



# CSCE 585: Machine Learning Systems

Lecture 7: Understanding and Explaining the Root Causes of (Performance) Faults in (ML) Systems  
with Causal AI

Pooyan Jamshidi

# Reconciling Accuracy, Cost, and Latency of Inference Serving Systems



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<https://pooyanjamshidi.github.io/>

## Problem:

Multi-Objective Optimization  
with Known Constraints  
under Uncertainty

$$\begin{aligned} \max \quad & \alpha \cdot AA - (\beta \cdot RC + \gamma \cdot LC) \\ \text{subject to} \quad & \lambda \leq \sum_{m \in M} th_m(n_m), \\ & \lambda_m \leq th_m(n_m) \\ & p_m(n_m) \leq L, \forall m \in M, \\ & RC \leq B, \end{aligned}$$

## Solutions:

Different Assumptions

**InfAdapter [2023]:**  
Autoscaling for  
**ML Inference**

**IPA [2024]:**  
Autoscaling for  
**ML Inference Pipeline**

**Sponge [2024]:**  
Autoscaling for  
**ML Inference Pipeline**  
**Dynamic SLO**

# What is Causal AI?



# Let's start with a (fiction) story

- Zeus is a patient waiting for a heart transplant. On 1 January, he received a new heart. Five days later, he died.
- Imagine that we can somehow know, that had Zeus not received a heart transplant on 1 January then he would have been alive five days later.
  - All other things in his life being unchanged.
- Now, what do you think was the cause of Zeus's death?!
- Most people would agree that the **transplant caused Zeus' death**.
- The intervention had a causal effect.

# Let's start with a (fiction) story

- Hera, received a heart transplant on 1 January. Five days later she was alive.
- Again, imagine we can somehow know that had Hera not received the heart on 1 January then she would still have been alive five days later.
  - All others things in his life being unchanged.
- The transplant **did not have a causal effect on Hera's five day survival.**

# Let's collect some data!

*Exposure variable A (1: exposed, 0: unexposed); Outcome variable Y (1: death, 0: survival)*

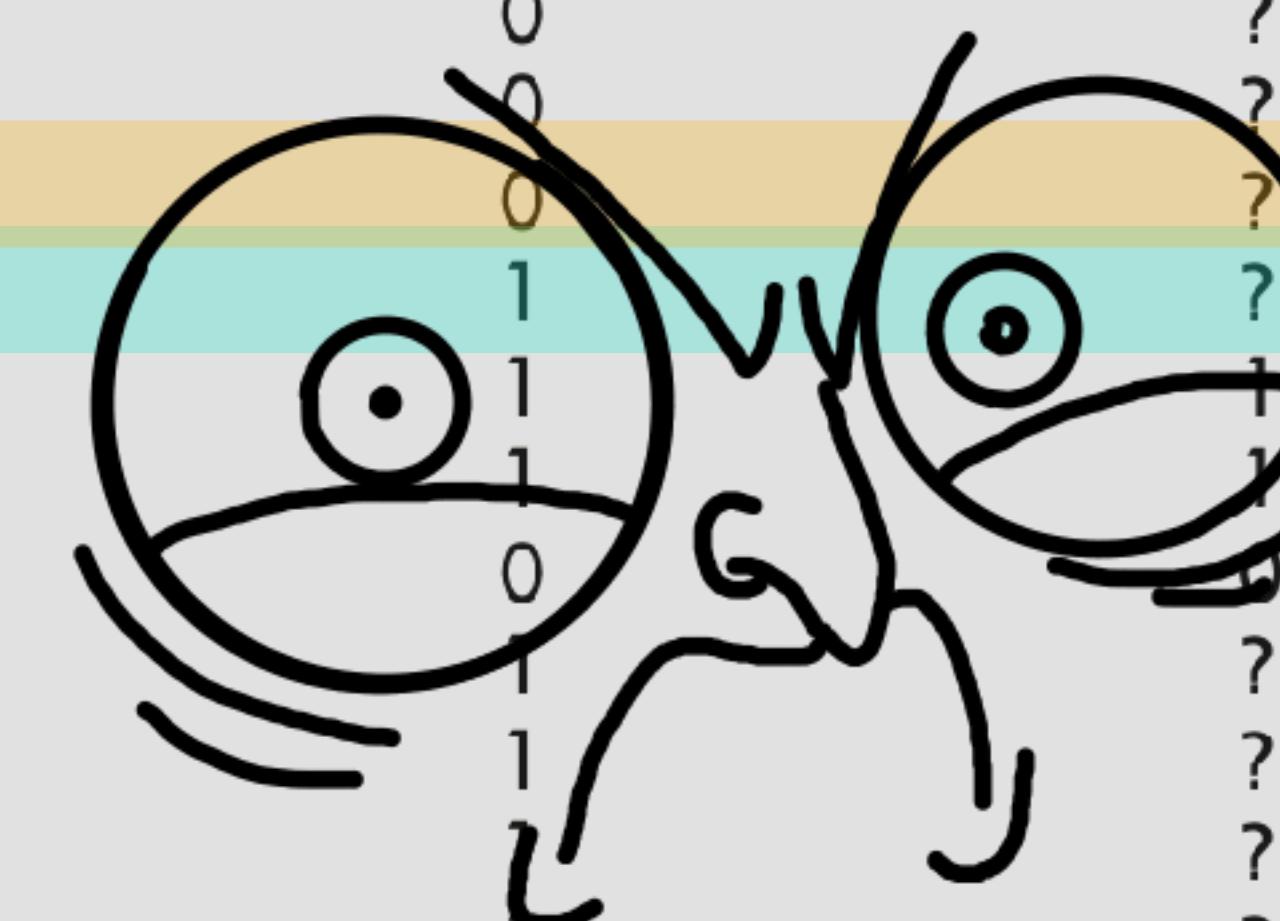
ID	A	Y
Rheia	0	0
Kronos	0	1
Demeter	0	0
Hades	0	0
Hestia	1	0
Poseidon	1	0
Hera	1	0
Zeus	1	1
Artemis	0	1
Apollo	0	1
Circe	0	0
Ares	1	1
Athene	1	1
Eros	1	1
Aphrodite	1	1
Prometheus	1	1
Selene	1	1
Hermes	1	0
Eos	1	0
Helios	1	0

ID	$Y_{a=0}$	$Y_{a=1}$
Rheia	0	1
Kronos	1	0
Demeter	0	0
Hades	0	0
Hestia	0	0
Poseidon	1	0
Hera	0	0
Zeus	0	1
Artemis	1	1
Apollo	1	0
Circe	0	1
Ares	1	1
Athene	1	1
Eros	0	1
Aphrodite	0	1
Prometheus	0	1
Selene	1	1
Hermes	1	0
Eos	1	0
Helios	1	0

# Individual Causal Effect

contrast of the values of counterfactual outcomes, but only one of those values is observed.

ID	A	Y	$Y_{a=0}$	$Y_{a=1}$
Rheia	0	0	0	?
Kronos	0	1	1	?
Demeter	0	0	0	?
Hades	0	0	0	?
Hestia	1	0	?	0
Poseidon	1	0	?	0
Hera	1	0	?	0
Zeus	1	1	?	1
Artemis	0	1	?	?
Apollo	0	1	?	?
Circe	0	0	?	?
Ares	1	1	?	1
Athene	1	1	?	1
Eros	1	1	?	1
Aphrodite	1	1	?	1
Prometheus	1	1	?	1
Selene	1	1	?	1
Hermes	1	0	?	0
Eos	1	0	?	0
Helios	1	0	?	0

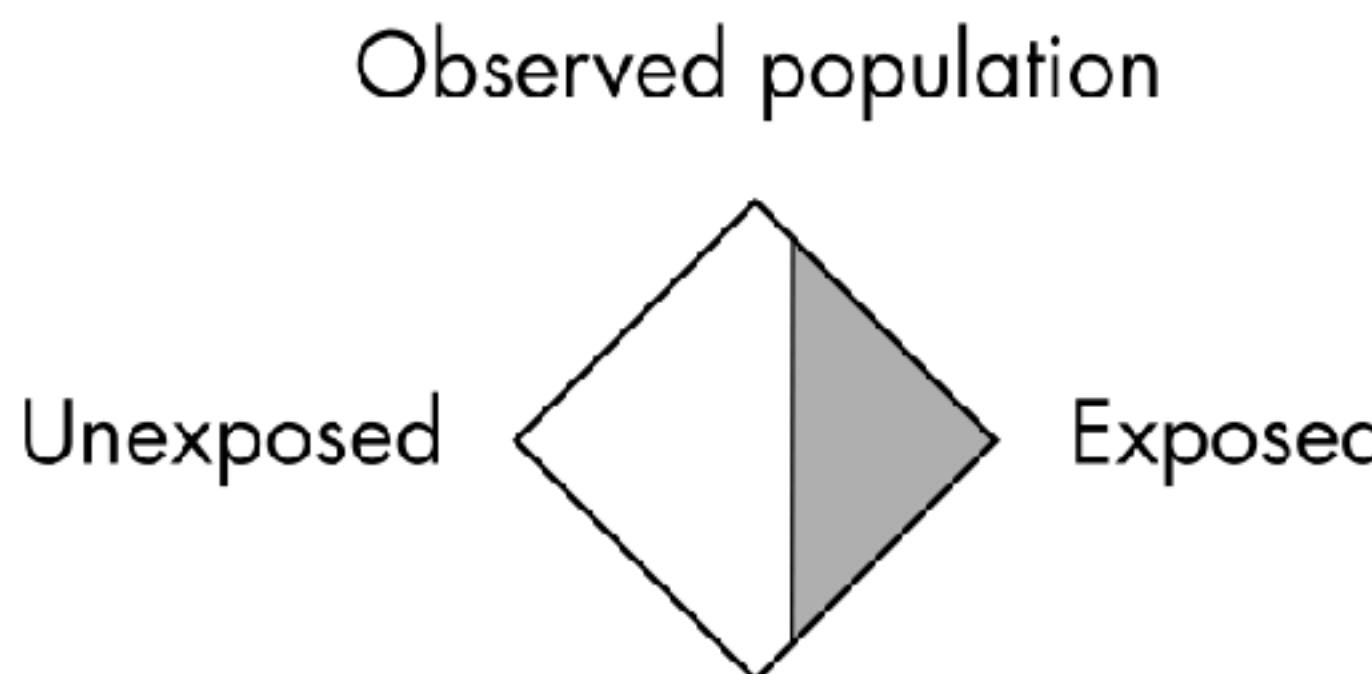


# Population Causal Effects

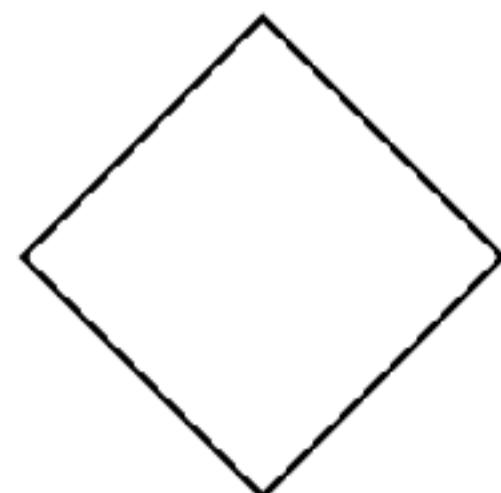
- $\Pr[Y_a = 1]$ : proportion of subjects that would have developed the outcome  $Y$  had all subjects in the population of interest received exposure value  $a$ .
- The exposure has a causal effect in the population if  $\Pr[Y_{a=1}=1] \neq \Pr[Y_{a=0}=1]$ .
- Unlike individual causal effects, population causal effects can sometimes be computed—or, more rigorously, consistently estimated.

$$\Pr[Y_{a=1} = 1] - \Pr[Y_{a=0} = 1] \neq 0$$

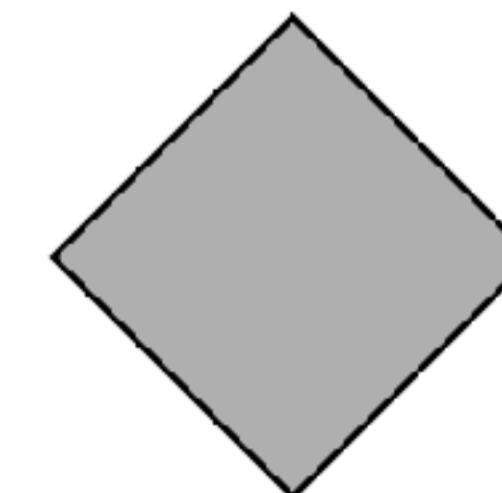
# Association is not Causation!



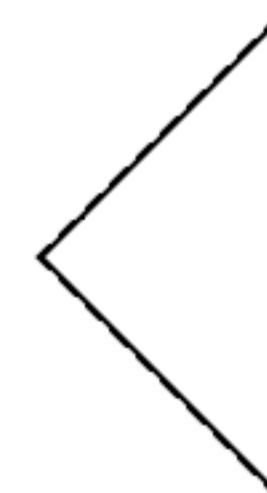
## Causation



1



Association



# Computing Causal Effects via Randomization

Unlike association measures, effect measures cannot be directly computed because of missing data. However, effect measures can be computed/estimated in randomized experiments!

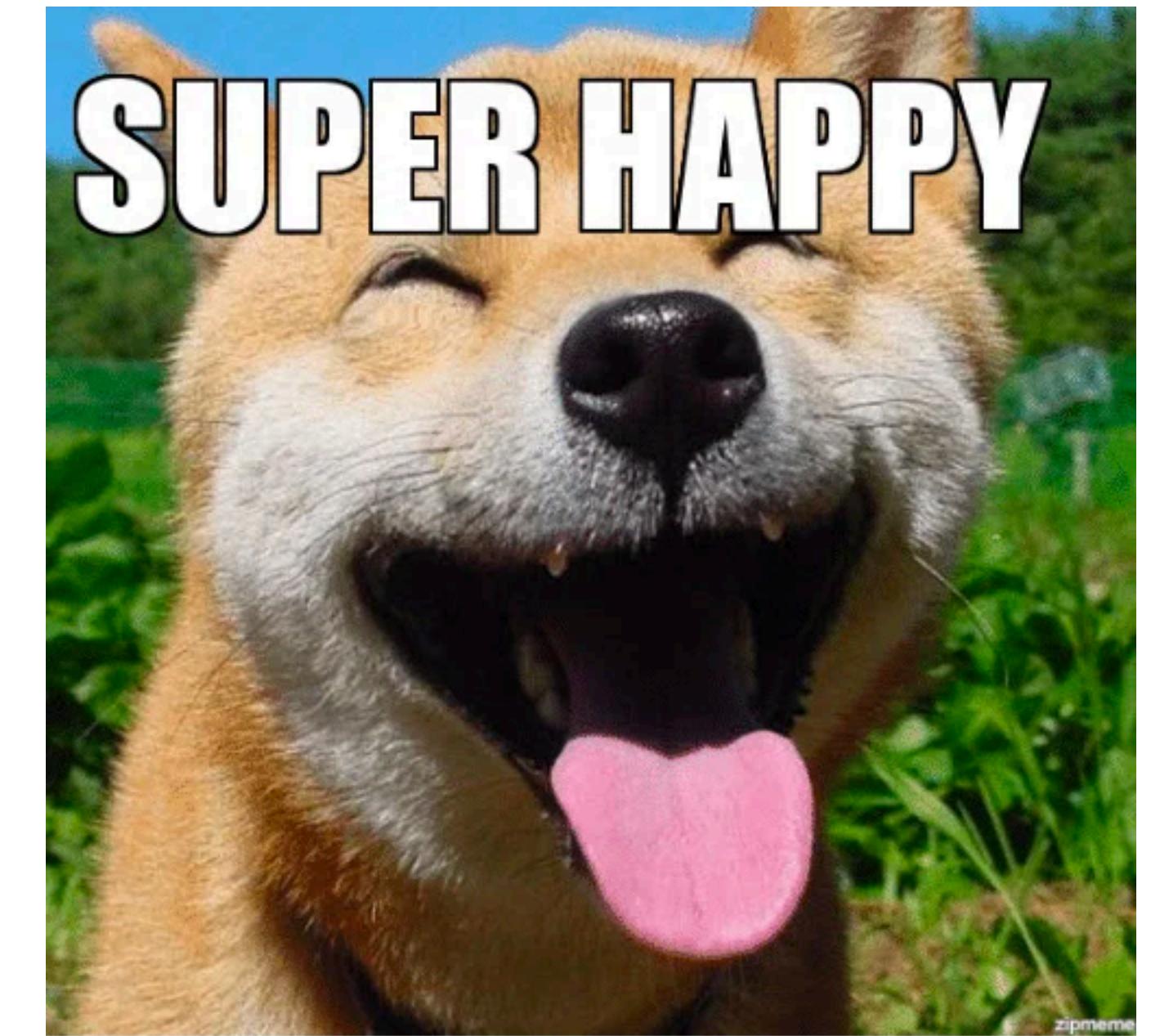
- Suppose we have a (near-infinite) population and that we flip a coin for each subject in such population. We assign the subject to group 1 if the coin turns tails, and to group 2 if it turns heads.
- Next we administer the treatment or exposure of interest ( $A = 1$ ) to subjects in group 1 and placebo ( $A = 0$ ) to those in group 2. Five days later, at the end of the study, we compute the mortality risks in each group,  $\Pr[Y = 1|A = 1]$  and  $\Pr[Y = 1|A = 0]$ .
- When subjects are randomly assigned to groups 1 and 2, the proportion of deaths among the exposed,  $\Pr[Y = 1|A = 1]$ , will be the same whether subjects in group 1 receive the exposure and subjects in group 2 receive placebo, or vice versa.
- Because group membership is randomised, both groups are “comparable”: which particular group got the exposure is irrelevant for the value of  $\Pr[Y = 1|A = 1]$ . (The same reasoning applies to  $\Pr[Y = 1|A = 0]$ .)
- Formally, we say that both groups are **exchangeable**.

# Let's do some math!

$$Pr[Y = 1 | A = 1] = Pr[Y = 1 | A = 0] = Pr[Y_a = 1]$$

$$Pr[Y_a = 1 | A = a] = Pr[Y = 1 | A = a]$$

$$Pr[Y = 1 | A = a] = Pr[Y_a = 1]$$



In ideal randomized experiments, Association is Causation!

# But not in non-randomized observational studies

## Still remember this?

$$Pr[Y = 1 | A = 1] = 7/13$$

$$Pr[Y = 1 | A = 0] = 3/7$$

### CONTINUING PROFESSIONAL EDUCATION

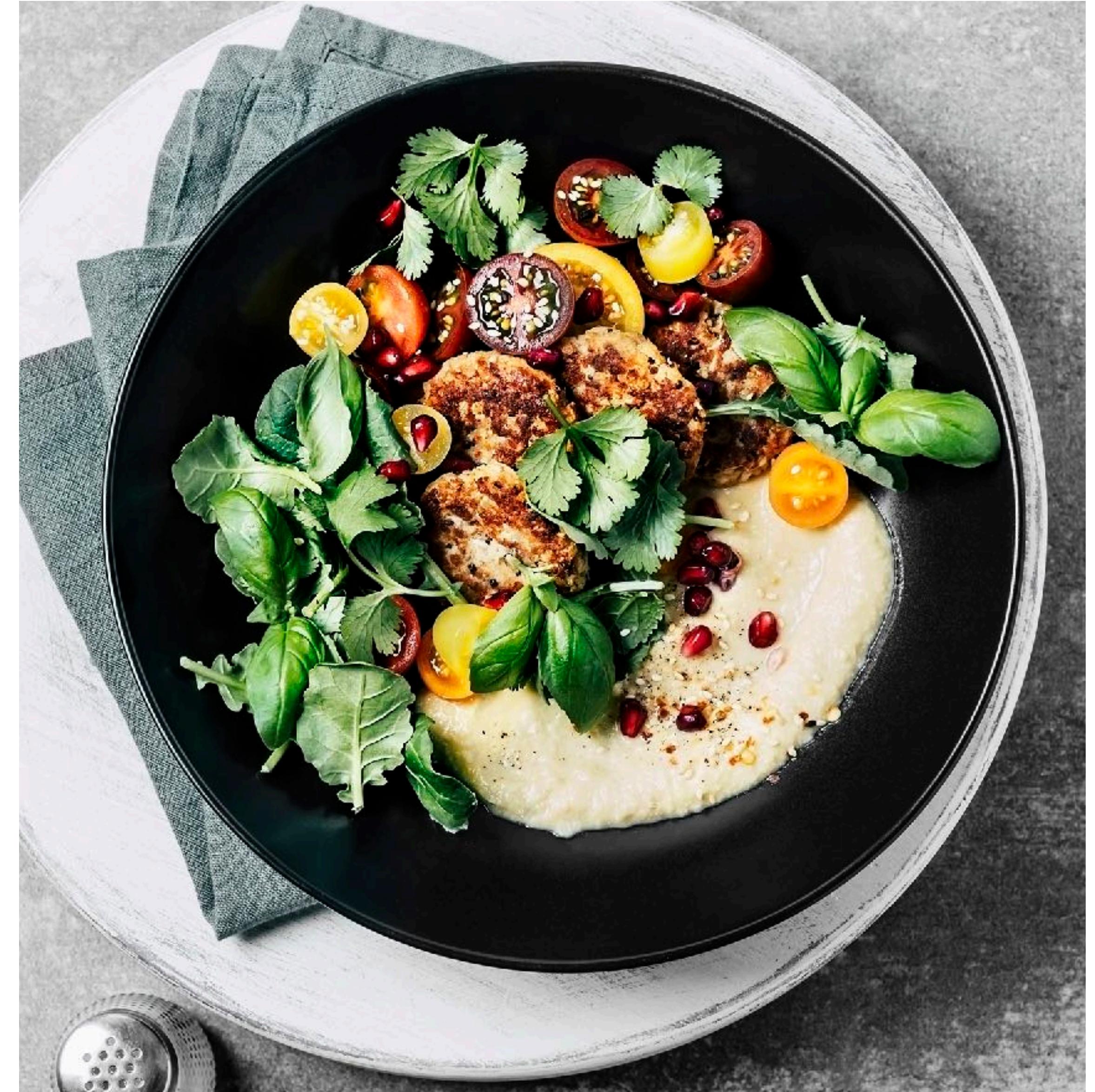
A definition of causal effect for epidemiological research

M A Hernán

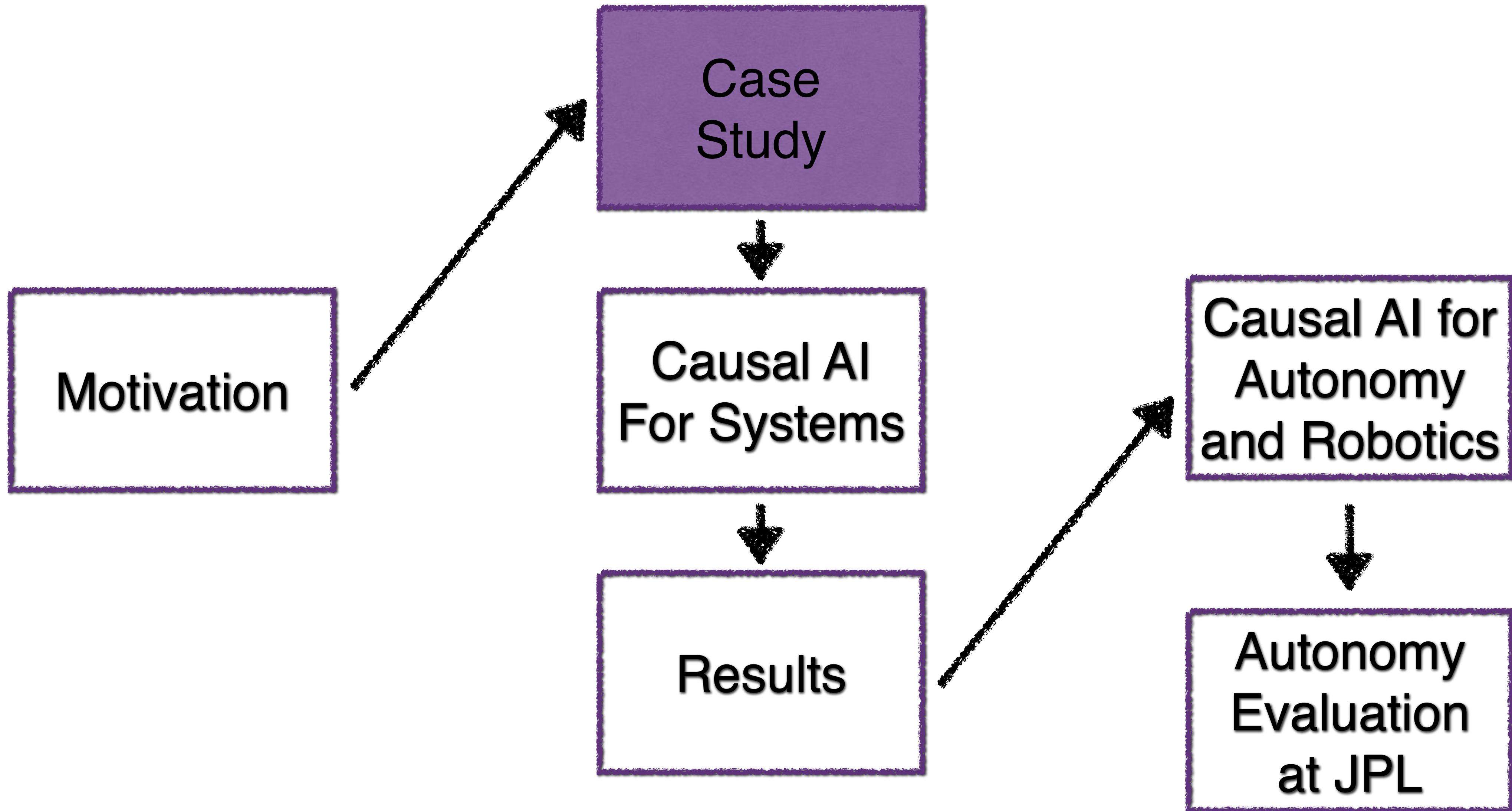
# Limitations!

- We have so far assumed that the counterfactual outcomes  $Y_a$  exist and are well defined.
- Loss to follow up
- Non-compliance
- Unblinding

**Now, let's look at  
some applications  
of Causal AI in  
Computer Systems**



# Outline

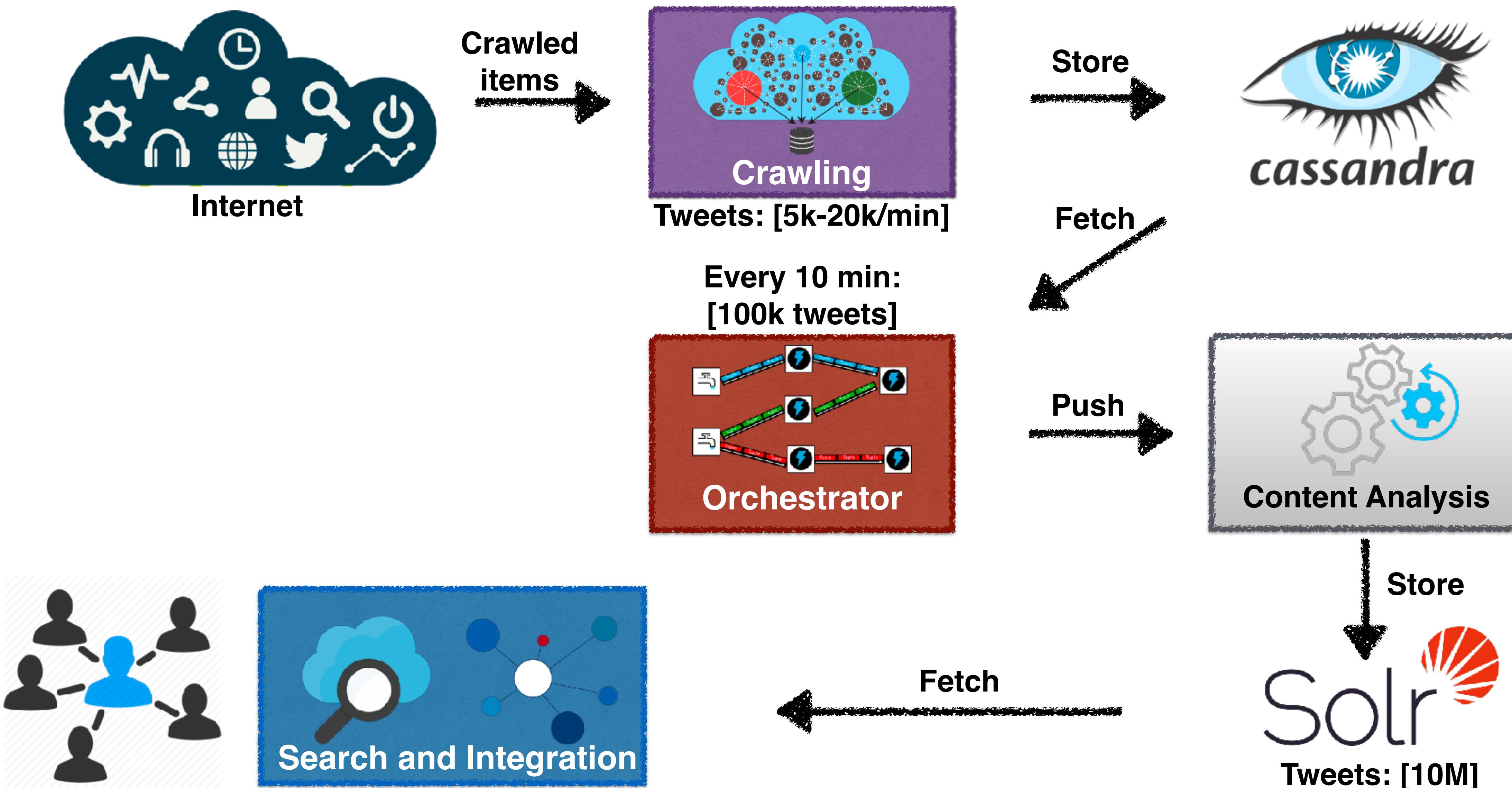


# Case Study 1

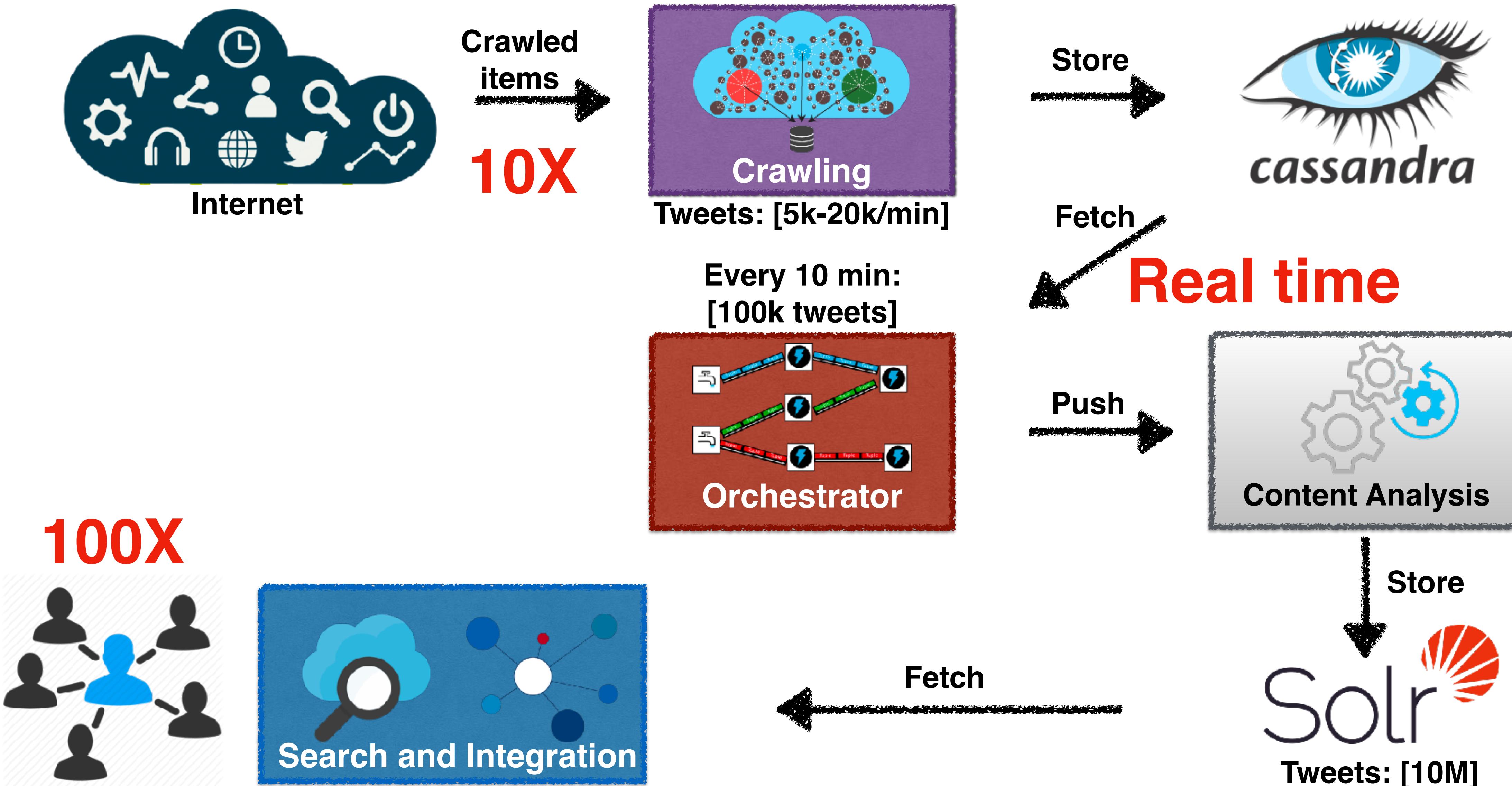
## SocialSensor



# SocialSensor



# Challenges



How can we gain a better performance without using more resources?

**Let's try out different system configurations!**

# Opportunity: Data processing engines in the pipeline were all configurable



> 100



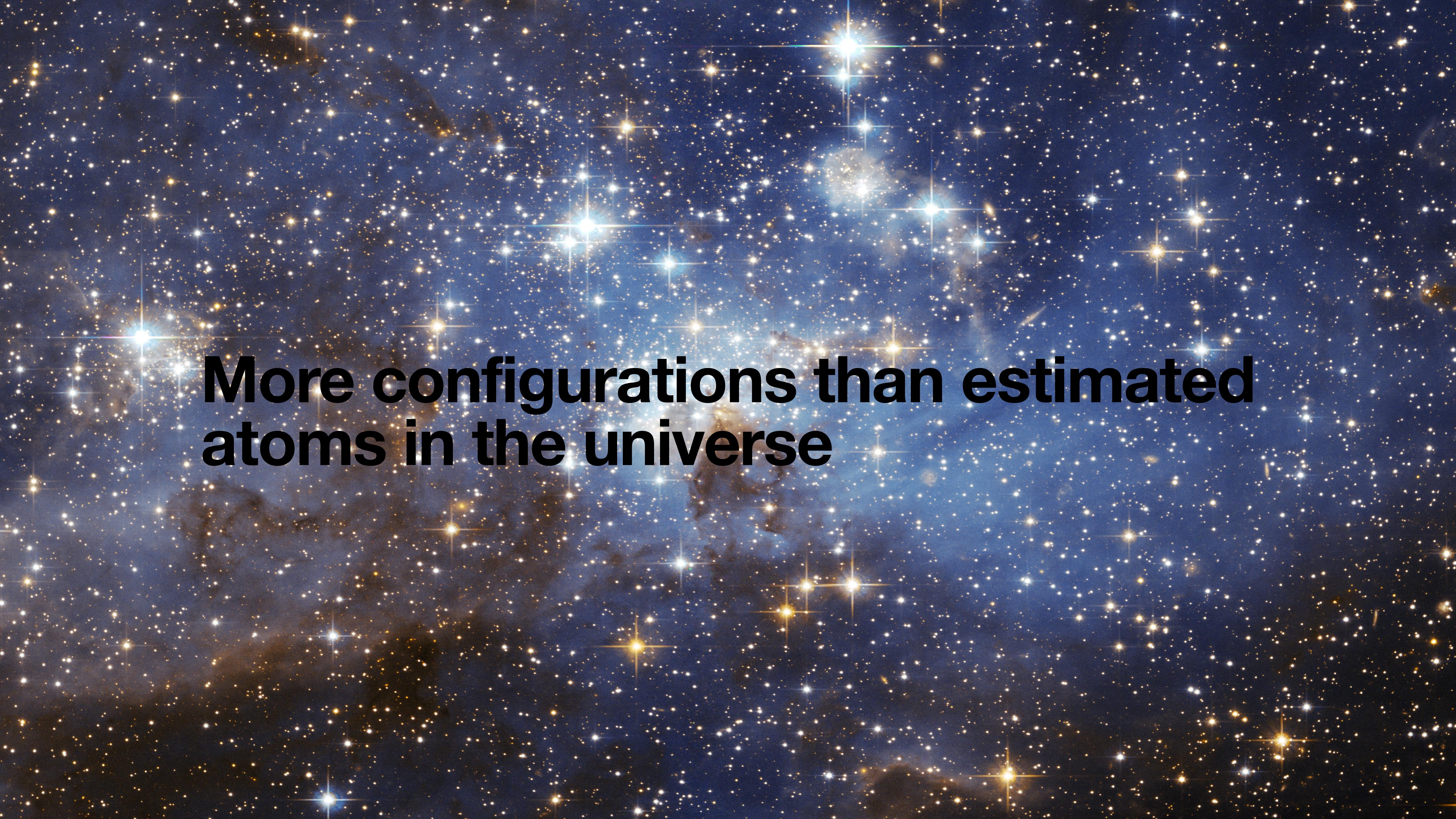
STORM

> 100



> 100

$2^{300}$



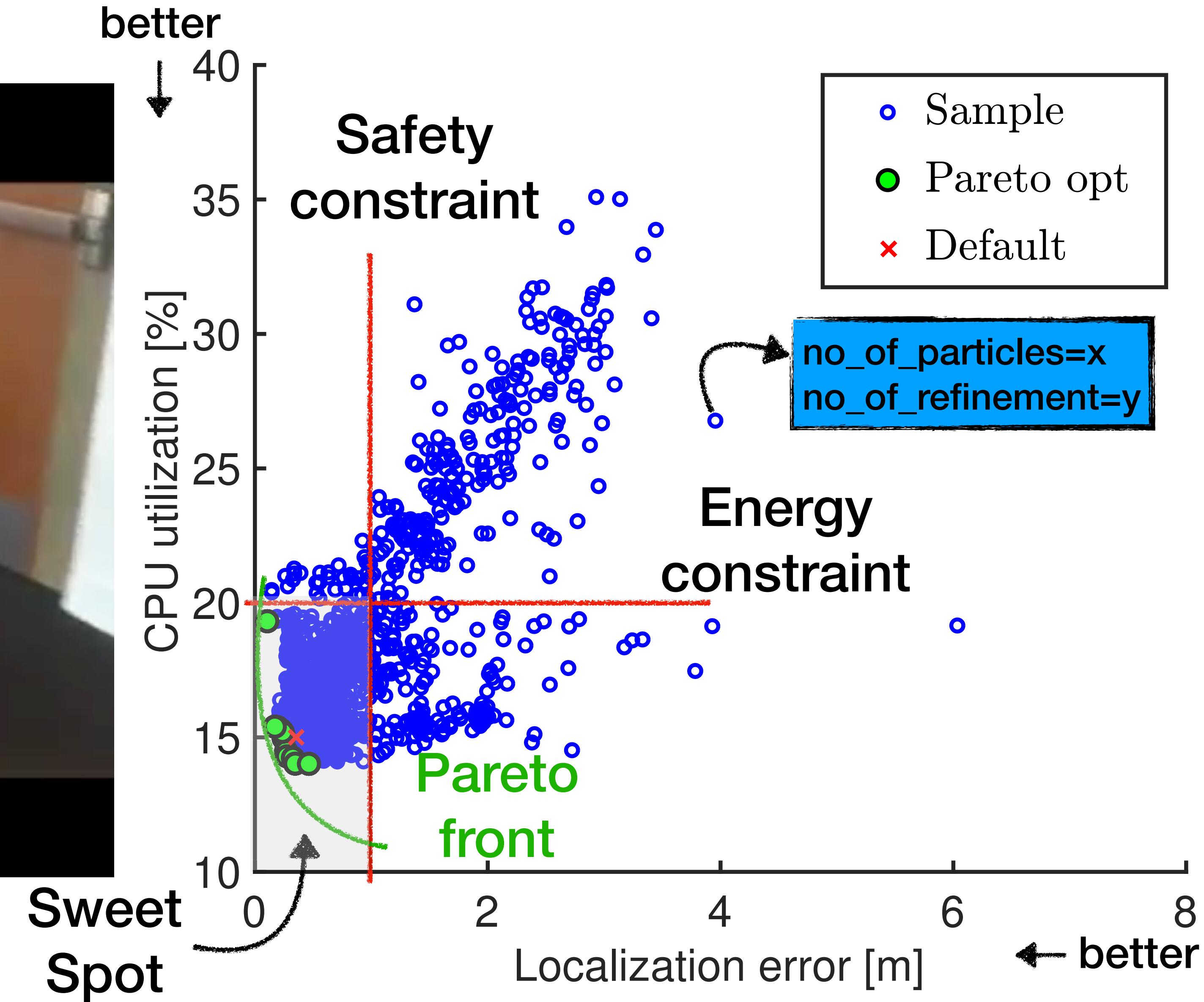
**More configurations than estimated  
atoms in the universe**

# Case Study 2

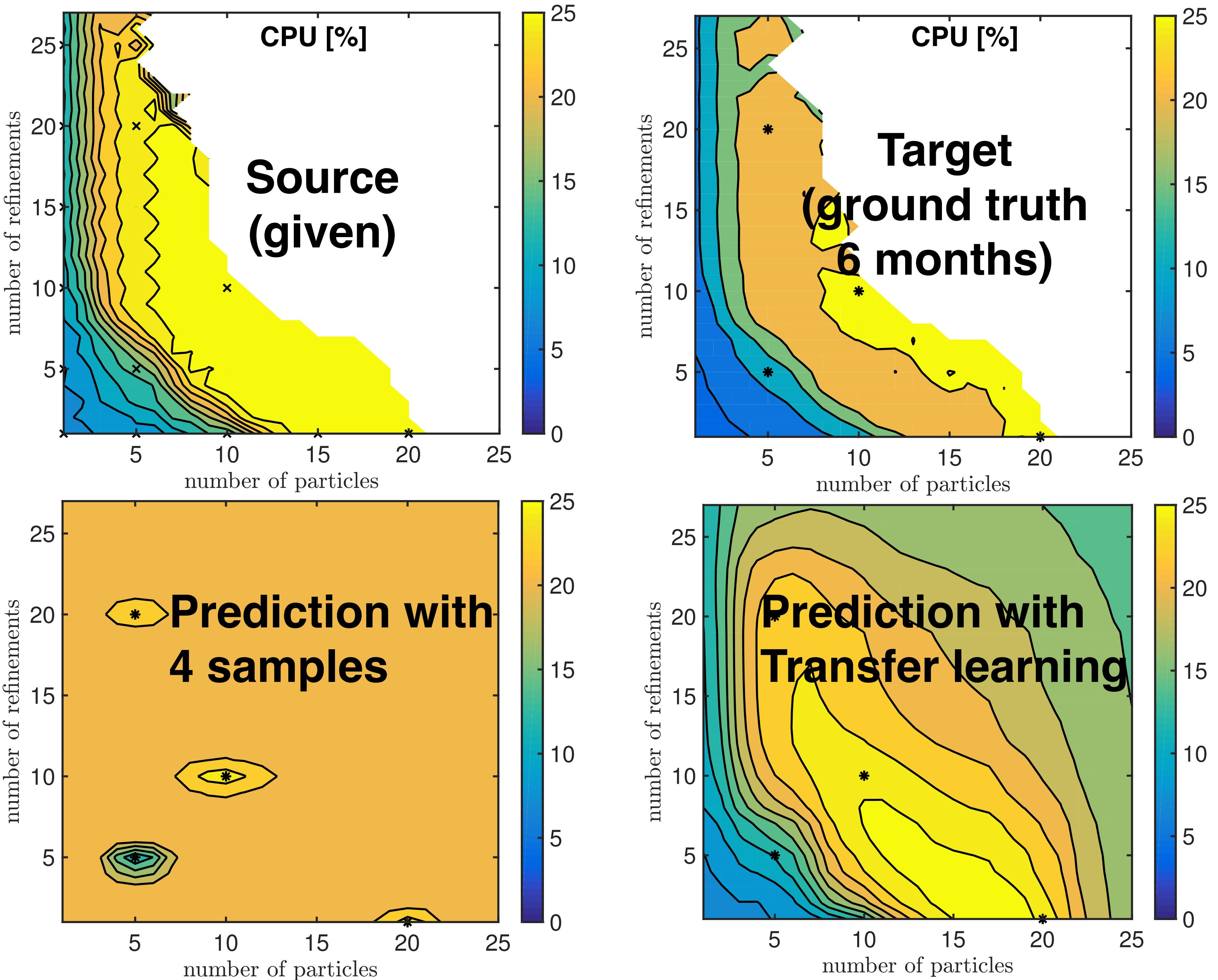
## Robotics



# CoBot experiment: DARPA BRASS



# CoBot experiment



# Details: [SEAMS '17]

## Transfer Learning for Improving Model Predictions in Highly Configurable Software

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**Abstract**—Modern software systems are built to be used in dynamic environments using configuration capabilities to adapt to changes and external uncertainties. In a self-adaptation context, we are often interested in reasoning about the performance of the systems under different configurations. Usually, we learn a black-box model based on real measurements to predict the performance of the system given a specific configuration. However, as modern systems become more complex, there are many configuration parameters that may interact and we end up learning an exponentially large configuration space. Naturally, this does not scale when relying on real measurements in the actual changing environment. We propose a different solution:

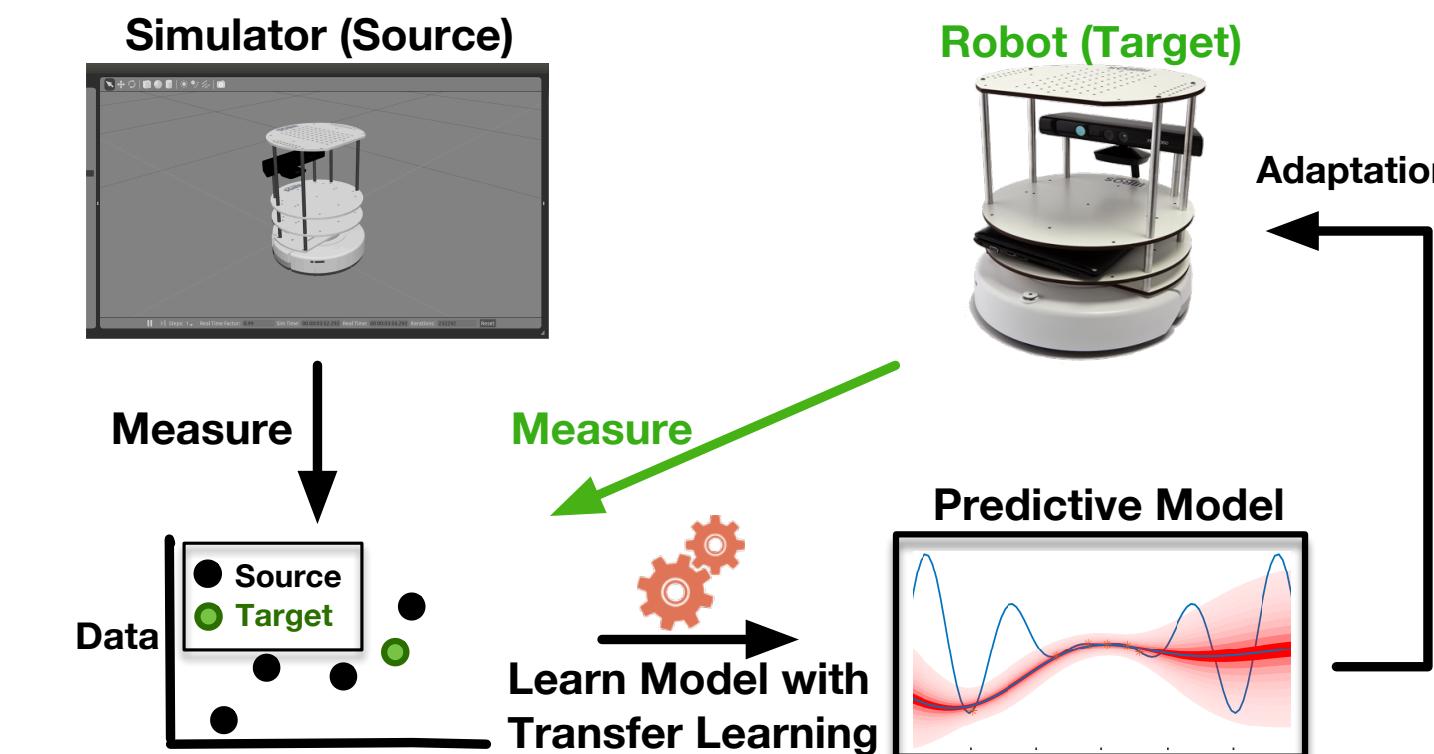


Fig. 1: Transfer learning for performance model learning.

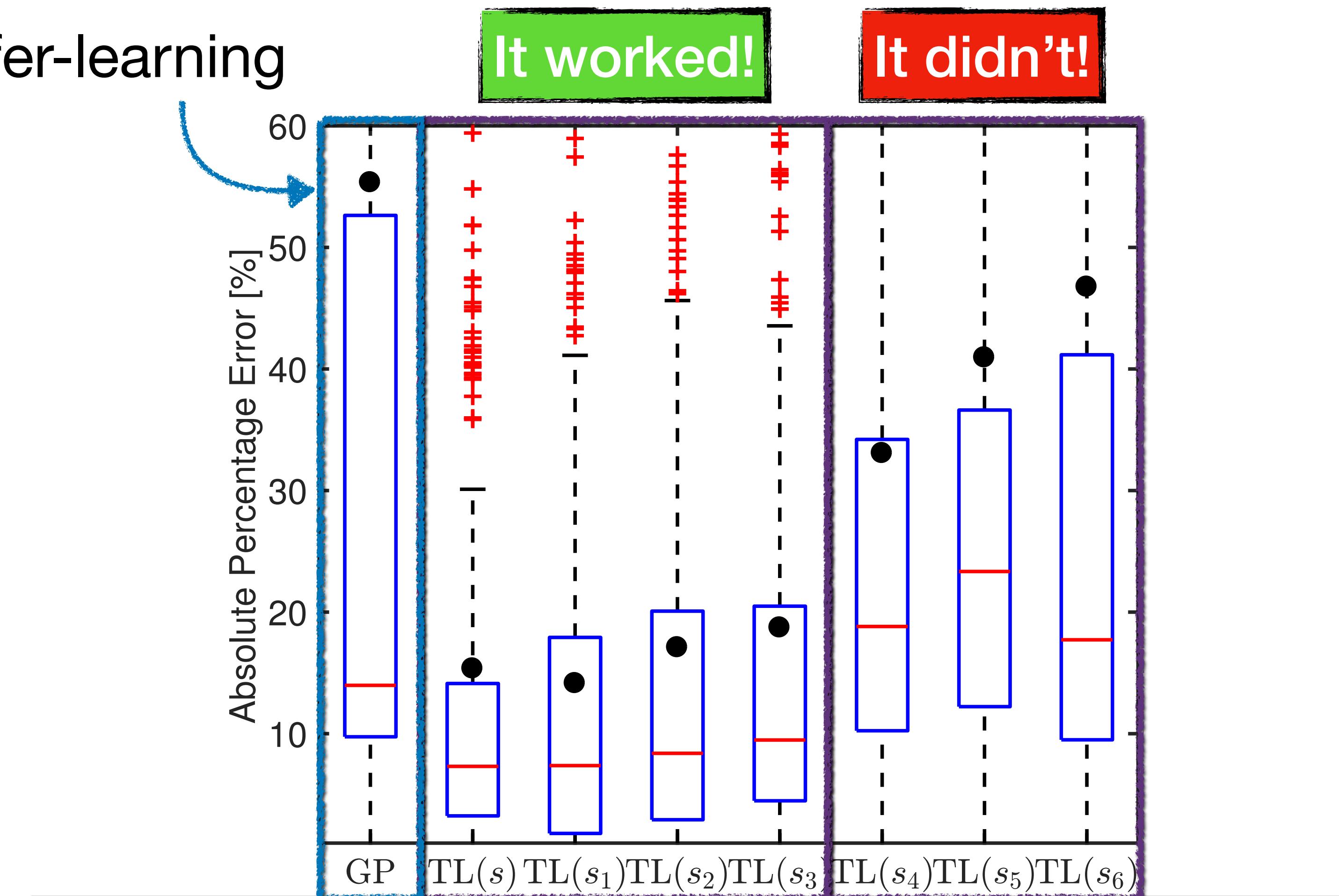
# Looking further: When transfer learning goes wrong

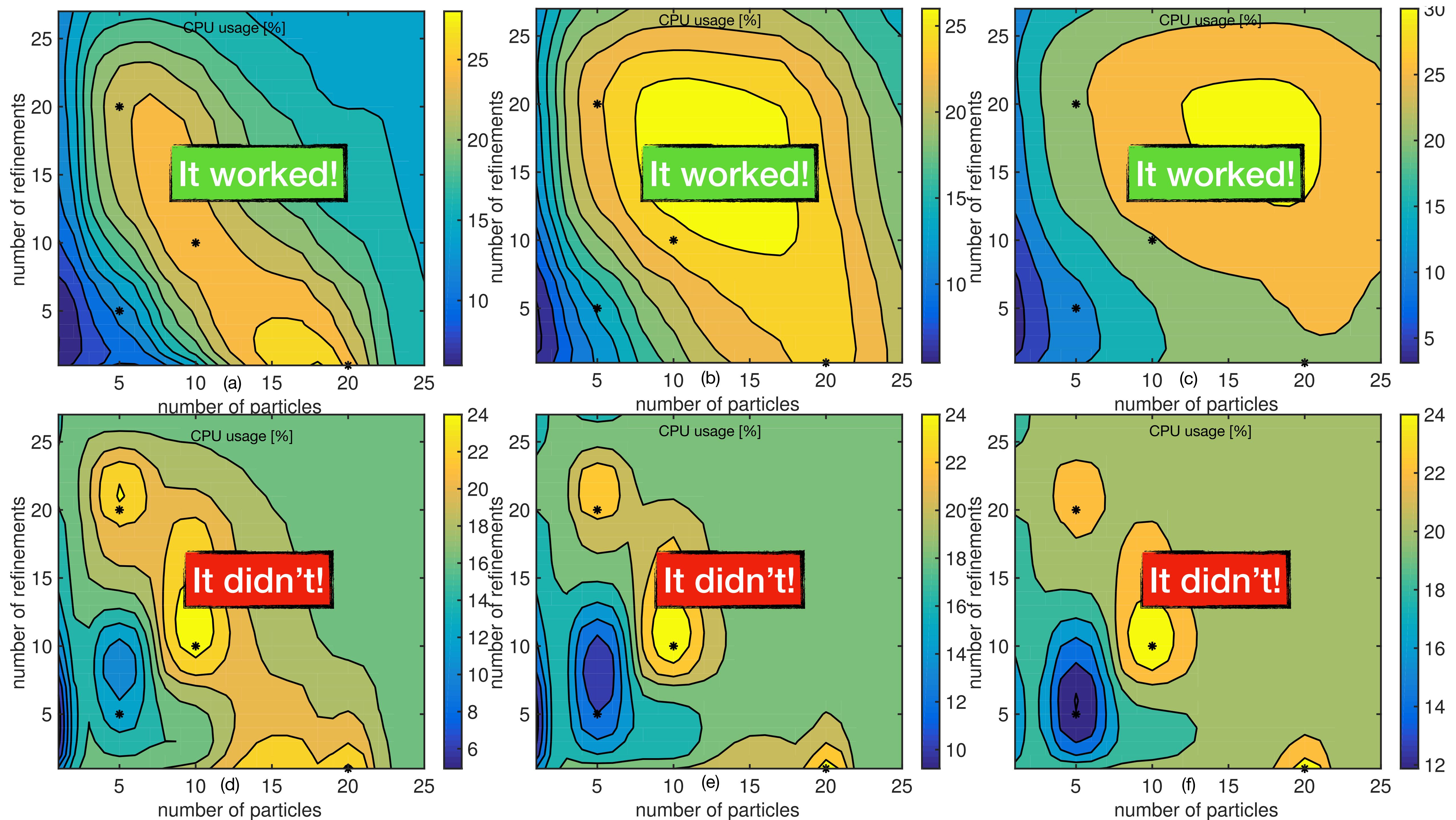
Non-transfer-learning

It worked!

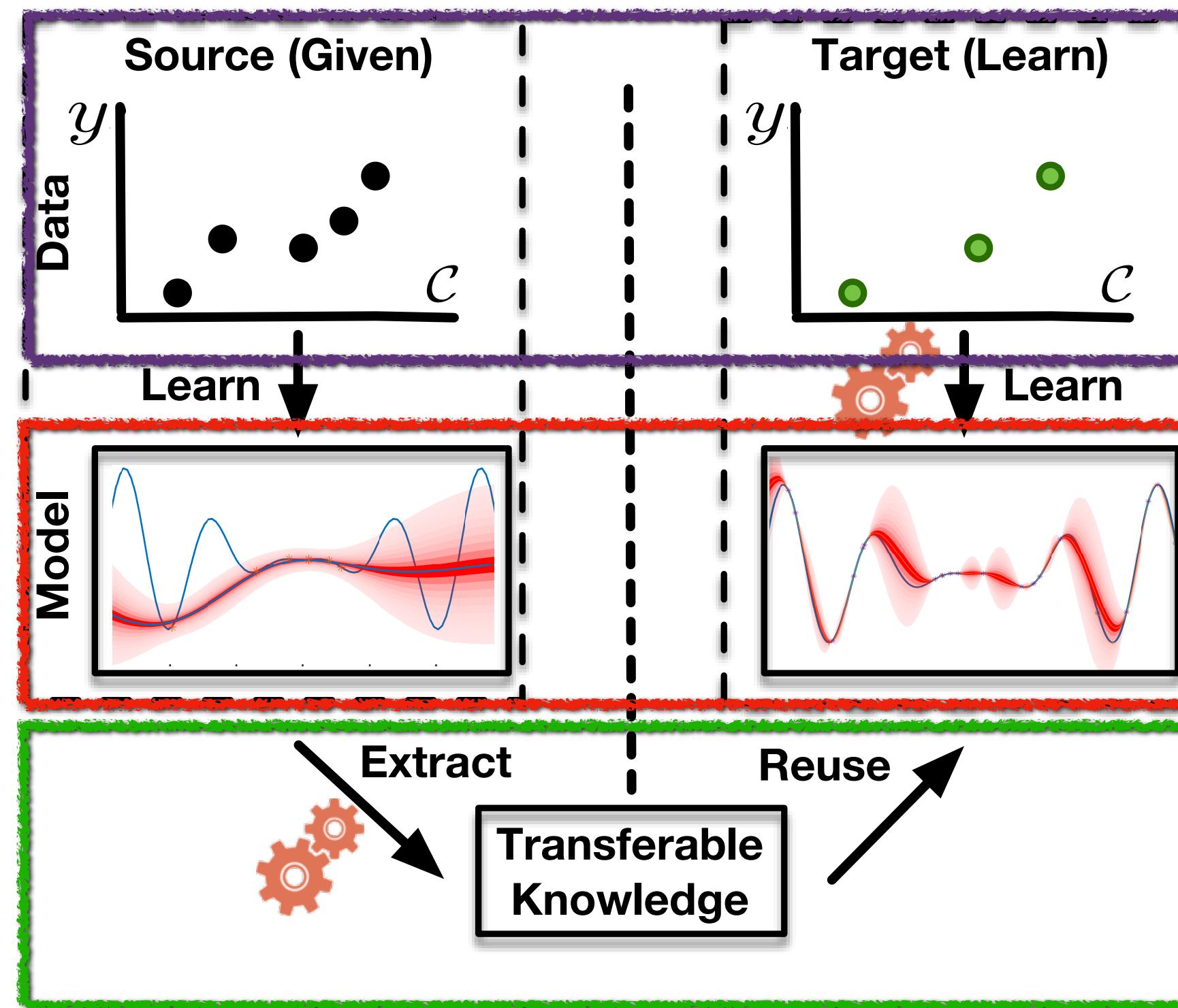
It didn't!

**Insight:** Predictions become more accurate when the source is **more related** to the target.





# Key question: Can we develop a theory to explain when transfer learning works?



**Q1: How source and target are “related”?**

**Q2: What characteristics are preserved?**

**Q3: What are the actionable insights?**

# Details: [ASE '17]

## Transfer Learning for Performance Modeling of Configurable Systems: An Exploratory Analysis

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Carnegie Mellon University, USA

Norbert Siegmund  
Bauhaus-University Weimar, Germany

Miguel Velez, Christian Kästner  
Akshay Patel, Yuvraj Agarwal  
Carnegie Mellon University, USA

**Abstract**—Modern software systems provide many configuration options which significantly influence their non-functional properties. To understand and predict the effect of configuration options, several sampling and learning strategies have been proposed, albeit often with significant cost to cover the highly dimensional configuration space. Recently, transfer learning has been applied to reduce the effort of constructing performance models by transferring knowledge about performance behavior across environments. While this line of research is promising to learn more accurate models at a lower cost, it is unclear why and when transfer learning works for performance modeling. To shed light on when it is beneficial to apply transfer learning, we conducted an empirical study on four popular software systems, varying software configurations and environmental conditions, such as hardware, workload, and software versions, to identify the key knowledge pieces that can be exploited for transfer learning. Our results show that in small environmental changes (e.g., homogeneous workload change), by applying a linear transformation to the performance model, we can understand the performance behavior of the target environment, while for severe environmental changes (e.g., drastic workload change) we

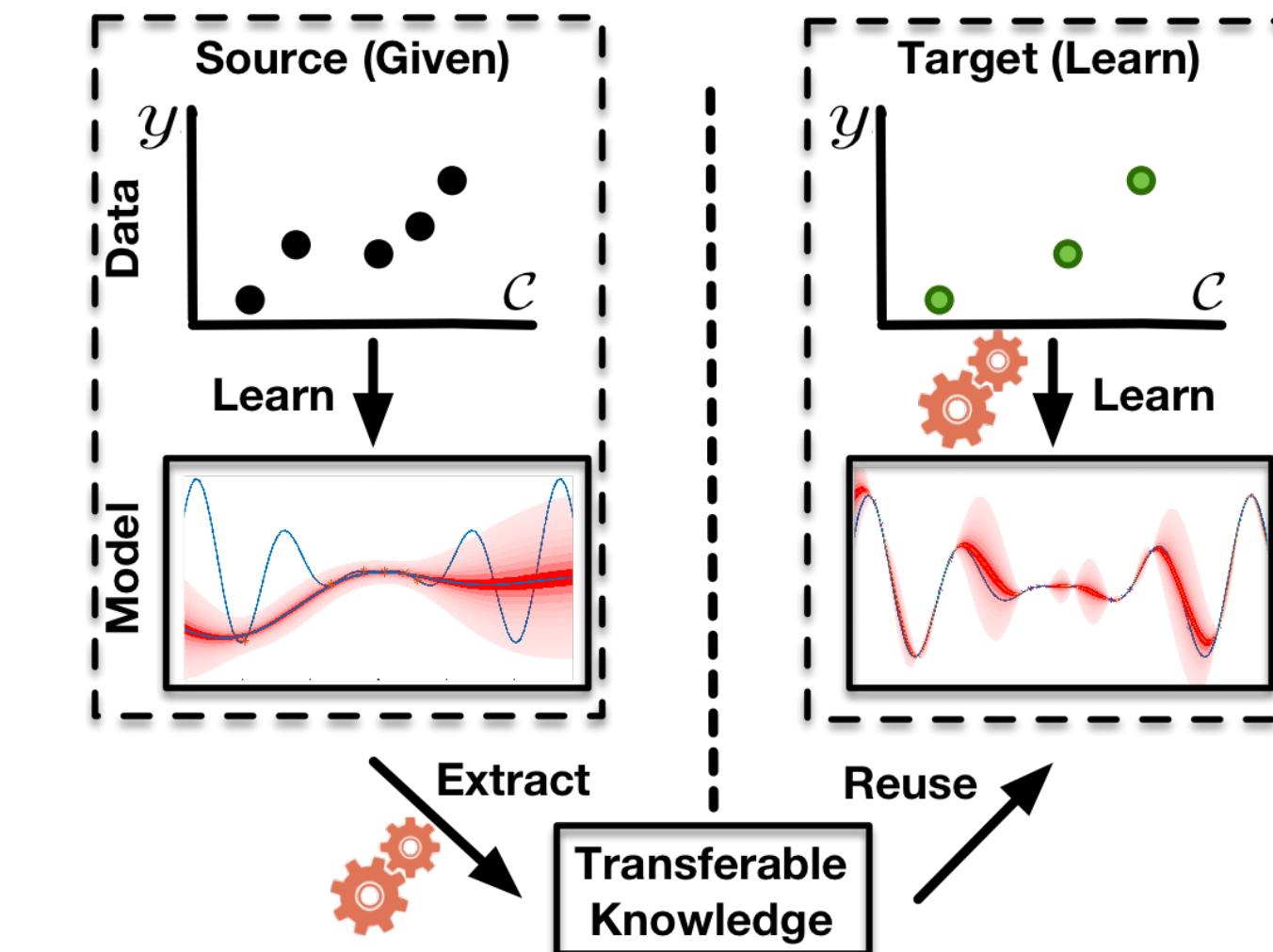


Fig. 1: Transfer learning is a form of machine learning that takes advantage of transferable knowledge from source to learn an accurate, reliable, and less costly model for the target environment.

# Details: [AAAI Spring Symposium '19]

## Transfer Learning for Performance Modeling of Configurable Systems: A Causal Analysis

Mohammad Ali Javidian, Pooyan Jamshidi, Marco Valtorta

Department of Computer Science and Engineering  
University of South Carolina, Columbia, SC, USA

### Abstract

Modern systems (e.g., deep neural networks, big data analytics, and compilers) are highly configurable, which means they expose different performance behavior under different configurations. The fundamental challenge is that one cannot simply measure all configurations due to the sheer size of the configuration space. Transfer learning has been used to reduce the measurement efforts by transferring knowledge about performance behavior of systems across environments. Previously, research has shown that statistical models are indeed transferable across environments. In this work, we investigate identifiability and transportability of causal effects and statistical relations in highly-configurable systems. Our causal analysis agrees with previous exploratory analysis (Jamshidi et al. 2017) and confirms that the causal effects of configuration options can be carried over across environments with high

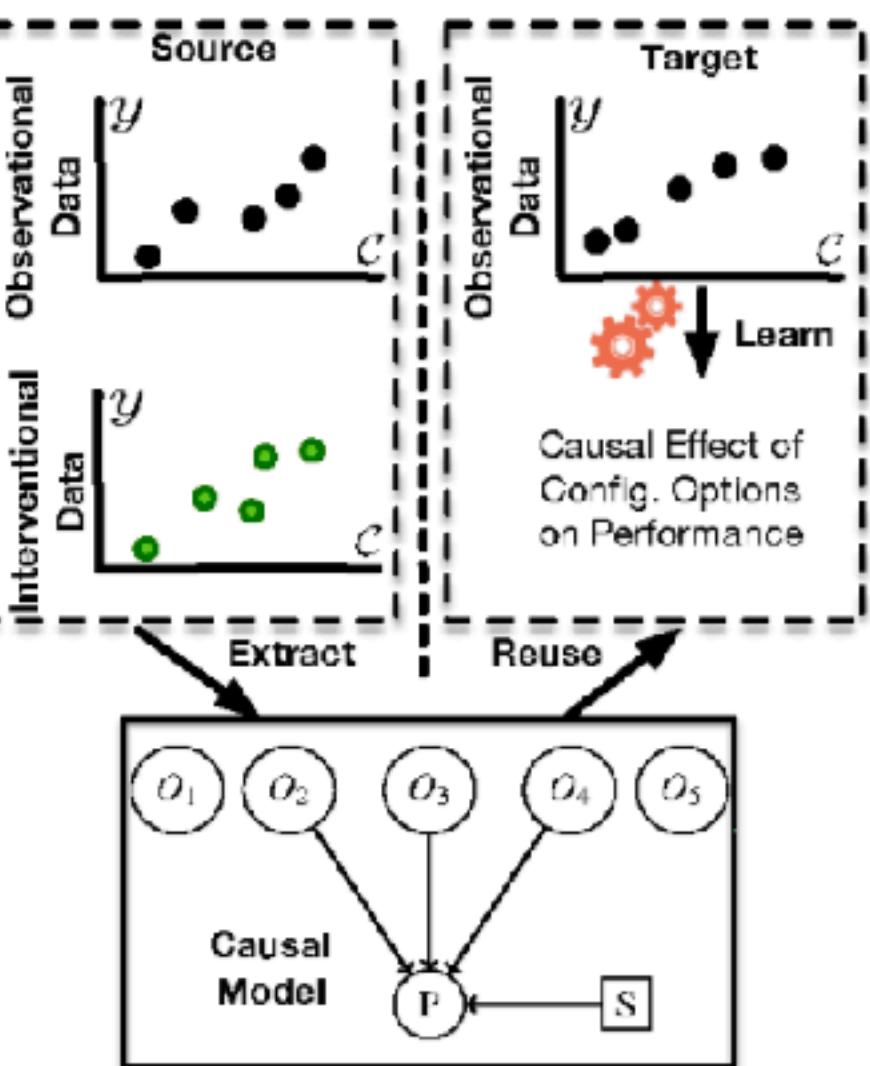
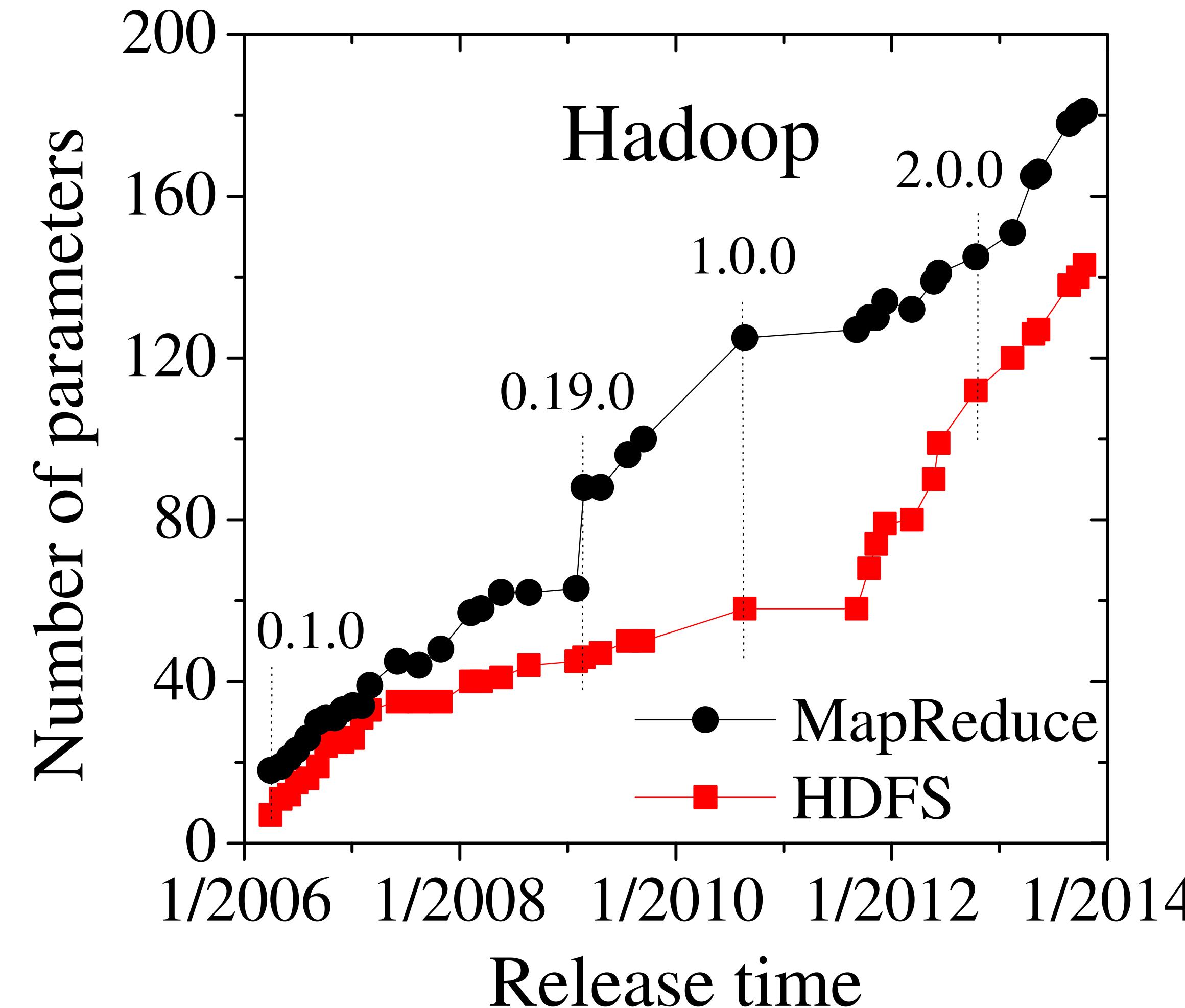


Figure 1: Exploiting causal inference for performance analysis.

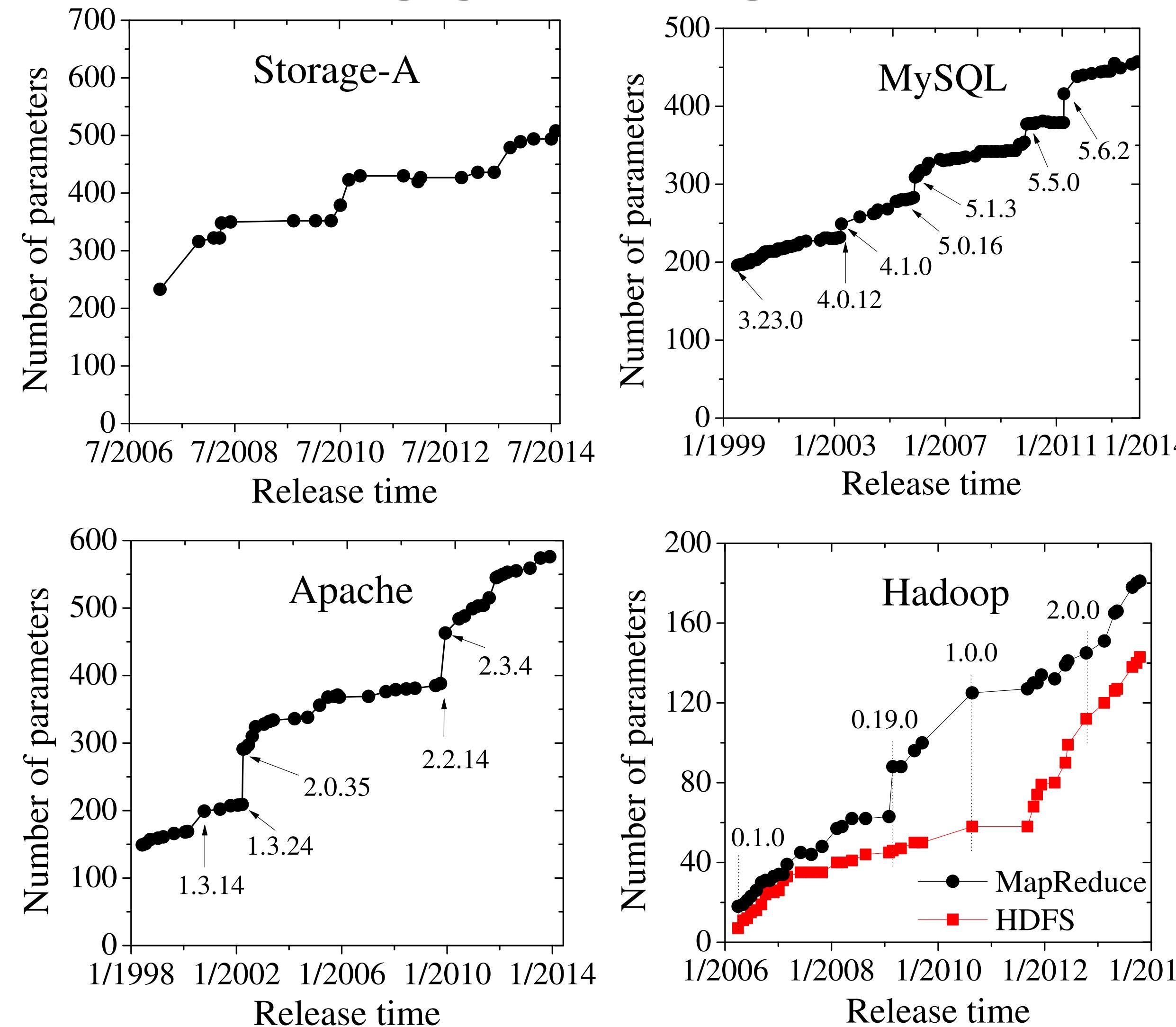


```
102  
103 drpc.port: 3772  
104 drpc.worker.threads: 64  
105 drpc.max_buffer_size: 1048576  
106 drpc.queue.size: 128  
107 drpc.invocations.port: 3773  
108 drpc.invocations.threads: 64  
109 drpc.request.timeout.secs: 600  
110 drpc.childopts: "-Xmx768m"  
111 drpc.http.port: 3774  
112 drpc.https.port: -1  
113 drpc.https.keystore.password: ""  
114 drpc.https.keystore.type: "JKS"  
115 drpc.http.creds.plugin: org.apache.storm.security.auth.DefaultHttpCredentialsPlugin  
116 drpc.authorizer.acl.filename: "drpc-auth-acl.yaml"  
117 drpc.authorizer.acl.strict: false  
118  
119 transactional.zookeeper.root: "/transactional"  
120 transactional.zookeeper.servers: null  
121 transactional.zookeeper.port: null  
122  
123 ## blobstore configs  
124 supervisor.blobstore.class: "org.apache.storm.blobstore.NimbusBlobStore"  
125 supervisor.blobstore.download.thread.count: 5  
126 supervisor.blobstore.download.max_retries: 3  
127 supervisor.localizer.cache.target.size.mb: 10240  
128 supervisor.localizer.cleanup.interval.ms: 600000  
129
```

# Empirical observations confirm that systems are becoming increasingly configurable



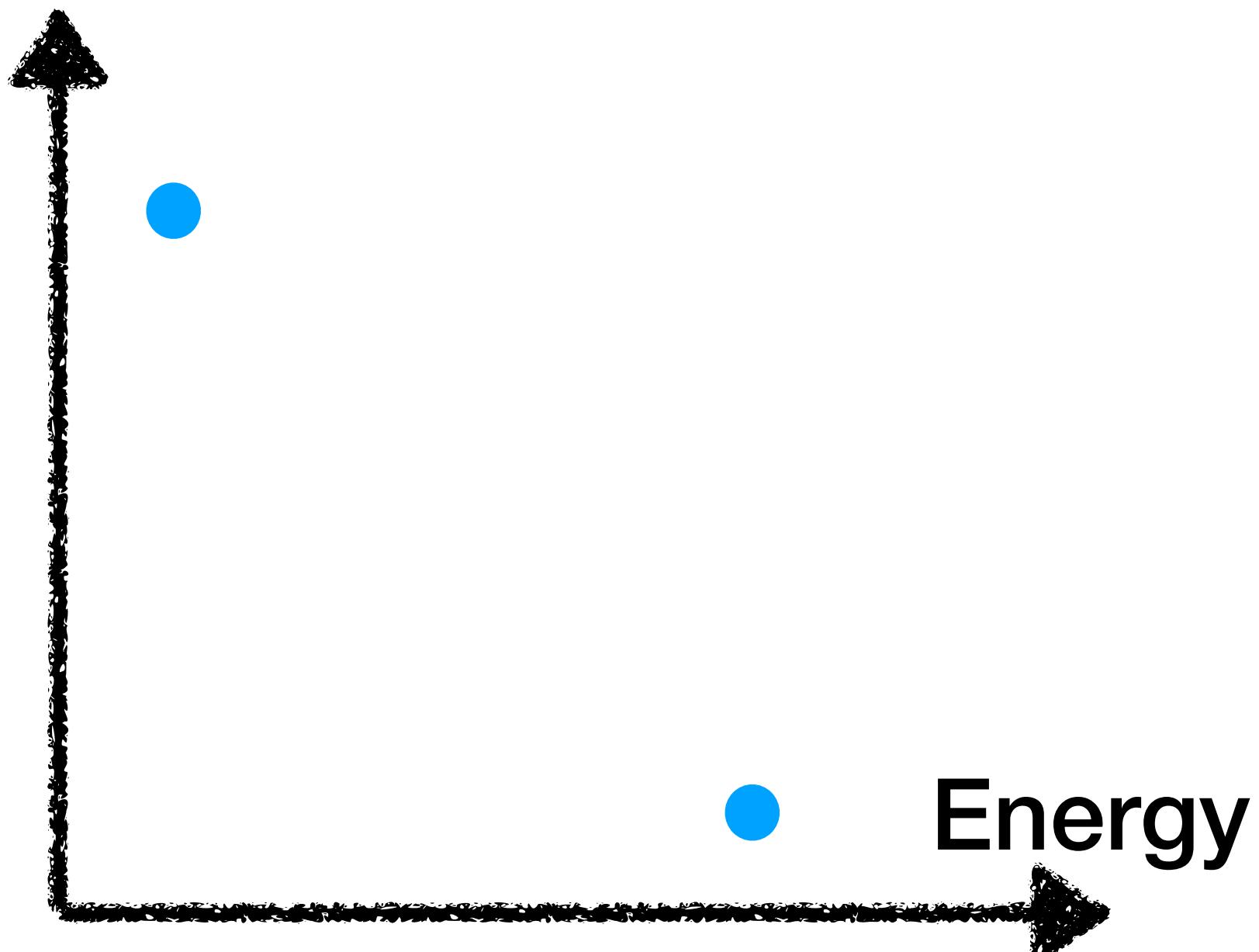
# Empirical observations confirm that systems are becoming increasingly configurable



# Configurations determine the performance behavior

```
void Parrot_setenv( . . . name, . . . value) {
    #ifdef PARROT HAS SETENV
        my_setenv(name, value, 1);
    #else
        int name_len=strlen(name);
        int val_len=strlen(value);
        char* envs=glob_env;
        if(envs==NULL){
            return;
        }
        strcpy(envs,name);
        strcpy(envs+name_len,"=");
        strcpy(envs+name_len + 1,value);
        putenv(envs);
    #endif
}
```

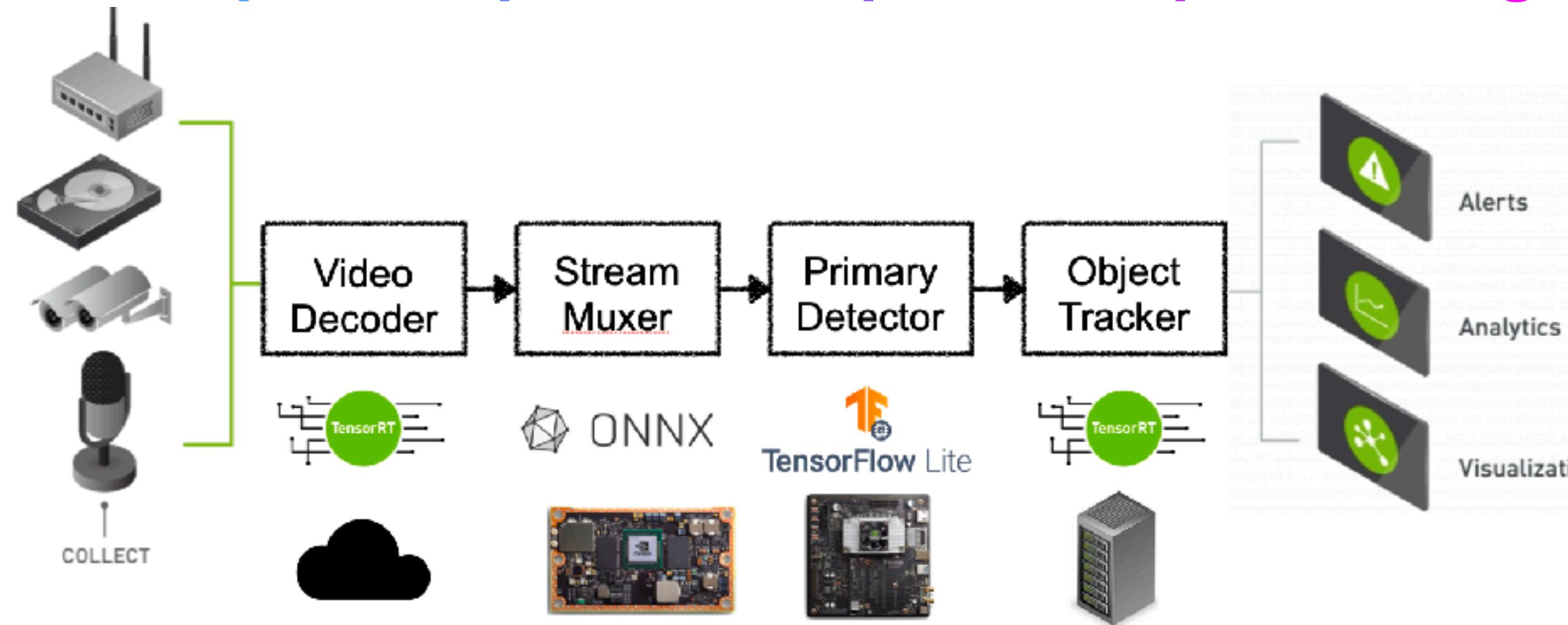
Speed



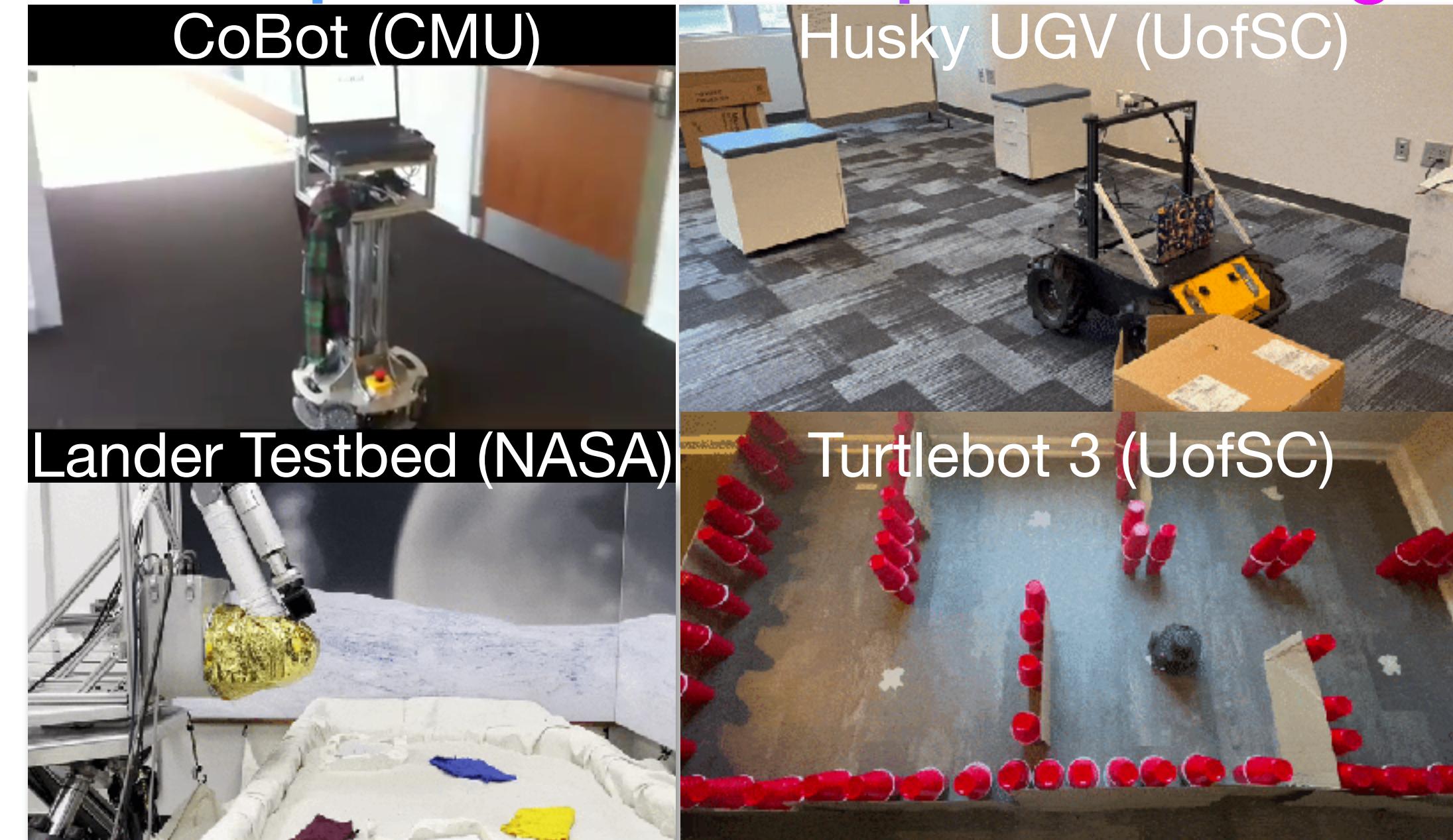
# Challenges of configurations

- Difficulties in knowing **which parameters** should be set
- Setting the parameters to obtain the **intended behavior**
- Reasoning about **multiple objectives** (energy, speed)

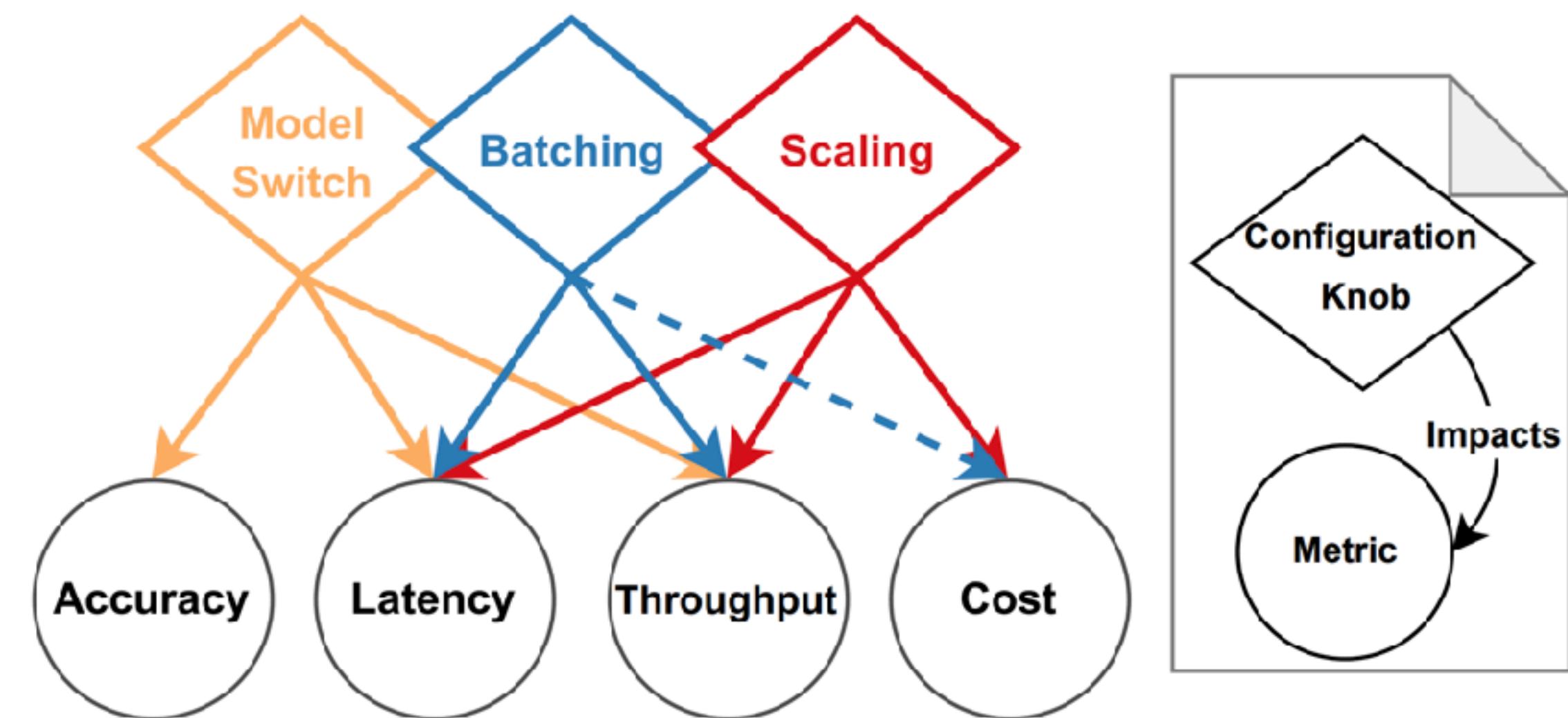
The variability space (design space) of (composed) systems is exponentially increasing



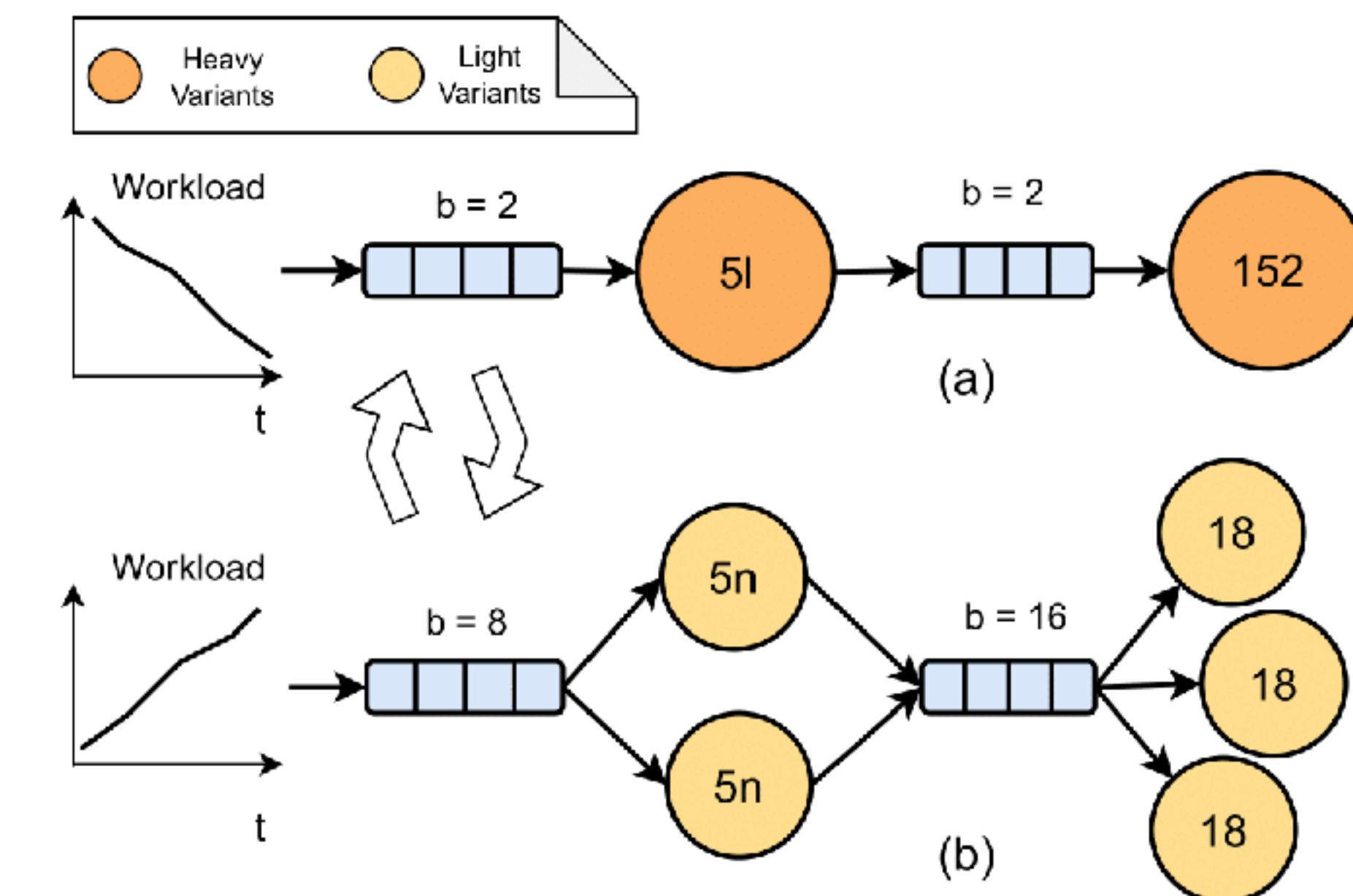
Systems operate in uncertain environments with imperfect and incomplete knowledge



Performance goals are competing and users have preferences over these goals



Goal: Enabling users to find the right quality tradeoff

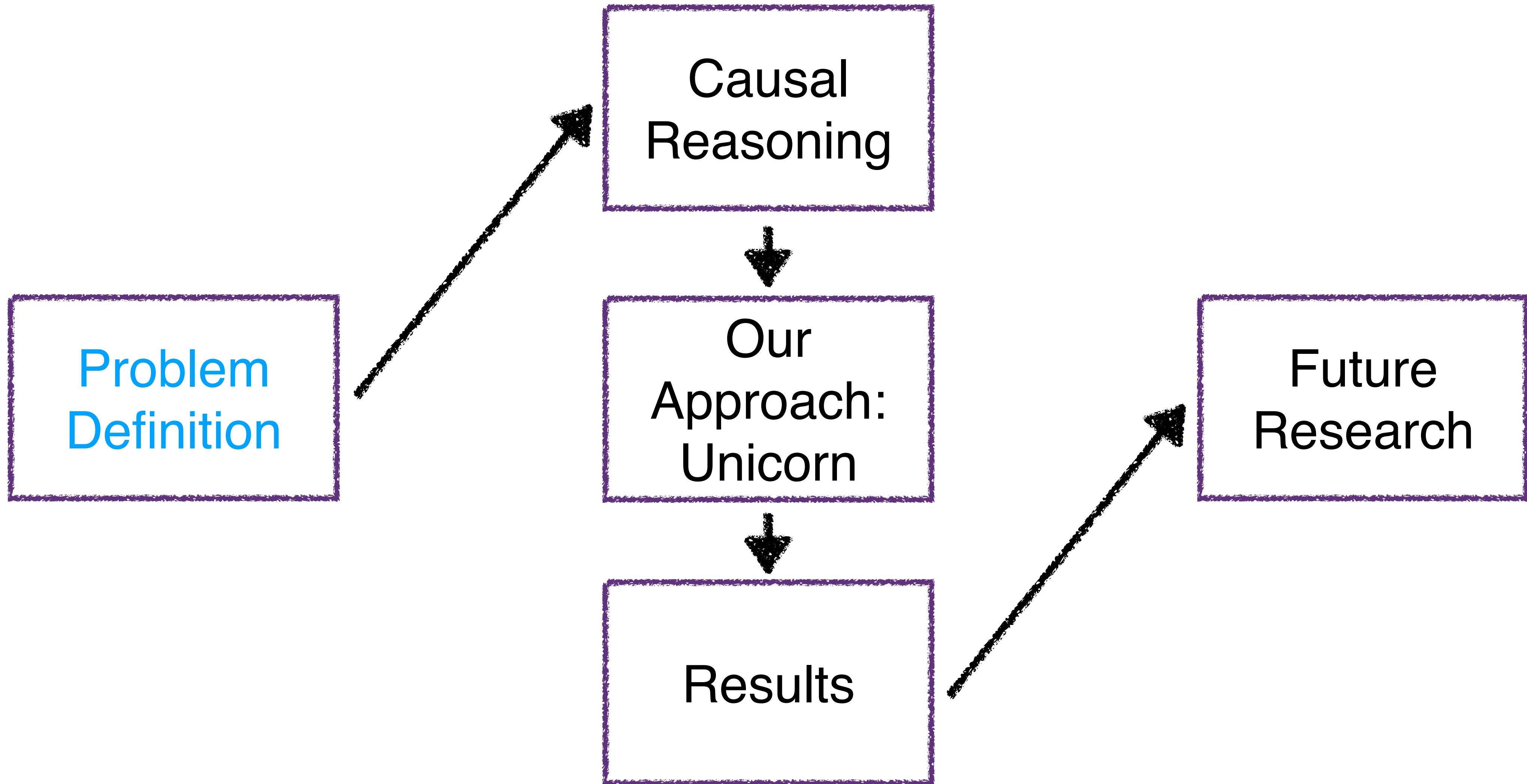


# The goal of our research is...

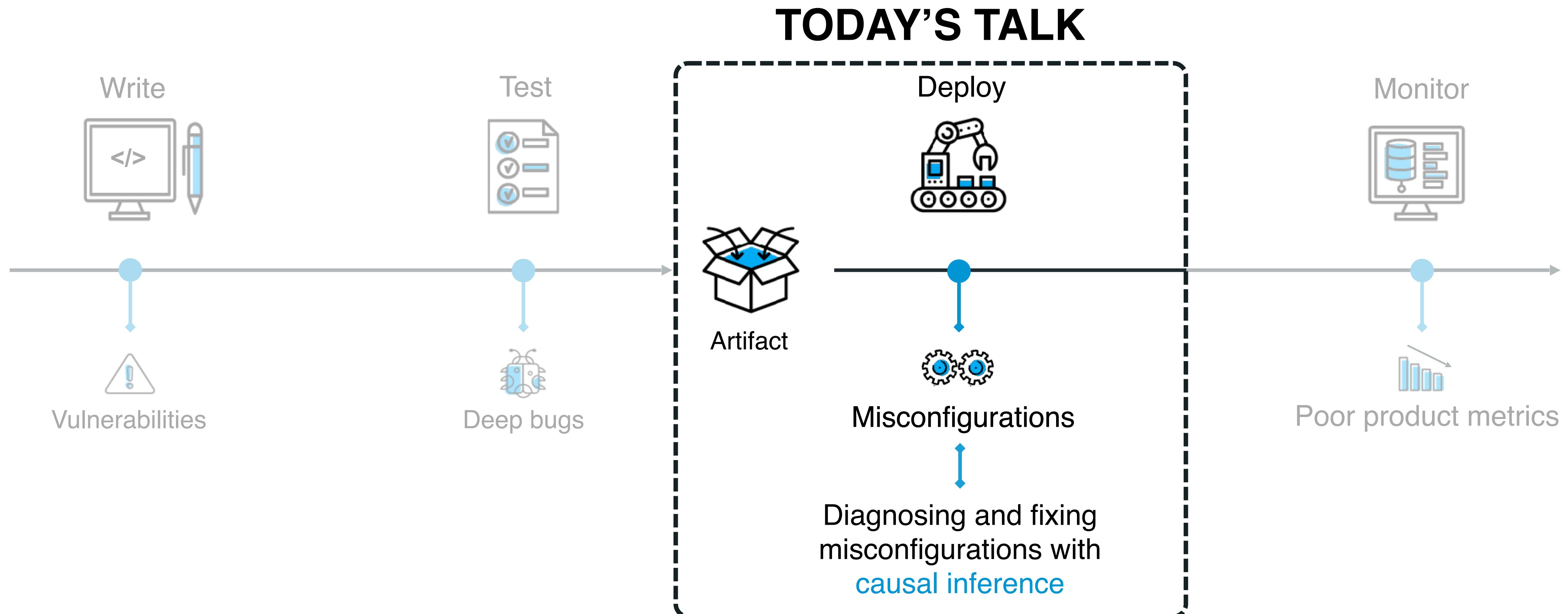
**Understanding** the performance behavior of  
real-world highly-configurable systems that **scale** well...

... and enabling developers/users to **reason** about  
qualities (performance, energy) and to make **tradeoffs**?

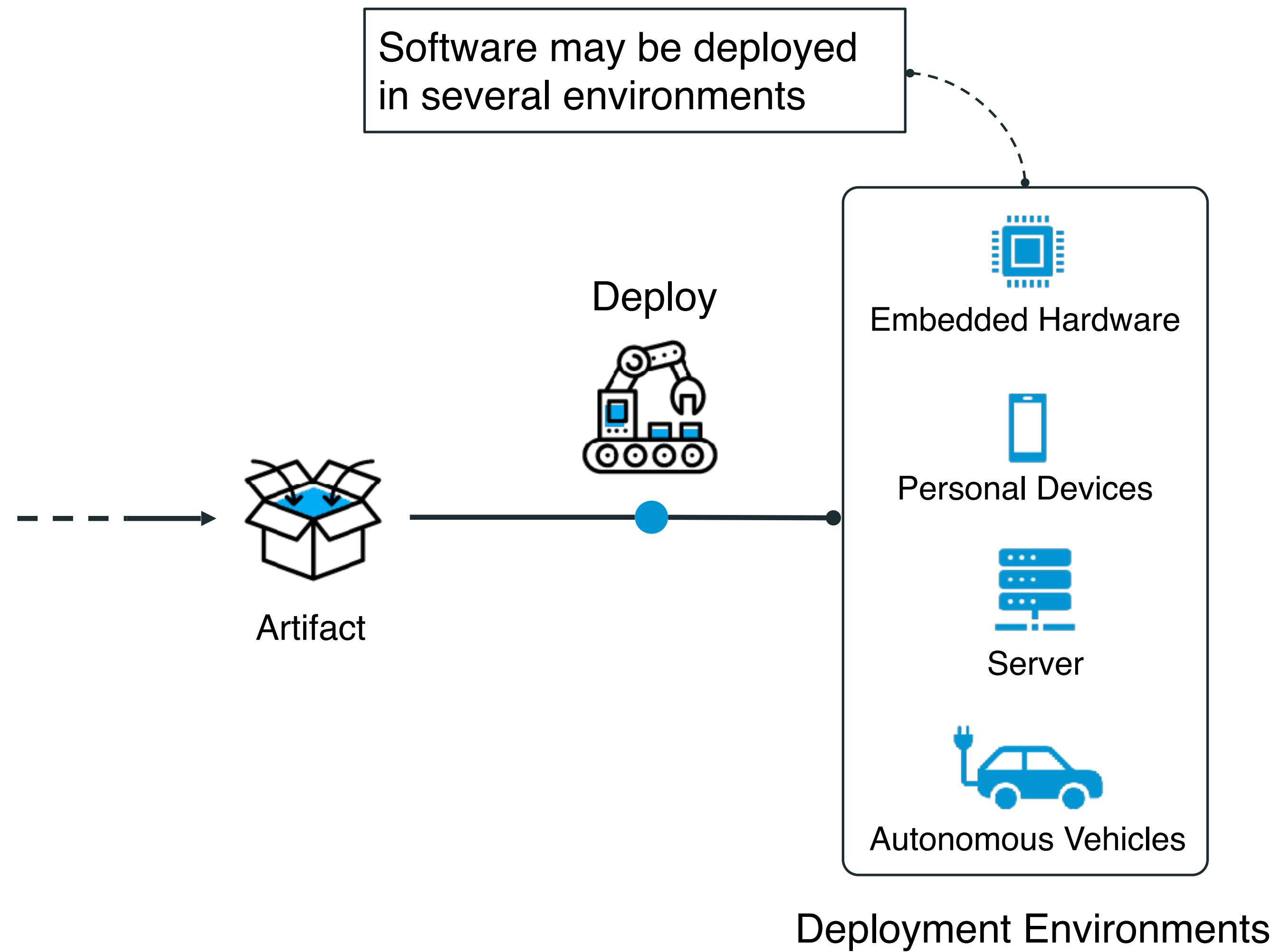
# Outline



# A Typical Software Lifecycle



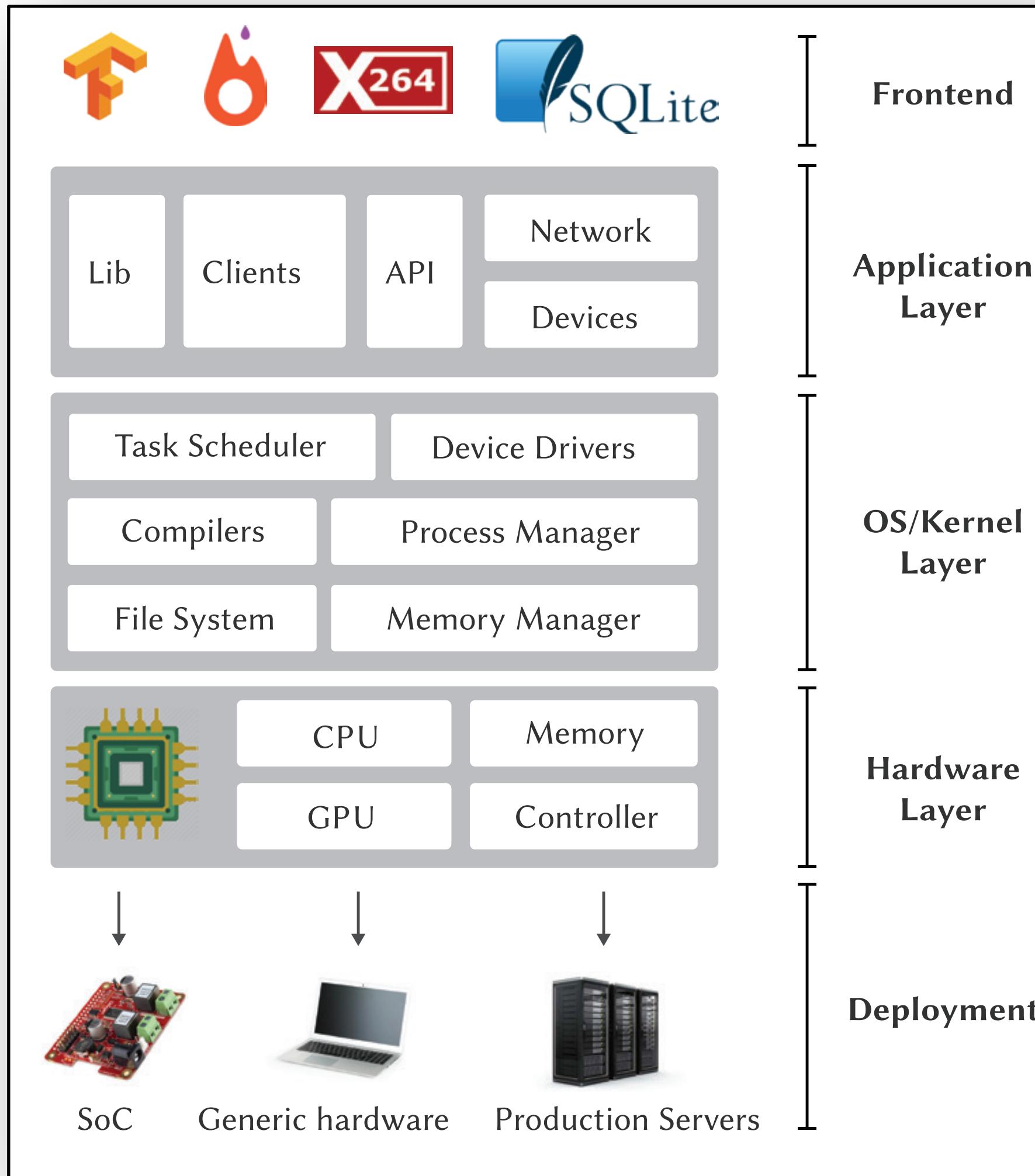
# Today's Talk



## Challenge

- ▷ Each deployment environment must be **configured correctly**
- ▷ This is challenging and prone to **misconfigurations**

# Today's Talk



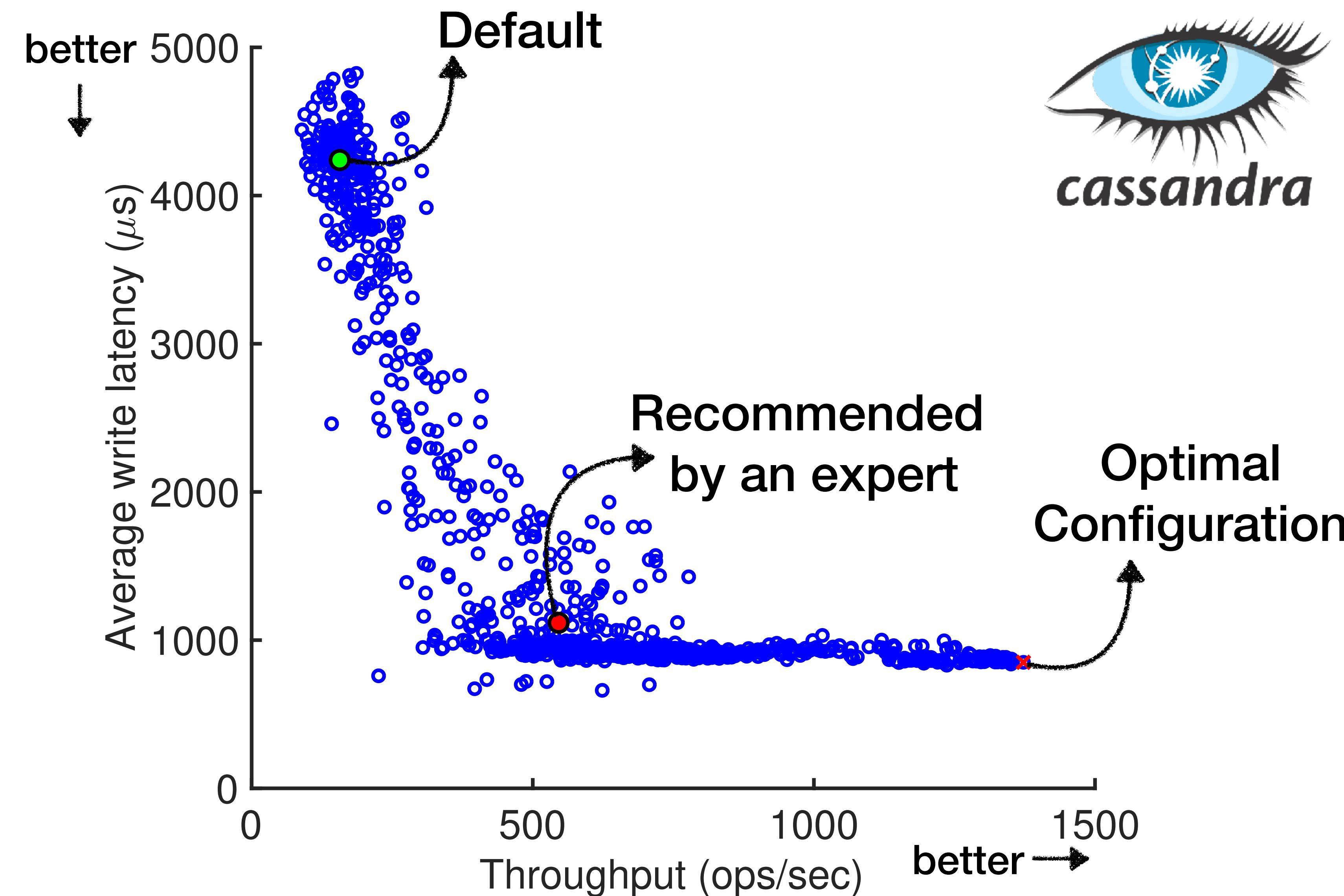
## Problem

- ▷ Each deployment environment must be **configured correctly**
- ▷ This is challenging and prone to **misconfigurations**

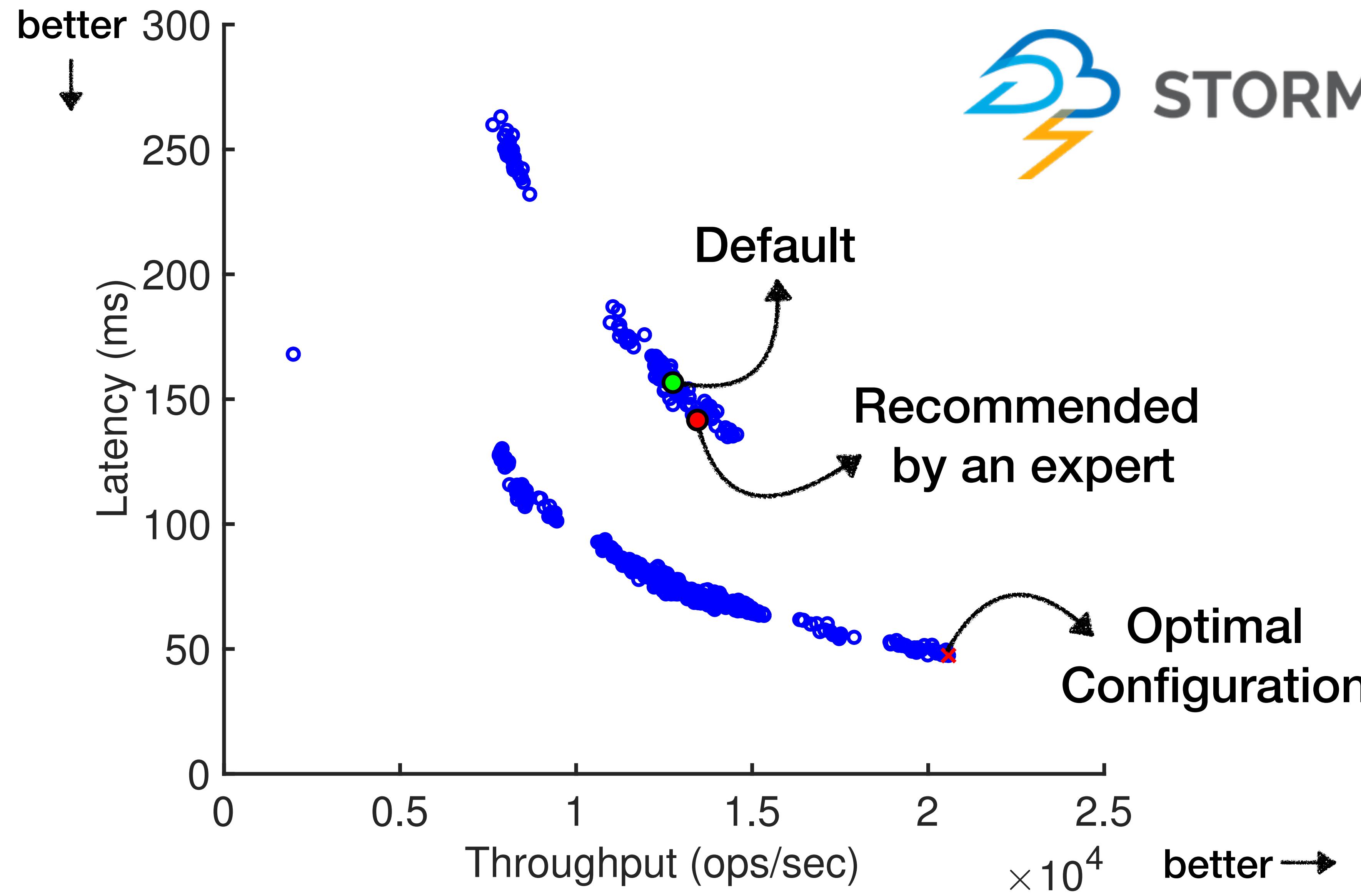
## Why?

- ▷ The configuration options lie **across the software stack**
- ▷ There are **several non-trivial interactions** with one another
- ▷ The configuration space is **combinatorially large** with 100's of configuration options

# Default configuration was bad, so was the expert'



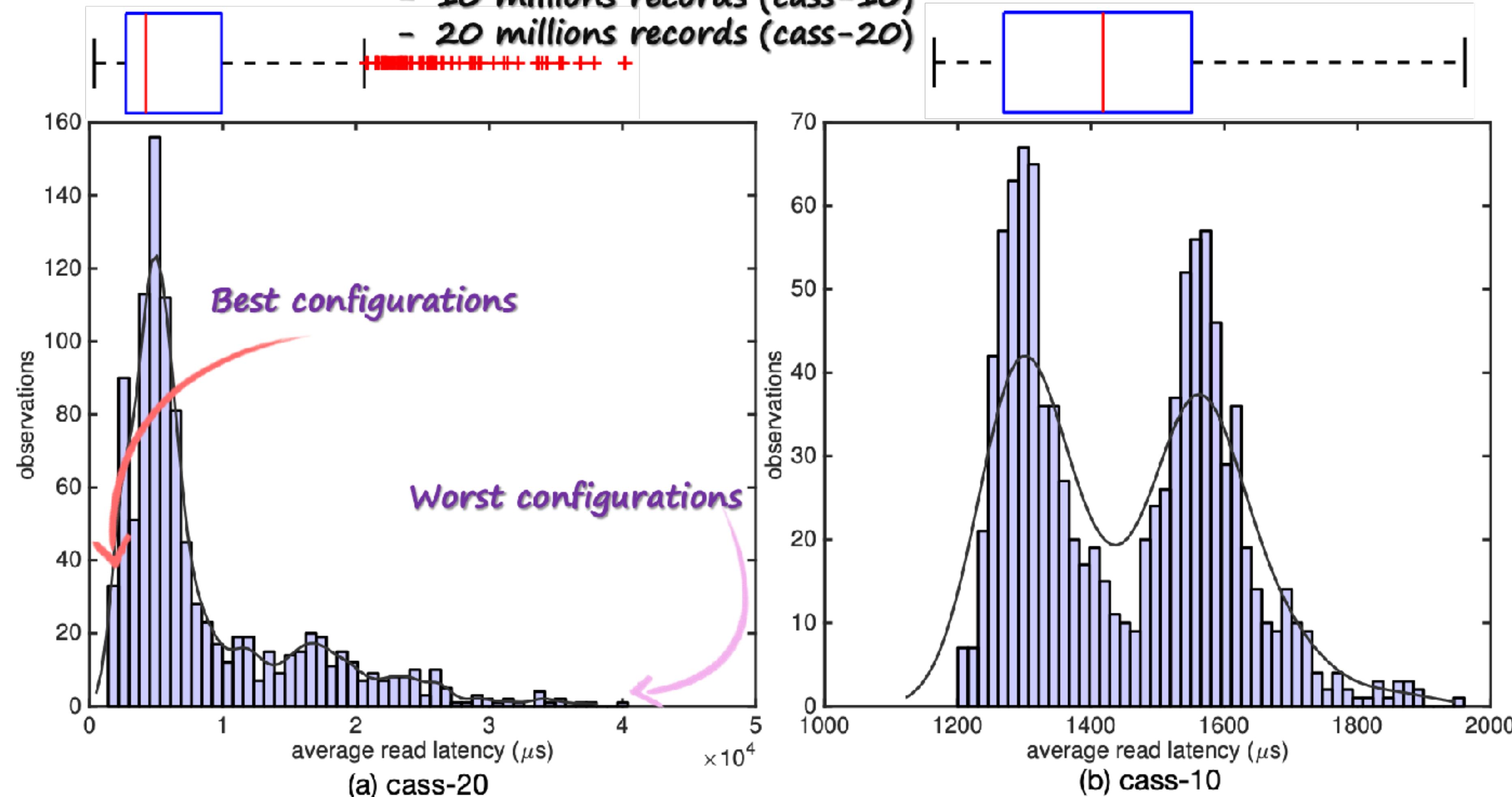
# Default configuration was bad, so was the expert'



# Performance behavior varies in different environments

Experiments on  
Apache Cassandra:

- 6 parameters, 1024 configurations
- Average read latency
- 10 millions records (cass-10)
- 20 millions records (cass-20)



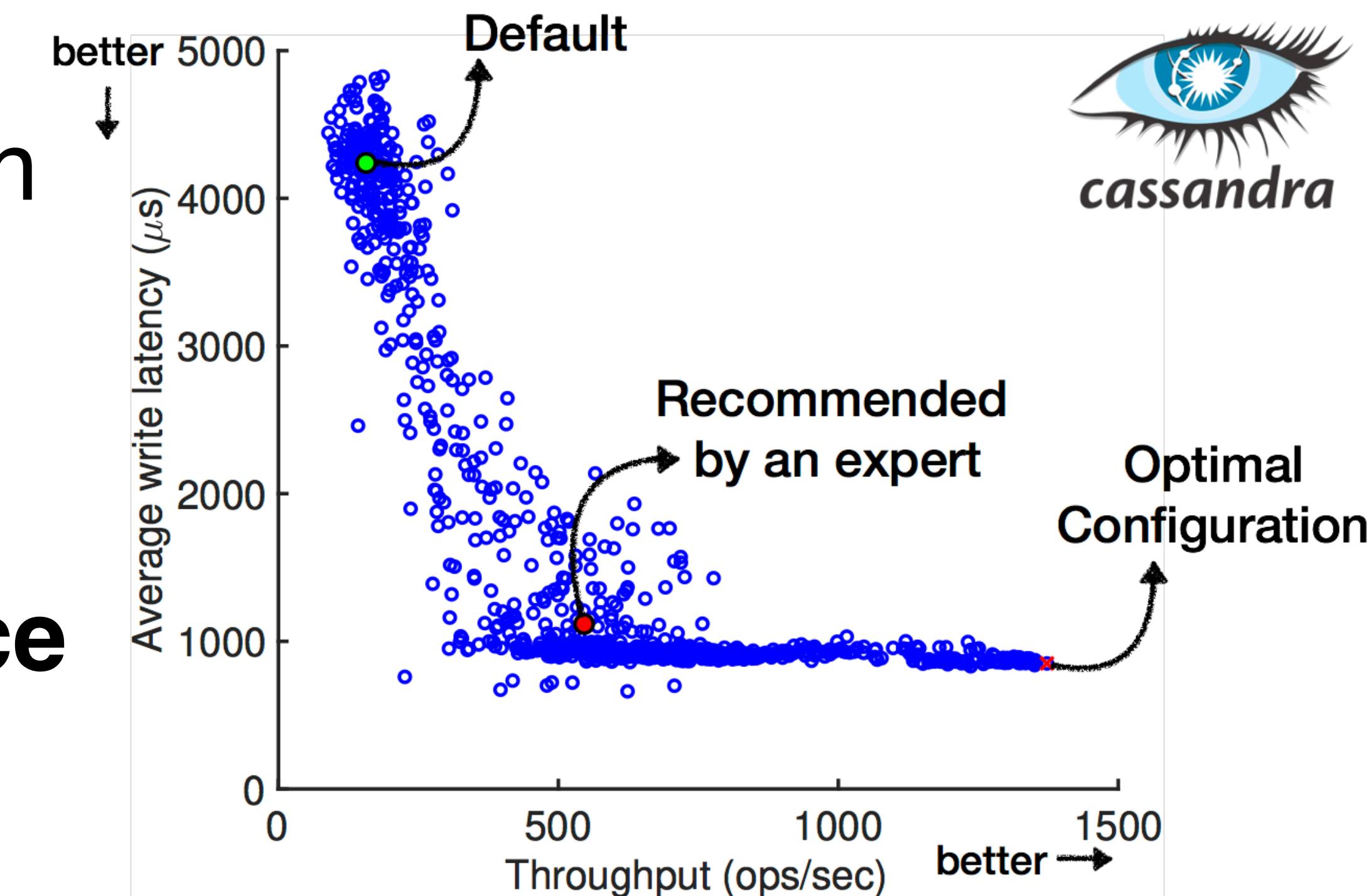
# Why this is an important problem?

## Optimal configuration

- 2X-10X faster than the worst
- Noticeably faster than the median
- Default is bad
- Expert's is not optimal

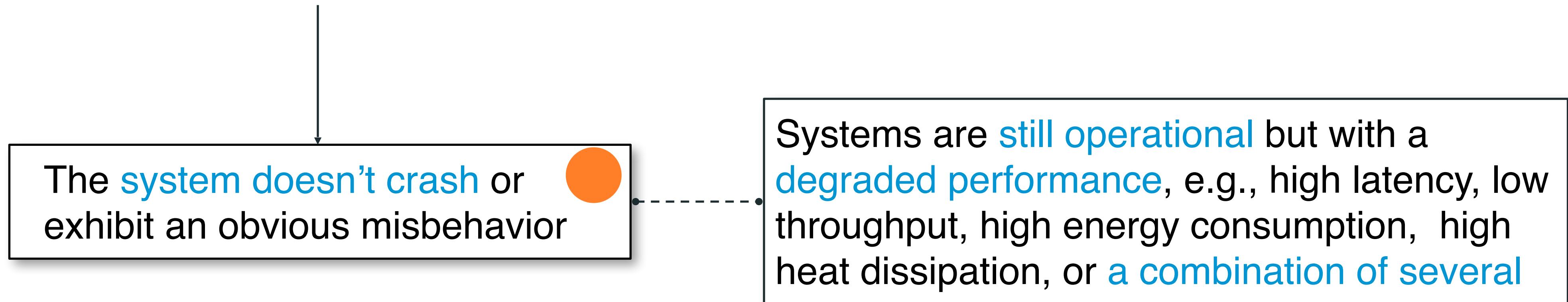
## Exploring large configuration space

- Exhaustive search is expensive
- Specific to the environment  
(hardware/workload/version)



# Misconfiguration and its Effects

- Misconfigurations can elicit unexpected interactions between software and hardware
- These can result in non-functional faults
  - Affecting non-functional system properties like latency, throughput, energy consumption, etc.



# Motivating Example



## CUDA performance issue on tx2

Home > Autonomous Machines > Jetson & Embedded Systems > Jetson TX2



william\_wu

When we are trying to [transplant our CUDA source code from TX1 to TX2](#), it behaved strange.

We noticed that [TX2 has twice computing-ability as TX1 in GPU](#), as expectation, [we think TX2 will 30% - 40% faster than TX1 at least](#).

Unfortunately, most of our code base spent twice the time as TX1, in other words, [TX2 only has 1/2 speed as TX1, mostly](#). We believe that TX2's CUDA API runs much slower than TX1 in many cases.

The user is transferring the code from [one hardware to another](#)

Jun '17

The [target hardware is faster](#) than the [the source hardware](#). User [expects the code to run at least 30-40% faster](#).

The [code ran 2x slower](#) on the more powerful hardware



[CUDA performance issue on tx2](#)

# Motivating Example

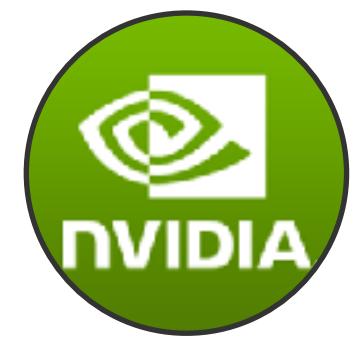
June 3<sup>rd</sup>



william\_wu

Any suggestions on how to improve my performance?  
Thanks!

June 4<sup>th</sup>



AastaLLL Moderator

TX2 is pascal architecture. Please update your CMakeLists:  

```
+ set(CUDA_STATIC_RUNTIME OFF)
...
+ -gencode=arch=compute_62,code=sm_62
```

June 4<sup>th</sup>



william\_wu

We have already tried this. We still have high latency.  
Any other suggestions?

June 5<sup>th</sup>



AastaLLL Moderator

Please do the following and let us know if it works  

1. Install JetPack 3.0
2. Set nvmodel=MAX-N
3. Run jetson\_clock.sh

The user had several **misconfigurations**

In Software:

- ✗ Wrong **compilation flags**
- ✗ Wrong **SDK version**

In Hardware:

- ✗ Wrong **power mode**
- ✗ Wrong **clock/fan** settings

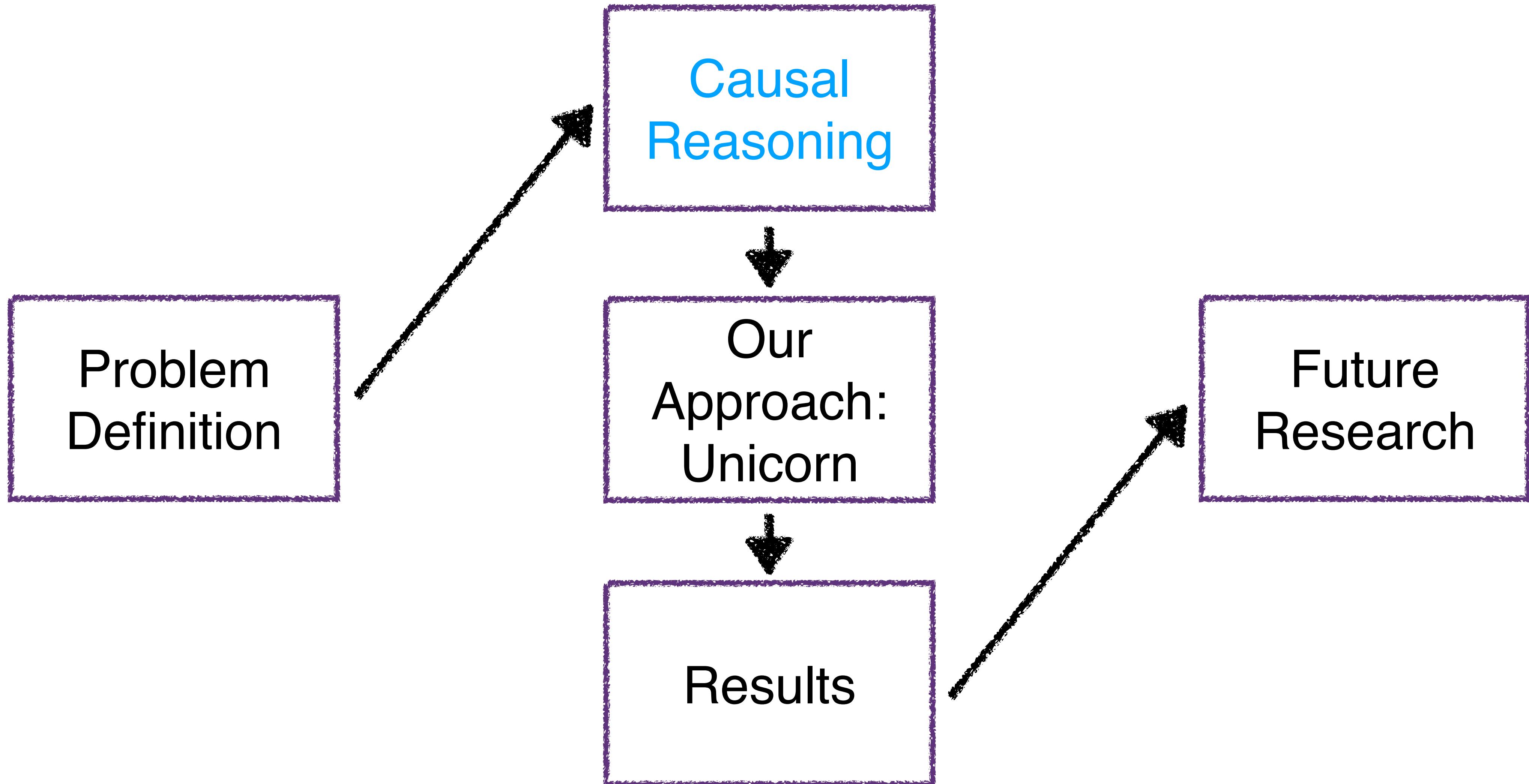
The discussions took **2 days**



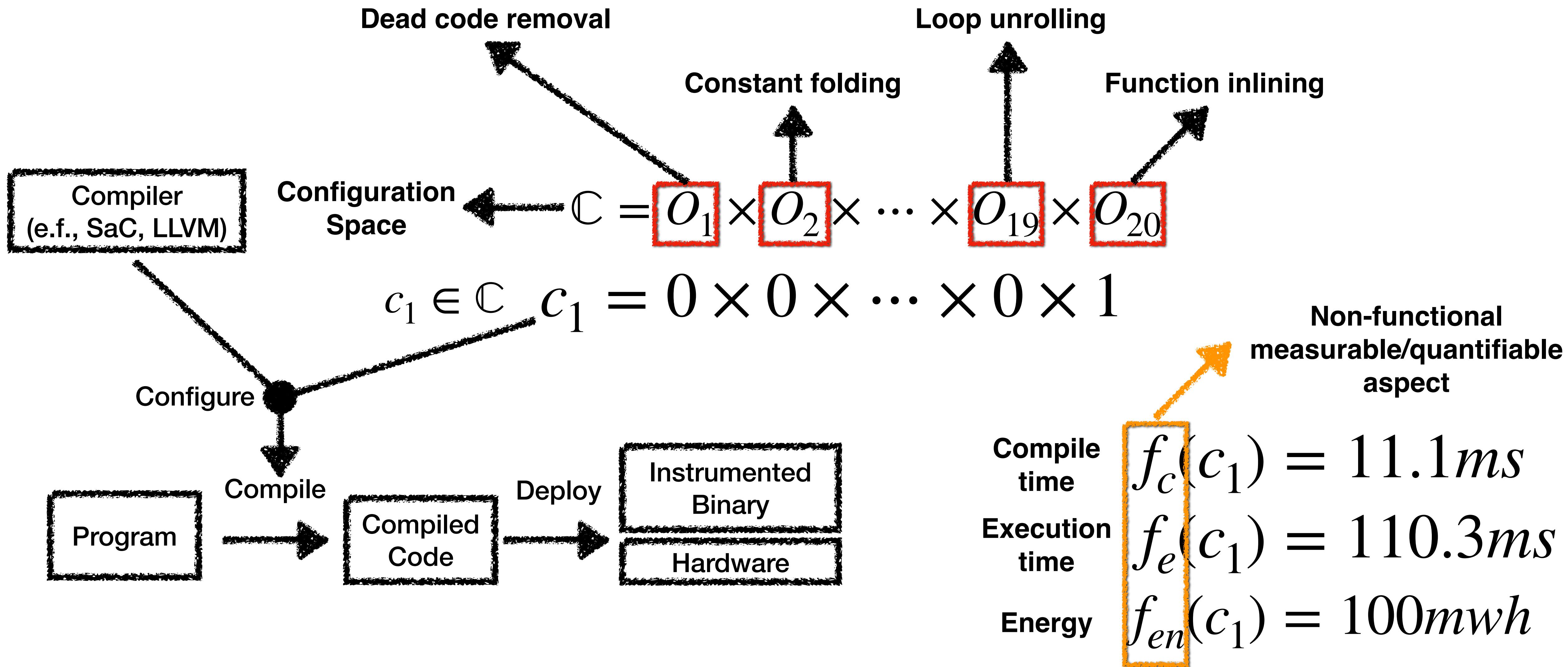
**How to resolve such issues faster?**

# **How to resolve these issues faster?**

# Outline



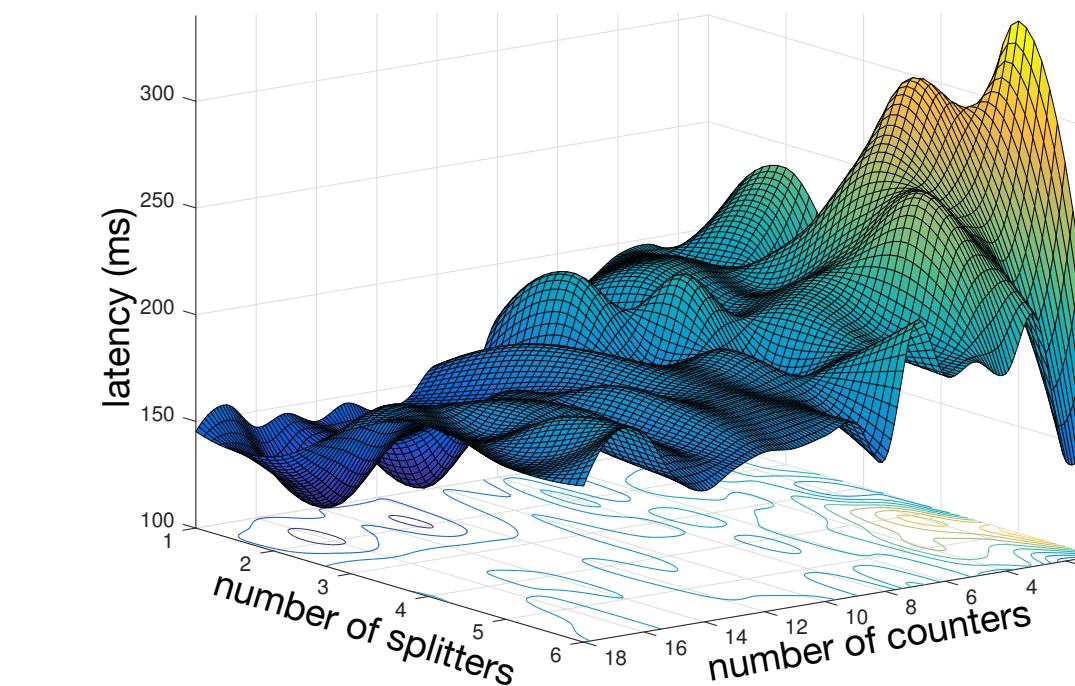
# Performance measurement



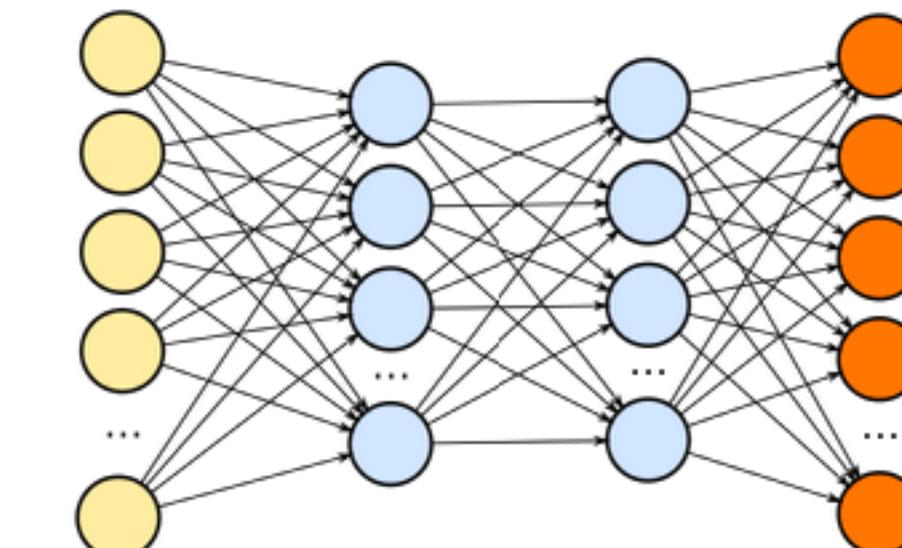
# Blackbox Performance Modeling

	Bitrate (bits/s)	EnableP adding	...	Cache Misses	...	Throughput (fps)
$c_1$	1k	1	...	42m	...	7
$c_2$	2k	1	...	32m	...	22
...	...	...	...	...	...	...
$c_n$	5k	0	...	12m	...	25

Observational Data



Gaussian Process



Neural Network

$$\begin{aligned} \text{Throughput} = & \boxed{5.1 \times \text{Bitrate}} + \boxed{2.5 \times \text{BatchSize}} \\ & + \boxed{12.3 \times \text{Bitrate} \times \text{BatchSize}} \end{aligned}$$

Interactions

Polynomial Regression

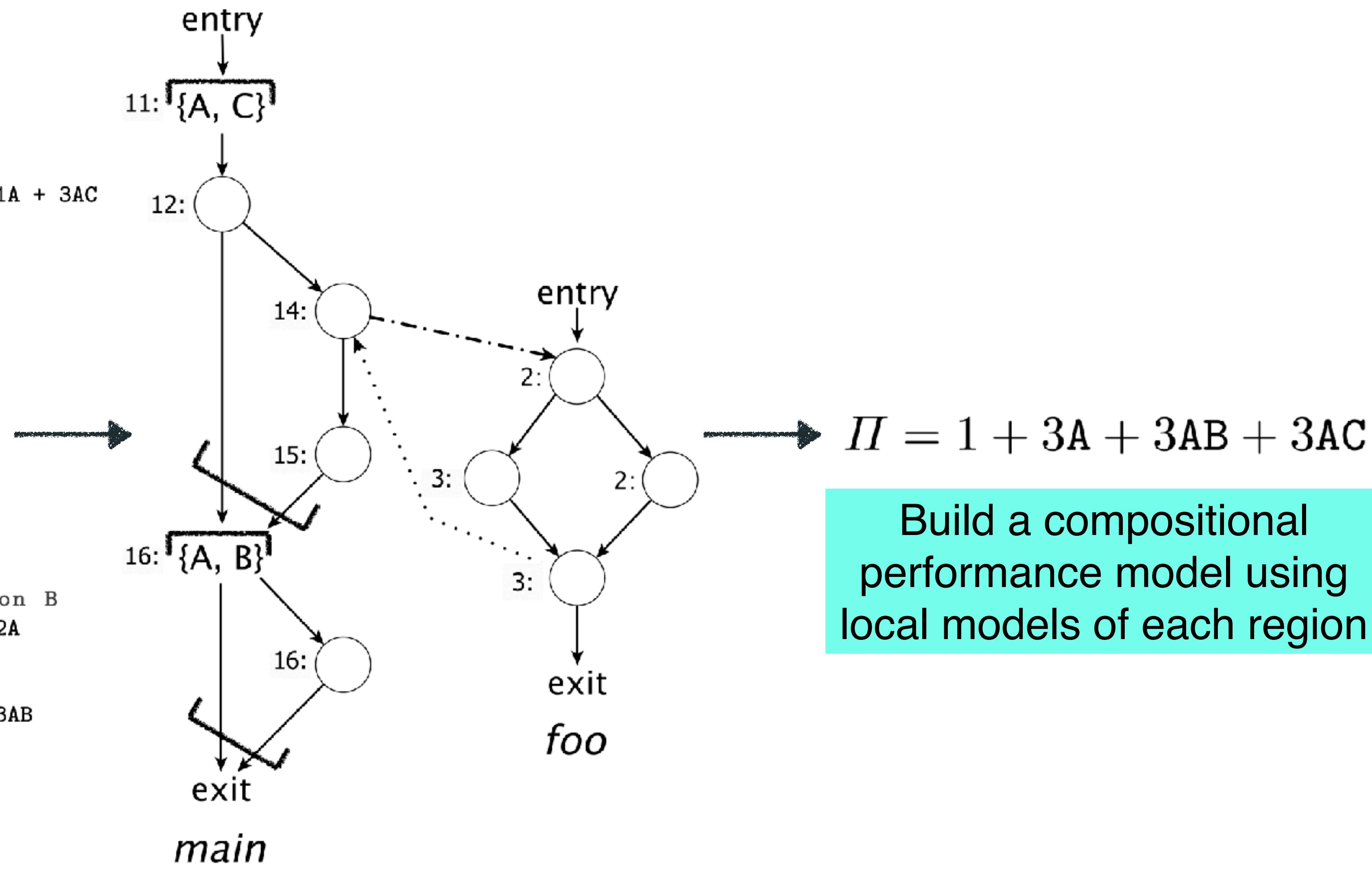
These methods rely on **statistical correlations** to **extract meaningful information** required for performance tasks.

# Whitebox Performance Modeling

```

def foo(boolean x)
    // Begin region R1
    if(x) ... // execution: 4s       $\Pi_{R_1} = 1A + 3AC$ 
    else ... // execution 1s
    // End region R1
def main(List workload)
    a = getopt("A"); b = getopt("B");
    c = getopt("C"); d = getopt("D");
    e = getopt("E"); f = getopt("F");
    g = getopt("G"); h = getopt("H");
    i = getopt("I"); j = getopt("J");
    ... // execution: 1s
    boolean x = false;
    // Begin region R2
    if(a) // variable depends on option A
        ... // execution: 2s
        foo(c); // variable depends on option B
        x = true;
    // End region R2
    // Begin region R3
    if(b && x) ... // execution: 3s   $\Pi_{R_3} = 3AB$ 
    // End region R3

```



Identify configuration-dependent regions

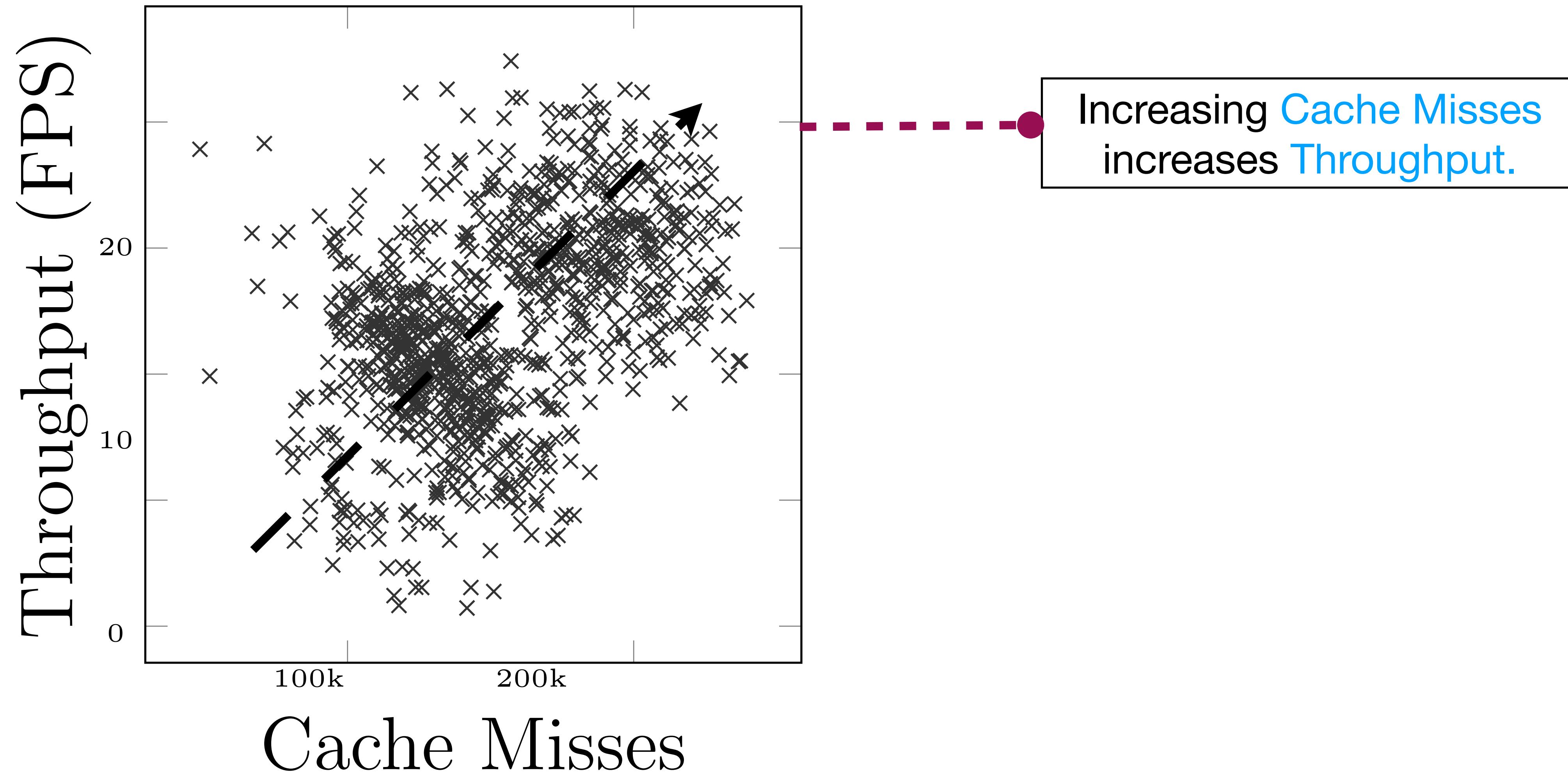
Instrumented control flow graph

These methods rely on program analysis techniques (static and dynamic analysis of the code) to extract meaningful information required for performance tasks.

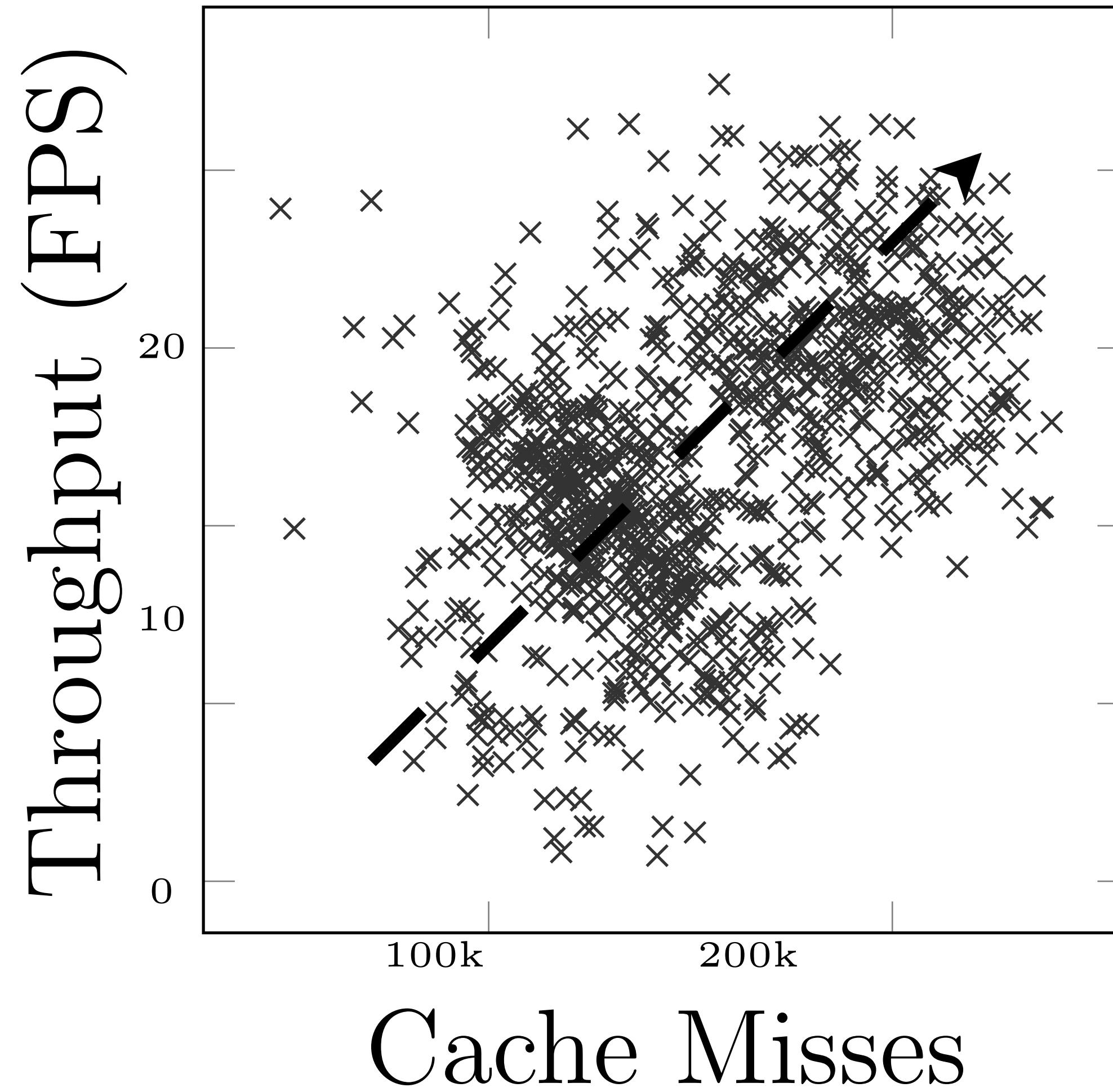
# Performance models suffer from several shortcomings

- Blackbox performance models could produce **incorrect explanations and unreliable/unstable predictions** across environments and in the presence of measurement noise.
- Whitebox performance models **do not scale well** to real-world systems (with many configuration options and large code bases).

# Incorrect explanation



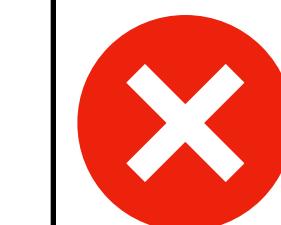
# Incorrect explanation



Increasing Cache Misses increases Throughput.

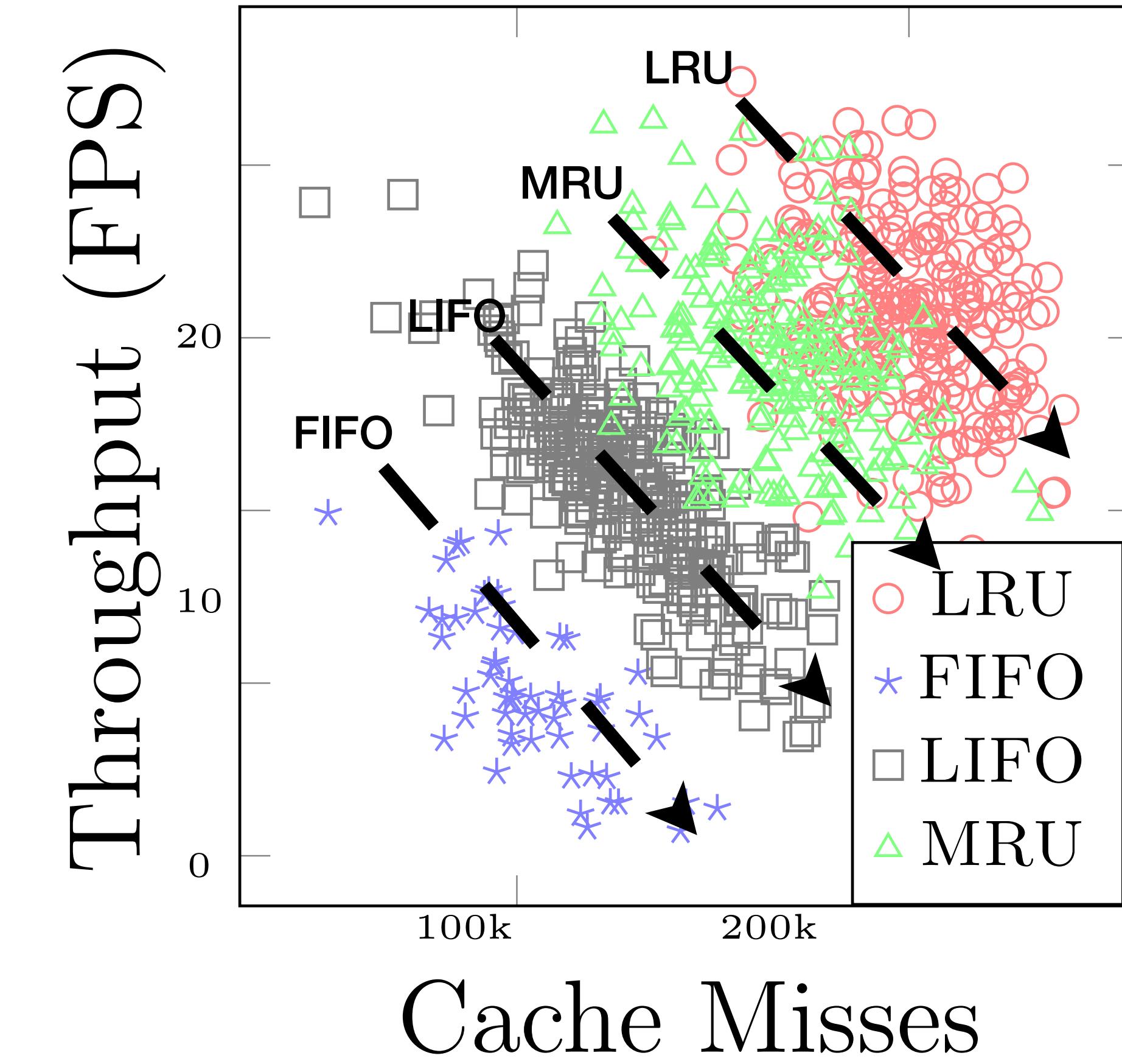
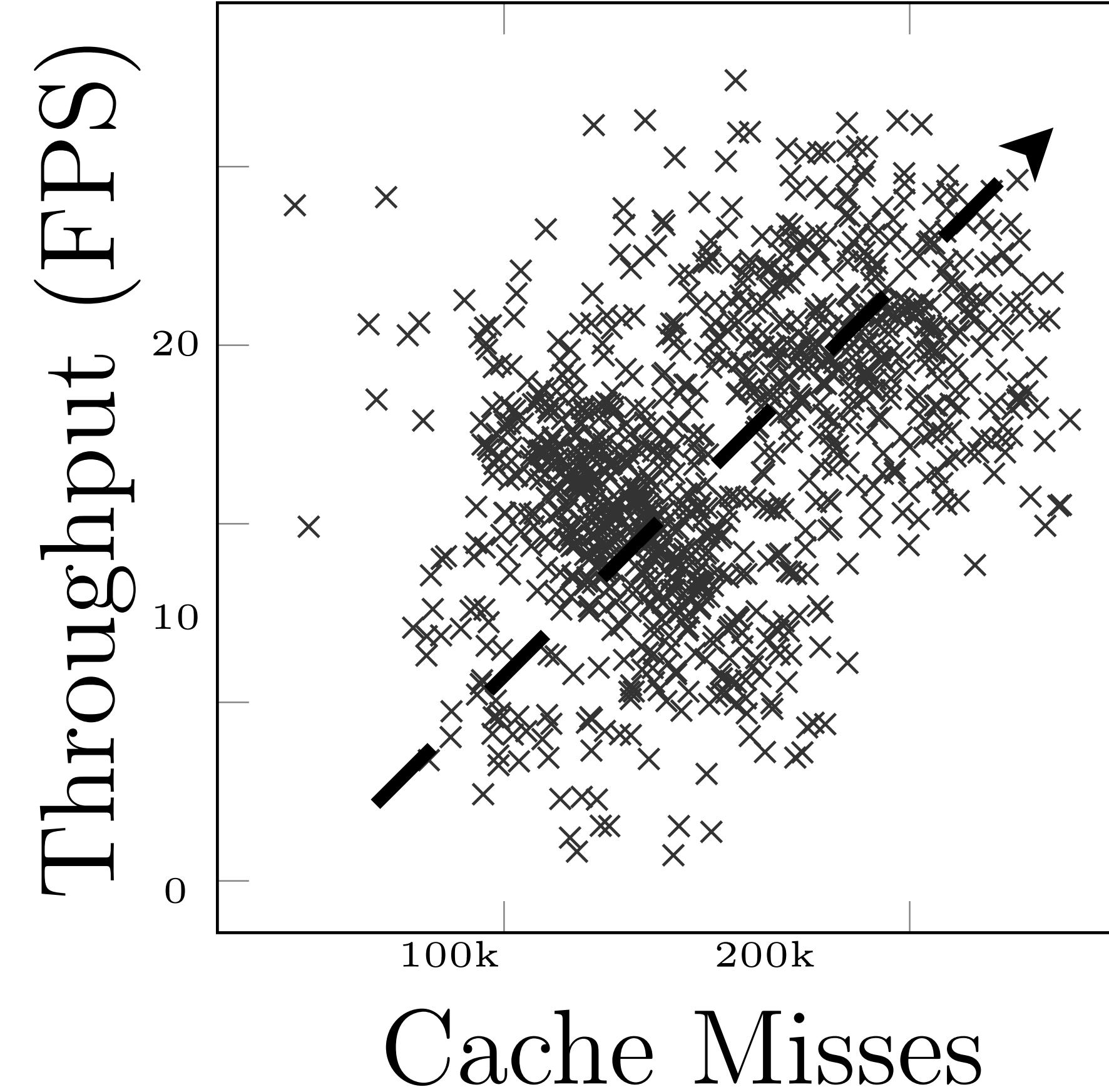
This is counter-intuitive

More Cache Misses should reduce Throughput not increase it



Any statistical models built on this data will be incorrect.

# Incorrect explanation



Segregating data on **Cache Policy** indicates that within **each group**  
**Increase of Cache Misses** result in a **decrease in Throughput**.

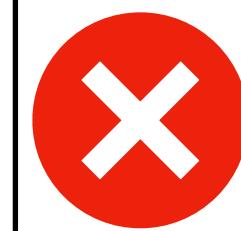
# Unstable predictions

## Performance influence model in TX2:

$$\begin{aligned} \text{Throughput} = & 2 \times \text{Bitrate} + 1.9 \times \text{BatchSize} + [1.8 \times \text{BufferSize}] + [0.5 \times \text{EnablePadding}] + [5.9 \times \text{Bitrate} \times \text{BufferSize}] \\ & + [6.2 \times \text{Bitrate} \times \text{EnablePadding}] + [4.1 \times \text{Bitrate} \times \text{BufferSize} \times \text{EnablePadding}] \end{aligned}$$

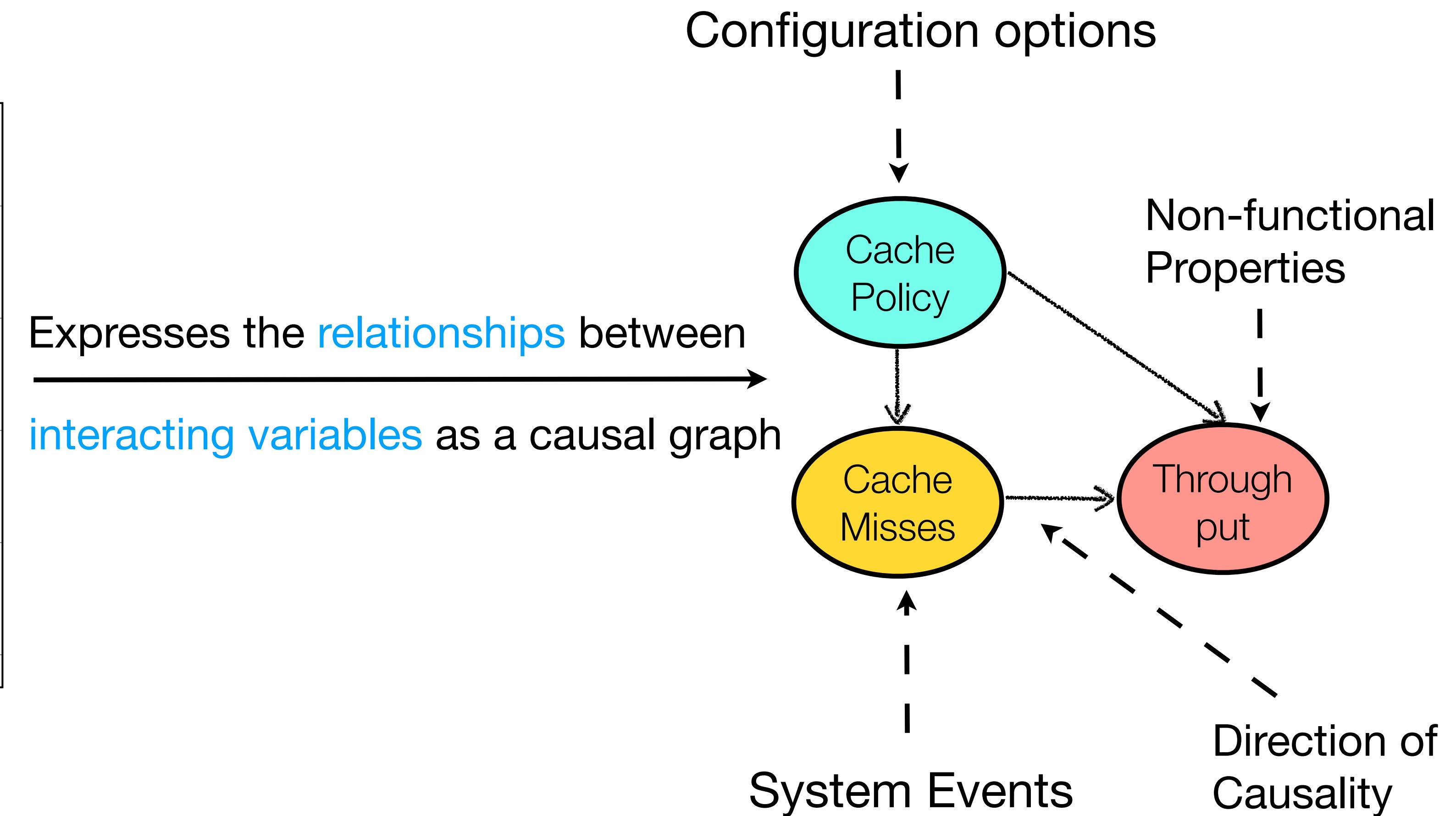
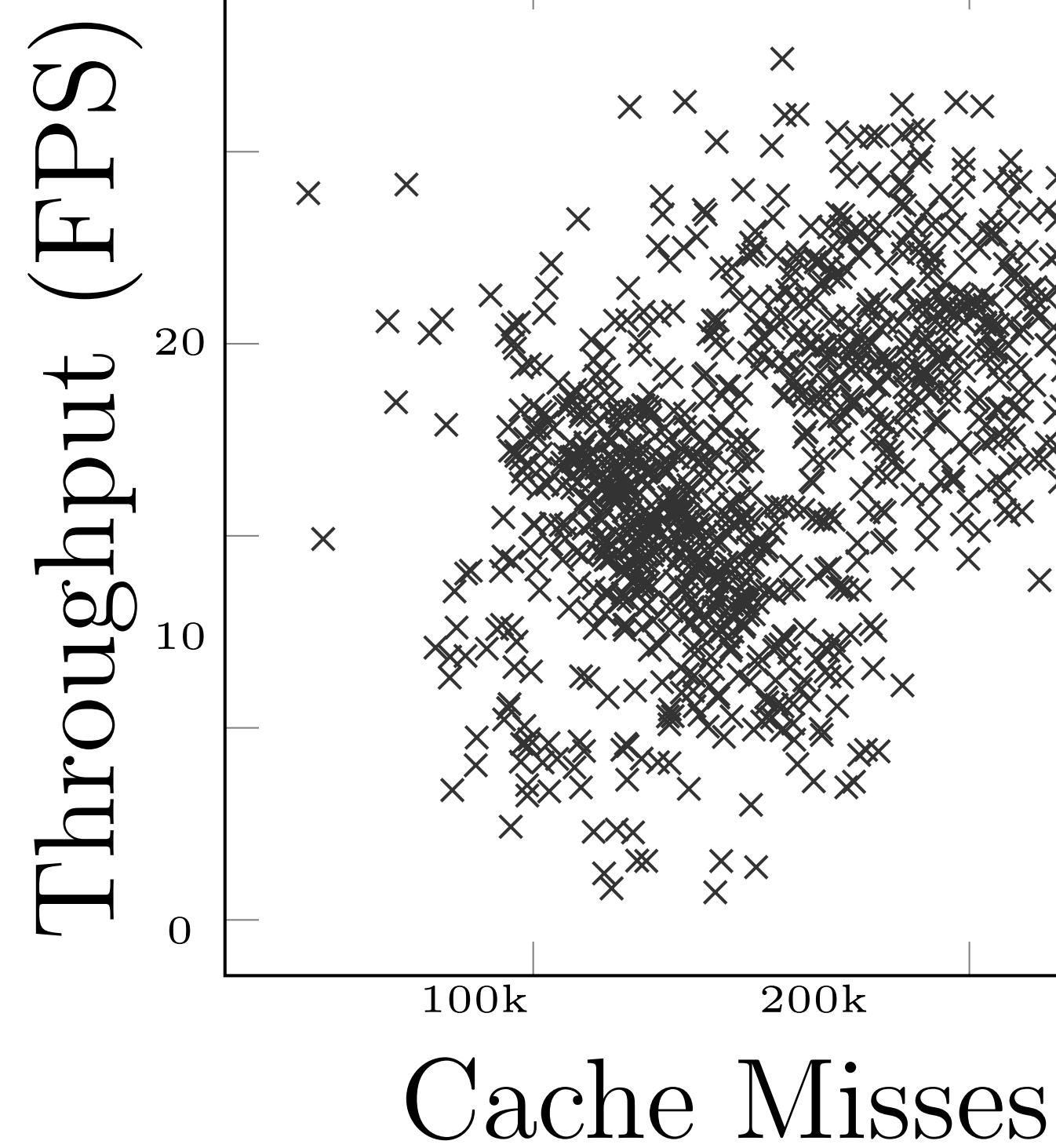
## Performance influence model in Xavier:

$$\text{Throughput} = 5.1 \times \text{Bitrate} + 2.5 \times \text{BatchSize} + [12.3 \times \text{Bitrate} \times \text{BatchSize}]$$

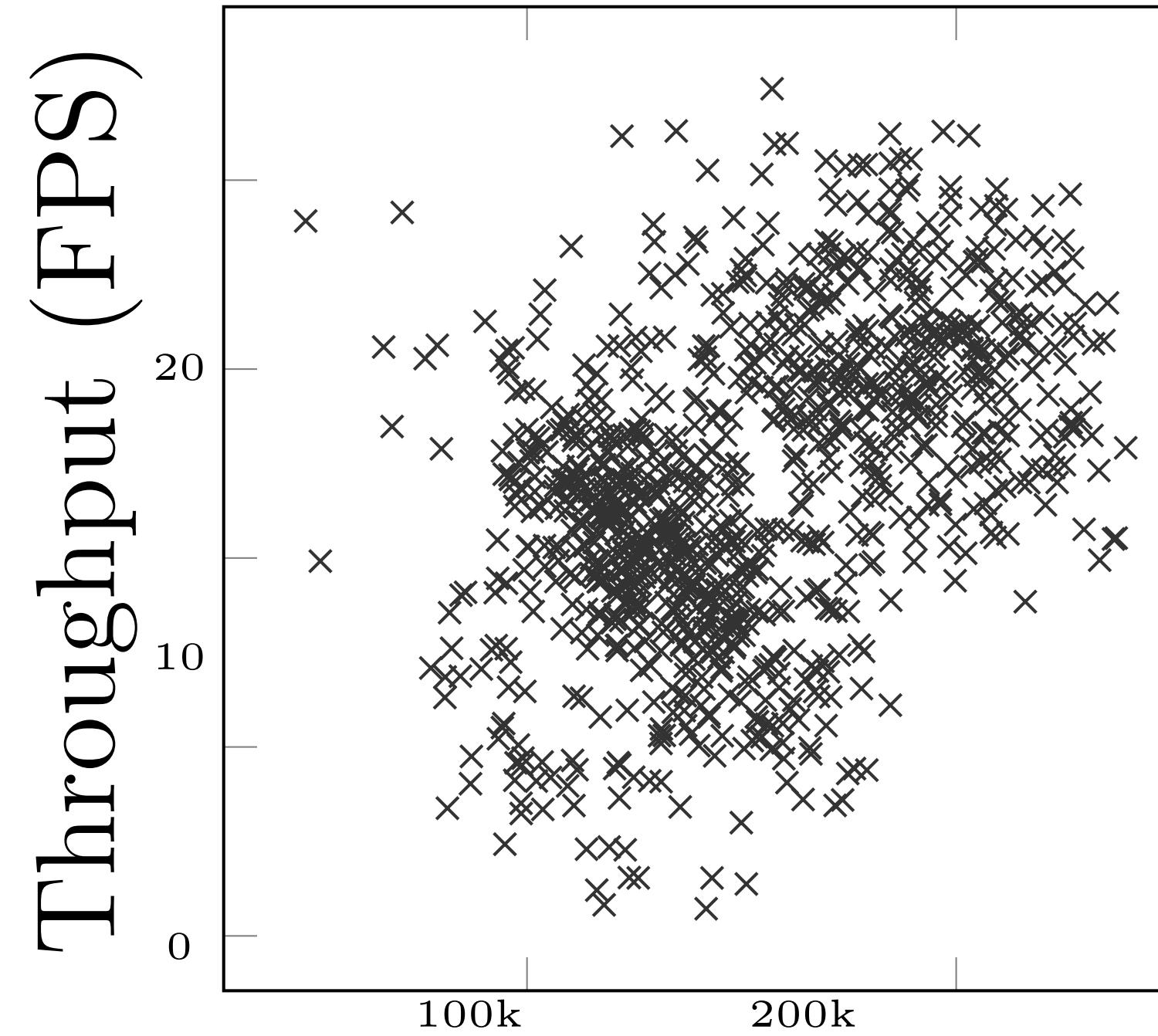


Performance influence models **change significantly** across environments, resulting in low accuracy in new environments.

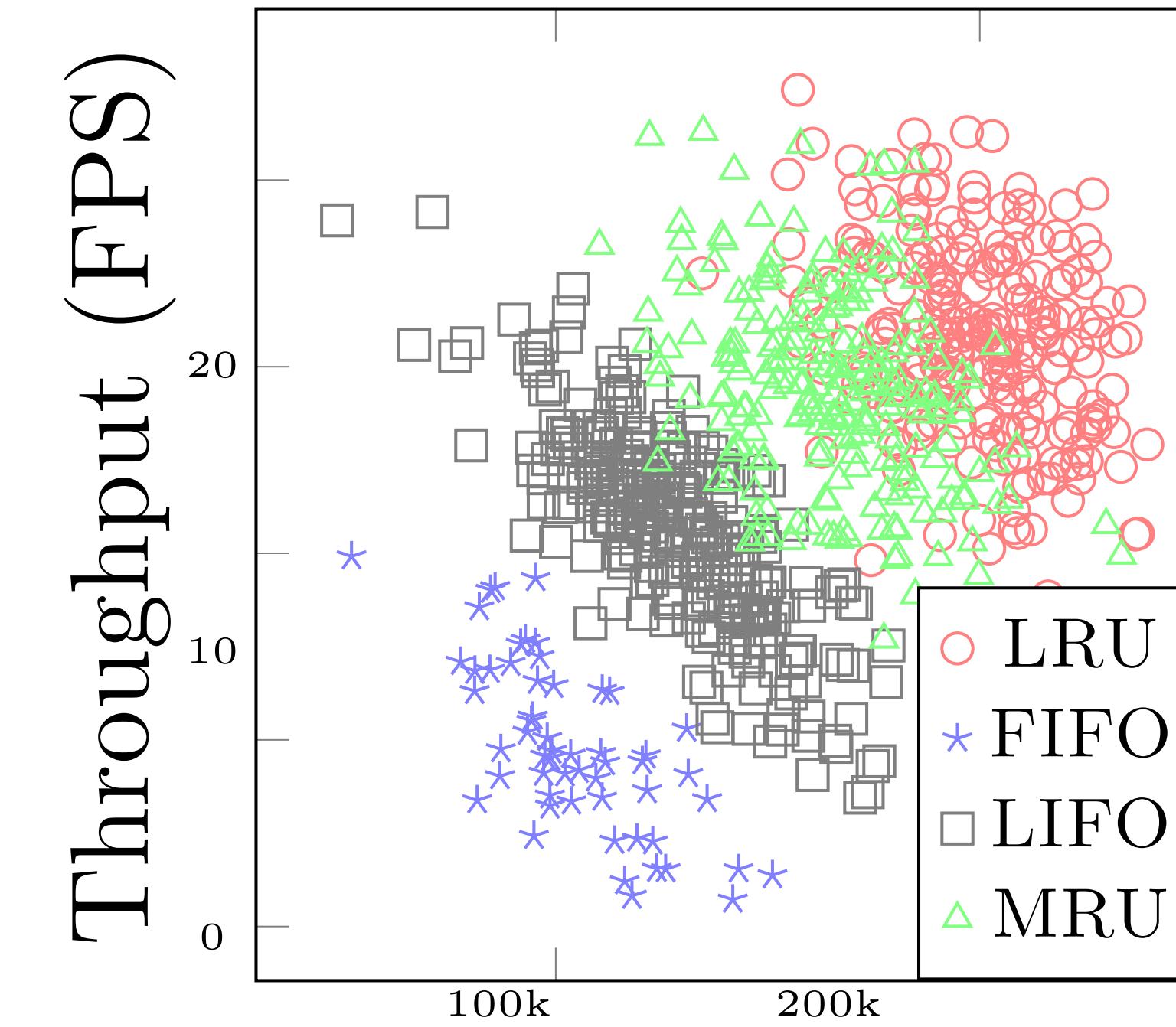
# Causal performance modeling



# Causal performance models produce correct explanations

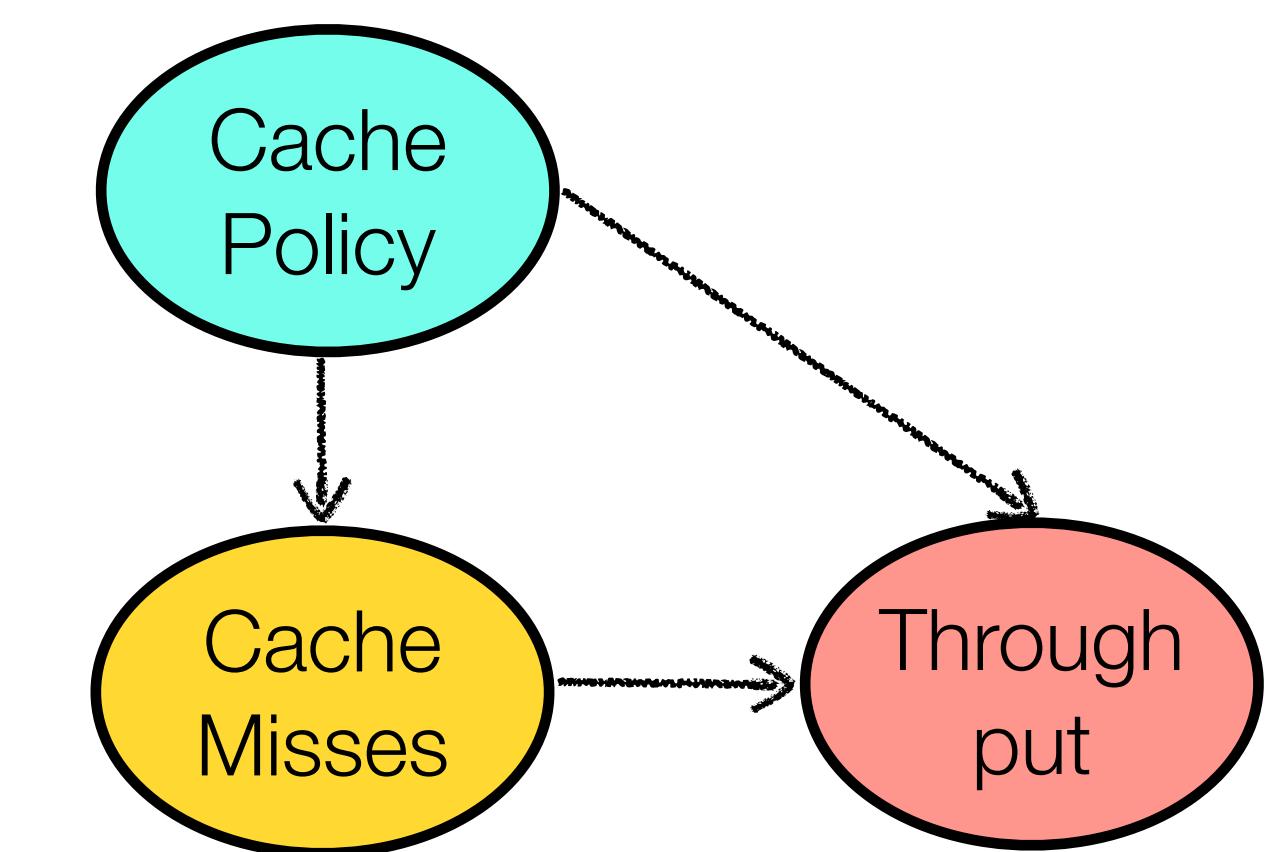


Cache Misses



Cache Misses

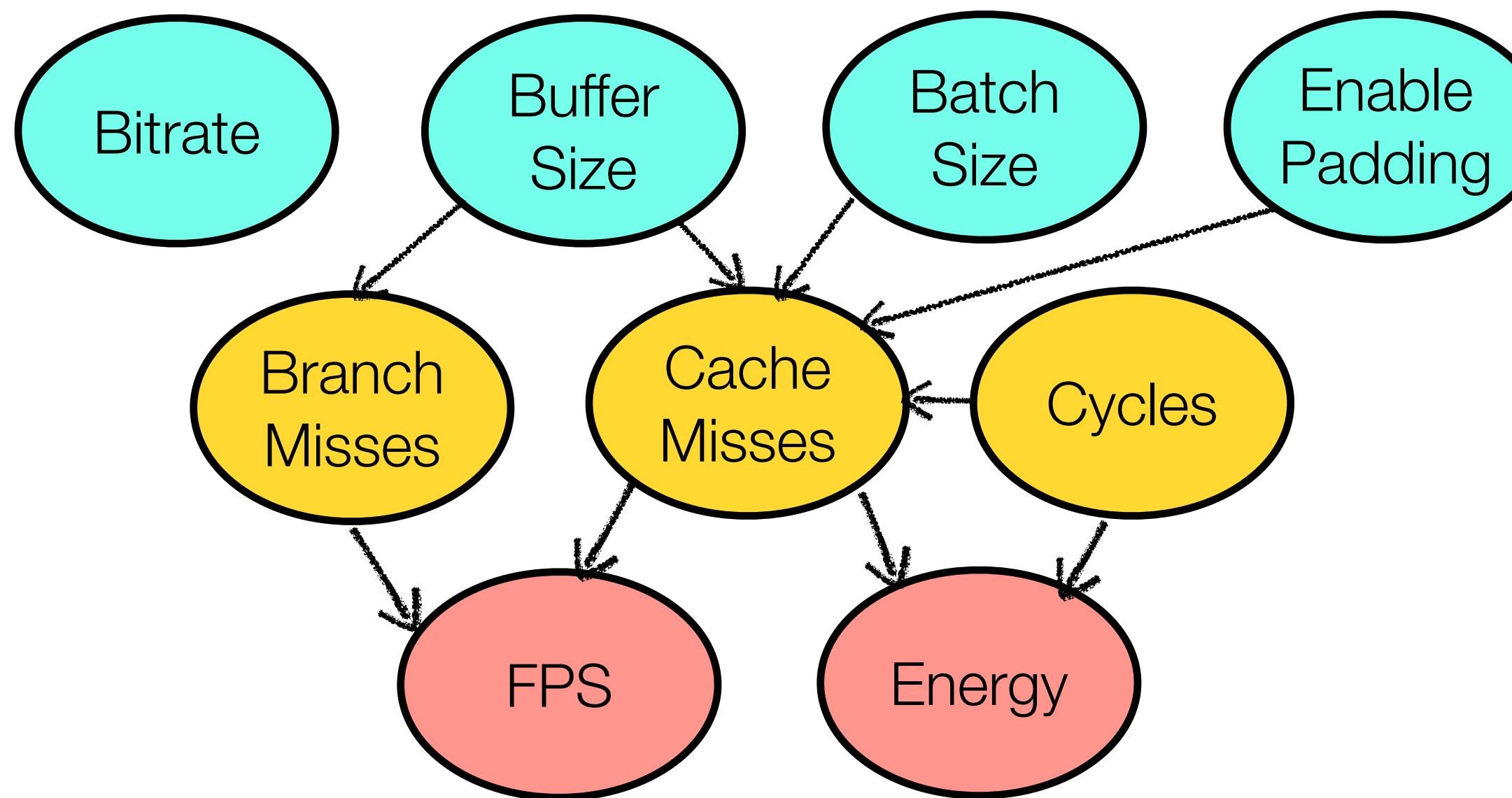
Cache Policy affects Throughput via Cache Misses.



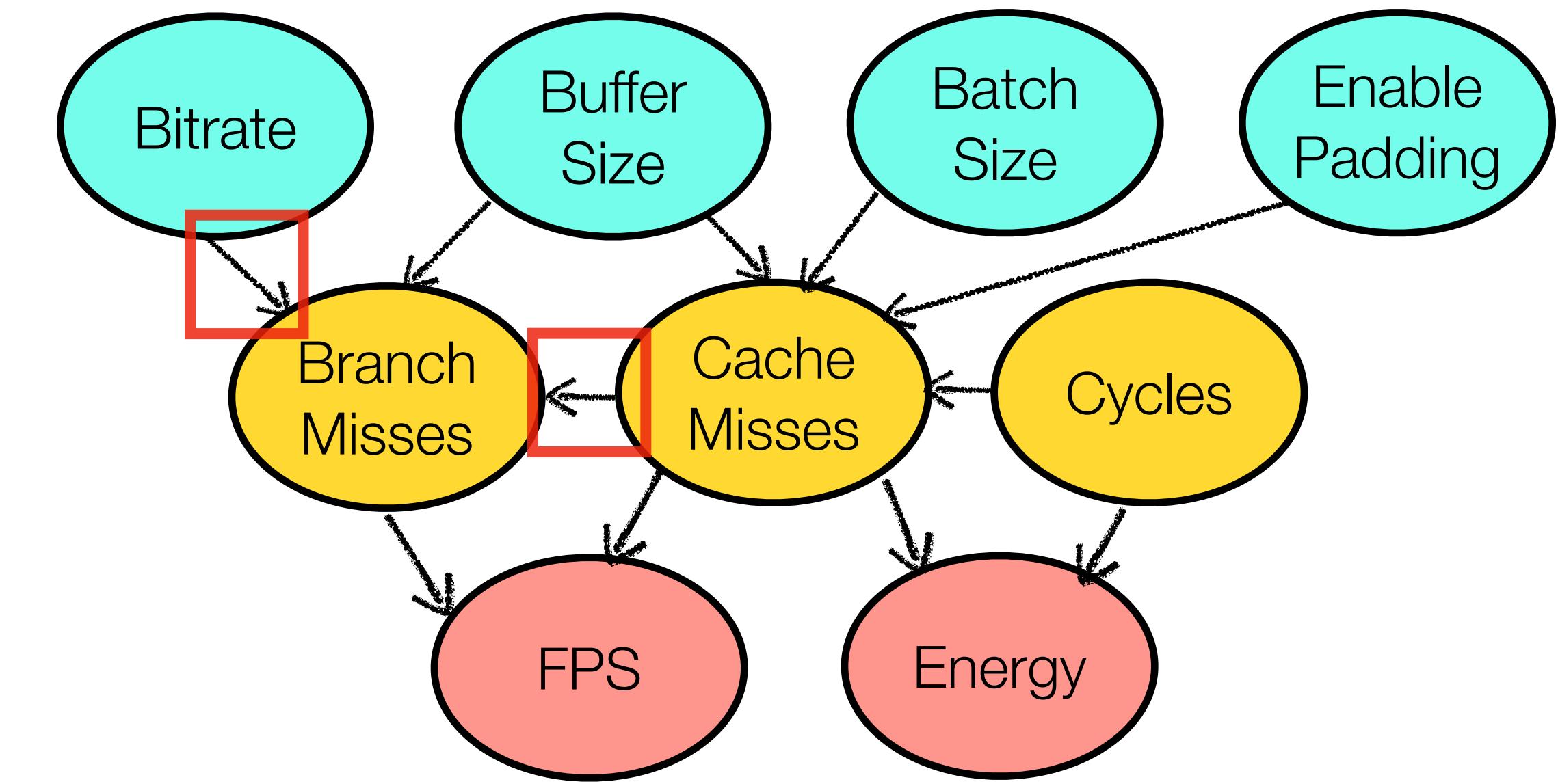
Causal performance models capture correct interactions.

# Causal performance models are transferable across environments

A partial causal performance model in Jetson TX2

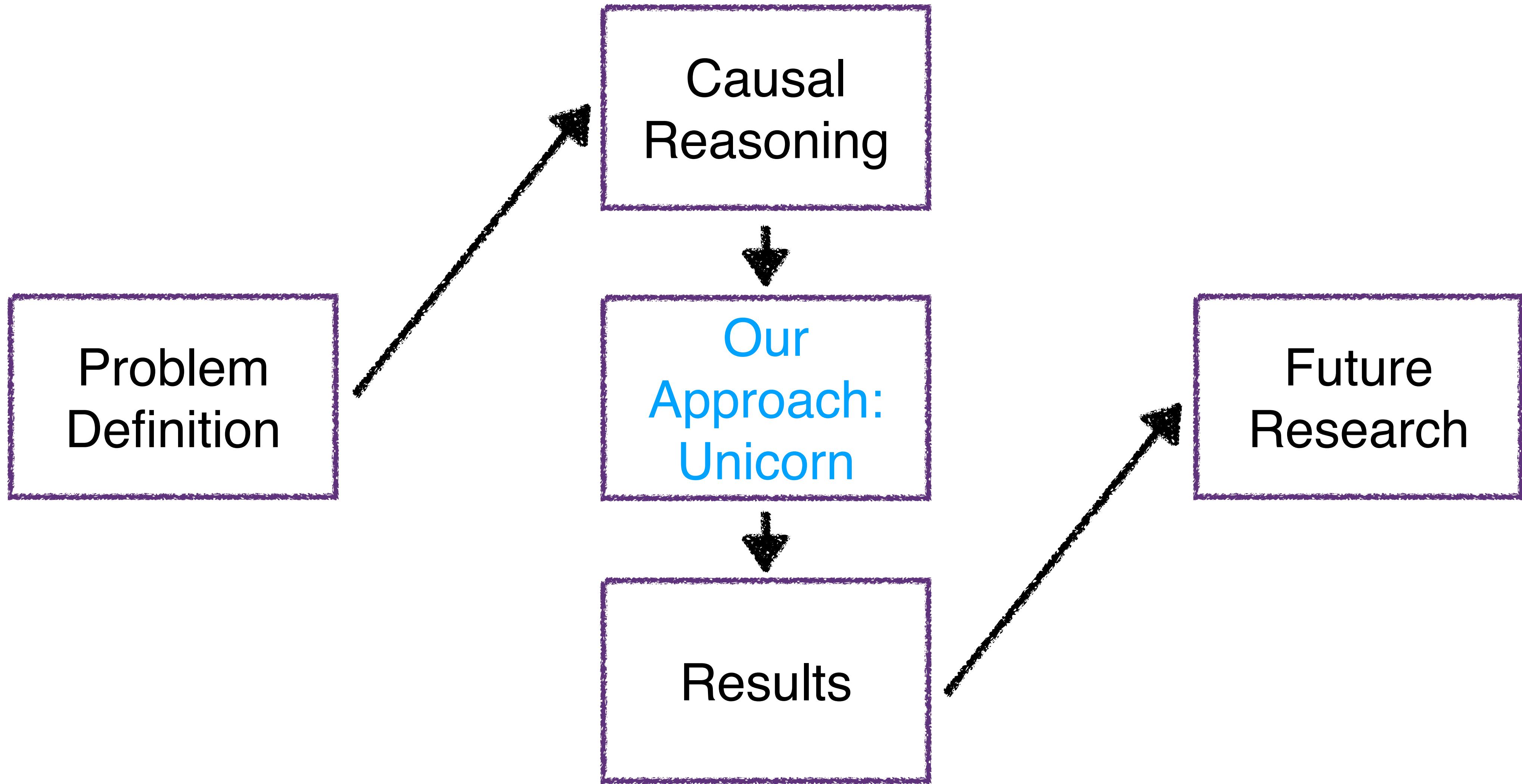


A partial causal performance model in Jetson Xavier

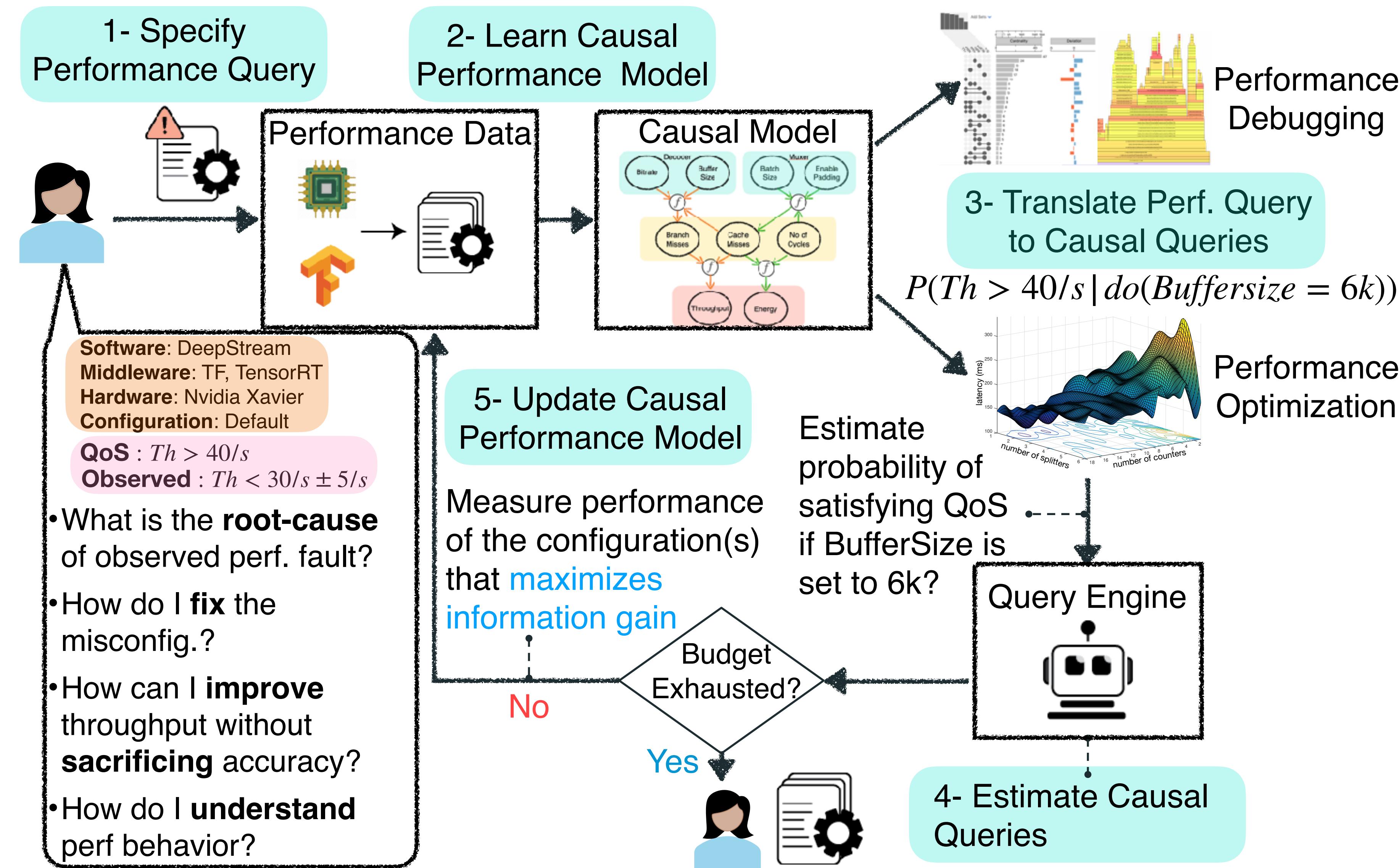


Causal performance models remain relatively **stable** across environments.

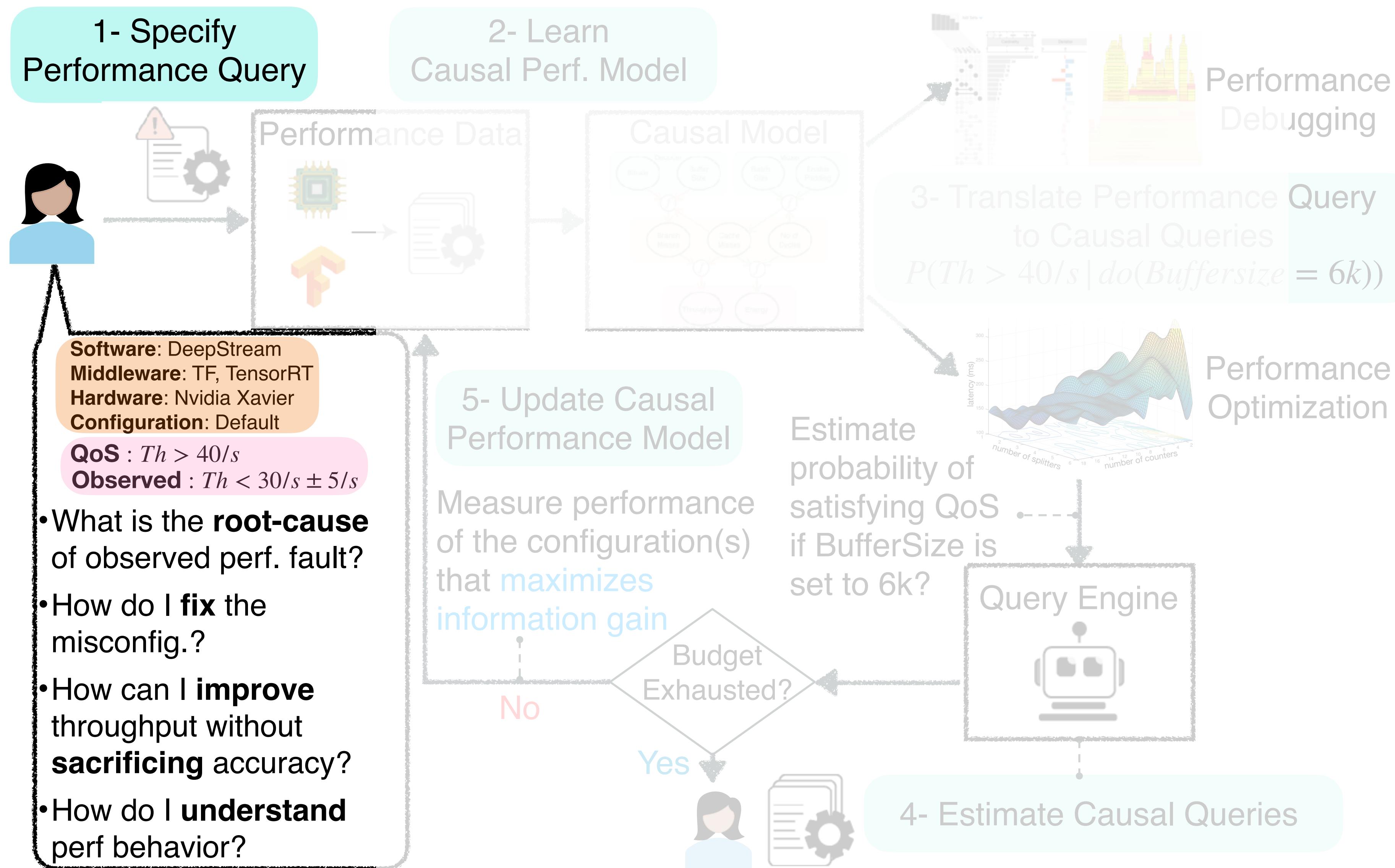
# Outline



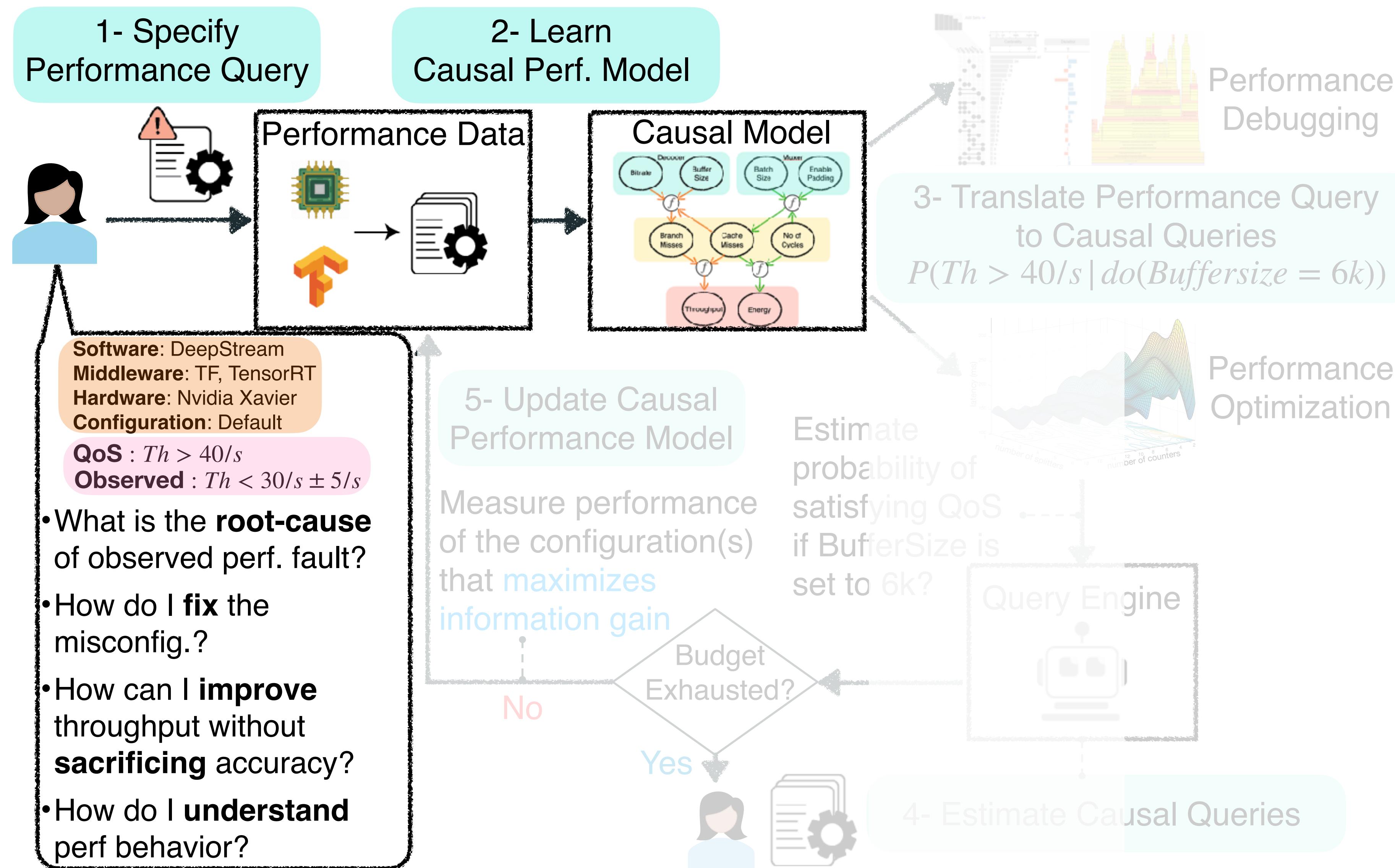
# UNICORN: Performance Reasoning through the Lens of Causality



# UNICORN: Performance Reasoning through the Lens of Causality



# UNICORN: Performance Reasoning through the Lens of Causality



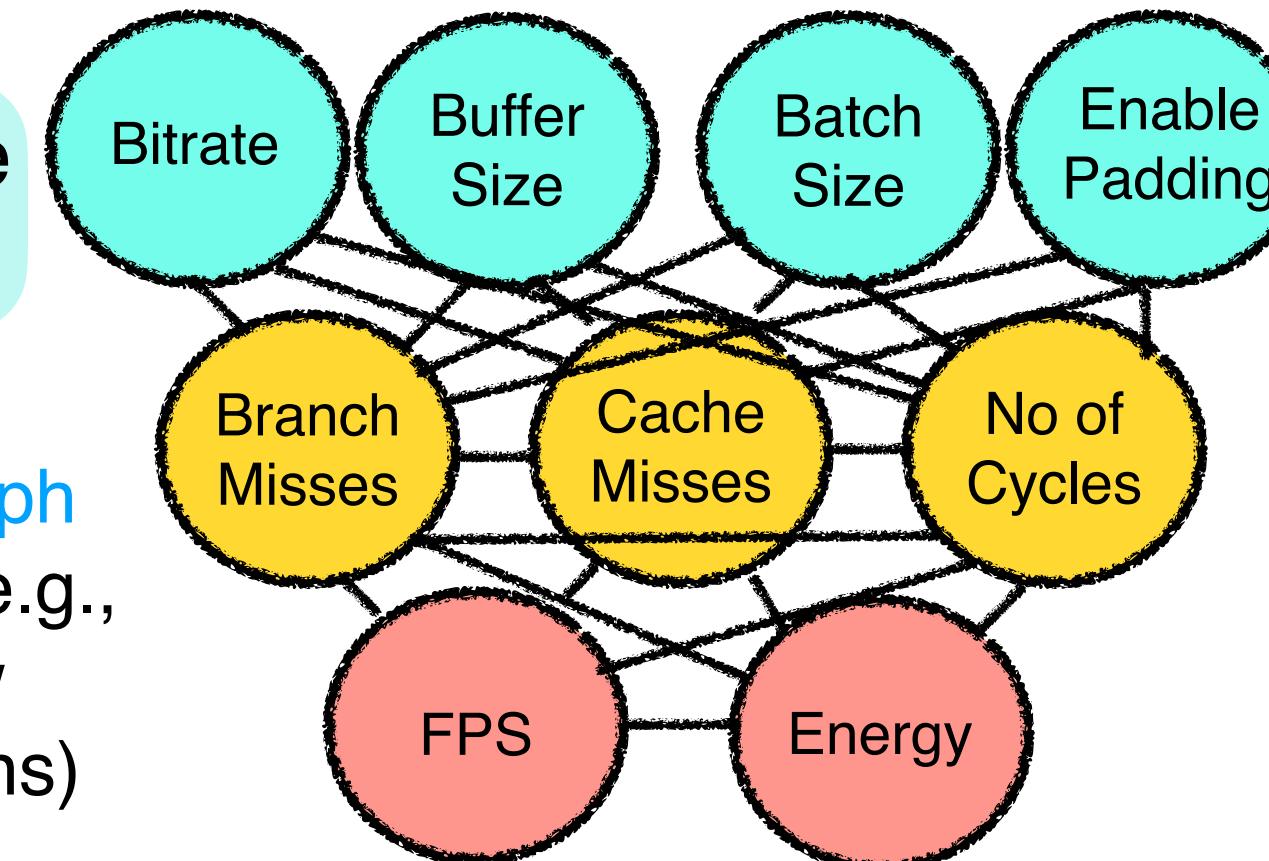
# Learning Causal Performance Model

	Bitrate (bits/s)	Enable Padding	...	Cache Misses	...	Through put (fps)
c <sub>1</sub>	1k	1	...	42m	...	7
c <sub>2</sub>	2k	1	...	32m	...	22
...	...	...	...	...	...	...
c <sub>n</sub>	5k	0	...	12m	...	25

## 1- Recovering the Skelton



fully connected graph given constraints (e.g., no connections btw configuration options)



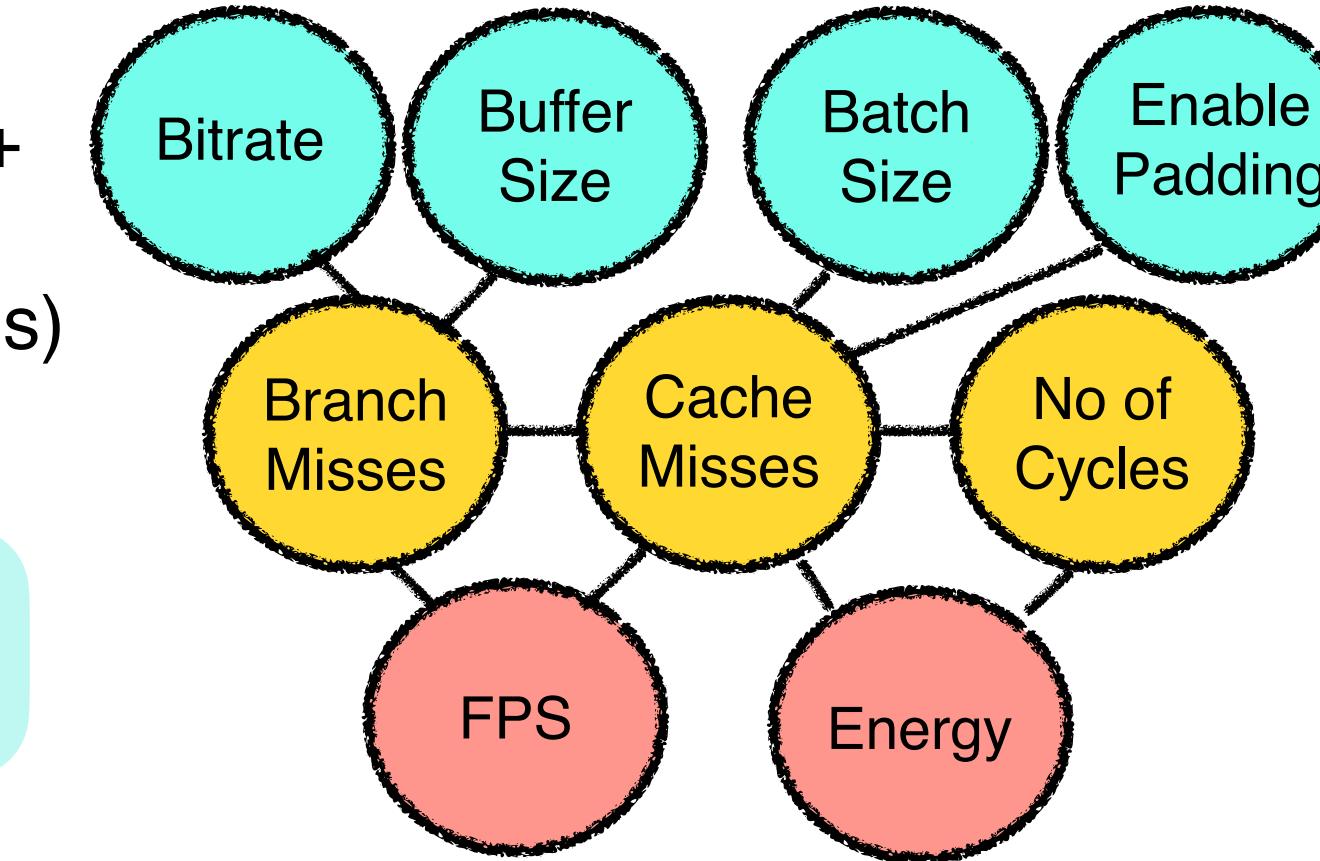
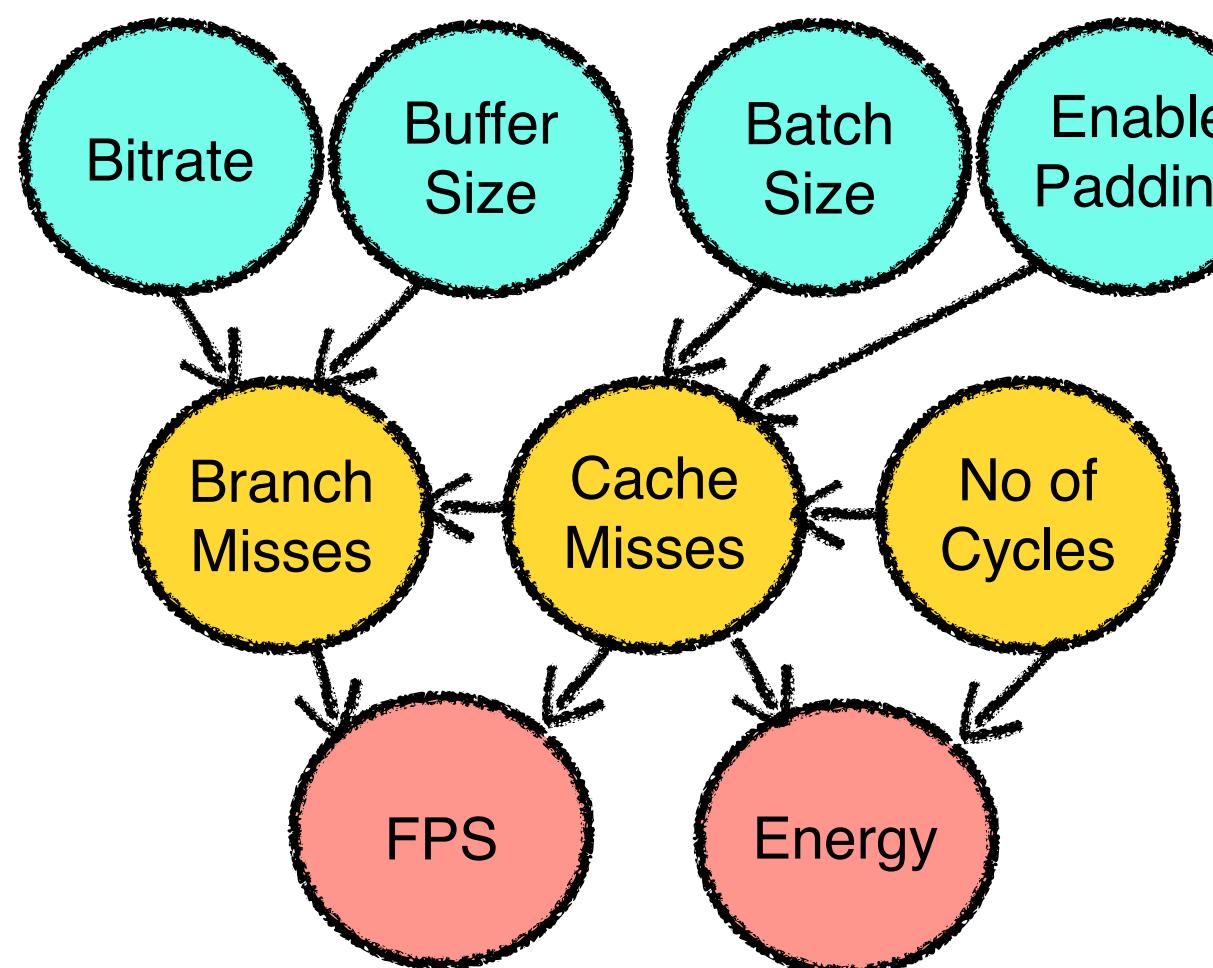
statistical independence tests

## 2- Pruning Causal Structure

orientation rules & measures (entropy) + structural constraints (colliders, v-structures)



## 3- Orienting Causal Relations



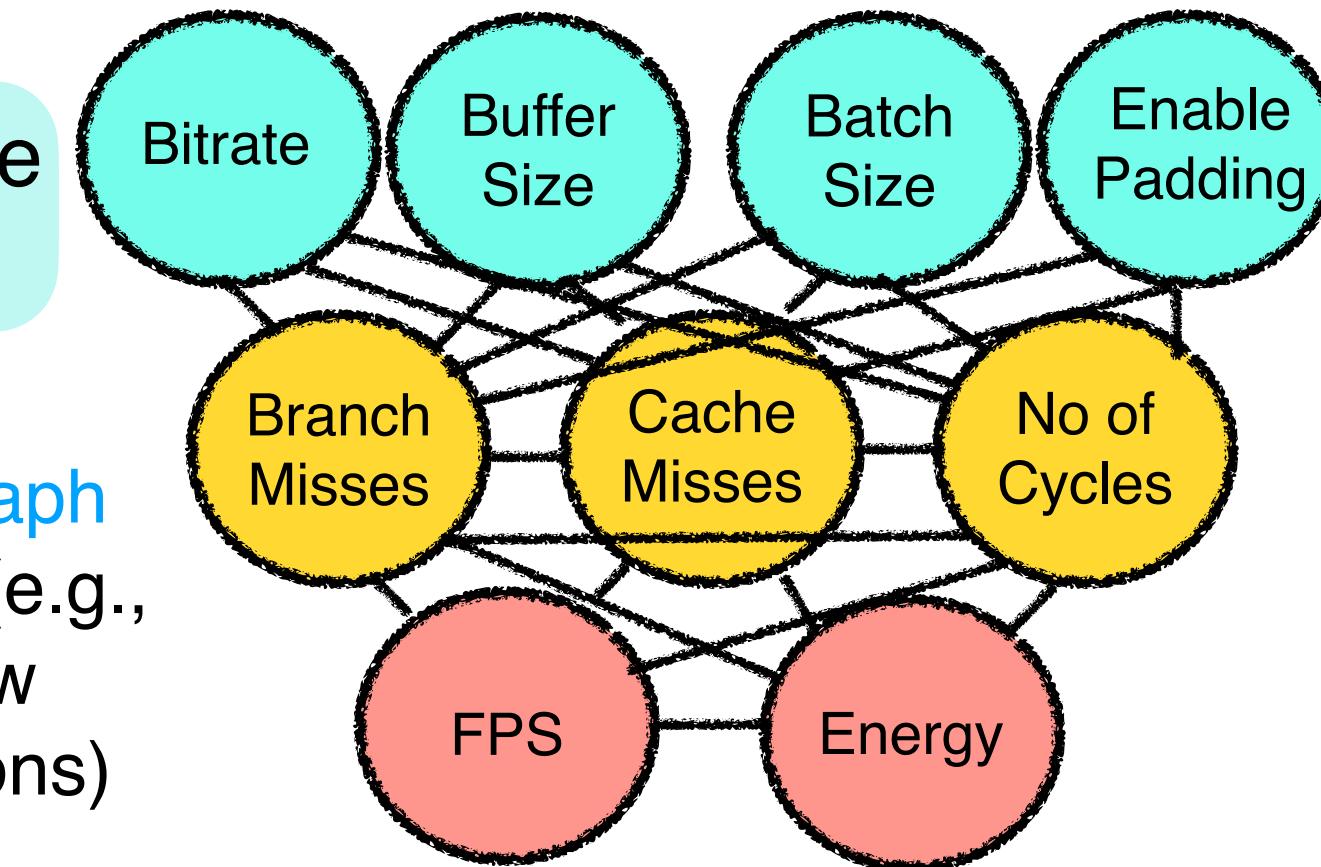
# Learning Causal Performance Model

	Bitrate (bits/s)	Enable Padding	...	Cache Misses	...	Through put (fps)
c <sub>1</sub>	1k	1	...	42m	...	7
c <sub>2</sub>	2k	1	...	32m	...	22
...	...	...	...	...	...	...
c <sub>n</sub>	5k	0	...	12m	...	25

## 1- Recovering the Skelton



fully connected graph given constraints (e.g., no connections btw configuration options)



statistical

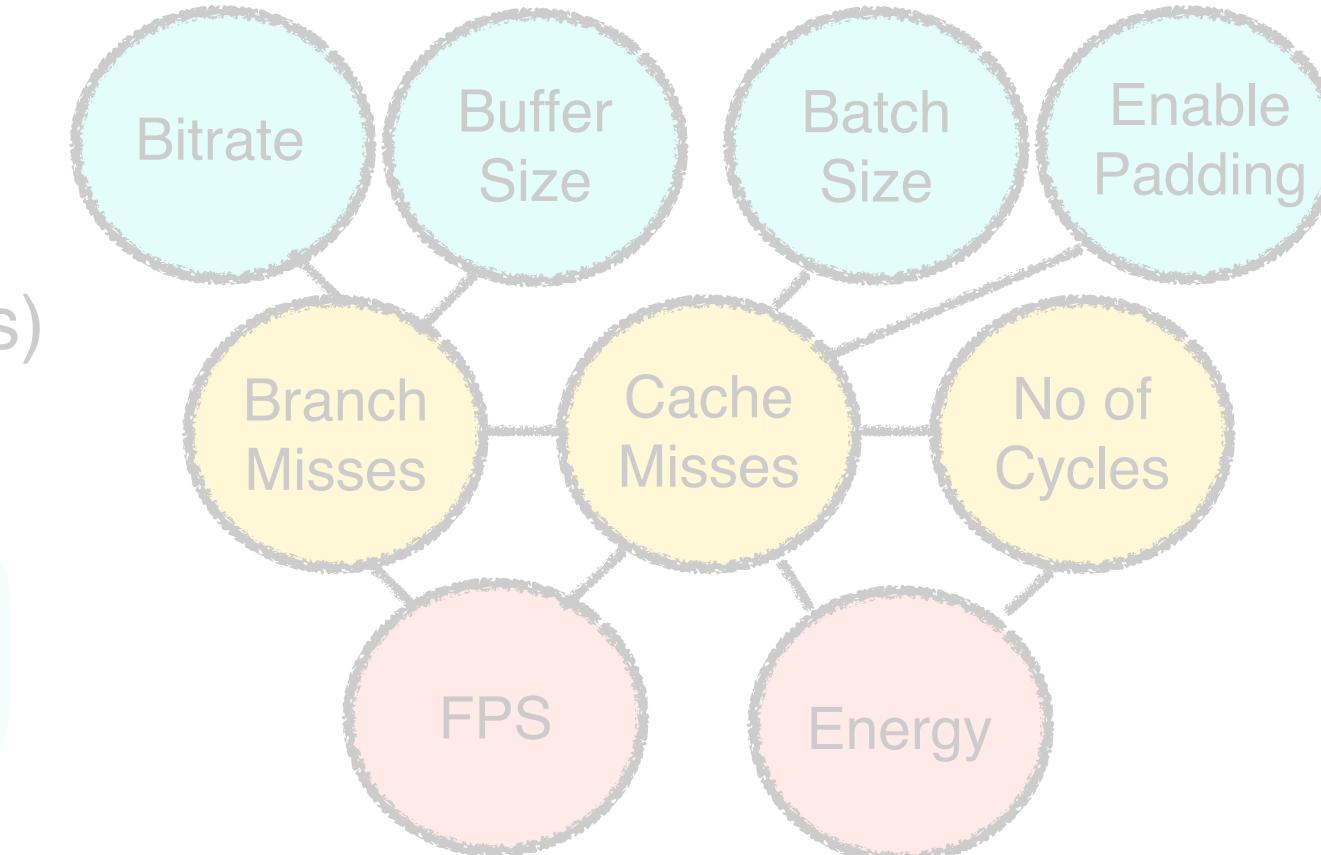
independence tests

## 2- Pruning Causal Structure

orientation rules & measures (entropy) + structural constraints (colliders, v-structures)



## 3- Orienting Causal Relations



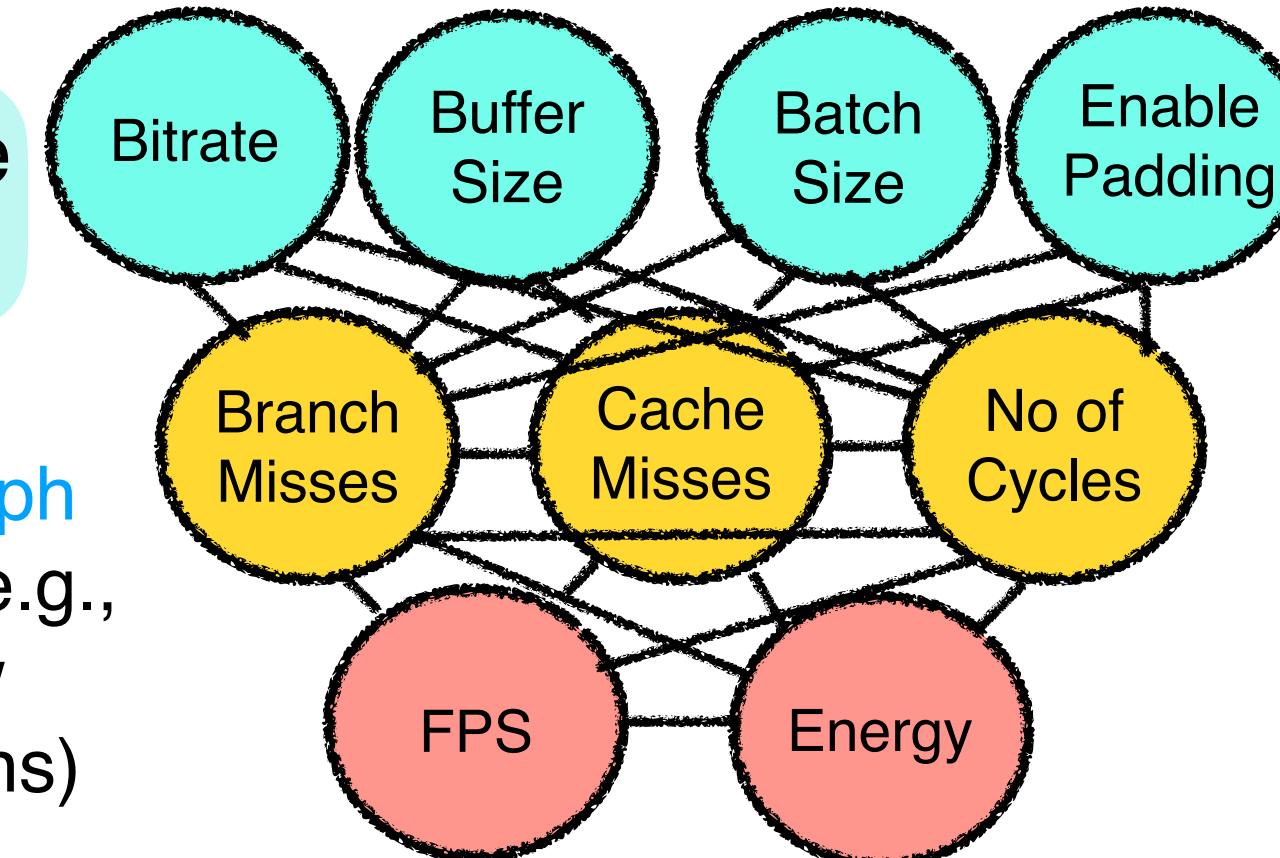
# Learning Causal Performance Model

	Bitrate (bits/s)	Enable Padding	...	Cache Misses	...	Through put (fps)
c <sub>1</sub>	1k	1	...	42m	...	7
c <sub>2</sub>	2k	1	...	32m	...	22
...	...	...	...	...	...	...
c <sub>n</sub>	5k	0	...	12m	...	25

1- Recovering the Skelton



fully connected graph given constraints (e.g., no connections btw configuration options)



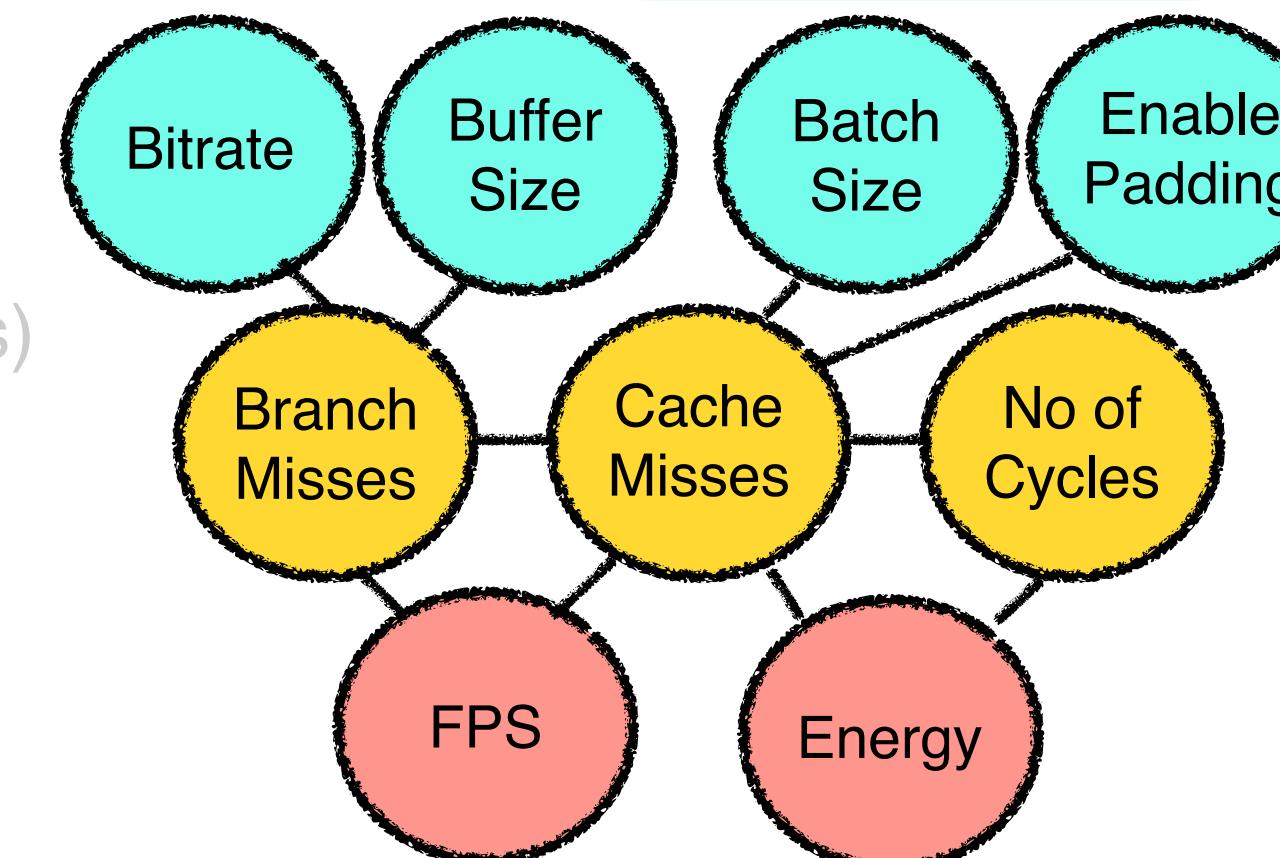
statistical independence tests

2- Pruning Causal Structure

orientation rules & measures (entropy) + structural constraints (colliders, v-structures)



3- Orienting Causal Relations



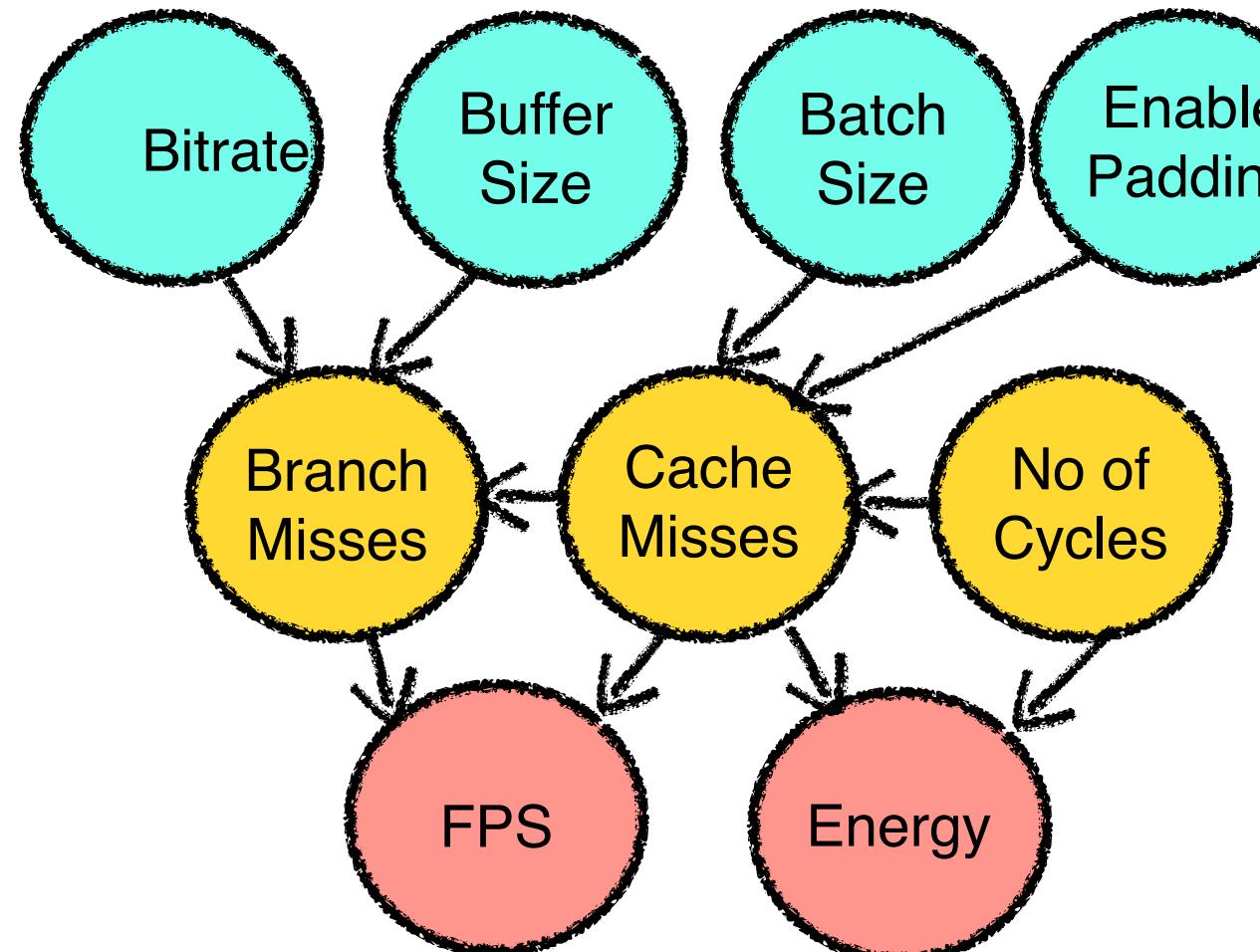
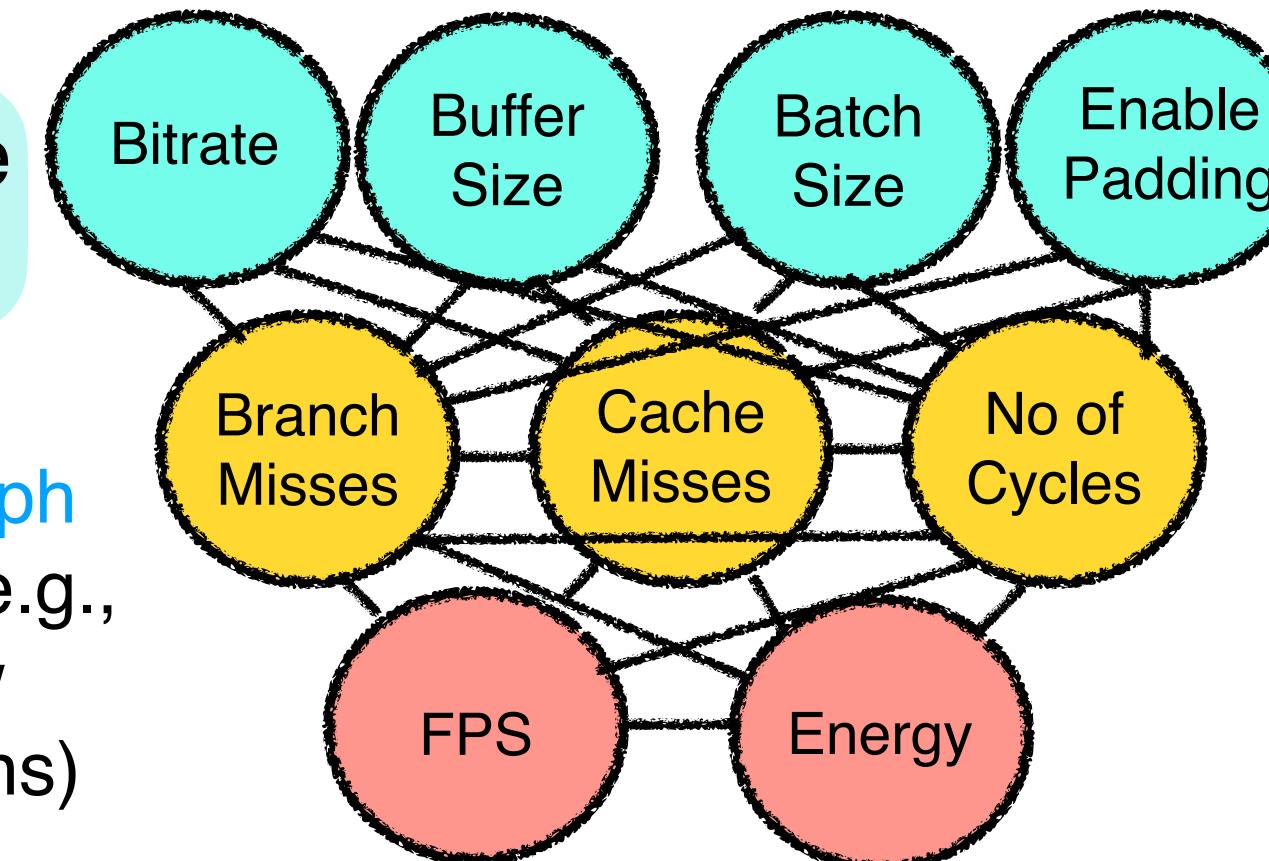
# Learning Causal Performance Model

	Bitrate (bits/s)	Enable Padding	...	Cache Misses	...	Through put (fps)
c <sub>1</sub>	1k	1	...	42m	...	7
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...	...	...	...	...	...	...
c <sub>n</sub>	5k	0	...	12m	...	25

## 1- Recovering the Skelton



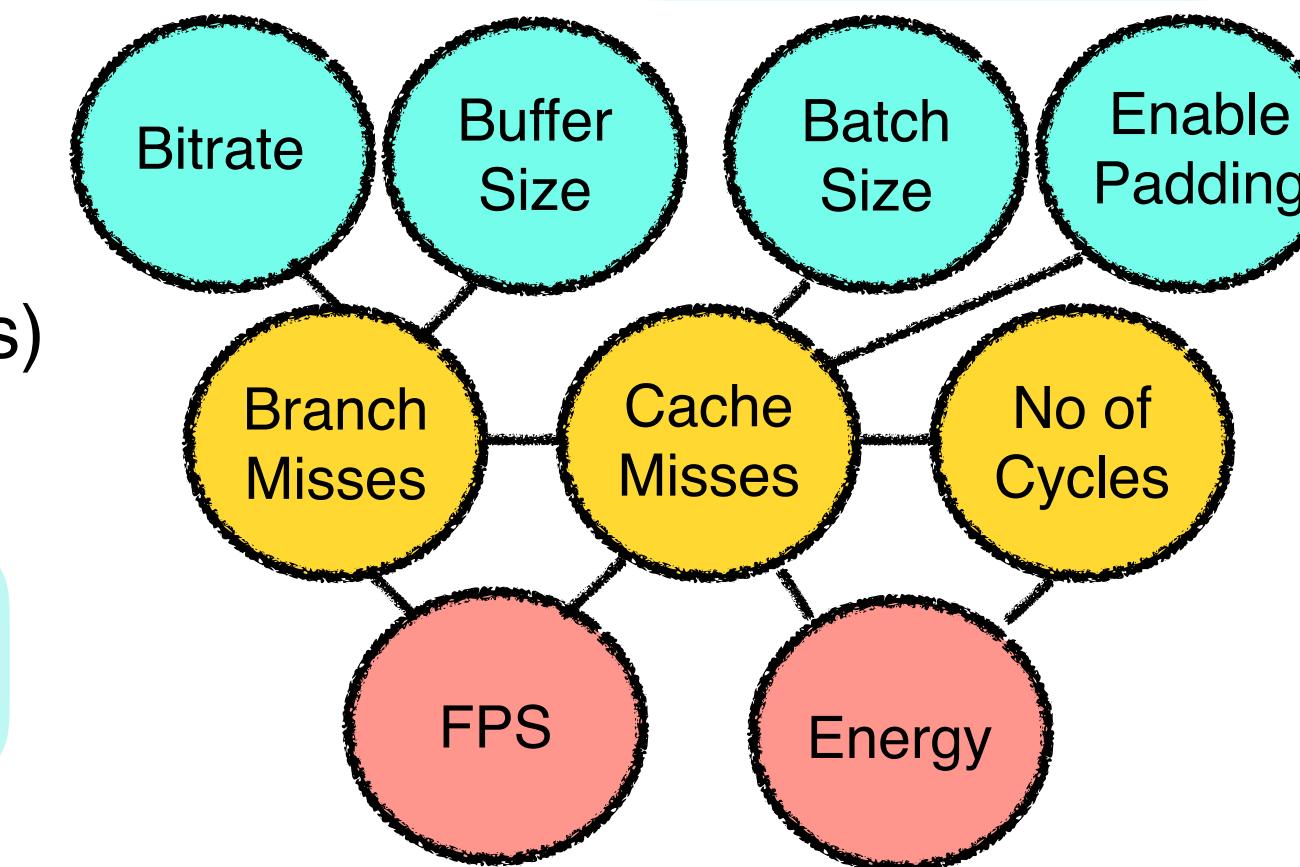
fully connected graph given constraints (e.g., no connections btw configuration options)



## 2- Pruning Causal Structure

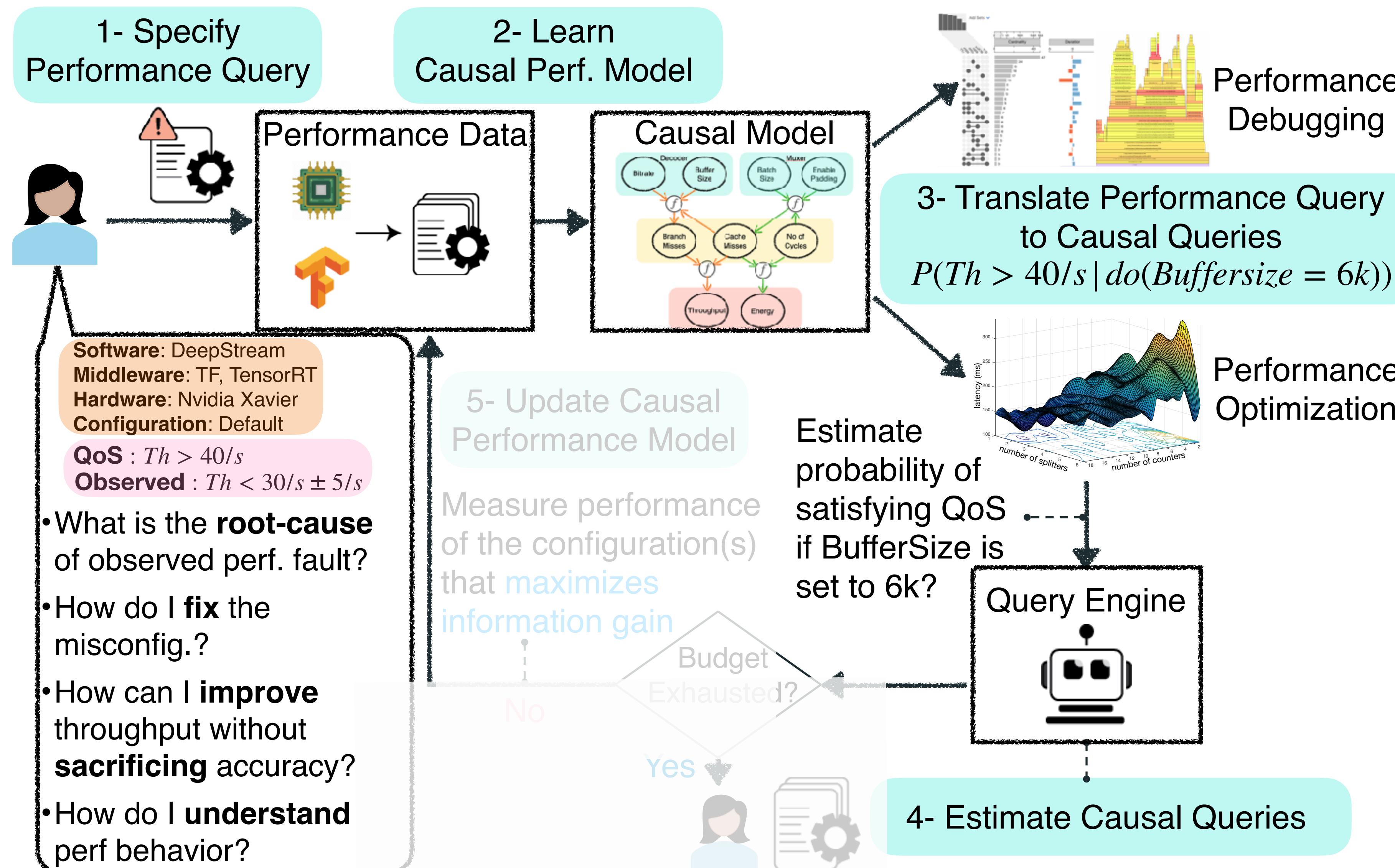
statistical independence tests

orientation rules & measures (entropy) + structural constraints (colliders, v-structures)



## 3- Orienting Causal Relations

# UNICORN: Performance Reasoning through the Lens of Causality



# Diagnosing and Fixing the Faults

- Counterfactual inference asks “what if” questions about changes to the misconfigurations



## *Example*

“Given that my current swap memory is 2 Gb, and I have high latency. What is the probability of having low latency if swap memory was increased to 4 Gb?”

⋮

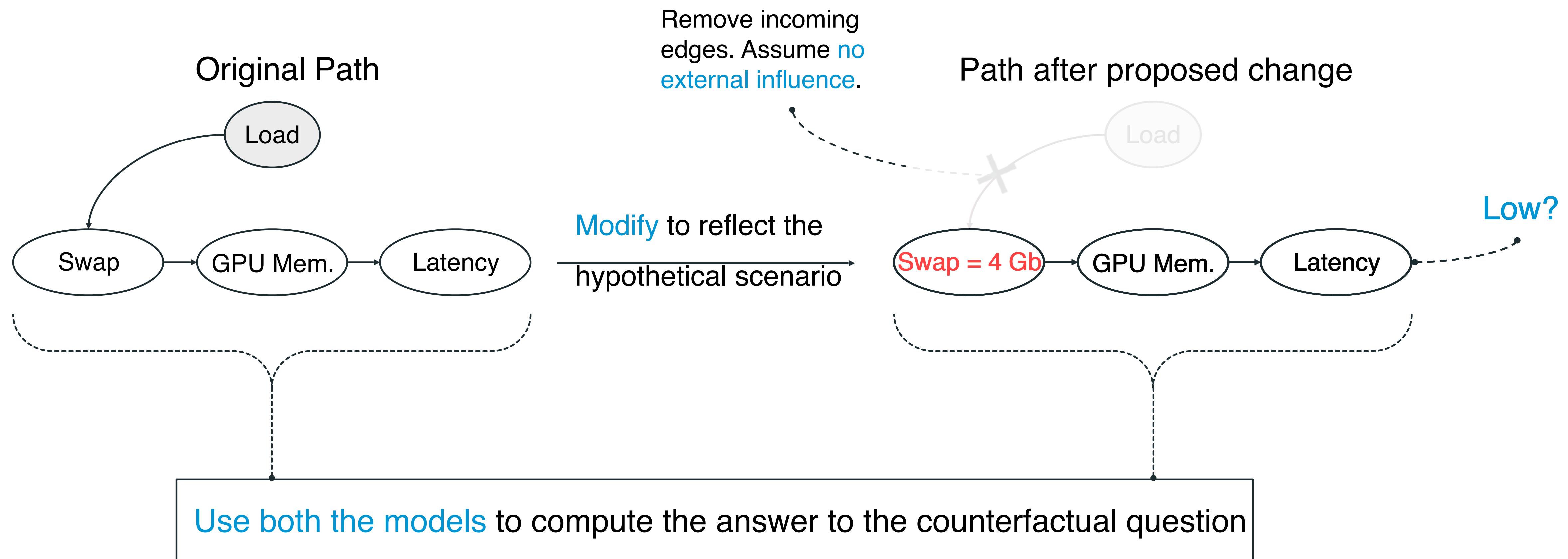
We are interested in the scenario where:

- We hypothetically have low latency;

Conditioned on the following events:

- We hypothetically set the new Swap memory to 4 Gb
- Swap Memory was initially set to 2 Gb
- We observed high latency when Swap was set to 2 Gb
- Everything else remains the same

# Diagnosing and Fixing the Faults



# Diagnosing and Fixing the Faults



$$Potential = P( \hat{Latency} = \text{low} \mid \hat{Swap} = 4 \text{ Gb}, \hat{Swap} = 2 \text{ Gb}, \hat{Latency}_{swap=2Gb} = \text{high}, U )$$

We expect a **low latency**

The Swap **was initially 2 Gb**

**Everything else stays the same**

The Swap is **now 4 Gb**

The latency **was high**

# Diagnosing and Fixing the Faults

$$\text{Potential} = P\left( \text{outcome} = \text{good} \mid \text{change}, \text{outcome}_{\neg\text{change}} = \text{bad}, \neg\text{change}, U \right)$$

Probability that the **outcome is good** after a change, conditioned on the past

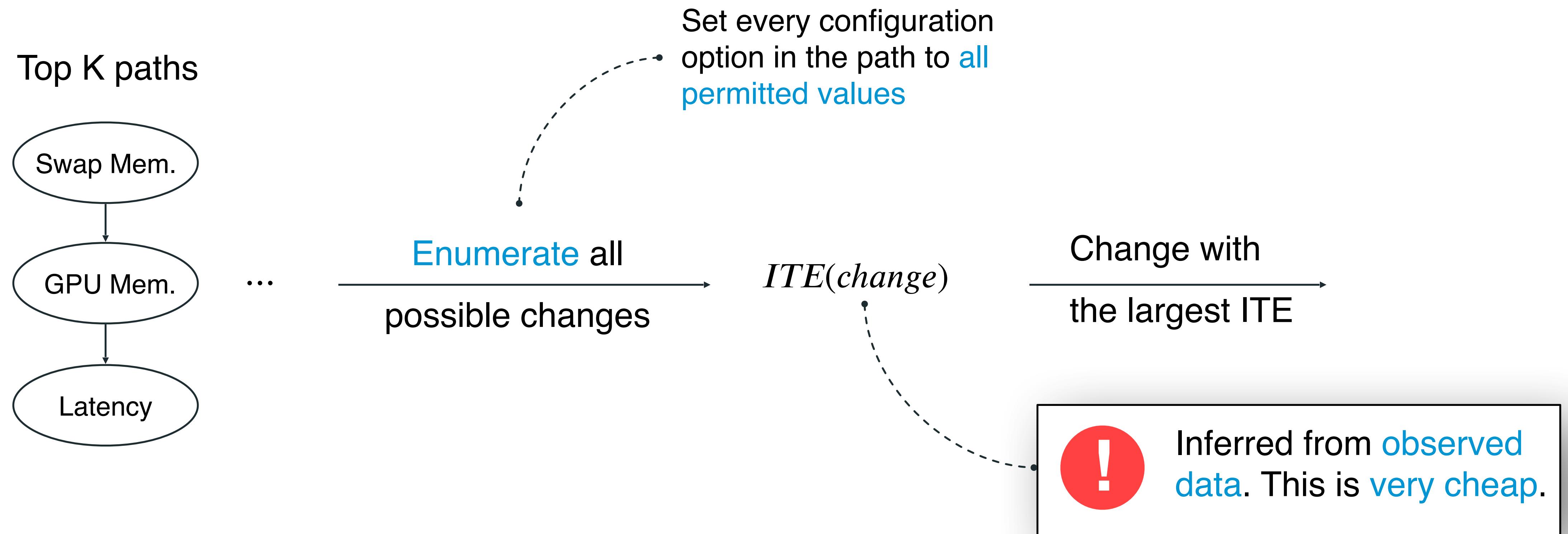
$$\text{Control} = P\left( \text{outcome} = \text{bad} \mid \neg\text{change}, U \right)$$

Probability that the **outcome was bad** before the change

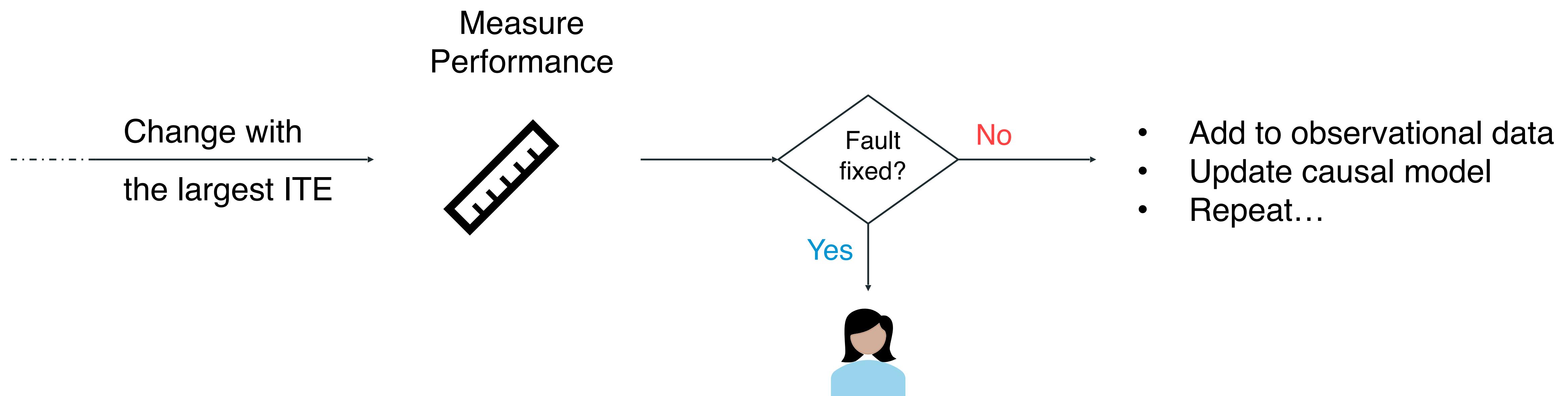
Individual Treatment Effect = Potential – Outcome

If this difference is **large**, then our change is **useful**

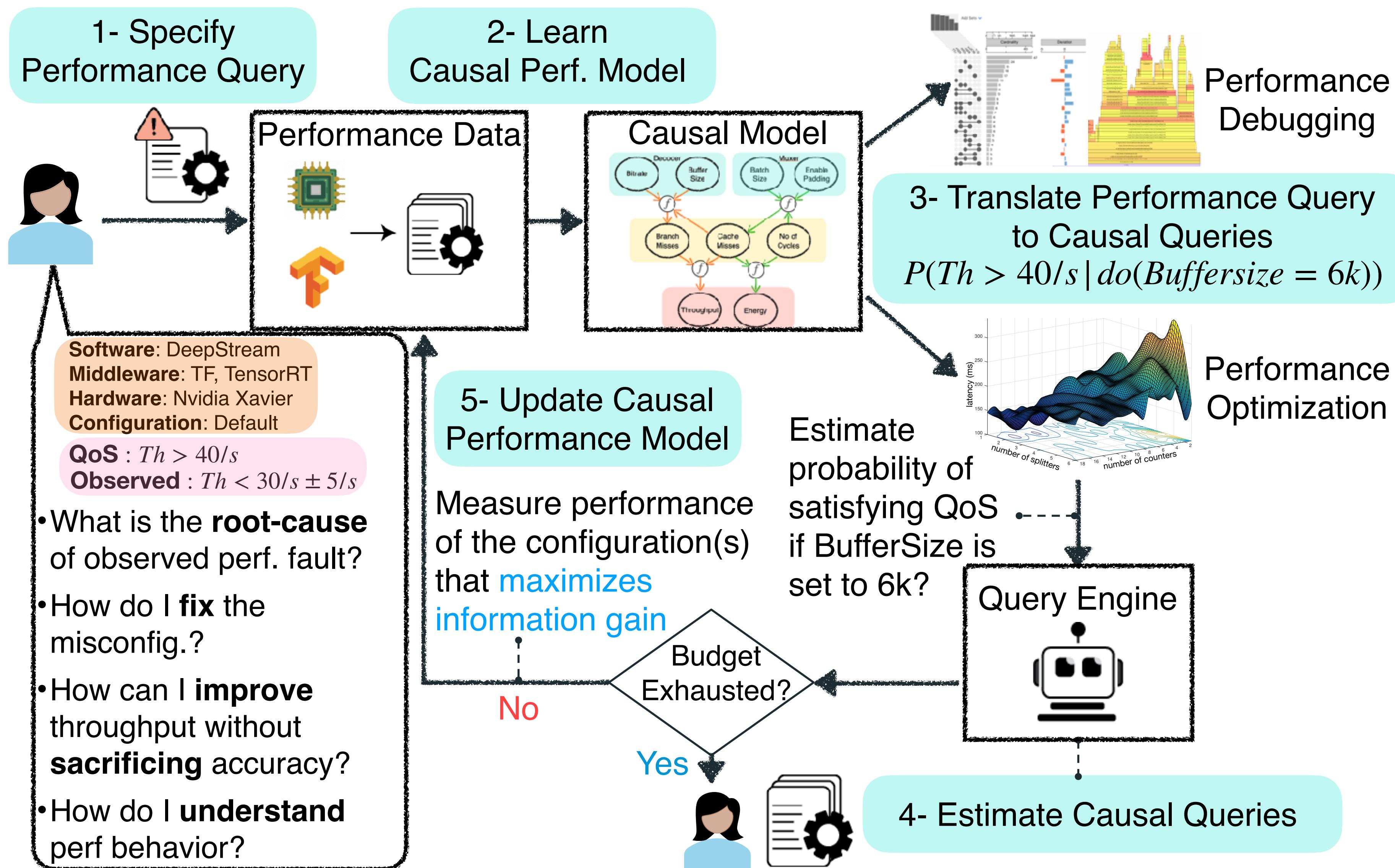
# Diagnosing and Fixing the Faults



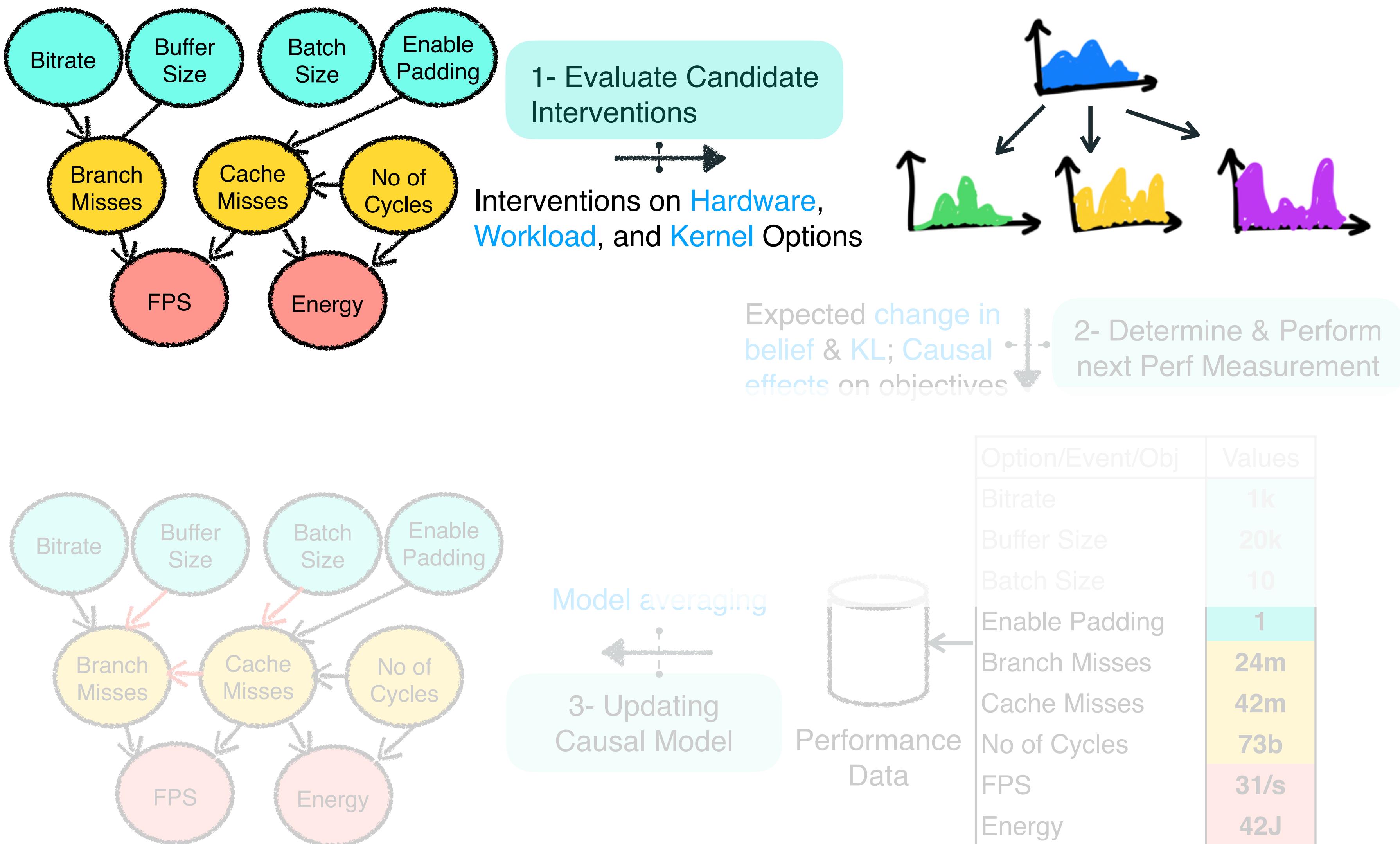
# Diagnosing and Fixing the Faults



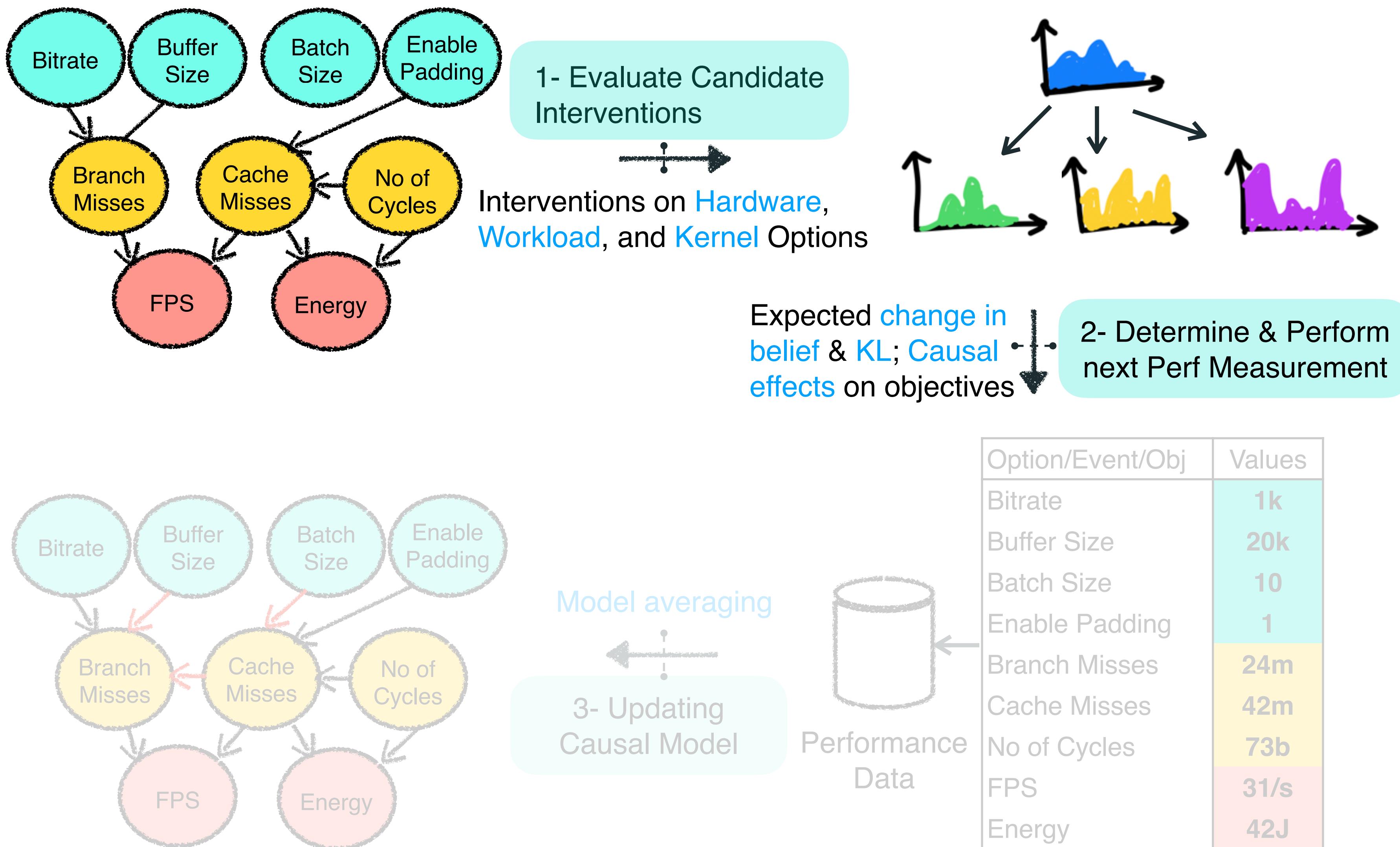
# UNICORN: Our Causal AI for Systems Method



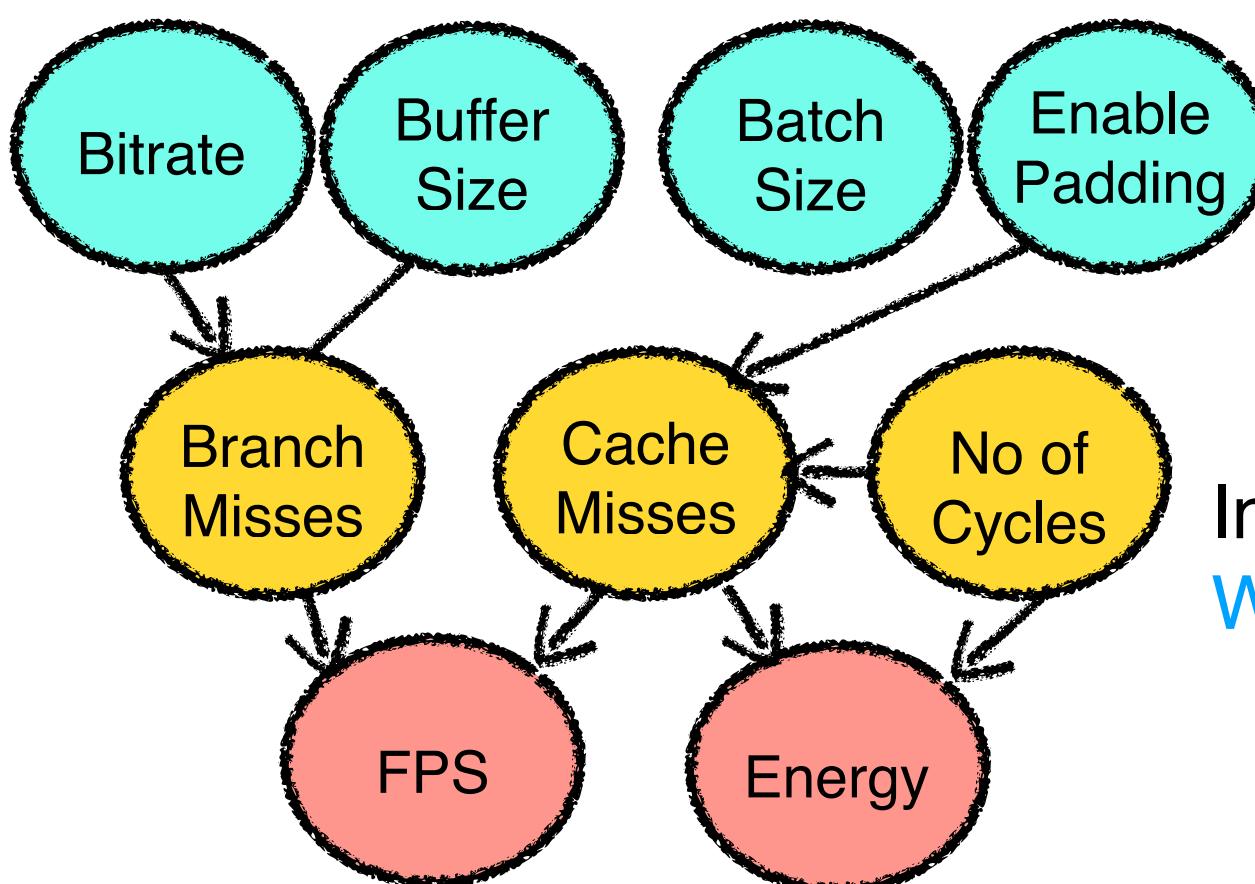
# Active Learning for Updating Causal Performance Model



# Active Learning for Updating Causal Performance Model

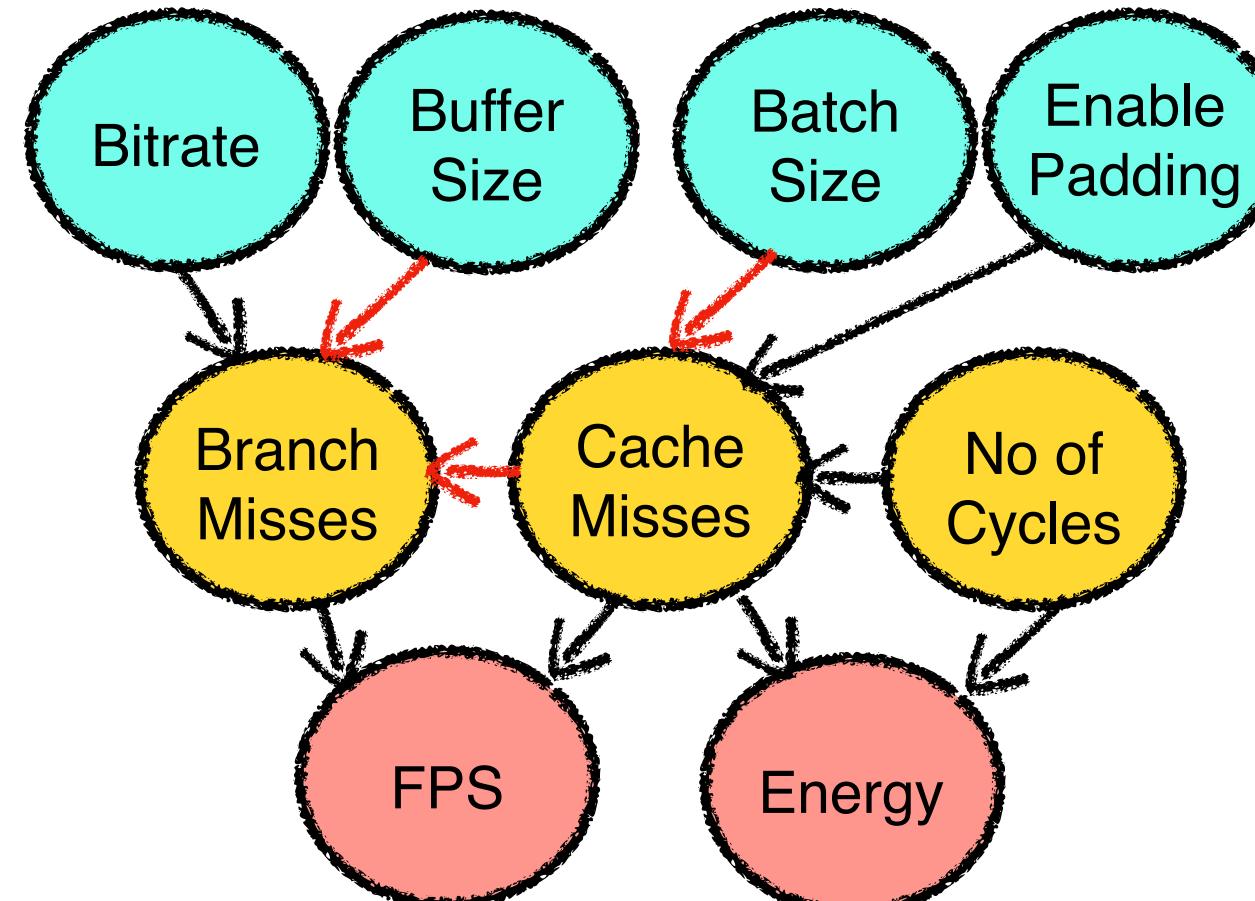
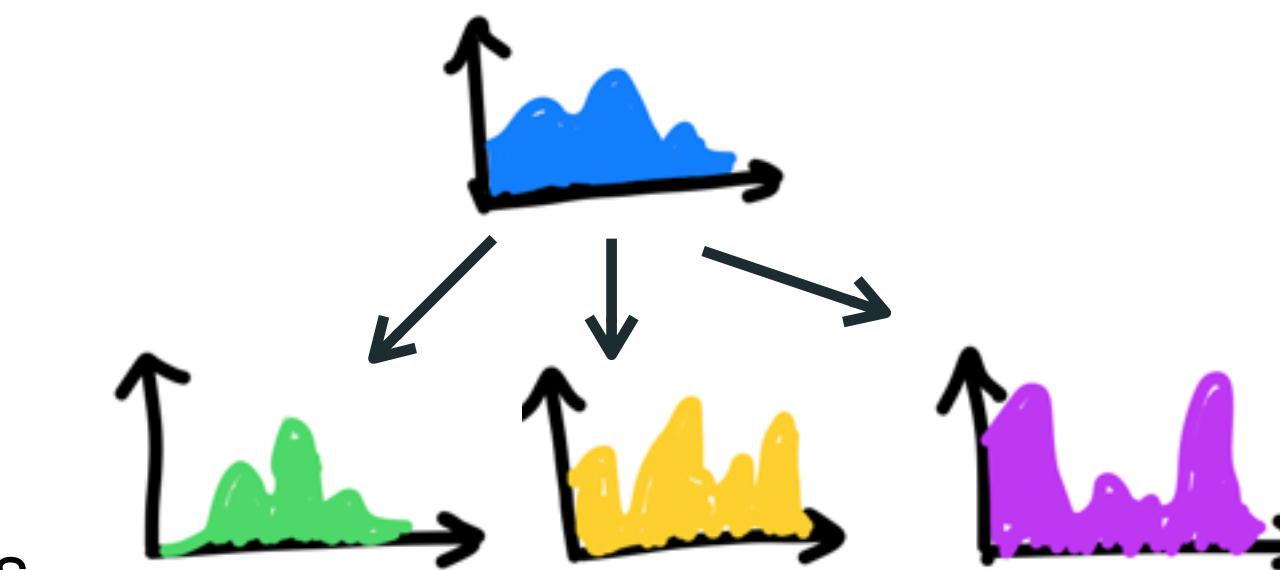


# Active Learning for Updating Causal Performance Model



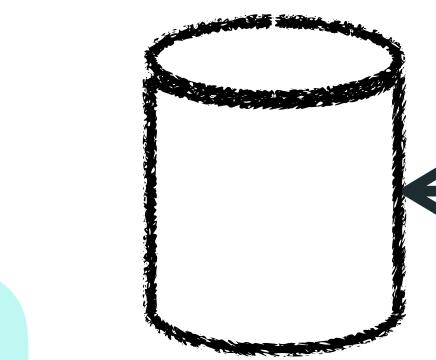
1- Evaluate Candidate Interventions

Interventions on **Hardware**,  
**Workload**, and **Kernel Options**



Model averaging

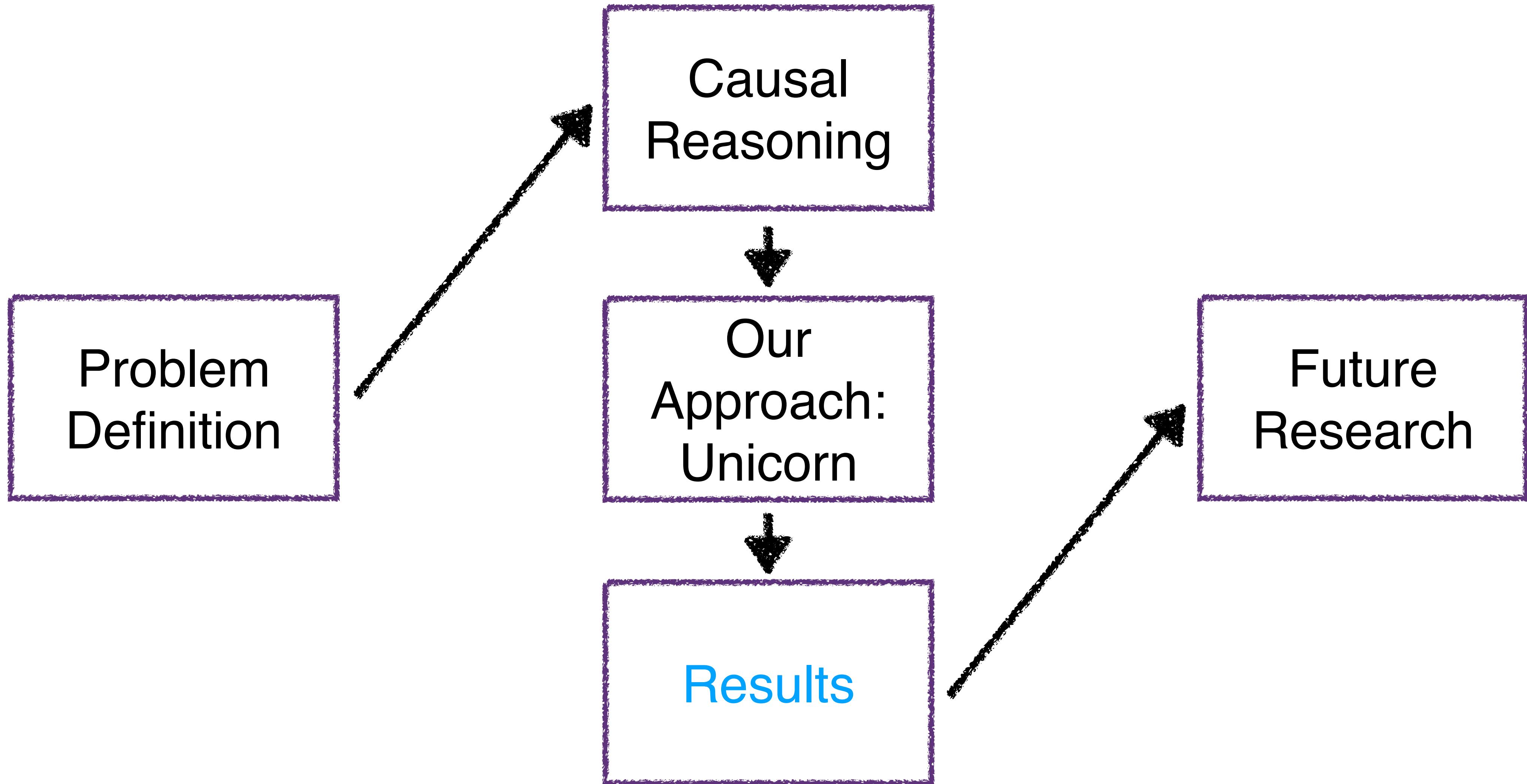
3- Updating  
Causal Model



Performance  
Data

Option/Event/Obj	Values
Bitrate	1k
Buffer Size	20k
Batch Size	10
Enable Padding	1
Branch Misses	24m
Cache Misses	42m
No of Cycles	73b
FPS	31/s
Energy	42J

# Outline



# Results: Case Study



## CUDA performance issue on tx2

Home > Autonomous Machines > Jetson & Embedded Systems > Jetson TX2



william\_wu

When we are trying to [transplant our CUDA source code from TX1 to TX2](#), it behaved strange.

We noticed that [TX2 has twice computing-ability as TX1 in GPU](#), as expectation, [we think TX2 will 30% - 40% faster than TX1 at least](#).

Unfortunately, most of our code base spent twice the time as TX1, in other words, [TX2 only has 1/2 speed as TX1, mostly](#). We believe that TX2's CUDA API runs much slower than TX1 in many cases.

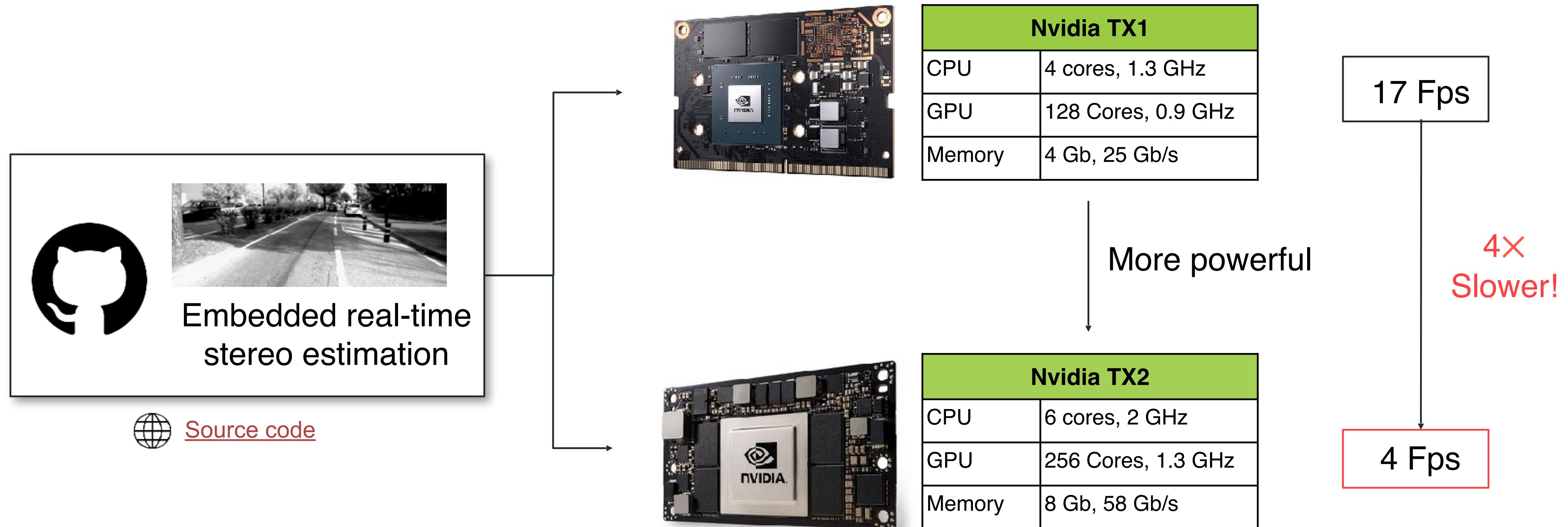
The user is transferring the code from [one hardware to another](#)

Jun '17

The [target hardware is faster](#) than the [the source hardware](#). User [expects the code to run at least 30-40% faster](#).

The [code ran 2x slower](#) on the more powerful hardware

# Results: Case Study



# Results: Case Study

Configuration	UNICO RN	Decision Tree	Forum
CPU Cores	✓	✓	✓
CPU Freq.	✓	✓	✓
EMC Freq.	✓	✓	✓
GPU Freq.	✓	✓	✓
Sched. Policy		✓	
Sched. Runtime		✓	
Sched. Child Proc		✓	
Dirty Bg. Ratio		✓	
Drop Caches		✓	
CUDA_STATIC_RT	✓	✓	✓
Swap Memory		✓	

	UNICORN	Decision Tree	Forum
Throughput (on TX2)	26 FPS	20 FPS	23 FPS
Throughput Gain (over TX1)	53 %	21 %	39 %
Time to resolve	24 min.	3½ Hrs.	2 days

- The user expected 30-40% gain

## Results

- X Finds the root-causes accurately
- X No unnecessary changes
- X Better improvements than forum's recommendation
- X Much faster

# Evaluation: Experimental Setup

## Hardware

Nvidia TX1	
CPU	4 cores, 1.3 GHz
GPU	128 Cores, 0.9 GHz
Memory	4 Gb, 25 GB/s

Nvidia TX2	
CPU	6 cores, 2 GHz
GPU	256 Cores, 1.3 GHz
Memory	8 Gb, 58 GB/s

Nvidia Xavier	
CPU	8 cores, 2.26 GHz
GPU	512 cores, 1.3 GHz
Memory	32 Gb, 137 GB/s

## Systems

Xception

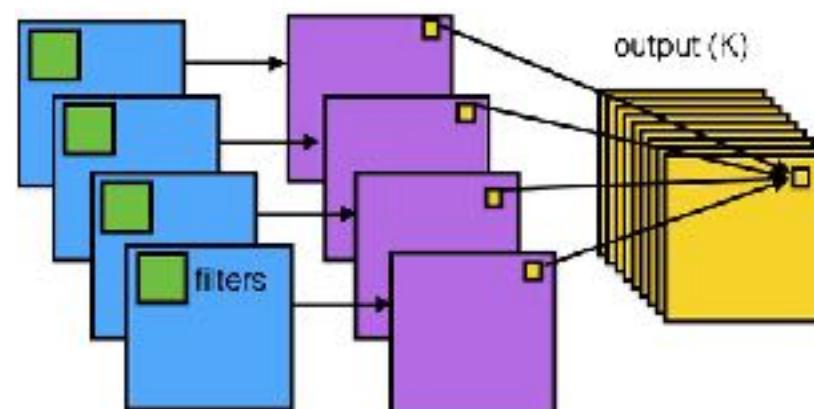
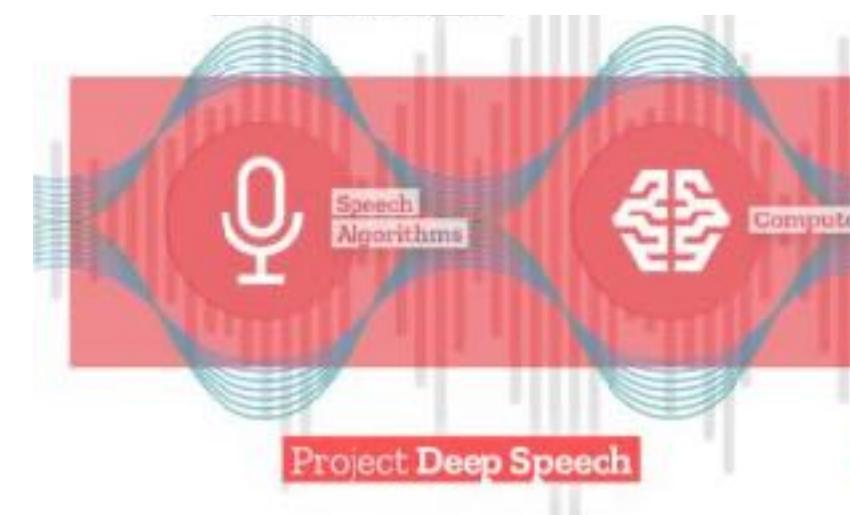


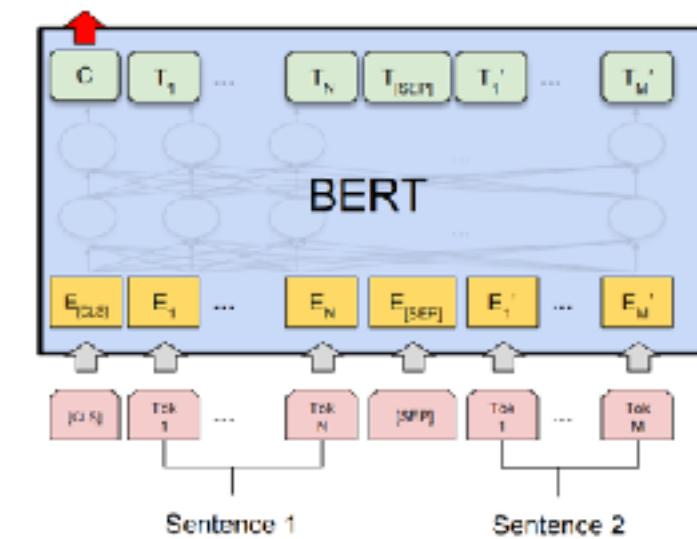
Image recognition  
(50,000 test images)

DeepSpeech



Voice recognition  
(5 sec. audio clip)

BERT



Sentiment Analysis  
(10000 IMDb reviews)

x264



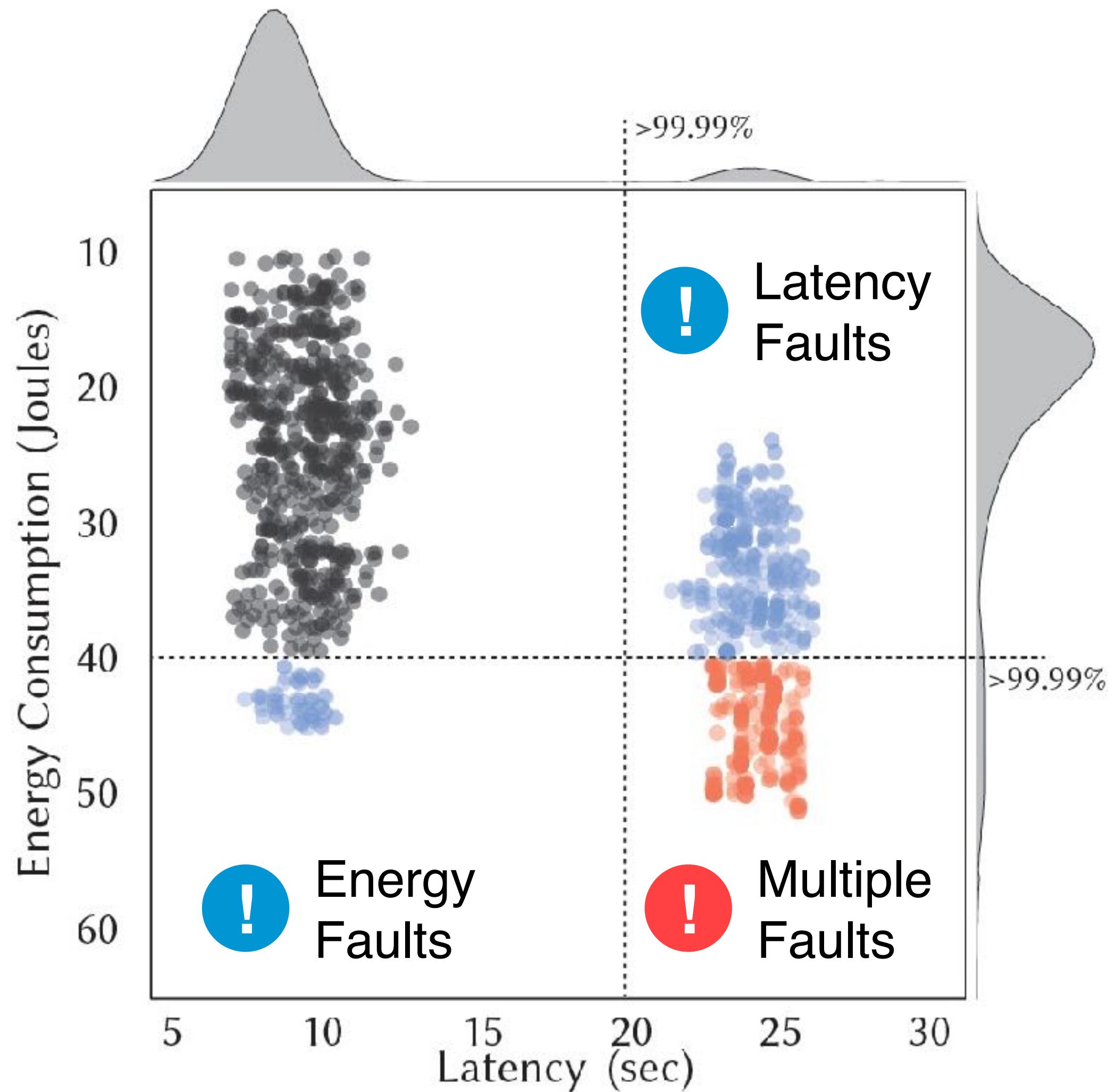
Video Encoder  
(11 Mb, 1080p video)

## Configuration Space

- X 30 Configurations
  - 10 software
  - 10 OS/Kernel
  - 10 hardware
- X 17 System Events

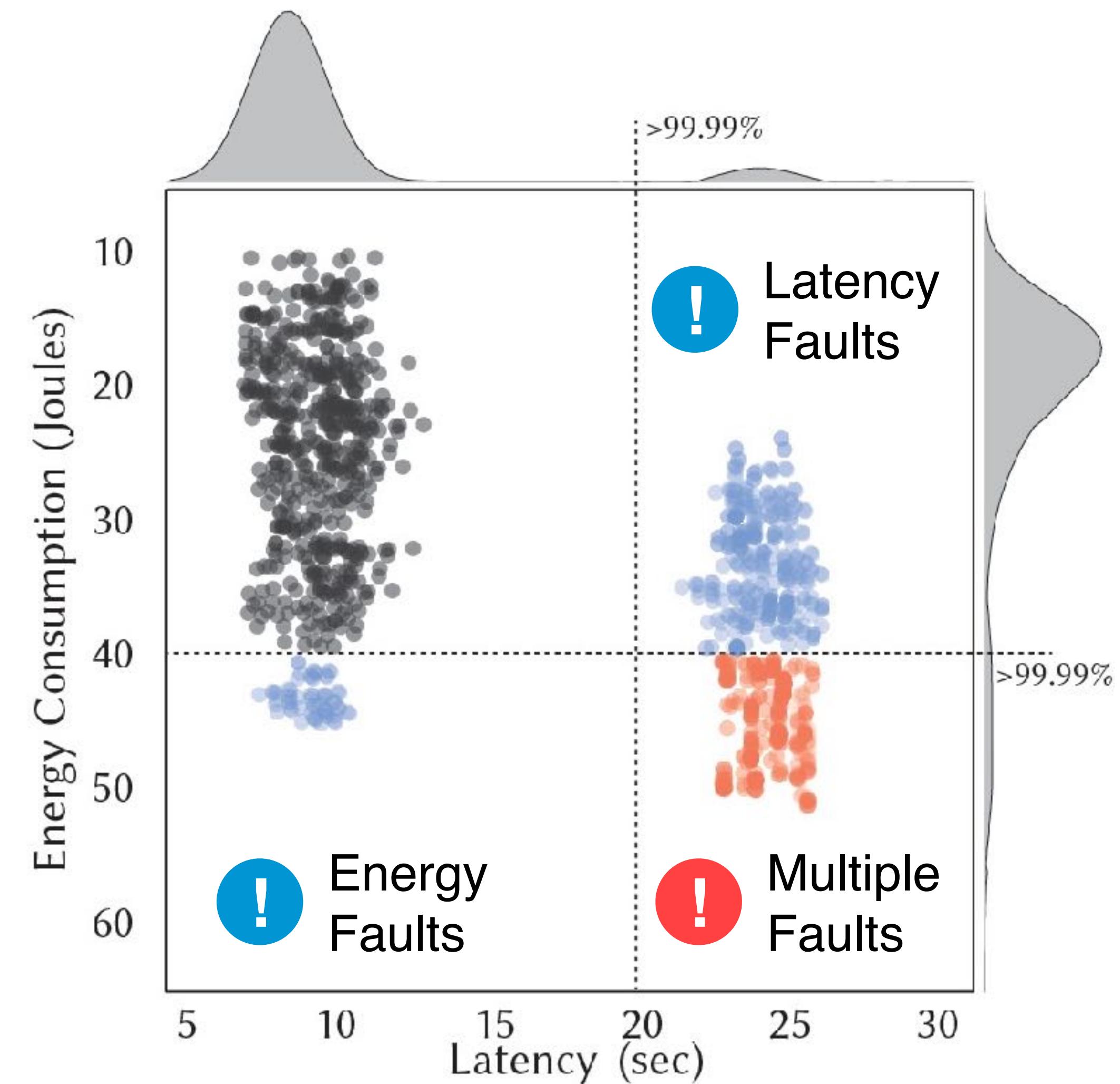
# Evaluation: Data Collection

- For each software/hardware combination create a **benchmark dataset**
  - Exhaustively set each of configuration option to **all permitted values**.
  - For continuous options (e.g., GPU memory Mem.), sample **10 equally spaced values** between [min, max]
- Measure the latency, energy consumption, and heat dissipation
  - Repeat **5x** and **average**



# Evaluation: Ground Truth

- For each performance fault:
  - Manually investigate the root-cause
  - “Fix” the misconfigurations
- A “fix” implies the configuration **no longer has tail performance**
  - User defined benchmark (i.e., 10<sup>th</sup> percentile)
  - Or some QoS/SLA benchmark
- **Record** the configurations that were changed



# Experimental Setup: Baselines

## Debugging

### **BugDoc: A System for Debugging Computational Pipelines**

Raoni Lourenço  
New York University  
raoni@nyu.edu

Juliana Freire  
New York University  
juliana.freire@nyu.edu

Dennis Shasha  
New York University  
shasha@courant.nyu.edu

### **Statistical Debugging for Real-World Performance Problems**

Linhai Song    Shan Lu \*  
University of Wisconsin–Madison  
{songlh, shanlu}@cs.wisc.edu

## Optimization

### **Sequential Model-Based Optimization for General Algorithm Configuration (extended version)**

Frank Hutter, Holger H. Hoos and Kevin Leyton-Brown

University of British Columbia, 2366 Main Mall, Vancouver BC, V6T 1Z4, Canada  
{hutter, hoos, kevinlb}@cs.ubc.ca

### **EnCore: Exploiting System Environment and Correlation Information for Misconfiguration Detection**

Jiaqi Zhang<sup>†</sup>, Lakshminarayanan Renganarayana<sup>§</sup>, Xiaolan Zhang<sup>§</sup>, Niyu Ge<sup>§</sup>,  
Vasanth Bala<sup>§</sup>, Tianyin Xu<sup>†</sup>, Yuanyuan Zhou<sup>†</sup>

<sup>†</sup>University of California San Diego  
{jiz013, tixu, yyzhou}@cs.ucsd.edu

<sup>§</sup>IBM Watson Research Center  
{lrengan, cxzhang, niyuge, vbala}@us.ibm.com

## **Iterative Delta Debugging**

**Cyrille Artho**

Research Center for Information Security (RCIS), AIST, Tokyo, Japan

### **Sequential Model-Based Optimization for General Algorithm Configuration (extended version)**

Frank Hutter, Holger H. Hoos and Kevin Leyton-Brown

University of British Columbia, 2366 Main Mall, Vancouver BC, V6T 1Z4, Canada  
{hutter, hoos, kevinlb}@cs.ubc.ca

### **Predictive Entropy Search for Multi-objective Bayesian Optimization**

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Harvard University, 33 Oxford street, Cambridge, MA 02138, USA.

**Amar Shah**

AS793@CAM.AC.UK

Cambridge University, Trumpington Street, Cambridge CB2 1PZ, United Kingdom.

**Ryan P. Adams**

RPA@SEAS.HARVARD.EDU

Harvard University and Twitter, 33 Oxford street Cambridge, MA 02138, USA.

# Results: Efficiency (Debugging; Single objective)

			Accuracy				Precision				Recall				Gain				Time <sup>†</sup>					
			UNICORN		CBI	DD	ENCORE	BugDoc	UNICORN		CBI	DD	ENCORE	BugDoc	UNICORN		CBI	DD	ENCORE	BugDoc	UNICORN			
TX2	Latency	DEEPSTREAM	87	61	62	65	81	83	66	59	60	71	80	61	65	60	70	88	66	67	68	79	0.8	4
		XCEPTION	86	53	42	62	65	86	67	61	63	67	83	64	68	69	62	82	48	42	57	59	0.6	4
		BERT	81	56	59	60	57	76	57	55	61	73	71	74	68	67	65	74	54	59	62	58	0.4	4
		DEEPSPEECH	81	61	59	60	72	76	58	69	61	71	81	73	61	63	69	76	59	53	55	66	0.7	4
		x264	83	59	63	62	62	82	69	58	65	66	78	64	67	63	72	85	69	72	68	71	1.4	4
XAVIER	Energy	DEEPSTREAM	91	81	79	77	87	81	61	62	64	73	85	63	61	62	75	86	68	62	61	78	0.7	4
		XCEPTION	84	66	63	63	81	78	56	58	66	65	80	69	55	63	68	83	59	50	51	62	0.4	4
		BERT	66	59	53	63	72	70	62	64	64	65	79	61	54	63	66	62	49	36	49	53	0.5	4
		DEEPSPEECH	73	68	63	72	71	75	55	59	54	68	78	53	52	59	71	78	64	48	65	63	1.2	4
		x264	77	71	70	74	74	83	63	53	61	66	78	67	53	54	72	87	73	71	76	76	0.3	4

Find root causes  
more accurately than  
ML-based methods

Better gain  
Up to 20x  
faster

# Results: Efficiency (Debugging; Multi-objective)

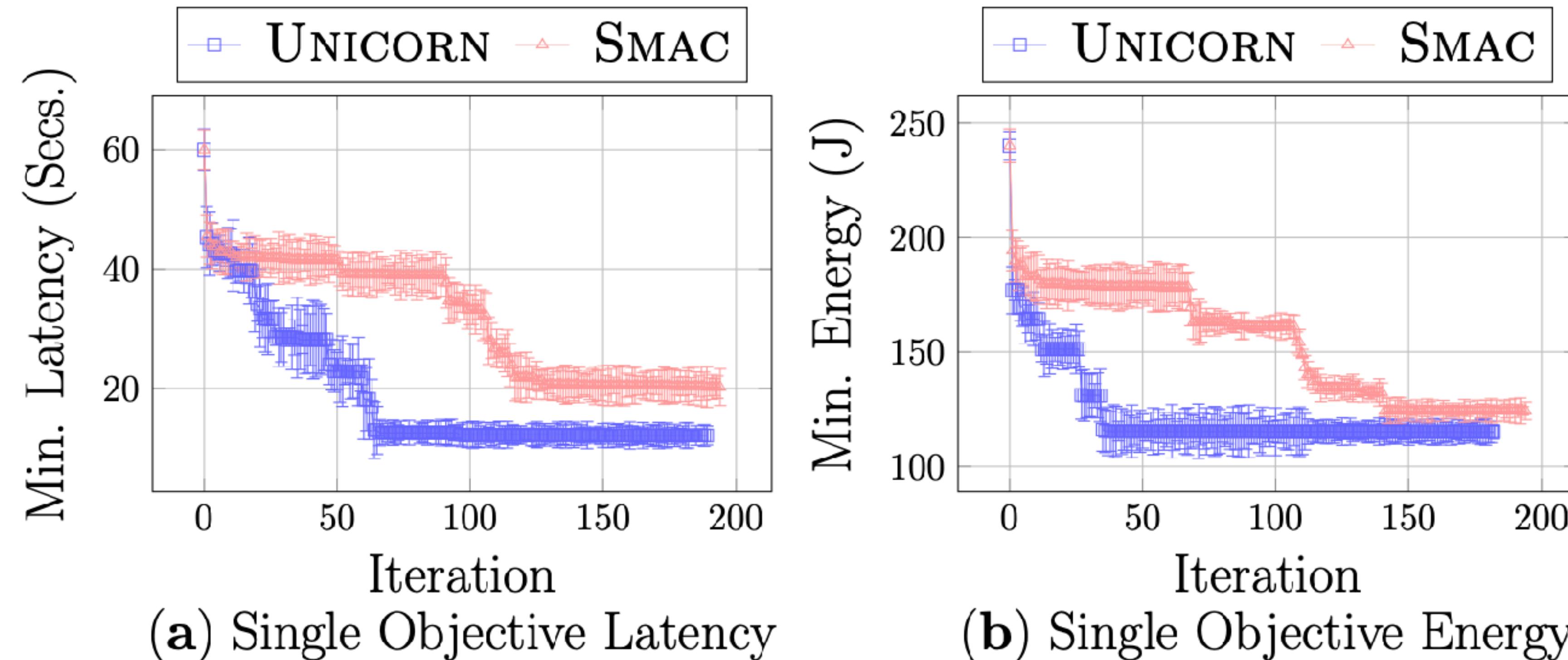
	Energy + Latency	Accuracy				Precision				Recall				Gain (Latency)				Gain (Energy)				Time <sup>†</sup>	
		UNICORN	CBI	ENCORE	BugDoc	UNICORN	CBI	ENCORE	BugDoc	UNICORN	CBI	ENCORE	BugDoc	UNICORN	CBI	ENCORE	BugDoc	UNICORN	CBI	ENCORE	BugDoc	UNICORN	Others
Energy + Latency	XCEPTION	89	76	81	79	77	53	54	62	81	59	59	62	84	53	61	65	75	38	46	44	0.9	4
	BERT	71	72	73	71	77	42	56	63	79	59	62	65	84	53	59	61	67	41	27	48	0.5	4
	DEEPSPEECH	86	69	71	72	80	44	53	62	81	51	59	64	88	55	55	62	77	43	43	41	1.1	4
	x264	85	73	83	81	83	50	54	67	80	63	62	61	75	62	64	66	76	64	66	64	1	4

<sup>†</sup> Wallclock time in hours

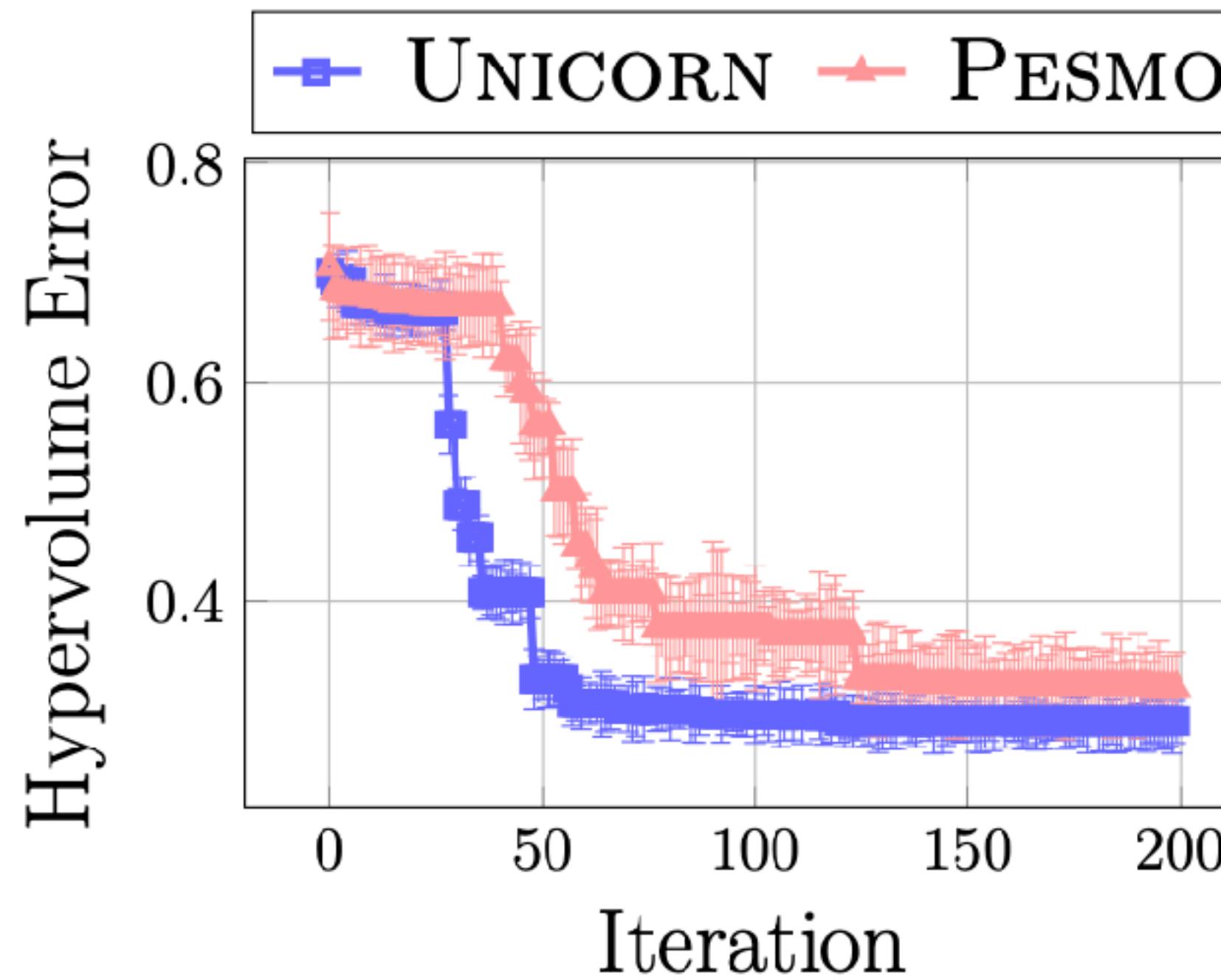
Multiple Faults  
in Latency &  
Energy usage

Better gain across both objectives

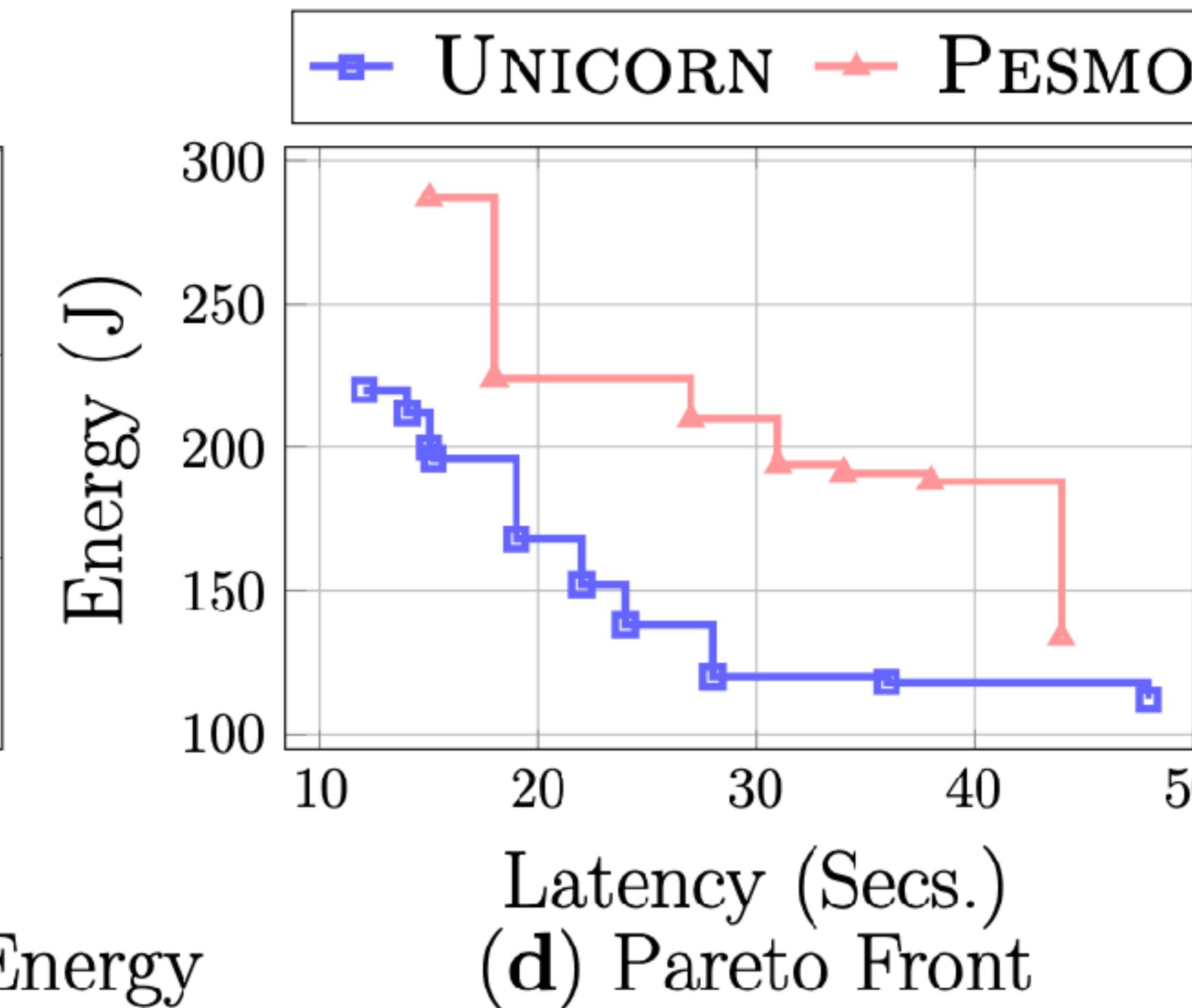
# Results: Efficiency (Optimization; Single objective)



# Results: Efficiency (Optimization; Multi-objective)

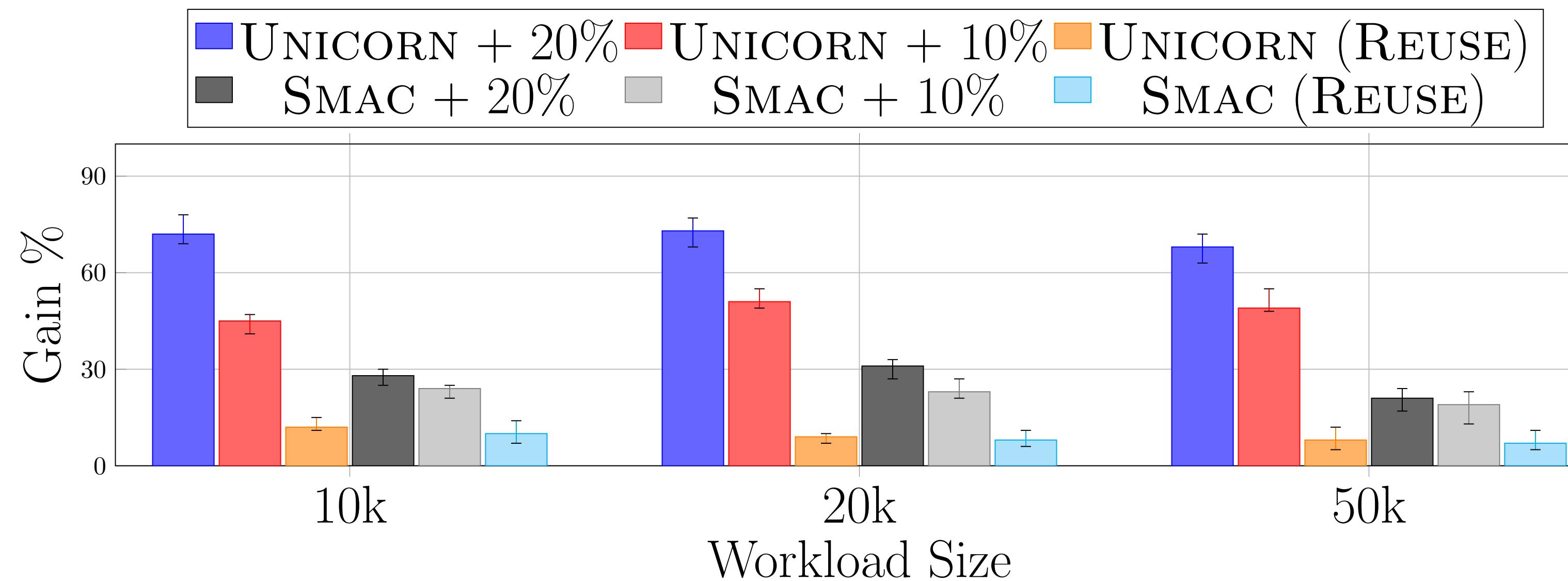


(c) Multi-Objective Latency and Energy



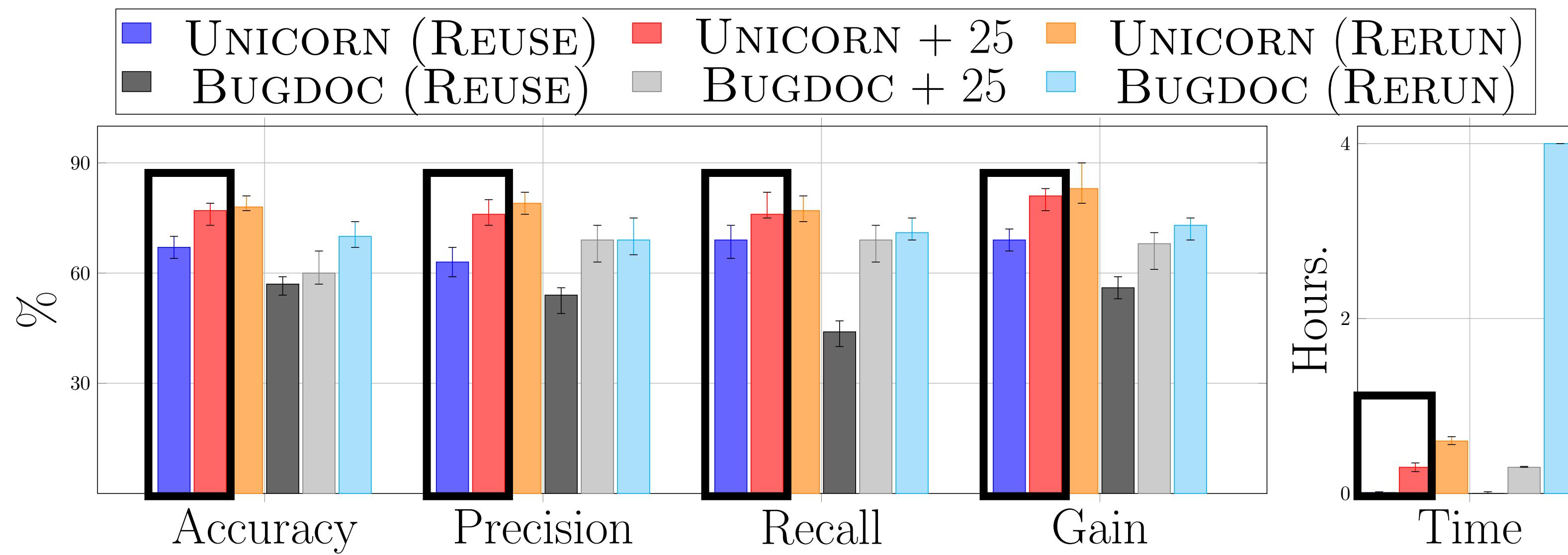
(d) Pareto Front

# Results: Transferability



UNICORN finds configuration with higher gain when workload changes.

# Results: Transferability



UNICORN quickly fixes the bug and achieves higher gain, accuracy, precision and recall when hardware changes

# Results: Scalability

System	Configs	Events	Paths	Queries	Degree	Gain (%)	Time/Fault (in sec.)		
							Discovery	Query Eval	Total
SQLITE	34	19	32	191	3.6	93	9	14	291
	242	19	111	2234	1.9	94	57	129	1345
	242	288	441	22372	1.6	92	111	854	5312
DEEPSTREAM	53	19	43	497	3.1	86	16	32	1509
	53	288	219	5008	2.3	85	97	168	3113

Discovery time, query evaluation time and total time **do not increase exponentially** as the number of configuration options and systems events are increased

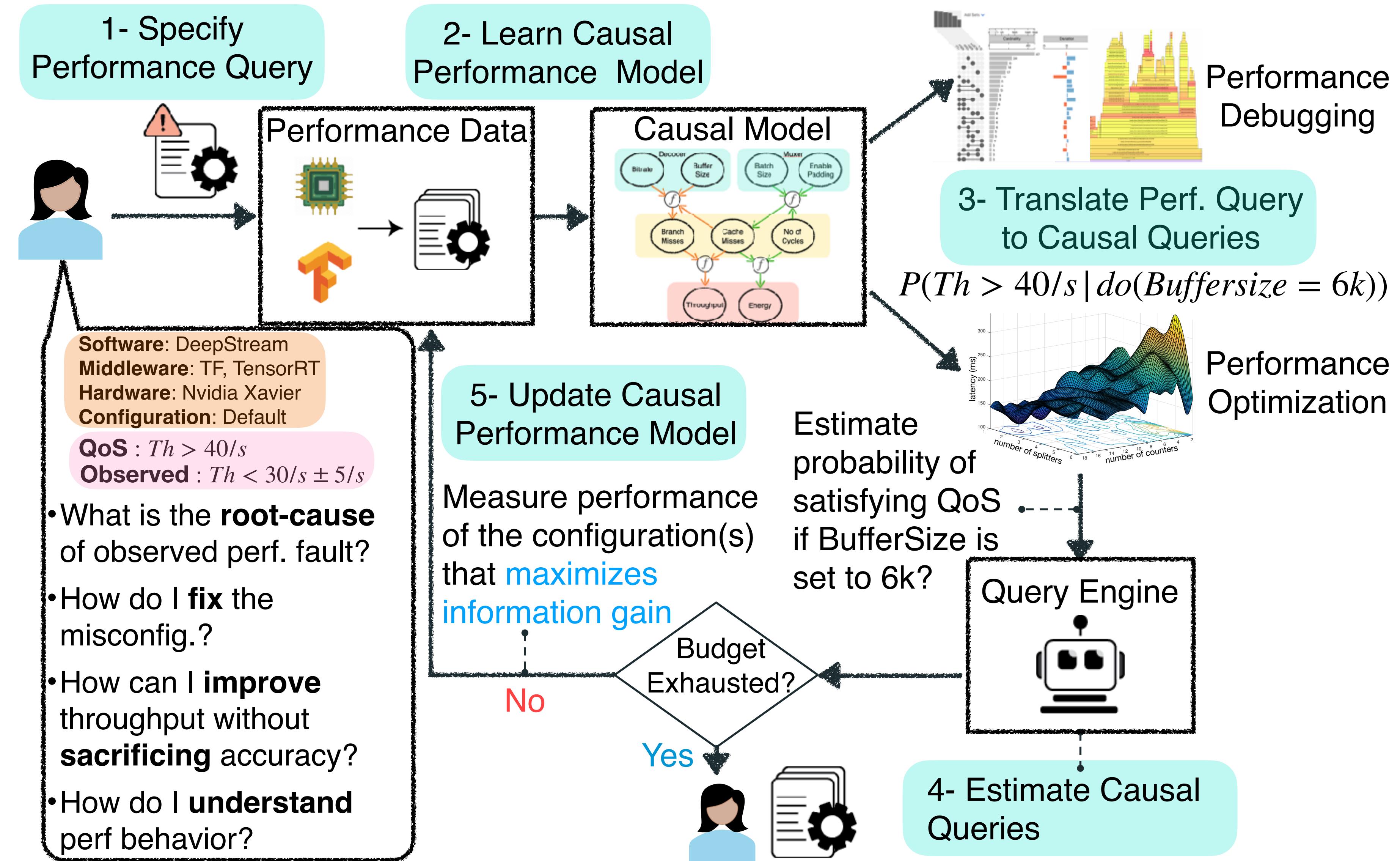
# Results: Scalability

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DEEPSTREAM	53	19	43	497	3.1	86	16	32	1509
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Causal graphs are  
sparse

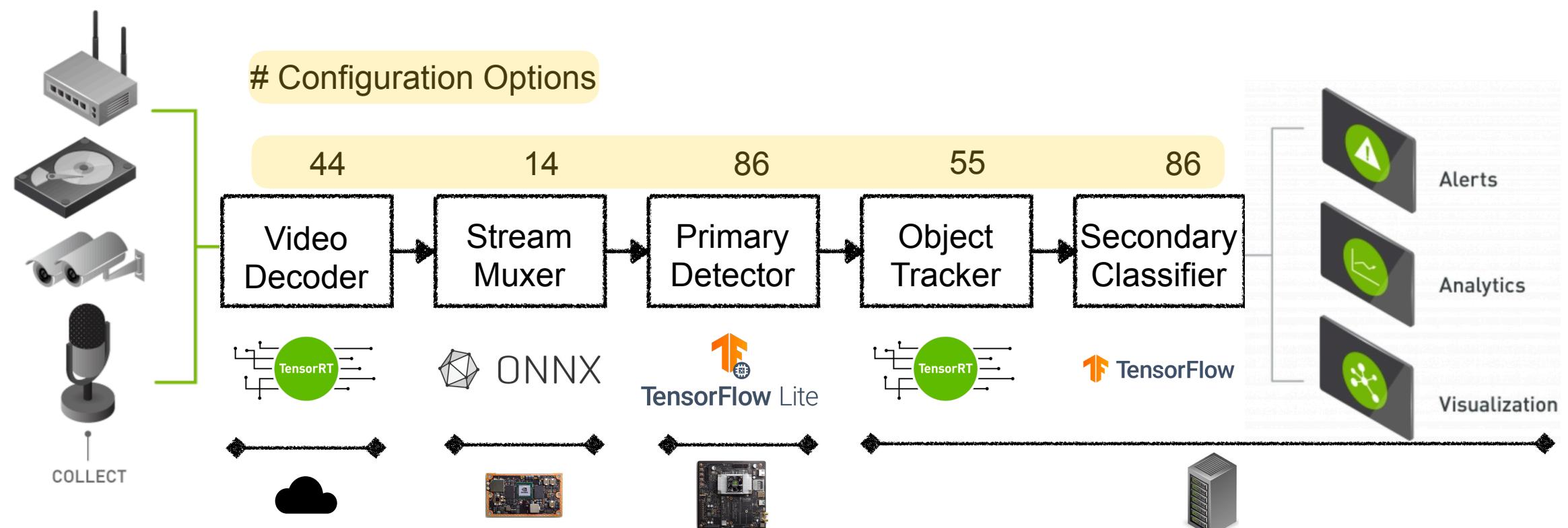
# Summary: Causal AI for Systems

1. Learning a **causal performance model** for different downstream systems tasks.
2. The learned causal model is **transferable** across different environments.
3. The causal reasoning approach is **scalable** to large-scale systems.



The variability space of today's systems is exponentially increasing

Systems are heterogeneous, multiscale, multi-modal, and multi-stream



## Evaluation: Experimental Setup

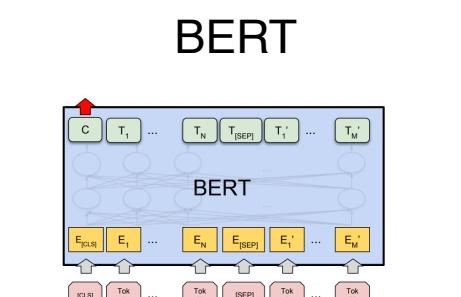
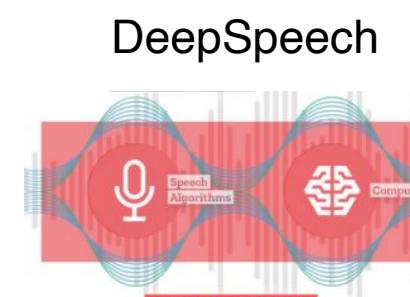
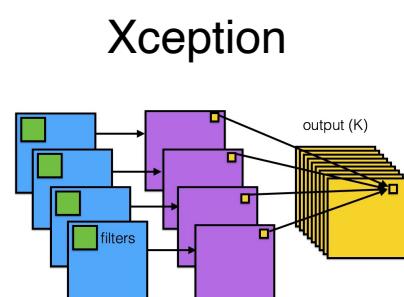
### Hardware

Nvidia TX1	
CPU	4 cores, 1.3 GHz
GPU	128 Cores, 0.9 GHz
Memory	4 Gb, 25 GB/s

Nvidia TX2	
CPU	6 cores, 2 GHz
GPU	256 Cores, 1.3 GHz
Memory	8 Gb, 58 GB/s

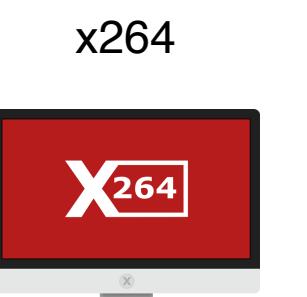
Nvidia Xavier	
CPU	8 cores, 2.26 GHz
GPU	512 Cores, 1.3 GHz
Memory	32 Gb, 137 GB/s

### Systems

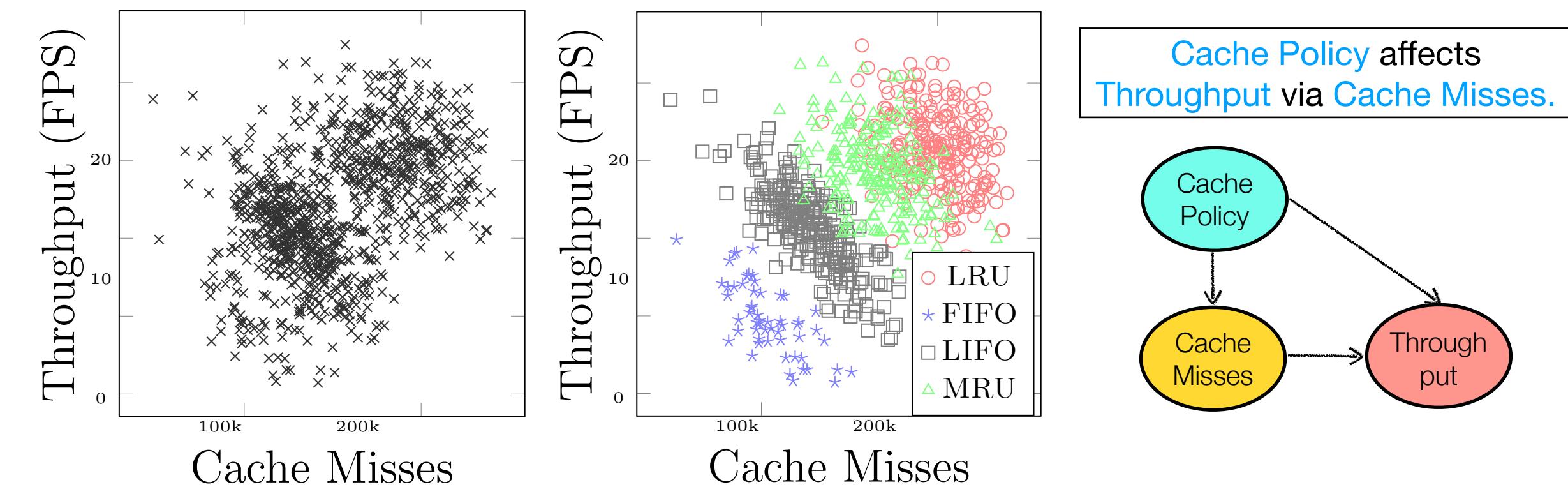


### Configuration Space

- X 30 Configurations
  - 10 software
  - 10 OS/Kernel
  - 10 hardware
- X 17 System Events



Causal performance models produce correct explanations



Causal performance models capture correct interactions.

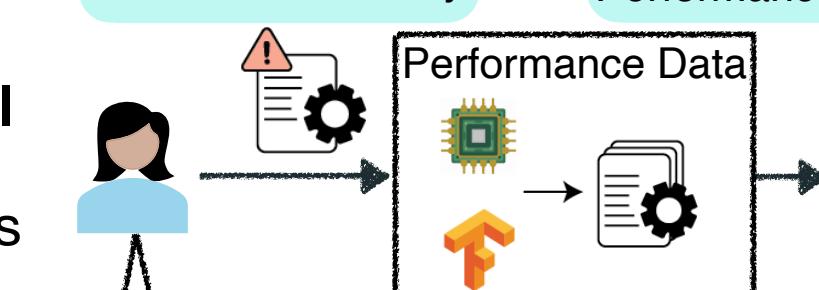
## Summary: Causal AI for Systems

1. Learning a **causal performance model** for different downstream systems tasks.

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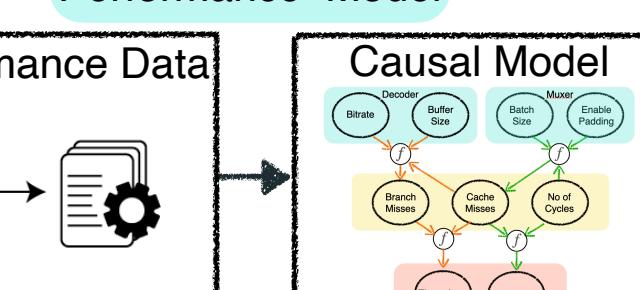
3. The causal reasoning approach is **scalable** to large-scale systems.

1- Specify Performance Query

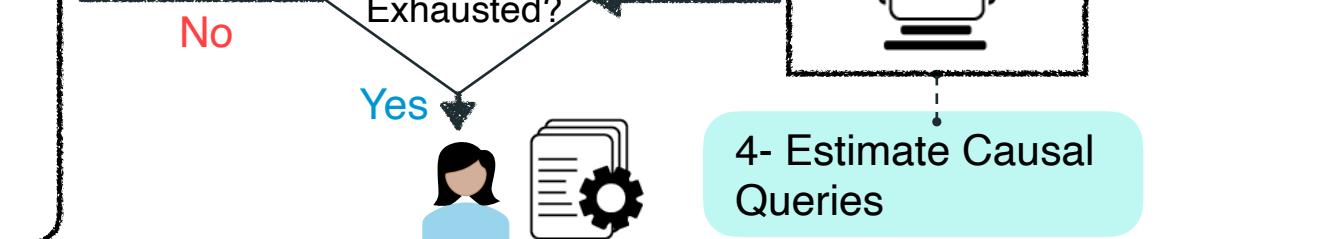
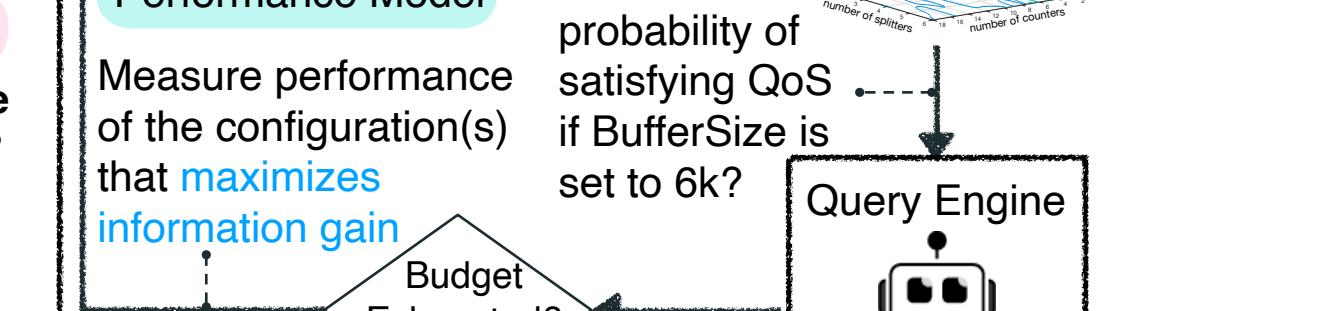


- Software: DeepStream  
Middleware: TF, TensorRT  
Hardware: Nvidia Xavier Configuration: Default  
QoS :  $Th > 40/s$   
Observed :  $Th < 30/s \pm 5/s$
- What is the **root-cause** of observed perf. fault?
  - How do I **fix** the misconfig.?
  - How can I **improve** throughput without **sacrificing** accuracy?
  - How do I **understand** perf behavior?

2- Learn Causal Performance Model

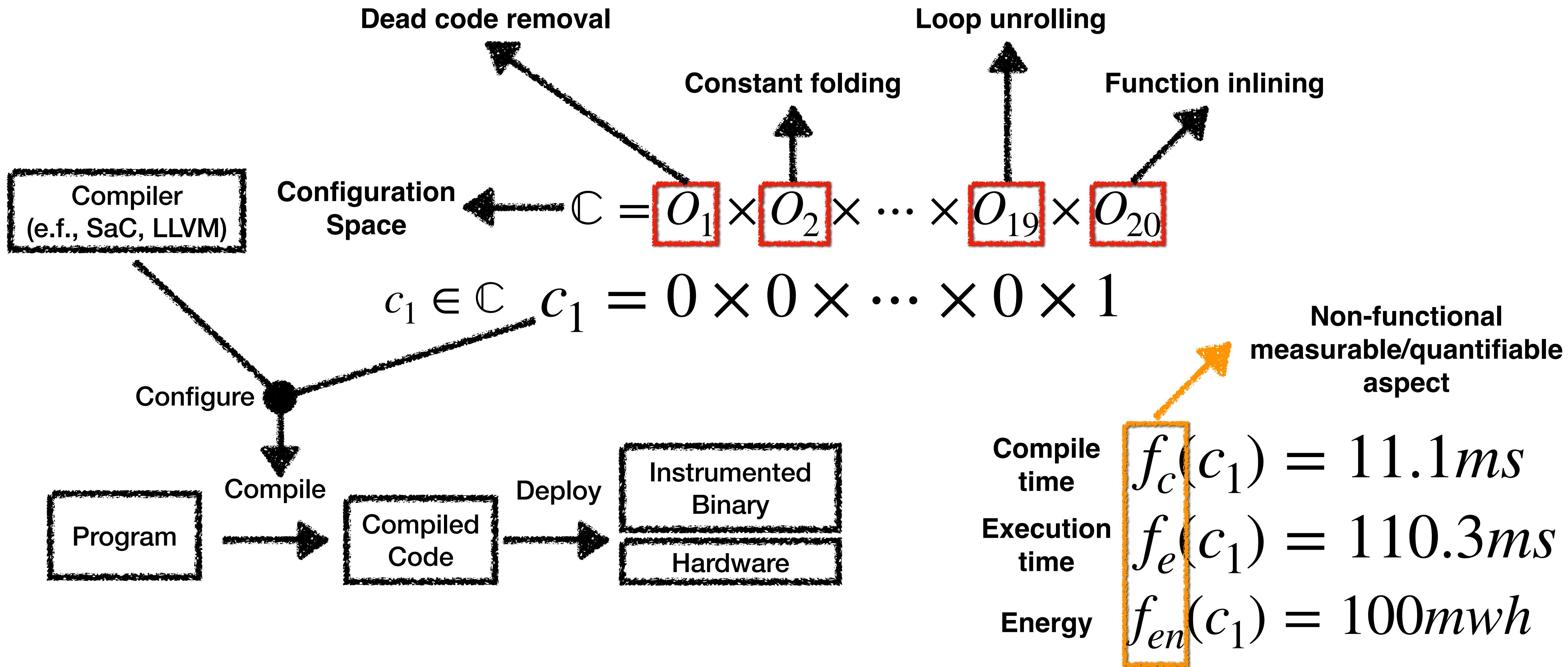


3- Translate Perf. Query to Causal Queries



# **How to resolve these issues faster?**

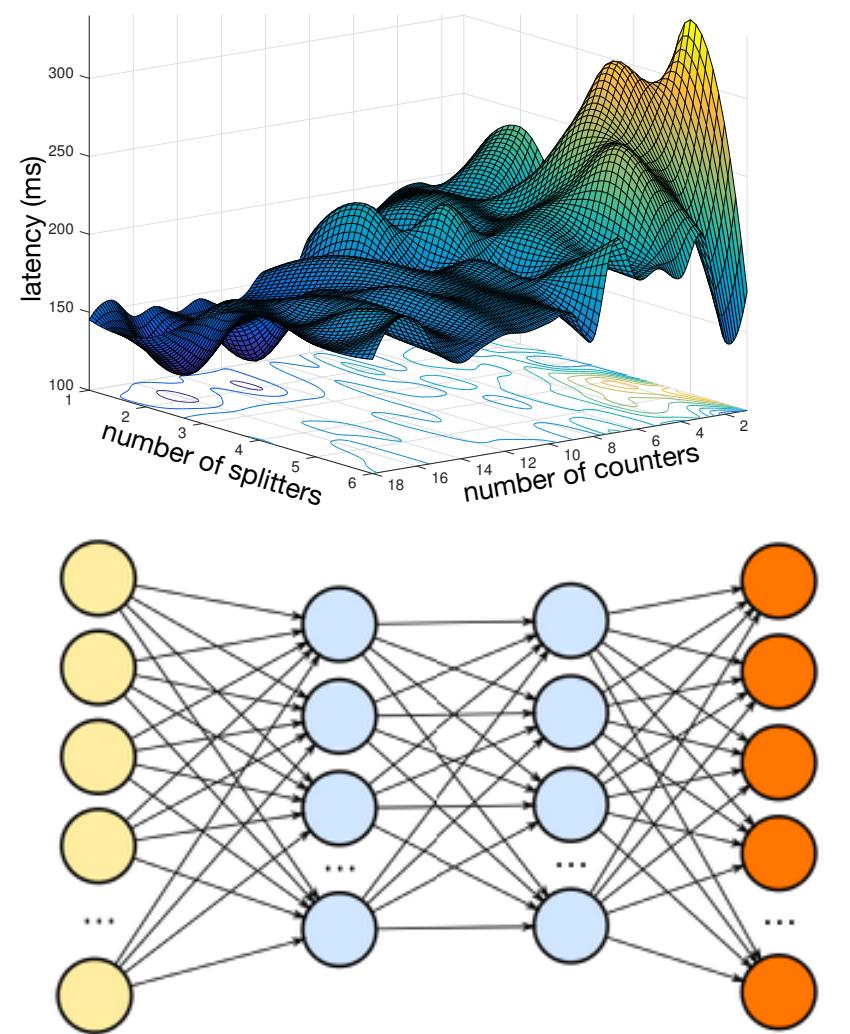
# Performance measurement



# Performance Influence Models

	Bitrate (bits/s)	Enable Padding	...	Cache Misses	...	Through put (fps)
c <sub>1</sub>	1k	1	...	42m	...	7
c <sub>2</sub>	2k	1	...	32m	...	22
...	...	...	...	...	...	...
c <sub>n</sub>	5k	0	...	12m	...	25

Observational Data



Black-box models



Throughput

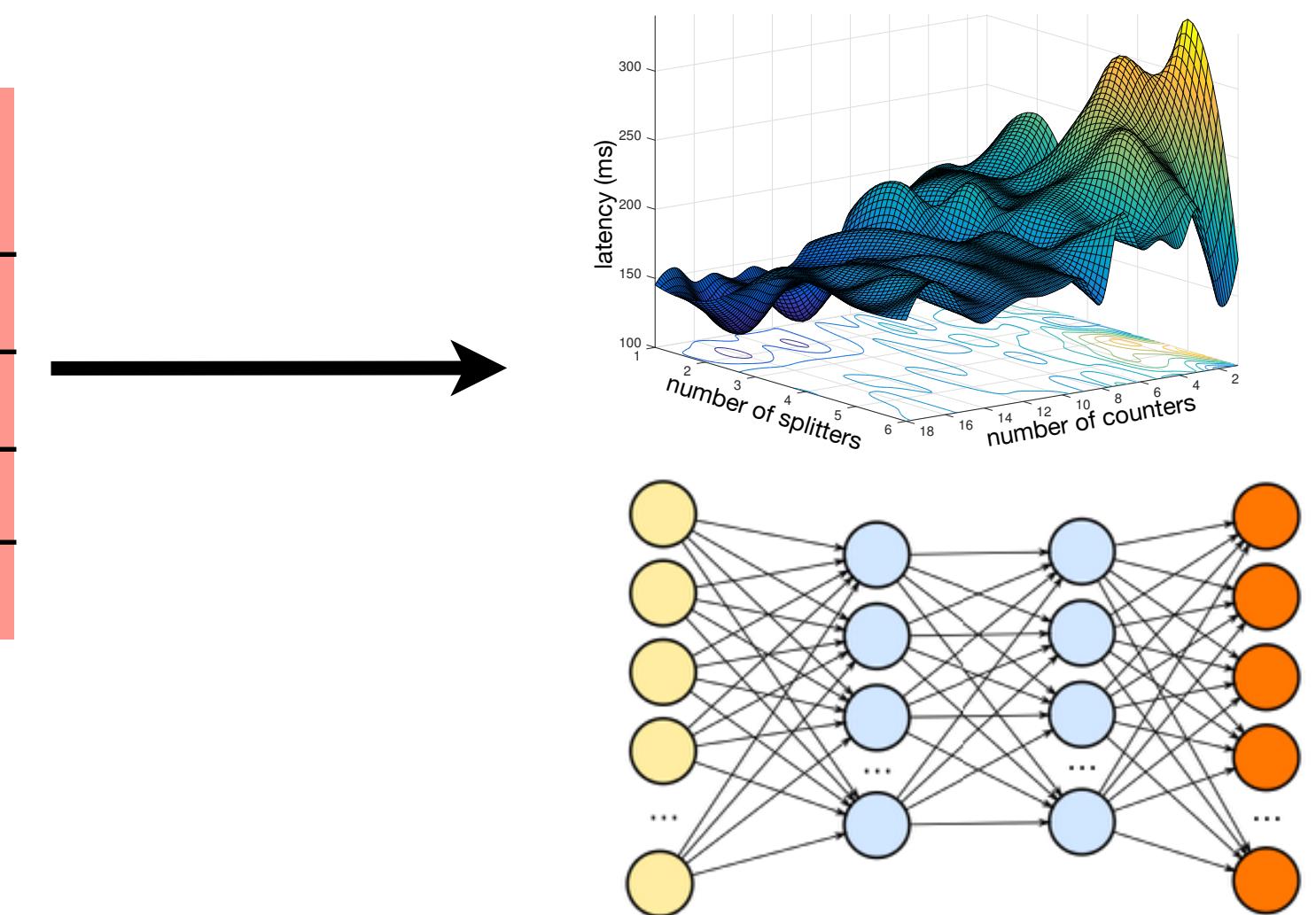
$$\begin{aligned} \text{Options} &\downarrow \\ \text{Throughput} = & 5.1 \times \text{Bitrate} + 2.5 \times \text{BatchSize} \\ &+ 12.3 \times \text{Bitrate} \times \text{BatchSize} \\ &\uparrow \\ \text{Options} &\downarrow \\ \text{Discovered} &\text{Interactions} \end{aligned}$$

Regression Equation

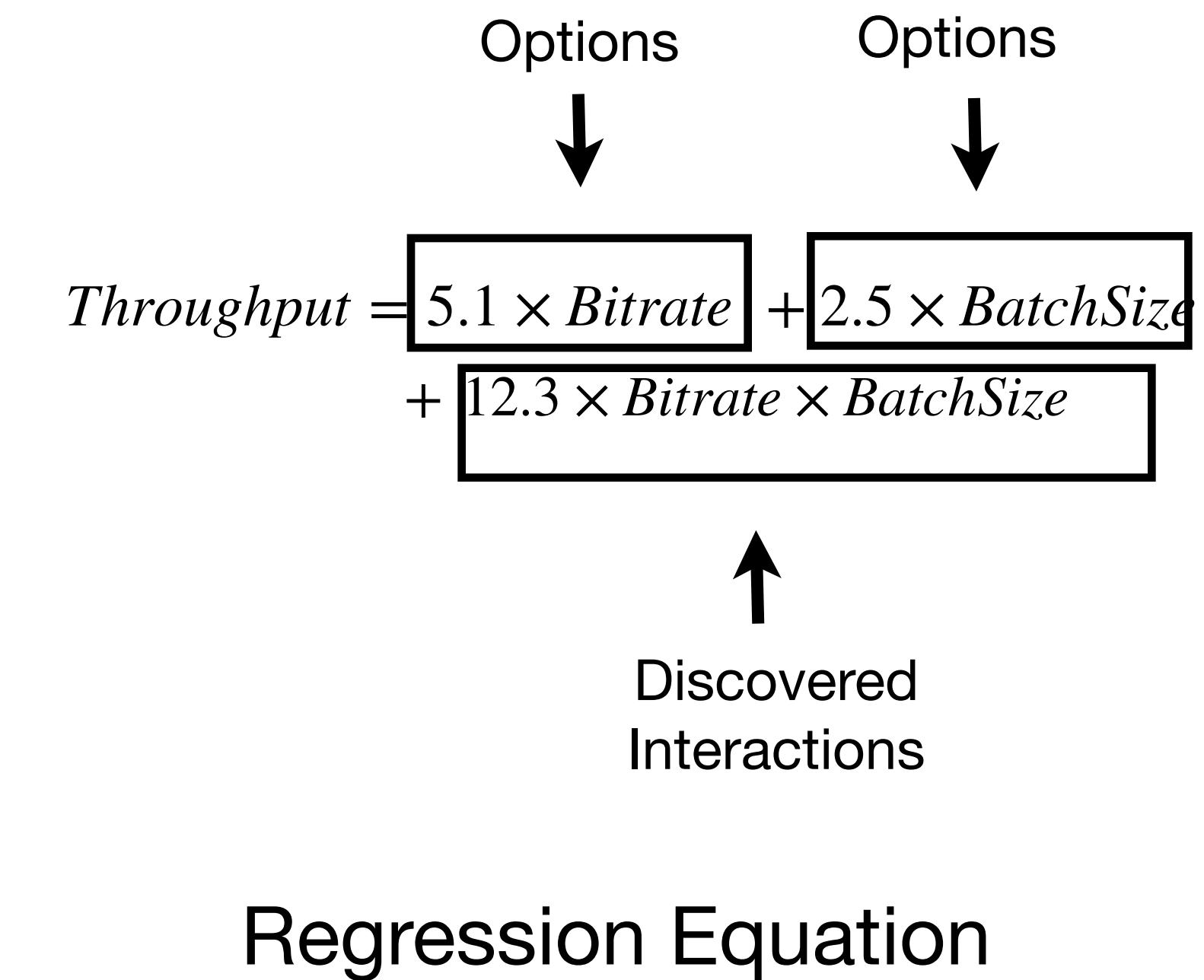
# Performance Influence Models

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c <sub>1</sub>	1k	1	...	42m	...	7
c <sub>2</sub>	2k	1	...	32m	...	22
...	...	...	...	...	...	...
c <sub>n</sub>	5k	0	...	12m	...	25

Observational Data



Black-box models



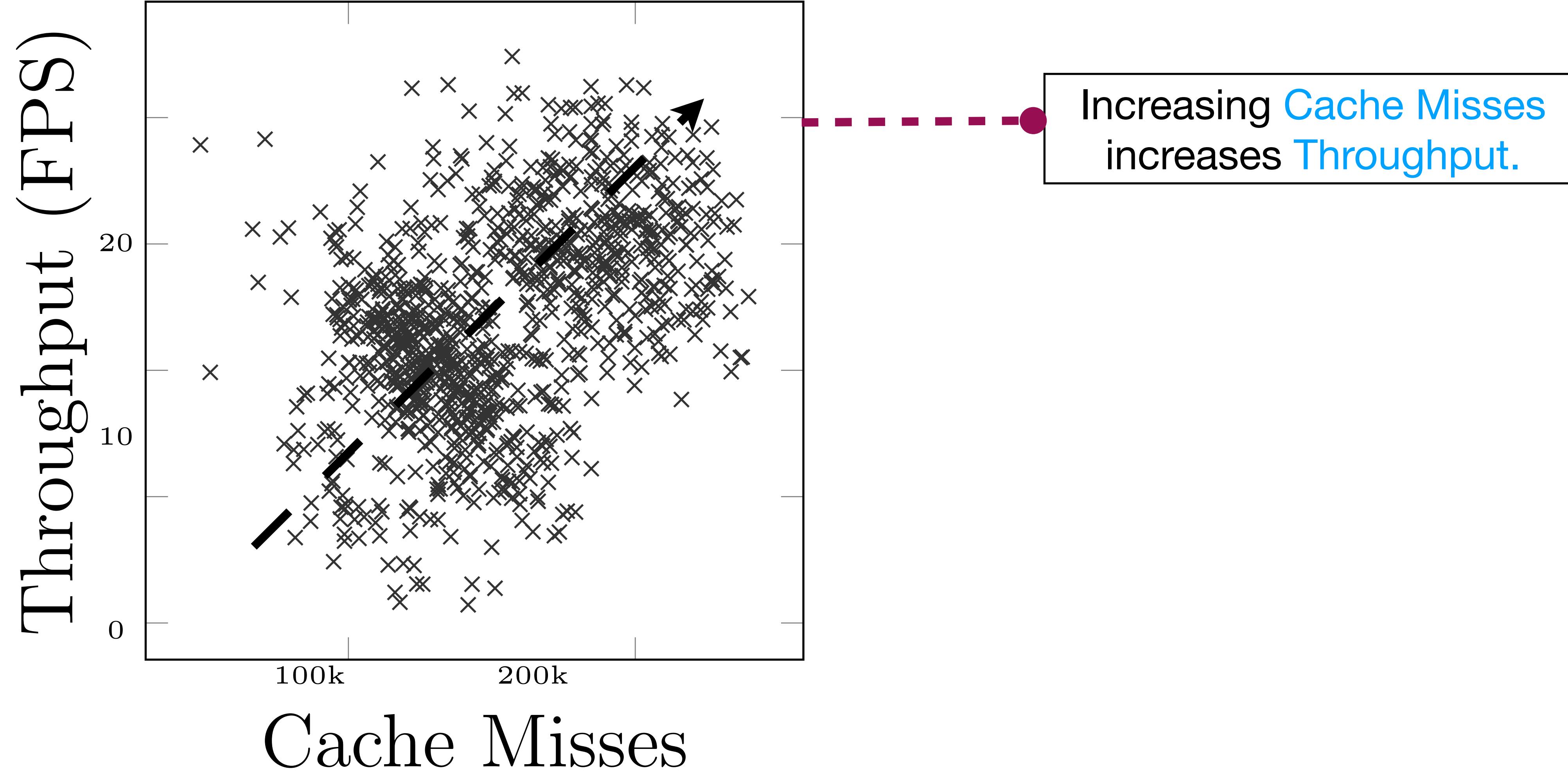
Regression Equation

These methods rely on **statistical correlations** to extract **meaningful information** required for performance tasks.

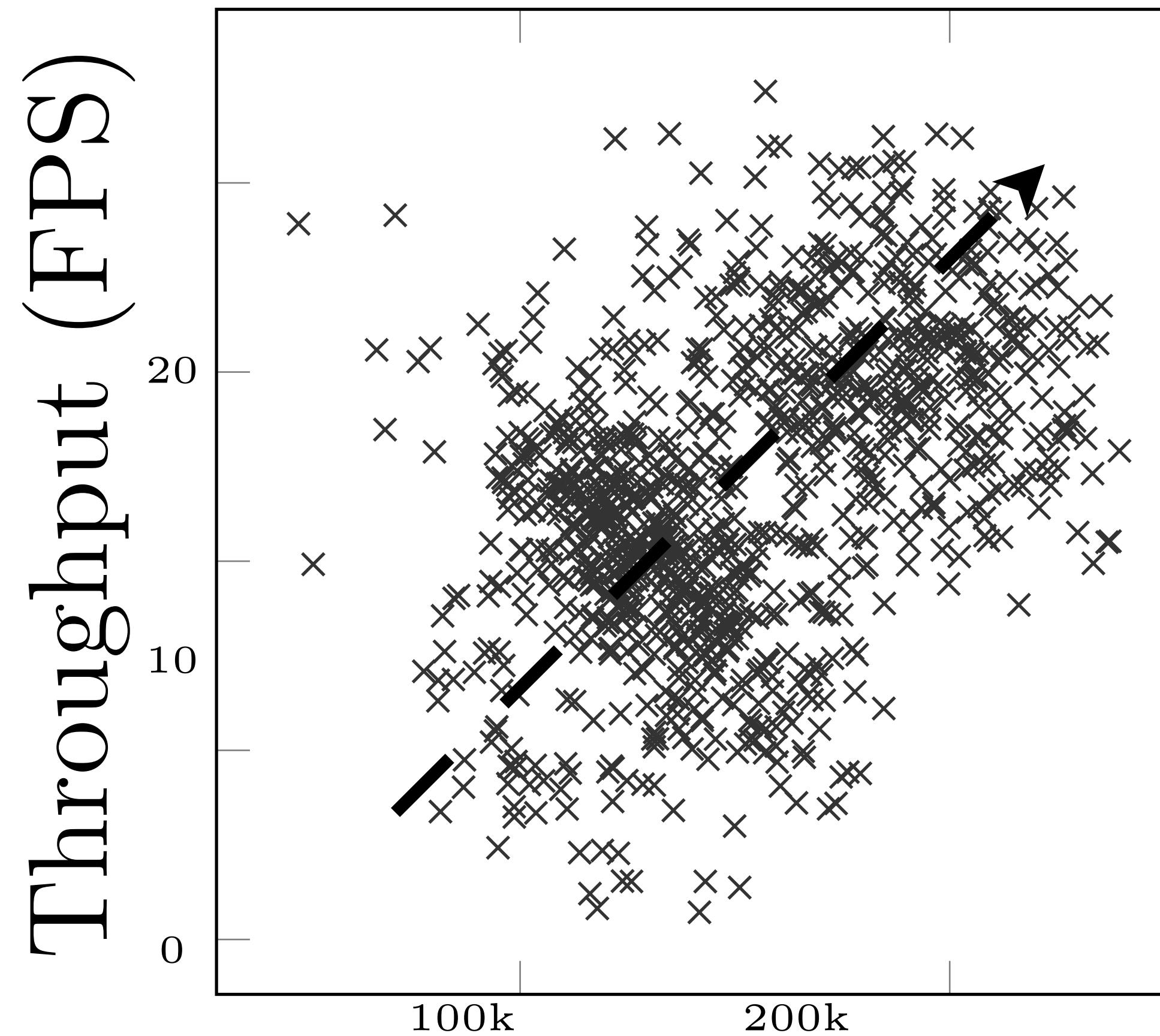
# Performance Influence Models suffer from several shortcomings

- Performance influence models could produce **incorrect explanations**
- Performance influence models could produce **unreliable predictions.**
- Performance influence models could produce **unstable predictions** across environments and in the presence of measurement noise.

# Performance Influence Models Issue: Incorrect Explanation



# Performance Influence Models Issue: Incorrect Explanation



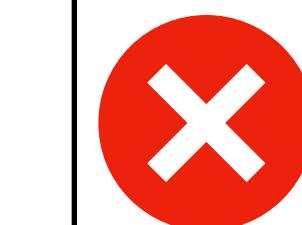
Cache Misses

Throughput (FPS)

Increasing Cache Misses increases Throughput.

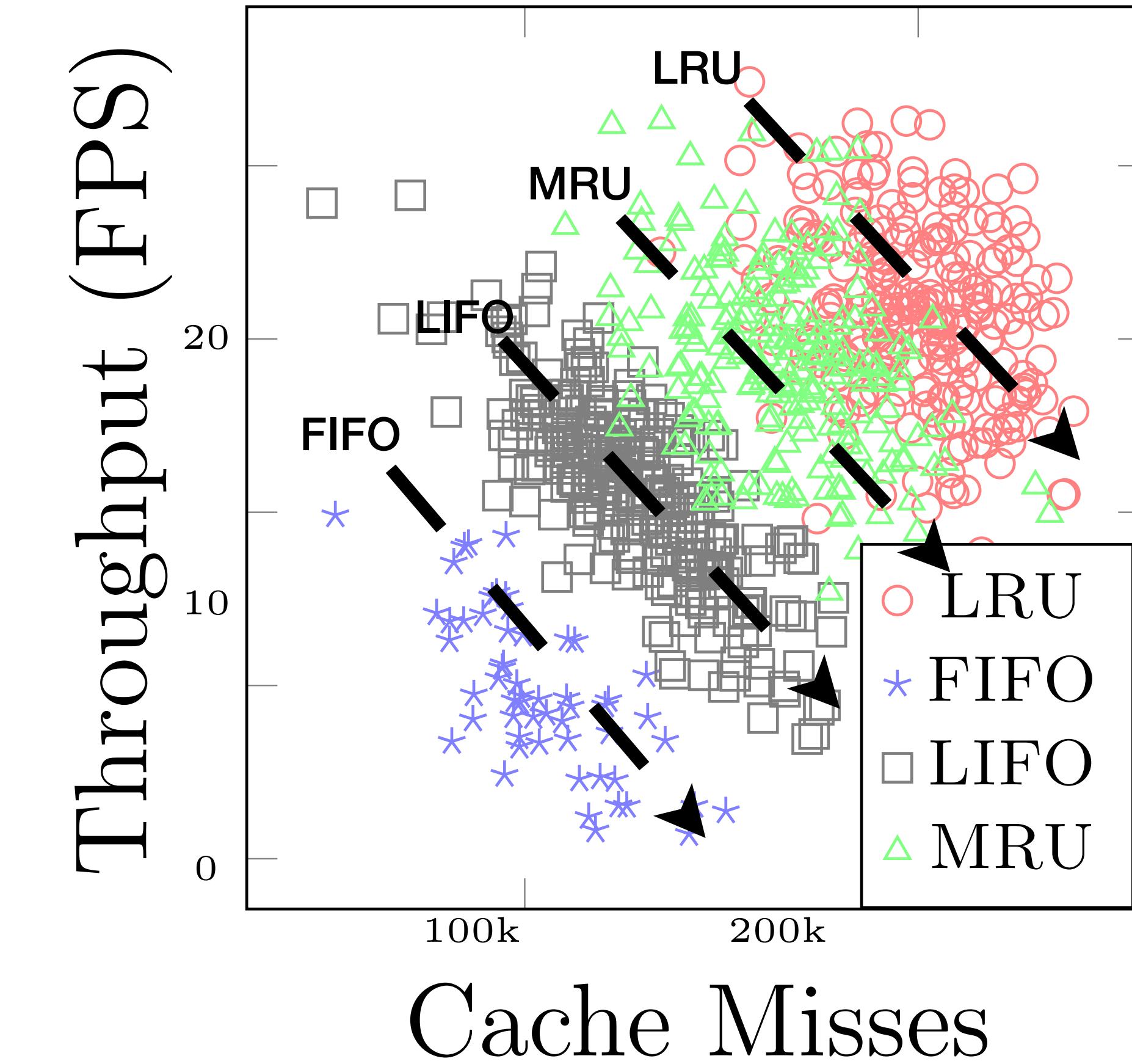
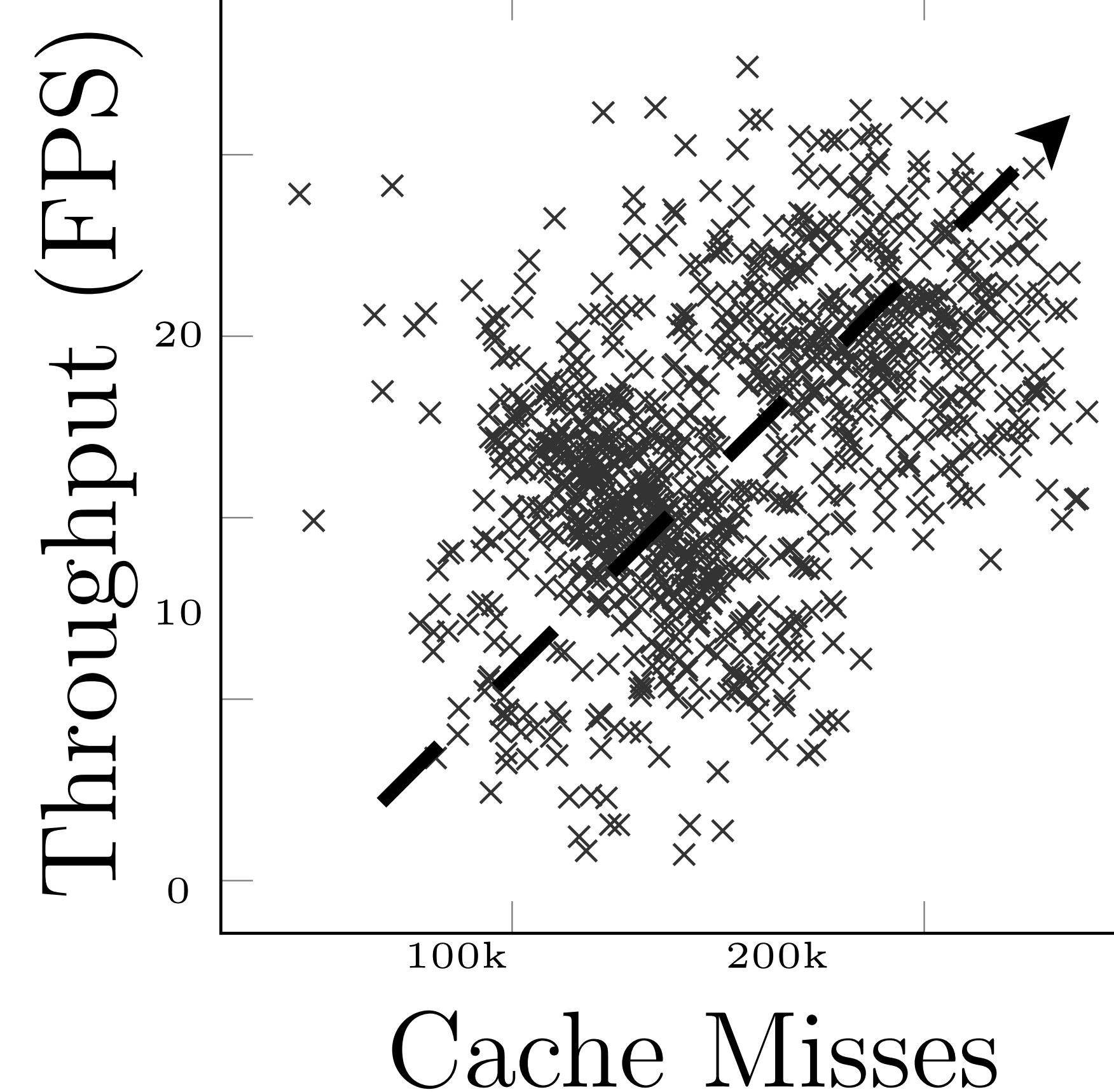
This is counter-intuitive

More Cache Misses should reduce Throughput not increase it



Any ML/statistical models built on this data will be incorrect.

# Performance Influence Models Issue: Incorrect Explanation



Segregating data on **Cache Policy** indicates that within **each group**  
**Increase of Cache Misses** result in a **decrease in Throughput**.

# Performance Influence Models Issue: Unstable Predictors

## Performance influence model in TX2.

$$\begin{aligned} \text{Throughput} = & 2 \times \text{Bitrate} + 1.9 \times \text{BatchSize} + 1.8 \times \text{BufferSize} + 0.5 \times \text{EnablePadding} + 5.9 \times \text{Bitrate} \times \text{BufferSize} \\ & + 6.2 \times \text{Bitrate} \times \text{EnablePadding} + 4.1 \times \text{Bitrate} \times \text{BufferSize} \times \text{EnablePadding} \end{aligned}$$

## Performance influence model in Xavier.

$$\text{Throughput} = 5.1 \times \text{Bitrate} + 2.5 \times \text{BatchSize} + 12.3 \times \text{Bitrate} \times \text{BatchSize}$$



Performance Influence Models **change significantly** in new environments resulting in less accuracy.

# Performance Influence Models Issue: Unstable Predictors

## Performance influence model in TX2.

$$\begin{aligned} \text{Throughput} = & [2 \times \text{Bitrate}] + [1.9 \times \text{BatchSize}] + 1.8 \times \text{BufferSize} + 0.5 \times \text{EnablePadding} + 5.9 \times \text{Bitrate} \times \text{BufferSize} \\ & + 6.2 \times \text{Bitrate} \times \text{EnablePadding} + 4.1 \times \text{Bitrate} \times \text{BufferSize} \times \text{EnablePadding} \end{aligned}$$

## Performance influence model in Xavier.

$$\text{Throughput} = [5.1 \times \text{Bitrate}] + [2.5 \times \text{BatchSize}] + 12.3 \times \text{Bitrate} \times \text{BatchSize}$$



Performance influence are cannot be **reliably**  
**used** across environments.

# Performance Influence Models Issue: Non-generalizability

## Performance influence model in TX2

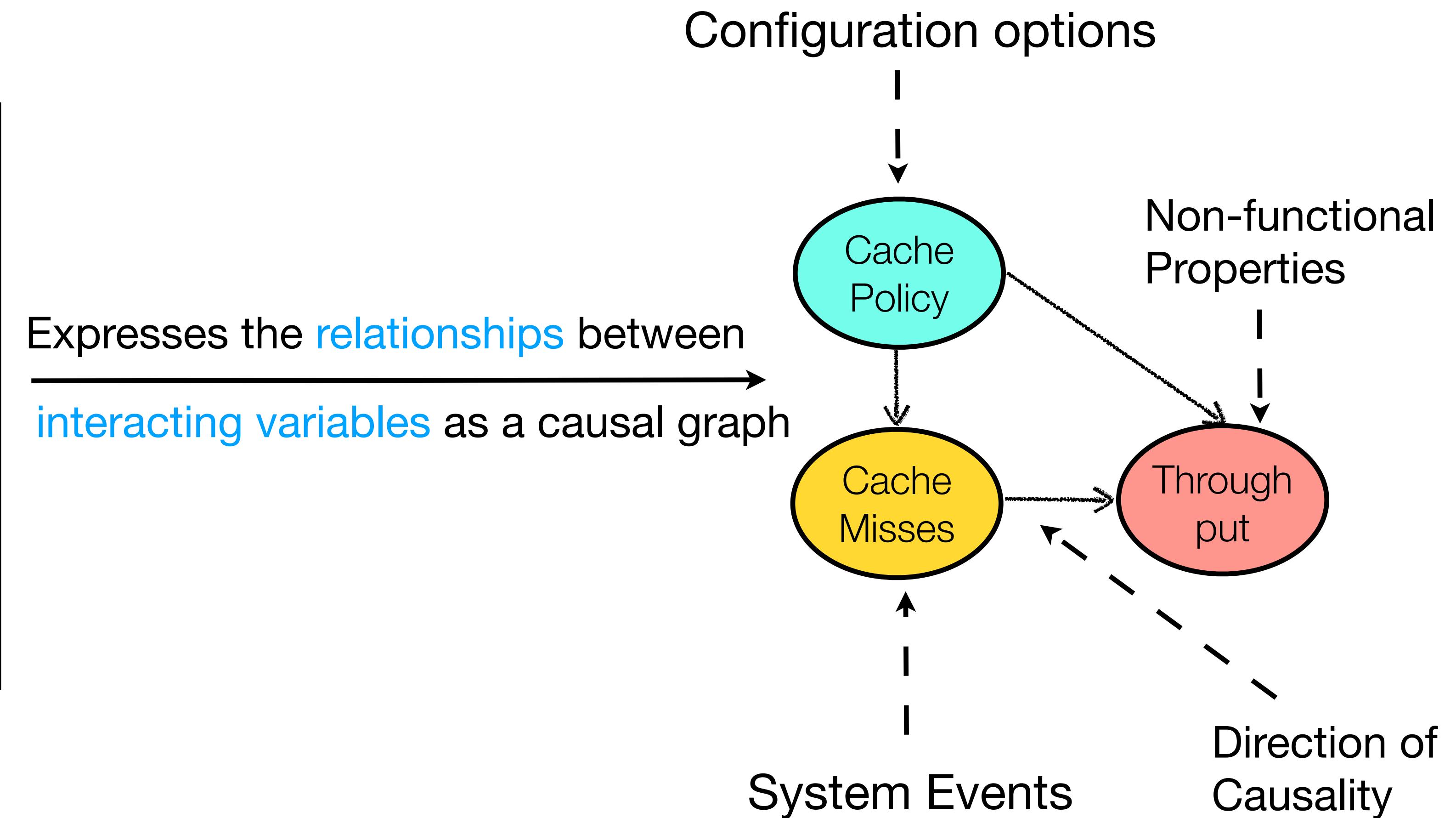
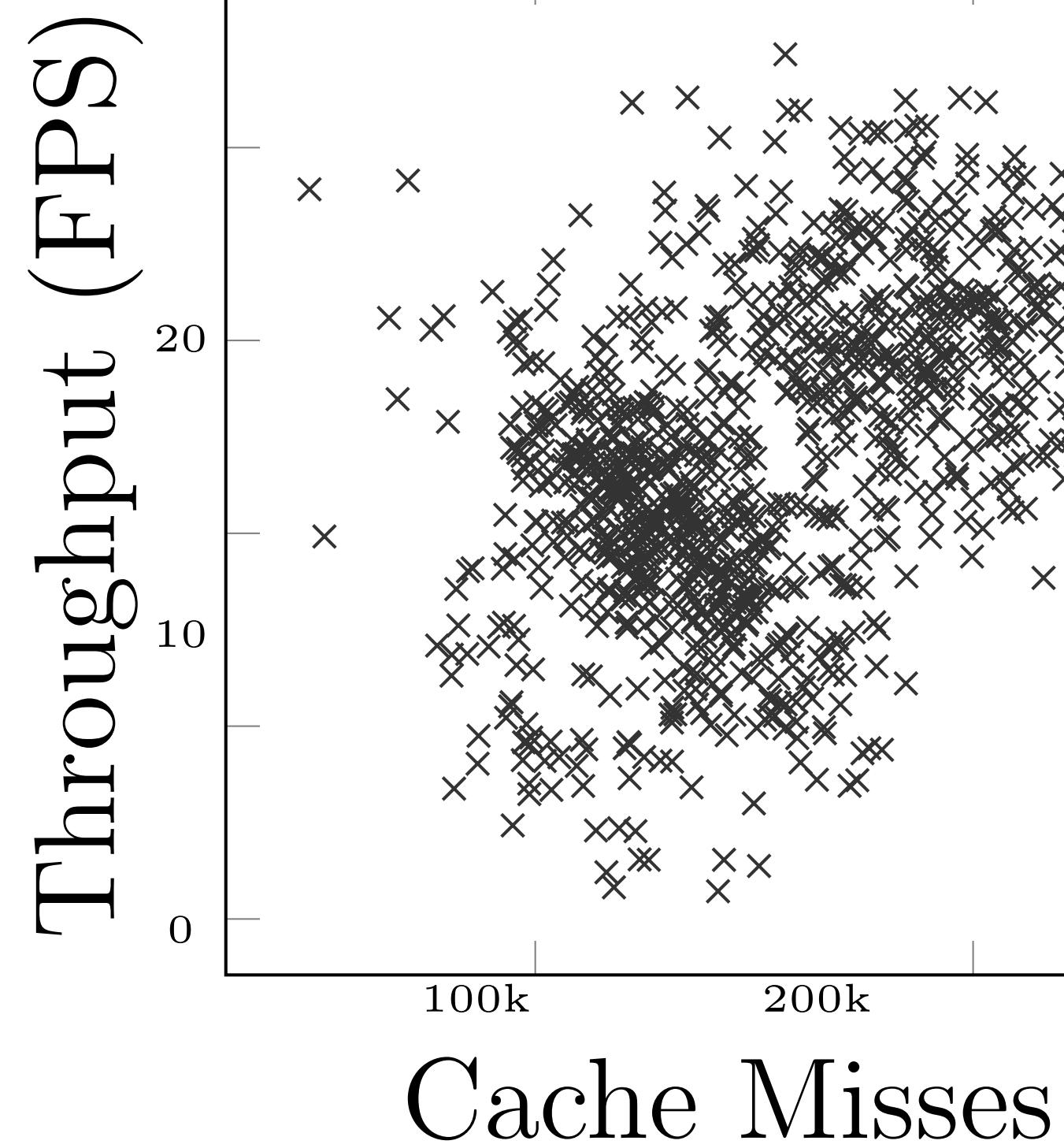
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## Performance influence model in Xavier.

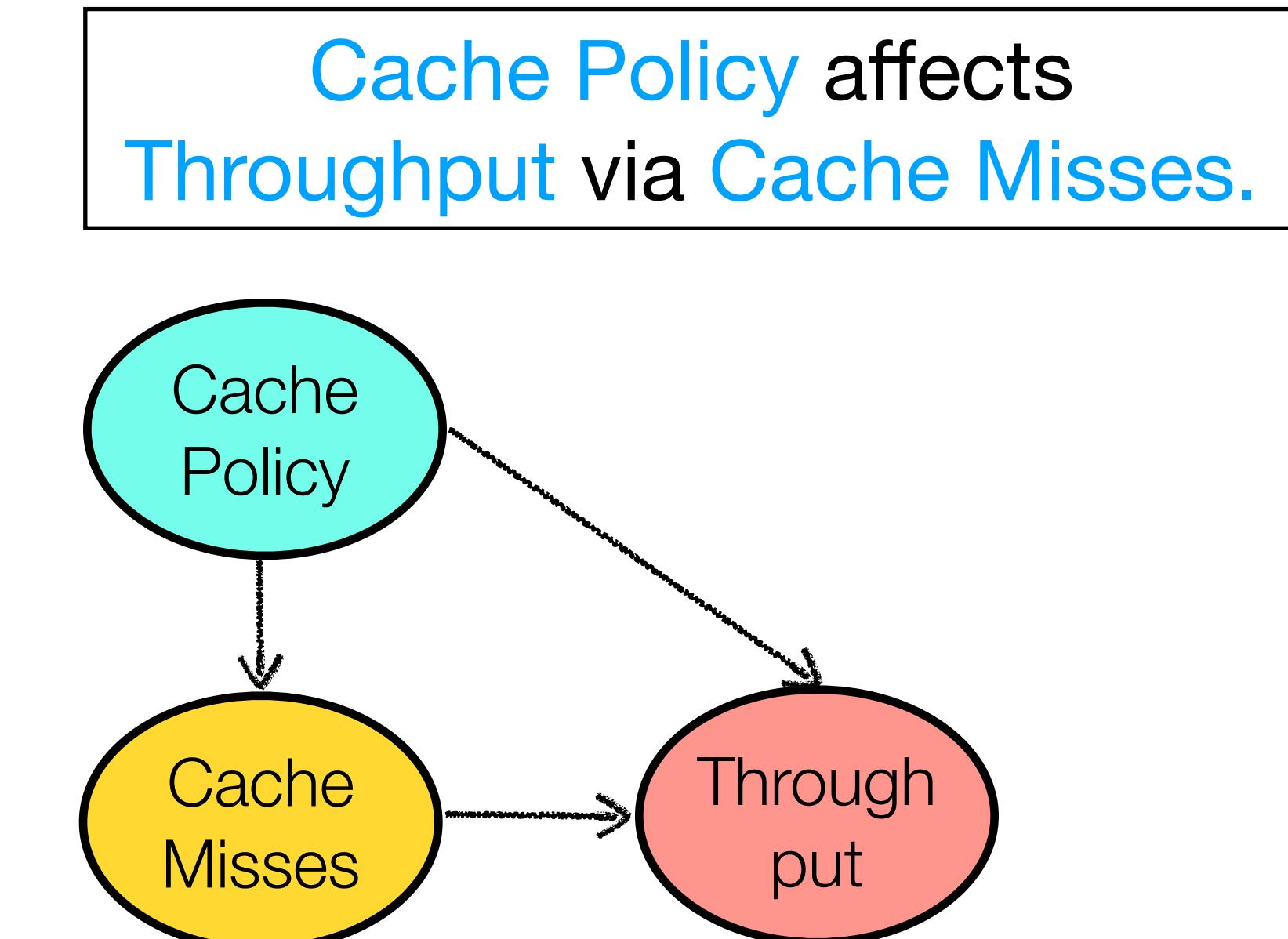
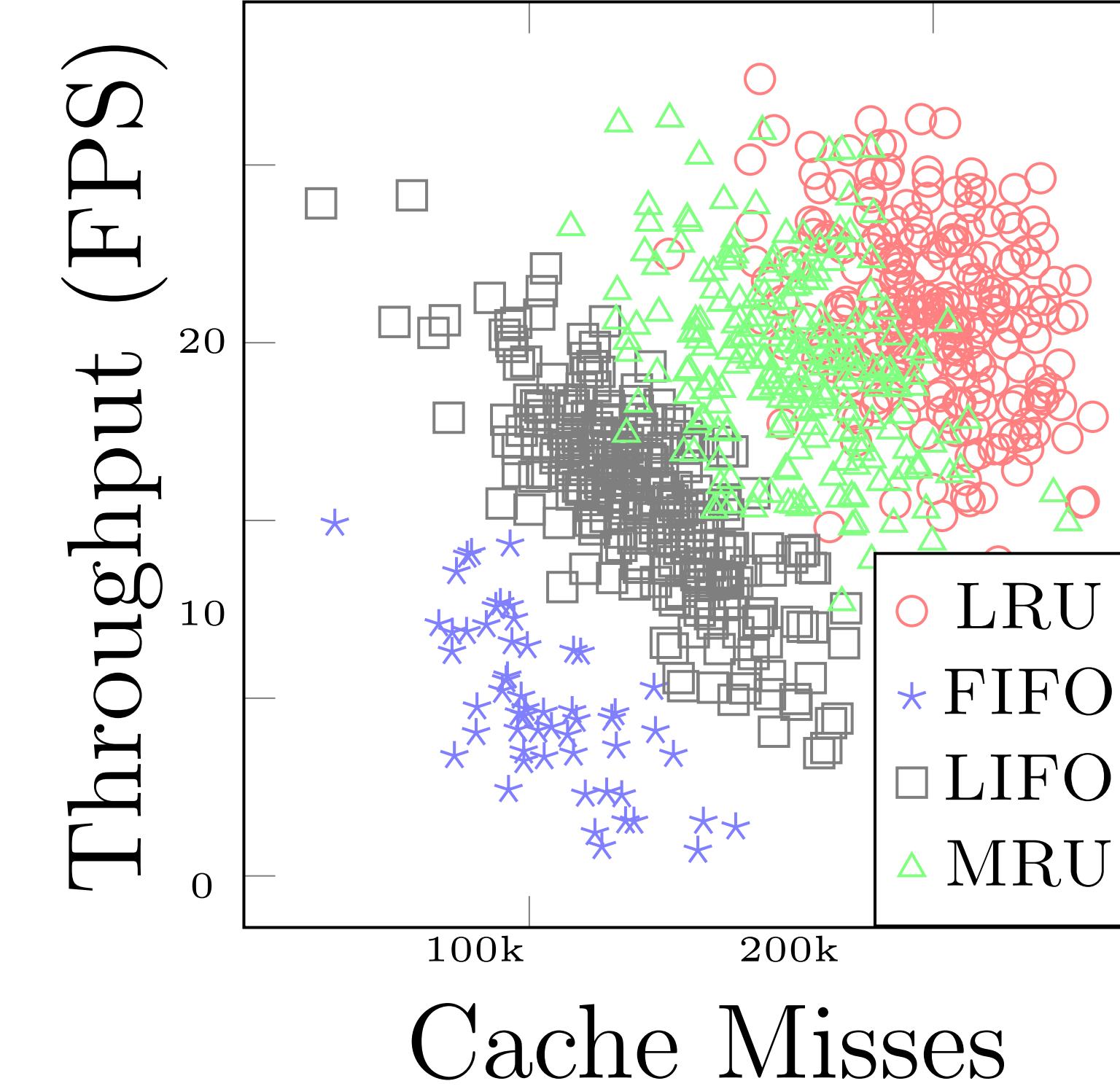
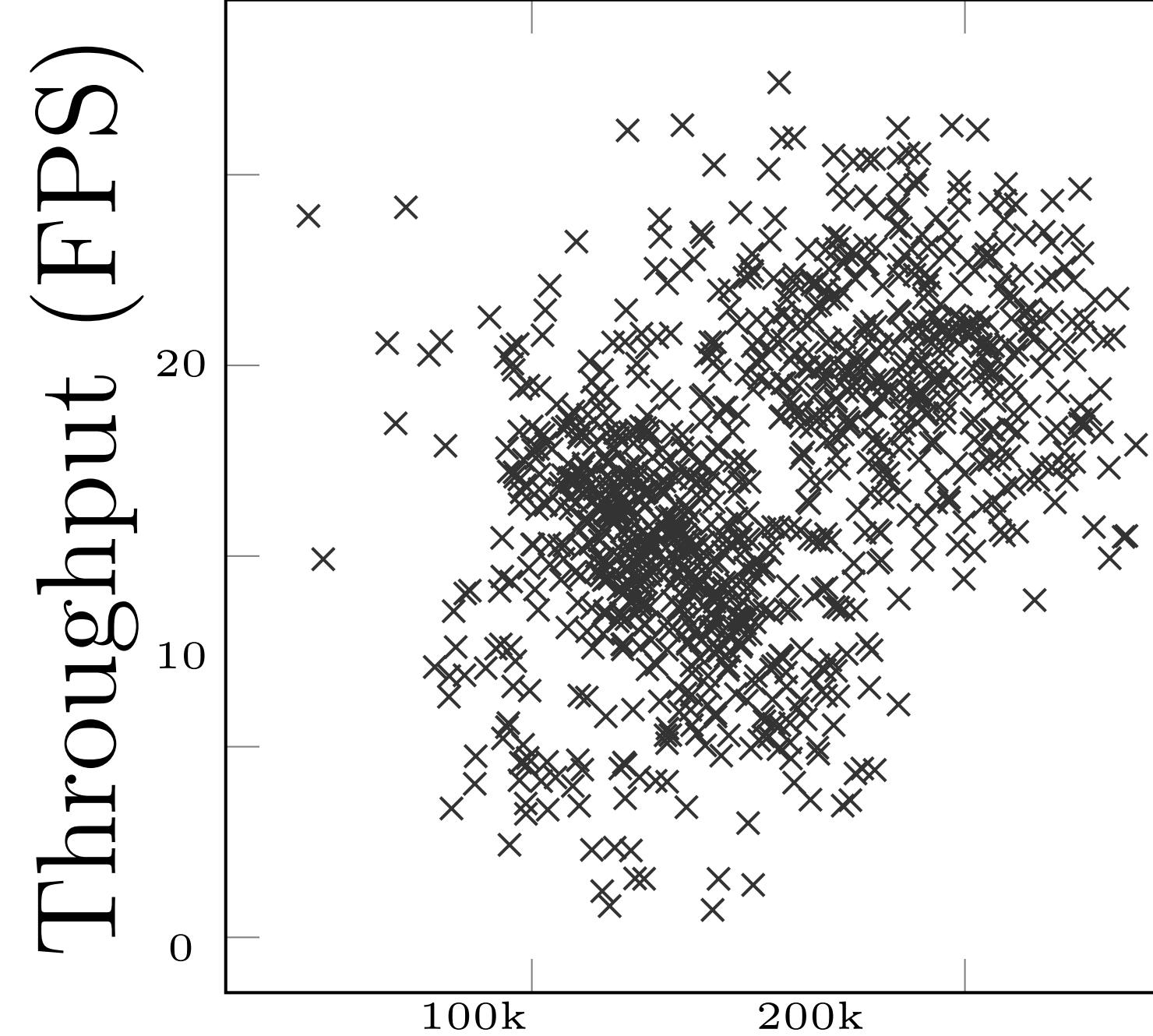
$$\text{Throughput} = 5.1 \times \text{Bitrate} + 2.5 \times \text{BatchSize} + 12.3 \times \text{Bitrate} \times \text{BatchSize}$$


Performance influence models do not **generalize**  
**well** across deployment environments.

# Causal Performance Model



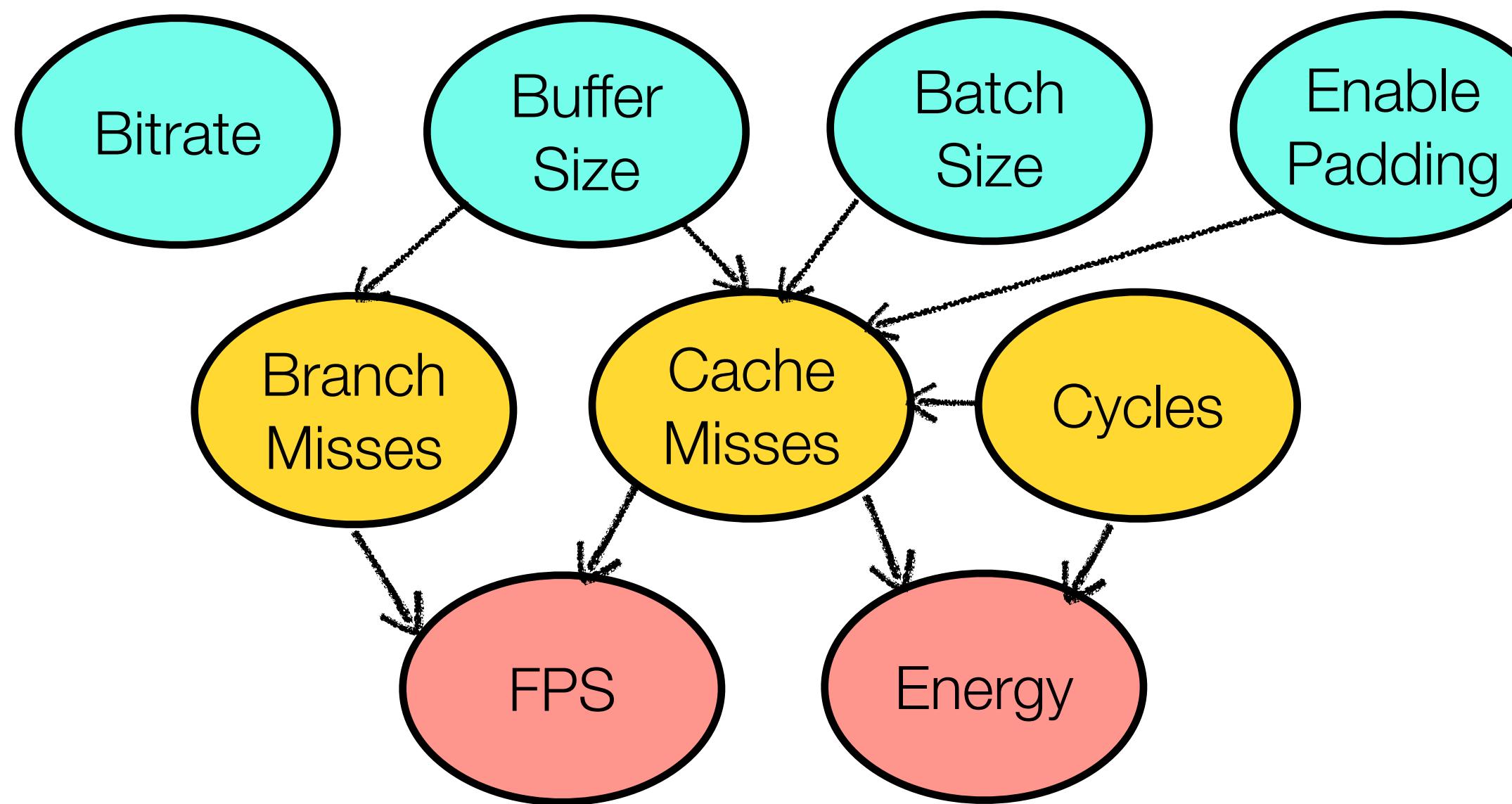
# Why Causal Inference? - Produces Correct Explanations



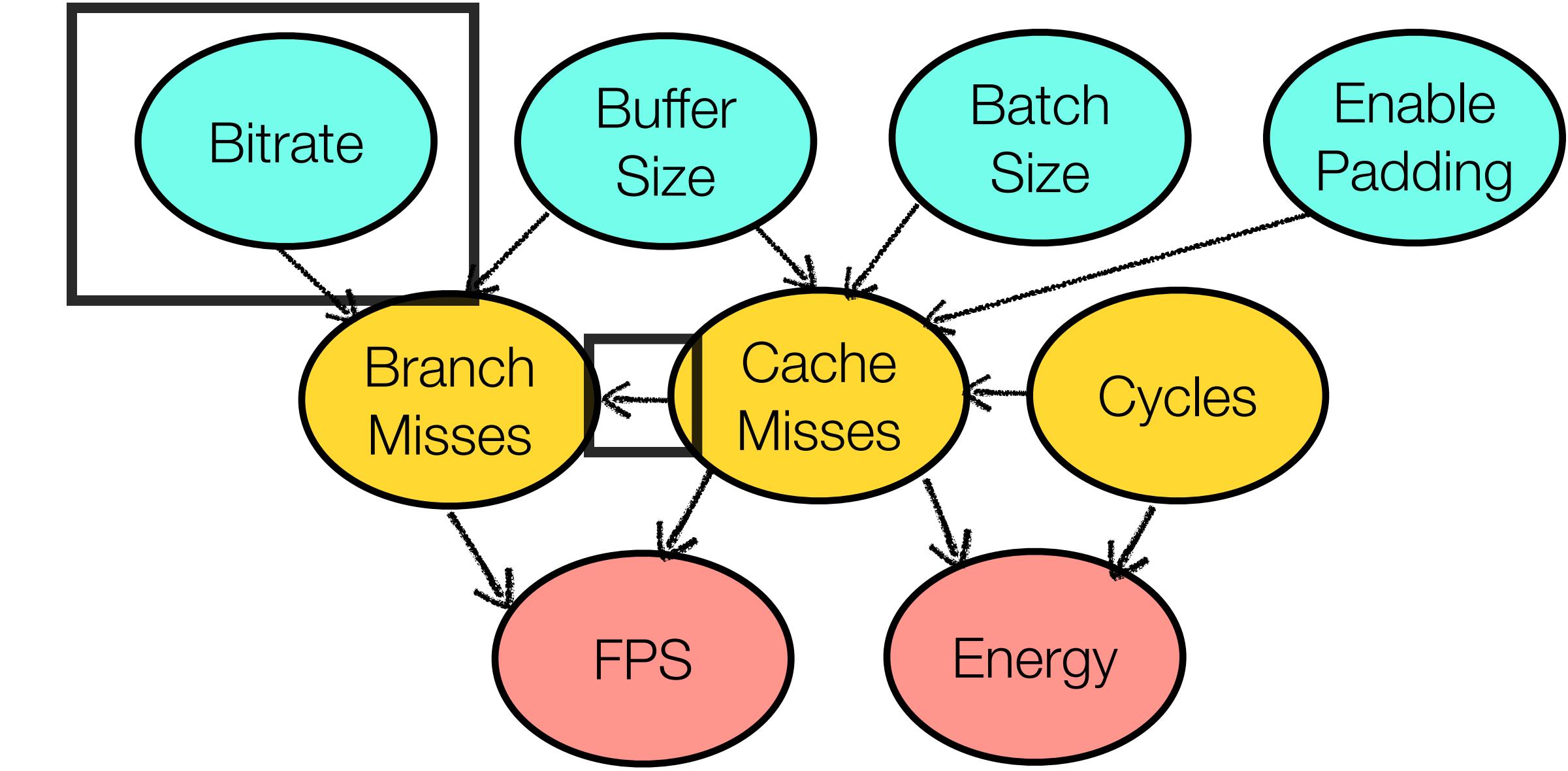
Causal Performance Models recovers the correct interactions.

# Why Causal Inference? - Minimal Structure Change

A partial causal performance model in Jetson TX2

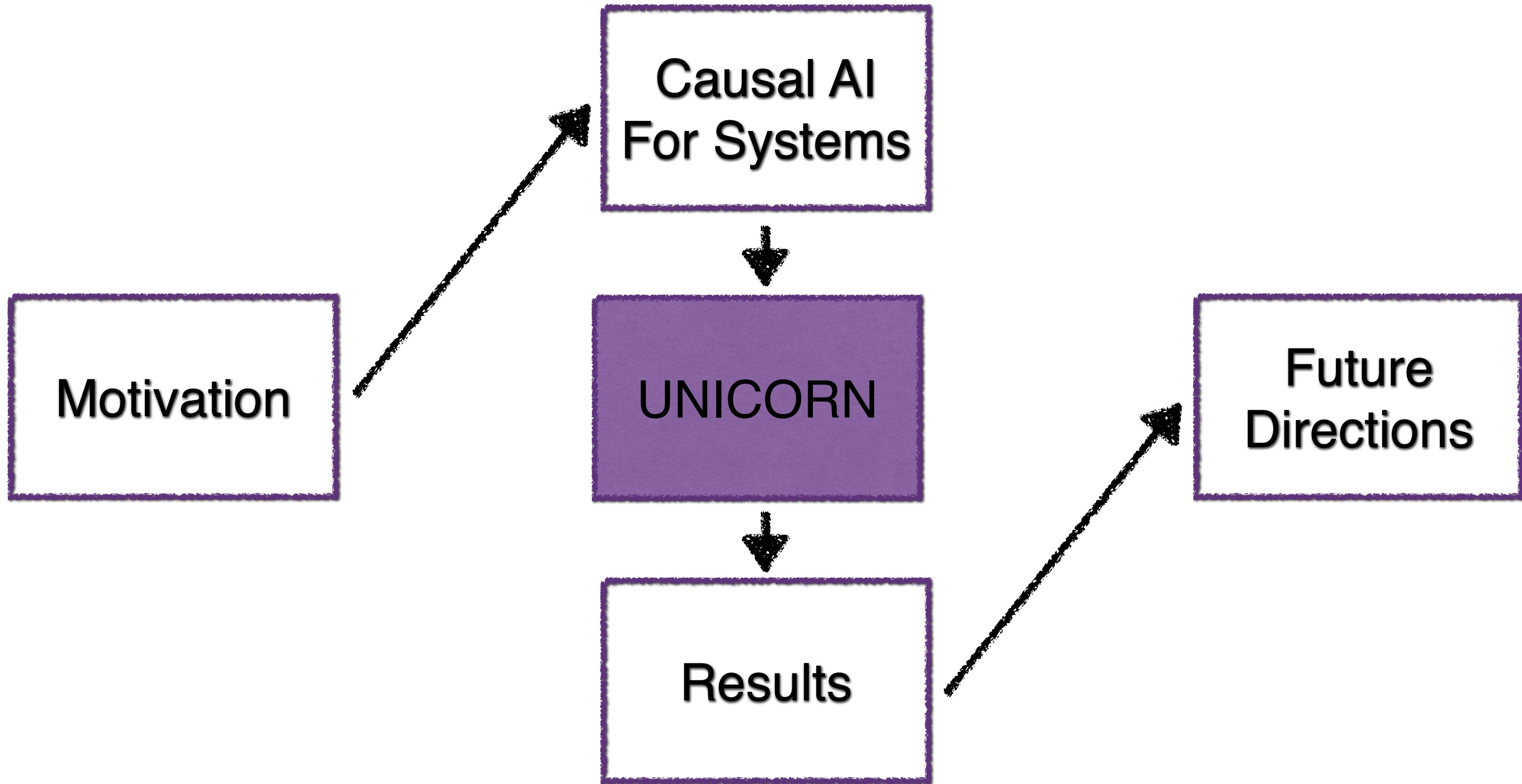


A partial causal performance model in Jetson Xavier



Causal models remain  
relatively **stable**

# Outline

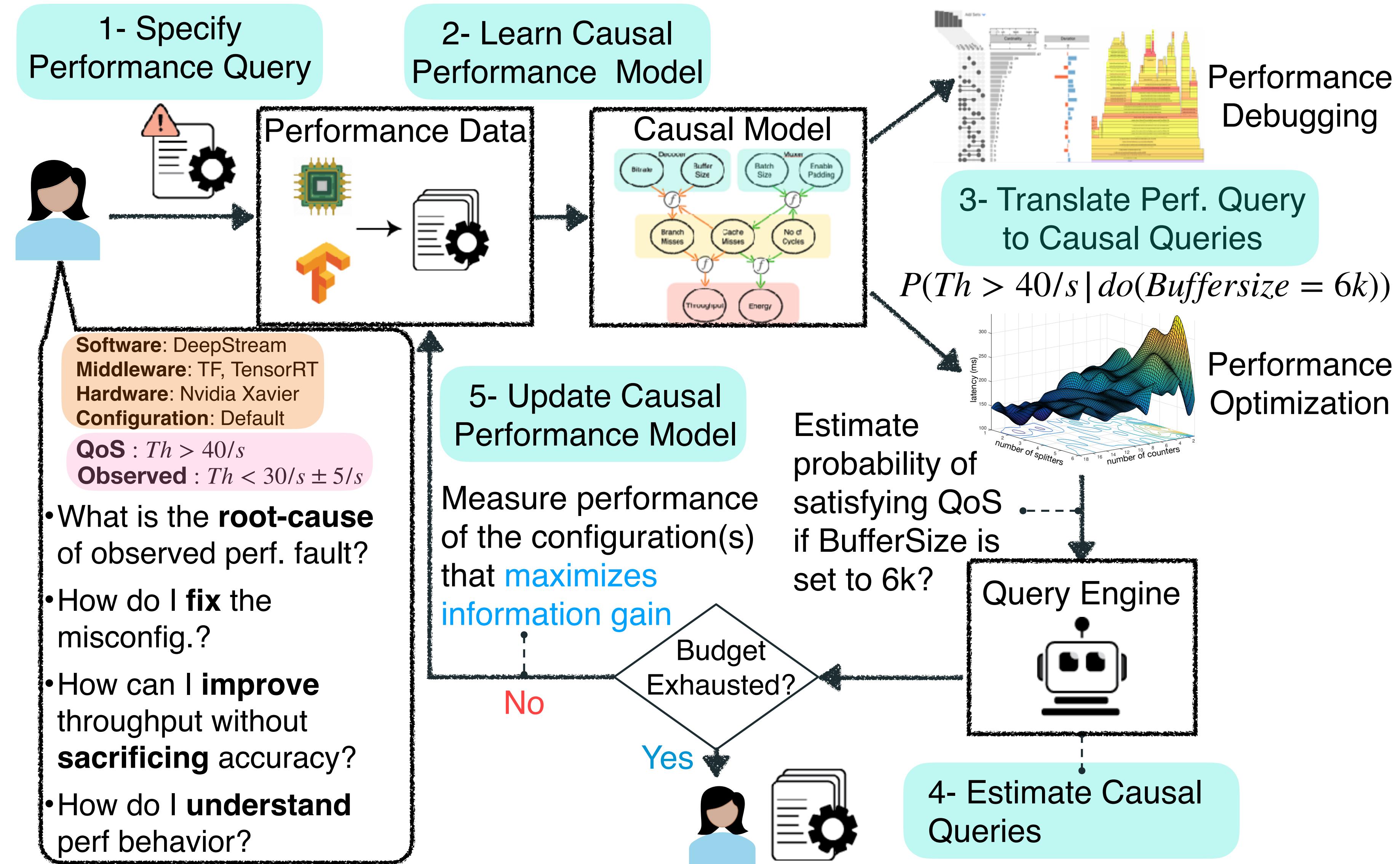


# **UNICORN: Our Causal AI for Systems Method**

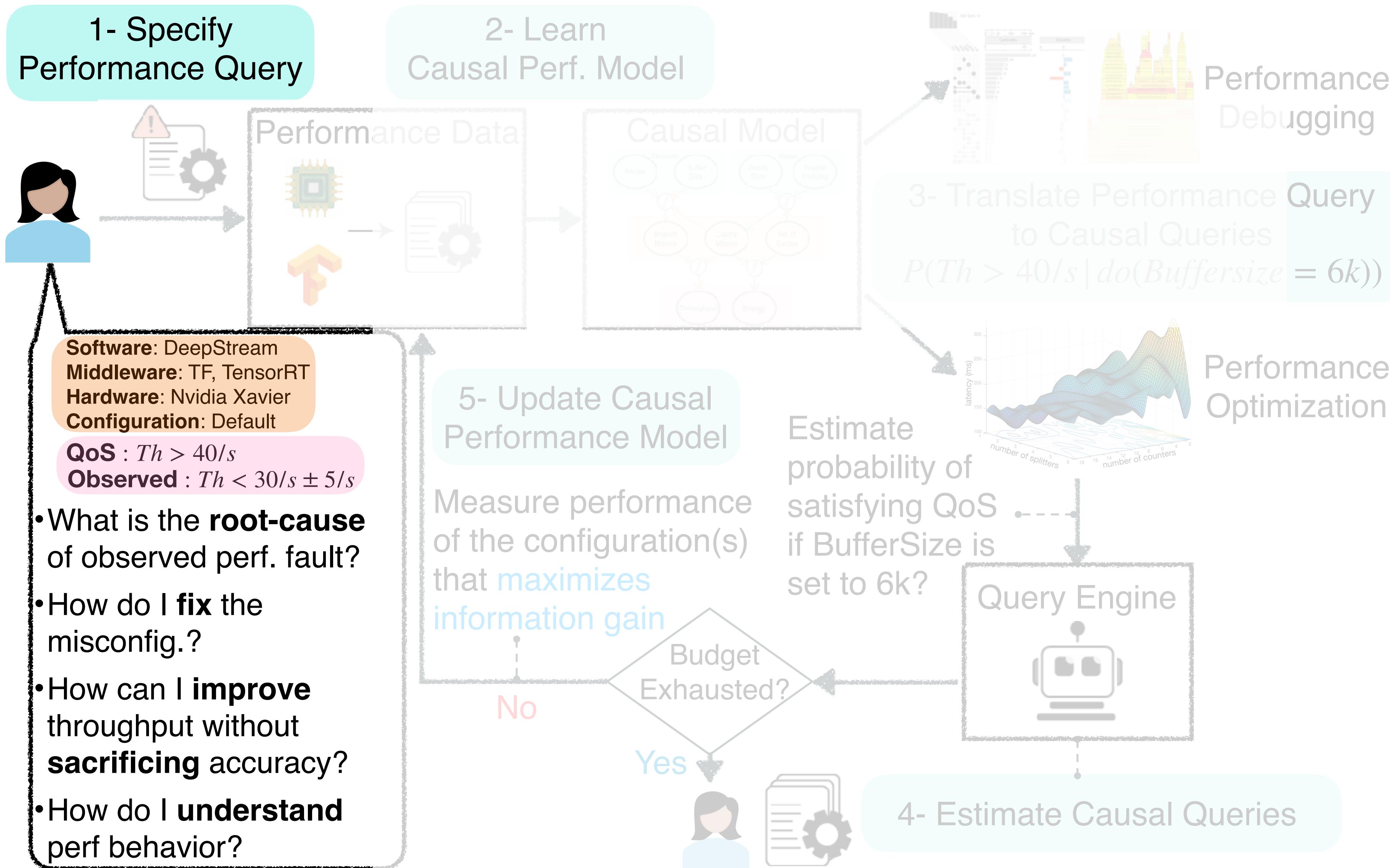
- **Build a Causal Performance Model** that capture the interactions options in the variability space using the observation performance data.
- Iterative causal performance **model evaluation** and **model update**
- Perform downstream performance tasks such as performance debugging & optimization using **Causal Reasoning**



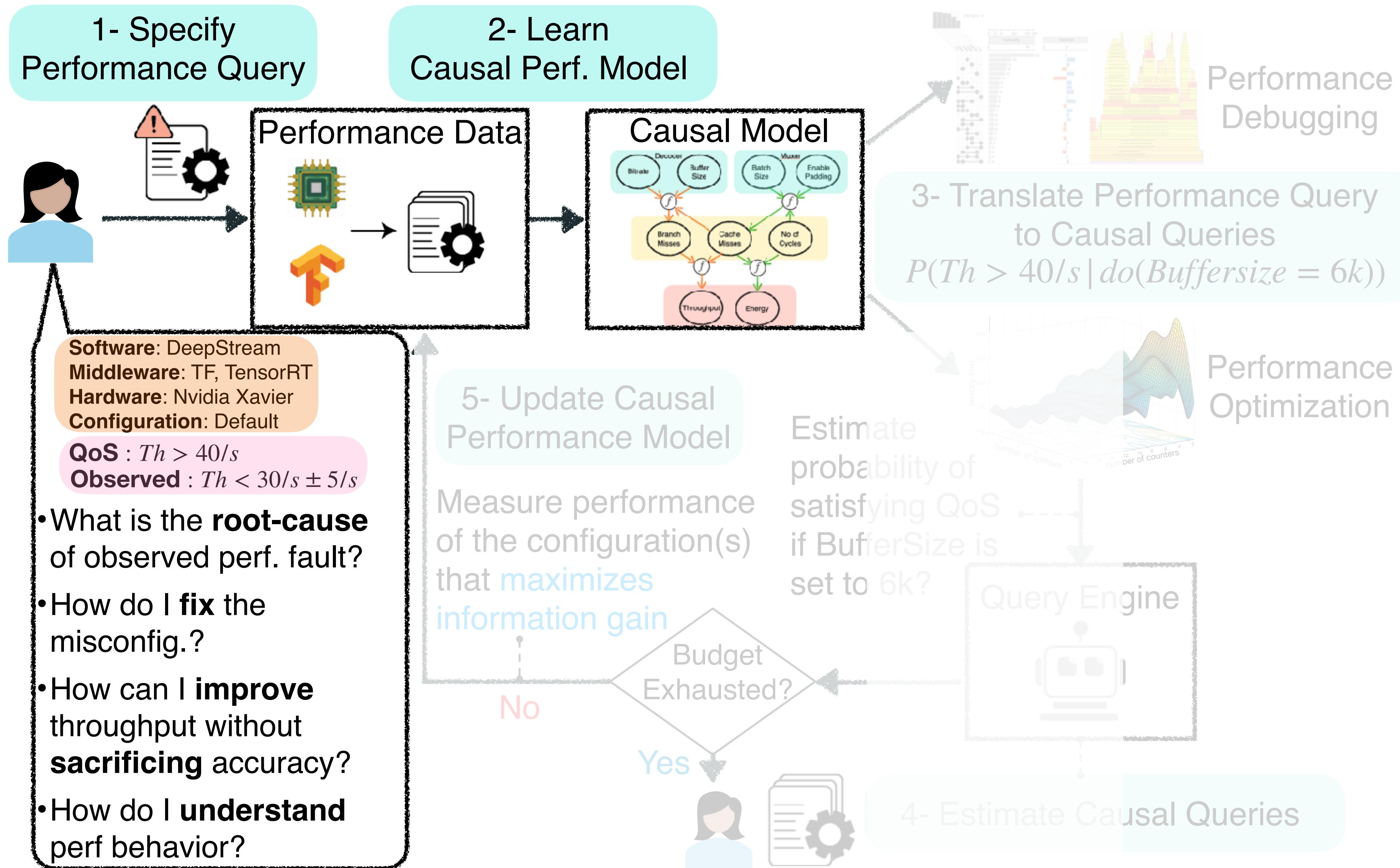
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# UNICORN: Our Causal AI for Systems Method



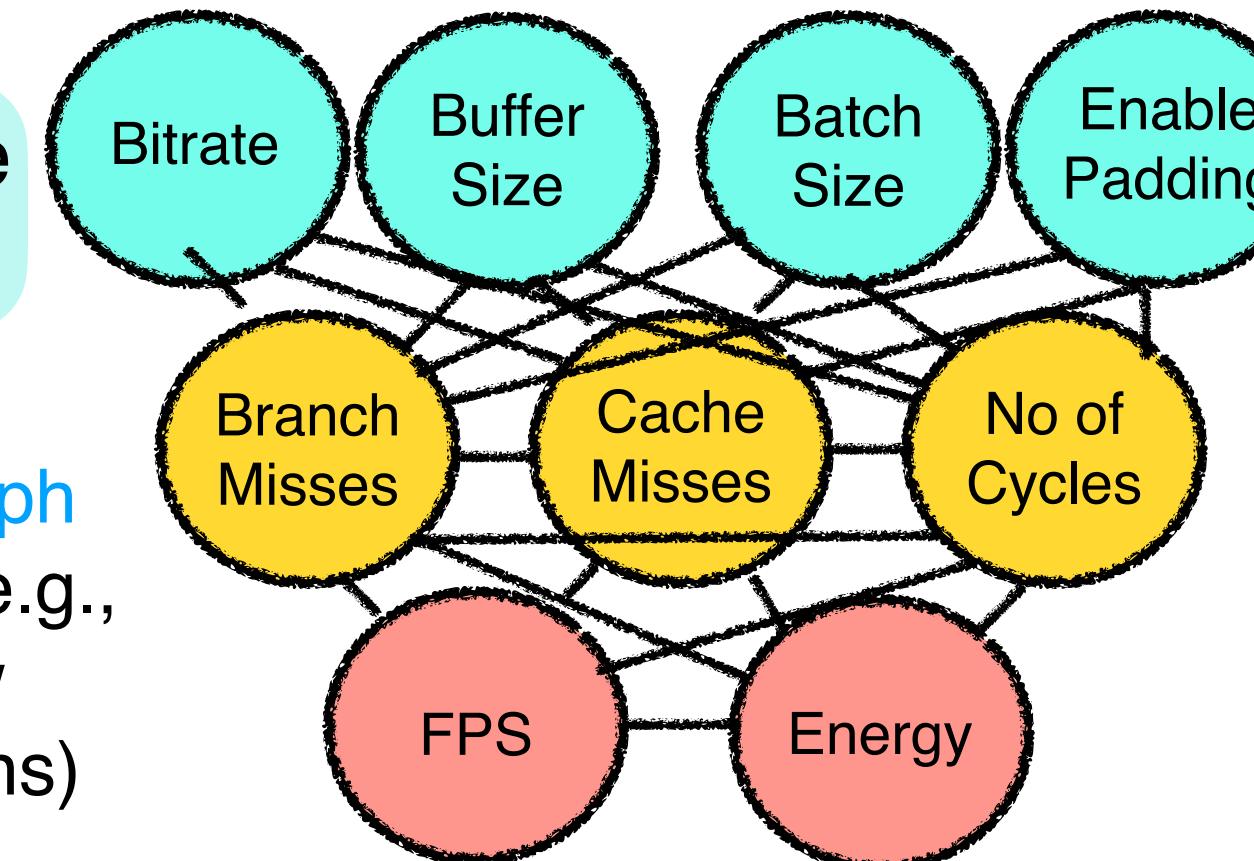
# Learning Causal Performance Model

	Bitrate (bits/s)	Enable Padding	...	Cache Misses	...	Through put (fps)
c <sub>1</sub>	1k	1	...	42m	...	7
c <sub>2</sub>	2k	1	...	32m	...	22
...	...	...	...	...	...	...
c <sub>n</sub>	5k	0	...	12m	...	25

1- Recovering the Skelton



fully connected graph given constraints (e.g., no connections btw configuration options)

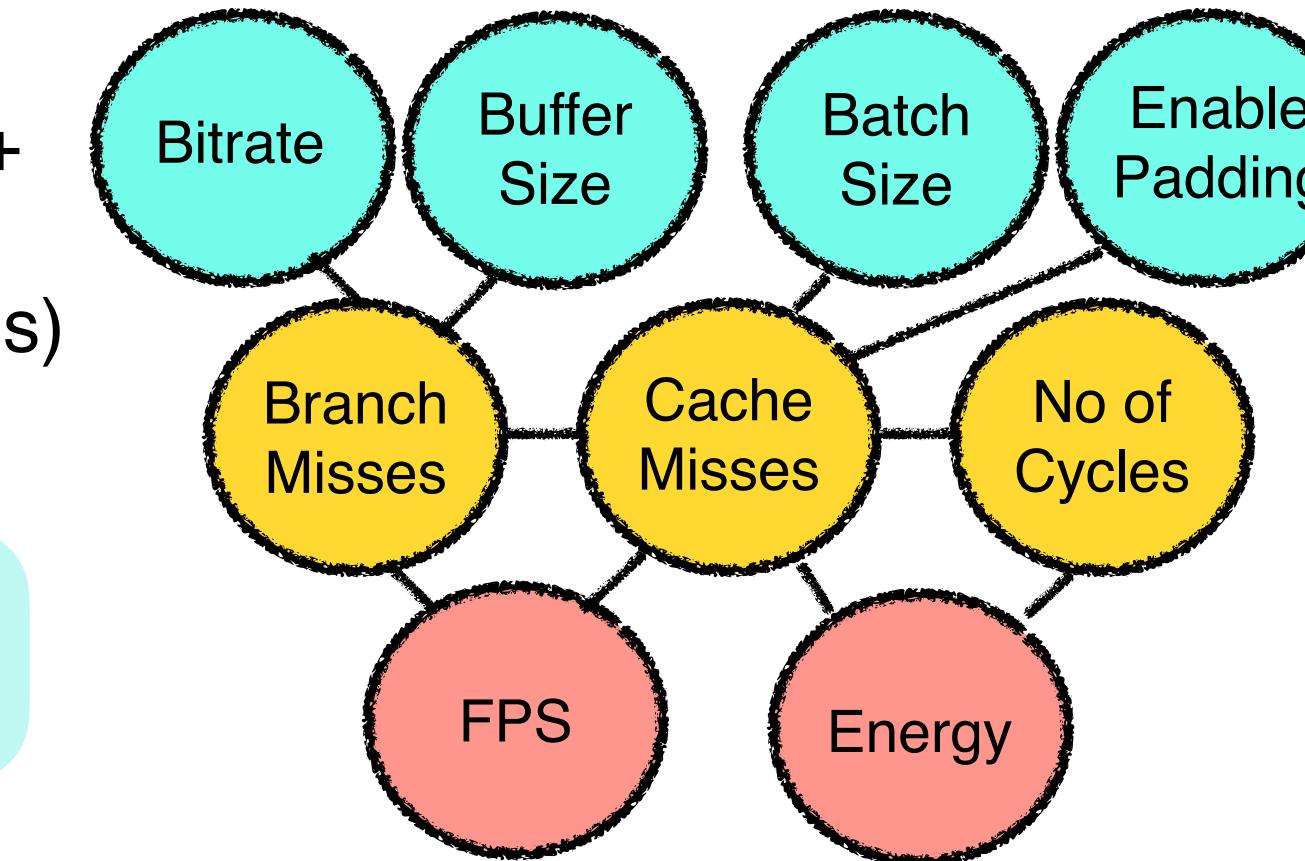
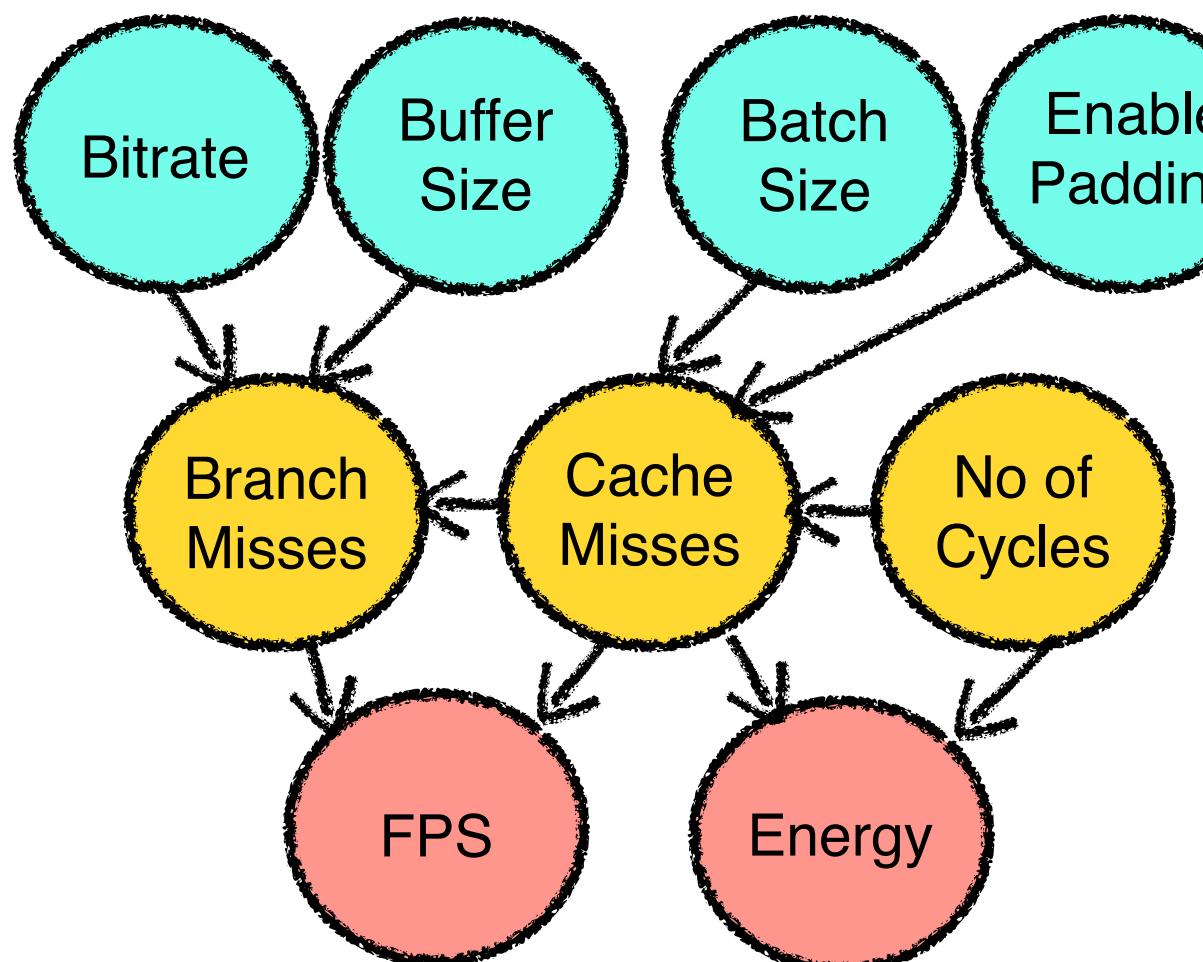


statistical independence tests

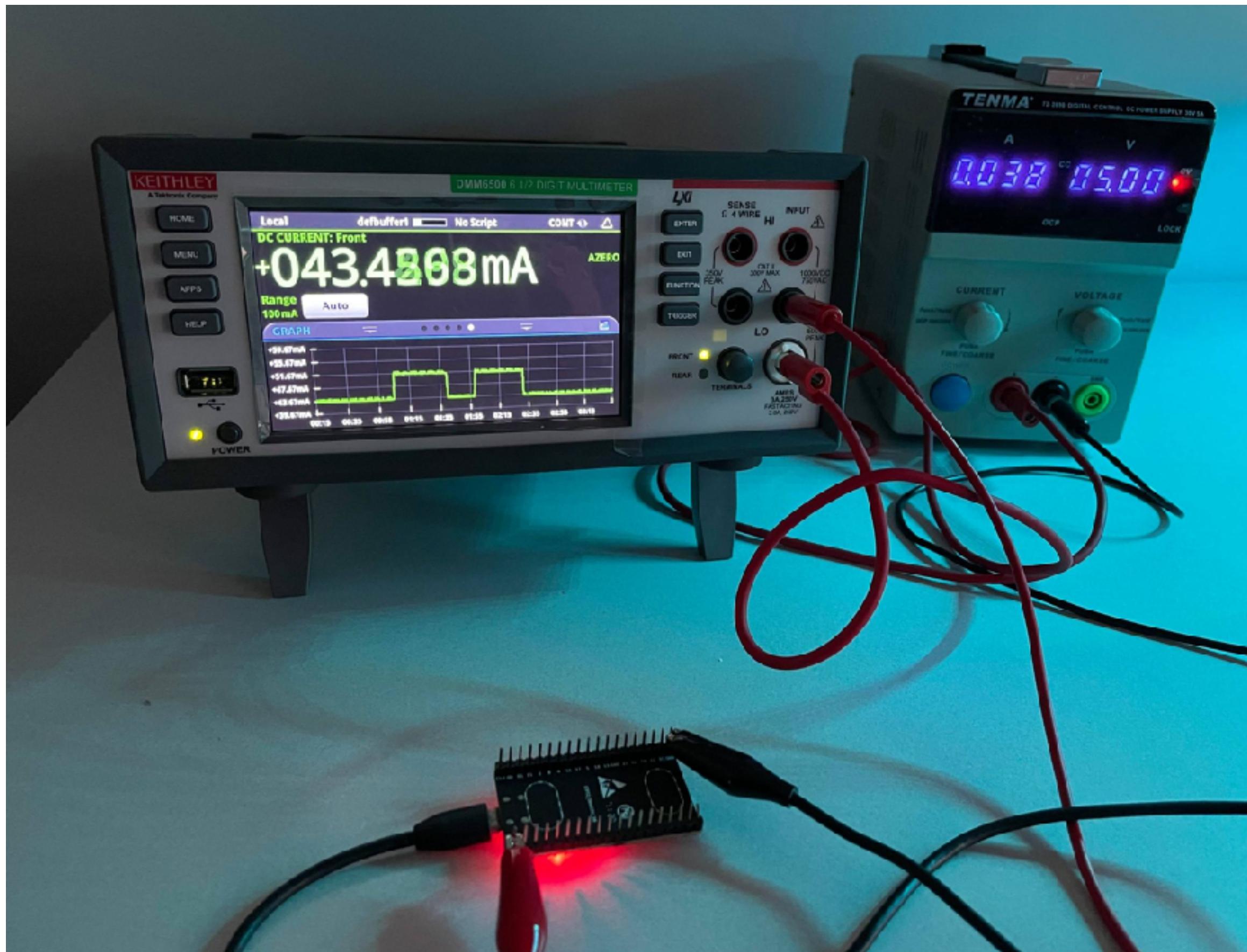
2- Pruning Causal Structure

orientation rules & measures (entropy) + structural constraints (colliders, v-structures)

3- Orienting Causal Relations



# Our setup for performance measurements



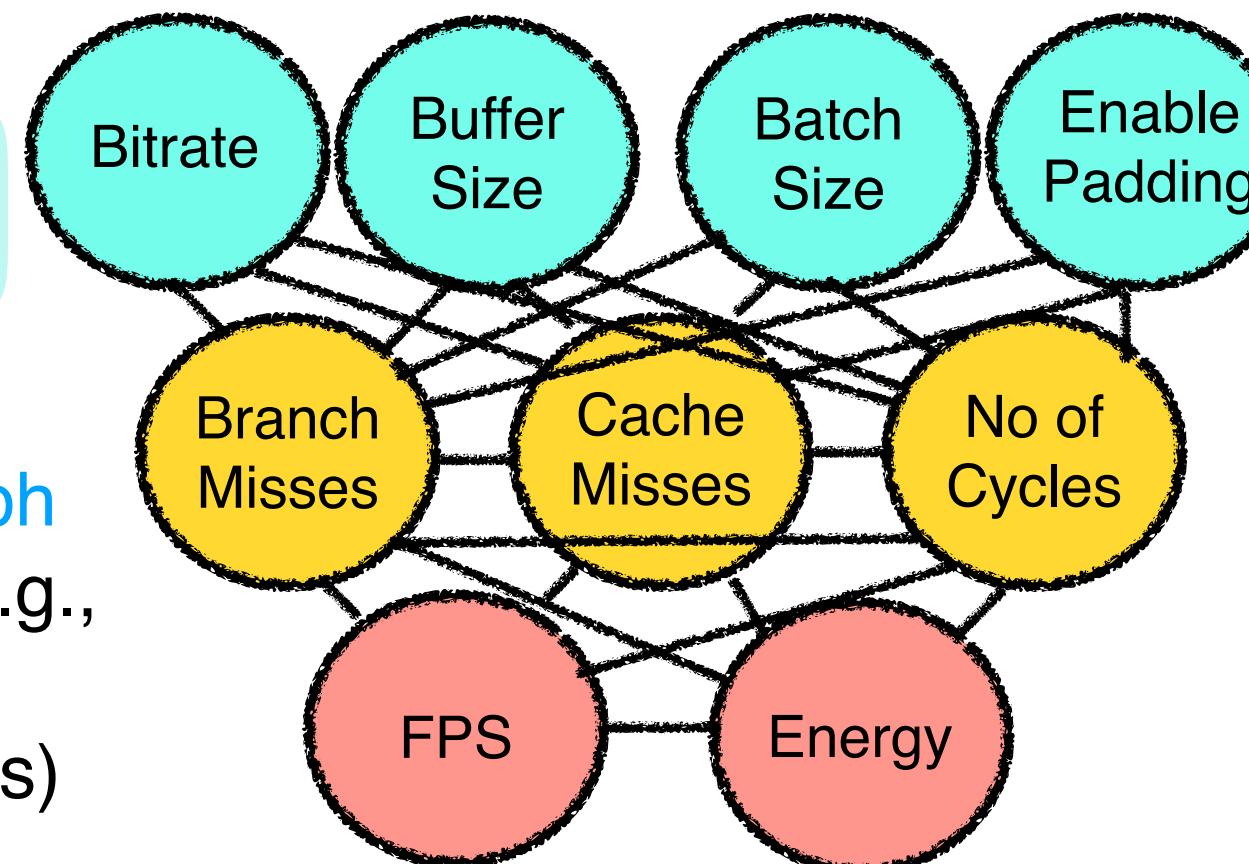
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...	...	...	...	...	...	...
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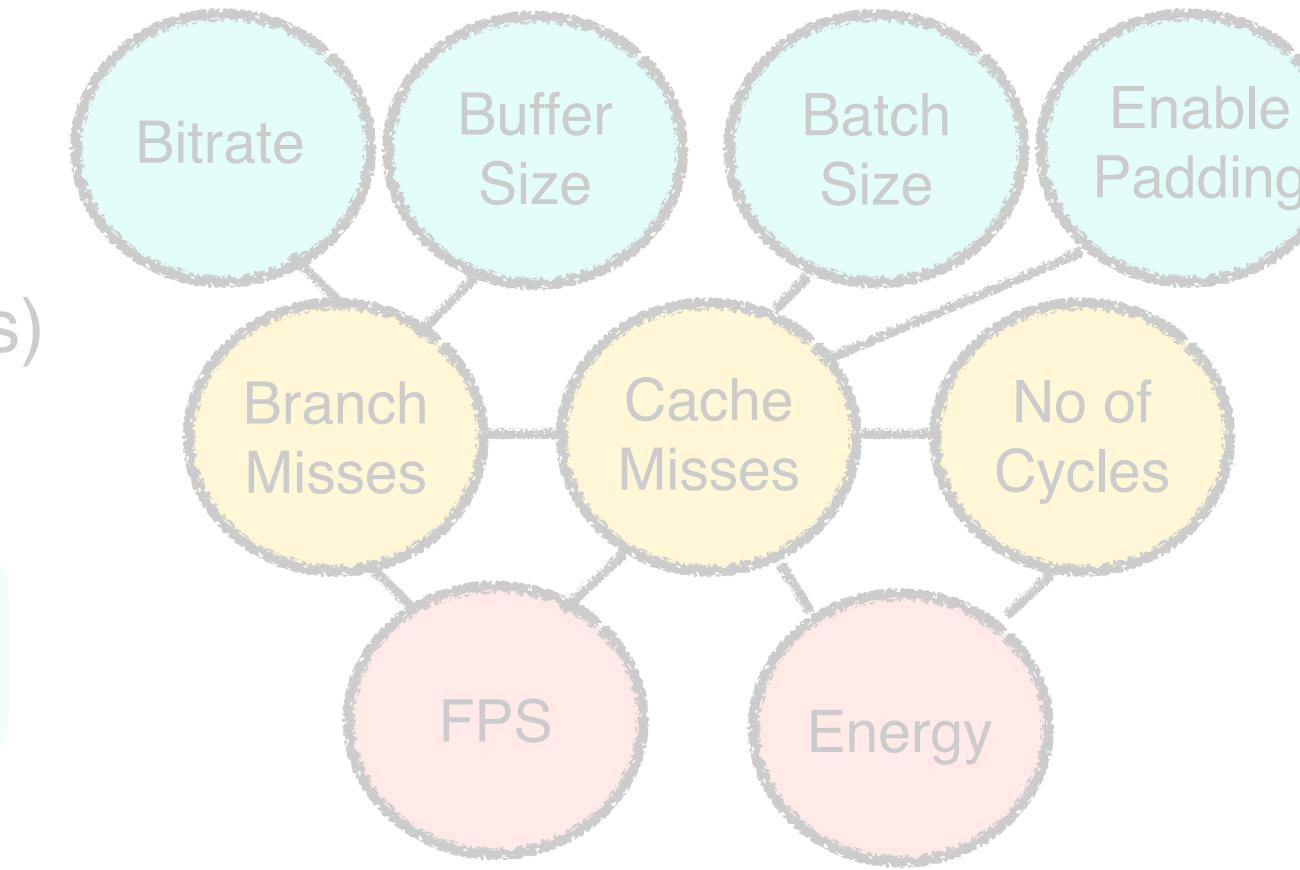
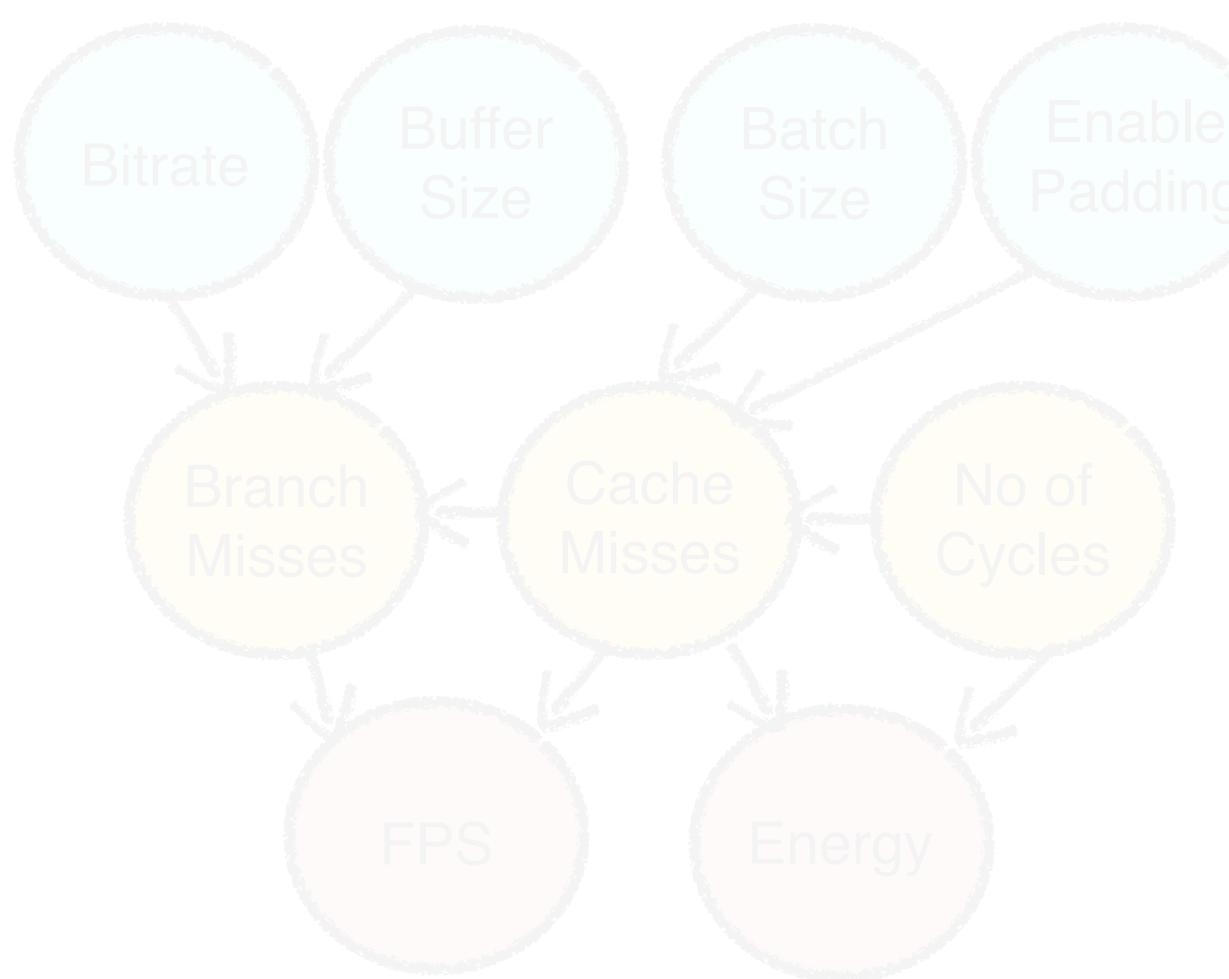


statistical independence

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orientation rules & measures (entropy) + structural constraints (colliders, v-structures)

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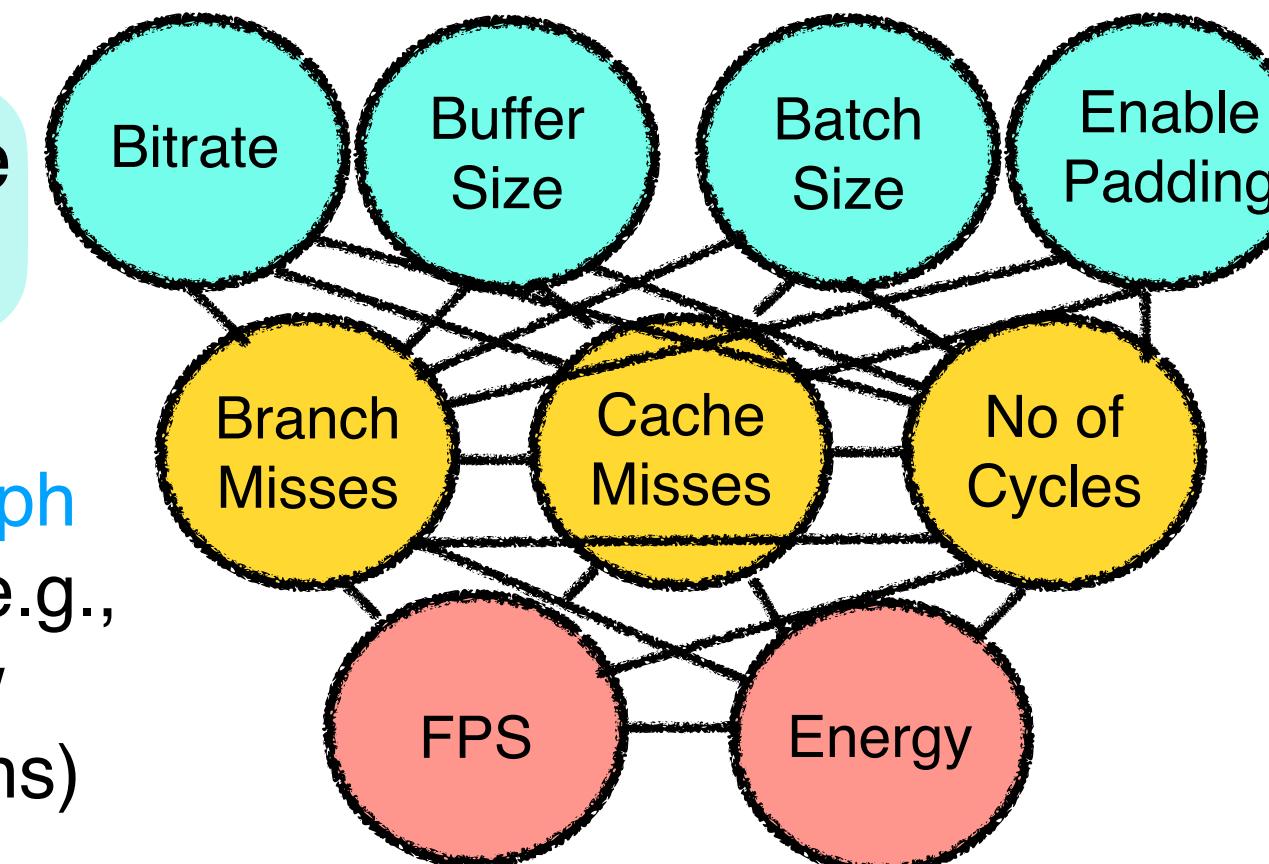
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c <sub>2</sub>	2k	1	...	32m	...	22
...	...	...	...	...	...	...
c <sub>n</sub>	5k	0	...	12m	...	25

1- Recovering the Skelton



fully connected graph given constraints (e.g., no connections btw configuration options)

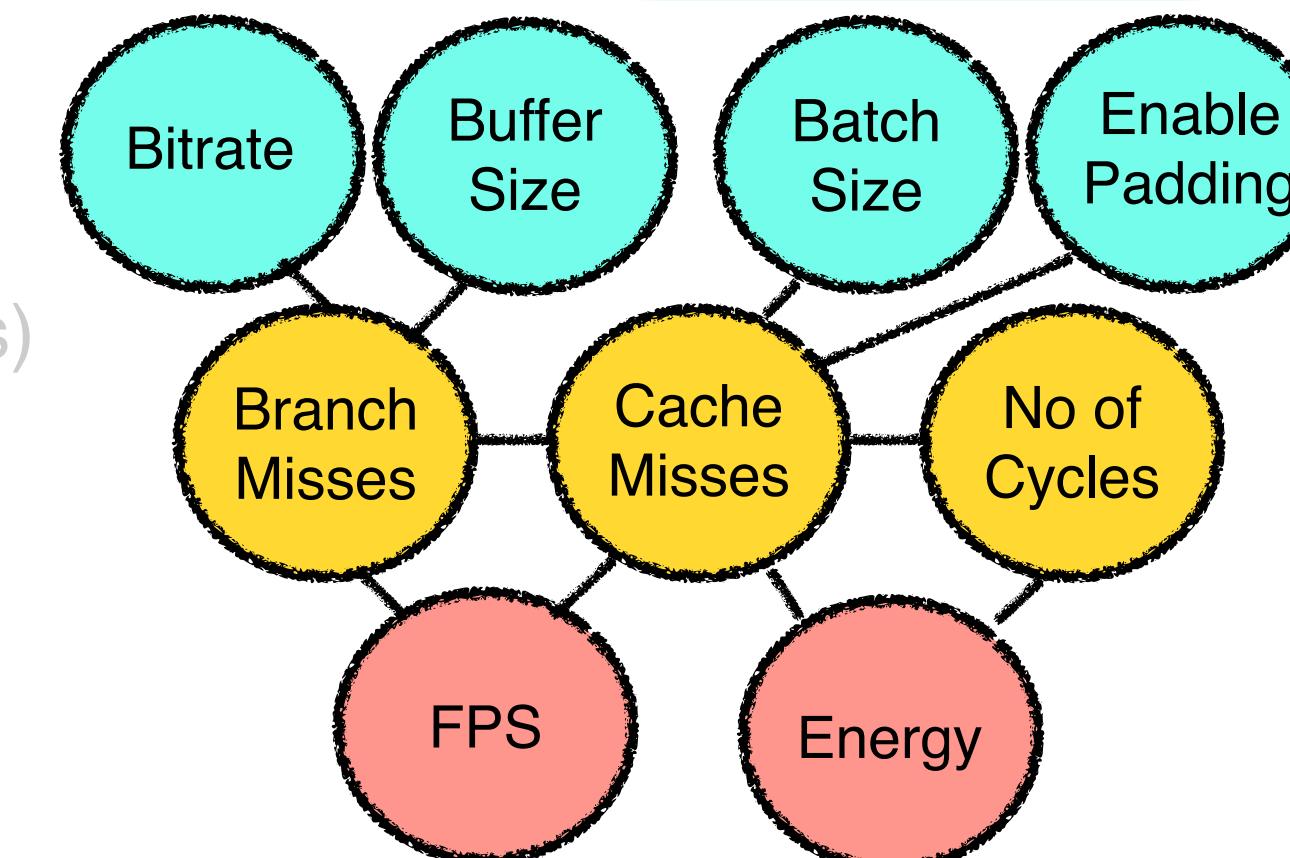


statistical independence

2- Pruning Causal Structure

orientation rules & measures (entropy) + structural constraints (colliders, v-structures)

3- Orienting Causal Relations



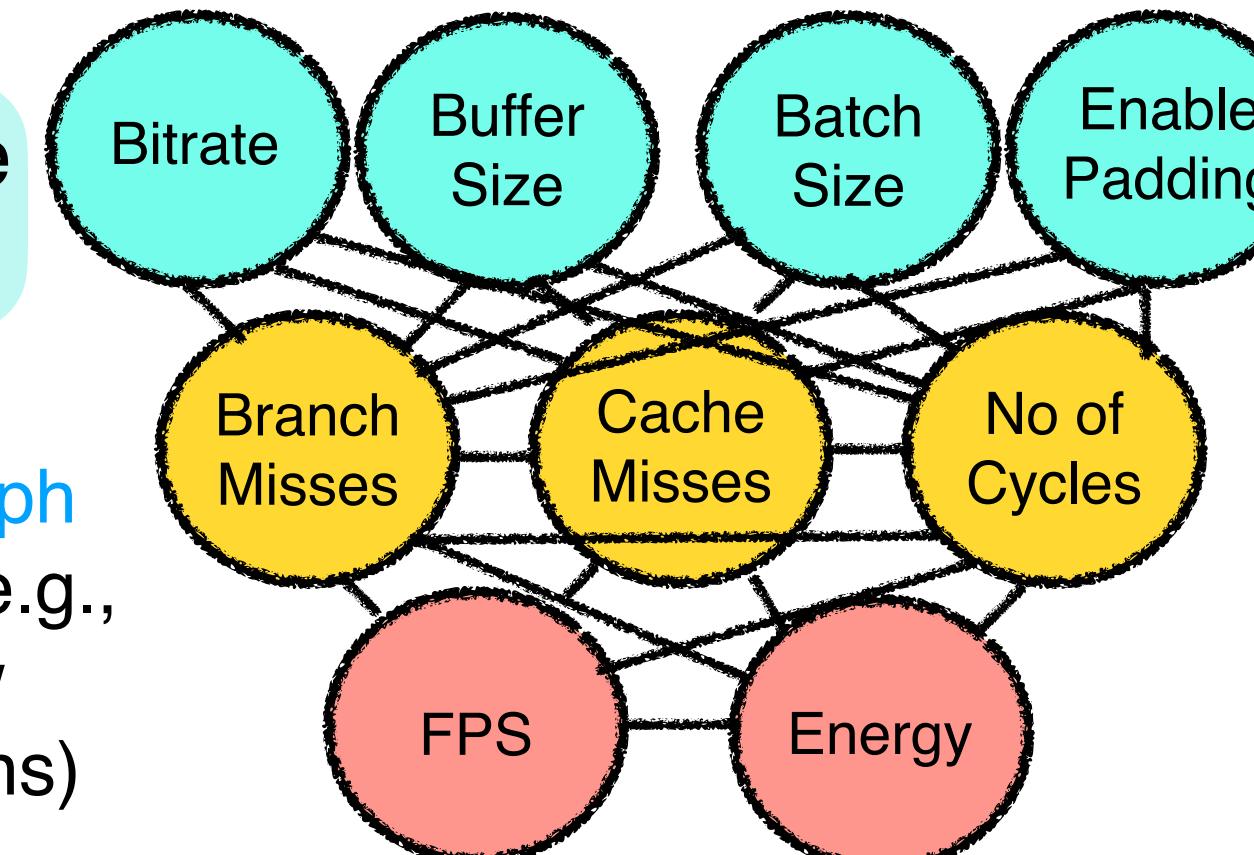
# Learning Causal Performance Model

	Bitrate (bits/s)	Enable Padding	...	Cache Misses	...	Through put (fps)
c <sub>1</sub>	1k	1	...	42m	...	7
c <sub>2</sub>	2k	1	...	32m	...	22
...	...	...	...	...	...	...
c <sub>n</sub>	5k	0	...	12m	...	25

1- Recovering the Skelton



fully connected graph given constraints (e.g., no connections btw configuration options)



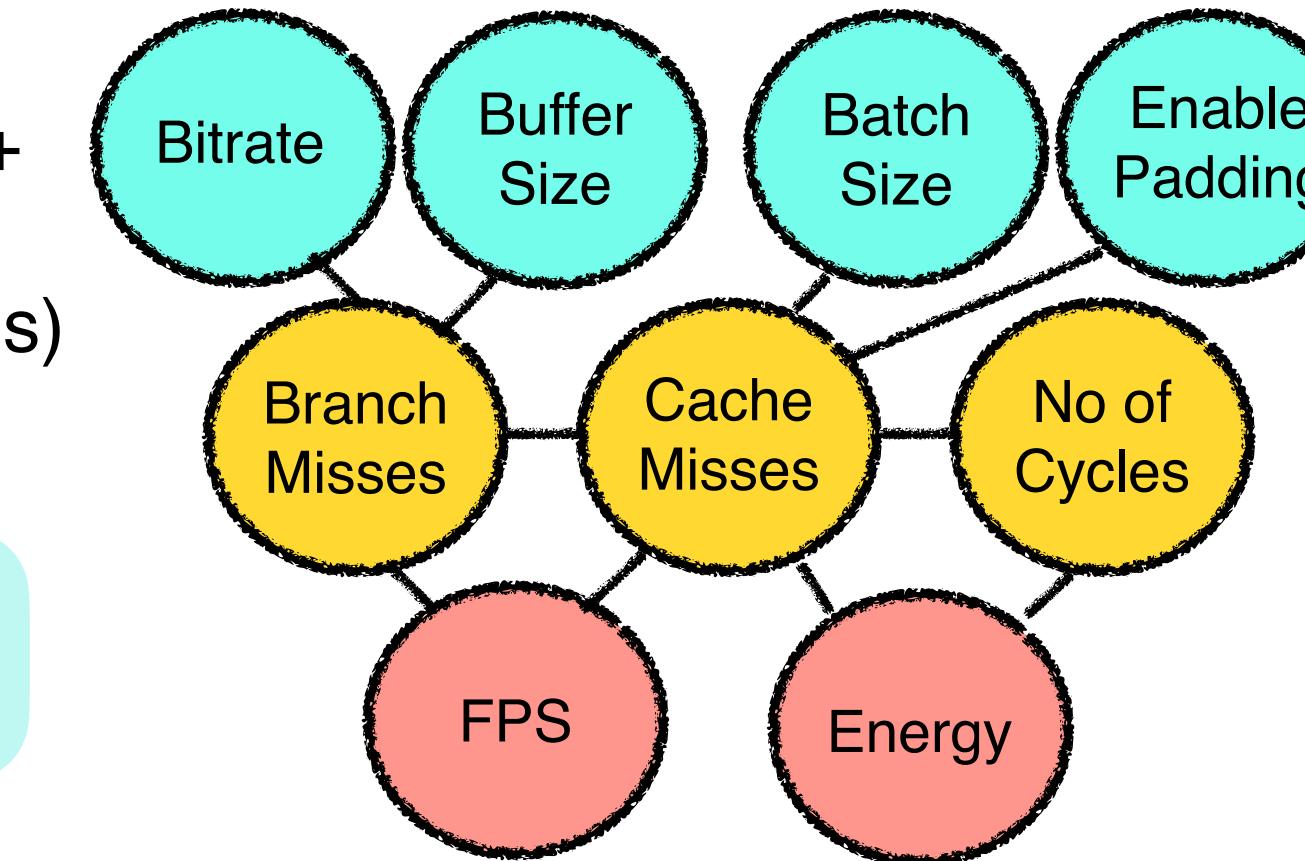
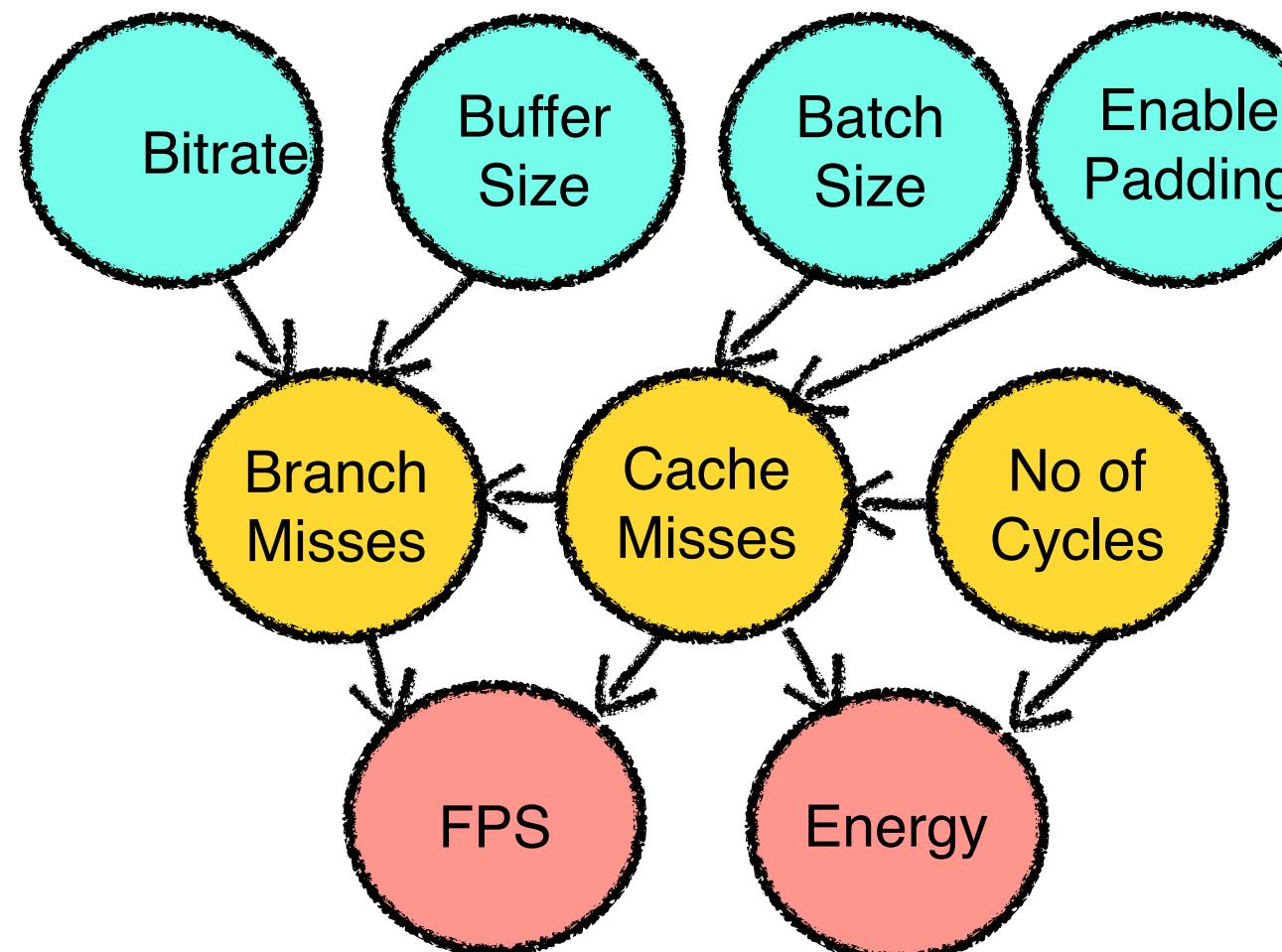
statistical independence tests

2- Pruning Causal Structure

orientation rules & measures (entropy) + structural constraints (colliders, v-structures)

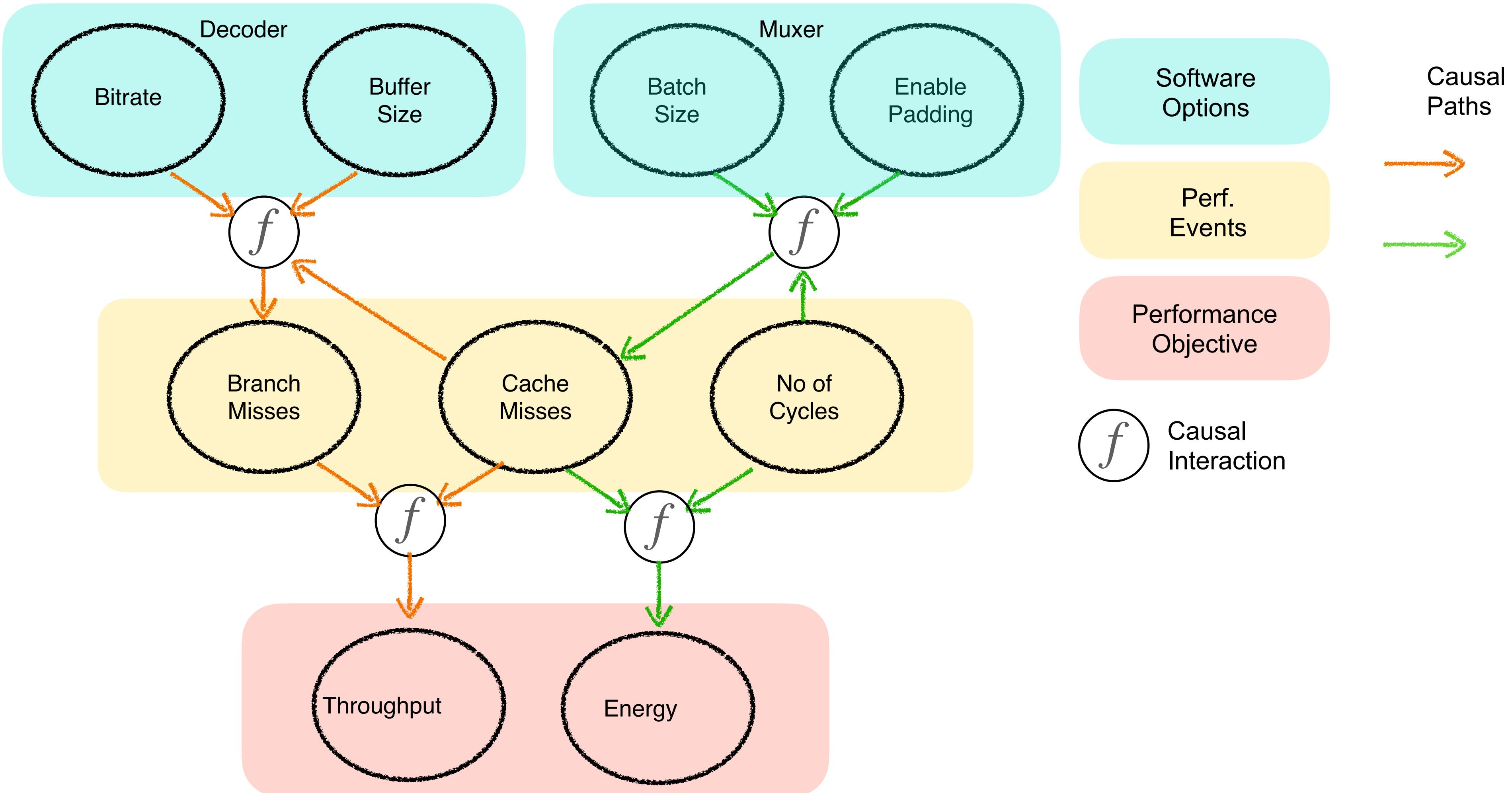


3- Orienting Causal Relations

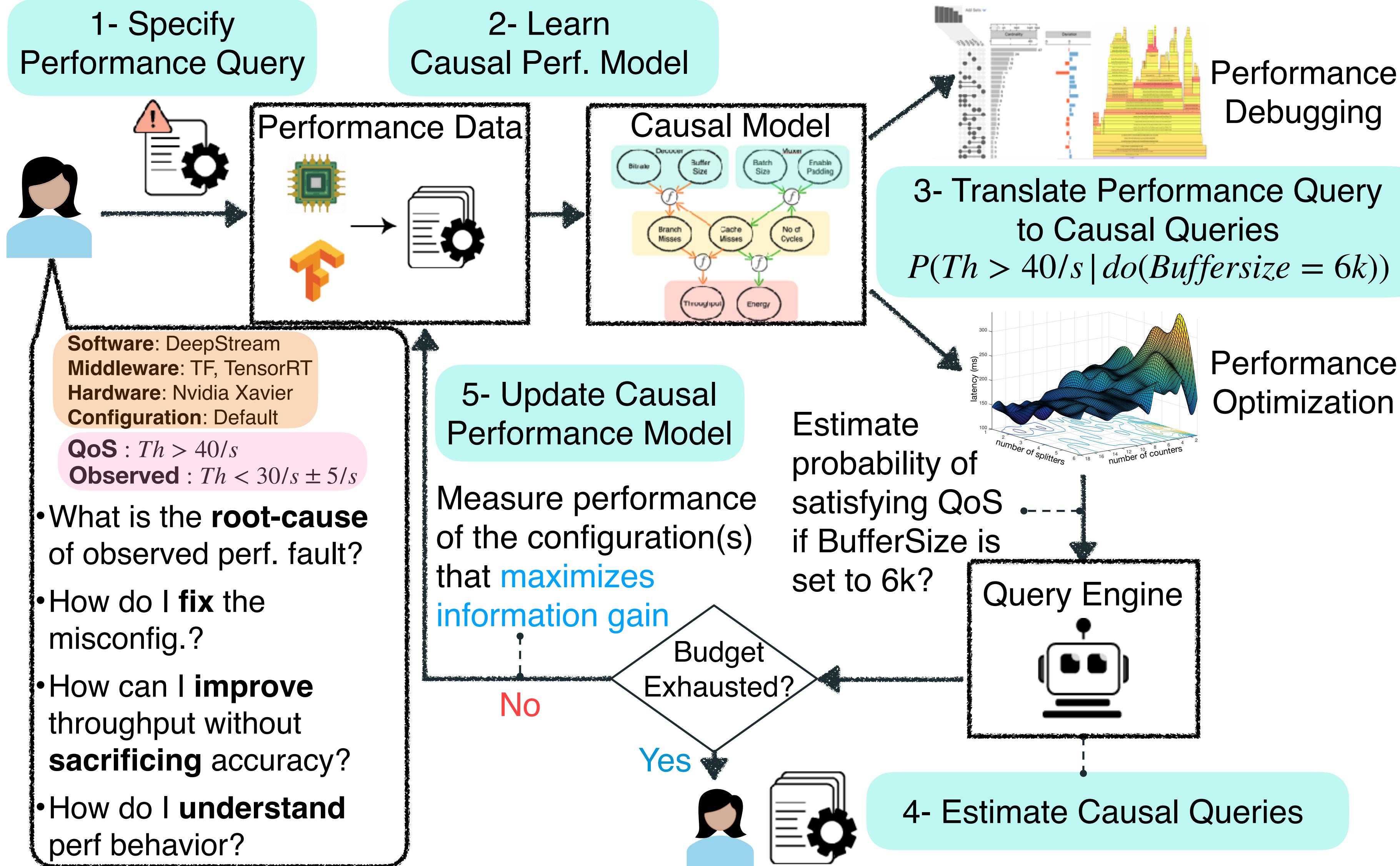


# Causal Performance Model

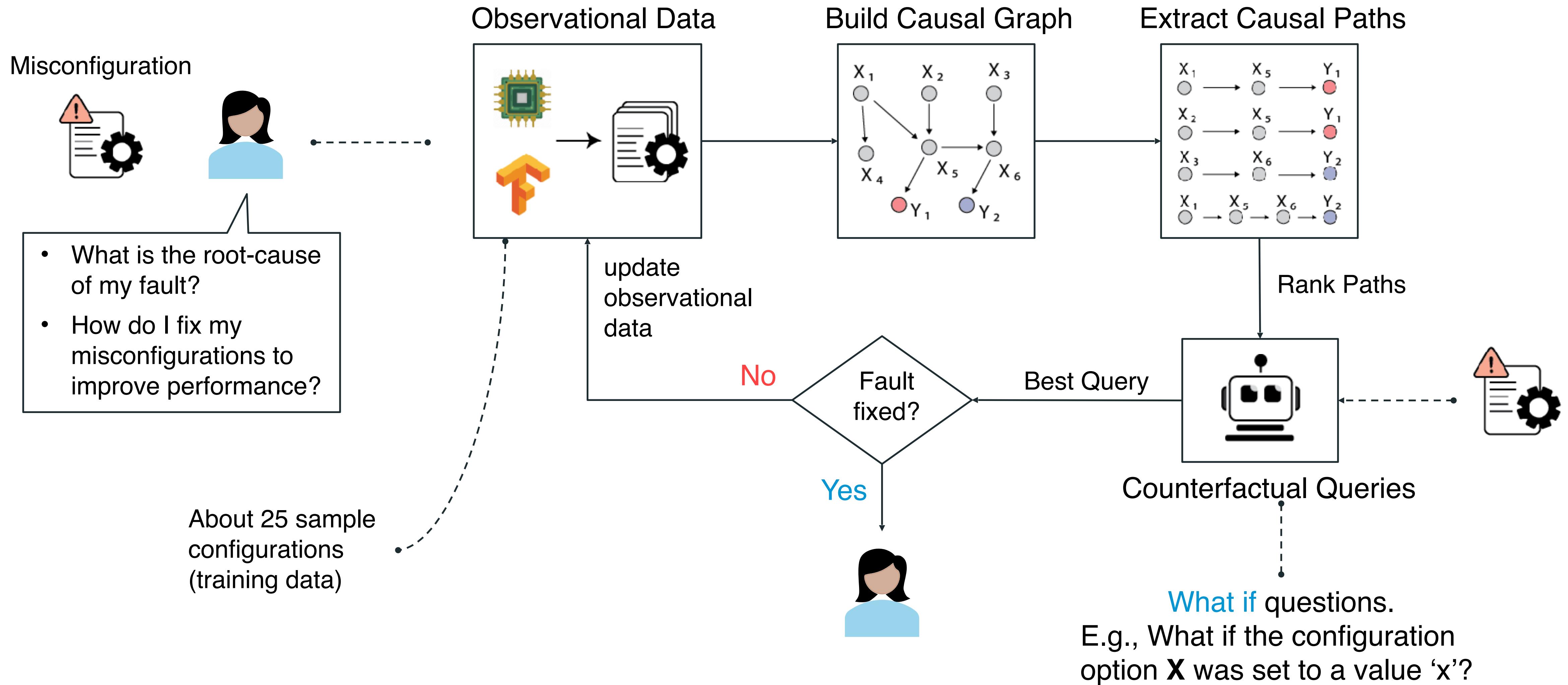
$$\text{Branchmisses} = 2 \times \text{Bitrate} + 8.1 \times \text{Buffer size} + 4.1 \times \text{Bitrate} \times \text{Buffer size} \times \text{Cache misses}$$



# UNICORN: Our Causal AI for Systems Method



# Causal Debugging



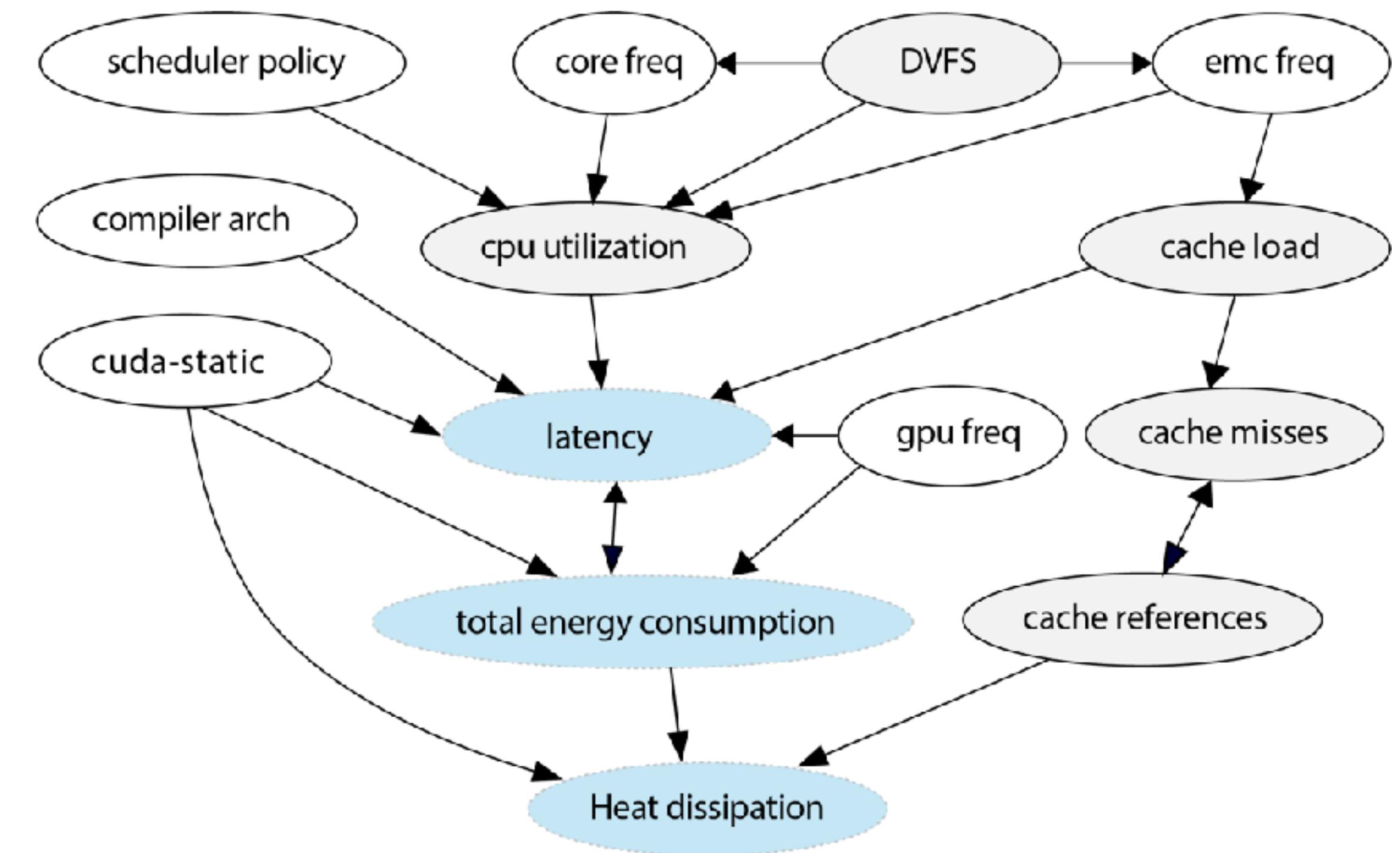
# Extracting Causal Paths from the Causal Model

## Problem

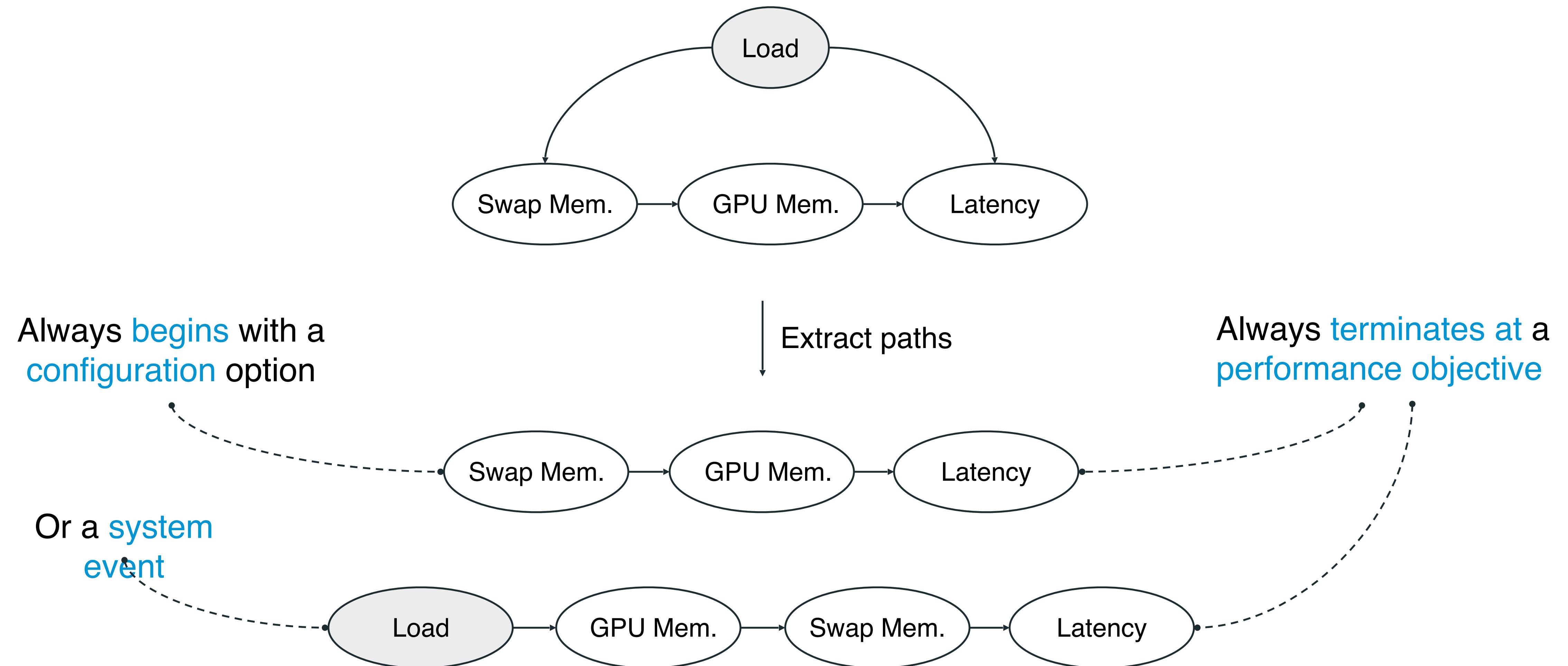
- ✗ In real world cases, this causal graph can be **very complex**
- ✗ It may be **intractable** to reason over the entire graph directly

## Solution

- ✓ Extract paths from the causal graph
- ✓ Rank them based on their **Average Causal Effect** on latency, etc.
- ✓ Reason over the **top K** paths

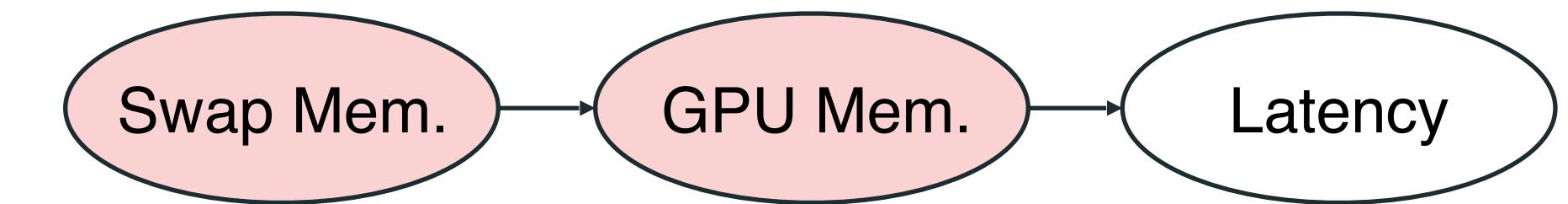


# Extracting Causal Paths from the Causal Model



# Ranking Causal Paths from the Causal Model

- They may be **too many** causal paths
- We need to select the **most useful** ones
- Compute the **Average Causal Effect (ACE)** of each pair of neighbors in a path



$$ACE(\text{GPU Mem.}, \text{Swap}) = \frac{1}{N} \sum_{a,b \in Z} \mathbb{E}\left(\text{GPU Mem.} \mid do(\text{Swap} = b)\right) - \mathbb{E}\left(\text{GPU Mem.} \mid do(\text{Swap} = a)\right)$$

Average over all permitted values of Swap memory.

Expected value of GPU Mem. when we **artificially intervene** by setting Swap to the value **b**

If this difference is large, then **small changes to Swap Mem. will cause large changes to GPU Mem.**

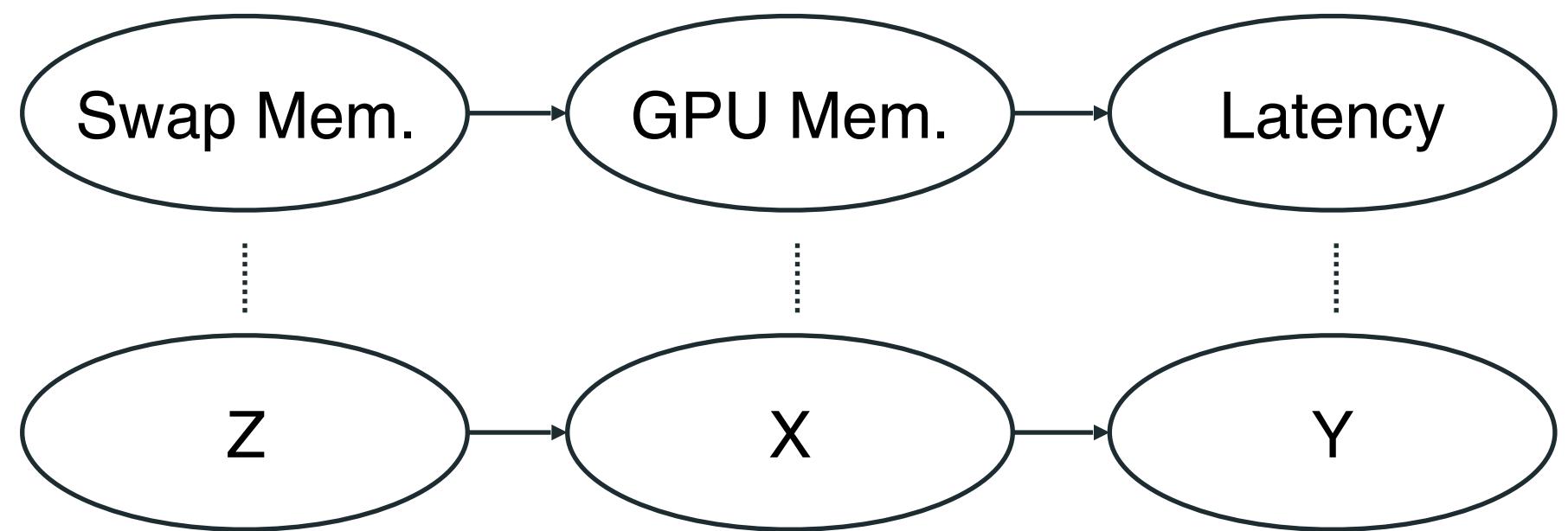
Expected value of GPU Mem. when we **artificially intervene** by setting Swap to the value **a**

# Ranking Causal Paths from the Causal Model

- Average the ACE of **all pairs of adjacent nodes** in the path

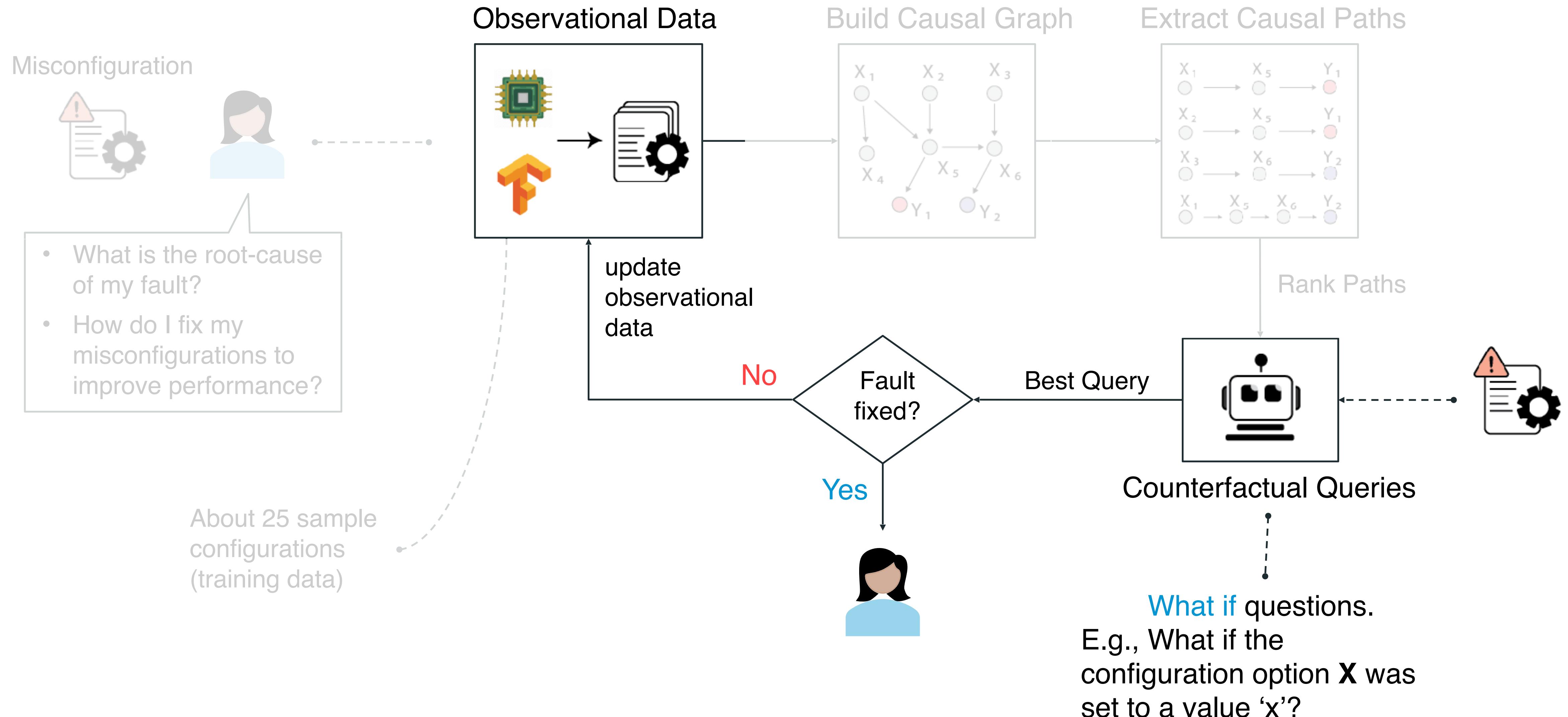
$$PACE(Z, Y) = \frac{1}{2}(ACE(Z, X) + ACE(X, Y))$$

Sum over all pairs of nodes in the causal path.



- Rank paths from **highest path ACE (PACE) score** to the lowest
- Use the **top K paths** for subsequent analysis

# Diagnosing and Fixing the Faults



# Diagnosing and Fixing the Faults

- Counterfactual inference asks “what if” questions about changes to the misconfigurations



## *Example*

“Given that my current swap memory is 2 Gb, and I have high latency. What is the probability of having low latency if swap memory was increased to 4 Gb?”

⋮

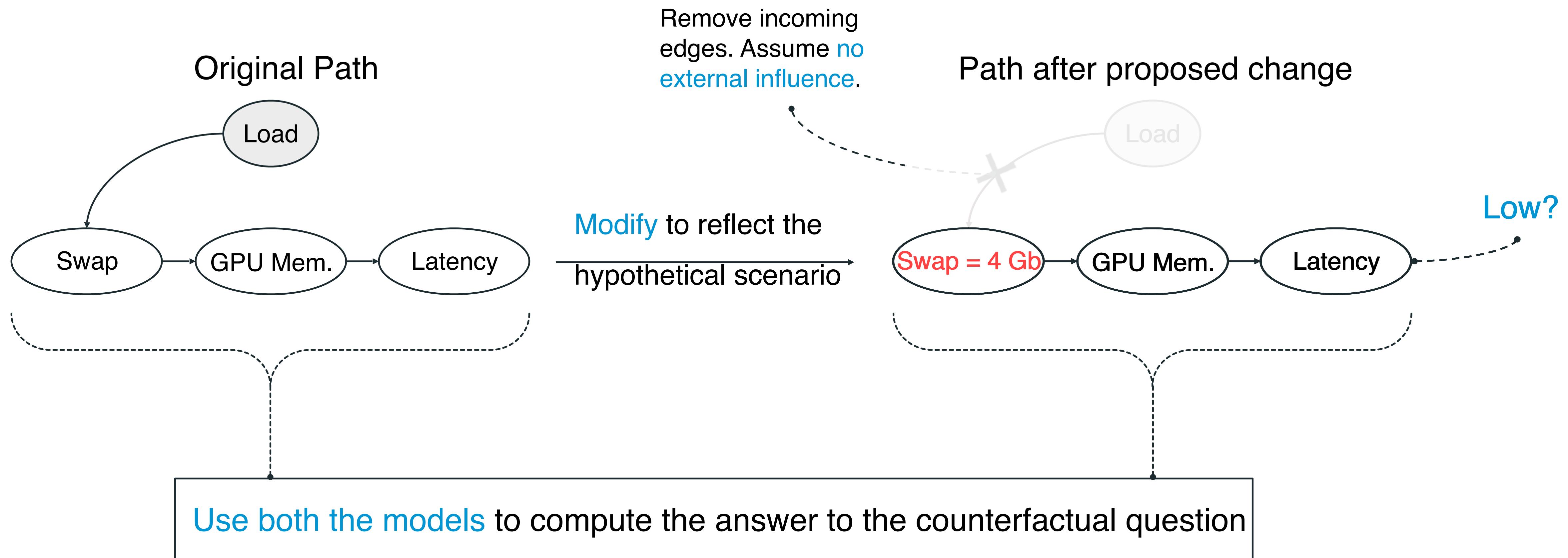
We are interested in the scenario where:

- We hypothetically have low latency;

Conditioned on the following events:

- We hypothetically set the new Swap memory to 4 Gb
- Swap Memory was initially set to 2 Gb
- We observed high latency when Swap was set to 2 Gb
- Everything else remains the same

# Diagnosing and Fixing the Faults



# Diagnosing and Fixing the Faults



$$Potential = P\left( \hat{Latency} = \text{low} \mid \hat{Swap} = 4 \text{ Gb}, Swap = 2 \text{ Gb}, Latency_{swap=2Gb} = \text{high}, U \right)$$

We expect a **low latency**

The Swap **was initially 2 Gb**

**Everything else stays the same**

The Swap is **now 4 Gb**

The latency **was high**

# Diagnosing and Fixing the Faults

$$\text{Potential} = P\left( \text{outcome} = \text{good} \mid \text{change}, \text{outcome}_{\neg\text{change}} = \text{bad}, \neg\text{change}, U \right)$$

Probability that the **outcome is good** after a change, conditioned on the past

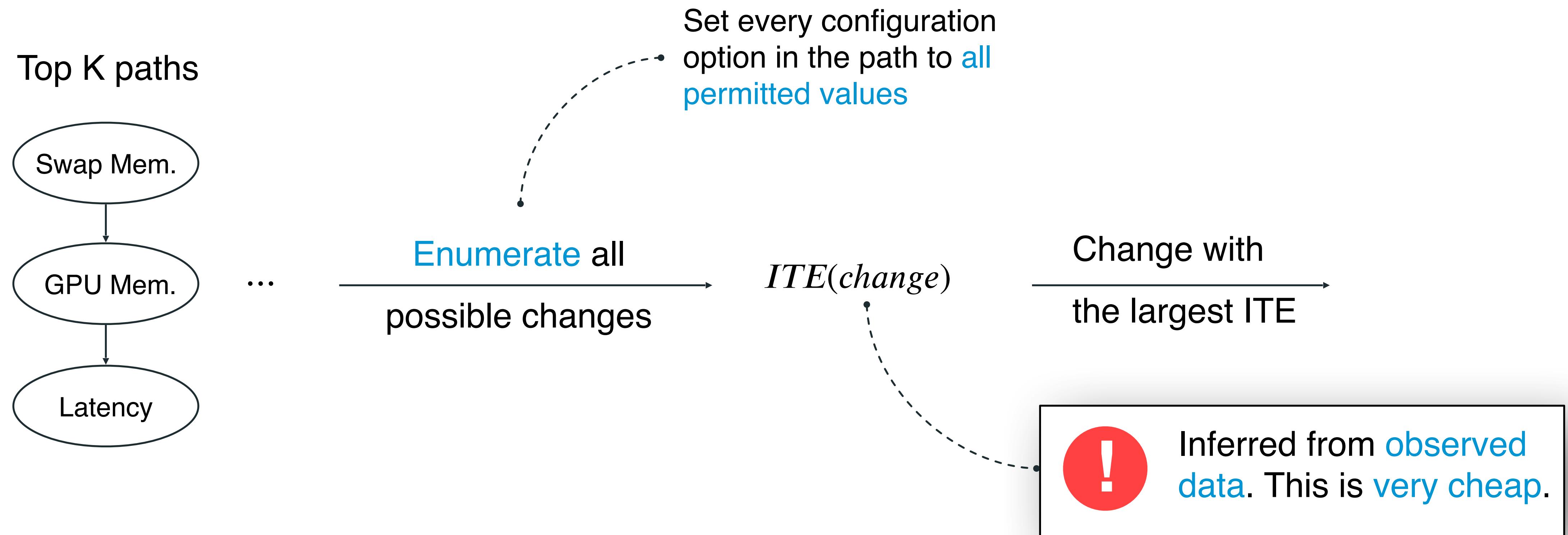
$$\text{Control} = P\left( \text{outcome} = \text{bad} \mid \neg\text{change}, U \right)$$

Probability that the **outcome was bad** before the change

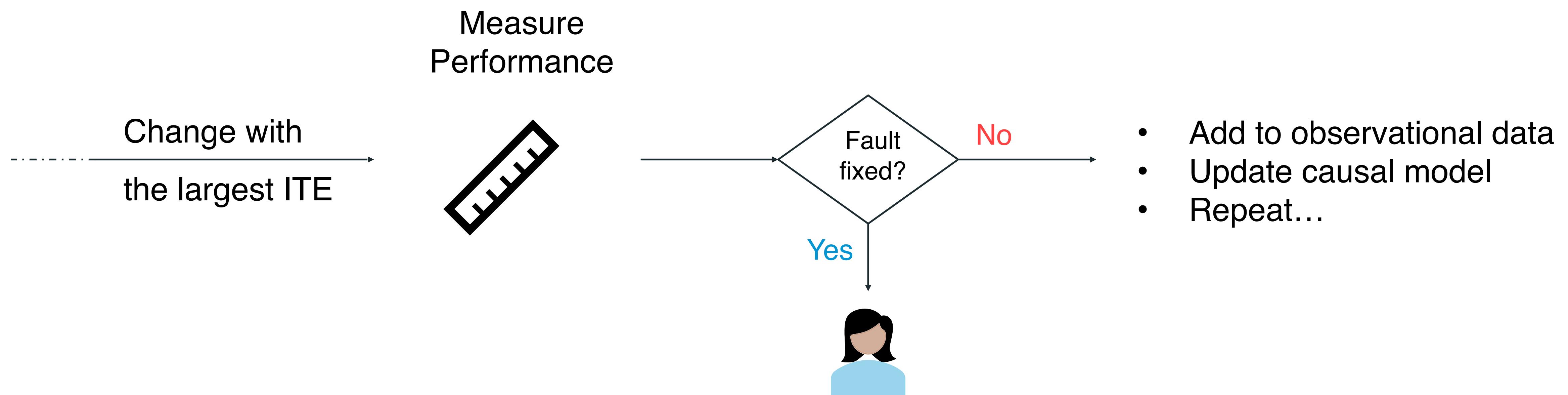
Individual Treatment Effect = Potential – Outcome

If this difference is **large**, then our change is **useful**

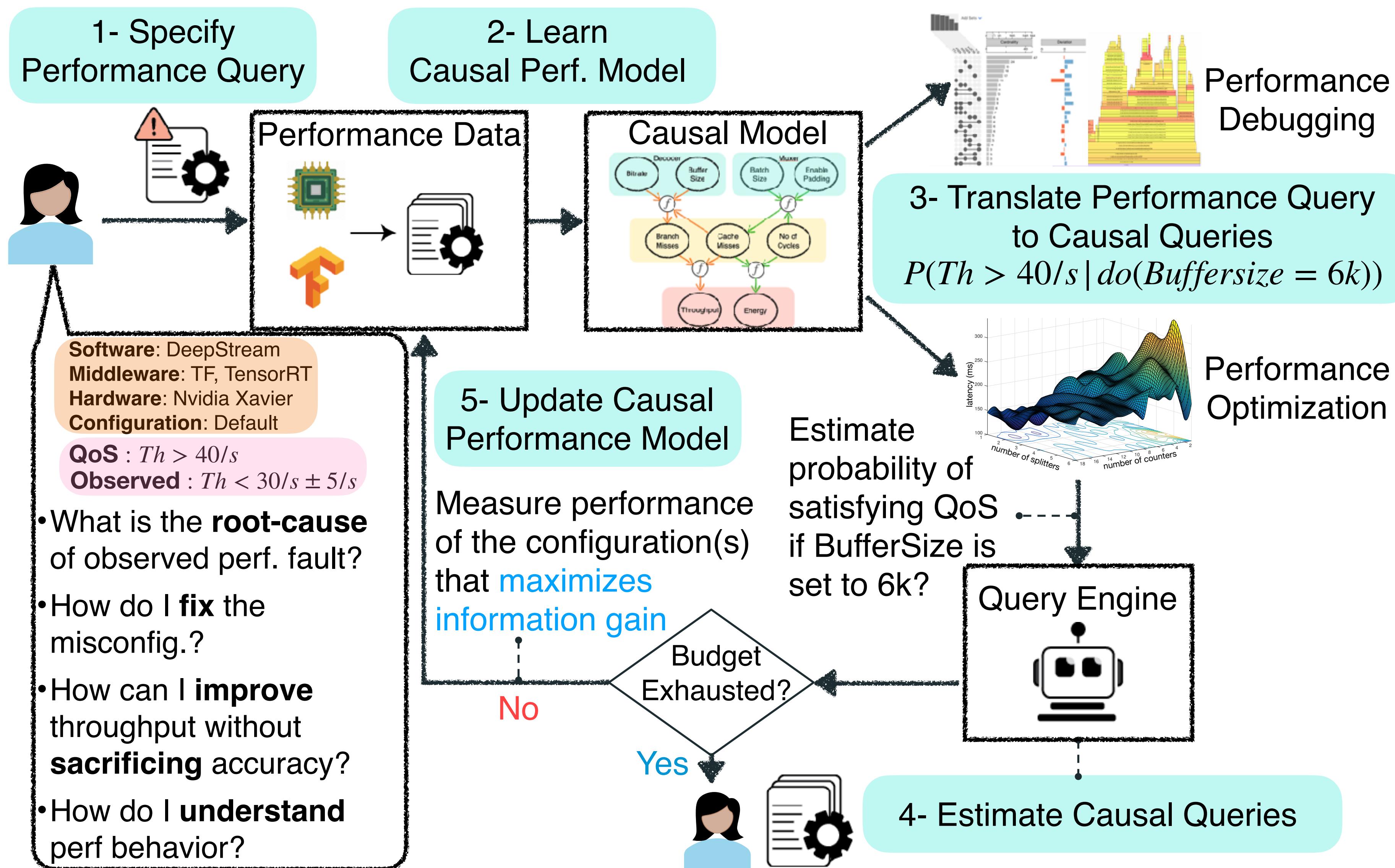
# Diagnosing and Fixing the Faults



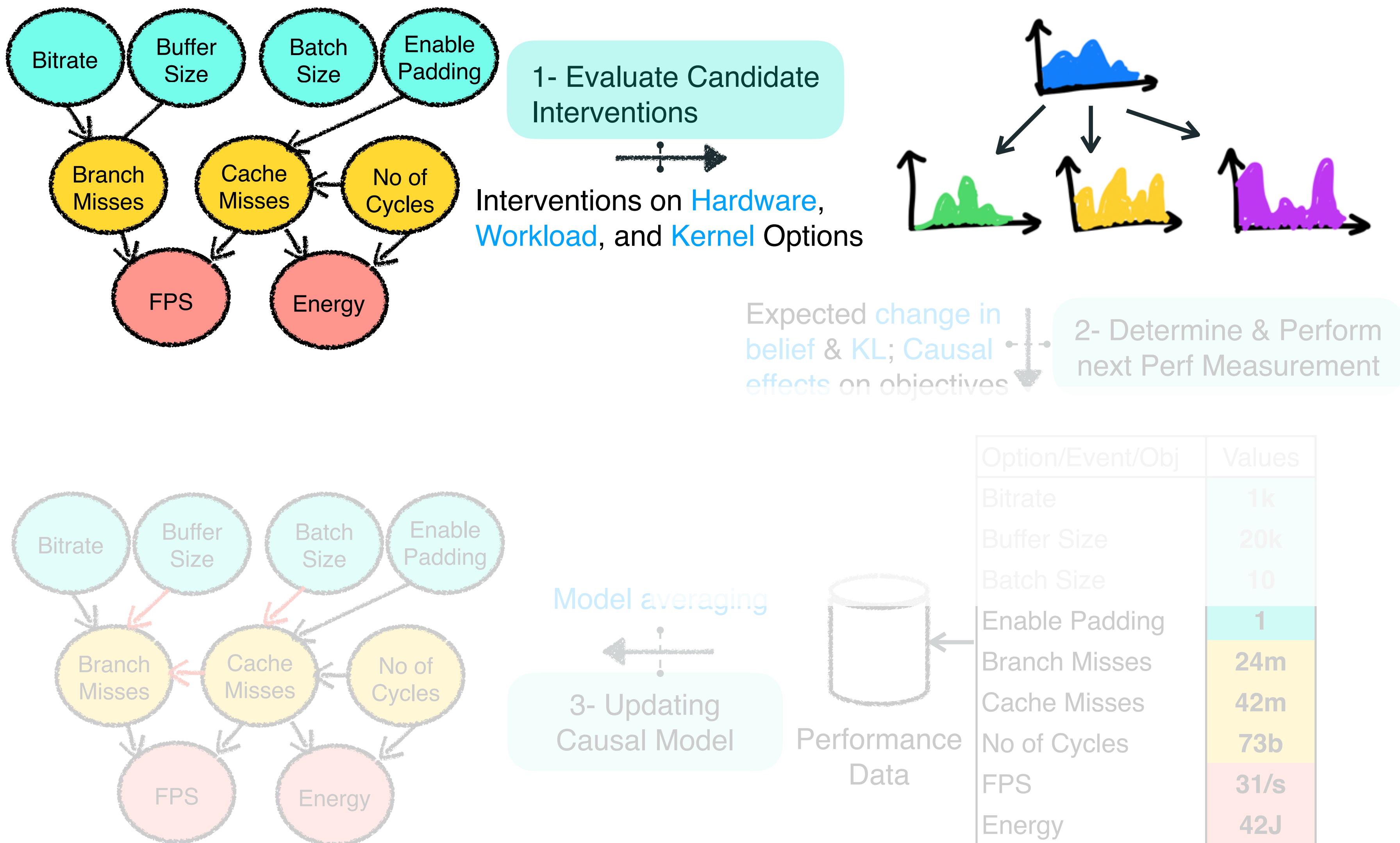
# Diagnosing and Fixing the Faults



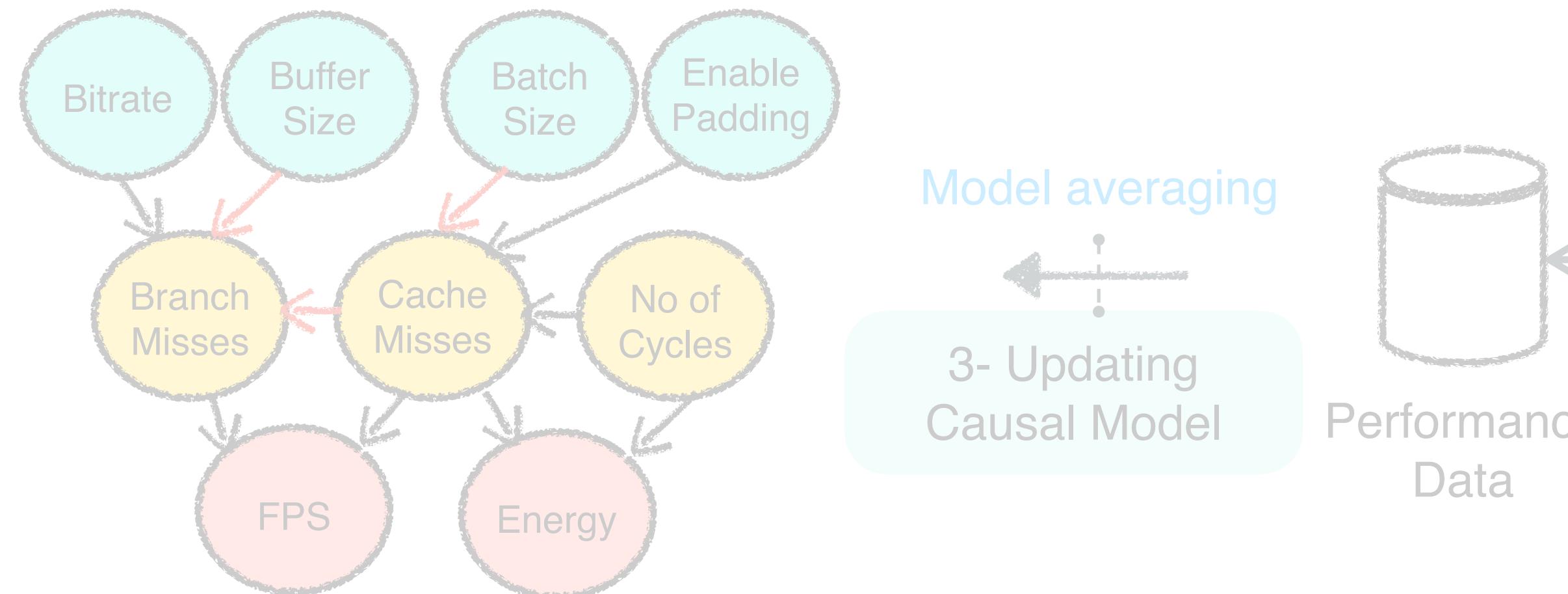
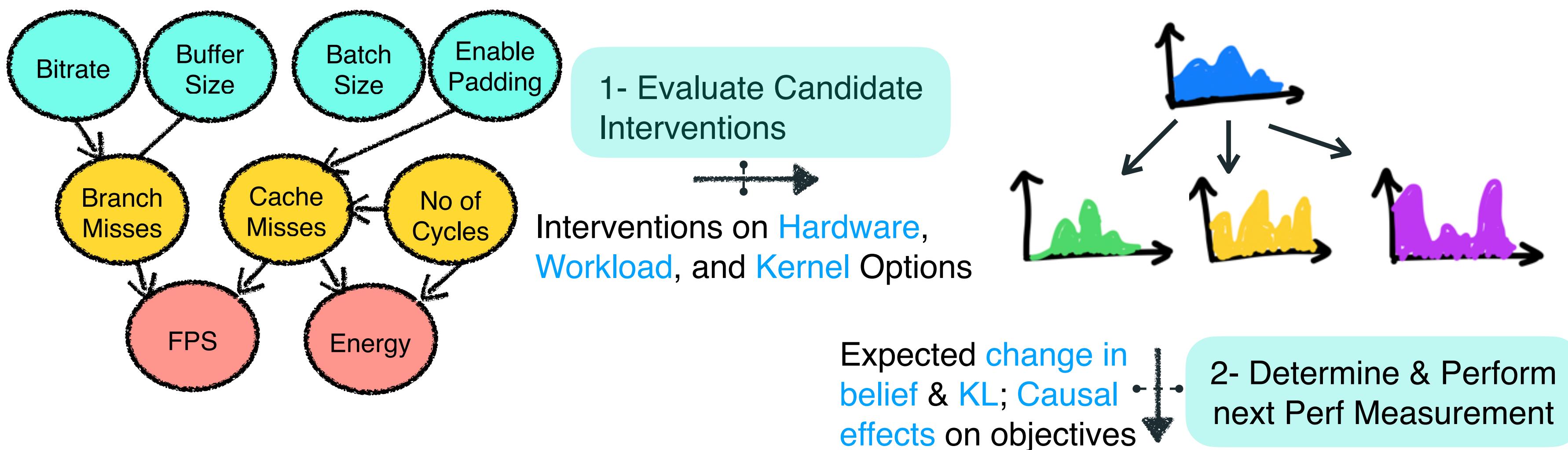
# UNICORN: Our Causal AI for Systems Method



# Active Learning for Updating Causal Performance Model

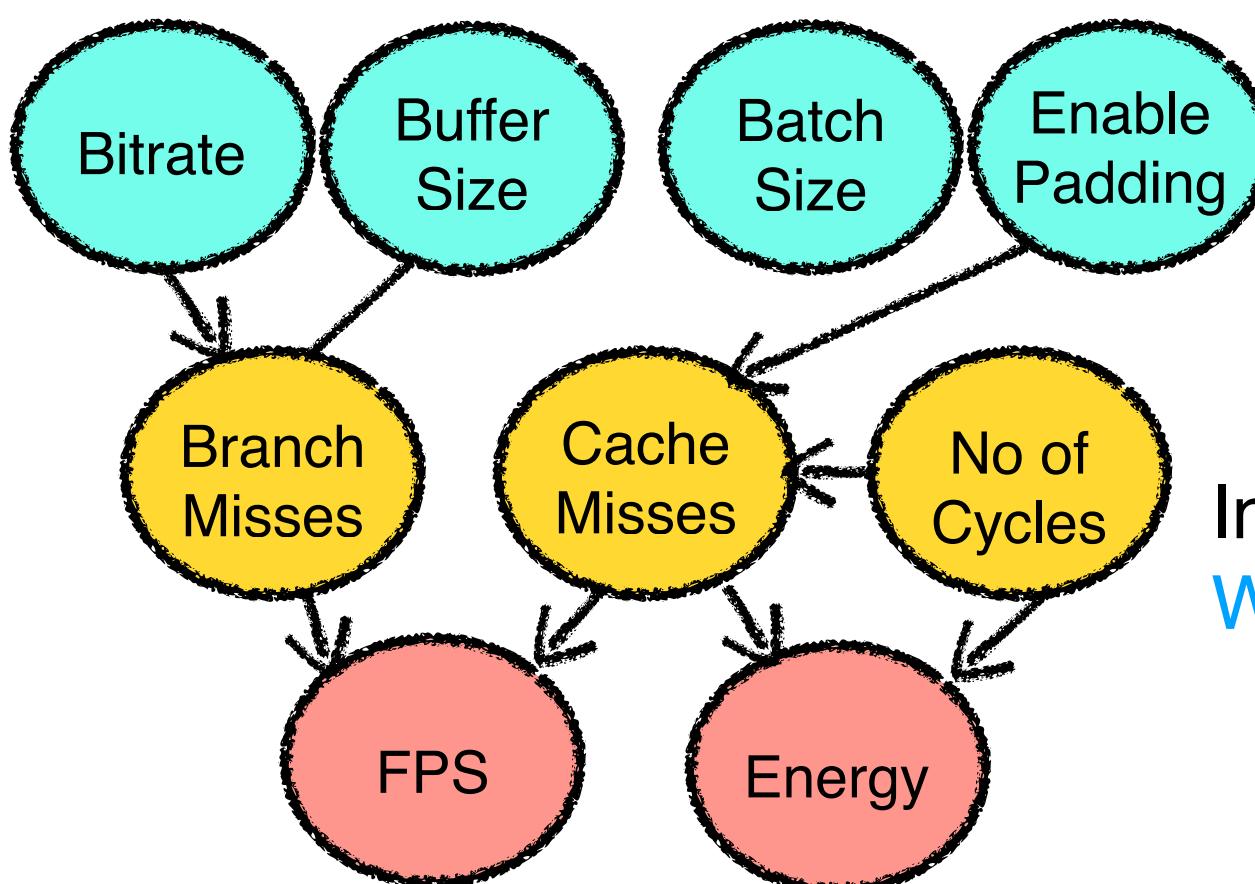


# Active Learning for Updating Causal Performance Model



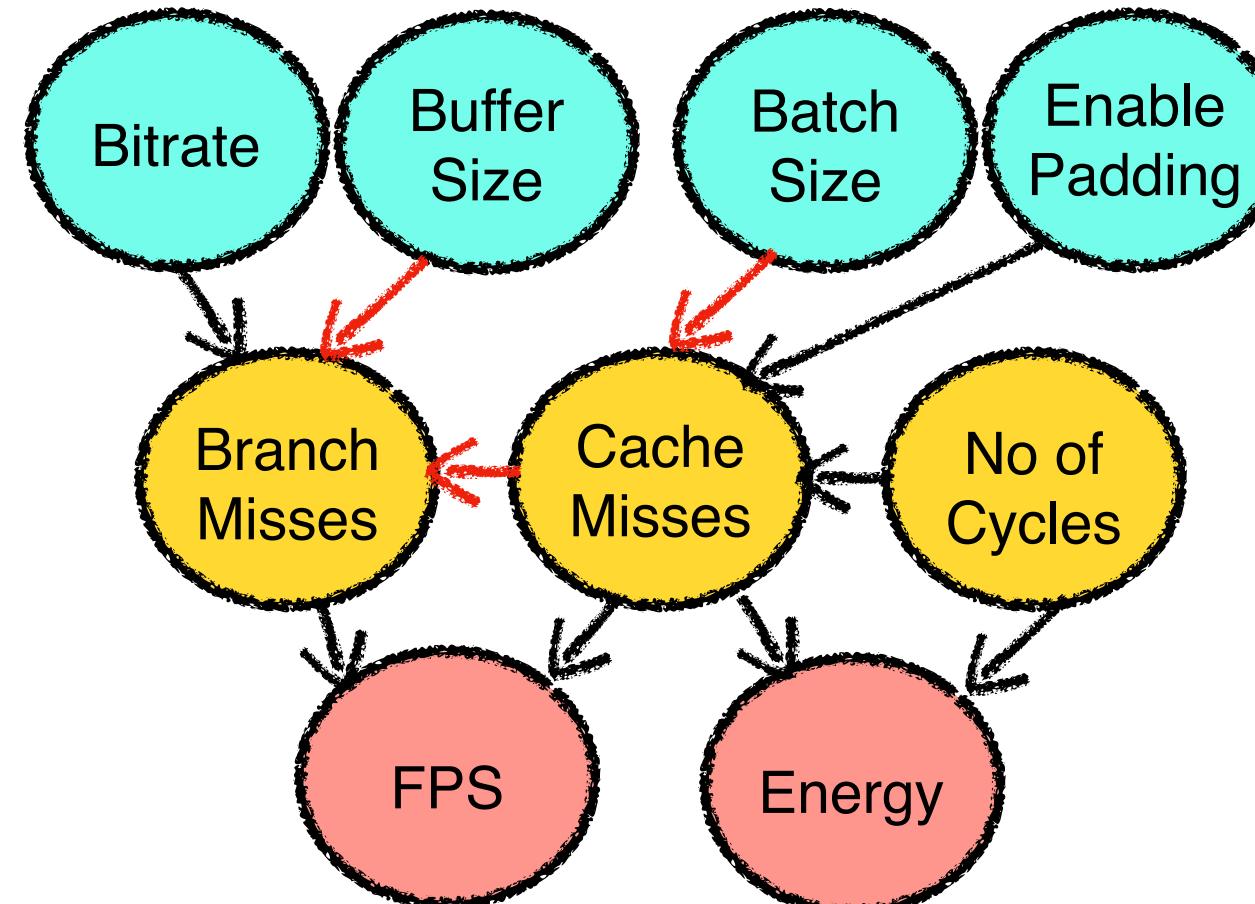
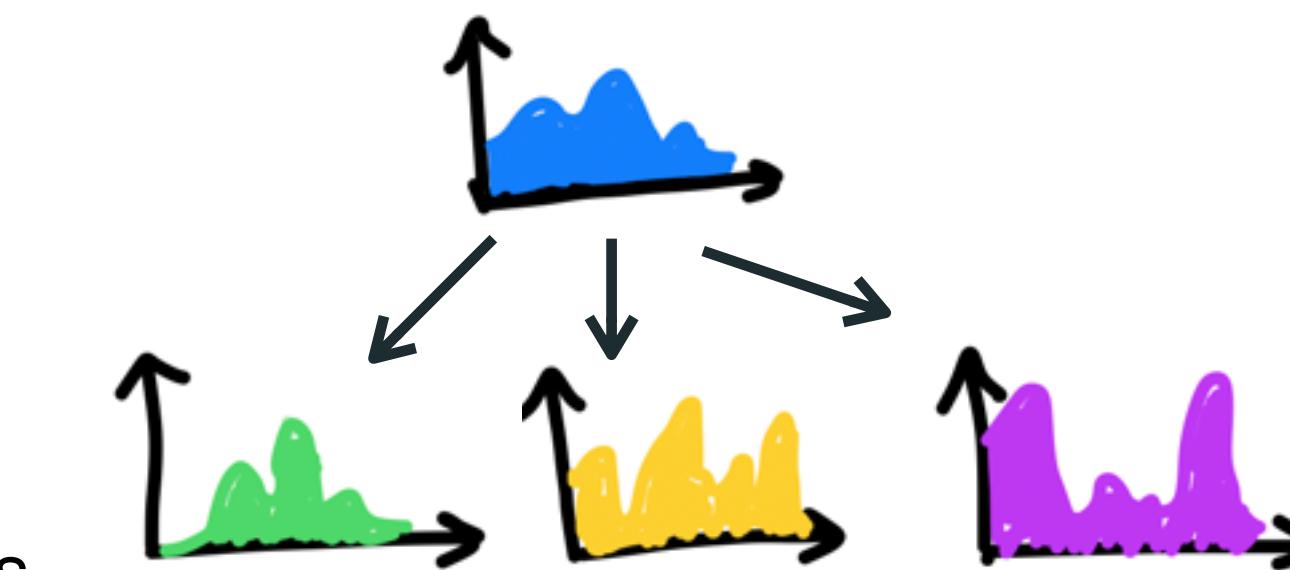
Option/Event/Obj	Values
Bitrate	1k
Buffer Size	20k
Batch Size	10
Enable Padding	1
Branch Misses	24m
Cache Misses	42m
No of Cycles	73b
FPS	31/s
Energy	42J

# Active Learning for Updating Causal Performance Model



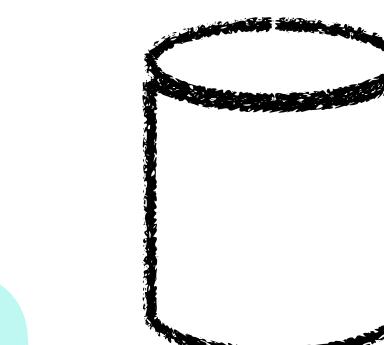
1- Evaluate Candidate Interventions

Interventions on **Hardware**,  
**Workload**, and **Kernel Options**



Model averaging

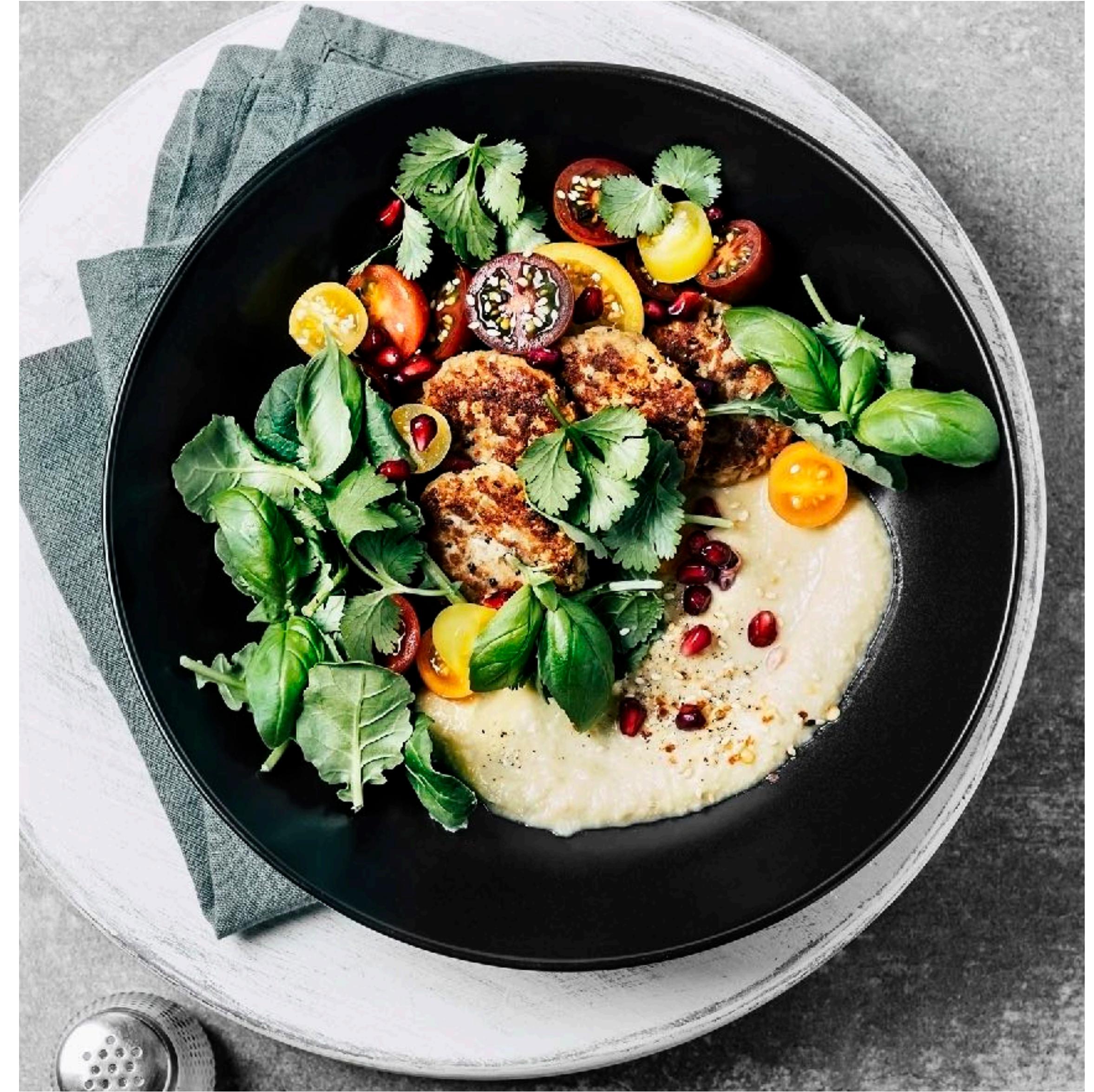
3- Updating  
Causal Model



Performance  
Data

Option/Event/Obj	Values
Bitrate	1k
Buffer Size	20k
Batch Size	10
Enable Padding	1
Branch Misses	24m
Cache Misses	42m
No of Cycles	73b
FPS	31/s
Energy	42J

# Benefits of Causal Reasoning for System Performance Analysis



**There are two fundamental benefits that we get by our “Causal AI for Systems” methodology**

**1. We learn one central (causal) performance model from the data across different performance tasks:**

- Performance **understanding**
- Performance **optimization**
- Performance **debugging** and repair
- Performance **prediction** for different environments (e.g., canary-> production)

**2. The causal model is transferable across environments.**

- We observed Sparse Mechanism Shift in systems too!
- Alternative non-causal models (e.g., regression-based models for performance tasks) are not transferable as they rely on i.i.d. setting.



# UNICORN: Reasoning about Configurable System Performance through the Lens of Causality

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## Abstract

Modern computer systems are highly configurable, with the total variability space sometimes larger than the number of atoms in the universe. Understanding and reasoning about the performance behavior of highly configurable systems, over a vast and variable space, is challenging. State-of-the-art methods for performance modeling and analyses rely on predictive machine learning models, therefore, they become (i) *unreliable in unseen environments* (e.g., different hardware,

