

# Principles of Computer Systems: Layers

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#### Definition

- Layer = group of modules
  - Internet transport layer = UDP + TCP
  - Internet network layer = IP
- A module communicates with modules in layer above/below, on the same stack instance, through API
  - send/receive calls/notifications
- A module communicates with modules in the same layer, on a different stack instance, through a protocol
  - header semantics

# Layering violation

- When a module violates these rules
  - relies on information about other layers that is not passed through the proper APIs
  - interprets a header that belongs to another layer

• When a module makes assumptions about the operation of another module that belongs to a different layer

### How to pick layers?

- Look for "natural" boundaries
  - related functionality
  - developer expertise
- Consider performance
  - pick the finest layering that makes sense and provides the required performance

- Data delivery
- Reliable data delivery
- Congestion control
- Address depletion
- Scaling content distribution

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### Data delivery

- Deliver a packet from a source end-system to a destination end-system
- Partly solved at the link layer of local networks
  - takes a packet across one physical link
- Partly solved at the network layer of local networks
  (= link layer of the Internet)
  - takes a packet across a sequence of physical links (= one local network)
- Partly solved at the network layer of the Internet
  - takes a packet across a sequence of local networks (= the Internet)

### Internet network layer (IP)

- Hierarchical addresses
- IP routing learns one route per IP prefix
- IP forwarding maintains state per IP prefix
- Hierarchy => scalability
- Two levels of aggregation
  - intra-AS: addresses of each local network aggregated into one local prefix
  - inter-AS: multiple local prefixes of each AS aggregated into one externally visible prefix

### Internet link layer (Ethernet)

- Flat addresses
- L2 learning learns one route per active MAC address
- L2 forwarding maintains state per active MAC address

Scales well enough for local networks

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### Reliable data delivery

- Deliver a packet from a source end-system to a destination end-system with the capability to recover from corruption and loss
- Partly solved at the transport layer
  - TCP offers reliable data delivery end-to-end
- Partly solved at the link layer (of local networks)
  - e.g., reliable data delivery across a wireless link

# The end-to-end argument

- Saltzer, Reed, and Clark, 1981
- If an upper layer must provide X anyway, don't go out of your way to provide X at a lower layer
- It may make sense to also provide X at a lower layer as a performance optimization...
- ...but consider the impact on upper-layer modules that do not need X.

### A few more examples

- Acknowledgment of reception
- Duplicate suppression
- In-order delivery

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# Congestion control

- Maximize throughput without creating network congestion
- Solved at the transport layer
  - through the TCP congestion control algorithm
  - interprets a timeout as an indication of packet loss
  - interprets packet loss as an indication of network congestion

#### (Fixed retransmission timeout)

• Bad idea: early retransmissions can lead to congestion collapse

• Layering violation: a module assumes that it knows when is the right time to retransmit, even though the relevant information belongs to the layers below

### Packet loss as an indication of congestion

- There may be packet loss without congestion
  - e.g., a wireless link experiences loss due to fading
- There may be congestion without packet loss
  - e.g., a link with a very large queue experiences congestion

• Layering violation(?): TCP assumes that packet loss equals network congestion

# **Explicit Congestion Notification (ECN)**

- ECN bits in both the IP and the TCP header
- A router sets an ECN bit in the IP header to signal congestion to the receiver
- The (network layer of the) receiver passes that information to TCP
- The TCP receiver sets an ECN bit in the TCP header to signal congestion to the TCP sender
- Network congestion detected at the network layer
- Information passed to TCP through the API

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### Address depletion

- We are (were) running out of IPv4 addresses
- Solved by introducing a new technology at the network layer (IPv6)
- Solved through Network Address Translation (NAT)

#### **Network Address Translation**

- NAT gateway keeps state per TCP connection
- Maps each local {IP address, TCP port number} tuple to a different, externally visible TCP port number
- Embeds the local IP address in the TCP port number
- Layering violation: the NAT gateway assumes that it understands the semantics of the TCP header

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### Scaling content distribution (late 00s)

- How can a content provider serve more clients
  without increasing the transmission rate of its link to the Internet?
- Partly solved through transparent caches
- Partly solved through Content Distribution Networks + dynamic DNS
- Reduce user-content distance
- Distribute load across content servers

### These are "dirty" solutions

- Transparent caches hijack TCP connections
- CDNs duplicate network-layer functionality
- Both still need extra round-trip for DNS
- Layer duplication or violation + still limited by DNS