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Introduction

This page relates some experiments I made on OpenCL sorting algorithms.

Sorting algorithms are not the best suited for the GPU: they usually require lots of comparisons, and access memory through irregular patterns. However, since sorting is a basic building block of many algorithms, it may be desirable to have a GPU implementation. Some GPU implementations are actually faster than the CPU (assuming data is already resident in the GPU).

Contents

- 1. Introduction Introduction (this page).
- 2. Parallel selection Parallel selection sort, some variations on a simple algorithm.
- 3. Parallel selection, local Partial parallel selection sort inside a workgroup.
- 4. Parallel bitonic, local Partial bitonic sort inside a workgroup.
- 5. Parallel bitonic I Full bitonic sort, working in global memory and registers.
- 6. Parallel bitonic II Full bitonic sort, adding local memory.
- 7. Parallel merge, local Partial merge sort inside a workgroup.

References

Writing this article was an excellent opportunity to re-open the third volume of the Art of Computer Programming by Donald E. Knuth. Googling for GPU sorting will return the most interesting references on the subject. The Wikipedia Sorting algorithm page provides some useful information too. Efficient algorithms for GPU sorting can be found in Designing Efficient Sorting Algorithms for Manycore GPUs by Satish, Harris, and Garland. The publications of Duane Merrill describe more recent high performance sorting on GPU (reaching 775 Mkey/s on a NVIDIA GTX 480 for Key+Value sorting).

Downloads

The package contains all host and kernel code, with projects for Linux and Visual Studio 2010. It includes a small OpenCL wrapper providing basic useful functions (MiniCL). The test program will check all the kernels (it takes some time).

OpenCLsorting-20110625.zip (24 KB), June 2011, all kernels up to Parallel Bitonic II.

Benchmarks

We will sort an input array of \mathbf{N} 32-bit unsigned integers keys, with and without an associated 32-bit integer value. For a given \mathbf{N} we measure the elapsed wall clock time \mathbf{T} , and performance $\mathbf{P}=\mathbf{10^{-6}.NT}$ in Mkey/s (million keys per second). To simplify the code, \mathbf{N} will always be a power of 2. The measurement loop repeats the sort until a minimal elapsed time is reached, as in the following:

The machine used for our experiments has the following configuration: Core i7 950 @4000MHz 12GB RAM, AMD HD6970 Cayman @880Mhz 2GB RAM @1375MHz, Windows 7 64-bit and AMD Catalyst 11.6.

Upper bound of the sorting rate

To get an upper bound of the expected performance, let's imagine we will run K successive kernels, each run reading and writing the entire key array, (4+4).N bytes. Assuming we can reach the advertised 176 GB/s for the HD6970, we get rate < 22,000/K Mkey/s.

> O	penCL
Ope	nCL Sorting
• III	troduction
• Pa	arallel selection
• Pa	arallel selection arallel selection, local
• Pa	arallel bitonic, local
• Pa	arallel bitonic l
• Pa	arallel merge, local
Ope	nCL FFT
Ope	nCL FFT (OLD)
Ope	nCL GEMV nCL Multiprecision
Ope	nCL Multiprecision
GPU	J Mandelbrot Set
GPU	J Benchmarks
> O1	ihar
	aradiant
ΔΜΙ	dot product 064 Multiprecision
Intel	64 Multiprecision
PO	64 Multiprecision /-Ray Buttons/Logos
Proi	ective Geometry
Qua	
	territoris

Eric Bainville - June 2011



Parallel Selection Sort

Basic implementation

Let's begin with a very simple algorithm. I could not find a better name for it than "parallel selection sort"; contact me if you have a better suggestion for the naming. We run \mathbf{N} threads. Thread \mathbf{i} iterates on the entire input vector to find the output position \mathbf{pos} of value $\mathbf{in_i}$. Finally, thread \mathbf{i} and writes $\mathbf{in_i}$ into $\mathbf{out_{pos}}$:

ParallelSelection					
log ₂ (N)	Key Only	Key+Value			
8	1.00	0.99			
9	1.23	1.22			
10	1.36	1.36			
11	1.44	1.44			
12	1.50	1.50			
13	1.48	1.49			
14	1.17	1.31			
15	0.68	0.72			
16	0.37	0.38			
17	0.22	0.22			
18	0.12	0.12			
19	0.06	0.06			

Performance of the ParallelSelection kernel, Mkey/s.

This algorithm is obviously highly ineffective. Considering the total I/O is 2^*N+N^2 records, the total memory throughput increases, to reach 127 GB/s for $N=2^{19}$. Note that there is no difference in having 32-bit or 64-bit records here.

Using local memory

Instead of having all threads read values from global memory, we could try to preload blocks of values in local memory, and use them in all threads inside a workgroup. In the following code, we load <code>BLOCK_FACTOR</code> input records for each thread: each workgroup will load the input data by blocks of <code>BLOCK_FACTOR</code> * workgroup size records.

```
kernel void ParallelSelection_Blocks(_global const data_t * in,__global data_t * out,__local uint * aux)

{
    int i = get_global_id(0); // current thread
    int n = get_global_size(0); // input size
    int wg = get_local_size(0); // workgroup size
    data_t iData = in[i]; // input record for current thread
    uint iKey = keyValue(iData); // input key for current thread
    int blockSize = BLOCK_FACTOR * wg; // block size

// Compute position of iKey in output
    int pos = 0;
    // Loop on blocks of size BLOCKSIZE keys (BLOCKSIZE must divide N)
    for (int j=0;j<n;j+=blockSize)
    {
        // Load BLOCKSIZE keys using all threads (BLOCK_FACTOR values per thread)
        barrier(CLK_LOCAL_MEM_FENCE);
        for (int index=get_local_id(0);index<blockSize;index+=wg)
            aux(index) = keyValue(in[j+index]);</pre>
```

> BEALTO
Home
Eric Bainville
> FPGA
FPGA Simple UART
> OpenCL
OpenCL Sorting
Introduction
Parallel selection
Parallel selection, local
Parallel bitonic, local
Parallel bitonic I
Parallel bitonic II
Parallel merge, local
OpenCL FFT
OpenCL FFT (OLD)
OpenCL GEMV OpenCL Multiprecision
GPU Mandelbrot Set
GPU Benchmarks
> Other
SSE gradient
SSE dot product
AMD64 Multiprecision
Intel64 Multiprecision
POV-Ray Buttons/Logos
Projective Geometry
Quaternions
Sitemap

Sign In

```
// Loop on all values in AUX
for (int index=0;index<blockSize;index++)
{
    uint jKey = aux[index]; // broadcasted, local memory
    bool smaller = (jKey < iKey) || ( jKey == iKey && (j+index) < i ); // in[j] < in[i] ?
    pos += (smaller)?1:0;
    }
}
out[pos] = iData;
}</pre>
```

Note that **both barrier** instructions are required, the first one ensures all threads have finished the processing loop before loading a new block, and the second one ensures the block is entirely loaded before starting the next processing loop.

ParallelSelection_Blocks						
BLOCK_FACTOR value						
log ₂ (N)	1	2	4	8	16	32
8	1.80					
9	2.66	2.65				
10	3.41	3.42	3.34			
11	3.91	3.94	3.96	3.79		
12	4.24	4.28	4.27	4.16	4.15	
13	2.36	2.37	2.37	2.38	2.39	2.28
14	1.61	1.61	1.60	1.59	1.62	1.55
15	0.82	0.82	0.82	0.79	0.81	0.78
16	0.45	0.45	0.45	0.45	0.45	0.43
17	0.22	0.23	0.23	0.23	0.23	0.21
18	0.12	0.12	0.12	0.12	0.12	0.11
19	0.06	0.06	0.06	0.06	0.06	0.05

Performance of the ParallelSelection_Blocks kernel (Key+Value sort), Mkey/s.

Performance is a little better, and reaches 4.28 Mkey/s thanks to the higher speed of the local memory, but we still are very far from good.

OpenCL Sorting: Introduction

Top of Page

OpenCL Sorting: Parallel selection, local

Eric Bainville - June 2011

Parallel selection, local

Let's now focus on sorting in local memory. We execute \mathbf{N} threads in workgroups of \mathbf{WG} threads, and each workgroup sorts a segment of \mathbf{WG} input records. The output contains \mathbf{NWG} ordered sequences. The following kernel is adapted from the ParallelSelection_Blocks kernel, and uses the same algorithm to sort each subsequence of length \mathbf{WG} .

ParallelSelection_Local				
WG	Top speed			
1	133			
2	209			
4	287			
8	336			
16	367			
32	384			
64	392			
128	199			
256	100			

Performance of the ParallelSelection_Local kernel (Key+Value sort), Mkey/s.

Each thread performs O(1) global memory accesses, and O(WG) local memory accesses. When WG doubles, the work quantity doubles too, meaning the processing rate is expected to be halved. This is verified for WG>32 here.



	ome ic Bainville
	FPGA
H	PGA Simple UART
	OpenCL
	penCL Sorting
	Introduction
	Parallel selection
	Parallel selection, local
	Parallel bitonic, local Parallel bitonic I
	Parallel bitonic II
	Parallel merge, local
	nenCl FFT
	nonCL FET (OLD)
Ö	penCL GEMV
O	penCL Multiprecision
G	PU Mandelbrot Set
G	PU Benchmarks
_	Other
	SE gradient
	SE dot product
	MD64 Multiprecision
	tel64 Multiprecision
P	OV-Ray Buttons/Logos
Pı	ojective Geometry
Q	uaternions

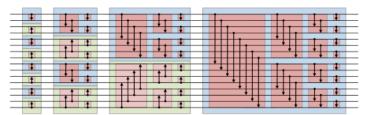
Cian In

Eric Bainville - June 2011

Parallel bitonic, local

Here again, we sort in local memory. We execute \mathbf{N} threads in workgroups of \mathbf{WG} threads, and each workgroup sorts a segment of \mathbf{WG} input records. The output contains \mathbf{NWG} ordered sequences.

Ken Batcher's bitonic sorting network is described in Knuth's book, and nicely illustrated in the Wikipedia page:



Bitonic sorter network (from Wikipedia).

The code below is a direct translation of the network pictured in the figure, where each thread computes exactly one element (i.e. we have two threads for each comparator).

```
kernel void ParallelBitonic_Local(__global const data_t * in,__global data_t * out,__local data_t * aux)
int i = get_local_id(0); // index in workgroup
int wq = get local size(0); // workgroup size = block size, power of 2
// Move IN, OUT to block start
int offset = get_group_id(0) * wg;
in += offset; out += offset;
// Load block in AUX[WG]
aux[i] = in[i];
barrier(CLK_LOCAL_MEM_FENCE); // make sure AUX is entirely up to date
// Loop on sorted sequence length
for (int length=1;length<wg;length<<=1)</pre>
  bool direction = ((i & (length<<1)) != 0); // direction of sort: 0=asc, 1=desc
   // Loop on comparison distance (between keys)
   for (int inc=length;inc>0;inc>>=1)
     int j = i ^ inc; // sibling to compare
    data_t iData = aux[i];
uint iKey = getKey(iData);
     data_t jData = aux[j];
     uint jKey = getKey(jData);
    bool smaller = (jKey < iKey) || ( jKey == iKey && j < i );
bool swap = smaller ^ (j < i) ^ direction;
     barrier(CLK_LOCAL_MEM_FENCE);
    aux[i] = (swap)?jData:iData;
barrier(CLK LOCAL MEM FENCE);
// Write output
out[i] = aux[i];
```

We don't need to read iData at each step, since the thread can keep track of its value when it is changed. The modified version is a little faster, measured as follows:

ParallelBitonic_Local				
WG	Top speed			
1	191			
2	163			
4	166			
8	192			
16	246			
32	341			
64	496			
128	380			
256	291			



> BEALTO

	FPGA GA Simple UART
> 1	OpenCL
O	enCL Sorting
	ntroduction
	Parallel selection
	Parallel selection, local
	Parallel bitonic, local
	Parallel bitonic I
	Parallel bitonic II
	Parallel merge, local
O	enCL FFT
O	enCL FFT (OLD) enCL GEMV
	enCL GEMV enCL Multiprecision
	PU Mandelbrot Set
	PU Benchmarks
GI	O DELICITITATES
> (Other
	E gradient
	E dot product
	ID64 Multiprecision
	el64 Multiprecision
	V-Ray Buttons/Logos
	ojective Geometry
Qı	aternions
SI	emap

Eric Bainville - June 2011



> BEALTO

Sign In

Parallel bitonic

The simplicity and regularity of the bitonic sort make it an ideal candidate for experiments. Let's transform the inner loop of the ParallelBitonic_Local kernel into a kernel operating on global memory.

The loop on length and lnc is now in the host code: we call $O(log^2(N))$ kernels, swapping input/output buffers and enqueuing a barrier at each invocation.

ParallelBitonic_A				
log ₂ (N)	Key+Value			
9	1.1			
10	1.9			
13	10			
14	17			
17	37			
18	37			
21	30			
22	28			
25	21			

Performance of the ParallelBitonic_A kernel, Mkey/s.

Each thread makes 3 global memory accesses, and we execute $L^*(L+1)/2$ kernels, where $L=log_2(N)$. For L=22, we reach an impressive 169 GB/s of global memory I/O rate.

In the above kernel, since we run one thread per value, we perform each comparison $i \hookrightarrow j$ two times: one in thread i, and the other one in thread j. We can make this comparison in a single thread, which will manage both values: 2 read, 2 write, and 1 comparison instead of 4 read, 2 write, and 2 comparisons. This leads to the following kernel, requiring N/2 threads at each pass, and modifying data in-place:

```
#define ORDER(a,b) { bool swap = reverse ^ (getKey(a) < getKey(b)); \
    data_t auxa = a; data_t auxb = b; a = (swap)?auxb:auxa; b = (swap)?auxa:auxb; }

    __kernel void ParallelBitonic_B2(__global data_t * data,int inc,int dir)

{
    int t = get_global_id(0); // thread index
    int low = t & (inc - 1); // low order bits (below INC)
    int i = (t<<1) - low; // insert 0 at position INC
    bool reverse = ((dir & i) == 0); // asc/desc order
    data += i; // translate to first value

// Load
    data_t x0 = data[ 0];
    data_t x1 = data[inc];

// Sort
    ORDER(x0,x1)

// Store
    data[0] = x0;
    data[inc] = x1;
}</pre>
```

This kernel runs at 49 Mkey/s for Key+Value, exceeding 160 GB/s of global memory I/O rate, and 73 Mkey/s for Key sorting only. The speed limitation comes from the large number of kernels invoked. For example for

> ! O!	OpenCL penCL Sorting Introduction Parallel selection Parallel bitonic, local Parallel bitonic I Parallel bitonic II Parallel merge, local penCL FFT penCL FFT penCL FFT	
O	penCL Sorting Introduction Parallel selection Parallel selection, local Parallel bitonic, local Parallel bitonic I Parallel bitonic II Parallel merge, local penCL FFT	
O	penCL Sorting Introduction Parallel selection Parallel selection, local Parallel bitonic, local Parallel bitonic I Parallel bitonic II Parallel merge, local penCL FFT	
·	Introduction Parallel selection Parallel selection, local Parallel bitonic, local Parallel bitonic I Parallel bitonic II Parallel merge, local penCI FFT	
• •	Parallel selection, local Parallel bitonic, local Parallel bitonic I Parallel bitonic II Parallel merge, local	
• •	Parallel bitonic, local Parallel bitonic I Parallel bitonic II Parallel merge, local penCL_FFT	
· O	Parallel bitonic I Parallel bitonic II Parallel merge, local	
• Ot	Parallel bitonic II Parallel merge, local	
Oı	Parallel merge, local	
Ö	penCL FFT	
O	penCL FFT	
~	CL FFT (OLD)	
Οį	penct FFT (OLD)	
O	penCL GEMV	
O	penCL Multiprecision	
GI	PU Mandelbrot Set	
GI	PU Benchmarks	
		
	Other SE gradient	
	SE dot product	
	MD64 Multiprecision	
	tel64 Multiprecision	
	OV-Ray Buttons/Logos	
	rojective Geometry	
	uaternions	
	44	
Si	temap	

We could collapse two consecutive calls of the kernel with inc and inc/2. Each thread would process 4 values in-place, performing 4 comparisons. This is illustrated in the following kernel:

```
| kernel void ParallelBitonic_B4(__global data_t * data,int inc,int dir) {
| inc >> 1; | int t = get_global_id(0); // thread index | int low = t & (inc - 1); // low order bits (below INC) | int i = ((t - low) << 2) + low; // insert 00 at position INC | bool reverse = ((dir & i) == 0); // asc/desc order | data += i; // translate to first value | // Load | data_t x0 = data[ 0]; | data_t x1 = data[ inc]; | data_t x2 = data[2*inc]; | data_t x3 = data[3*inc]; | // Sort | ORDER(x0,x2) | ORDER(x1,x3) | ORDER(x0,x1) | ORDER(x2,x3) | // Store | data[ 0] = x0; | data[ inc] = x1; | data[2*inc] = x2; | data[3*inc] = x3; | }
```

Using the B2 and B4 kernels, parallel bitonic sort reaches 78 Mkey/s for Key+Value, and 115 Mkey/s for Key only. Let's continue in this direction with kernels processing 8 and 16 inputs at a time:

```
#define B2V(x,a) { ORDERV(x,a,a+1) }
#define B4V(x,a) { for (int i4=0;i4<2;i4++) { ORDERV(x,a+i4,a+i4+2) } B2V(x,a) B2V(x,a+2) }
#define B8V(x,a) { for (int i8=0;i8<4;i8++) { ORDERV(x,a+i8,a+i8+4) } B4V(x,a) B4V(x,a+4) } #define B16V(x,a) { for (int i16=0;i16<8;i16++) { ORDERV(x,a+i16,a+i16+8) } B8V(x,a) B8V(x,a+8) }
 kernel void ParallelBitonic B8( global data t * data.int inc.int dir)
  inc >> 2;
  int t = get_global_id(0); // thread index
  int low = t & (inc - 1); // low order bits (below INC)
int i = ((t - low) << 3) + low; // insert 000 at position INC</pre>
  bool reverse = ((dir & i) == 0); // asc/desc order
data += i; // translate to first value
  // Load
  data_t x[8];
  for (int k=0; k<8; k++) x[k] = data[k*inc];</pre>
  B8V(x,0)
  // Store
  for (int k=0;k<8;k++) data[k*inc] = x[k];</pre>
 _kernel void ParallelBitonic_B16(__global data_t * data,int inc,int dir)
  int t = get_global_id(0); // thread index
int low = t & (inc - 1); // low order bits (below INC)
int i = ((t - low) << 4) + low; // insert 0000 at position INC
bool reverse = ((dir & i) == 0); // asc/desc order</pre>
  data += i; // translate to first value
  data t x[16]:
  for (int k=0;k<16;k++) x[k] = data[k*inc];</pre>
   // Sort
  B16V(x,0)
  for (int k=0;k<16;k++) data[k*inc] = x[k];</pre>
```

The use of loops does not slow down the generated code. Using B2, B4, and B8 kernels, we can reach 103 Mkey/s for Key+Value, and 160 Mkey/s for Key only. Unfortunately, a probable bug in the driver (Catalyst 11.5 and 11.6) does not allow to execute the B16 kernel without errors in the output. It runs correctly on a NVIDIA GPU.

ParallelBitonic				
Kernels	Key+Value	Key		
B2	49	73		
B2+B4	78	115		
B2+B4+B8	103	160		
B2+B4+B8+B16	FAIL	FAIL		

It is then possible to terminate the <code>inc</code> loop inside a single kernel, inserting barriers to synchronize the threads. The second step would be to replace global memory I/O by local memory I/O for intermediate states. We will implement this in the next page: Parallel bitonic II.

OpenCL Sorting: Parallel bitonic, local

Top of Page

OpenCL Sorting: Parallel bitonic II

Eric Bainville - June 2011

Parallel bitonic, local memory acceleration

We will now use all three levels of the GPU memory system: global, local, and registers. We will operate in global memory until **inc** becomes smaller than the workgroup size. From this point, we can terminate the loop on **inc** inside a single kernel. The following kernel C2(pre) does this, each thread processing two values at each iteration (it is based on kernel B2). To sort the entire input vector, we now call a mix of B2, B4, B8,... and C2(pre) to terminate each **inc** loop when **inc SWG**.

```
_kernel void ParallelBitonic_C2_pre(__global data_t * data,int inc,int dir)
int t = get_global_id(0); // thread index
// Terminate the INC loop inside the workgroup
for ( ;inc>0;inc>>=1)
  int low = t & (inc - 1); // low order bits (below INC)
int i = (t<<1) - low; // insert 0 at position INC
bool reverse = ((dir & i) == 0); // asc/desc order</pre>
  barrier(CLK_GLOBAL_MEM_FENCE);
  // Load
  data_t x0 = data[i];
  data_t x1 = data[i+inc];
   // Sort
  ORDER(x0,x1)
  barrier(CLK GLOBAL MEM FENCE);
  // Store
  data[i] = x0;
  data[i+inc] = x1;
}
```

This kernel runs at 40 Mkey/s, a little slower than kernel B2. Now let's replace all intermediate storage in global memory by local memory accesses. This is kernel C2:

```
_kernel void ParallelBitonic_C2(__global data_t * data,int inc0,int dir,__local data_t * aux)
int t = get_global_id(0); // thread index
int wgBits = 2*get_local_size(0) - 1; // bit mask to get index in local memory AUX (size is 2*WG)
for (int inc=inc0;inc>0;inc>>=1)
  int low = t & (inc - 1); // low order bits (below INC)
  int i = (t<<1) - low; // insert 0 at position INC
bool reverse = ((dir & i) == 0); // asc/desc order</pre>
  data_t x0,x1;
  if (inc == inc0)
    // First iteration: load from global memory
    x0 = data[i]:
    x1 = data[i+inc];
    // Other iterations: load from local memory
    barrier(CLK_LOCAL_MEM_FENCE);
    x0 = aux[i & wqBits];
    x1 = aux[(i+inc) & wgBits];
  // Sort
  ORDER(x0,x1)
  // Store
  if (inc == 1)
     // Last iteration: store to global memory
    data[i] = x0;
    data[i+inc] = x1;
  else
     // Other iterations: store to local memory
    barrier(CLK_LOCAL_MEM_FENCE);
    aux[i & wgBits] = x0;
    aux[(i+inc) & wgBits] = x1;
}
```



> BEALTO

> FI	PGA SA Simple UART	
	oenCL	
	nCL Sorting	
	troduction	
	arallel selection	
	arallel selection, local	
	arallel bitonic, local	
	arallel bitonic I	
	arallel bitonic II	
	arallel merge, local	
Ope	nCL FFT	
Ope	nCL FFT (OLD)	
Ope	nCL GEMV	
	nCL Multiprecision	
	J Mandelbrot Set	
GPU	J Benchmarks	
> O1	ther	
SSE	gradient	
SSE	dot product	
AMI	D64 Multiprecision	
	64 Multiprecision	
PΟ\	/-Ray Buttons/Logos	
Proj	ective Geometry	
Qua	ternions	
Site	map	
Oile	map	

C2 runs at 92 Mkey/s (combined with B2+B4+B8 for larger **inc** values) for Key+Value sorting, and 108 Mkey/s for Key only. Let's extend this to a C4 kernel, processing 4 values per thread, and called only when **inc** is 8, 32, 128:

```
_kernel void ParallelBitonic_C4(__global data_t * data,int inc0,int dir,__local data_t * aux)
int inc,low,i;
bool reverse;
data_t x[4];
 // First iteration, global input, local output
inc = inc0>>1;
low = t & (inc - 1); // low order bits (below INC)
 i = ((t - low) << 2) + low; // insert 00 at position INC
reverse = ((dir & i) == 0); // asc/desc order
for (int k=0;k<4;k++) x[k] = data[i+k*inc];</pre>
B4V(x,0);
for (int k=0;k<4;k++) aux[(i+k*inc) & wgBits] = x[k];
barrier(CLK_LOCAL_MEM_FENCE);
 // Internal iterations, local input and output
 for ( ;inc>1;inc>>=2)
   low = t & (inc - 1); // low order bits (below INC)
i = ((t - low) << 2) + low; // insert 00 at position INC
reverse = ((dir & i) == 0); // asc/desc order
for (int k=0;k<4;k++) x[k] = aux[(i+k*inc) & wgBits];</pre>
   barrier(CLK_LOCAL_MEM_FENCE);
for (int k=0; k<4; k++) aux[(i+k*inc) & wgBits] = x[k];</pre>
   barrier(CLK_LOCAL_MEM_FENCE);
 // Final iteration, local input, global output, INC=1
 i = t << 2;
reverse = ((dir & i) == 0); // asc/desc order
for (int k=0;k<4;k++) x[k] = aux[(i+k) & wgBits];</pre>
B4V(x,0);
for (int k=0;k<4;k++) data[i+k] = x[k];
```

We now reach 131 Mkey/s for Key+Value, and 190 Mkey/s for Key only. Writing specific kernels for inc=128,32,8 without the inc loop will probably lead to even more performance.

ParallelBitonic	itonic (updated with C* kernels)			
Kernels	Key+Value	Key		
B2	49	73		
B2+B4	78	115		
B2+B4+B8	103	160		
C2+B*	92	108		
C4+B*	131	190		

OpenCL Sorting: Parallel bitonic I

Eric Bainville - June 2011



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Parallel merge, local

Here again, we sort in local memory first. We execute \mathbf{N} threads in workgroups of \mathbf{WG} threads, and each workgroup sorts a segment of \mathbf{WG} input records. The output contains \mathbf{NWG} ordered sequences.

In merge sort, the main step of the algorithm is to merge together two sorted sequences. Suppose $a_0,a_1,...,a_{p-1}$ and $b_0,b_1,...,b_{p-1}$ are two sorted sequences. The position of a_i in the output is $i+f(b,a_i)$, where f(seq,x) is the number of values in sequence seq that are less than x. Since b is sorted, this number can be obtained by dichotomic search in $log_2(p)$ iterations. The same goes for the position of b_i in the output. This is implemented in the following kernel, each thread executes its own full dichotomic search in the sibling sequence:

```
_kernel void ParallelMerge_Local(__global const data_t * in,__global data_t * out,__local data_t * aux)
int i = get_local_id(0); // index in workgroup
int wg = get_local_size(0); // workgroup size = block size, power of 2
// Move IN, OUT to block start
int offset = get_group_id(0) * wg;
in += offset; out += offset;
// Load block in AUX[WG]
aux[i] = in[i]:
barrier(CLK_LOCAL_MEM_FENCE); // make sure AUX is entirely up to date
 // Now we will merge sub-sequences of length 1,2,...,WG/2
for (int length=1;length<wg;length<<=1)</pre>
   data_t iData = aux[i];
   uint iKey = getKey(iData);
int ii = i & (length-1); // index in our sequence in 0..length-1
int sibling = (i - ii) ^ length; // beginning of the sibling sequence
   for (int inc=length;inc>0;inc>>=1) // increment for dichotomic search
     int j = sibling+pos+inc-1;
     uint jKey = getKey(aux[j]);
bool smaller = (jKey < iKey) || ( jKey == iKey && j < i );</pre>
     pos += (smaller)?inc:0;
     pos = min(pos,length);
   int bits = 2*length-1; // mask for destination
  int dest = ((ii + pos) & bits) | (i & ~bits); // destination index in merged sequence
barrier(CLK_LOCAL_MEM_FENCE);
aux[dest] = iData;
   barrier(CLK_LOCAL_MEM_FENCE);
// Write output
out[i] = aux[i];
```

ParallelMerge_Local		
WG	Top speed	
1	178	
2	150	
4	163	
8	203	
16	280	
32	406	
64	616	
128	486	
256	383	

Performance of the ParallelMerge_Local kernel (Key+Value sort), Mkey/s.

The code is a little tricky to write correctly :-). Each thread performs O(1) global memory accesses, and $O(log^2(WG))$ local memory accesses. We can observe this $O(log^2(WG))$ behaviour for the largest values.

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