

# The Minimal Retroreflective Microfacet Model

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## Abstract

We introduce the “Minimal Retroreflective Microfacet” (MRM) model, which provides a simple scheme for modifying a pre-existing microfacet BRDF implementation to produce a visual plausible retroreflective result.

## 1. Introduction

## 2. Microfacet BRDF Models

Using the notations from figure 1, a microfacet BRDF [Walter et al. 2007; Heitz 2014] takes the form

$$f(\mathbf{v}, \mathbf{l}) = \frac{D(\mathbf{h})G_2(\mathbf{v}, \mathbf{l})}{4 \langle \mathbf{v}, \mathbf{n} \rangle \langle \mathbf{l}, \mathbf{n} \rangle} \quad (1)$$

where  $D$  is the distribution of microfacets, normalized to fulfill  $\int_{\Omega} D(\mathbf{h}) \langle \mathbf{n}, \mathbf{h} \rangle d\mathbf{h} = 1$ , and  $G_2$  is the shadowing-masking function. The shadowing-masking function combines the visibility masking function  $G_1(\mathbf{v}, \mathbf{l})$  for view direction and  $G_1(\mathbf{l}, \mathbf{v})$  for light

$\mathbf{v}$	normalized vector to viewer
$\mathbf{l}$	normalized vector to light
$\mathbf{n}$	surface normal
$\mathbf{t}_1, \mathbf{t}_2$	tangent and bitangent
$\mathbf{h}$	half vector, $\mathbf{h} = \mathbf{h}(\mathbf{v}, \mathbf{l}) = \frac{\mathbf{v} + \mathbf{l}}{\ \mathbf{v} + \mathbf{l}\ }$
$\langle \mathbf{x}, \mathbf{y} \rangle$	dot product of $\mathbf{x}$ and $\mathbf{y}$
$\text{reflect}(\mathbf{x}, \mathbf{m})$	reflection of vector $\mathbf{x}$ on surface with normal $\mathbf{m}$ , i.e. $-\mathbf{x} + 2 \langle \mathbf{x}, \mathbf{m} \rangle \mathbf{m}$
$\Omega$	hemisphere of directions around the normal

Figure 1. Notations used.

direction:

$$G_2(\mathbf{v}, \mathbf{l}) = G_2(G_1(\mathbf{v}, \mathbf{l}), G_1(\mathbf{l}, \mathbf{v})) \quad (2)$$

Mathematically, the BRDF is plausible if  $G_2$  is symmetric w.r.t. to exchanging  $\mathbf{v}$  and  $\mathbf{l}$ , fulfills  $G_2(\mathbf{v}, \mathbf{l}) \leq G_1(\mathbf{v}, \mathbf{l})$ , and if  $G_1$  further establishes the correct projected area of visible micro-surfaces [Heitz 2014]

$$\langle \mathbf{v}, \mathbf{n} \rangle = \int_{\{\mathbf{h} \in \Omega: \langle \mathbf{v}, \mathbf{h} \rangle \geq 0\}} D(\mathbf{h}) G_1(\mathbf{v}, \text{reflect}(\mathbf{v}, \mathbf{h})) \langle \mathbf{v}, \mathbf{h} \rangle d\mathbf{h}. \quad (3)$$

Choices for  $G_1$  with this property are the Smith masking function

$$G_1(\mathbf{v}, \mathbf{l}) = G_{1,\text{Smith}}(\mathbf{v}) = \frac{\langle \mathbf{v}, \mathbf{n} \rangle}{\int_{\{\mathbf{h} \in \Omega: \langle \mathbf{v}, \mathbf{h} \rangle \geq 0\}} D(\mathbf{h}) \langle \mathbf{v}, \mathbf{h} \rangle d\mathbf{h}}, \quad (4)$$

where analytic expressions are available for Beckmann and GGX distributions, and the v-cavity masking function

$$G_1(\mathbf{v}, \mathbf{l}) = G_{1,\text{vc}}(\langle \mathbf{v}, \mathbf{n} \rangle, \langle \mathbf{n}, \mathbf{h} \rangle, \langle \mathbf{v}, \mathbf{h} \rangle) = \min \left\{ \frac{2 \langle \mathbf{v}, \mathbf{n} \rangle \langle \mathbf{n}, \mathbf{h} \rangle}{\langle \mathbf{v}, \mathbf{h} \rangle}, 1 \right\}, \quad (5)$$

which is generally applicable for distributions with symmetry around the normal.

### 3. Back-vector Modification

If instead of half-vector  $\mathbf{h}$  the back-vector  $\mathbf{b}$ , defined as

$$\mathbf{b}(\mathbf{v}, \mathbf{l}) = \mathbf{h}(\mathbf{v}', \mathbf{l}) = \frac{\mathbf{v}' + \mathbf{l}}{\|\mathbf{v}' + \mathbf{l}\|}, \text{ with } \mathbf{v}' = \text{reflect}(\mathbf{v}, \mathbf{n}), \quad (6)$$

is used in equation (1), the resulting BRDF is retro-reflective [Belcour et al. 2014]. Note that instead of explicitly defining a BRDF using the back-vector, we can simply use  $\mathbf{v}'$  instead of  $\mathbf{v}$ , i.e. we define  $f_{\text{retro}}(\mathbf{v}, \mathbf{l}) := f(\mathbf{v}', \mathbf{l})$  such that the half-vector becomes the back-vector. In the following we show that this BRDF is plausible, i.e. symmetry and energy conservation are guaranteed.

### 4. Plausibility of the Back-vector Modification

In analogy to  $\mathbf{v}'$  we let  $\mathbf{l}' := \text{reflect}(\mathbf{l}, \mathbf{n})$ . First we observe

$$\begin{aligned} \langle \mathbf{v}', \mathbf{n} \rangle &= \langle \mathbf{v}, \mathbf{n} \rangle \text{ and} \\ \langle \mathbf{l}, \mathbf{n} \rangle &= \langle \mathbf{l}', \mathbf{n} \rangle. \end{aligned} \quad (7)$$

For the back-vector we show  $\mathbf{h}(\mathbf{v}', \mathbf{l}) = \text{reflect}(\mathbf{h}(\mathbf{l}', \mathbf{v}), \mathbf{n})$ , as

$$\begin{aligned} \mathbf{v}' + \mathbf{l} &= (-\mathbf{v} + 2 \langle \mathbf{v}, \mathbf{n} \rangle \mathbf{n}) + \mathbf{l} \\ &= (-\mathbf{v} + 2 \langle \mathbf{v}, \mathbf{n} \rangle \mathbf{n}) + (-\mathbf{l}' + 2 \langle \mathbf{l}', \mathbf{n} \rangle \mathbf{n}) \\ &= -(\mathbf{l}' + \mathbf{v}) + 2 \langle \mathbf{l}' + \mathbf{v}, \mathbf{n} \rangle \mathbf{n} = \text{reflect}(\mathbf{l}' + \mathbf{v}, \mathbf{n}) \end{aligned} \quad (8)$$

and therefore  $\|\mathbf{v}' + \mathbf{l}\| = \|\mathbf{l}' + \mathbf{v}\|$  as well. From that we can conclude

$$\begin{aligned}\langle \mathbf{n}, \mathbf{h}(\mathbf{v}', \mathbf{l}) \rangle &= \langle \mathbf{n}, \mathbf{h}(\mathbf{l}', \mathbf{v}) \rangle \\ \langle \mathbf{t}_1, \mathbf{h}(\mathbf{v}', \mathbf{l}) \rangle &= -\langle \mathbf{t}_1, \mathbf{h}(\mathbf{l}', \mathbf{v}) \rangle \\ \langle \mathbf{t}_2, \mathbf{h}(\mathbf{v}', \mathbf{l}) \rangle &= -\langle \mathbf{t}_2, \mathbf{h}(\mathbf{l}', \mathbf{v}) \rangle.\end{aligned}\tag{9}$$

As anisotropic Phong, Beckmann, and GGX distributions are reflection-symmetric around the normal, i.e. they take the form  $D(\mathbf{h}) = D(\langle \mathbf{n}, \mathbf{h} \rangle, |\langle \mathbf{t}_1, \mathbf{h} \rangle|, |\langle \mathbf{t}_2, \mathbf{h} \rangle|)$ , we can thus infer that using them with the back-vector obeys symmetry. Further, the reflection symmetry of  $D$  along with equation (7) gives

$$\begin{aligned}G_{1,\text{Smith}}(\mathbf{v}) &= \frac{\langle \mathbf{v}, \mathbf{n} \rangle}{\int_{\{\mathbf{h} \in \Omega: \langle \mathbf{v}, \mathbf{h} \rangle \geq 0\}} D(\mathbf{h}) \langle \mathbf{v}, \mathbf{h} \rangle d\mathbf{h}} \\ &= \frac{\langle \mathbf{v}', \mathbf{n} \rangle}{\int_{\{\mathbf{h} \in \Omega: \langle \mathbf{v}', \mathbf{h} \rangle \geq 0\}} D(\mathbf{h}) \langle \mathbf{v}', \mathbf{h} \rangle d\mathbf{h}} = G_{1,\text{Smith}}(\mathbf{v}')\end{aligned}\tag{10}$$

for Smith-masking. Next we show

$$\langle \mathbf{v}', \mathbf{l} \rangle = -\langle \mathbf{v}, \mathbf{l} \rangle + 2\langle \mathbf{v}, \mathbf{n} \rangle \langle \mathbf{l}, \mathbf{n} \rangle = \langle \mathbf{v}, -\mathbf{l} + 2\langle \mathbf{l}, \mathbf{n} \rangle \mathbf{n} \rangle = \langle \mathbf{v}, \mathbf{l}' \rangle\tag{11}$$

and therefore

$$\langle \mathbf{v}', \mathbf{h}(\mathbf{v}', \mathbf{l}) \rangle = \frac{1 + \langle \mathbf{l}, \mathbf{v}' \rangle}{\|\mathbf{v}' + \mathbf{l}\|} = \frac{1 + \langle \mathbf{l}', \mathbf{v} \rangle}{\|\mathbf{l}' + \mathbf{v}\|} = \langle \mathbf{l}', \mathbf{h}(\mathbf{l}', \mathbf{v}) \rangle.\tag{12}$$

Using this along with equations (9) and (7) we get

$$\begin{aligned}G_1(\mathbf{v}', \mathbf{l}) &= G_{1,\text{vc}}(\langle \mathbf{v}', \mathbf{n} \rangle, \langle \mathbf{n}, \mathbf{h}(\mathbf{v}', \mathbf{l}) \rangle, \langle \mathbf{v}', \mathbf{h}(\mathbf{v}', \mathbf{l}) \rangle) \\ &= G_{1,\text{vc}}(\langle \mathbf{v}, \mathbf{n} \rangle, \langle \mathbf{n}, \mathbf{h}(\mathbf{l}', \mathbf{v}) \rangle, \langle \mathbf{l}', \mathbf{h}(\mathbf{l}', \mathbf{v}) \rangle) \\ &= G_{1,\text{vc}}(\langle \mathbf{v}, \mathbf{n} \rangle, \langle \mathbf{n}, \mathbf{h}(\mathbf{v}, \mathbf{l}') \rangle, \langle \mathbf{l}', \mathbf{h}(\mathbf{v}, \mathbf{l}') \rangle) \\ &= G_1(\mathbf{v}, \mathbf{l}')\end{aligned}\tag{13}$$

and similarly  $G_1(\mathbf{l}, \mathbf{v}') = G_1(\mathbf{l}', \mathbf{v})$  for the v-cavity masking function. In summary, we can conclude the symmetry of the BRDF

$$\begin{aligned}f_{\text{retro}}(\mathbf{v}, \mathbf{l}) &= f(\mathbf{v}', \mathbf{l}) = \frac{D(\mathbf{h}(\mathbf{v}', \mathbf{l}))G_2(G_1(\mathbf{v}', \mathbf{l}), G_1(\mathbf{l}, \mathbf{v}'))}{4\langle \mathbf{v}', \mathbf{n} \rangle \langle \mathbf{l}, \mathbf{n} \rangle} \\ &= \frac{D(\mathbf{h}(\mathbf{l}', \mathbf{v}))G_2(G_1(\mathbf{v}, \mathbf{l}'), G_1(\mathbf{l}', \mathbf{v}))}{4\langle \mathbf{v}, \mathbf{n} \rangle \langle \mathbf{l}', \mathbf{n} \rangle} = f(\mathbf{l}', \mathbf{v}) = f_{\text{retro}}(\mathbf{l}', \mathbf{v}).\end{aligned}$$

For the albedo we simply have

$$\rho_{\text{retro}}(\mathbf{v}) = \int_{\Omega} f_{\text{retro}}(\mathbf{v}, \mathbf{l}) \langle \mathbf{l}, \mathbf{n} \rangle d\mathbf{l} = \int_{\Omega} f(\mathbf{v}', \mathbf{l}) \langle \mathbf{l}, \mathbf{n} \rangle d\mathbf{l} = \rho(\mathbf{v}')\tag{15}$$

i.e. we get the albedo of the regular microfacet BRDF for the reflected direction. Which, using equation (3) and  $\frac{d\mathbf{h}(\mathbf{v}', \mathbf{l})}{d\mathbf{l}} = \frac{1}{4\langle \mathbf{l}, \mathbf{h}(\mathbf{v}', \mathbf{l}) \rangle} = \frac{1}{4\langle \mathbf{v}', \mathbf{h}(\mathbf{v}', \mathbf{l}) \rangle}$  [Walter et al. 2007], can be shown to fulfill energy conservation

$$\begin{aligned} \rho(\mathbf{v}') &= \int_{\Omega} \frac{D(\mathbf{h}(\mathbf{v}', \mathbf{l}))G_2(\mathbf{v}', \mathbf{l})}{4\langle \mathbf{v}', \mathbf{n} \rangle \langle \mathbf{l}, \mathbf{n} \rangle} \langle \mathbf{l}, \mathbf{n} \rangle d\mathbf{l} \\ &\leq \int_{\{\mathbf{h} \in \Omega: \langle \mathbf{v}', \mathbf{h} \rangle \geq 0\}} \frac{D(\mathbf{h})G_1(\mathbf{v}', \text{reflect}(\mathbf{v}', \mathbf{h}))}{\langle \mathbf{v}', \mathbf{n} \rangle} \langle \mathbf{v}', \mathbf{h} \rangle d\mathbf{h} = 1. \end{aligned} \quad (16)$$

## 5. Implementation Notes

Given an implementation of a regular microfacet BRDF, extending it to retro-reflection is straightforward:

- Evaluation merely needs to replace  $\mathbf{v}$  with  $\mathbf{v}'$  upfront.
- Similarly, importance sampling of  $\mathbf{l}$  given  $\mathbf{v}$  can be realized by replacing  $\mathbf{v}$  with  $\mathbf{v}'$  upfront and then importance sampling the regular microfacet BRDF. This may include low variance sampling using the domain of visible microfacets [Heitz and d'Eon 2014].
- As the albedos of standard BRDF and retro-reflective BRDF are essentially identical, compensating for energy loss in the sense of [Kelemen and Szirmay-Kalos 2001] can be realized using the same data tables.

## References

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