

# Software Engineering

## Unit 4

### Software Testing

Software Testing Fundamentals - Internal and External Views of Testing:  
white box testing, basis path testing - control structure testing -  
black box testing - unit testing - integration testing - regression  
testing - validation testing - system testing - security testing; Testing  
tools; Debugging; Software Implementation: Coding Practices  
and Principles; Maintenance: Types.

### \* Software Testing Fundamentals

#### \* Characteristics of Testable Software

- ① Operable - the better it works, the easier it is to test
- ② Observable - incorrect ops are easily identified, internal errors are automatically detected.
- ③ Controllable - states & variables of the software can be controlled directly by the tester
- ④ Decomposable - software is built from independent modules that can be tested independently
- ⑤ Simple - program should be be functionally, structurally simple + simple code



- ⑥ Stable - changes to software during testing are infrequent
- ⑦ Understandable - architecture design is well understood, has documentation

### \* Test Characteristics

A good test:

- has a high probability of finding an error
- is not redundant
- should be the 'best of breed' - tests that have the highest likelihood of uncovering a whole class of errors should be used
- should be neither too simple nor too complex.

### \* Unit Testing

→ is of 2 types - white box and black box

#### A. White Box Testing

White box testing focuses on:

- (i) internal working of the product
- (ii) a close examination of procedural detail
- (iii) test logical paths
- (iv) test cases exercise specific sets of conditions & loops



→ Test all independent paths to check if they have been ③

exercised at least once

→ exercise all logical decisions

→ execute all loops at their boundaries

→ check internal data structures

## \* Basis Path Testing

→ aims to derive a set of test cases that exercise each path at least once - helps ensure that every statement in the program is executed and that all logical conditions have been testing

Basis path testing is done with the following methods:/  
terms:

### A. Flow Graph Notation

→ A circle is a node - which is a statement

→ A node with a simple conditional expression is called a predicate node.

→ An edge is an arrow representing the flow of control in a specific direction

### B. Independent Program Paths

→ defined as a path through the program from the start node till the end, moving along at least one edge that has not been traversed before.



### C. Cyclomatic Complexity

- provides a quantitative measure of the logical complexity of a program
- defines the number of independent paths in the basis set
- provides an upper bound for the number of test cases to be conducted.
- It can be computed as:

(i) the number of regions

$$(ii) V(G) = E - N + 2 \quad E = \text{edges}$$

$N = \text{nodes}$

$$(iii) V(G) = P + 1$$

$P = \text{predicate nodes}$

### \* Deriving the Basis Set and Test Cases

1. Draw Flow graph from code
2. Determine cyclomatic complexity
3. Determine a set of independent paths
4. Prepare test cases that force their execution

**Example** Calculate cyclomatic complexity for the following code

1. IF  $A=354$

2. THEN IF  $B > C$

3. THEN  $A=B$

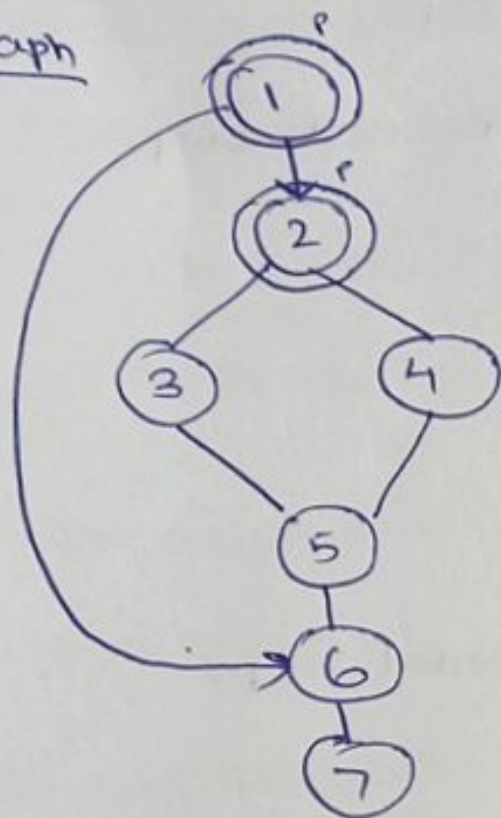
4. ELSE  $A=C$

5 END IF

6 END IF

7 PRINT A

Ans Graph



Cyclomatic Complexity

$$= E - N + 2$$

$$= 8 - 7 + 2$$

$$= \underline{3}$$

(OR)

$$P + 1$$

$$= 2 + 1 = \underline{3}$$

### \* Loop Testing

→ A white box testing technique that focuses exclusively on the validity of loop constructs.

→ There are 4 different classes of loops:

(i) simple loops

(ii) nested loops

(iii) concatenated loops

(iv) unstructured loops

→ Testing occurs by varying the loop boundary conditions.

#### A. Testing Simple Loops

1. skip loop

2. make one pass

3. make 2 passes

4. make  $m$  passes,  $m < n$

5. make  $n-1$ ,  $n$ ,  $n+1$  passes

( $n = \text{max passes}$ )



## B. Testing Nested Loops

1. Start at the inner most loop, set all other values to minimum
2. Conduct simple loop tests for inner loops
3. Work out word to conduct a test for the next loop.
4. Add out of range / excluded values

## C. Testing Concatenated Loops

- If simple loops, use method A
- ~~if~~ Otherwise, use Method B, for nested loops.

## D. Testing Unstructured Loops

- Redesign the code to reflect more structured programming
- Use Methods A, B or C.

## \* Black Box Testing?

- used in later stages of testing after white box testing has been performed.
- Focuses more on functional requirements
- Black box testing looks for:
  - (i) incorrect or missing functions
  - (ii) interface errors
  - (iii) errors in data structures or external data base access
  - (iv) behavior or performance errors
  - (v) initialization and termination errors



# Black Box Testing Strategies

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## A. Equivalence Partitioning

- divides the input domain of a program into classes of data from which classes are derived.
- An ideal test case single-handedly uncovers a complete class of errors, reducing the total no. of test cases
- Test case design is based on the evaluation of equivalence classes for an input condition
- From each equivalence class, there are invalid & valid states.

### Guidelines for Defining Equivalence Classes

- (i) if there is a range - make 1 valid and two invalid equivalence classes

eg. i/p range = 1-10 → equ. classes {1..10}, {x < 1}, {x > 10}

- (ii) if there's a value - set one valid & 2 invalid conditions

eg. i/p value = 250 → equ. classes {250}, {x < 250}, {x > 250}

- (iii) if it's a set of values - specify one valid & one invalid set

eg. If i/p set = {1, 2, 3} → equ. classes - {1, 2, 3}, {any other set}

- (iv) if it's a boolean value - specify one valid & one invalid condition

eg. i/p {true} → equ. classes {true}, {false}



## B. Boundary Value Analysis

- A greater number of errors occur at the boundaries of the input domain rather than at the center.
- BVA selects test cases at the edges of actors

### Guidelines for BVA

1. If the specified range is between  $a$  and  $b$ , then test cases should be designed with values  $a \pm b$  as well as values just above and just below  $a \pm b$ .
2. Also exercise the min & max criteria, by using values outside the range.

## c. Graph-based Testing

- create a graph of important objects and their relationships
- Each node is an object, which are connected by either by directed / bidirectional / parallel link
  - directed  $\Rightarrow$  one-way relation
  - bidirectional  $\Rightarrow$  relation goes both ways
  - parallel  $\Rightarrow$  a number of different relationships between objects
- Derive test cases by traversing the graph and by covering each of the relationships. These test cases are meant to find errors in any of the relationships.



## D. Orthogonal Array Testing

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- used where the input domain is relatively small ~~and~~ but too large to accommodate exhaustive testing.
- used to find region faults - a type of error associated w/ software components
- For eg. if there are 4 variables  $P_1, P_2, P_3, P_4$  - each of which can take 3 values : then there would be  $3^4 = 81$  test cases in all. However, with OAT, it can be reduced to 9 cases, where each case of parameter values appear together at least once.

## \* Software Testing

- Software testing integrates software test cases into a well-planned series of steps for the successful development of the software.
- It provides a road map of the steps to be taken, when, how much effort, time, planning & resources.
- The strategy incorporates:
  - (i) test planning
  - (ii) test case design
  - (iii) test execution
  - (iv) test result collection & evaluation

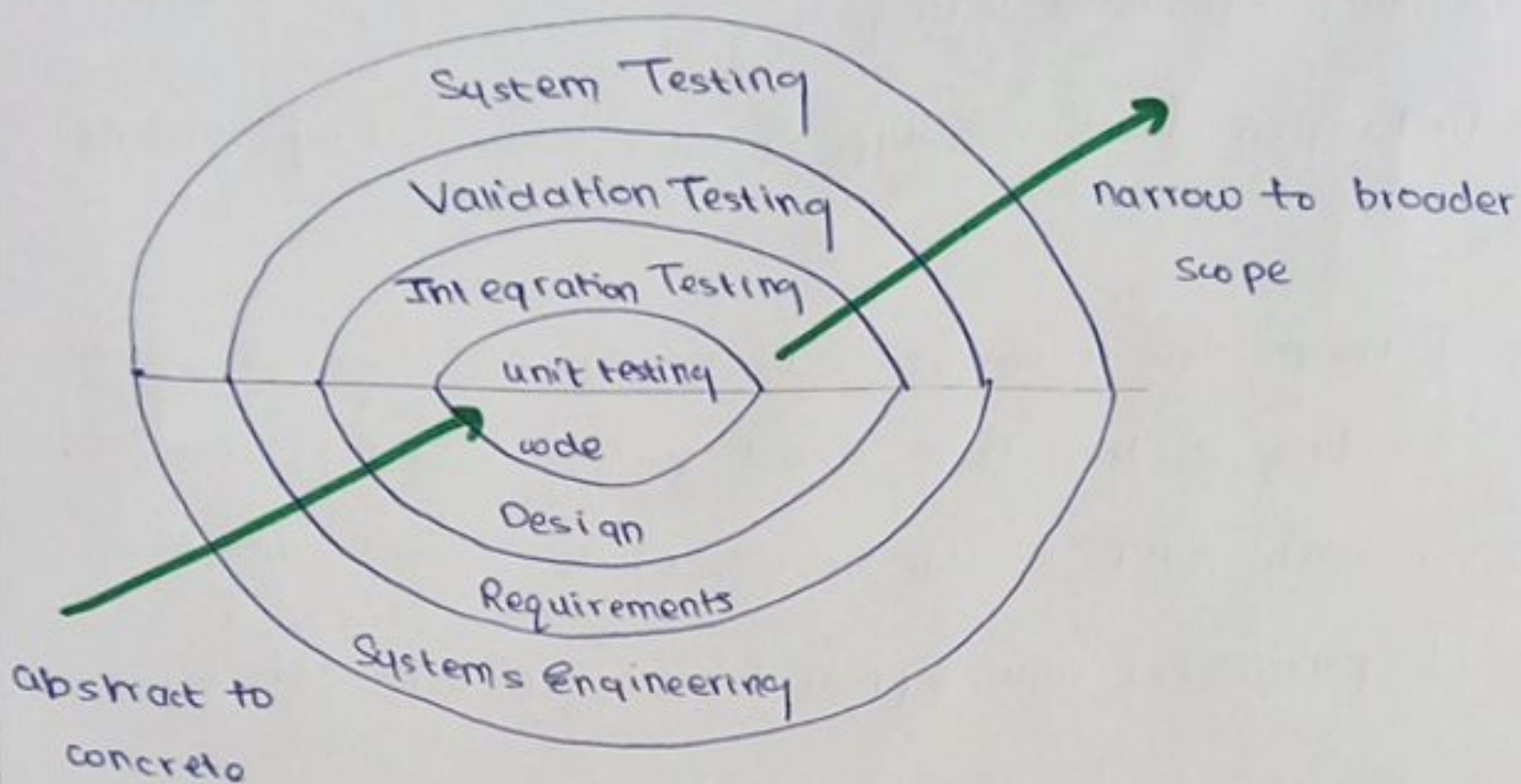
## \* Characteristics of Software Testing

- conduct effective formal technical reviews
- testing is conducted by the developer of the software
- testing is a part of verification and validation



→ Testing should aim at breaking the software.

## \* Testing Conventional Software



### A. Unit Testing

- testing the functions of the module
- concentrate on internal processing logic & data structures
- It is easy when a module has high cohesion (reduces test cases)
- If resources are limited, concentrate on critical modules & those with high cyclomatic complexity.

Unit Testing Targets : (i) Module interface

- (ii) Local data structures
- (iii) Boundary ~~structures~~ conditions
- (iv) Basis paths
- (v) Error handling paths



## ⑧ Drivers and Stubs in Unit Testing

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Driver - a simple main program that accepts test case data & passes the data to the component being tested, and returns the result.

Stubs - serves to replace modules that are subordinate to & called by the components to be tested.

- does minimal data manipulation, provides verification of entry and returns control back to the module.

Note: Both drivers & stubs represent overhead - both must be written but are not part of the installed software product.

### B. Integration Testing

→ a systematic technique for constructing the software architecture

→ uncover errors associated w/ interfaces

→ There are 2 approaches:

- Non-incremental integration testing

- Incremental integration testing

#### (i) Non-Incremental Integration Testing

→ called the 'Big Bang' Approach

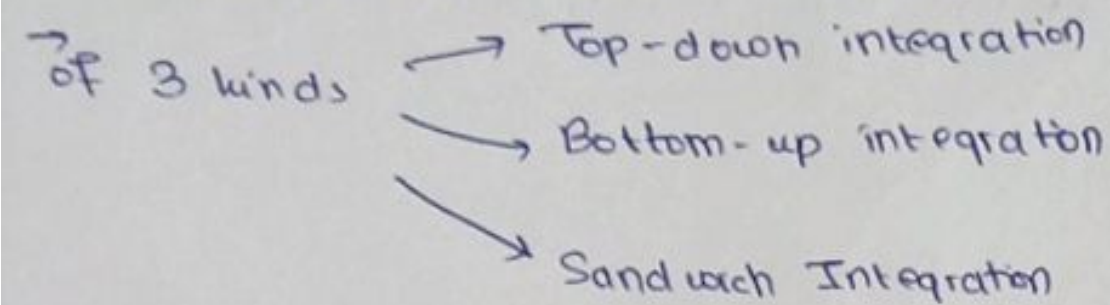
→ all components are combined in advance



→ The entire program is tested as a whole

→ chaos results

## (ii) Incremental Integration Testing



→ program is written and tested in small increments

→ errors are easier to isolate and correct, interfaces can be fully tested

### I - Top-Down Integration

→ modules are integrated by moving downward through the control hierarchy, beginning with the main module.

→ Subordinate modules are added & verified using BFS or DFS

Adv - can verify major control or decision points early

Dis Adv - stubs need to be made for modules not built yet.

### II - Bottom-Up Integration

→ Integration and testing starts with the most atomic modules in the control hierarchy.

Adv - verifies low level data processing right at the start  
- need for stubs is eliminated



Disadv - driver modules need to be built

- more testing may be needed when the upper level

modules are available

### III - Sandwich Integration

- consists of a combination of top down & bottom up integration
- proceeds using functional groups of modules - with each group completed before the next.
- reaps benefits of both kinds of integration
- Requires a disciplined approach so that integration doesn't tend towards the big bang scenario.

### c. Regression Testing

- Each addition or change to software may cause problems with functions that previously worked flawlessly.
- Regression testing re-executes a small subset of tests that have already been conducted.
- It helps ensure that changes have not propagated unintended side effects or additional errors.
- Regression tests are of 3 types:
  - (i) a representative sample of tests that will exercise all software functions
  - (ii) additional tests checking out the changes ~~are~~ affecting other functions



(iii) testing the functions that have been changed.

#### D. Smoke Testing

- Taken from the world of hardware - power is applied and a technician checks for smoke / sparks or other dramatic signs of failure
- Designed for time-critical projects
- The following activities are carried out in smoke testing:
  - (i) compile software and link to a build
  - (ii) expose errors - especially show-stopping ones
  - (iii) integrate builds with other builds and smoke test daily

#### Benefits of Smoke Testing

- (i) Integration risk is minimized.
- (ii) The quality of the end-product is improved.
- (iii) Error diagnosis & correction are simplified
- (iv) Progress is easier to assess.

#### E. Validation Testing

- Validation testing follows integration testing?
- It is designed to ensure that:
  - (i) all functional requirements are satisfied
  - (ii) all behavioral characteristics are achieved
  - (iii) all performance requirements are attained



(iv) documentation is correct

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→ Any deviations from specifications are identified and a deficiency list is created.

## Alpha and Beta Testing?

### \* Alpha Testing?

→ conducted at the developer's site by end users

→ developers watch usage

→ Testing is in a controlled environment

### \* Beta Testing

→ conducted at end-user sites

→ developer is not present

→ a live application of the software in an environment that cannot be controlled by the developer.

→ The end user records all problems that are encountered and report these to developers at regular intervals

→ After  $\beta$ -testing, software modifications are made & the software is prepared for release to the entire customer base.



## \* System Testing

System Testing is done in the following forms:

### A. Recovery Testing

- tests for recovery from system faults
- forces the software to fail in various ways & verifies that recovery is properly performed.
- Tests reinitialization, checkpointing mechanisms, data recovery & restart.

### B. Security Testing

- verifies that protection mechanisms built into a system will in fact protect it from improper access.

### C. Stress Testing

- executes a system in a manner that demands resources in an abnormal quantity, frequency or volume.

### D. Performance Testing

- tests run-time performance
- coupled with stress-testing and requires both hardware & software instrumentation.
- can uncover situations that lead to degradation & possible system failure.



## \* Debugging

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- Debugging is a consequence of successful testing
- The debugging process begins with the execution of a test case.
- Debugging helps assess the difference between expected and actual behavior.
- It helps match symptom with cause, helping lead to error correction.
- Debugging is often very difficult because :
  - (i) The symptom and cause may be geographically remote.
  - (ii) The symptom may temporarily disappear when another error is corrected.
  - (iii) The symptom may be actually caused by non-errors
  - (iv) The symptom may be caused by human errors.
  - (v) due to timing problems, may be intermittent
  - (vi) may be difficult to accurately reproduce

## \* Debugging Strategies

- Debugging to find bugs is a combination of systematic evaluation, intuition and luck.
- There are 3 main strategies :
  - (i) Brute Force
  - (ii) Backtracking
  - (iii) Cause elimination



## A. Backtracking

## A. Brute Force

- most commonly used and least effective method
- used when all else fails
- involves the use of memory dumps, run-time traces and output statements
- a waste of time.

## B. Backtracking

- can be used successfully in small programs
- Start at the location where a symptom has been uncovered
- Trace backward manually until the location of the cause is found
- In large programs, the no. of potential backward paths may become unmanageably large.

## C. Cause Elimination

- Involves the use of induction or deduction and introduces the idea of binary partitioning.

(i) induction - prove a specific starting value is true, and try to generalize it

(ii) deduction - show that a specific conclusion follows from a set of general premises

- Data related to the error is ~~isolated~~ organized to isolate potential causes.



→ A cause hypothesis is devised, and the data is used to prove or disprove the hypothesis.

## \* Testing Tools

- Software testing tools help in:
- (i) higher test coverage
  - (ii) save time and resources
  - (iii) provide support for multiple platforms
  - (iv) bug free releases
  - (v) easy finding & fixing defects
  - (vi) Faster releases

## \* How to select a testing tool

- Understand project requirements
- Consider existing tool as benchmark - understand pros and cons
- Consider criteria like its ease of use, OS compatibility, budget, support for languages.
- Make a matrix comparing the shortlisted tools.

## \* Types of Software Testing Tools

- A. Static Testing Tools
  - test software without executing it
  - involves analyzing code for syntax, checking documentation
- B. Dynamic Testing Tools
  - Tools interact with software while executing
  - provides info about programs & diff. events



C. Open Source Tools - free to use tools with code on the internet.

D. Vendor Tools - developed by companies that come with licenses to use, cost money

E. InHouse Tools - built by companies for their own use - rather than purchasing other tools.

### Based on Functionality?

1. Agile Testing tools - tracks defects, makes reports

- eq. Jira by Atlassian

- Soap UI

Selenium WebDriver - supports multiple programming langs

2. Automation Testing Tools - (i) HP VFT - test mobile platforms on web browsing

(ii) Selenium - automate regression tasks for web browsers

(iii) Watir - automates web browsers

3. Mobile Testing Tools - eq. eqPlant  
Appium

4. Load Testing Tools - eq. Tsung  
wapt

5. Test Management Tools - eq. Zephyr  
Qmetry



# ❌❌ Coding Practices and Principles

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## ① DRY - Don't Repeat Yourself

- don't replicate
- use abstraction to summarize things in a single area.

## ② KISS - Keep It short and Simple

- be mindful about the code you are writing - keep it as precise as possible
- if something can be written in a single line, write it in a single line

## ③ Refactor

- Examine code again and again and look for way to optimize it.
- Make more effective while keeping results the same

## ④ Document Your Code

- write code w/ comments
- make specific features more easier to understand

## ⑤ Creation Over Legacy

- When dealing with complex behaviors, create new objects or components rather than using legacy elements

## ⑥ Clean Code at All Costs

- Keep it precise yet explicit
- Don't package a lot of logic on one line - make it easily readable.



## 7. You Are Not Going to Need It

→ never code just for the sake of performance, imagining that it will help someday

## 8. Open / Closed

→ make code open for expansion, yet closed for adjustment

## 9. Single Responsibility

→ each module / class should have only a specific functionality

→ keep modules clean and minimal

## 10. Separation of Concerns

→ MVC plan - Model View Controller

→ isolate a program into 3 unique regions: information, rationale & showcase

## 11. Encapsulate the changes

→ confine or summarize code

→ easier to test encapsulated code

## 12. Delegation Principles

→ Assign work to ~~principles~~ each person

## 13. Interface Segregation Principle (ISP)

→ ~~don't~~ A customer shouldn't use an interface if it doesn't require it,

→ when an interface has a lot of features, but the customer uses just one.



#### 14. | Liskov Substitution Principle (LSP) |

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→ subtypes should be a replacement for a supertype

#### 15. | Programming for Interface Rather than Implementation |

→ program for the interface so that is compatible with any other future similar interface.

#### 16. | Favor Composition instead of Inheritance |

→ change behavior of a class during run-time

→ makes it flexible to change it w/ implementation

#### \* Software Maintenance Types

→ Software maintenance is the act of keeping / the expenditure required to keep an asset in condition, so that it performs efficiently.

→ can also be modification after delivery to correct fault, improve performance or adapt to environments.

#### \* Types of Software Maintenance

##### A. | Corrective Maintenance |

→ Reactive modification of a software product performed after delivery to correct discovered problems

system: - response to equipment malfunctions

characteristics:  
- inefficient maintenance dept  
- unpredictable equipment operation



Example - light bulb replacement

results - steady degradation of equipment

maintenance dept. responsibility - respond to emergencies  
- get production back on line

## B. Adaptive Maintenance

→ modification after delivery to keep a product usable

system - equipment design w/ minimal maintenance requirements

characteristics - close relationship with suppliers

examples - roadways, websites

results - continually improving equipment

responsibility - minimize & eliminate maintenance requirements

## C. Preventive Maintenance

→ correct latent faults before they become effective faults

system - periodic checks & adjustments

characteristics - more predictable, efficient

example - changing oils & filters

result - maintain level of equipment

maintenance dept responsibility - checking, replacing & overhauling  
perform checks



## D. Perfective Maintenance

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→ modification of a software product after delivery to improve performance or maintainability

System - periodic measurement

characteristics - planned & scheduled repairs

example - software updates

results - maintain equipment performance with min. disruption to production

Maintenance dept. responsibilities - log repairs  
predict repair cycles

## \* Software Maintenance Process

There are 6 software maintenance processes as follows:

### ① Implementation Process

→ includes preparation activities like the creation of the maintenance plan, preparation for handling problems found during development

→ also has follow up on product config. management

### ② Problem & Modification Analysis

→ The maintenance programmer must analyze each request, confirm it by reproducing the situation and check its validity



investigate it and propose a solution

→ The solution must be documented, and all the required authorizations must be obtained to apply the modifications

### ③ Implementation of Modification

### ④ Acceptance of Modification

→ Confirm the modified work with the individual who submitted the request in order to make sure that the modification provided a solution

### ⑤ Migration Process

→ an exceptional case, not a part of daily maintenance tasks  
→ when the software must be ported to another platform without any change in functionality, a maintenance project team is assigned to the task

### ⑥ Retirement of Software

→ an event that does not occur on a daily basis - a piece of software is completely retired

## \* Need for Software Maintenance

→ repair software faults  
→ adapt software to a diff. environment  
→ add or modify the system's functionality



## \* Optimal Maintenance

→ a discipline concerned with maintaining a system that maximizes profit or minimizes cost

→ parameters considered are:

(i) cost of failure

(ii) cost per unit time of downtime

(iii) cost per unit time of preventive and corrective maintenance

(iv) cost of a repairable replacement

## \* Maintenance Costs

→ can range from 2x to 100x the OG cost.

→ can arise from technical & non-technical factors

→ A deployed system is difficult & expensive to change

→ Maintenance costs increase over ~~time~~ time as the system evolves

## \* Maintenance Cost Factors

→ Team stability

→ Contractual responsibility

→ Staff skills

→ Program age and structure

## \* Strategies to reduce maintenance costs

→ review basic operations

→ conduct physical analysis

→ correct even slight defects

→ ensure basic equipment conditions are maintained



## \* Difficulties in Software maintenance

- someone else's program
- developer not available
- proper documentation doesn't exist
- not designed for change
- maintenance activity not highly regarded