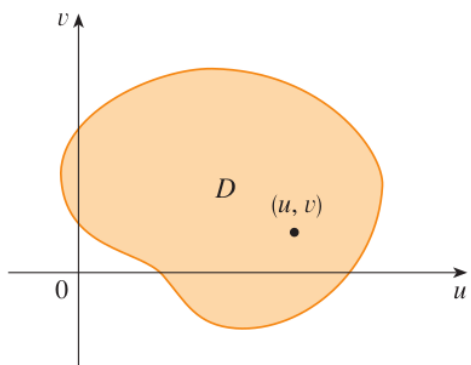
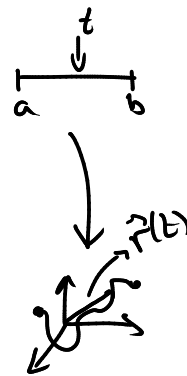
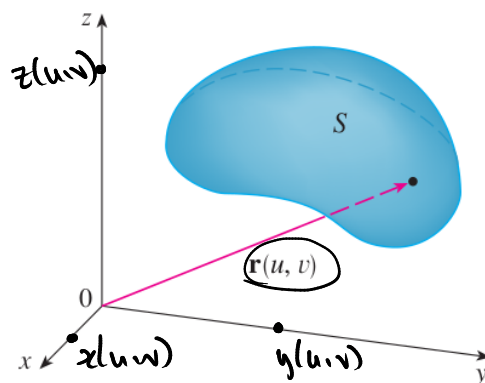


## 16.6 Parametric surfaces and Their Areas.



$\vec{r}$



Vector expression.

Need three fcts  $x, y, z: D \rightarrow \mathbb{R}$

$$\vec{r}(u, v) = \langle x(u, v), y(u, v), z(u, v) \rangle$$

$$\vec{r}(u) = \langle x(u), y(u), z(u) \rangle$$

Parametric equations.

Given by

$$x = x(u, v)$$

$$y = y(u, v)$$

$$z = z(u, v)$$

**EXAMPLE 1** Identify and sketch the surface with vector equation

$$\vec{r}(u, v) = 2 \cos u \mathbf{i} + v \mathbf{j} + 2 \sin u \mathbf{k}$$

$$x(u, v) = 2 \cos u, \quad y(u, v) = v, \quad z(u, v) = 2 \sin u.$$

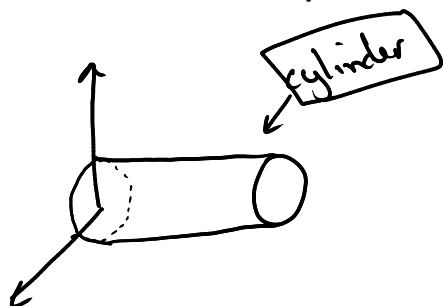
So,

$$x^2 + z^2 = 2^2 \cos^2 u + 2^2 \sin^2 u = 4$$

$$\Rightarrow x^2 + z^2 = 4 \quad \rightarrow \text{circle.}$$

Now,  $y = v$  (no restriction on  $v$ )

$\rightarrow$  translate the circle  $x^2 + z^2 = 4$  along the  $y$ -axis.

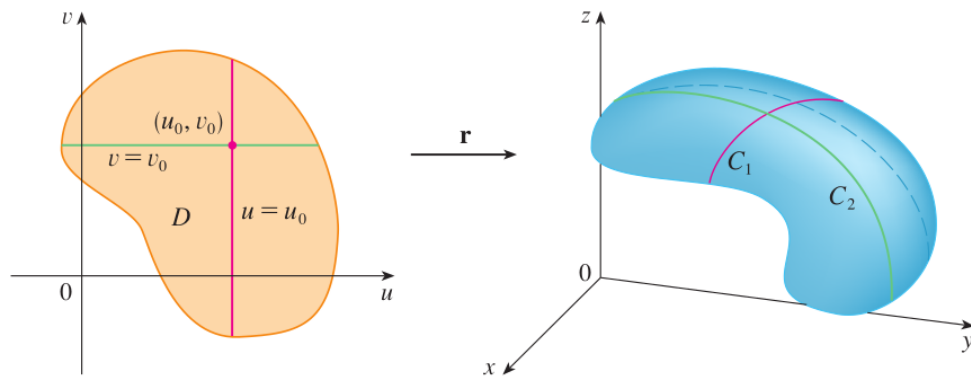


Question: What happen to the surface if we restric one of the parameter?

Fix  $u = 0$ , then

$$\vec{r}(v) \leftarrow \vec{r}(0, v) = \langle x(0, v), y(0, v), z(0, v) \rangle$$

Grid curves.



$C_1: \vec{r}(u_0, v)$   
 $\hookrightarrow$  param. of a curve.  
 $C_2: \vec{r}(u, v_0)$   
 $\hookrightarrow$  param. of a curve.

**EXAMPLE 2** Use a computer algebra system to graph the surface

$$\mathbf{r}(u, v) = \langle (2 + \sin v) \cos u, (2 + \sin v) \sin u, u + \cos v \rangle$$

Which grid curves have  $u$  constant? Which have  $v$  constant?

Python:  $x = (2 + \sin v) \cos u$   $y = (2 + \sin v) \sin u$   
 or  $z = u + \cos v$   
 software

Grid curves.

$$u=0 \rightarrow \vec{r}(0, v) = \langle 2 + \sin v, 0, \cos v \rangle$$

$$= \langle 2, 0, 0 \rangle + \langle \sin v, 0, \cos v \rangle$$

$$v=0 \rightarrow \vec{r}(u, 0) = \langle 2 \cos u, 2 \sin u, u \rangle$$

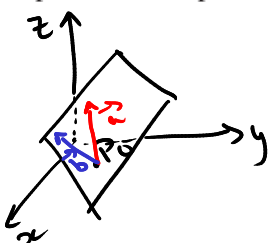
see python's script.

**EXAMPLE 3** Find a vector function that represents the plane that passes through the point  $P_0$  with position vector  $\mathbf{r}_0$  and that contains two nonparallel vectors  $\mathbf{a}$  and  $\mathbf{b}$ .

$$ax + by + cz = d$$

$$\vec{n} = \langle a, b, c \rangle$$

$$\vec{r} \cdot (\langle x, y, z \rangle - P_0) = 0$$



The points on the plane are obtained by moving along the direction of  $\vec{a}$  and  $\vec{b}$

1st) Move to  $P_0$ .  
 2nd) Move in the direction  $\vec{a}$  &/or  $\vec{b}$ .

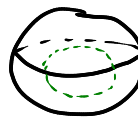
$$P_0 = \vec{r}_0$$

$$\rightarrow \boxed{\vec{r}(u, v) = \vec{r}_0 + u\vec{a} + v\vec{b}}$$

**EXAMPLE 4** Find a parametric representation of the sphere

$$x^2 + y^2 + z^2 = a^2$$

$$\begin{matrix} a=2 \\ a=3 \end{matrix}$$



Recall:  $x = \rho \cos \theta \sin \phi$      $y = \rho \sin \theta \sin \phi$      $z = \rho \cos \phi$

Fix  $\rho = a$ ,     $u = \theta$  &     $v = \phi$

$$\Rightarrow \vec{r}(u, v) = \langle a \cos u \sin v, a \sin u \sin v, a \cos v \rangle$$

$$0 \leq u \leq 2\pi \quad 0 \leq v \leq \pi$$

$x, y$  as parameters

$$\vec{r}_+(x, y) = \langle x, y, \sqrt{a^2 - x^2 - y^2} \rangle$$

**EXAMPLE 6** Find a vector function that represents the elliptic paraboloid  $z = x^2 + 2y^2$ .

Simple sol.

$$\begin{matrix} u=x \\ v=y \end{matrix} \quad \rightarrow \quad \vec{r}(u, v) = \left\langle \underbrace{u}_x, \underbrace{v}_y, \underbrace{u^2 + 2v^2}_z \right\rangle$$

More interesting approach.

$$\begin{matrix} u = \rho \text{ (radius)} \\ v = \theta \text{ (angle)} \end{matrix} \quad \begin{matrix} x = \rho \cos \theta \\ y = \rho \sin \theta \end{matrix} \quad \rightarrow \quad z = \rho^2 \cos^2 \theta + 2\rho^2 \sin^2 \theta$$

Instead,  $\rho = \frac{f}{\sqrt{z}} \sin \theta \rightarrow z = \rho^2$

So,  $\vec{r}(\rho, \theta) = \left\langle \rho \cos \theta, \frac{\rho}{\sqrt{z}} \sin \theta, \rho^2 \right\rangle$      $\rho \geq 0$   
 $0 \leq \theta \leq 2\pi$

**EXAMPLE 7** Find a parametric representation for the surface  $z = 2\sqrt{x^2 + y^2}$ , that is, the top half of the cone  $z^2 = 4x^2 + 4y^2$ .

$$x^2 + y^2 - \frac{z^2}{4} = 1$$

$$x = \rho \cos \theta \quad y = \rho \sin \theta \quad \rho \geq 0 \text{ & } 0 \leq \theta \leq 2\pi$$

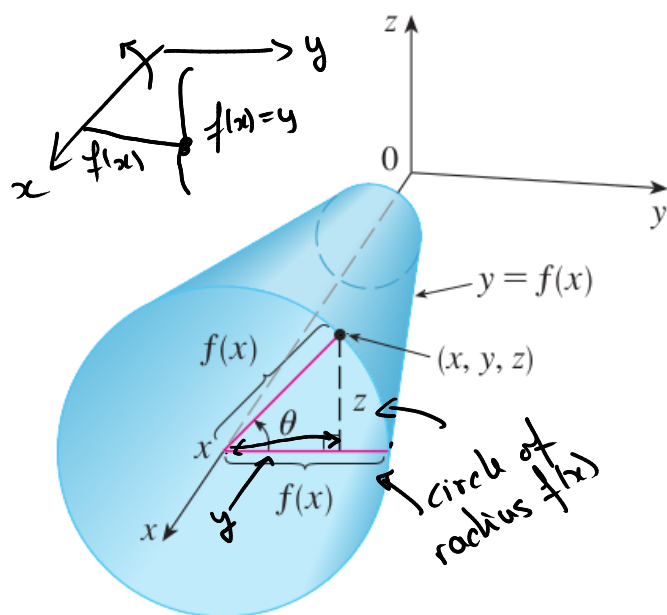
$$\Rightarrow z = 2\sqrt{\rho^2} = 2\rho$$

$$\vec{r}(\underbrace{\rho}_u, \underbrace{\theta}_v) = \langle \rho \cos \theta, \rho \sin \theta, 2\rho \rangle$$

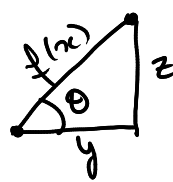
Also,

$$\vec{r}(\underbrace{x}_u, \underbrace{y}_v) = \langle x, y, 2\sqrt{x^2 + y^2} \rangle$$

## Surfaces of revolution.



Equations.  $f$  in one variable.  $a \leq x \leq b$



$$z = f(x) \sin \theta$$

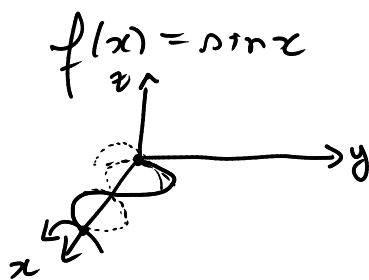
$$y = f(x) \cos \theta$$

So,

$$\vec{r}(x, \theta) = \langle x, f(x) \cos \theta, f(x) \sin \theta \rangle$$

$$a \leq x \leq b \quad \& \quad 0 \leq \theta \leq 2\pi$$

**EXAMPLE 8** Find parametric equations for the surface generated by rotating the curve  $y = \sin x$ ,  $0 \leq x \leq 2\pi$ , about the  $x$ -axis. Use these equations to graph the surface of revolution.



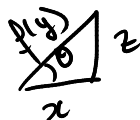
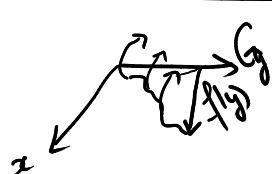
$$f(x) = \sin x \quad 0 \leq x \leq 2\pi$$

$$\vec{r}(x, \theta) = \langle x, \sin x \cos \theta, \sin x \sin \theta \rangle$$

(see Python script)

Question: What are the equations of a surface obtained by rotating a function about another axis?

About  $y$ -axis.  $x = f(y)$



$$z = f(y) \sin \theta$$

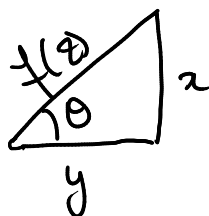
$$x = f(y) \cos \theta$$

$$\vec{r}(y, \theta) = \langle f(y) \cos \theta, y, f(y) \sin \theta \rangle$$

$$a \leq y \leq b, \quad 0 \leq \theta \leq 2\pi$$



About  $z$ -axis.  $y = f(z)$



$$x = f(z) \sin \theta$$

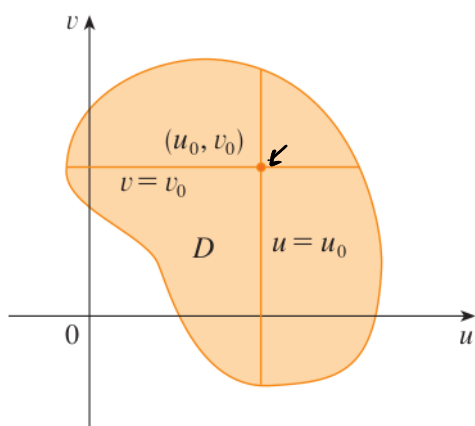
$$y = f(z) \cos \theta$$

$$\vec{r}(z, \theta) = \langle f(z) \sin \theta, f(z) \cos \theta, z \rangle$$

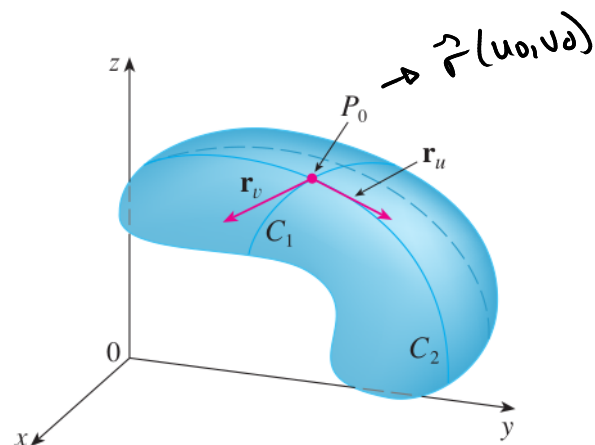
$$a \leq z \leq b \quad \& \quad 0 \leq \theta \leq 2\pi$$



Recall.  $\vec{r}(u,v) = P_0 + u\vec{a} + v\vec{b}$  (parametrization of a plane).



$\vec{r}$



$u = u_0$   $\vec{r}(u,v)$  represents a curve  $C_1$ . So,  $\vec{r}_v(u_0, v_0)$  is the tangent vector at  $P_0$  of  $C_1$ .

$v = v_0$   $\vec{r}(u,v)$  represents a curve  $C_2$ . So,  $\vec{r}_u(u_0, v_0)$  is the tangent vector at  $P_0$  of  $C_2$ .

Eq. tangent plane at  $P_0$ :  $\vec{r}(u,v) = P_0 + u\vec{r}_u(u_0, v_0) + v\vec{r}_v(u_0, v_0)$

**EXAMPLE 9** Find the tangent plane to the surface with parametric equations  $x = u^2$ ,  $y = v^2$ ,  $z = u + 2v$  at the point  $(1, 1, 3)$ .

① Derivatives.

$$\vec{r}(u,v) = \langle u^2, v^2, u+2v \rangle \longrightarrow$$

$$\vec{r}_u = \langle 2u, 0, 1 \rangle$$

$$\vec{r}_v = \langle 0, 2v, 2 \rangle$$

② Find  $u_0, v_0$  s.t.  $\vec{r}(u_0, v_0) = \langle 1, 1, 3 \rangle$

$$\langle u_0^2, v_0^2, u_0 + 2v_0 \rangle = \langle 1, 1, 3 \rangle$$

$$\Rightarrow u_0^2 = 1, v_0^2 = 1, u_0 + 2v_0 = 3$$

$$\Rightarrow u_0 = \pm 1, v_0 = \pm 1, u_0 + 2v_0 = 3$$

$$u_0 = 1 \text{ \& } v_0 = 1 \Rightarrow u_0 + 2v_0 = 3 \checkmark$$

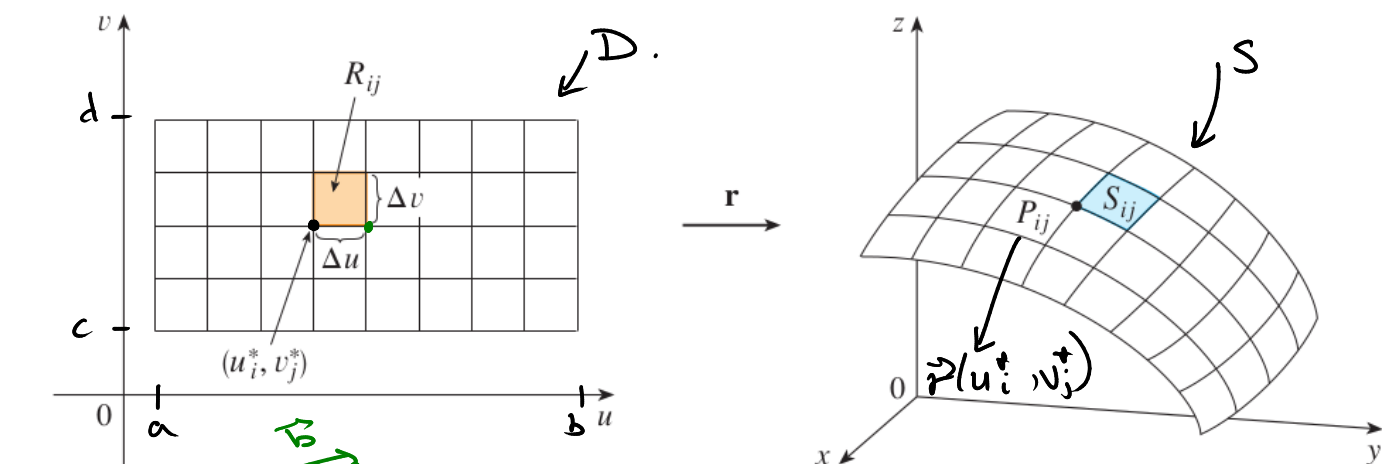
$$\vec{r}_u(u_0, v_0) = \vec{r}_u(1, 1) = \langle 2, 0, 1 \rangle$$

$$\vec{r}_v(u_0, v_0) = \vec{r}_v(1, 1) = \langle 0, 2, 2 \rangle$$

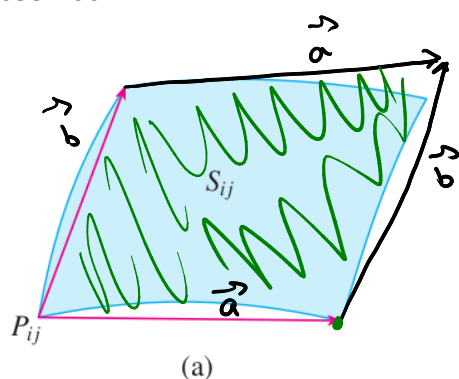
$$\vec{r}_{\pi}(u,v) = P_0 + u\langle 2, 0, 1 \rangle + v\langle 0, 2, 2 \rangle = \boxed{\langle 1+2u, 1+2v, 3+u+3v \rangle}$$

# Surface Area.

Say  $S$  is a surface  $\vec{r}(u,v) = \langle x(u,v), y(u,v), z(u,v) \rangle$ .  
Let  $D$  be the domain of the surface.



Closer look.

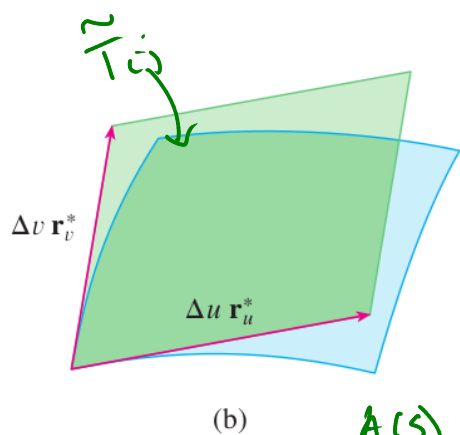


$S_{ij} \approx$  parallelogram  $T_{ij}$  generated by  $\vec{a}$  &  $\vec{b}$

$$\vec{a} = \vec{r}(u_i + \Delta u, v_j) - \vec{r}(u_i, v_j)$$

$$\vec{b} = \vec{r}(u_i, v_j + \Delta v) - \vec{r}(u_i, v_j)$$

$$\Rightarrow A(S_{ij}) \approx A(T_{ij})$$



$$\text{But, } \vec{a} \approx \vec{r}_u(u_0, v_0) \Delta u$$

$$\vec{b} \approx \vec{r}_v(u_0, v_0) \Delta v$$

$$\text{So, } A(T_{ij}) \approx A(\tilde{T}_{ij})$$

$$\text{But } A(\tilde{T}_{ij}) = |\Delta u \vec{r}_u(u_0, v_0) \times \Delta v \vec{r}_v(u_0, v_0)|$$

$$= \Delta u \Delta v |\vec{r}_u(u_0, v_0) \times \vec{r}_v(u_0, v_0)|$$

$$A(S) \approx \sum A(S_{ij}) \approx \sum A(\tilde{T}_{ij}) \approx \sum |\vec{r}_u \times \vec{r}_v| \Delta u \Delta v$$

**6 Definition** If a smooth parametric surface  $S$  is given by the equation

$$\mathbf{r}(u, v) = x(u, v) \mathbf{i} + y(u, v) \mathbf{j} + z(u, v) \mathbf{k} \quad (u, v) \in D$$

and  $S$  is covered just once as  $(u, v)$  ranges throughout the parameter domain  $D$ , then the **surface area** of  $S$  is

$$A(S) = \iint_D |\mathbf{r}_u \times \mathbf{r}_v| dA$$

$$\text{where } \mathbf{r}_u = \frac{\partial x}{\partial u} \mathbf{i} + \frac{\partial y}{\partial u} \mathbf{j} + \frac{\partial z}{\partial u} \mathbf{k} \quad \mathbf{r}_v = \frac{\partial x}{\partial v} \mathbf{i} + \frac{\partial y}{\partial v} \mathbf{j} + \frac{\partial z}{\partial v} \mathbf{k}$$

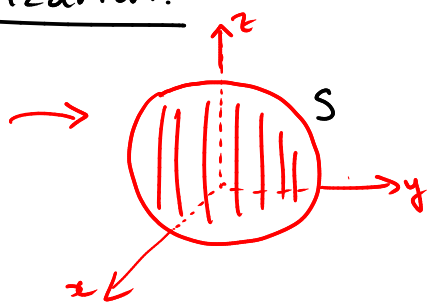
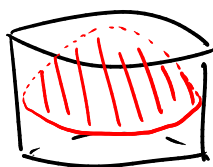
$$ds = |\vec{r}_u \times \vec{r}_v| dA$$

$$\vec{r}(u,v)$$

41. The part of the plane  $x + 2y + 3z = 1$  that lies inside the cylinder  $x^2 + y^2 = 3$

(Find the area)

① Parametrization.



$$z = \frac{1}{3} - \frac{x}{3} - \frac{2}{3}y$$

$$\text{w.r.t. } x^2 + y^2 \leq 3 = (\sqrt{3})^2$$

$$x = p \cos \theta \quad y = p \sin \theta$$

$$\Rightarrow z = \frac{1}{3} - \frac{p}{3} \cos \theta - \frac{2}{3} p \sin \theta$$

$$\text{with } 0 \leq p \leq \sqrt{3} \text{ \& } 0 \leq \theta \leq 2\pi.$$

$$\vec{r}(p, \theta) = \left\langle p \cos \theta, p \sin \theta, \frac{1}{3} - \frac{p}{3} \cos \theta - \frac{2}{3} p \sin \theta \right\rangle.$$

② Surface Area.

$$A(S) = \iint_D |\vec{r}_u \times \vec{r}_v| dA. \quad \text{p, \theta-plane.}$$

$$ds = \text{jacobian}$$

$$u = p \text{ \& } v = \theta.$$

$$\vec{r}_p = \left\langle \cos \theta, \sin \theta, -\frac{\cos \theta}{3} - \frac{2}{3} \sin \theta \right\rangle$$

$$\vec{r}_\theta = \left\langle -p \sin \theta, p \cos \theta, \frac{p}{3} \sin \theta - \frac{2}{3} p \cos \theta \right\rangle.$$

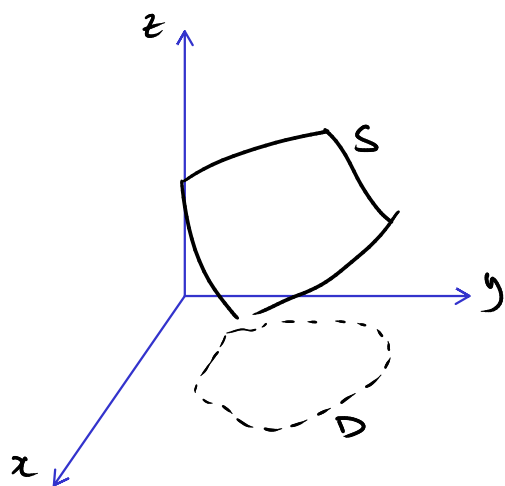
$$\vec{r}_p \times \vec{r}_\theta = \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ \cos \theta & \sin \theta & -\frac{\cos \theta}{3} - \frac{2}{3} \sin \theta \\ -p \sin \theta & p \cos \theta & \frac{p}{3} \sin \theta - \frac{2}{3} p \cos \theta \end{vmatrix}$$

$$= \left\langle p/3, -2/3 p, p \right\rangle$$

$$\Rightarrow |\vec{r}_p \times \vec{r}_\theta| = \frac{p}{3} \sqrt{14}.$$

$$A(S) = \int_0^{2\pi} \int_0^{\sqrt{3}} \frac{p}{3} \sqrt{14} dp d\theta$$





$$z = g(x, y)$$

$$\vec{r}(x, y) = \langle x, y, \overset{z}{g(x, y)} \rangle \quad (x, y) \in D$$

$$\vec{r}_x = \langle 1, 0, g_x \rangle$$

$$\vec{r}_y = \langle 0, 1, g_y \rangle$$

$$\vec{r}_x \times \vec{r}_y = \langle -g_x, -g_y, 1 \rangle$$

$$\Rightarrow |\vec{r}_x \times \vec{r}_y| = \sqrt{\underset{\substack{\uparrow \\ z_x}}{g_x^2} + \underset{\substack{\uparrow \\ z_y}}{g_y^2} + 1}$$

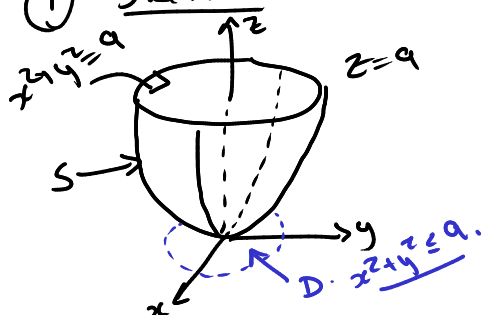
$$\left(\frac{\partial z}{\partial x}\right)^2$$

$$L(C) = \int_a^b \sqrt{x'(t)^2 + y'(t)^2 + z'(t)^2} dt$$

$$A(S) = \iint_D \sqrt{1 + \left(\frac{\partial z}{\partial x}\right)^2 + \left(\frac{\partial z}{\partial y}\right)^2} dA$$

**EXAMPLE 11** Find the area of the part of the paraboloid  $z = x^2 + y^2$  that lies under the plane  $z = 9$ .

① Sketch.



② Formula.

$$z = x^2 + y^2 \rightarrow \begin{aligned} z_x &= 2x \\ z_y &= 2y \end{aligned}$$

$$1 + z_x^2 + z_y^2 = 1 + 4x^2 + 4y^2$$

So,

$$A(S) = \iint_{D: x^2 + y^2 \leq 9} \sqrt{1 + 4(x^2 + y^2)} dA$$

$$= \int_0^{2\pi} \int_0^3 \sqrt{1 + 4r^2} r dr d\theta$$

$$= \frac{\pi}{6} (37^{3/2} - 1)$$

$$\approx \boxed{117.32}$$

$$\begin{aligned} x &= r \cos \theta & 0 \leq r \leq 3 \\ y &= r \sin \theta & 0 \leq \theta \leq 2\pi \end{aligned}$$

$$\begin{aligned} u &= 1 + 4r^2 \\ du &= 8r dr \end{aligned}$$