

# Data Types and Representation

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- Relational databases and relational data representation
- Types of data objects and their attributes
- Data dimensionality
- Typical search and analysis tasks
- Measures of data quality
- Data preprocessing
- Similarity between data objects

# Relational Data Model



# Basic Structure of Relational Databases

- Formally:
  - given sets  $D_1, D_2, \dots, D_n$  (attribute domains)
  - a **relation**  $r$  is a subset of  $D_1 \times D_2 \times \dots \times D_n$
- **relation schema**: attributes and their domains
- **relation instance**: tuples (records)

<i>customer-name</i>	<i>customer-street</i>	<i>customer-city</i>
<i>Jones</i>	<i>Main</i>	<i>Harrison</i>
<i>Smith</i>	<i>North</i>	<i>Rye</i>
<i>Curry</i>	<i>North</i>	<i>Rye</i>
<i>Lindsay</i>	<i>Park</i>	<i>Pittsfield</i>

*customer*

# Relational Attribute Types

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- ❑ Each attribute of a relation has a name
- ❑ The set of allowed values for each attribute is called the **domain** of the attribute
- ❑ Examples of simple domain types:
  - integer
  - string
  - date

# Keys

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- An attribute or a set of attributes is a **key** for the relation if there cannot be two tuples in the relation with exactly the same values in these attributes
- The **primary key** of the relation is a designated key
  - E.g. an **identifier** attribute

# Database

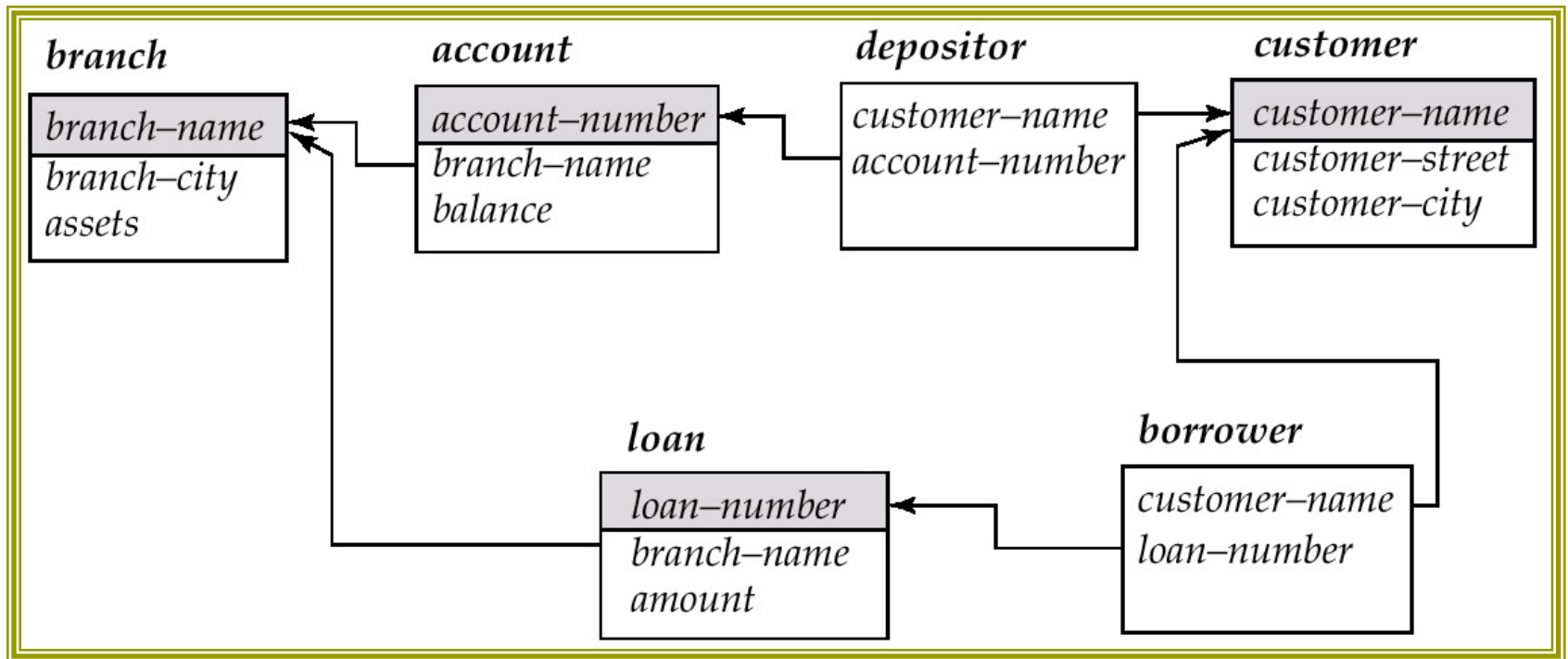
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- ❑ A database consists of multiple relations which are **inter-related**
- ❑ Information about an enterprise is broken up into parts, with **each relation storing one part** of the information

E.g.:

- *account* : stores information about accounts
- *depositor* : stores information about which customer owns which account
- *customer* : stores information about customers

# Schema Diagram for a Banking Enterprise



**Join operations** can be used to bring together information which is split to multiple relations

# Natural Join Operation – Example

## □ Relation *loan*

<i>loan-number</i>	<i>branch-name</i>	<i>amount</i>
L-170	Downtown	3000
L-230	Redwood	4000
L-260	Perryridge	1700

## □ Relation *borrower*

<i>customer-name</i>	<i>loan-number</i>
Jones	L-170
Smith	L-230

## □ Relation *loan* ⋈ *borrower*

<i>loan-number</i>	<i>branch-name</i>	<i>amount</i>	<i>customer-name</i>
L-170	Downtown	3000	Jones
L-230	Redwood	4000	Smith



# Aggregate Operation – Example

- Relation *account* grouped by *branch-name*:

<i>branch-name</i>	<i>account-number</i>	<i>balance</i>
Perryridge	A-102	400
Perryridge	A-201	900
Brighton	A-217	750
Brighton	A-215	750
Redwood	A-222	700

*branch-name*  $\mathcal{G}$  *sum(balance)* (*account*)

<i>branch-name</i>	<i>balance</i>
Perryridge	1300
Brighton	1500
Redwood	700

# SQL: Basic Structure

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- SQL is based on **set and relational operations** with certain modifications and enhancements

- A typical SQL query has the form:

**select**  $A_1, A_2, \dots, A_n$   
**from**  $r_1, r_2, \dots, r_m$   
**where**  $P$

- $A_i$ s represent attributes
  - $r_i$ s represent relations
  - $P$  is a predicate.
- This query is equivalent to the relational algebra expression:

$$\Pi_{A_1, A_2, \dots, A_n}(\sigma_P(r_1 \times r_2 \times \dots \times r_m))$$

- The result of an SQL query is a **multiset (bag) of tuples**

# Aggregate Functions – Group By

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- Find the number of depositors for each branch.

```
select branch-name, count (distinct customer-name)  
      from depositor, account  
      where depositor.account-number = account.account-number  
      group by branch-name
```

- **Note:** In the select clause outside of aggregate functions we must have:
  - attributes that appear in the group by list and/or
  - aggregate functions on attributes of each group

# Aggregate Functions – Having Clause

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- Find the names and average account balances of all branches where the average account balance is more than \$1,200.

```
select branch-name, avg (balance)  
      from account  
      group by branch-name  
      having avg (balance) > 1200
```

**Note:** predicates in the **having** clause are applied after the formation of groups whereas predicates in the **where** clause are applied before forming groups

# Data Types



# Types of data

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- ❑ **Record data** (each object is a row)
  - Data Matrix (dense vectors, all attributes have values)
  - Document Data (sparse vectors, some attributes have values)
  - Set-valued Data (sparse binary vectors)
- ❑ **Graphs** (each object is a node, edges are relationships)
  - World Wide Web (each object is a web page, edges are links)
  - Molecular Structures (each object is an atom, edges are bonds)
- ❑ **Ordered data** (objects are sequences of simple data types)
  - Temporal Data
  - Sequential Data
  - Genetic Sequence Data
- ❑ **Unstructured Data** (objects have no structure)
  - Text documents
- ❑ **Semistructured Data** (objects may have different structure)
  - XML, JSON, etc.

# Record Data

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- Data that consists of a **collection of records**, each of which has a fixed set of attributes
- As in a relational table

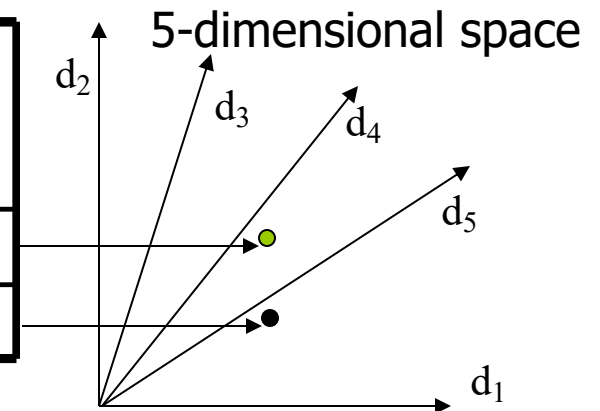
tuples  
(records,  
rows)

<i>sid</i>	<i>name</i>	<i>login</i>	<i>age</i>	<i>gpa</i>
53821	Jones	jones@math	18	1.8
53832	Smith	smith@math	19	3.2
53927	Parker	parker@cs	21	2.5
53111	Smith	smith@eee	20	2.8
53267	Black	black@me	19	3.1
53542	Dave	dave@phy	18	3.6

# Data Matrix Mapping

- If data objects have the **same fixed set of numeric attributes**, then the data objects can be thought of as **points in a multi-dimensional space**, where each dimension represents a distinct attribute

Projection of x Load	Projection of y load	Distance	Load	Thickness
10.23	5.27	15.22	2.7	1.2
12.65	6.25	16.22	2.2	1.1





# Relational Data

- ❑ Multiple tables **logically connected** to each other
- ❑ Some tables represent the **relationships** between entities appearing in other tables
- ❑ A **database schema** :
  - Employees(*ssn*: char(11), *name*: char(30), *lot*: integer)
  - Departments(*did*: integer, *dname*: char(20), *budget*: real)
  - Works\_in(*ssn*: char(11), *did*: integer, *since*: date)
- ❑ A **database instance** :

Employees

<i>ssn</i>	<i>name</i>	<i>lot</i>
13-324	Jones	22
13-322	Smith	45
12-824	Parker	125
21-397	Smith	12

Departments

<i>did</i>	<i>dname</i>	<i>budget</i>
34	Toys	122000
12	Tools	239000
76	Food	100000

Works\_in

<i>ssn</i>	<i>did</i>	<i>since</i>
13-324	34	1/1/01
13-322	34	1/4/02
13-322	12	2/2/05
12-824	76	1/1/03
21-397	76	1/1/05

# Document Data

## (unstructured to structured data)

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- Each document becomes a **'term' vector**,
  - each term is a component (attribute) of the vector,
  - the value of each component is the number of times the corresponding term occurs in the document.

	team	coach	play	ball	score	game	win	lost	timeout	season
Document 1	3	0	5	0	2	6	0	2	0	2
Document 2	0	7	0	2	1	0	0	3	0	0
Document 3	0	1	0	0	1	2	2	0	3	0

# Set-valued Data (Transactional Data)

- A special type of record data, where:
  - Each record (e.g., transaction) involves **a set of items**.
  - For example, consider a grocery store. The set of products purchased by a customer during one shopping trip constitute a transaction, while the individual products that were purchased are the items.
  - Instead of modeling them as sparse binary vectors, we can use the **original set representation**, which saves us a lot of space.

*sparse binary vector representation*

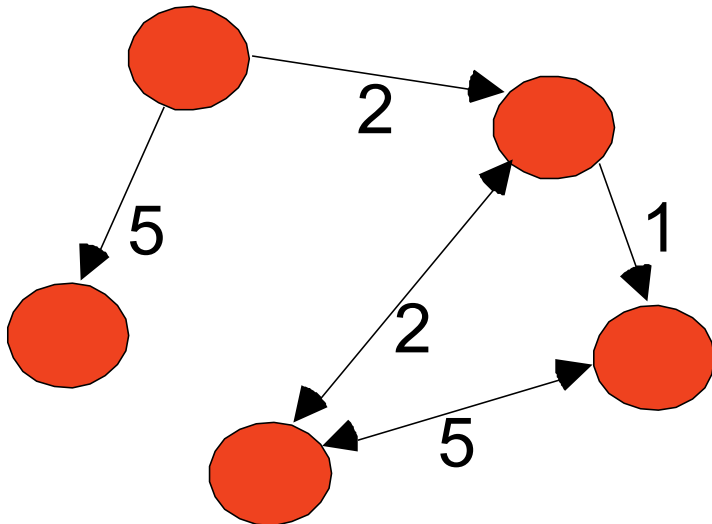
<b><i>TID</i></b>	<b><i>Bread</i></b>	<b><i>Coke</i></b>	<b><i>Milk</i></b>	<b><i>Beer</i></b>	<b><i>Diaper</i></b>
1	1	1	1	0	0
2	1	0	0	1	0
3	0	1	1	1	1
4	1	0	1	1	1
5	0	1	1	0	1

*original set representation*

<b><i>TID</i></b>	<b><i>Items</i></b>
1	Bread, Coke, Milk
2	Beer, Bread
3	Beer, Coke, Diaper, Milk
4	Beer, Bread, Diaper, Milk
5	Coke, Diaper, Milk

# Graph Data

## □ Example: Generic graph and HTML Links

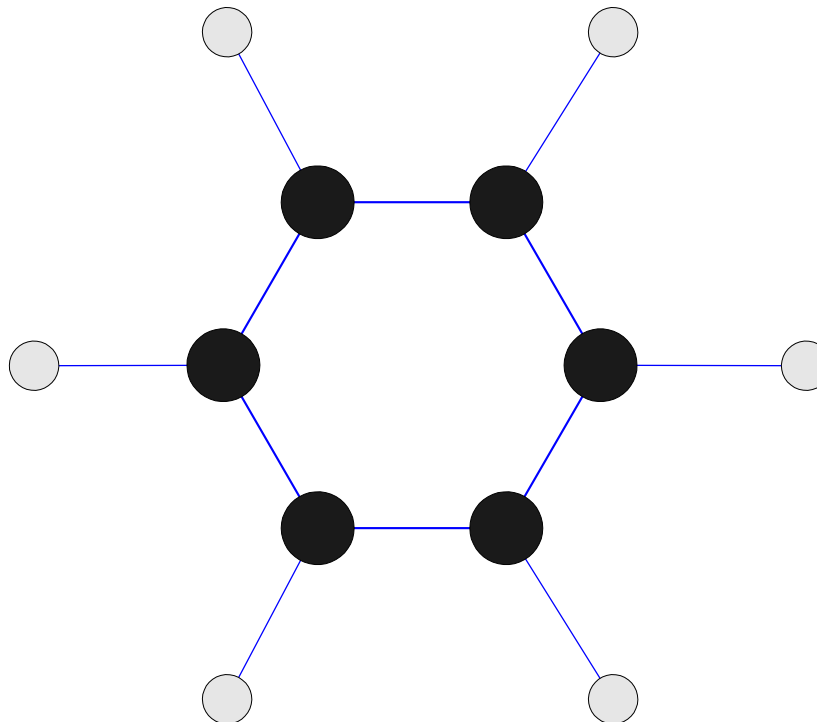


```
<a href="papers/papers.html#bbbb">  
Data Mining </a>  
<li>  
<a href="papers/papers.html#aaaa">  
Graph Partitioning </a>  
<li>  
<a href="papers/papers.html#aaaa">  
Parallel Solution of Sparse Linear System of Equations </a>  
<li>  
<a href="papers/papers.html#ffff">  
N-Body Computation and Dense Linear System Solvers
```

# Graph Data

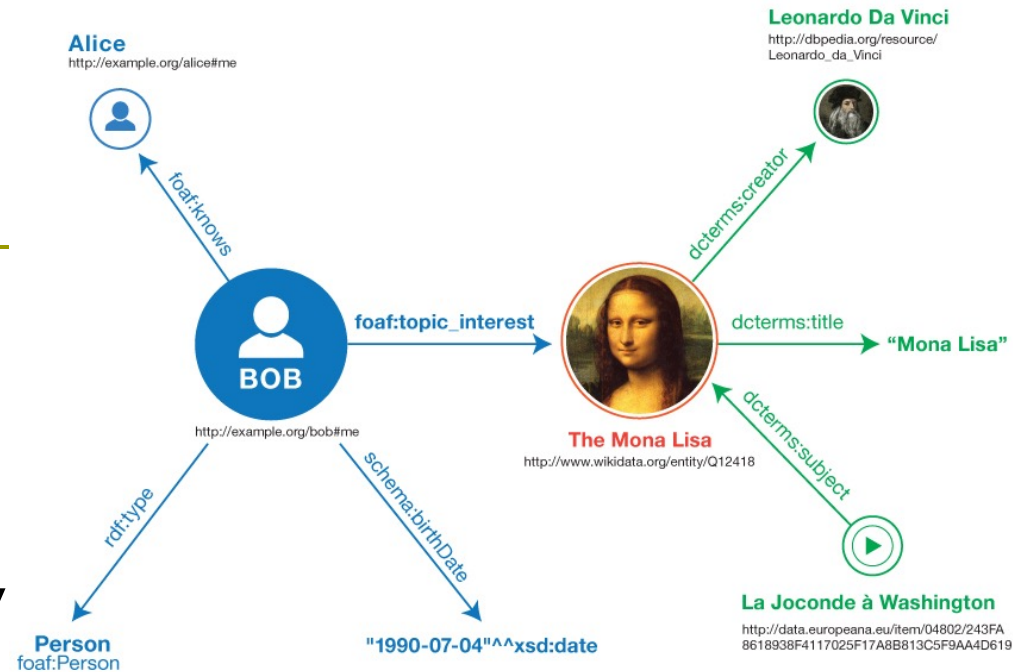
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- ▣ Example: Chemical Data
- ▣ Benzene Molecule:  $C_6H_6$

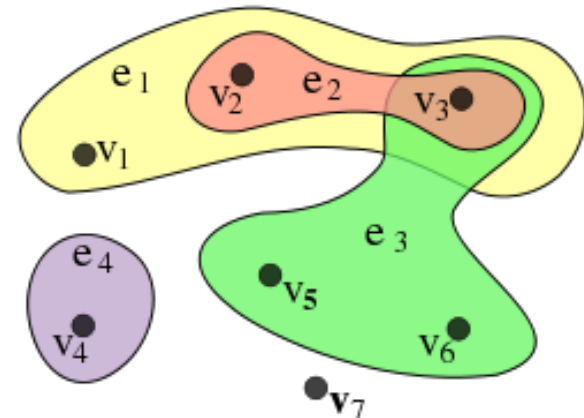


# Graph Data

- Directed or undirected
- Nodes and edges can be labeled or not (information networks, knowledge bases)
- Molecular structures are **3D arrangements** (the angles between edges matter)
- Hypergraphs: edges can join any number of vertices



example RDF graph taken from w3.org



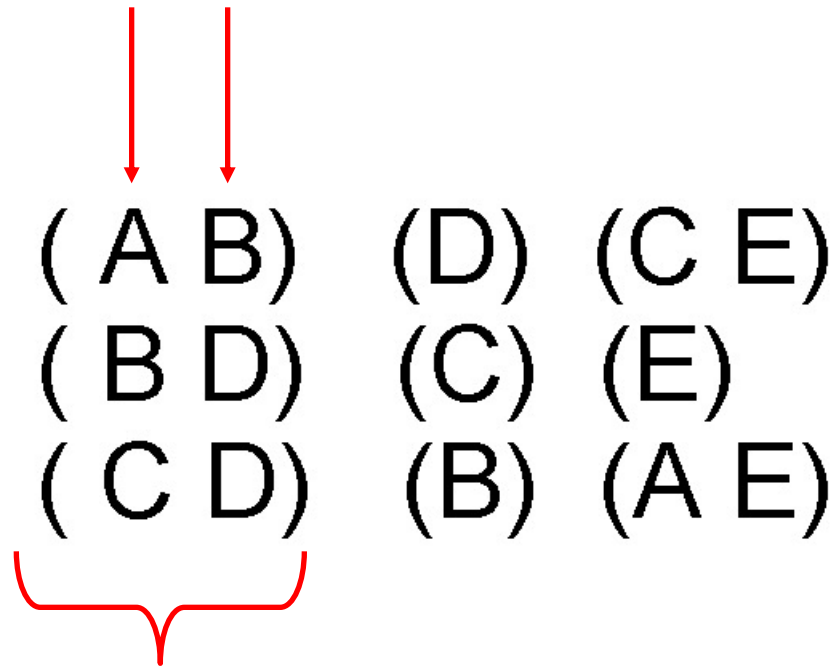
example hypergraph taken from Wikipedia

# Ordered Data

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## ▣ Sequences of purchase transactions

Items/Events



An element of  
the sequence

# Ordered Data

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## ▣ Genomic sequence data

**GGTTCCGCCTTCAGCCCCGCGCC  
CGCAGGGCCCGCCCCGCGCCGTC  
GAGAAGGGCCCGCCTGGCGGGCG  
GGGGGAGGCGGGGCCGCCCGAGC  
CCAACCGAGTCCGACCAGGTGCC  
CCCTCTGCTCGGCCTAGACCTGA  
GCTCATTAGGCGGCAGCGGACAG  
GCCAAGTAGAACACGCGAAGCGC  
TGGGCTGCCTGCTGCGACCAGGG**

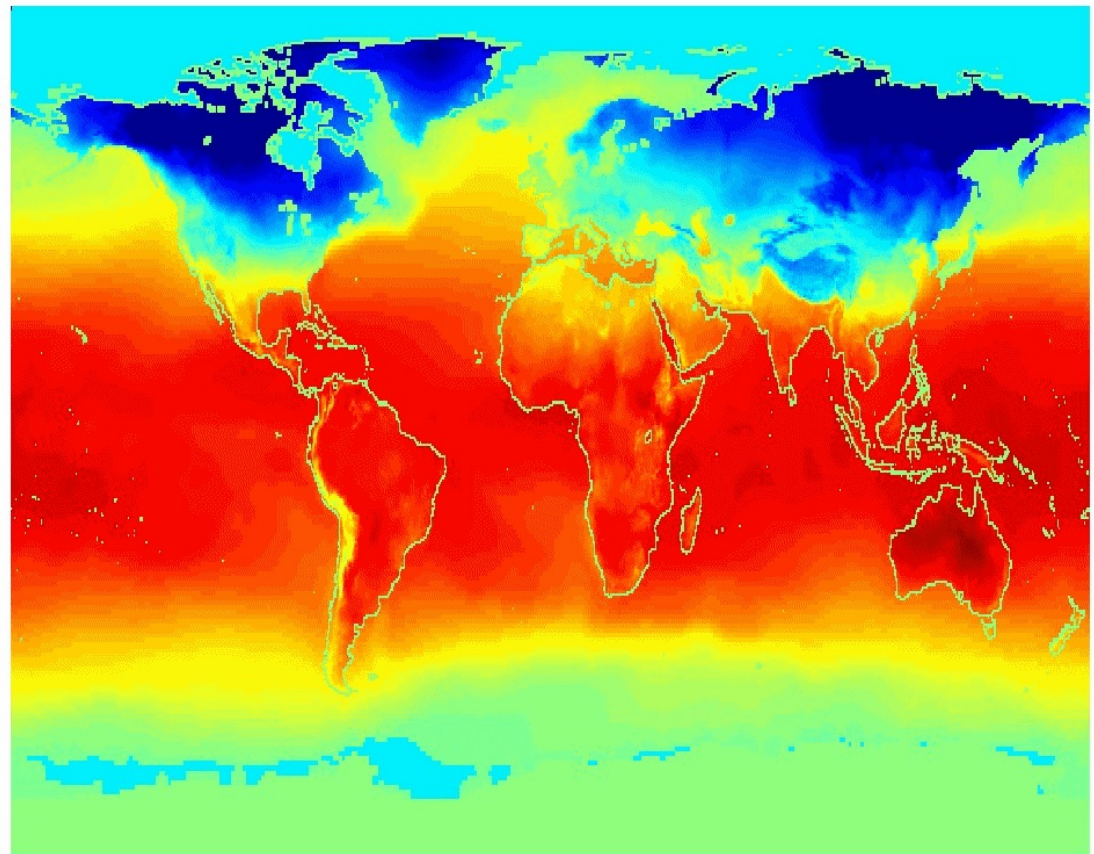


# Ordered Data

## ▣ Spatio-Temporal Data

**Average Monthly  
Temperature of  
land and ocean**

Jan



# Unstructured Data

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- ❑ Searching unstructured data is the main subject of **Information Retrieval**
- ❑ Each “object” is a document, or a doc segment
  - Ex: searching for paragraphs or excerpts
- ❑ Documents are **converted to a structured format** that facilitates search
- ❑ Information Retrieval is approximate (**subjective**) by nature
  - Correct results are those that make the user happy

# Semistructured Data

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- ❑ XML documents embed structure and content
- ❑ Different documents may have different structure

```
<article>
  <title> The Importance of Evergreen </title>
  <author id="smith">
    <name>
      <firstname> John </firstname>
      <lastname> Smith </lastname>
    </name>
    <address> Smithsville </address>
  </author>
  <author id="jones">
    <name>
      <lastname> Jones </lastname>
    </name>
    <address> Jonesville </address>
  </author>
  <contactauthor idref="smith">
</article>
```

# Important Characteristics of Structured Data

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- Dimensionality: number of attributes each object has
  - Data of large dimensionality are hard to handle
- Sparsity
  - Only presence counts
- Resolution
  - Retrieved information depends on scale

# Record Data: Typical Structured Data

- ❑ **Collection** of data objects and their attributes
- ❑ An attribute is a property or characteristic of an object
  - Examples: eye color of a person, temperature, etc.
  - Attribute is also known as variable, field, characteristic, or feature
- ❑ A collection of attribute values describe an **object**
  - Object is also known as record, point, case, sample, entity, or instance

<b>Attributes</b>		
<i>ssn</i>	<i>name</i>	<i>lot</i>
13-324	Jones	22
13-322	Smith	45
12-824	Parker	125
21-397	Smith	12

**Objects**

# Properties of Data Types



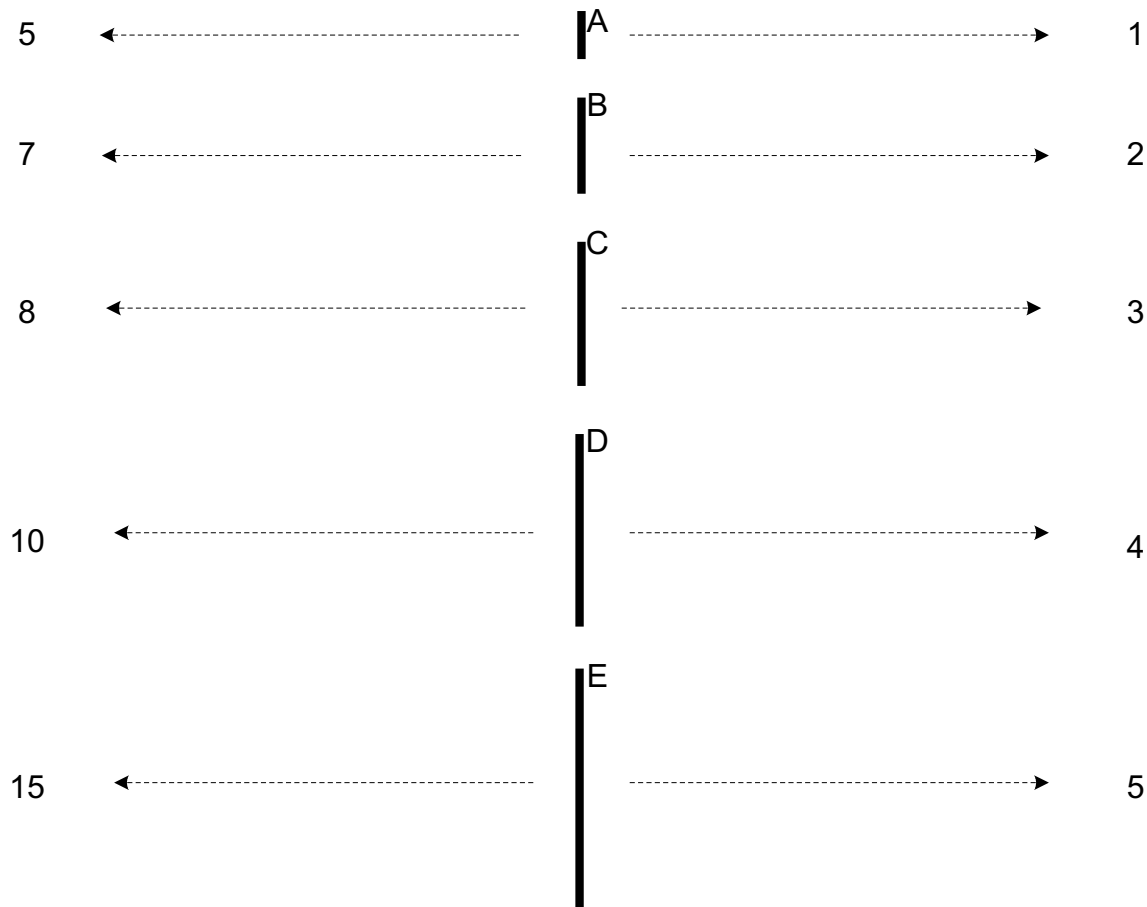
# Attribute Values

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- Attribute values are **numbers or symbols** assigned to an attribute
- Distinction between attributes and attribute values
  - Same attribute can be mapped to different attribute values
    - Example: height can be measured in feet or meters
  - Different attributes can be mapped to the same set of values
    - Example: Attribute values for ID and age are integers
    - But properties of attribute values can be different
      - ID has no limit but age has a max and minvalue

# Measurement of Length

- **The way you measure an attribute may not capture all the attributes properties.**





# Types of Attributes

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- ❑ There are different types of attributes
  - **Nominal**
    - ❑ Examples: ID numbers, eye color, zip codes
  - **Ordinal**
    - ❑ Examples: rankings (e.g., taste of potato chips on a scale from 1-10), grades, height in {tall, medium, short}
  - **Interval**
    - ❑ Examples: calendar dates, temperatures in Celsius or Fahrenheit.
  - **Ratio**
    - ❑ Examples: temperature in Kelvin, length, time, counts

# Properties of Attribute Values

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- The type of an attribute depends on which of the following properties it possesses:
  - **Distinctness:**                     $= \neq$
  - **Order:**                                 $< >$
  - **Addition:**                             $+ -$
  - **Multiplication:**                     $* /$
  
- Nominal attribute: distinctness
- Ordinal attribute: distinctness & order
- Interval attribute: distinctness, order & addition
- Ratio attribute: all 4 properties

Attribute Type	Description	Examples	Statistics
Nominal	The values of a nominal attribute are just different names, i.e., nominal attributes provide only enough information to distinguish one object from another. ( $=$ , $\neq$ )	zip codes, employee ID numbers, eye color, sex: $\{male, female\}$	mode, entropy, contingency, correlation, $\chi^2$ test
Ordinal	The values of an ordinal attribute provide enough information to order objects. ( $<$ , $>$ )	hardness of minerals, $\{good, better, best\}$ , grades, street numbers	median, percentiles, rank correlation, run tests, sign tests
Interval	For interval attributes, the differences between values are meaningful, i.e., a unit of measurement exists. ( $+$ , $-$ )	calendar dates, temperature in Celsius or Fahrenheit	mean, standard deviation, Pearson's correlation
Ratio	For ratio variables, both differences and ratios are meaningful. ( $*$ , $/$ )	temperature in Kelvin, monetary quantities, counts, age, mass, length, electrical current	geometric mean, harmonic mean

Attribute Level	Allowed transformation	Comments
Nominal	Any permutation of values	If all employee ID numbers were reassigned, would it make any difference?
Ordinal	An order preserving change of values, i.e., $new\_value = f(old\_value)$ where $f$ is a monotonic function.	An attribute encompassing the notion of good, better best can be represented equally well by the values {1, 2, 3} or by { 0.5, 1, 10}.
Interval	$new\_value = a * old\_value + b$ where a and b are constants	Thus, the Fahrenheit and Celsius temperature scales differ in terms of where their zero value is and the size of a unit (degree).
Ratio	$new\_value = a * old\_value$	Length can be measured in meters or feet.

# Discrete and Continuous Attributes

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## □ Discrete Attribute

- Has only a **finite or countably infinite** set of values
- Examples: zip codes, counts, or the set of words in a collection of documents
- Often represented as integer variables.
- Note: binary attributes are a special case of discrete attributes

## □ Continuous Attribute

- Has **real numbers** as attribute values
- Examples: temperature, height, or weight.
- Practically, real values can only be measured and represented using a finite number of digits.
- Continuous attributes are typically represented as floating-point variables.

# Asymmetric Attributes

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- ❑ **Binary** attributes
- ❑ Only **presence** (non-zero) values are regarded as important
- ❑ Examples:
  - Items purchased in a supermarket transaction
  - Words that appear in a document
  - Symptoms of a patient at a hospital
- ❑ When comparing the values of an asymmetric attribute in two objects, **only non-zero values are important**

# Non-record data

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- Data in a non-record format can be searched and analyzed by
  1. **Extracting features** from them (e.g., existence of known common substructures)
    - E.g., color features, texture, shape, objects from images
  2. **Representing them as record data** based on the features they contain
- Alternatively, use other representations:
  - Graph, semistructured
- **Avoid using inappropriate representation!**

# Working with Data





# Data Search and Analysis

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- ❑ The reason behind preprocessing, storing, and indexing the data is the **efficient support of data search and analysis**
- ❑ **Database queries** (e.g., SQL) have a well-defined structure and a well-defined result
- ❑ **IR queries** (e.g., keyword search) are unstructured and the quality of the result is not ensured
  - Search based on content
  - Result depends on similarity function used
- ❑ **Similarity queries** retrieve the most similar objects to a given query object
- ❑ **Data mining** operations aim at finding statistically interesting patterns in data

# Information Retrieval

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- ❑ Typically refers to searching for unstructured data (typically documents)
- ❑ Search is expressed by means of a keyword query
  - A set of keywords
  - Documents that are the most relevant to these keywords are retrieved
  - Relevance can either be based on **boolean containment** or on **similarity**
  - Other factors are considered in the ranking (e.g. popularity of documents)
  - Quality of search is **subjective** (i.e., personalized)
- ❑ Web keyword search is the dominant IR paradigm
  - Others: email search

# Similarity Search

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- ❑ A generalization of keyword search in IR
- ❑ Input 1: a **collection of objects** that have the same format (e.g., same schema)
  - e.g., collection of documents, collection of images, records in a database table, vertices in a graph
- ❑ Input 2: a **query object** of the same format
- ❑ Output: the most similar object (or set of most similar objects) in the collection to the query object
- ❑ Problem 1: how to define an appropriate similarity function
  - Use **quality measures** to assess the effectiveness of alternative definitions
- ❑ Problem 2: how to process the query efficiently

# Data Mining

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## □ General definition:

- Find statistically interesting patterns in data
- Data can be of any format, can even be **dirty**

## □ Popular data mining tasks:

- **Classification** (induction in Machine Learning): **learn a set of rules** from known factual data for classifying objects to a set of predefined categories (class labels)
- **Clustering**: divide a collection of objects into a set of clusters, such that objects in the same cluster are **similar** to each other, while objects in different clusters are dissimilar
- **Association Analysis**: discover interesting relations between variables in large databases, or statistically significant co-existences of entities in sets (e.g., market basket data)
- Others: **anomaly detection**, **regression**, **summarization**

# Data Preprocessing



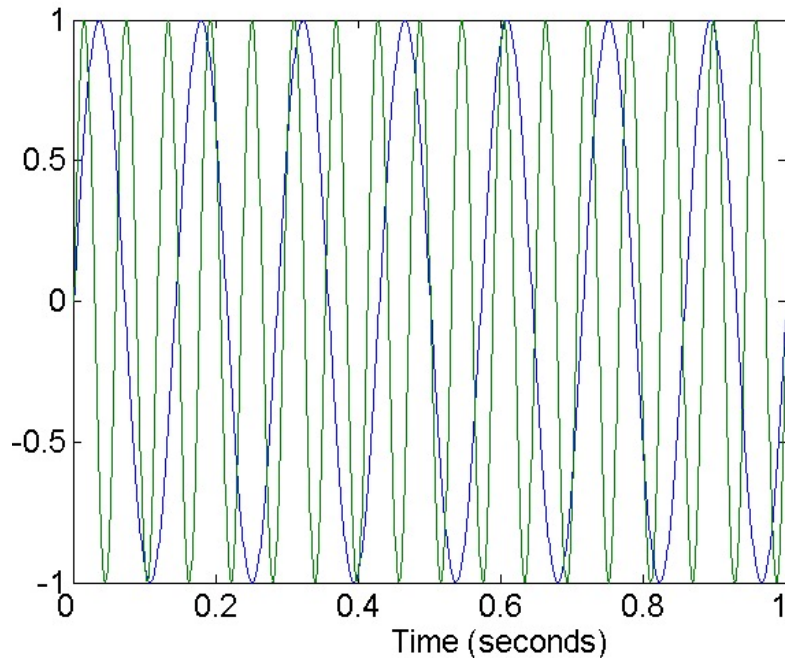
# Data Quality

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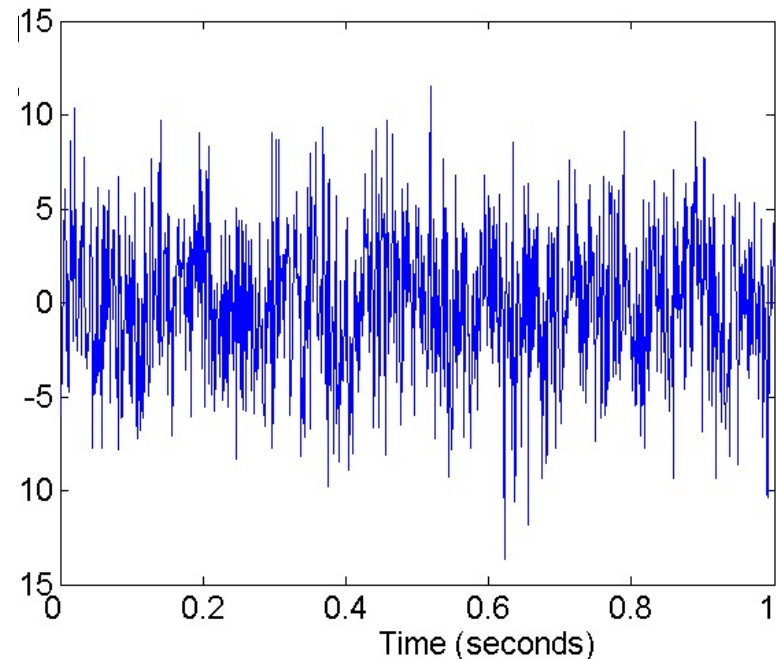
- ❑ Data should be of good quality for correct data analysis
  - Depends on intended queries or mining tasks
  
- ❑ What kinds of data quality problems?
- ❑ How can we detect problems with the data?
- ❑ What can we do about these problems?
  
- ❑ Examples of data quality problems:
  - Noise and outliers
  - missing values
  - duplicate data

# Noise

- ▣ Noise refers to modification of original values



**Two Sine Waves**

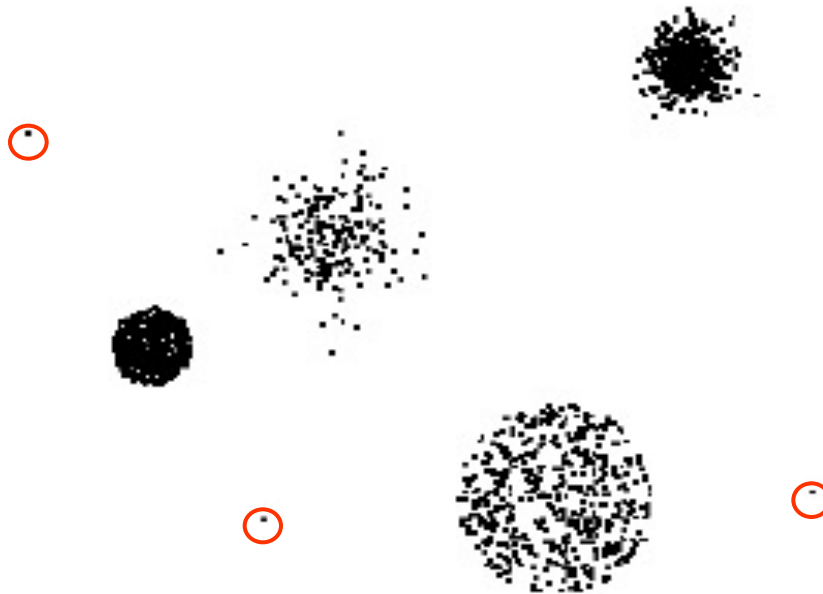


**Two Sine Waves + Noise**

# Outliers

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- Outliers are data objects with characteristics that are considerably different than most of the other data objects in the data set





# Missing Values

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- ❑ Reasons for missing values
  - Information is not collected  
(e.g., people decline to give their age and weight)
  - Attributes may not be applicable to all cases  
(e.g., annual income is not applicable to children)
- ❑ Handling missing values
  - Eliminate Attributes with Missing Values
  - Eliminate Data Objects
  - Ignore the Missing Value During Analysis
  - Replace with all possible values (weighted by their probabilities)

# Inconsistent or Duplicate Data

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- ❑ Data set may include inconsistent values
  - E.g., negative age
  - Detect and treat them as missing values
  - Sometimes error-correction codes can be used to find correct value
- ❑ Data set may include data objects that are duplicates, or almost duplicates of one another
  - Major issue when merging data from heterogeneous sources
  - E.g., Same person with multiple email addresses
- ❑ Data cleaning
  - Process of dealing with inconsistent/missing/duplicate data issues

# Data Preprocessing

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- ❑ Aggregation
- ❑ Sampling
- ❑ Dimensionality Reduction
- ❑ Feature subset selection
- ❑ Feature creation
- ❑ Discretization and Binarization
- ❑ Attribute Transformation

# Aggregation

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- ❑ Combining two or more attributes (or objects) into a single attribute (or object)
  
- ❑ Purpose
  - Data reduction
    - ❑ Reduce the number of attributes or objects
    - ❑ Introduce new “summary” attributes
  - Change of scale
    - ❑ Cities aggregated into regions, states, countries, etc
  - More “smooth” data
    - ❑ Aggregated data tends to have less variability

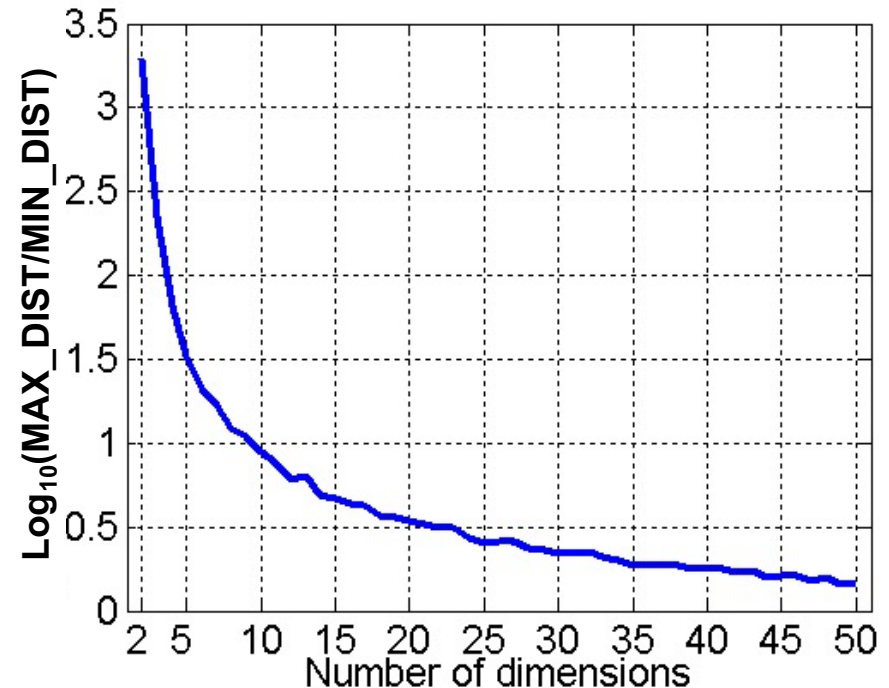
# Sampling

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- ❑ Sampling is the main technique employed for data selection.
  - It is often used for both the preliminary investigation of the data and the final data analysis.
- ❑ Statisticians sample because **obtaining** the entire set of data of interest is too expensive or time consuming.
- ❑ Sampling is used because **managing and processing** the entire set of data of interest is too expensive or time consuming.

# Curse of Dimensionality

- When dimensionality increases, data becomes increasingly sparse in the space that it occupies
- Definitions of density and distance between points, which is critical for clustering and outlier detection, become less meaningful



- Randomly generate 500 points
- Compute difference between max and min distance between any pair of points

# Dimensionality Reduction

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## □ Purpose:

- Avoid **curse of dimensionality**
- Reduce amount of time and memory required by data mining algorithms
- Allow data to be more easily visualized
- May help to eliminate irrelevant features or reduce noise

## □ Techniques

- Principal Component Analysis
- Singular Value Decomposition
- Others: supervised and non-linear techniques

# Feature Subset Selection

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- ❑ Another way to reduce dimensionality of data
- ❑ **Redundant features**
  - duplicate much or all of the information contained in one or more other attributes
  - Example: purchase price of a product and the amount of sales tax paid
- ❑ **Irrelevant features**
  - contain no information that is useful for the data mining task at hand
  - Example: students' ID is often irrelevant to the task of predicting students' GPA



# Discretization and Binarization

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- ❑ Some search/analysis algorithms require that data are nominal
- ❑ Continuous-domain data should be converted to categorical
- ❑ Transformation should not affect quality of analysis
- ❑ Discretization: Convert continuous attribute to categorical
- ❑ Binarization: Convert continuous/discrete attribute to binary

# Attribute Transformation

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- A function that **maps** the entire set of values of a given attribute to **a new set of replacement values** such that each old value can be identified with one of the new values
  - Simple functions:  $x^k$ ,  $\log(x)$ ,  $e^x$ ,  $|x|$
  - Standardization and Normalization
    - Traditional standardization in stats:  $x' = (x - \mu) / \sigma$
    - Min-max normalization:  $x' = (x - \min) / (\max - \min)$
    - If outliers exist, replace mean by median and standard deviation by absolute standard deviation

# Similarity and Distance



# Similarity and Dissimilarity

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## □ Similarity

- Numerical measure of how alike two data objects are.
- Is higher when objects are more alike.
- Often falls in the range  $[0,1]$

## □ Dissimilarity

- Numerical measure of how different are two data objects
- Lower when objects are more alike
- Minimum dissimilarity is often 0
- Upper limit varies

## □ Proximity refers to a similarity or dissimilarity

# Similarity/Dissimilarity for Simple Attributes

$p$  and  $q$  are the attribute values for two data objects.

Attribute Type	Dissimilarity	Similarity
Nominal	$d = \begin{cases} 0 & \text{if } p = q \\ 1 & \text{if } p \neq q \end{cases}$	$s = \begin{cases} 1 & \text{if } p = q \\ 0 & \text{if } p \neq q \end{cases}$
Ordinal	$d = \frac{ p-q }{n-1}$ (values mapped to integers 0 to $n-1$ , where $n$ is the number of values)	$s = 1 - \frac{ p-q }{n-1}$
Interval or Ratio	$d =  p - q $	$s = -d, s = \frac{1}{1+d} \text{ or } s = 1 - \frac{d - \min\_d}{\max\_d - \min\_d}$

**Table 5.1.** Similarity and dissimilarity for simple attributes

# Euclidean Distance

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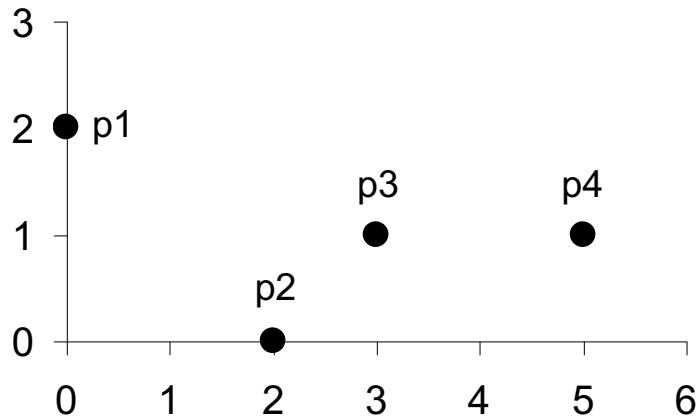
## □ Euclidean Distance

$$\mathbf{dist} = \sqrt{\sum_{k=1}^n (\mathbf{p}_k - \mathbf{q}_k)^2}$$

Where  $n$  is the number of dimensions (attributes) and  $p_k$  and  $q_k$  are, respectively, the  $k^{\text{th}}$  attributes (components) or data objects  $p$  and  $q$ .

- Applicable if objects have multiple attributes and **none of them is nominal**
- Standardization is necessary, if scales differ
  - Typically min-max normalization at each dimension
    - $x' = (x - \min) / (\max - \min)$

# Euclidean Distance



point	x	y
p1	0	2
p2	2	0
p3	3	1
p4	5	1

	p1	p2	p3	p4
p1	0	2.828	3.162	5.099
p2	2.828	0	1.414	3.162
p3	3.162	1.414	0	2
p4	5.099	3.162	2	0

**Distance Matrix**

# Minkowski Distance

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- Minkowski Distance is a generalization of Euclidean Distance

$$\textit{dist} = \left( \sum_{k=1}^n |p_k - q_k|^r \right)^{\frac{1}{r}}$$

Where  $r$  is a parameter,  $n$  is the number of dimensions (attributes) and  $p_k$  and  $q_k$  are, respectively, the  $k$ th attributes (components) or data objects  $p$  and  $q$ .



# Minkowski Distance: Examples

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- $r = 1$ . City block (Manhattan, taxicab,  $L_1$  norm) distance.
  - A common example of this is the Hamming distance, which is just the number of bits that are different between two binary vectors
- $r = 2$ . Euclidean distance
- $r \rightarrow \infty$ . “supremum” ( $L_{\max}$  norm,  $L_{\infty}$  norm) distance.
  - This is the maximum difference between any component of the vectors
- Do not confuse  $r$  with  $n$ , i.e., all these distances are defined for all numbers of dimensions.

# Minkowski Distance

point	x	y
p1	0	2
p2	2	0
p3	3	1
p4	5	1

L1	p1	p2	p3	p4
p1	0	4	4	6
p2	4	0	2	4
p3	4	2	0	2
p4	6	4	2	0

L2	p1	p2	p3	p4
p1	0	2.828	3.162	5.099
p2	2.828	0	1.414	3.162
p3	3.162	1.414	0	2
p4	5.099	3.162	2	0

$L_\infty$	p1	p2	p3	p4
p1	0	2	3	5
p2	2	0	1	3
p3	3	1	0	2
p4	5	3	2	0

**Distance Matrix**

# Common Properties of a Distance

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- Distances, such as the Euclidean distance, have some well-known properties.

1.  $d(p, q) \geq 0$  for all  $p$  and  $q$  and  $d(p, q) = 0$  only if  $p = q$ . (Positivity)
2.  $d(p, q) = d(q, p)$  for all  $p$  and  $q$ . (Symmetry)
3.  $d(p, r) \leq d(p, q) + d(q, r)$  for all points  $p, q$ , and  $r$ . (Triangle Inequality)

where  $d(p, q)$  is the distance (dissimilarity) between points (data objects),  $p$  and  $q$ .

- A distance that satisfies these properties is a **metric**
- For metrics we can apply some generalized indexing techniques, without caring about their exact definition (we only use their properties)

# Common Properties of a Similarity

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□ Similarities, also have some well-known properties.

1.  $s(p, q) = 1$  (or maximum similarity) only if  $p = q$ .

2.  $s(p, q) = s(q, p)$  for all  $p$  and  $q$ . (Symmetry)

where  $s(p, q)$  is the similarity between points (data objects),  $p$  and  $q$ .

# Similarity Between Binary Vectors

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- Common situation is that objects,  $p$  and  $q$ , have **only binary attributes**
  - Bought items in transaction records, symptoms of patients, etc.

- Compute similarities using the following quantities

$M_{01}$  = the number of attributes where  $p$  was 0 and  $q$  was 1

$M_{10}$  = the number of attributes where  $p$  was 1 and  $q$  was 0

$M_{00}$  = the number of attributes where  $p$  was 0 and  $q$  was 0

$M_{11}$  = the number of attributes where  $p$  was 1 and  $q$  was 1

- Simple Matching and Jaccard Coefficients

$$\begin{aligned}\text{SMC} &= \text{number of matches} / \text{number of attributes} \\ &= (M_{11} + M_{00}) / (M_{01} + M_{10} + M_{11} + M_{00})\end{aligned}$$

$$\begin{aligned}J &= \text{number of 1-matches} / \text{number of not-both-zero attributes values} \\ &= (M_{11}) / (M_{01} + M_{10} + M_{11})\end{aligned}$$

# SMC versus Jaccard: Example

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$p = 1\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0$

$q = 0\ 0\ 0\ 0\ 0\ 0\ 0\ 1\ 0\ 0\ 1$

$M_{01} = 2$  (the number of attributes where p was 0 and q was 1)

$M_{10} = 1$  (the number of attributes where p was 1 and q was 0)

$M_{00} = 7$  (the number of attributes where p was 0 and q was 0)

$M_{11} = 0$  (the number of attributes where p was 1 and q was 1)

$$SMC = (M_{11} + M_{00}) / (M_{01} + M_{10} + M_{11} + M_{00}) = (0+7) / (2+1+0+7) = 0.7$$

$$J = (M_{11}) / (M_{01} + M_{10} + M_{11}) = 0 / (2 + 1 + 0) = 0$$

# Document data: Cosine Similarity

- If  $d_1$  and  $d_2$  are two document vectors, then

$$\cos(d_1, d_2) = (d_1 \bullet d_2) / ||d_1|| ||d_2|| ,$$

where  $\bullet$  indicates vector dot product and  $||d||$  is the length of vector  $d$ .

- Example:

$$d_1 = \mathbf{3\ 2\ 0\ 5\ 0\ 0\ 0\ 2\ 0\ 0}$$

$$d_2 = \mathbf{1\ 0\ 0\ 0\ 0\ 0\ 0\ 1\ 0\ 2}$$

$$d_1 \bullet d_2 = 3*1 + 2*0 + 0*0 + 5*0 + 0*0 + 0*0 + 0*0 + 2*1 + 0*0 + 0*2 = 5$$

$$||d_1|| = (3*3 + 2*2 + 0*0 + 5*5 + 0*0 + 0*0 + 0*0 + 2*2 + 0*0 + 0*0)^{0.5} = (42)^{0.5} = 6.481$$

$$||d_2|| = (1*1 + 0*0 + 0*0 + 0*0 + 0*0 + 0*0 + 0*0 + 1*1 + 0*0 + 2*2)^{0.5} = (6)^{0.5} = 2.45$$

$$\cos(d_1, d_2) = .3150$$

	team	coach	play	ball	score	game	win	lost	timeout	season
Document 1	3	0	5	0	2	6	0	2	0	2
Document 2	0	7	0	2	1	0	0	3	0	0
Document 3	0	1	0	0	1	2	2	0	3	0

# General Approach for Combining Similarities

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- Sometimes the different attributes of objects in the same collection are of **many different types**, but an **overall similarity is needed**.

1. For the  $k^{th}$  attribute, compute a similarity,  $s_k$ , in the range  $[0, 1]$ .
2. Define an indicator variable,  $\delta_k$ , for the  $k^{th}$  attribute as follows:

$$\delta_k = \begin{cases} 0 & \text{if the } k^{th} \text{ attribute is a binary asymmetric attribute and both objects have} \\ & \text{a value of 0, or if one of the objects has a missing values for the } k^{th} \text{ attribute} \\ 1 & \text{otherwise} \end{cases}$$

3. Compute the overall similarity between the two objects using the following formula:

$$similarity(p, q) = \frac{\sum_{k=1}^n \delta_k s_k}{\sum_{k=1}^n \delta_k}$$



# Why similarity/distance?

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## □ Object retrieval

- Range and nearest neighbor search in spatial data
- Image retrieval based on feature vector similarity
- Search for similar time-series
- Information (document) retrieval
- Find similar transaction records

## □ Recommender systems

- Content-based recommendation
- Find similar users in collaborative filtering

## □ Data mining

- Nearest neighbor classification
- Cluster analysis based on distances between objects

# Summary

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- ❑ Relational Databases is a mature and well used technology for data representation
  - however, there are limitations w.r.t. the data types that can be stored in relational databases
- ❑ Data are inherently complex
  - multiple data types
  - structured and less structured
- ❑ Before data management, we should bring data to the desired format
  - Assess data quality
  - Apply data preprocessing
  - Convert to structured data or another format
  - Depends on desired query operations on data, which in turn depends on application
- ❑ Defining a proper similarity function between data objects is a key issue in many search/analytics tasks