

# MATH 311

## CHAPTER 6

### SECTION 6.1: VECTOR SPACES

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## Column Vectors

Recall that

$$\mathbb{R}^n = \{\mathbf{x} : \mathbf{x} \text{ is an } n \times 1 \text{ vector}\}.$$

① For addition:

A1.  $\vec{x}, \vec{y} \Rightarrow \vec{x} + \vec{y} \in \mathbb{R}^n$  .

A2.  $\vec{x} + \vec{y} = \vec{y} + \vec{x}$  (Commutativity) .

A3.  $(\vec{x} + \vec{y}) + \vec{z} = \vec{x} + (\vec{y} + \vec{z})$  (Assoc.)

A4.  $\vec{x} + \vec{0} = \vec{x} = \vec{0} + \vec{x}$

A5. For any  $\vec{x}$ , there is a  $\vec{y}$  s.t.  
 $\vec{x} + \vec{y} = \vec{y} + \vec{x} = \vec{0}$  (here  $\vec{y} = -\vec{x}$ )

② For scalar multiplication:

S1.  $\vec{x}$  and  $a \in \mathbb{R} \Rightarrow a\vec{x} \in \mathbb{R}^n$  .

S2.  $a(\vec{x} + \vec{y}) = a\vec{x} + a\vec{y}$  .

S3.  $(a+b)\vec{x} = a\vec{x} + b\vec{x}$

S4.  $a(b\vec{x}) = (ab)\vec{x}$

S5.  $1\vec{x} = \vec{x}$

Conclusion:  $\mathbb{R}^n$  is a vector space .

# General Definition

Let  $V$  be a set of objects called **vectors**. Assume

1. **Vector Addition:** Two vectors  $\mathbf{v}$  and  $\mathbf{w}$  can be added and denote this operation by  $\mathbf{v} + \mathbf{w}$ .
2. **Scalar Multiplication:** Any vector  $\mathbf{v}$  can be multiplied by any number (scalar)  $a$  and denote this operation by  $a\mathbf{v}$ .

The set  $V$  is called a **vector space** if

1. Axioms for the vector addition:

[A1.] Closed:  $\mathbf{v}, \mathbf{w} \in V \Rightarrow \mathbf{v} + \mathbf{w} \in V$ .

[A2.] Commutativity:  $\mathbf{v} + \mathbf{w} = \mathbf{w} + \mathbf{v}$ .

[A3.] Associativity:  $\mathbf{v} + (\mathbf{w} + \mathbf{z}) = (\mathbf{v} + \mathbf{w}) + \mathbf{z}$ .

[A4.] Existence of a zero vector:  $\mathbf{v} + \mathbf{0} = \mathbf{v} = \mathbf{0} + \mathbf{v}$ .

[A5.] Existence of a negative: For each  $\mathbf{v}$ , there is a  $\mathbf{w}$  such that  $\mathbf{v} + \mathbf{w} = \mathbf{w} + \mathbf{v} = \mathbf{0}$ .

2. Axioms for the scalar multiplication:

[S1.]  $\mathbf{v} \in V \Rightarrow a\mathbf{v} \in V$ .

[S2.]  $a(\mathbf{v} + \mathbf{w}) = a\mathbf{v} + a\mathbf{w}$ .

[S3.]  $(a + b)\mathbf{v} = a\mathbf{v} + b\mathbf{v}$ .

[S4.]  $a(b\mathbf{v}) = (ab)\mathbf{v}$ .

[S5.]  $1\mathbf{v} = \mathbf{v}$ .

## Spaces of Matrices

$m, n$  are fixed

**EXAMPLE 1.** Let  $\mathbf{M}_{mn}$  be the set of all  $m \times n$  matrices, that is

$$\mathbf{M}_{mn} := \{A : A \text{ is an } m \times n \text{ matrix.}\}$$

Consider the addition and scalar multiplication for matrices. Show that  $\mathbf{M}_{mn}$  is a vector space.

**SOLUTION.**

$M_{mn}$  is a vector space with addition and scalar multiplication as defined in Chapter 2.

# Spaces of Polynomials

**EXAMPLE 2.** Consider the space  $\mathbf{P}_3$  of all polynomials of degree at most 3, that is

$$\mathbf{P} := \{a_3x^3 + a_2x^2 + a_1x + a_0 : a_i \in \mathbb{R}\}.$$

Define  $0$ .  $p(x) = q(x)$  iff  $p, q$  have same coef.

1. Addition: for two polynomials  $p(x) = a_3x^3 + a_2x^2 + a_1x + a_0$  and  $q(x) = b_3x^3 + b_2x^2 + b_1x + b_0$ , define  $p + q$  as the polynomial

$$\begin{aligned}(p + q)(x) &= p(x) + q(x) \\ &= (a_3 + b_3)x^3 + (a_2 + b_2)x^2 + (a_1 + b_1)x + (a_0 + b_0).\end{aligned}$$

2. Scalar multiplication: for a polynomial  $p(x) = a_3x^3 + a_2x^2 + a_1x + a_0$ , define  $ap$  as the polynomial

$$(ap)(x) = ap(x) = (aa_3)x^3 + (aa_2)x^2 + (aa_1)x + (aa_0).$$

Show that  $\mathbf{P}_3$ , with this addition and scalar multiplication, is a vector space.

**SOLUTION.**

① Addition:

At  $p+q$  has degree 3 by definition.

So,  $p+q \in \mathbf{P}_3$ .

A2

$$\begin{aligned}(p+q)(x) &= (a_3+b_3)x^3 + (a_2+b_2)x^2 + (a_1+b_1)x \\ &\quad + (a_0+b_0) \\ &= a_3x^3 + b_3x^3 + a_2x^2 + b_2x^2 \\ &\quad + a_1x + b_1x + a_0 + b_0\end{aligned}$$

$$\begin{aligned}(q+p)(x) &= (b_3+a_3)x^3 + (b_2+a_2)x^2 + (b_1+a_1)x \\ &\quad + (b_0+a_0) \\ &= b_3x^3 + a_3x^3 + b_2x^2 + a_2x^2 + b_1x + a_1x \\ &\quad + b_0 + a_0\end{aligned}$$

The expressions  $a_i x^i$  and  $b_j x^j$  are  $\mathbb{R}$  numbers, so  $\mathbb{R}$  number commute

$$\Rightarrow (p+q)(x) = (q+p)(x).$$

A3 Let  $r(x) = c_3x^3 + c_2x^2 + c_1x + c_0$ .

$$\begin{aligned}(p+q) + r &= ((a_3+b_3)x^3 + (a_2+b_2)x^2 + (a_1+b_1)x \\ &\quad + (a_0+b_0)) + r\end{aligned}$$

$$= ((a_3 + b_3) + c_3) x^3 + ((a_2 + b_2) + c_2) x^2 + ((a_1 + b_1) + c_1) x + ((a_0 + b_0) + c_0)$$

$$= (a_3 + (b_3 + c_3)) x^3 + (a_2 + (b_2 + c_2)) x^2 + (a_1 + (b_1 + c_1)) x + (a_0 + (b_0 + c_0))$$

$$= p + (q + r) . \quad \checkmark$$

A4. Define :  $0(x) = 0x^3 + 0x^2 + 0x + 0 = 0$ .

$$\begin{aligned} \Rightarrow (p + 0) &= (a_3 + 0) x^3 + (a_2 + 0) x^2 + (a_1 + 0) x + (a_0 + 0) \\ &= a_3 x^3 + a_2 x^2 + a_1 x + a_0 . \\ &= p . \quad \checkmark \end{aligned}$$

A5. Define  $q(x) = (-a_3)x^3 + (-a_2)x^2 + (-a_1)x + (-a_0)$

$$\Rightarrow p + q = (a_3 - a_3) x^3 + (a_2 - a_2) x^2 + (a_1 - a_1) x + (a_0 - a_0)$$

$$= 0x^3 + 0x^2 + 0x + 0 = 0.$$

Scalar Multiplication. S3-S5 are verified also.

S1. By definition,  $ap$  is a polynomial of degree at most 3.

$$\underline{S2.} \quad a(\overbrace{p+q}) \stackrel{?}{=} ap + aq$$

$$a(p+q) = a((a_3+b_3)x^3 + (a_2+b_2)x^2 + (a_1+b_1)x + (a_0+b_0))$$

$$= a(a_3+b_3)x^3 + a(a_2+b_2)x^2 + a(a_1+b_1)x + a(a_0+b_0)$$

$$= a(a_3)x^3 + a a_2 x^2 + a a_1 x + a a_0 + a b_3 x^3 + a b_2 x^2 + a b_1 x + a b_0 = ap + aq \quad \checkmark$$

Note:

- ① The space of polynomial of degree at most  $n$  is denoted by  $\mathbf{P}_n$  and is a vector space using the addition and scalar multiplication introduced above.
- ② The space of all polynomial of any degree is denoted by  $\mathbf{P}$  and it is a vector space using the addition and scalar multiplication introduced above.



# Weird Example

**EXAMPLE 3.** Consider the set of all  $2 \times 1$  vectors  $\mathbb{R}^2$ . Define the addition and scalar multiplication:

$$1. \mathbf{x} + \mathbf{y} = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} y_1 \\ y_2 \end{bmatrix} = \begin{bmatrix} x_1 + y_1 \\ x_2 + y_2 + 1 \end{bmatrix}.$$

$$2. a\mathbf{x} = a \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} ax_1 \\ ax_2 + a - 1 \end{bmatrix}.$$

Show that  $\mathbb{R}^2$ , with these operations, is a vector space.

**SOLUTION.**

① Addition

A1. Since  $\begin{bmatrix} x_1 + y_1 \\ x_2 + y_2 + 1 \end{bmatrix}$  is a  $2 \times 1$  vector,

$$\vec{x} + \vec{y} \in \mathbb{R}^2. \checkmark$$

A2.  $\vec{x} + \vec{y} = \begin{bmatrix} x_1 + y_1 \\ x_2 + y_2 + 1 \end{bmatrix}$

$\vec{y} + \vec{x} = \begin{bmatrix} y_1 + x_1 \\ y_2 + x_2 + 1 \end{bmatrix}$

same commutativity of  $\mathbb{R}$  numbers.

A3.  $(\vec{x} + \vec{y}) + \vec{z} = \begin{bmatrix} x_1 + y_1 \\ x_2 + y_2 + 1 \end{bmatrix} + \begin{bmatrix} z_1 \\ z_2 \end{bmatrix}$

$$= \begin{bmatrix} x_1 + y_1 + z_1 \\ x_2 + y_2 + 1 + z_2 + 1 \end{bmatrix}$$





# Non-Example

**EXAMPLE 4.** Consider the set of all  $2 \times 1$  vectors  $\mathbb{R}^2$ . Define the addition and scalar multiplication:

$$1. \mathbf{x} + \mathbf{y} = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} y_1 \\ y_2 \end{bmatrix} = \begin{bmatrix} x_1 + y_1 \\ x_2 + y_2 + 1 \end{bmatrix}.$$

$$2. a\mathbf{x} = a \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} ax_1 \\ ax_2 - 1 \end{bmatrix}.$$

Show that  $\mathbb{R}^2$ , with these operations, is not a vector space.

**SOLUTION.**

Consider a general vector space  $V$ .

① Cancellation: If  $\mathbf{u}, \mathbf{v}, \mathbf{w} \in V$ , then

$$\mathbf{v} + \mathbf{u} = \mathbf{v} + \mathbf{w} \implies \mathbf{u} = \mathbf{w}.$$

② Multiplying by scalar 0:

$$0\mathbf{v} = \mathbf{0}.$$

③ Multiplying by the zero vector:

$$a\mathbf{0} = \mathbf{0}.$$

④ If  $a\mathbf{v} = \mathbf{0}$ , then  $a = 0$  or  $\mathbf{v} = \mathbf{0}$ .

**EXAMPLE 5.** Simplify the following expression:

$$3(2(\mathbf{u} - 2\mathbf{v} - \mathbf{w}) + 3(\mathbf{w} - \mathbf{v}) - 7(\mathbf{u} - 3\mathbf{v} - \mathbf{w})).$$

**SOLUTION.**

