilon_r - \cos^2 \theta} & \text{for horizontal polarization} \end{cases}$$
(3)

From simple geometry, the angle  $\theta$  can be computed using Eq. 4, where d is the horizontal link distance, and  $h_t$  and  $h_r$  denote the respective transmitter and receiver antenna heights.

$$\theta = \arctan\left(\frac{h_t + h_r}{d}\right) \tag{4}$$

Similarly, the path lengths  $d_{los}$  and  $d_{ref}$  can be calculated with Eqs. 5 and 6.

$$d_{los} = \sqrt{d^2 + (h_t - h_r)^2} \tag{5}$$

$$d_{ref} = \sqrt{d^2 + (h_t + h_r)^2} \tag{6}$$

# B. Two-ray model over tidal waters

From the two-ray model perspective, the rise and fall of water levels will impact the link geometry whenever at least one of the (relative) antenna heights to the surface is modified by the impact of tides [25]–[27]. This can be interpreted as the influence of a water level variation  $\Delta_h$  that shifts either  $h_t$ ,  $h_r$ , or both, depending on whether it is an S2S or an S2V link scenario, as shown in Fig. 3(a) and Fig. 3(b), respectively. This, in turn, implies the angle of incidence and the lengths of the two-ray paths, i.e.,  $\theta$ ,  $d_{los}$  and  $d_{ref}$ , can also vary as a consequence of tides  $(\Delta_h)$ , but differently for each scenario, as follows.

**Shore-to-Shore** (S2S). In this case, both transmitter and receiver nodes are assumed as static onshore. This presumes the  $\pm |\Delta_h|$  variations on the water level (along the link path) shift both height terminals simultaneously, and by the same shift amount. This implies  $\Delta_h$  induces variations on the values of  $\theta$  and  $d_{ref}$ , but not on  $d_{los}$ , which remains unchanged due to the shifts getting cancelled after incorporating them into the expression of  $d_{los}$  (see Table II).

**Shore-to-Vessel (S2V).** In this case, only one of the nodes is onshore while the other is on water (e.g., a vessel or buoy). This implies  $\Delta_h$  only influences the relative height of the onshore antenna  $h_t$ , but not  $h_r$  that remains constant w.r.t the water surface<sup>3</sup>. This, in turn, induces variations on all the

<sup>3</sup>Note that the Tx-Rx convention defining the Tx onshore and the Rx on water (or vice-versa) is arbitrary, and thus, it doesn't impact the geometry.

TABLE II: Two-ray model geometry when incorporating  $\Delta_h$ .

Variable	Shore-to-Shore (S2S)	Shore-to-Vessel (S2V)
$h_t$	$h_t + \Delta_h$	$h_t + \Delta_h$
$h_r$	$h_r + \Delta_h$	$h_r$
θ	$\arctan\left(\frac{(h_t + \Delta_h)(h_r + \Delta_h)}{d}\right)$	$\arctan\left(\frac{(h_t + \Delta_h) h_r}{d}\right)$
$d_{los}$	$\sqrt{d^2 + (h_t - h_r)^2}$	$\sqrt{d^2+(h_t-h_r+\Delta_h)^2}$
$d_{ref}$	$\sqrt{d^2 + (h_t + h_r + 2\Delta_h)^2}$	$\sqrt{d^2+(h_t+h_r+\Delta_h)^2}$
RP	$d\left(1 - \frac{h_t + \Delta_h}{h_t + h_r + 2\Delta_h}\right)$	$d\left(1 - \frac{h_t + \Delta_h}{h_t + h_r + \Delta_h}\right)$

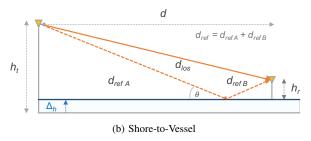


Fig. 3: Two-ray model geometry for the (a) shore-to-shore and (b) shore-to-vessel link scenarios when influenced by a water level variation of  $\Delta_h$ . Both pictures highlight in orange the corresponding direct and/or indirect rays being influenced by the water level shift.

geometrical parameters of the link, i.e.,  $\theta$ ,  $d_{los}$  and  $d_{ref}$ , in contrast with the S2S case.

## C. Reflection point over tidal waters

The reflection point is a fundamental concept in the tworay model geometry being defined as the distance w.r.t. the receiver side at which the second ray touches the reflective surface (see Fig. 2). This concept is often left aside from conventional discussions on two-ray propagation modeling since typically invariant, given the common assumption of having a flat and static (ground) reflecting surface. Formally, it can be computed with Eq. 7, where d is the link distance, and  $h_t$  and  $h_r$  are respectively the Tx and Rx antenna heights.

$$RP = d\left(1 - \frac{h_t}{h_t + h_r}\right) \tag{7}$$

For the case of RF links over tidal waters, Eq. 7 can be trivially extended by considering either  $h_t$ ,  $h_r$ , or both, being affected by a water-level variation  $\Delta_h$  depending on whether it is an S2S or S2V link scenario, as summarized in Table II.

# D. Reflection point falling within the intertidal zone

When the RF links are deployed over tidal environments, the reflection point may fall within the intertidal zone. This implies a dual condition for the reflection point, which is the possibility of falling on 1) water or 2) soil as a function of the tide. While the former case is equivalent to the one summarized in Table II, the latter imposes a static definition that may not necessarily fall on flat terrain, nor on a surface of the same altitude as the average water level in the estuary. This not only influences the geometry, but also the dielectric properties of the composite medium (e.g. permittivity), which do not present a constant behaviour throughout the day [45]–[47], but vary depending on the floods and ebbs.

The overall situation entails extra considerations on the tworay channel modeling, which have not been addressed by conventional approaches. To this purpose, this work resorts to the precise hydrodynamic modeling of the specific location (i.e. local tide estimations), which reports not only average water levels along the link path, but also terrain profile (bathymetry) and water content estimations (e.g. dry/wet) at the reflection point. The bathymetric data is used here to estimate the relative shift on the antenna height(s), i.e. equivalent to  $\Delta_h$ , but computed based on the terrain profile differences. The water content, in turn, is used to estimate the relative permittivity of the medium (e.g.  $\varepsilon_r = 81$  for water, or  $\varepsilon_r = 4$  for ground), but assuming an exponential transition between dry soil and water (or water-saturated soil), as reported in [45]. Note this approach is consistent with the concept of penetration depth [47] that determines the thickness of the medium layer (e.g. minimum water level) required to keep a constant medium dielectric constant. Particularly, the penetration depth for RF signals at 868 MHz is about 10 cm according to [47].

#### VI. EXPERIMENTAL MEASUREMENTS

## A. Setup

We evaluate *shore-to-shore* and *shore-to-vessel* LoRa links operating in a real-world aquatic environment. We used Commercial-Off-The-Shelf (COTS) LoRa radios, namely a Raspberry Pi Dragino hosting an SX1276 chipset. Each radio was coupled to a vertically positioned omnidirectional antenna operating in the 868 MHz RF band. The antennas had nominal gains of 1.5 dBi and 1 dBi for the gateway and end nodes, respectively. All radios were configured using the same system parameters: i) transmit power of 14 dBm (maximum allowed value in the EU), ii) spreading factor (SF)<sup>4</sup> of 12, iii) coding rate of 4/5, and iv) bandwidth of 500 KHz. The SF was set to maximum for improved communication range, despite the lower resulting data rate, higher channel usage (i.e. higher time-on-air) and increased energy consumption.

<sup>4</sup>SF is defined as the ratio between chip rate and the symbol rate.

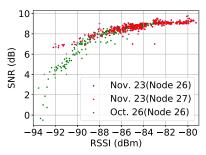


Fig. 4: The relationship between SNR and RSSI for three different nodes. It shows the effective sensitivity of the receiver is about -94 dBm for all devices.

In LoRa communications, the selection of a higher bandwidth results in a lower receiver sensitivity. Fig. 4 depicts the relationship between the Signal-to-Noise-Ratio (SNR) and RSSI for different nodes and measurement intervals, revealing that the effective sensitivity of the receiver was about -94 dBm, despite its nominal value of -148 dBm. This result also shows that i) the SNR-RSSI relationship holds true for different devices, ii) the SNR is fairly stable at about 9 dB for the RSSI interval [-85, -79] dBm, and iii) there is an abrupt decrease in the SNR for RSSI values lower than -88 dBm. These observations are relevant for better understanding the results presented in Section VII.

Both gateway and end nodes were kept static during the experiments. The gateway was placed onshore at a fixed height of 3.2 m from the ground. The (relative) height of the gateway w.r.t. the water surface varied along the day according to tide dynamics. A minimum height to the water of 4 m was measured during the high tide period. For both link scenarios, the gateway was kept at the same position, i.e. at (38°37'46.9"N 9°06'19.2"W). The end nodes were installed in two different structures: 1) a portable mast pole placed at (38°37'52.3"N 9°06'49.6"W), and 2) a floating platform at (38°37'52.8"N 9°06'49.5"W). The mast pole and the floating platform structures are shown in Fig. 5(a) and Fig. 5(b), respectively, and described in more detail next:

- Mast pole (S2S link). One node was installed on a temporary wood pole at a nominal height of 1.5 m w.r.t. the water surface, measured during the high tide. The effective height varied throughout the whole tidal cycle as a function of the water level.
- Floating platform (S2V link). Two nodes were installed at  $\sim 15$  m from the entrance of a docking pier, having been attached to an existing metal structure. The docking pier has about 75 m of length and a slight inclination due to the terrain profile. The nominal heights of the two nodes, 0.5 m and 1.5 m, were measured during the high tide w.r.t. the water surface. The platform floated after a given tide-level threshold and sat on the land/mud otherwise. The effective height of nodes was thus constant when the platform was floating, but varied according to the tide the rest of the time.

GPS devices were used in all nodes for the purpose of accurate positioning and timekeeping. The link distance was computed based on the Tx-Rx separation using the median of the GPS coordinates. The resulting distance was approximately 740 m and 750 m for the S2S and S2V link scenarios, respectively.

## B. Measurement Site

The experiments were carried out in the southern part of the Estuary of the Tagus River, Portugal, one of the largest estuaries in Europe with an area of about 320 km<sup>2</sup>. The Tagus estuary connects to the Atlantic ocean through a deep, long and narrow inlet. Specifically, the measurement campaigns were performed at the Bay of Seixal on October 26, 2019, (08:20 AM to 19:02 PM) and November 23, 2019 (06:32 AM to 16:07 PM). Measurements included both complete flooding







(b) Nodes (Nov. 23)

(c) Gateway

Fig. 5: Measurement setup and installation locations for the end nodes (a)(b) and gateway (c) for the campaigns conducted on Oct. 26 and Nov. 23, 2019.

and ebb periods. The maximum width of the bay is approximately 750 m. The bay is surrounded by a promenade for recreational, commercial and industrial activities. Both S2S and S2V scenarios were deployed across the estuary with link paths occurring over the intertidal zone. The transmitter and receiver were predominantly in line-of-sight (LoS) conditions, although a low number of surrounding static and mobile objects (e.g. boats) were present during the measurements. For the subsequent analysis, we only considered data transmission between the gateway and the end nodes, assuming a strong degree of link reciprocity [48].

## C. Measurement Protocol

To perform the link quality measurements, a request-reply protocol was implemented in both terminals. For improved energy efficiency, the protocol considered a 5 min measurement phase interleaved with a stand-by phase with 10 min duration. During the measurement phase, the radios transmitted packets with an average size of 85 Bytes every  $\sim 2$  s, with requests being triggered by the gateway. The gateway stored the messages received by itself and by the end nodes, included as part of the end node's reply message. Specifically, the following timestamped metrics were recorded: 1) packet RSSI, 2) SNR and 3) packet sequence number (SN). The SNs were used to identify gaps in packet transmission allowing to determine the Packet Delivery Ratio (PDR) during a given time interval. The metrics were stored in logs for subsequent processing.

# D. Tidal dynamics in the Tagus Estuary

Water circulation in the Tagus estuary is mainly driven by semi-diurnal tides. Other effects (e.g., atmospheric pressure, wind, river flow or surface waves) also affect the circulation within the estuary, especially during storms [18]. Tidal dynamics in the Tagus estuary are complex location-dependent phenomena affected by estuarine coastline features and topography [49] [50]. The tidal range is significantly amplified within the estuary due to resonance effects [49]. To accurately represent all the relevant physical processes, the tidal model includes the whole estuary from the river to the ocean (27 km

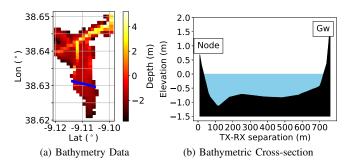


Fig. 6: Bathymetry data for the Bay of Seixal and one example bathymetric cross-section of simulation mesh for communication link of Nov. 23, 2019. The cross-section for the other measurement day (Oct. 26) is very similar.



Fig. 7: Tidal model sampling points for the two experiments days represented in red for Oct. 26, 2019 (S2S link), and in blue for Nov. 23, 2019 (S2V link). The gateway was placed on the right side of the river bank, while the receiver were placed in structures in the left bank of the river.

away from the estuary mouth). The horizontal grid has about 83 thousand nodes with a typical resolution of 15-25 m; the vertical domain is discretized with a hybrid grid with 39 SZ levels. The numerical model of the Tagus estuary is forced by:

- tides, salinity, water temperature and water quality tracers' concentrations at the **oceanic boundary**;
- river flows, salinity, water temperature and water quality tracers' concentrations at the riverine boundaries (Tagus and Sorraia rivers);
- atmospheric forecasts at the surface.

The calibration and validation of the model were performed by comparison with several datasets, including water levels, salinity, water temperature, dissolved oxygen, inorganic nutrients and chlorophyll-a data. Prior results showed the model's ability to represent the main spatial and temporal patterns of circulation and water quality in the test site [18] [19]. Water level information is obtained in several (76) sampling points along a cross-section of the simulation mesh using a time step of 60 s. Bathymetry data for the Tagus Estuary is depicted in Fig. 6 alongside a given interpolation of the bathymetry mesh along the communication link path between the transmitter and receiver. Further details about the model implementation can be found in [18] and [19].

The LoRa measurements were performed in one of the narrowest and shallowest channels of the Tagus Estuary. As usual, the water level in this location varies according to the tidal cycle. However, the considered test sites are located in a tidal flat region, where **drying** occurs during part of the tidal

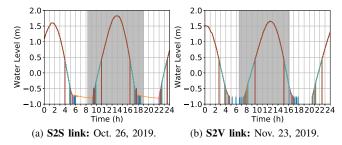


Fig. 8: Water level variation w.r.t. the average level (0 m) for each sampling point throughout the two measurement days, namely Oct. 26 and Nov. 23, 2019 (different colors per curve; curves overlap). Most of the 76 sampling points are dry during the two low tide periods. The period during which the experiments were carried out is highlighted in grey.

cycle (i.e. low tide). Note that tides in the Tagus Estuary are predominantly ebb asymmetric due to differences in the ebb and flood duration. Specifically, tides in the Bay of Seixal are even further asymmetric with **ebb dominance**, i.e. with the span of the rising tides exceeding the duration of falling tides, causing a net export of sediments of the bay. These specific characteristics of the measurement site (i.e. ebb dominance and drying of the tidal flat during low tides) are important for the analysis of the results in Section VII.

## VII. RESULTS & DISCUSSION

## A. Tidal dynamics

Fig. 7 shows an aerial view of both S2V and S2S links containing all the 76 sampling points obtained using the model detailed in Section IV. Each point was obtained by dividing the link path into areas of equal size, i.e. 75 areas of  $\sim 10$  m, as S2S and S2V links were about 750 m and 740 m, respectively.

Each of the 76 sampling points tracks water level variations along a 24 h period. The data from all these points is shown jointly in Fig. 8, with different colors. The results show that most points differ from others only during low tide periods, while reporting the same level of water during high tide. The differences that occur during the low tide period occur mainly due to the terrain profile as explained in more detail later. The water level is given w.r.t. the average sea level of the measurement site, i.e. 2.26 m above the hydrographic zero of Portugal. The results show a typical pattern for water-level variations with a tidal range of approximately 2.5 m for both measurement days.

The output of the model also shows that drying<sup>5</sup> occurs in this region during the morning and afternoon periods (e.g. 5 h to 10 h and 17 h to 22 h for Oct. 26, 2019) for the vast majority of the sampling points. This implies that solely the river banks and the deeper navigation canal of the estuary can be considered covered by water during all times on Oct. 26, 2019. Equivalent reasoning is applicable for the tidal data from Nov. 23, 2019.

<sup>5</sup>The tidal model attributes a -99 m water-level to a given sampling point whenever drying occurs. This value is not depicted on Fig. 8 for visual clarity.

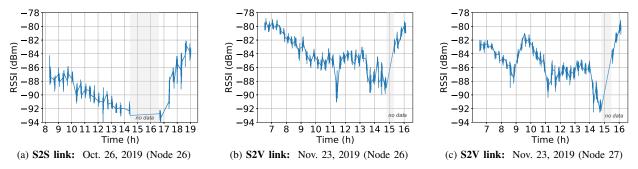


Fig. 9: Received Signal Strength Indicator (RSSI) for shore-to-shore (S2S) and shore-to-vessel (S2V) link scenarios as function of time. RSSI data has been aggregated into 1 min. bins presenting the mean and standard deviation for each bin.

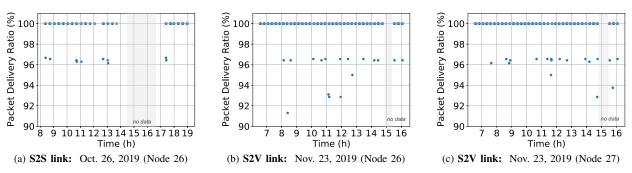


Fig. 10: Packet Delivery Ratio (PDR) for shore-to-shore (S2S) and shore-to-vessel (S2V) link scenarios as function of time. Data has been aggregated into 1 min. bins considering at least 15 transmitted packets.

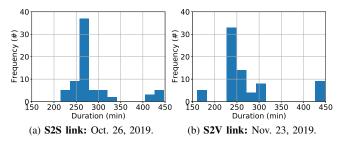


Fig. 11: Largest time interval between drying and subsequent flood for each of the 76 sampling points, for both measurement days, namely Oct. 26 (left) and Nov. 23, 2019 (right). Four sampling points are permanently dry, i.e. time interval of 1440 min., but are not herein presented for visual clarity.

Fig. 11 shows the longest time period during which each sampling point was dry, i.e. the largest time interval between drying and subsequent flood for each of the 76 sampling points. As expected, some sampling locations become dry earlier and are flooded later depending on the topographical features of the terrain (e.g. elevated terrain parts become dry earlier). The median longest dry duration are 277 min and 249 min for Oct. 26, 2019, and Nov. 23, 2019, respectively. These results suggest that the reflecting surface effectively changes along the tidal cycle, from water to soil with different water content (from mud to dry soil).

## B. Analysis of empirical measurements

1) **RSSI**: Fig. 9 presents the variation of RSSI as a function of time for both measurement days (Oct. 26 and Nov. 23,

2019), link types (S2S and S2V) and for all nodes (i.e. nodes 26 and 27). The results show a clear impact of the tides on the measured signal power with variations exceeding 10 dB during the measurement span. In broad terms, RSSI decreases/increases as the water level raises/falls, which can be explained by the changes in the effective antenna height to the water surface, which – for the considered Tx-Rx separation – leads to an increase in the signal attenuation as shown in previous studies [31].

As detailed previously, for the S2V case, node 26 (Fig. 9b) and node 27 (Fig. 9c) are installed at the same location but at different heights (0.5 m and 1.5 m, respectively). Comparing the RSSI measurements of both nodes the signal strength is, in general, larger for the upper node (i.e. node 26) as expected, although this does not hold true for short periods of time. Recall these nodes are installed in a floating platform that is *static* during low tide and that *floats* during the high tide, which renders different propagation conditions. As for the S2S case, node 26 (Fig. 9a) exhibits an increase/decrease relationship which is in agreement with the tidal influence, and thus with the effective antenna-to-surface heights of the nodes.

2) PDR: Fig. 10 depicts the PDR as a function of time for both measurement days, link types and all nodes. As expected, the PDR is fairly constant at around 100% with occasional packet losses despite the wide variations in RSSI. As shown in Section VI A, the effective sensitivity of the receiver is around -94 dBm. For the S2S link (Fig. 10a), this implies packets that have been sent between slightly after the 14 h and before 17 h were not received due to the RSSI being below the receiver sensitivity. Similarly, no packets are received for a shorter

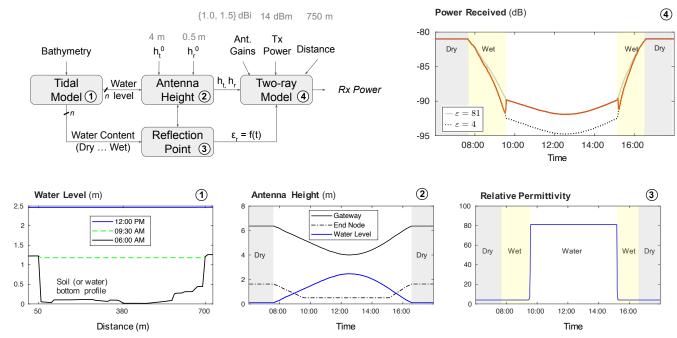


Fig. 12: Illustrative example of the proposed methodology for modelling the received signal strength of Node 26 ( $h_r = 0.5$  m) for the S2V measurement campaign of Nov. 23, 2019. The figure includes the intermediate outputs from the tidal model, antenna height and reflection point stages, as well as the final output.

period of time around 15 h by node 26 (Fig. 10b) and node 27 (Fig. 10c) for the S2V link. Previous works [7], [8], [29], [31] have also shown that LoRa's effective communication range is severely compromised when using antennas close to the surface (water or ground), due to reduced Fresnel zone.

## C. Evaluation of the proposed methodology

**Illustrative Example.** In the following, we provide an illustrative example of the application of our methodology for determining the received signal power in intertidal scenarios described in Section III. Fig. 12 presents the output of the different blocks of the processing pipeline given in Fig. 1. We focus the analysis on the measurements collected on Nov. 23, 2019 for Node 26 (S2V link) as this is the most representative and complete scenario.

The first step of the processing pipeline consists of determining the i) water level and ii) water content (i.e. dry to wet) for each of the 76 sampling points using the calibrated tidal model. The model principles and output (i.e. tidal dynamics) are presented in detail in Section VII-A. Fig. 8b depicts the water level for Nov. 23, 2019, reporting significant variations in the water content in the different sampling points during the low tide and that during the high tide all sampling points report the same water level as was foreseeable.

Fig. 12 (bottom-left) shows the water level along the link path for three different times (6:00 AM, 09:30 AM, 12:00 PM). This complements the full-cycle water level variations reported in Fig. 8b by highlighting specific instants at the low tide (6:00 AM) and high tide (12:00 PM) periods, as well as the moment slightly before the water reaches the platform (9:30 AM), i.e. before it starts to float. Note that at the low

tide the curve reports the lowest water level of the basin area or equivalently the model's *soil bottom* as a result of truncating those water content values denoting a dry soil (-99) to the minimum water level (or altitude) of the sampling point. The resulting bottom profile follows a similar trend to the bathymetric cross-section in Fig. 6.

In the subsequent step, the TX/RX antenna heights are computed using the water level data provided by the tidal model. The output is calibrated using empirical antenna height measurements ( $h_t^0$  and  $h_r^0$ ) performed during the high tide. As expected, the antenna height of the onshore transmitter (gateway) varied with the tidal cycle decreasing from approximately 6 m to 4 m during the high tide. The height of the receiver was constant at 0.5 m w.r.t. to the water surface while the platform was floating (i.e., between 9:34 and 15:08). After the platform sat on the mud, the antenna height increased until approximately 2 m during the ebb period as a consequence of the currents leading the water mass outside the Bay. Note the Tx and the Rx antenna heights solely vary simultaneously during the short transition period between low tide to high tide (7:37 - 9:34) and vice-versa (15:08 - 16:35). These periods correspond in Fig. 12 (bottom-right) to the wet areas, in which the reflecting surface passes from a dry soil to water.

In the third step, the relative permittivity  $(\varepsilon_r)$  of the reflecting medium is determined as a function of a time-varying reflection point. This point has a bidirectional relationship with the resulting Tx and Rx antenna heights from step two  $(h_t$  and  $h_r$ , respectively) which denote relative height measurements w.r.t. the soil or water level at the reflection point. The relative permittivity is then computed using data from the specific location at the reflection point, namely using antenna heights from step two and water content from step one.

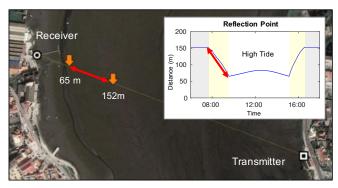


Fig. 13: **Background**. Aerial view of the link path area for the Nov. 26, 2019, campaign, with marks on the maximum and minimum bounds on the reflection point, 65 m and 152 m, respectively, for Node 26 ( $h_r = 0.5$  m). **Box**. Temporal evolution of the reflection point highlighting the distance span.

Fig. 13 shows an aerial view of the spatial span incurred by the reflection point along the link path for the Nov. 23, 2019, measurement campaign. It also marks the maximum and minimum distance bounds (w.r.t. the receiver) resulting from the respective low tide and high tide peaks on the water level. Recall that the reflection point is geometrically-defined, thus computed differently depending on whether it is an S2S or an S2V link scenario, as summarized in Table II.

After determining the point in which the secondary ray reflects, the methodology computes whether the reflection occurs on the water mass or on the bottom terrain of the intertidal zone, which might have a varying water content as this liquid evaporates/infiltrates when the tide is decreasing/increasing, respectively. In this work, we consider the permittivity to be constant whenever the reflection occurs on ground ( $\epsilon_r = 4$ ) or on water ( $\epsilon_r = 81$ ) but showing a varying behavior within the transition area between these two states. Particularly, for improved accuracy, we assume an exponential increase in the value of permittivity with increasing distance from the soil surface, as in [45]. The resulting permittivity curve is depicted in Fig 12. (bottom-right).

The final step consists on determining the average received power using the two-ray model (Section V) from the time-series data computed in the previous steps and other conventional (static) inputs for path loss modeling, namely the Tx-Rx distance, Tx power and antenna gains. The resulting model output shows a clear relation between received power and antenna height variations with lower antenna heights leading to increased signal attenuation. In addition, the transition period between ground reflections to reflection on water leads to an increase in the received signal power due to the higher permittivity of the water medium.

**Methodology validation.** Fig. 14 reports the average received power obtained using the methodology described in Section III and the corresponding empirical measurements for the two experimental campaigns. Fig. 14a and Fig. 14b show the results for the S2V links of Nov. 23, 2019, when the receiver antennas are at  $h_r = 0.5$  m and  $h_r = 1.5$  m, respectively, measured w.r.t. the water surface during high tide. Fig. 14c

shows the results for the S2S case, i.e.  $h_r = 1.5$  m.

The impact of water level (and corresponding relative antenna heights) on the power received is noticeable in all the evaluated cases, showing increasing/decreasing trends in agreement with the methodological predictions. Particularly, the extreme and central parts of the curves show consistent behavior with the dual condition of the intertidal zone, generally, with higher power received during low tide periods, and thus with more attenuation during the high tide.

The influence of the relative permittivity is substantial in the case depicted in Fig. 14a, where a rapid shift in the received power is predicted between the  $\varepsilon=4$  and  $\varepsilon=81$  curves, in the central area of the figure. A similar trend but with a larger difference ( $\sim 5$  dB) is exhibited by the experimental measurements. The exponential change of permittivity (see Fig. 12) leading to an abrupt shift up/down on the predicted power received is also similar, but with a less abrupt empirical transition. Fig. 14b, although corresponding to the same measurement campaign (S2V), shows marginal differences in this effect. Similarly, the results reported for the S2S case in Fig. 14c are also negligible.

Further phenomena not explained by our methodology are visible, for example, in Fig. 14a and Fig. 14b, between 11:00 AM and 12:00 PM, and 13:00 PM and 15:00 PM. A period without data is reported in Fig. 14c, between around 14:00 PM, and slightly after 15:00 PM. Despite these limitations, trends in the path loss predictions are, in general, in good agreement with the empirical results. Note that we use the two-ray model for path loss prediction only, thus leaving other propagation effects beyond the scope of this work. To reduce the mismatches between the measured and estimated power, it would be convenient to account for additional factors (e.g., scattering, diffraction) in future work. Although not significant [51] [52] for the comparatively shorter links (750 m) that are the target of this study, tropospheric effects could be included in an extended methodology that considers substantially larger links (i.e. several kilometers) that often exist in aquatic/maritime environments.

**Discussion.** The proposed methodology requires the use of a hydrodynamic model to simulate the tide. Hydrodynamic model applications are common for estuarine and coastal regions (e.g. [53] [54]) and require a set of inputs such as bathymetric and atmospheric data. Although bathymetric data are available at global and regional scales (e.g., GEBCO global ocean dataset<sup>6</sup> or EMODNet Digital Terrain Model for European Sea regions<sup>7</sup>), these data are often limited at local scales and specific bathymetric surveys might be required for local applications. Recent platforms to generate coastal forecast systems for any location, such as OPENCoastS [44] [55], might also be useful to support the implementation of hydrodynamic models for regions where such applications are not available.

The non-trivial integration of the two-ray path loss model with the precise estuarine hydrodynamics offers an improved estimation of the link quality able to predict RSSI trends and magnitude differences (~5-10 dB) between the low and high

<sup>&</sup>lt;sup>6</sup>https://www.gebco.net/data\_and\_products/gridded\_bathymetry\_data/

<sup>&</sup>lt;sup>7</sup>https://www.emodnet-bathymetry.eu/data-products

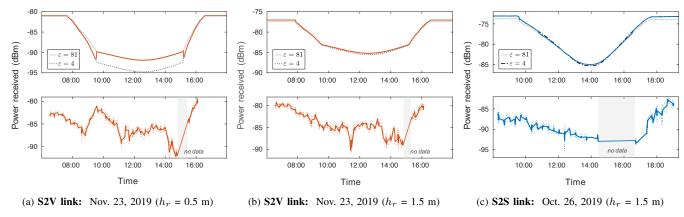


Fig. 14: Average power received and corresponding experimental measurements for both the shore-to-vessel (S2V) (a)(b) and shore-to-shore (S2S) (c) link scenarios.

tide periods that would have not been possible without a tide-informed framework. A naive path loss estimation (e.g., with the common free-space path loss model) will not consider antenna heights at all, producing a single RSSI output which only depends on the link distance for the whole measurement span and thus missing the impact of tides. Similarly, an approach based on the two-ray model with tides that do not keep track of the temporal reflection point evolution will not consider the difference between dry, wet or water permittivity, nor the possible terrain profile differences. As observed, this can lead to significant RSSI differences both in modeling and experiments, in this case of up to 10 dB, which justifies the importance of our approach.

# VIII. CONCLUSION

This article studies large-scale fading dynamics of LoRa Line-of-Sight links deployed over estuaries with characteristic intertidal zones for both S2S and S2V links. We propose a novel methodology for path loss prediction which captures spatial (i.e. location specific water levels), temporal (i.e. timevarying water levels) and physical (varying soil properties) features of the RF signal interaction with the environment, seamlessly integrating those features into the two-ray model. To achieve this with high precision, we coupled a highresolution hydrodynamic model of the specific location (Tagus estuary, Portugal), including the terrain profile (bathymetry) information at the time-evolving reflection point. This aspect is key to account for a reflecting surface of varying altitude and permittivity as a function of the tide. Empirical results using LoRa communication devices in the 868 MHz band show that i) the received signal strength decreases/increases more than 10 dB as the water level raises/falls due changes in the effective antenna height to the water surface, and ii) exist notable differences in the S2S and S2V links behavior. Experimental measurements have also demonstrated that major trends of the received power are in agreement with the methodology's prediction.

As future work, we plan to validate the methodology in different surroundings (e.g. harbors, marinas), as well as in other RF communication bands (e.g. 2.4 GHz and 5 GHz).

We also intend to include additional propagation effects (e.g. scattering, multipath) and environmental phenomena (e.g. sea waves) into the current modeling framework to further increase the accuracy of link estimation. Additional measurements will also be conducted on consecutive days to characterize possible day-to-day variations of the received signal power, as well as to quantitatively assess the quality of our prediction. The end goal is to provide RF practitioners with a network design tool specifically proposed for the deployment of IoT-based environmental systems operating over water environments.

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