

# Introduction to Fundamental Groups

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- Compactness ( $[0, 1]$  v.s.  $(0, 1)$ )
- Connectedness ( $\mathbb{R}$  v.s.  $\mathbb{Q}$ )
- "Deleting a point" ( $\mathbb{R}$  v.s.  $\mathbb{R}^2$ )
- Separation Axioms/Countable/Lindelöf

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Is this good enough?

**No.** In fact, we run into trouble fast. Does there exist a homeomorphism  $f$  between the following?

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- $S^2 \rightarrow T$
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The answer is unclear. None of our previous invariants are helpful.



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### Definition

Let  $f, g$  be two continuous mapping between topological spaces  $X \rightarrow Y$ . We say  $f$  is **homotopic** to  $g$  if there is a continuous map  $H : X \times I \rightarrow Y$ , such that

$$H(x, 0) = f(x) \text{ and } H(x, 1) = g(x)$$

$H$  above is called a **homotopy** between  $f$  and  $g$ .

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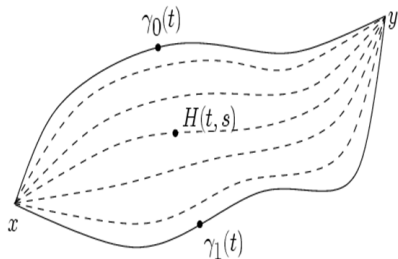
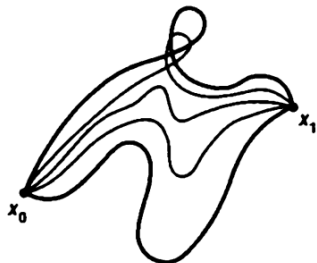
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We can think of this like frames of a movie.



## Definition

A **path** in  $Y$  from  $x_0$  to  $x_1$  is a continuous map  $f : I \rightarrow Y$  such that  $f(0) = x_0$  and  $f(1) = x_1$ .

We can consider the special case where  $X = I$ , the closed unit interval.

## Definition

Two paths  $f, g : I \rightarrow Y$  are **path homotopic** if

- (1)  $f(0) = g(0) = x_0$  and  $f(1) = g(1) = x_1$
- (2) there is a continuous map  $H : I \times I \rightarrow Y$ , such that

$$H(x, 0) = f(x) \text{ and } H(s, 1) = g(x)$$

$$H(0, t) = x_0 \text{ and } H(1, t) = x_1$$

Is path homotopic equivalent to homotopic paths?

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Is path homotopic equivalent to homotopic paths? No. The endpoints of the path may "wiggle" during a normal homotopy.

## Lemma

*Being homotopic and path homotopic are both equivalence relations.*

## Proof.

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- ② (Symmetric)



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- 1 (Reflexive)  $H(x, t) = f(x)$  is a homotopy from  $f$  to itself
- 2 (Symmetric) Given  $H$ , we can reverse it via  
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- 3 (Transitive)

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- ② (Symmetric) Given  $H$ , we can reverse it via  
 $G(x, t) = F(x, 1 - t)$
- ③ (Transitive) Given homotopies  $F$  from  $f$  to  $g$  and  $G$  from  $g$  to  $h$ , we can construct

$$H(x, t) = \begin{cases} F(x, 2t) & \text{for } t \in [0, \frac{1}{2}] \\ G(x, 2t - 1) & \text{for } t \in [\frac{1}{2}, 1] \end{cases}$$



Now, we can create path homotopy equivalence classes  $[f]$ .

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This is called the **straight-line homotopy**. This means there is only a single equivalence class  $[e]$ .

But intuitively, some of the spaces should have more than one equivalence class. For example,

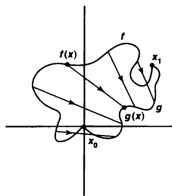


Figure S1.3

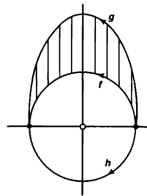


Figure S1.4

**Figure:** Straight-line homotopy in  $\mathbb{R}^2$  on right,  $[f]$  and  $[h]$  are not the same on left

$$f(t) = (\cos \pi t, \sin \pi t)$$

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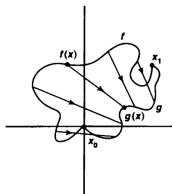


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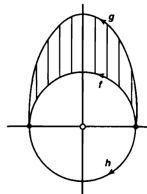


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How many equivalence classes are there?

Let's return to studying these path-homotopy classes. Can we get a better algebraic structure on it?



Let's return to studying these path-homotopy classes. Can we get a better algebraic structure on it? We might take the composition of paths as a candidate.

## Definition

If  $f : I \rightarrow X$  from  $x_0$  to  $x_1$  and  $g : I \rightarrow X$  from  $x_1$  to  $x_2$ , then we define the product

$$f \star g(t) = \begin{cases} f(2t) & \text{for } t \in [0, \frac{1}{2}] \\ g(2t - 1) & \text{for } t \in [\frac{1}{2}, 1] \end{cases}$$

Think of traveling  $f$ , then  $g$ , both at double speed.

- What properties does this operation satisfy on the set of paths in  $X$ ?

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- However, let's restrict our attention to loops (paths that satisfy  $f(0) = f(1)$ ). If we consider the set of all loops that start at a fixed point  $x_0$ , do we have a group?

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- However, let's restrict our attention to loops (paths that satisfy  $f(0) = f(1)$ ). If we consider the set of all loops that start at a fixed point  $x_0$ , do we have a group? Technically no; We don't have associativity, identities, or inverses.
- But if we consider path-homotopy classes of loops, then we are there!

## Definition

We call  $(X, x_0)$ , where  $x_0 \in X$ , a **based space** at  $x_0$ . Given such a space, we define the **fundamental group**, or **first homotopy group**, of  $(X, x_0)$  as the set of path-homotopy classes of loops based at  $x_0$ . It is denoted by  $\pi_1(X, x_0)$ ,

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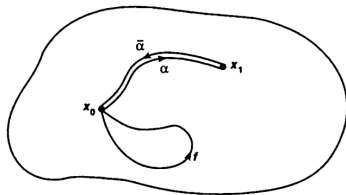
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How much does this base-point matter? For path-connected spaces, we can ignore the choice of basepoint.



**Figure:** Basepoint  $x_0$  is shifted by a conjugation by  $[\alpha]$  to  $x_1$ . Thus,  $\pi_1(X, x_0) \cong \pi_1(X, x_1)$ . We will usually consider a path connected component of topological spaces.



What is the fundamental group of the following?

- $(\mathbb{R}^2, (0, 0))$
- $(\mathbb{R}, 0)$
- $(S^2, \text{north pole})$

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Is there any non-trivial fundamental group?

What do we think  $\pi_1(S^1, (1, 0))$  is?

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## Theorem

The map  $\Phi : \mathbb{Z} \rightarrow \pi_1(S^1)$  given by  $n \rightarrow [w_n]$ , where  $w_n(s) = (\cos 2\pi ns, \sin 2\pi ns)$  for  $s \in [0, 1]$  is a loop at  $(1, 0)$ , is an isomorphism. In other words,  $\pi_1(S^1) \cong \mathbb{Z}$

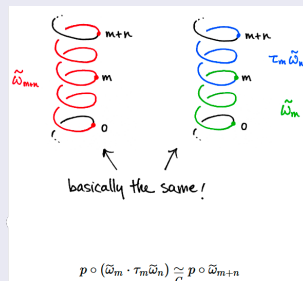
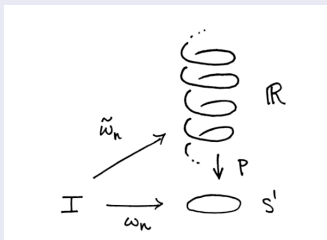
Proof.

The sketch of the proof is a few parts.

- $\Phi$  is a group homomorphism ( and well-defined)
- $\Phi$  is surjective
- $\Phi$  is injective

## Verifying homomorphism.

Consider the following diagrams.



## Verifying homomorphism.

$$\begin{aligned}
 \Phi(m) \cdot \Phi(n) &= [\omega_m] \cdot [\omega_n] = [p \circ \tilde{\omega}_m] \cdot [p \circ \tilde{\omega}_n] \\
 &= [p \circ \tilde{\omega}_m] \cdot [p \circ (\tau_m \tilde{\omega}_n)] && \text{because shifting } \tilde{\omega}_n \text{ up/down by } m \\
 &= [(p \circ \tilde{\omega}_m) \cdot (p \circ \tau_m \tilde{\omega}_n)] && \text{doesn't change the fact that the projection} \\
 &= [p \circ (\tilde{\omega}_m \cdot \tau_m \tilde{\omega}_n)] && \text{of } \tilde{\omega}_n \text{ (or } \tau_m \tilde{\omega}_n \text{) is still a path that} \\
 &= [p \circ \tilde{\omega}_{m+n}] && \text{winds } n \text{ times around } S^1! \\
 &= [\omega_{m+n}] && \text{because } \tilde{\omega}_m \cdot \tau_m \tilde{\omega}_n \simeq \tilde{\omega}_{m+n} \\
 &= \Phi(m+n).
 \end{aligned}$$

because winding  
 around  $S^1$   $m$  times  
 and then winding  
 around  $n$  times  
 (the 3rd line) is  
 the same as winding  
 $m+n$  times  
 (the 4th line)

## Lemma (Unique Lifting of Paths)

*For each path  $f : I \rightarrow S^1$  s.t.  $f(0) = x_0$  and each  $\tilde{x}_0 \in p^{-1}(x_0)$ , there is a unique lift  $\tilde{f} : I \rightarrow \mathbb{R}$ , such that  $\tilde{f}(0) = \tilde{x}_0$ .*

## Surjective.

Let  $[f] \in \pi_1(S^1)$ . We WTS there is some  $n$  s.t.  $\Phi(n) = [f]$  aka  $f \simeq w_n$ . Note that  $0 \in p^{-1}((1,0)) = \mathbb{Z}$  by construction. By unique lifting of paths, we get a lift  $\tilde{f} : I \rightarrow \mathbb{R}$  such that  $\tilde{f}(0) = 0$ . Now,

$$(p \circ \tilde{f})(1) = f(1) = (1,0) \in S^1$$

Thus,  $\tilde{f}(1)$  must be an integer  $n$ ; We find  $\tilde{f} \simeq \tilde{w}_n$ .

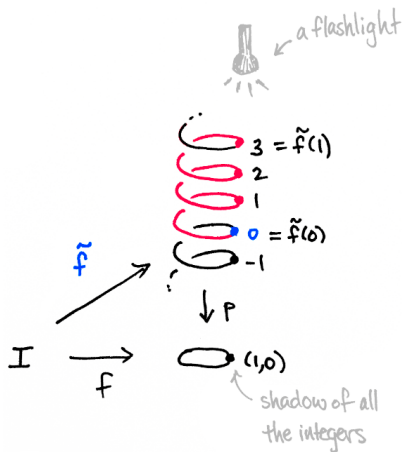


Figure:  $f = p \circ \tilde{f} \simeq p \circ \tilde{w}_n = w_n$ . Thus, we find  $[f] = [w_n] = \Phi(n)$



## Lemma (Unique Lifting of Homotopies)

*For each homotopy  $H(x, t) : I \times I \rightarrow S^1$  of paths with  $H(0, t) = x_0$  and for each  $\tilde{x}_0 \in p^{-1}(x_0)$ , there is a unique lift  $\tilde{H}(x, t) : I \times I \rightarrow \mathbb{R}$ , such that  $\tilde{H}(0, t) = \tilde{x}_0$*

### Injective.

Suppose that  $\Phi(m) = \Phi(n)$ , which means  $w_m \simeq w_n$ . We WTS  $m = n$ . Let  $H(x, t)$  be the homotopy from  $w_m$  to  $w_n$ . Then, note  $H(0, t) = (1, 0)$  is the starting point of both as  $w_m(0) = w_n(1) = (1, 0)$ , and  $0 \in p^{-1}(1, 0) = \mathbb{Z}$ . Then, we can apply unique lifting of paths to get a unique lift  $\tilde{H}(x, t)$  such that  $\tilde{H}(0, t) = 0 \in \mathbb{R}$ . In particular, the lift is unique, so

$$\tilde{H}(x, 0) = \tilde{w}_m(x) \text{ and } \tilde{H}(x, 1) = \tilde{w}_n(x)$$

## Injective.

By uniqueness of lifts of paths, we find out that the shared endpoints of the loops of  $\tilde{H}(1, t)$  must be the same for all  $t$ . Since this is a map into integers, we know that the endpoints, which are in  $\mathbb{Z}$ , are the same. □

# Conclusion

- Homeomorphic spaces have the same fundamental group, but the converse is not true ( $\pi_1(S^2) = \pi_1(*) = 0$ )
- The fundamental group provides a way to use algebraic tools to study topological spaces
- Higher homotopy groups, homology, and more!

# Bibliography & Questions

- Munkres' Topology
- Math3MA Blog
- Notes by Aaron Landesman
- Hatcher's Algebraic Topology
- Google Images