



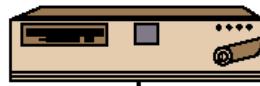
IPSec

# TCP/IP Example

End System Y



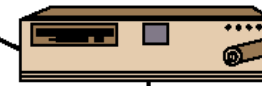
Router 1



LAN

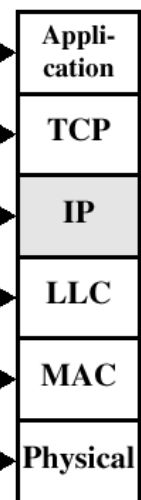
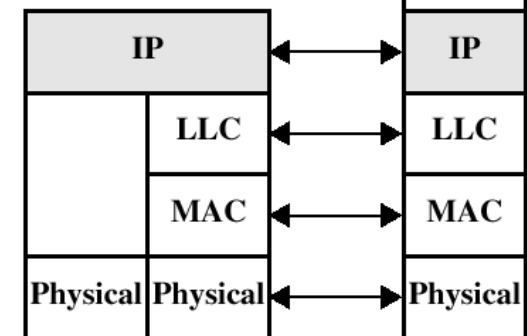
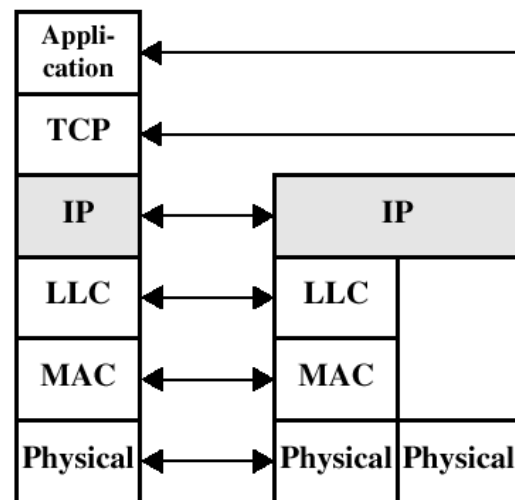
LAN, WAN,  
or  
point-to-point link

Router 2



LAN

End System Y





# IP Security Issues

- ◆ Eavesdropping
  - ◆ Modification of packets in transit
  - ◆ Identity spoofing (forged source IP addresses)
  - ◆ Denial of service
- 
- ◆ Many solutions are application-specific
    - TLS for Web, S/MIME for email, SSH for remote login
  - ◆ IPsec aims to provide a framework of open standards for secure communications over IP
    - Protect every protocol running on top of IPv4 and IPv6



# IPsec: Network Layer Security

IPsec = AH + ESP + IPcomp + IKE

Protection for IP traffic  
AH provides integrity and  
origin authentication  
ESP also confidentiality

Compression

Sets up keys and  
algorithms for AH and  
ESP

- ◆ AH and ESP rely on an existing **security association**
  - Idea: parties must share a set of secret keys and agree on each other's IP addresses and crypto algorithms
- ◆ **Internet Key Exchange (IKE)**
  - Goal: establish security association for AH and ESP
  - If IKE is broken, AH and ESP provide no protection!



# IPsec Security Services

- ◆ Authentication and integrity for packet sources
  - Ensures connectionless integrity (for a single packet) and partial sequence integrity (prevent packet replay)
- ◆ Confidentiality (encapsulation) for packet contents
  - Also partial protection against traffic analysis
- ◆ Authentication and encapsulation can be used separately or together
- ◆ Either provided in one of two modes
- ◆ These services are **transparent** to applications above transport (TCP/UDP) layer



# IPsec Modes

## ◆ Transport mode

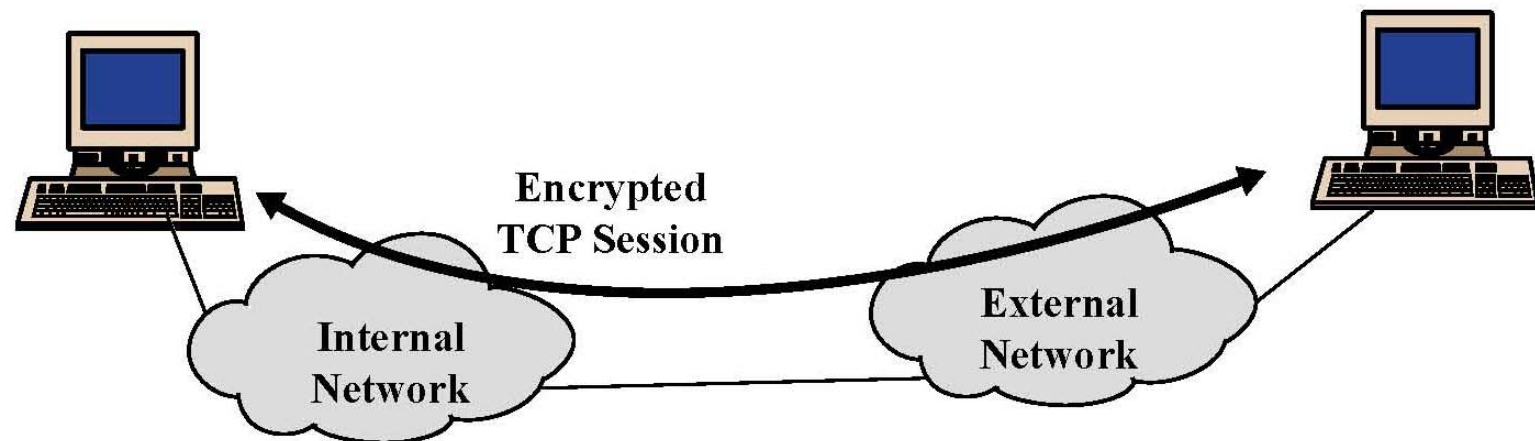
- Used to deliver services from host to host or from host to gateway
- Usually within the same network, but can also be end-to-end across networks

## ◆ Tunnel mode

- Used to deliver services from gateway to gateway or from host to gateway
- Usually gateways owned by the same organization
  - With an insecure network in the middle



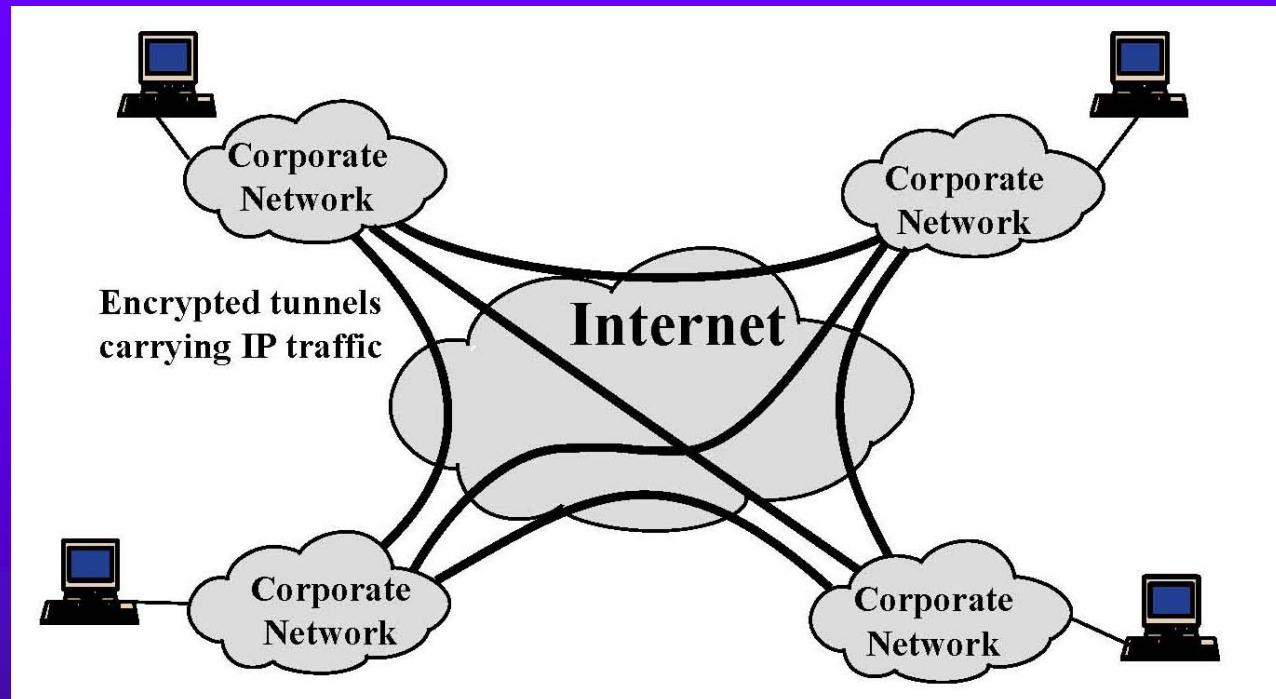
# IPsec in Transport Mode



- ◆ End-to-end security between two hosts
  - Typically, client to gateway (e.g., PC to remote host)
- ◆ Requires IPsec support at each host



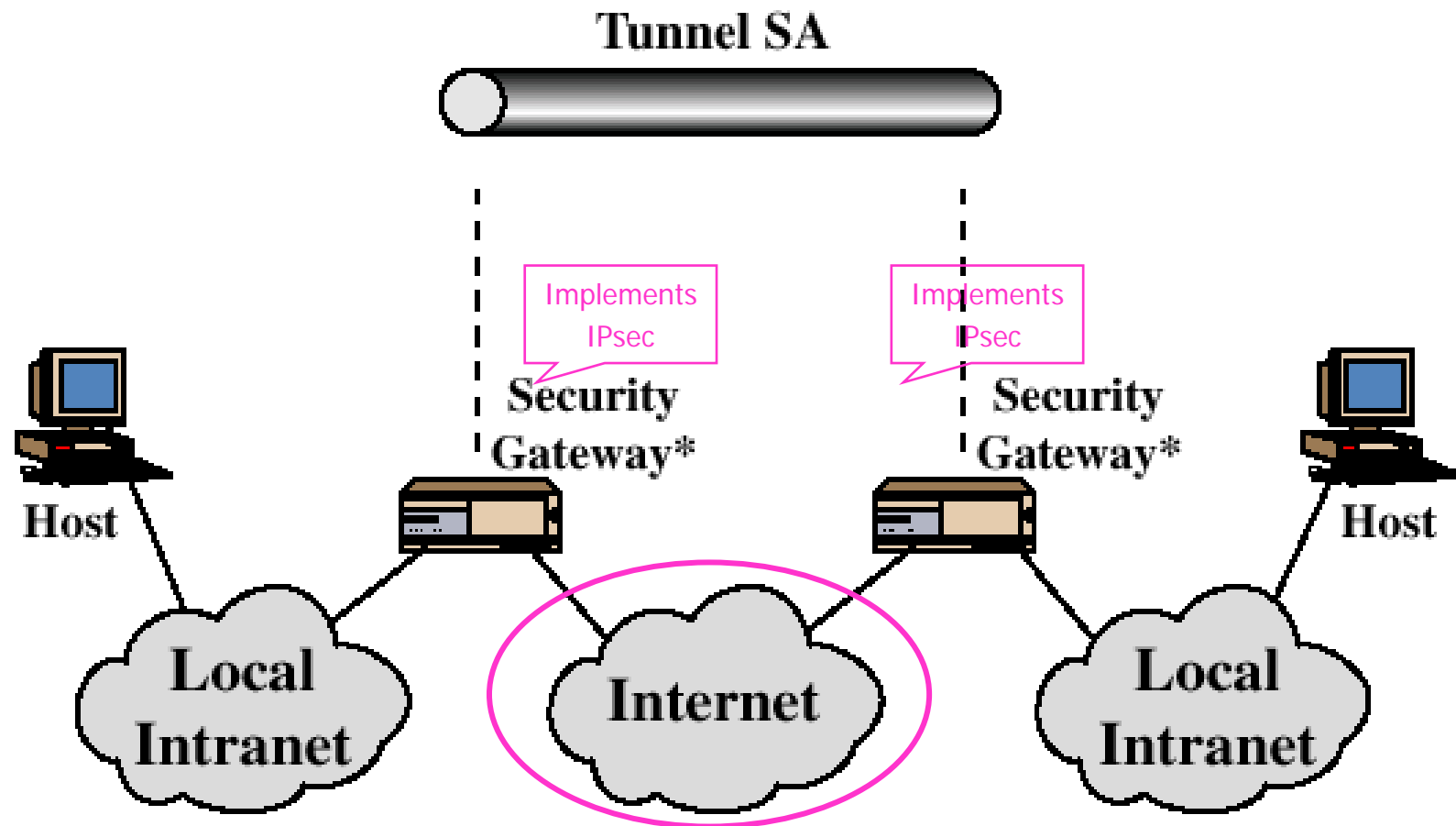
# IPsec in Tunnel Mode



- ◆ Gateway-to-gateway security
  - Internal traffic behind gateways not protected
  - Typical application: virtual private network (VPN)
- ◆ Only requires IPsec support at gateways



# Tunnel Mode Illustration

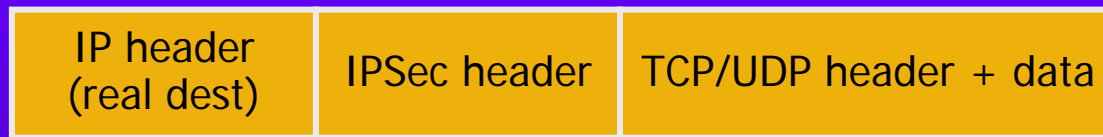


IPsec protects communication on the insecure part of the network

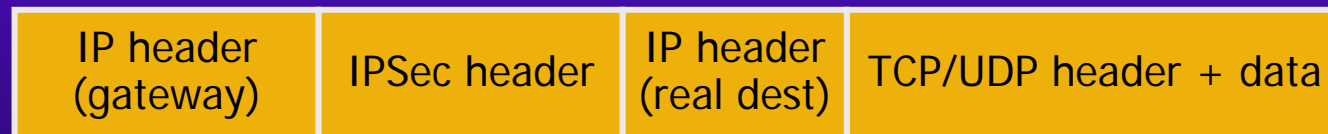


# Transport Mode vs. Tunnel Mode

- ◆ **Transport mode** secures packet payload and leaves IP header unchanged



- ◆ **Tunnel mode** encapsulates both IP header and payload into IPsec packets





# Security Association (SA)

- ◆ One-way sender-recipient relationship
- ◆ SA determines how packets are processed
  - Cryptographic algorithms, keys, IVs, lifetimes, sequence numbers, mode (transport or tunnel)
- ◆ SA is uniquely identified by SPI (Security Parameters Index)...
  - Each IPsec implementation keeps a database of SAs
  - SPI is sent with packet, tells recipient which SA to use
- ◆ ...destination IP address, and
- ◆ ...protocol identifier (AH or ESP)



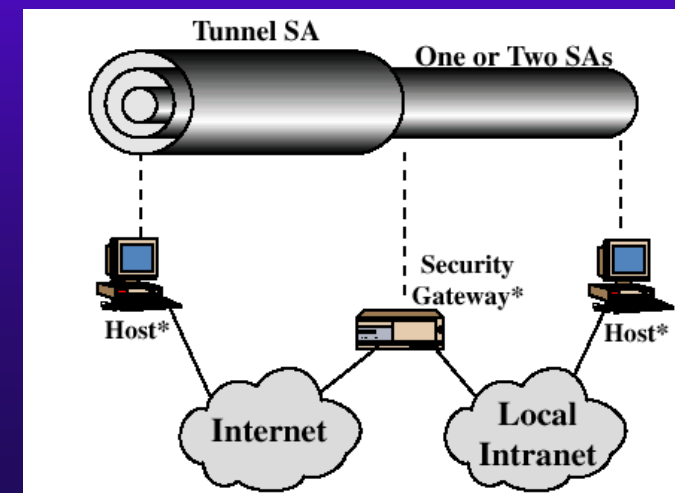
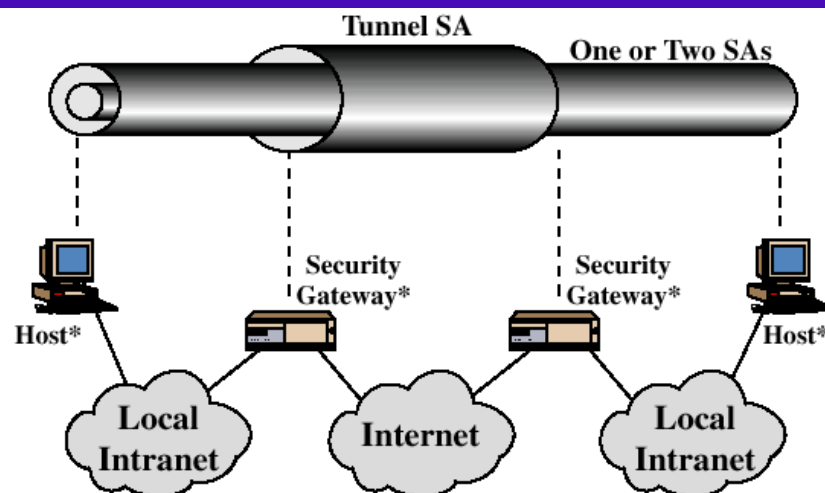
# SA Components

- ◆ Each IPsec connection is viewed as one-way so two SAs required for a two-way conversation
  - Hence need for Security Parameter Index
- ◆ Security association (SA) defines
  - Protocol used (AH, ESP)
  - Mode (transport, tunnel)
  - Encryption or hashing algorithm to be used
  - Negotiated keys and key lifetimes
  - Lifetime of this SA
  - ... plus other info



# Security Association Issues

- ◆ How is SA established?
  - How do parties negotiate a common set of cryptographic algorithms and keys to use?
- ◆ More than one SA can apply to a packet!
  - E.g., end-to-end authentication (AH) and additional encryption (ESP) on the public part of the network





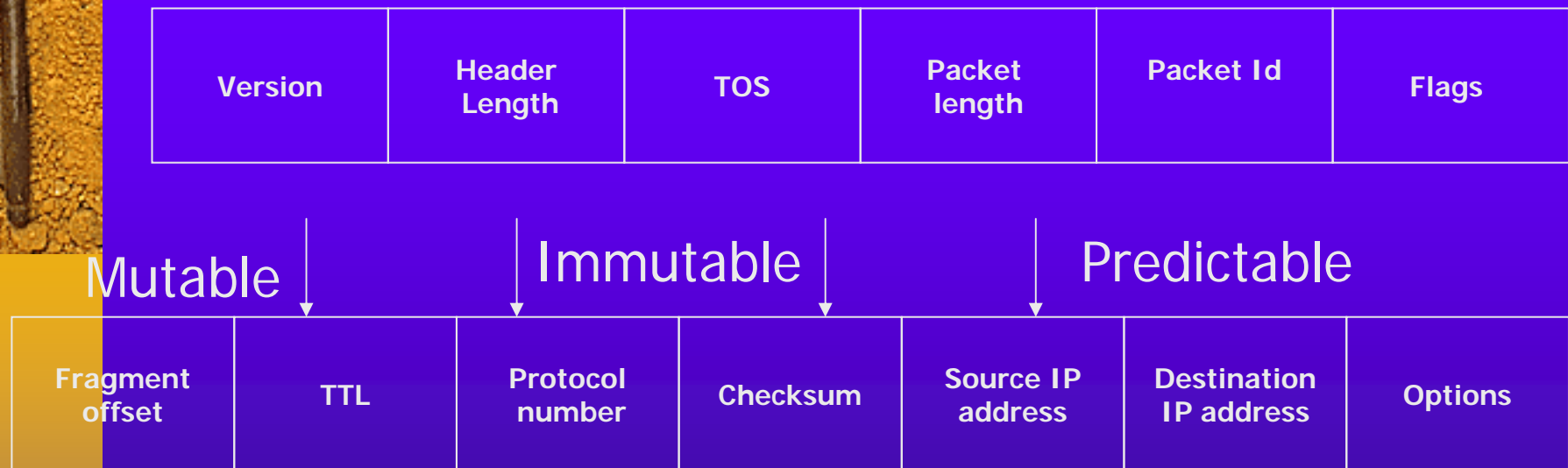
# AH: Authentication Header

- ◆ Sender authentication
- ◆ Integrity for packet contents and IP header
- ◆ Sender and receiver must share a secret key
  - This key is used in HMAC computation
  - The key is set up by IKE key establishment protocol and recorded in the Security Association (SA)
    - SA also records protocol being used (AH) and mode (transport or tunnel) plus hashing algorithm used
    - MD5 or SHA-1 supported as hashing algorithms



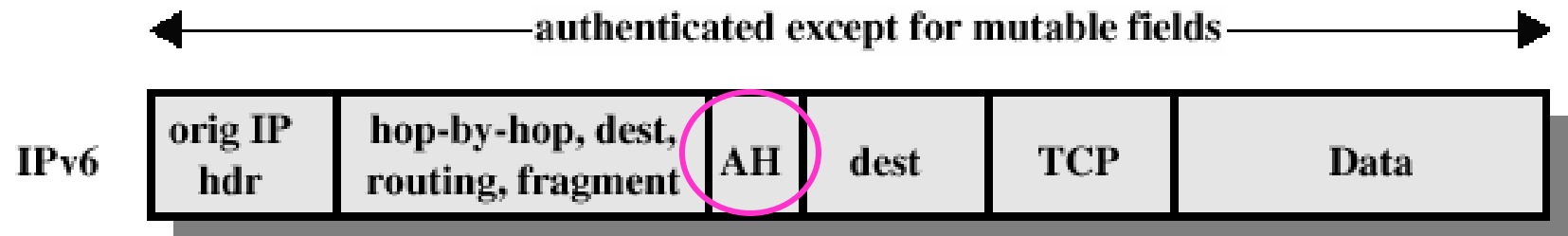
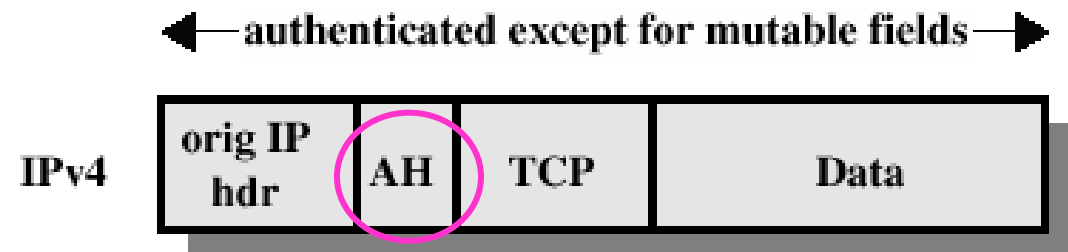
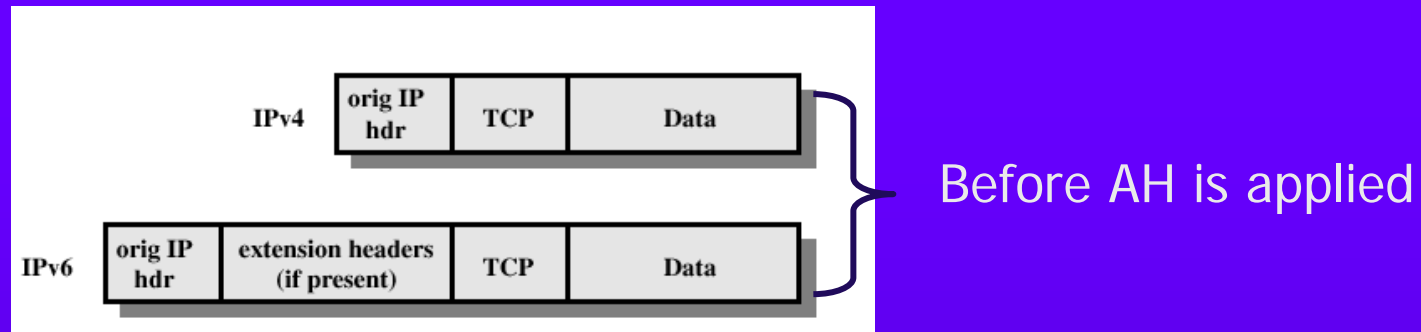


# IP Headers

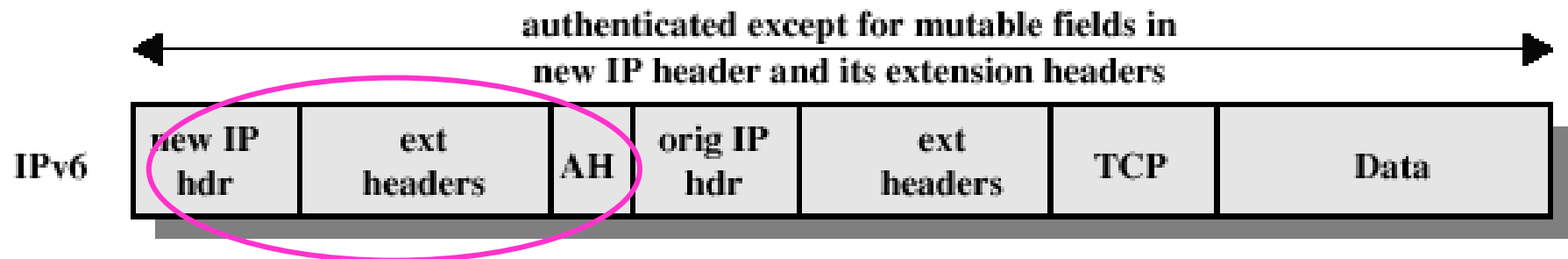
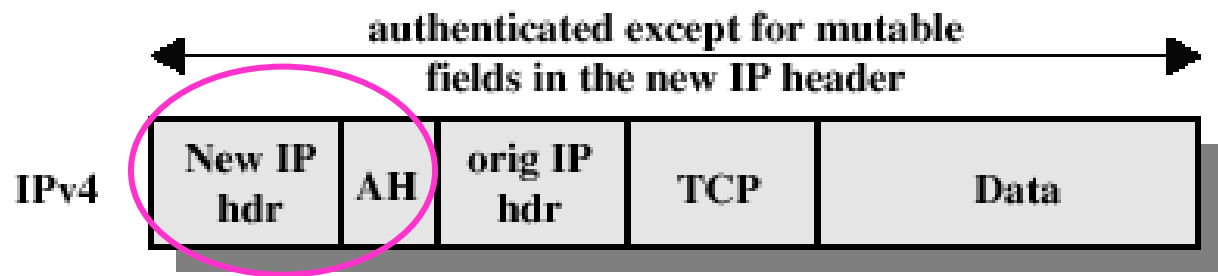
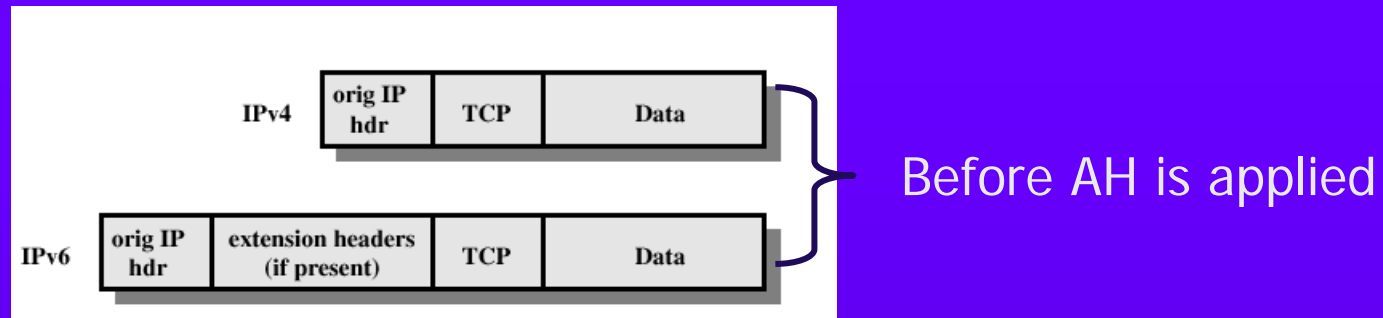


AH sets mutable fields to zero and predictable fields to final value and then uses this header plus packet contents as input to HMAC

# AH in Transport Mode



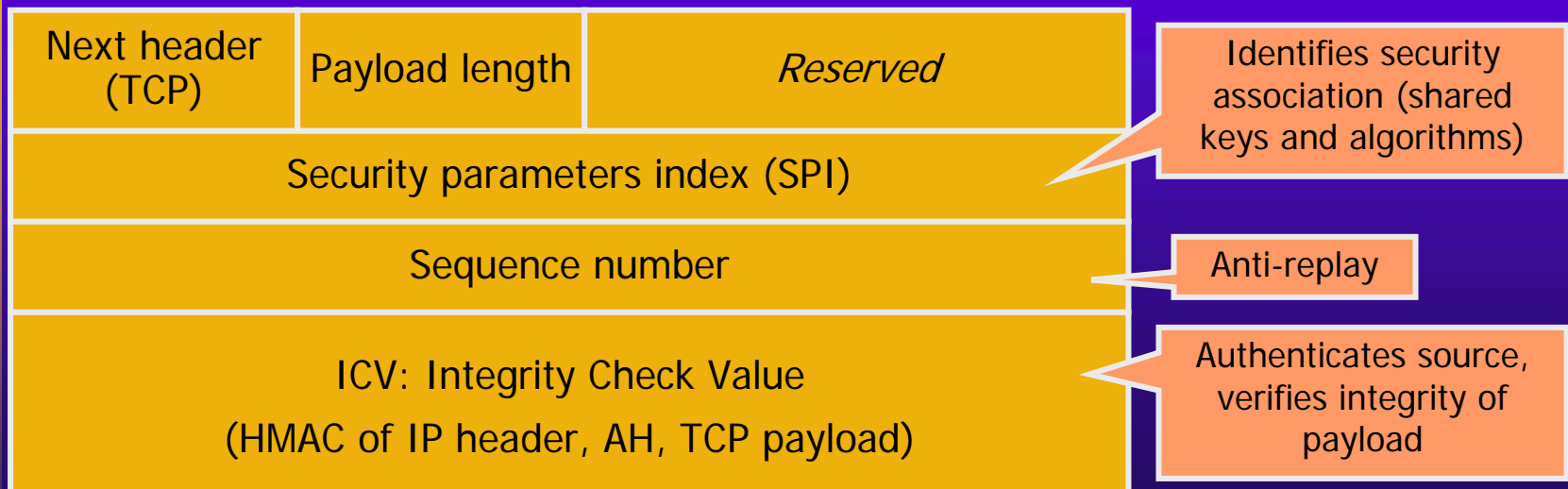
# AH in Tunnel Mode





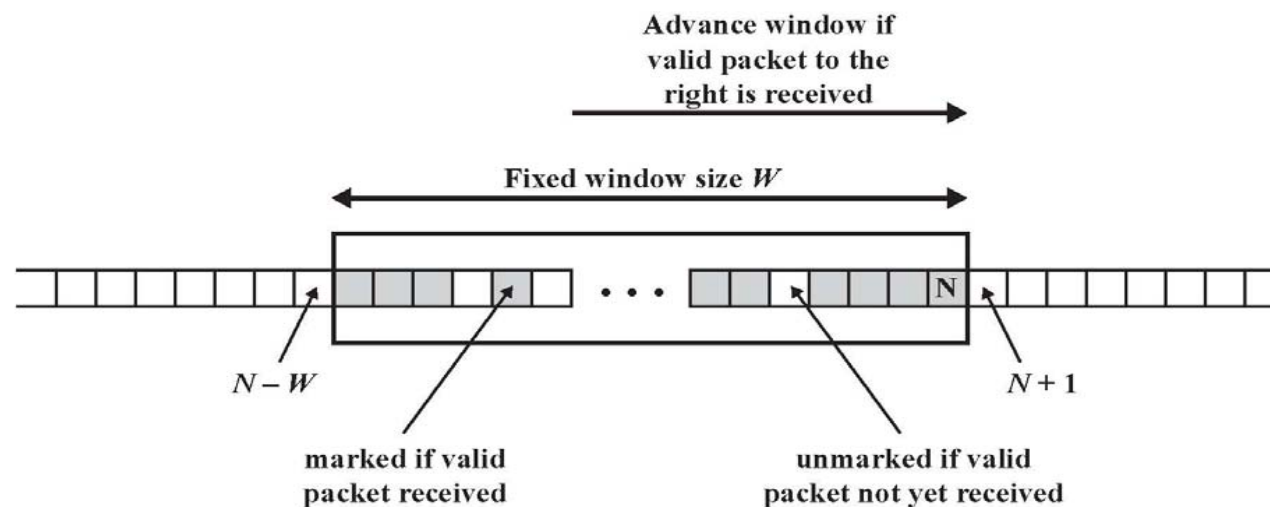
# Authentication Header Format

- ◆ Provides integrity and origin authentication
- ◆ Authenticates portions of the IP header
- ◆ Anti-replay service (to counter denial of service)
- ◆ **No confidentiality**



# Prevention of Replay Attacks

- ◆ When SA is established, sender initializes 32-bit counter to 0, increments by 1 for each packet
  - If wraps around  $2^{32}-1$ , new SA must be established
- ◆ Recipient maintains a sliding 64-bit window
  - If a packet with high sequence number is received, do not advance window until packet is authenticated





# ESP: Encapsulating Security Payload

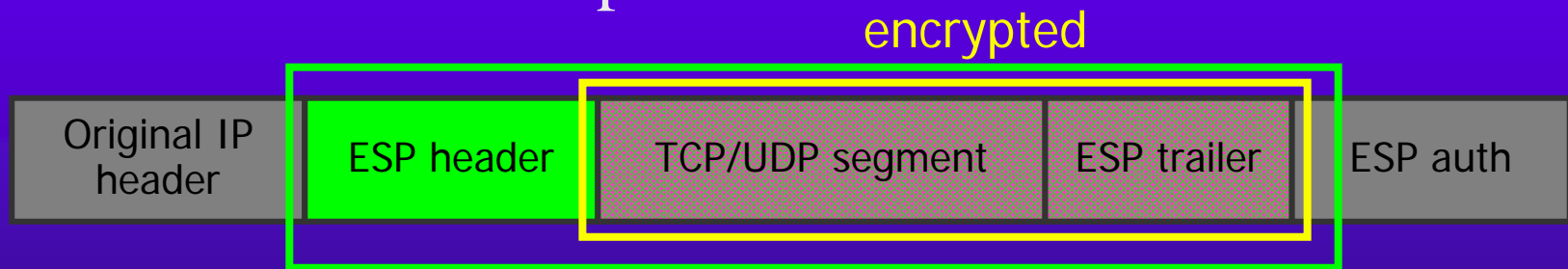
- ◆ Adds new header and trailer fields to packet
- ◆ Transport mode
  - Confidentiality of packet between two hosts
  - Complete hole through firewalls
  - Used sparingly
- ◆ Tunnel mode
  - Confidentiality of packet between two gateways or a host and a gateway
  - Implements VPN tunnels



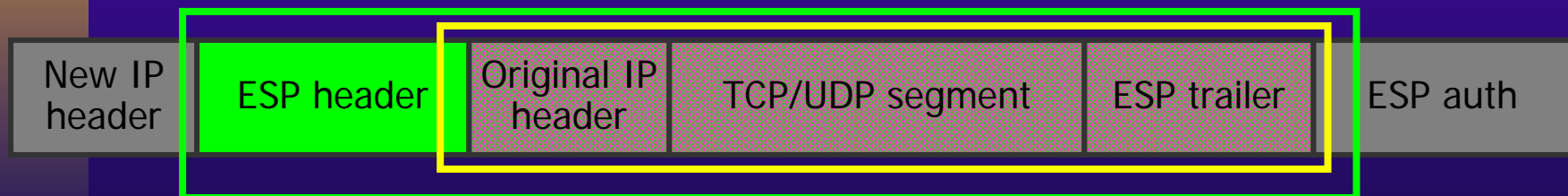


# ESP Security Guarantees

- ◆ **Confidentiality** and integrity for packet payload
  - Symmetric cipher negotiated as part of security assoc
- ◆ Optionally provides **authentication** (similar to AH)
- ◆ Can work in transport mode

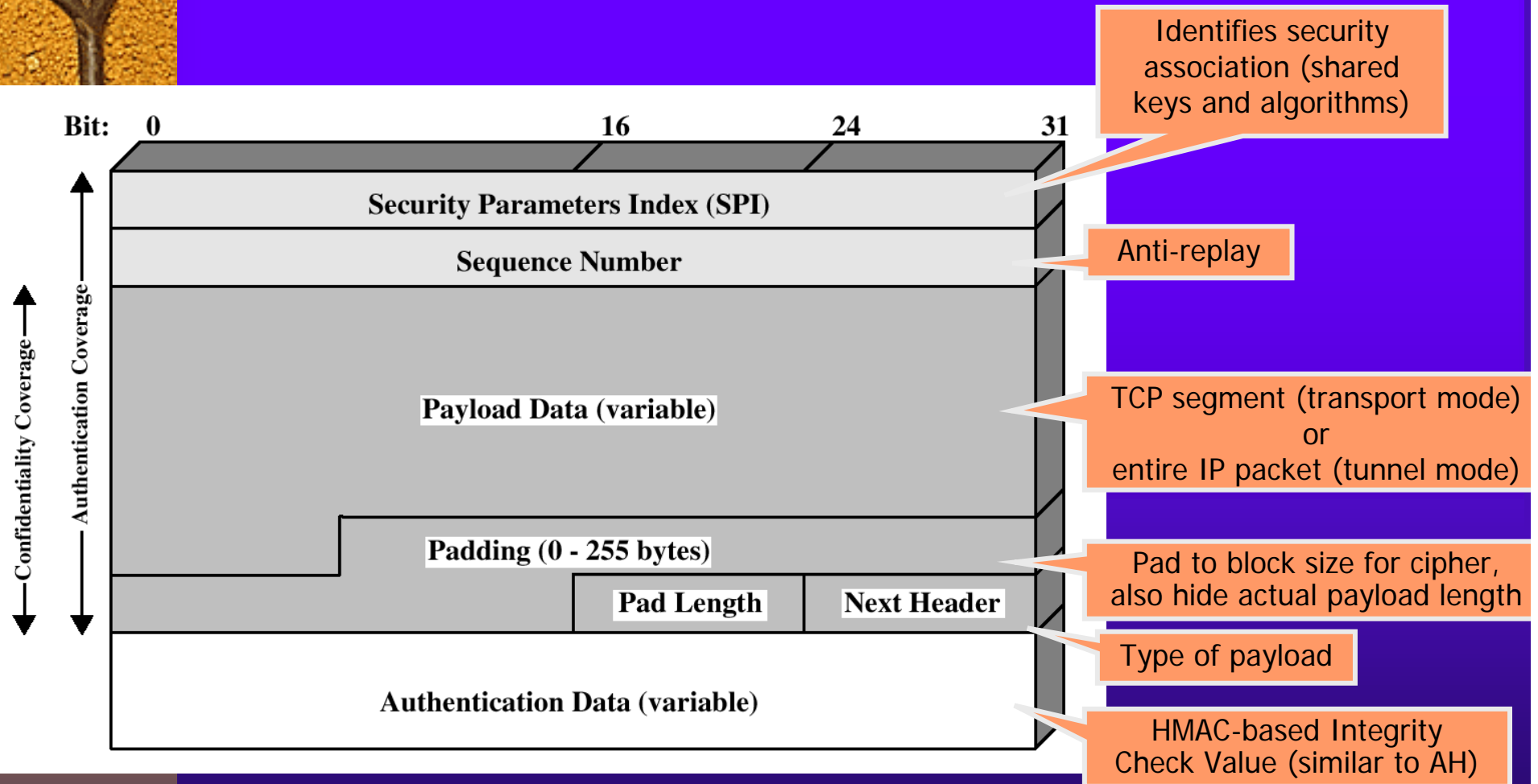


- ◆ ...or tunnel mode





# ESP Packet





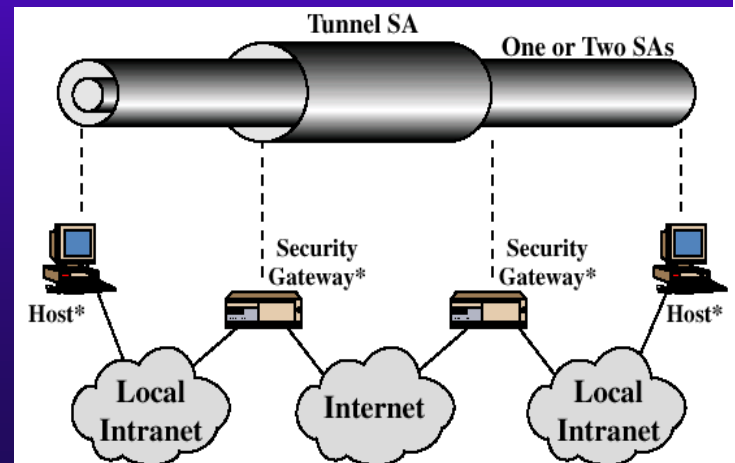
# Virtual Private Networks (VPN)

- ◆ ESP is often used to implement a VPN
  - Packets go from internal network to a gateway with TCP / IP headers for address in another network
  - Entire packet hidden by encryption
    - Including original headers so destination addresses are hidden
  - Receiving gateway decrypts packet and forwards original IP packet to receiving address in the network that it protects
- ◆ This is known as a **VPN tunnel**
  - Secure communication between parts of the same organization over public untrusted Internet



# ESP Together With AH

- ◆ AH and ESP are often combined
- ◆ End-to-end AH in transport mode
  - Authenticate packet sources
- ◆ Gateway-to-gateway ESP in tunnel mode
  - Hide packet contents and addresses on the insecure part of the network
- ◆ Significant cryptographic overhead
  - Even with AH





# Secure Key Establishment

- ◆ Goal: generate and agree on a session key using some public initial information
- ◆ What properties are needed?
  - Authentication (know identity of other party)
  - Secrecy (generated key not known to any others)
  - **Forward secrecy** (compromise of one session key does not compromise keys in other sessions)
  - Prevent replay of old key material
  - Prevent denial of service
  - Protect identities from eavesdroppers
  - Other properties can you think of???



# Key Management in IPsec

- ◆ Manual key management
  - Keys and parameters of crypto algorithms exchanged offline (e.g., by phone), security associations established by hand
- ◆ Pre-shared symmetric keys
  - New session key derived for each session by hashing pre-shared key with session-specific nonces
  - Standard symmetric-key authentication and encryption
- ◆ Online key establishment
  - Internet Key Exchange (IKE) protocol
  - Use Diffie-Hellman to derive shared symmetric key

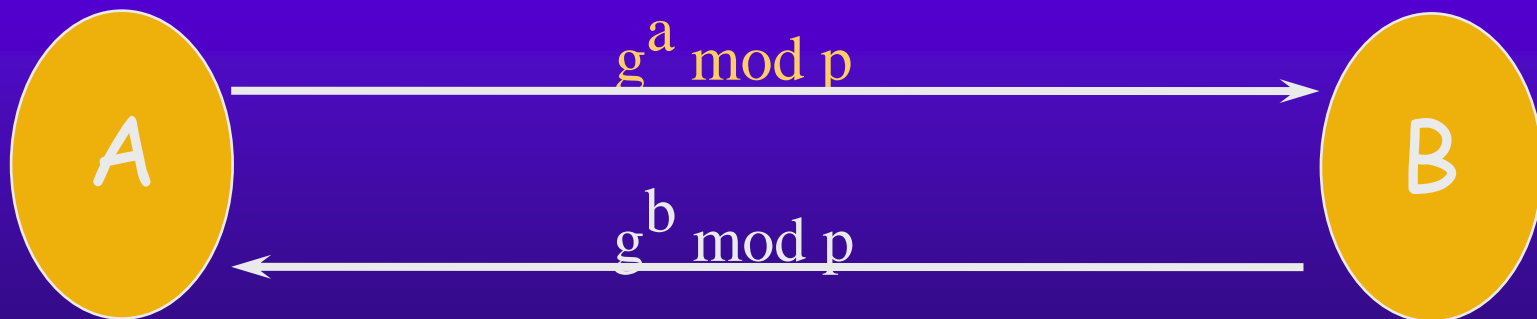




# Diffie-Hellman Key Exchange

- ◆ Assume finite group  $G = \langle S, \bullet \rangle$ 
  - Choose generator  $g$  so every  $x \in S$  is  $x = g^i$  for some  $i$
  - Example: squares modulo prime  $p$  (even  $i$ )

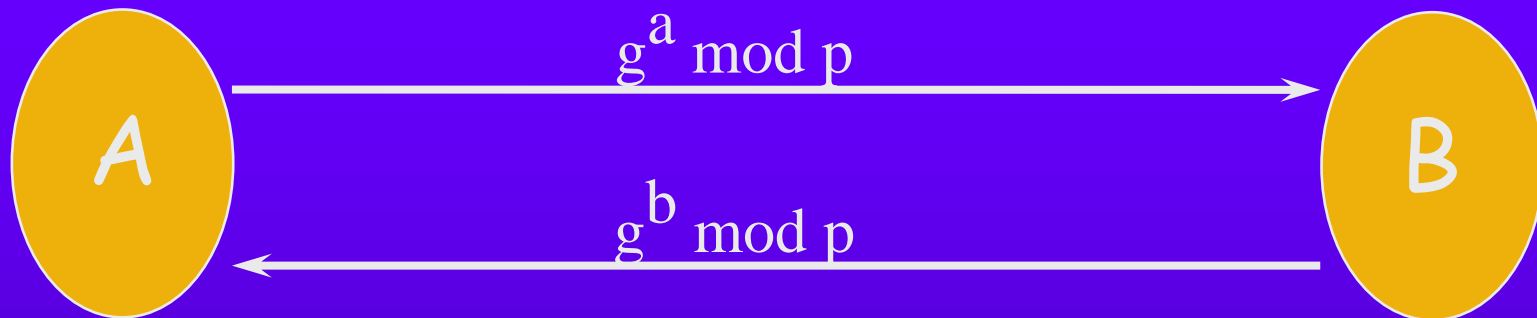
- ◆ Protocol



Alice, Bob share  $g^{ab} \bmod p$  not known to anyone else



# Diffie-Hellman Key Exchange



Authentication?

No

Secrecy?

Only against passive attacker

Replay attack?

Vulnerable

Forward secrecy?

Yes

Denial of service?

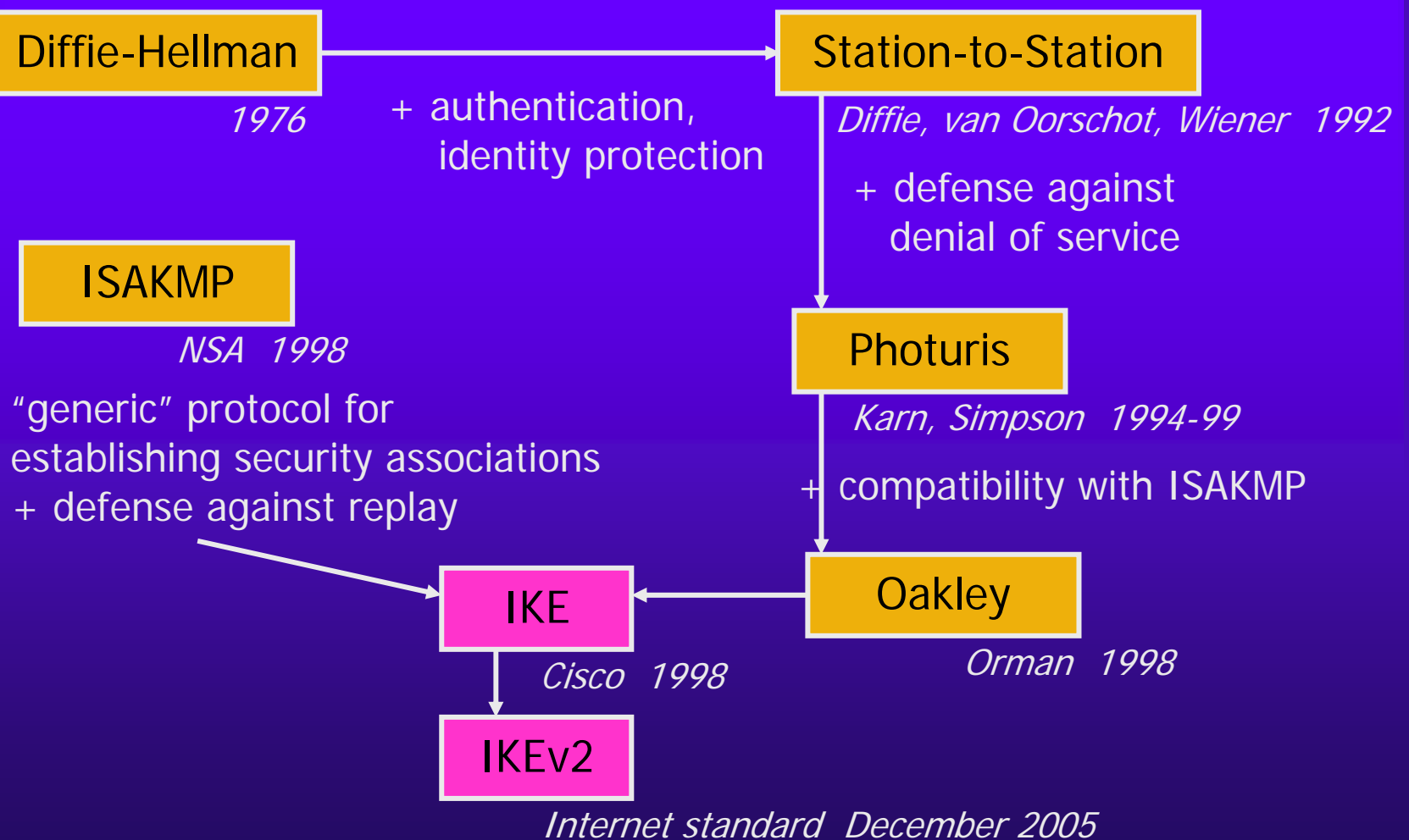
Vulnerable

Identity protection?

Yes

Participants can't tell  $g^x \bmod p$  from a random element of  $G$ :  
send them garbage and they'll  
do expensive exponentiations

# IKE Genealogy





# Design Objectives for Key Exchange

- ◆ Shared secret

- Create and agree on a secret which is known only to protocol participants

- ◆ Authentication

- Participants need to verify each other's identity

- ◆ Identity protection

- Eavesdropper should not be able to infer participants' identities by observing protocol execution

- ◆ Protection against denial of service

- Malicious participant should not be able to exploit the protocol to cause the other party to waste resources



# Ingredient 1: Diffie-Hellman

$$A \rightarrow B: g^a$$

$$B \rightarrow A: g^b$$

- Shared secret is  $g^{ab}$ , compute key as  $k = \text{hash}(\text{rand}, g^{ab})$ 
  - Diffie-Hellman guarantees perfect forward secrecy
- Authentication
- Identity protection
- DoS protection



## Ingredient 2: Challenge-Response

$A \rightarrow B: m, A$

$B \rightarrow A: n, \text{sig}_B(m, n, A)$

$A \rightarrow B: \text{sig}_A(m, n, B)$

- Shared secret
- Authentication
  - A receives his own number  $m$  signed by B's private key and deduces that B is on the other end; similar for B
- Identity protection
- DoS protection





# DH + Challenge-Response

ISO 9798-3 protocol:

$A \rightarrow B: g^a, A$

$B \rightarrow A: g^b, \text{sig}_B(g^a, g^b, A)$

$A \rightarrow B: \text{sig}_A(g^a, g^b, B)$

$m := g^a$

$n := g^b$

- Shared secret:  $g^{ab}$
- Authentication
- Identity protection
- DoS protection



## Ingredient 3: Encryption

Encrypt signatures to protect identities:

$A \rightarrow B: g^a, A$

$B \rightarrow A: g^b, \text{Enc}_K(\text{sig}_B(g^a, g^b, A))$

$A \rightarrow B: \text{Enc}_K(\text{sig}_A(g^a, g^b, B))$

$k = \text{hash}(g^{ab})$

- Shared secret:  $g^{ab}$
- Authentication
- Identity protection (for responder only!)
- DoS protection



# Refresher: DoS Prevention

- ◆ Denial of service due to resource clogging
  - If responder opens a state for each connection attempt, attacker can initiate thousands of connections from bogus or forged IP addresses
- ◆ **Cookies** ensure that the responder is stateless until initiator produced at least 2 messages
  - Responder's state (IP addresses and ports) is stored in an unforgeable cookie and sent to initiator
  - After initiator responds, cookie is regenerated and compared with the cookie returned by the initiator
  - The cost is 2 extra messages in each execution



# Refresher: Anti-DoS Cookie

## ◆ Typical protocol:

- Client sends request (message #1) to server
- Server sets up connection, responds with message #2
- Client may complete session or not (potential DoS)

## ◆ Cookie version:

- Client sends request to server
- Server sends hashed connection data back
  - Send message #2 later, after client confirms his address
- Client confirms by returning hashed data
- Need an extra step to send postponed message #2



## Ingredient 4: Anti-DoS Cookie

“Almost-IKE” protocol:

$A \rightarrow B: g^a, A$

$B \rightarrow A: g^b, \text{hash}_{Kb}(g^b, g^a)$

$A \rightarrow B: g^a, g^b, \text{hash}_{Kb}(g^b, g^a)$

$\text{Enc}_K(\text{sig}_A(g^a, g^b, B))$

$B \rightarrow A: g^b, \text{Enc}_K(\text{sig}_B(g^a, g^b, A))$

Doesn't quite work: B must remember his DH exponent  $b$  for every connection

$k = \text{hash}(g^{ab})$

- Shared secret:  $g^{ab}$
- Authentication
- Identity protection
- DoS protection?



# Medium-Term Secrets and Nonces

- ◆ Idea: use the same Diffie-Hellman value  $g^{ab}$  for every session, update every 10 minutes or so
  - Helps against denial of service
- ◆ To make sure keys are different for each session, derive them from  $g^{ab}$  and session-specific nonces
  - Nonces guarantee freshness of keys for each session
  - Re-computing  $g^a$ ,  $g^b$ ,  $g^{ab}$  is costly, generating nonces (fresh random numbers) is cheap
- ◆ This is more efficient and helps with DoS, but no longer guarantees forward secrecy (**why?**)

# (Simplified) Photuris

[Karn and Simpson]





# IKE Genealogy Redux

Diffie-Hellman

1976

+ authentication,  
identity protection

Station-to-Station

*Diffie, van Oorschot, Wiener 1992*

+ defense against  
denial of service

ISAKMP

*NSA 1998*

"generic" protocol for  
establishing security associations  
+ defense against replay

Photuris

*Karn, Simpson 1994-99*

+ compatibility with ISAKMP

IKE

*Cisco 1998*

IKEv2

Oakley

*Orman 1998*

*Internet standard December 2005*



# Cookies in Photuris and ISAKMP

- ◆ Photuris cookies are derived from local secret, IP addresses and ports, counter, crypto schemes
  - Same (frequently updated) secret for all connections
- ◆ ISAKMP requires unique cookie for each connect
  - Add timestamp to each cookie to prevent replay attacks
  - Now responder needs to keep state ("cookie crumb")
    - Vulnerable to denial of service (why?)
- ◆ **Inherent conflict:** to prevent replay, need to remember values that you've generated or seen before, but keeping state allows denial of service



# IKE Overview

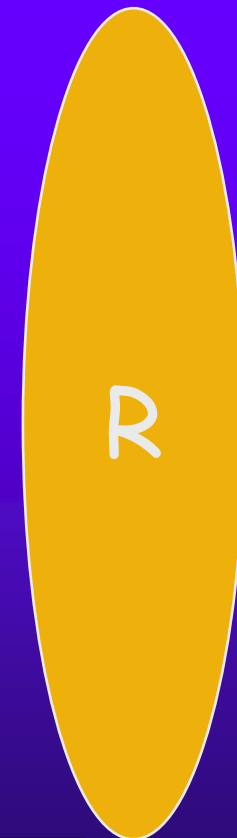
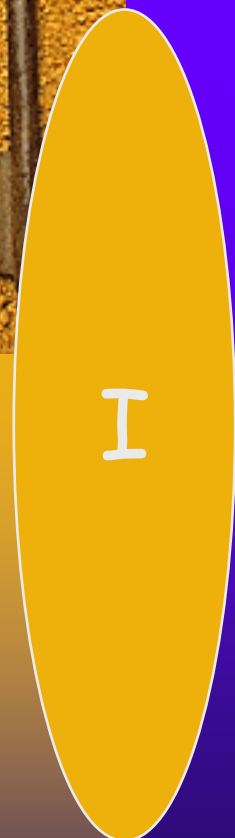
- ◆ Goal: create **security association** between 2 hosts
  - Shared encryption and authentication keys, agreement on crypto algorithms
- ◆ **Two phases:** 1<sup>st</sup> phase establishes security association (IKE-SA) for the 2<sup>nd</sup> phase
  - Always by authenticated Diffie-Hellman (expensive)
- ◆ 2<sup>nd</sup> phase uses IKE-SA to create actual security association (child-SA) to be used by AH and ESP
  - Use keys derived in the 1<sup>st</sup> phase to avoid DH exchange
  - Can be executed cheaply in "quick" mode
    - To create a fresh key, hash old DH value and new nonces



# Why Two-Phase Design?

- ◆ Expensive 1<sup>st</sup> phase creates "main" SA
- ◆ Cheap 2<sup>nd</sup> phase allows to create multiple child SAs (based on "main" SA) between same 2 hosts
  - Example: one SA for AH, another SA for ESP
  - Different conversations may need different protection
    - Some traffic only needs integrity protection or short-key crypto
    - Too expensive to always use strongest available protection
  - Avoid multiplexing several conversations over same SA
    - For example, if encryption is used without integrity protection (bad idea!), it may be possible to splice the conversations
  - Different SAs for different classes of service

# IKE: Phase One



$g^a \bmod p$ , crypto proposal,  $N_i$

$\text{Cookie}_R$

$\text{Cookie}_R$ ,  $g^a \bmod p$ , crypto proposal,  $N_i$

$g^b \bmod p$ , crypto accepted,  $N_r$

*switch to  $K = f(N_i, N_r, \text{crypto}, g^b \bmod p)$*

$\text{Enc}_K("I", \text{sig}_I(m_{1-4}), [\text{cert}], \text{child-SA})$

$\text{Enc}_K("R", \text{sig}_R(m_{1-4}), [\text{cert}], \text{child-SA})$

Optional: refuse 1<sup>st</sup> message and demand return of stateless cookie

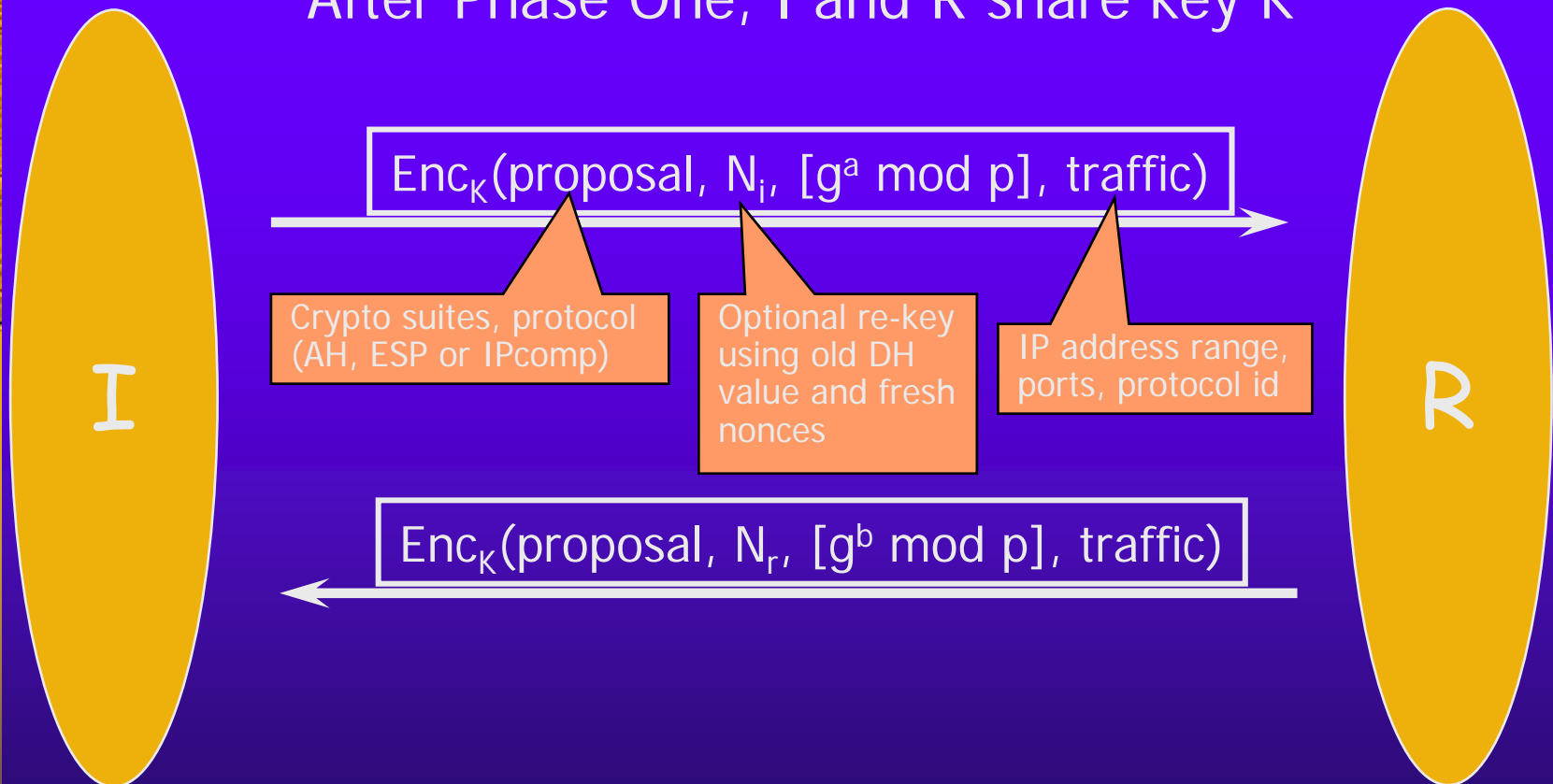
**Initiator reveals identity first**  
Prevents "polling" attacks where attacker initiates IKE connections to find out who lives at an IP addr

Instead of running 2<sup>nd</sup> phase, "piggyback" establishment of child-SA on initial exchange



# IKE: Phase Two (Create Child-SA)

After Phase One, I and R share key K



Can run this several times to create multiple SAs





# Other Aspects of IKE

We did **not** talk about...

- ◆ Interaction with other network protocols
  - How to run IPsec through NAT (Network Address Translation) gateways?
- ◆ Error handling
  - Very important! Bleichenbacher attacked SSL by cryptanalyzing error messages from an SSL server
- ◆ Protocol management
  - Dead peer detection, rekeying, etc.
- ◆ Legacy authentication
  - What if one of the parties doesn't have a public key?





# Current State of IPsec

- ◆ Best currently existing VPN standard
  - For example, used in Cisco PIX firewall, many remote access gateways
- ◆ IPsec has been out for a few years, but wide deployment has been hindered by complexity
  - ANX (Automotive Networking eXchange) uses IPsec to implement a private network for the Big 3 auto manufacturers and their suppliers