Causation in Semantics and Grammatical Structure Week 15: Causal models and implicatives

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- today: an application of the network models approach which extends the use of causal models to semantic puzzles that don't obviously involve causal language
- this shows two things:
 - causation/causal reasoning is ubiquitous in language (it shows up even when we're not overtly aware of describing causal situations and affects our judgements)
 - since contrasting causal relations (necessity and sufficiency) are important for explaining the lexical semantic phenomenon we're looking at today, this is another argument for not treating causation in language as monolithic (i.e., we need more than just CAUSE)

Implicative verbs (Karttunen 1971) systematically generate inferences about the truth of their complements:

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 - → Solomon built the temple.
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This shows that implicative complement inferences are **entailments**.



Implicative entailments pose a logical problem:

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 - (4) Solomon did not manage to build the temple \(\subset \text{ Solomon did not build the temple.} \)
 - a. → Solomon built the temple⊢ Solomon managed to build the temple.
- intuitively, stating the manage-sentence and stating its complement are not equivalent



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- ii. not manage to $X \vdash not X$
- iii. **but:** $X \not\equiv$ manage to X

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Karttunen's (1971) solution: implicative verbs like *manage* introduce **presuppositions:**

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- ▶ these conditions don't have to hold for *X* to be utterable
- ▶ so the set of circumstances where *X* is appropriate is a superset of the cases where *manage to X* is appropriate
- ▶ ... consequently, we infer more from *manage to X*, and this is why the two statements aren't discursively equivalent

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 - Presupposes:
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 - It was difficult/effortful for Solomon to build the temple.
 - iii. It was unlikely that Solomon would build a temple.



As Baglini & Francez point out, none of the proposed presuppositions can be lexically encoded as part of the meaning of *manage*:

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 - b. $^{\gamma}$ How did my car manage to get vandalized during the 20 minutes I was in Walmart?
 - c. $^{\gamma}$ Archer couldn't help but think about all the trouble they managed to get into, without even trying.

- ii. Examples where **difficulty** is denied:
 - (7) a. ^γClad in civilian clothes and having passports, they easily managed to get back over the Volga.
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Upshot: none of **trying**, **difficulty**, **unlikelihood** can be lexically presupposed (encoded) by *manage*

... or some of these examples should be infelicitous

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Intuitively: managing to do X is about getting around some kind of obstacle or taking care of some causal precondition for doing X (see also Karttunen 2014)

Baglini & Francez's proposal:

- (9) A sentence of the semantic form manage to X:
 - a. presupposes the familiarity of a catalyst, a causally necessary but causally insufficient condition for the truth of Y
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- ▶ it isn't settled whether or not this thing will happen (the asserted content settles this)

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 - ▶ so, (10) is not evaluable because its presuppositions fails

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- a directed acyclic graph, with nodes representing propositions (events) and arrows as causal links, associated with structural equations
- situation: a partial valuation of the relevant propositional variables – represents that certain facts might be known or settled, while other are not

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So, if manage to X presupposes a catalyst for X, then there is something that is not yet guaranteed and which must occur in order for X to be realized



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 - so, a manage assertion effectively tells us that Solomon got around the potential problem



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 - > so, we can conclude that the intermediate condition also failed



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 - it might be the case that the rain is coming so soon that it's basically impossible for him to get far enough in the work – as a consequence of the presupposition, this makes Solomon unlikely to build the temple
 - it's possible to get the work done, but it requires Solomon himself to come down and work hard on the construction, and he is not very well – in this context, it's difficult for Solomon to build the temple

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 - here, the intermediate step between the catalyst and the door opening is the pressing of the button



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 - ▶ in that case, the *because*-clause (which modifies asserted content) should produce the same meaning in (16a) and (16b)
 - ▶ for B&F, the assertion in (16a) is about the causal chain between the background and the buying of the ring, so because explains something about the causal chain, not the purchase itself



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 - ...so, it's hard to specify its nature



Extending the account

The same problem arises for lexically-specific Finnish implicatives:

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(18) a. Hän henno-i tappa-a kissa-n.
he.NoM have.heart-PST.3SG kill-INF cat-GEN/ACC

'He had the heart to kill the cat.' He killed the cat.

b. Hän e-i henno-nut tappa-a kissa-a.
he.NOM NEG-3SG have.heart-SG.PP kill-INF cat-PART

'He did not have the heart to kill the cat.'

(19) a. Hän maltto-i odotta-a.
he.NOM have.patience-PST.3DG wait-INF

'He had the patience to wait.'

b. Hän e-i maltta-nut odotta-a.
he.NOM NEG-38G have.patience-SG.PP wait-INF

'He did not have the patience to wait.'

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- not having heart/patience precludes the complement (because a necessary condition is not met)



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Another benefit of refining the account: we can explain the relationship between implicatives like *manage* and predicates like *be able*



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Finnish one-way implicative:

- (21) a. Hän jakso-i noust-a. he.NOM have.strength-PST.3SG rise-INF 'He had the strength to rise.' \leadsto He rose.
 - b. Hän e-i jaksa-nut noust-a
 he.NOM NEG-3SG have.strength-SG.PP rise-INF
 'He did not have strength to rise.' ⊢ He did not rise.

If two-way implicatives presuppose the existence of a causally-necessary and causally-sufficient condition, we have a natural account of one-way implicatives: [Nadathur 2016]

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On the B&F account, it is not clear what the assertion could be:

- we have only actually caused or did not actually cause, both of which force a value for the complement
- but, for positive assertions of one-way implicatives, we cannot draw any non-cancellable entailments about the complement
- (22) Solomon was able to build the temple, but he decided against it.



Importing the causal dependence model as a lexical-semantic tool:

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- ▶ this argues for a more complex causal semantics than one which just postulates an atomic CAUSE
- implicatives and causatives are related classes of predicates:
 - periphrastic causatives like make are, effectively, one-way sufficiency implicatives

