

## 2 Introduction

spectroscopy  
with direct  
reactions

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In a scattering experiment, a beam of particles is directed at a target containing the scattering material, and the energy and angular distributions of the outgoing particles is measured. In the case in which the scattering material (target) corresponds to unstable, short lived nuclei, like e.g.  $^{132}\text{Sn}$  (39.7 sec, see [3]) (but not e.g. in the case of  $^{210}\text{Pb}$  (22.3y)), one can carry out the experiment by exchanging the roles of target and projectile, a method known as inverse kinematics.

There are two basic lengths governing nuclear reactions, namely the radius of the nucleus ( $\approx 10^{-13}\text{cm}$ ) and the distance from the target nucleus to the detector ( $\approx 10^2\text{cm}$ ). Because of the difference of these two characteristic magnitudes, one can divide the scattering process in two separate parts, namely,

1. the analysis of the outgoing beam properties in terms of optical potentials and of single-particle strengths and spreading widths, (effective) deformation parameters and average value of the pair transfer operator, and
2. the relation between these parameters and the motion of nucleons in the nuclei.

In the first part of the monograph the motion of a particle displaying a large mean free path (quantality parameter of order of unity, see ??) in a medium, can be economically described in terms of a (complex) dielectric constant (function). In the nuclear case, this function is known as the optical potential for particles moving in the continuum (scattering states). The average, single-particle potential (Hartree-Fock mean field), corresponds to the real part of this function (see ??). The imaginary part describes the coupling between the entrance, elastic channel, and the different reaction channels (inelastic, transfer, compound, etc.), leading to

the depopulation of the incoming beam. Particularly strong couplings cannot be treated this way (average imaginary function), and have to be included explicitly, as a rule, within the framework of a coupled channel formalism. For bound nucleons the dielectric function is known as the self-energy function. The real part is connected with the single-particle energy centroid and strength (quasiparticle pole and residue  $E_{qp}, S$  (see ??)). The imaginary part provides a compact measure of the range of energy over which the remaining strength is distributed (single-particle fractionation), as a result of the interweaving of single-particle and collective motion (quasiparticle lifetime  $\hbar/\Gamma$ ). In the case in which the particle-vibration coupling strength becomes too strong ( $\Gamma \gtrsim E_{qp}$ ), a full diagonalization is called for. As example one can refer to the self-energy function arising from the coupling with collective quadrupole surface vibrations of closed shell nuclei. In this case the self-energy function can be calculated perturbatively. As nucleons are progressively added it may happen that  $E_{2+} \rightarrow 0$  and the nucleus acquires a permanent static deformation. The large breaking of the  $j$ -strength into the two-fold degenerate (Kramers degeneracy) calls for a change of basis and the use of a "deformed" average mean field (Nilsson model).

The real and imaginary parts of the dielectric functions describing the effects, on the nucleon motion, of the virtual quantal processes which do not conserve (off-the energy shell) conserve (on-the energy shell) energy, are not independent of each other, but must fulfill a dispersion relation known as the Kramers-Krönig relation. This has profound physical implications, as well as practical (computational) consequences. In fact, virtual processes, renormalizing the properties of a particle like mass, charge, etc., can lead to divergences, which forces one to introduce (energy-, momentum-, angular momentum-, etc.) cut-offs. Now, energy conserving contributions are free from such divergences. Consequently, calculating the imaginary part of the dielectric function and making use of the (so-called subtracted) dispersion relations, can provide non-divergent real components of the dielectric function. This is a distinctive property of, so called, asymptotic free theories. In these theories one knows that something quite spectacular can happen in the infrared end of the spectrum (e.g. spontaneous breaking of rotational invariance associated with nuclear deformation), but that the consequences of such a phenomenon will not depend on contributions to observables from processes above a certain cut-off which can be simply defined introducing by just choosing a model (e.g. the Nilsson model in the case under discussion). In Part I of the monograph, the techniques necessary to deal with problem 1) will be worked out, as far as needed to deal with problem 2) which is the central subject of the present monograph and is treated in Part II. Appendices are given that provide the elements of nuclear structure needed for the

calculation of the differential cross sections associated with the variety of reaction processes. In other words, the spectroscopic amplitudes associated with one- and two- nucleon transfer processes, and the effective deformation parameters and transition densities associated with anelastic processes. Each chapter introduces the subject in term of the definition of the quantities needed for the calculation of the differential cross sections. Approximations are then introduced (plane-wave, no-recoil, etc.) which allows to work out most of the technical aspects of the reaction machinery almost analytically. This is done to be able to explicit the nuclear structure (details on nucleonic motion in terms of single- and two-particle as well as (particle-hole)-wavefunctions), needed to calculate the differential cross section associated with the process, setting special emphasis in the nuclear structure information one can extract from the comparison with the experimental data. In the second part of each chapter, and eventually in appendices, the full details of spectroscopic amplitudes, formfactors and of the differential cross sections, without introducing but very generic approximations, are given eventually supplemented by numerical examples. The recent availability of low energy, light ion reaction data on exotic nuclei, but not only, requires the availability of the theoretical tools to extract the corresponding nuclear structure information. In particular concerning (dressed) single-particle and (medium renormalized) pairing degrees of freedom.

Many of these questions are dealt with in a unified fashion, within the framework of the applications which constitute the part II of this monograph.

A CD with software which allows to apply some of the concepts, ideas and techniques developed in different chapters is also provided.

## 2.1 Reaction channel

Let us consider the case in which the nucleus  $^{18}\text{O}$  is the target and the projectile is a proton. The following processes can take place, among others:

$$\text{entrance channel } \left\{ \begin{array}{l} p + ^{18}\text{O} \rightarrow p + ^{18}\text{O} (\text{gs}) \quad (Q = 0) \quad \text{elastic scattering} \\ p + ^{18}\text{O} \rightarrow p + ^{18}\text{O}^* (6\text{MeV}) \quad +Q_1 \\ p + ^{18}\text{O} \rightarrow d + ^{17}\text{O} (\text{gs}) \quad +Q_2 \\ p + ^{18}\text{O} \rightarrow t + ^{16}\text{O} (\text{gs}) \quad +Q_3 \end{array} \right\} \text{ reaction channels,} \quad (2.1)$$

where, for example,

$$Q_1 = M_p + M(^{18}\text{O}) - (M_p + ^{18}\text{O} (6 \text{ MeV})) = -6\text{MeV} \quad (2.2)$$

It is a very basic concept, and a necessarily loose one. It can be determined by specifying the products entering into the channel, the energy, spin, direction of outgoing particles, etc.

$$Q_2 = M_p + M(^{18}\text{O}) - (M_d + ^{17}\text{O} \text{ (gs)}) \quad (2.3)$$

$$Q_3 = M_p + M(^{18}\text{O}) - (M_t + ^{16}\text{O} \text{ (gs)}) \quad (2.4)$$

and where  $^{18}\text{O}^*$  labels the nucleus  $^{18}\text{O}$  in an excited state. In general if  $Q > 0$  the reaction can proceed at zero bombarding energy. For  $Q < 0$  the reaction is not observed below the threshold  $E_t$  which is defined, for a general reaction  $A(a, b)B$  as

$$E_{CM} = \frac{1}{2} \frac{M_a M_A}{M_a + M_A} V_a^2 = \frac{M_A}{M_a + M_A} E_{lab} = \frac{1}{1 + (M_a/M_A)} E_{lab} \quad (2.5)$$

( $1/2 M_a V_a^2$  is the total energy of the system). If  $E = |Q|$  we have

$$E_t^{lab} = \frac{M_a + M_A}{M_A} |Q| \rightarrow E_t^{lab} = \left(1 + \frac{M_a}{M_A}\right) |Q| \quad (2.6)$$

For the particular case

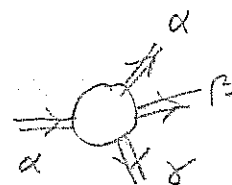
$$p + ^{18}\text{O} \rightarrow p + ^{18}\text{O}^* \quad (6 \text{ MeV}) \quad (2.7)$$

$$E_t^{lab} = \frac{19}{18} \times 6 \text{ MeV}$$

A complete specification of the type of two-particle breakup and of the internal states of the two particles, is called a channel and is specified by the product  $\Psi_\alpha = \Psi_a \Psi_A$  of the (bound) internal wavefunctions of the two nuclei. Here  $A$  and  $a$  denote the nuclei into which the system breaks up and also their state of excitation, their angular momenta and the projection of their angular momenta.

The same word channel is understood sometimes to include all the properties already mentioned, together with a definite value of the orbital angular momentum of the relative motion of the centers of mass of the two separating systems.

(see Fig.)



## 2.2 The reaction cross section

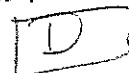
The initial situation can be described by a plane wave \*,

$$\Psi_{inc} = \Psi_\alpha e^{ik_\alpha z} \quad (2.8)$$

which represents a beam of particles of unit density, incident upon the scattering center in the  $z$ -direction. The price to pay for such a simplification is that momentum and energy are then absolutely defined, and

\* This free particle wavefunction can be normalized in a given volume or requiring the function to obey periodic boundary conditions inside a box (see Appendix)

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As all important concepts in physics, reaction channel is easy to understand but quite taxing to properly define. Let us profit of it without spending much time in rendering it too precise better define it intuitively

intuitive

put right  
Fig.

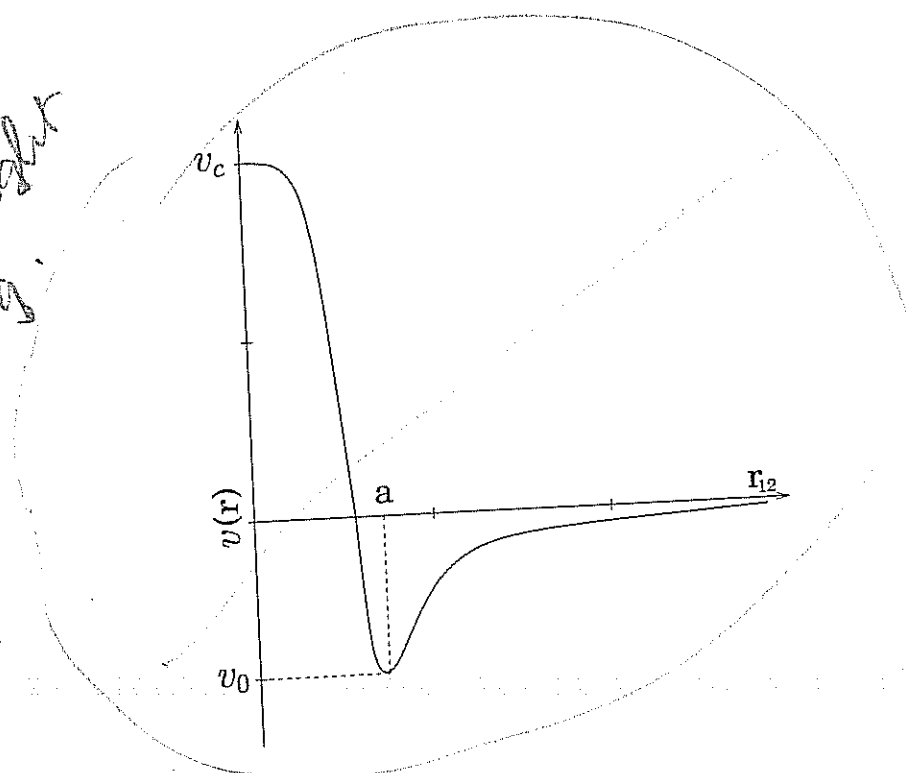


Fig. 2.1. Schematic representation of a reaction experiment

$$\begin{aligned}
L &\approx 10^4 \text{ cm}, \\
v &\approx 2 \times 10^5 \text{ cm/s}, \\
W &\approx 10^{-1} \text{ cm}, \\
\frac{\Delta W}{W} &\approx 10^{-2},
\end{aligned} \tag{2.13}$$

The ratio is still small, but just on the limit of becoming important. Still if this ratio would be of order unit we could use the same concepts, but we should treat with more detail the problem of how the wave packet is constructed and the possible interference at the edge of the beam, with the outgoing wave packet.

The scattered wave (asymptotic region) must be the solution of the free field equation

$$\begin{aligned}
H\Psi_{\text{scatt}} &= E\Psi_{\text{scatt}}, \\
E &= \frac{\hbar^2 k_\alpha^2}{2m},
\end{aligned} \tag{2.14}$$

with

$$H = \frac{p^2}{2m} = -\frac{\hbar^2}{2m} \nabla^2 = -\frac{\hbar^2}{2m} \left\{ \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial}{\partial r} \right) + \frac{1}{r^2} \hat{L}^2 \right\}, \tag{2.15}$$

where  $\hat{L}$  is the angular momentum operator,  $m = \frac{M_B M_b}{M_b + M_B}$  the reduced mass, and  $k$  and  $r$  the relative momentum and coordinate of the nuclei  $b$  and  $B$ .

At large distances the angular momentum terms drops out as  $\frac{1}{r^2}$  and it is easy to verify that the asymptotic solution is

$$\Psi_{\text{scatt}} = \frac{e^{ik_\alpha r}}{r} f_{\alpha\alpha}(E, \theta, \phi) \Psi_\alpha, \tag{2.16}$$

where  $\Psi_\alpha$  is the intrinsic channel wavefunction. Let us now calculate the incoming current and the scattered current of particles.

For a given wave function  $\Psi$ , (describing the motion of a particle of mass  $m$ ), the associated current is equal to

$$\begin{aligned}
\vec{I} &= \frac{\hbar}{2im} \left( \Psi^* \vec{\nabla} \Psi - (\vec{\nabla} \Psi^*) \Psi \right) \\
&= \frac{\hbar}{m} \mathcal{I}_m \left( \Psi^* \vec{\nabla} \Psi \right).
\end{aligned} \tag{2.17}$$

The incident current is equal to (cf. eq. (9))

$$\begin{aligned}
\vec{I}_{\text{inc}} &= \frac{\hbar}{m} \mathcal{I}_m e^{-ik_\alpha z} \frac{d}{dz} (e^{ik_\alpha z}) \hat{z} \\
&= \frac{\hbar k_\alpha}{m} \hat{z} = v_\infty \hat{z}.
\end{aligned} \tag{2.18}$$

where  $v_\infty$  is the velocity corresponding to the projectile incident energy.

The scattered current is equal to <sup>†</sup>

$$\vec{I}_{\text{scatt}} \approx \frac{|f(\theta, \phi)|^2}{r^2} \frac{\hbar k_\alpha}{m} \hat{r}. \quad (2.19)$$

The differential cross section is defined as the flux of particles going into the solid angle  $d\Omega$  at angle  $(\theta, \phi)$ , divided by the incoming flux of incoming particles.

The flux of outgoing particles is given by the projection of  $I_{\text{scatt}}$  on the unit  $\vec{ds} = r^2 d\Omega \hat{r}$  of solid angle, namely

$$\vec{I}_{\text{scatt}} d\vec{s} = |f(\theta, \phi)|^2 \frac{\hbar k_\alpha}{m} d\Omega. \quad (2.20)$$

The incident flux is given by

$$\vec{I}_{\text{inc}} \hat{z} = \frac{\hbar k_\alpha}{m}. \quad (2.21)$$

The differential cross section is defined as the flux of particles into the solid angle  $d\Omega$  at angle  $(\theta, \phi)$ , divided by the incoming flux of incoming particles, namely

$$d\sigma = |f_{\alpha\alpha}(E, \theta, \phi)|^2 d\Omega \quad (2.22)$$

In other channels there will be no incident wave, and in general, the outgoing waves would have a different value of the wave number, i.e.

$$\Psi_{\text{scatt}} = \frac{1}{r} e^{ik_\beta r} f_{\alpha\beta}(E', \theta, \phi). \quad (2.23)$$

The symmetries of the problem can produce limitations in the form of  $f_{\alpha\beta}(k_\beta, \theta, \phi)$ . In general, using unpolarized particles, and not considering spin,  $f_{\alpha\beta}(k_\beta, \theta, \phi)$  will not depend on the angle  $\phi$ . This is a consequence of the fact that the incoming beam has zero projection of the angular momentum in the direction of the incident beam. Therefore, in the outgoing channel the angular momentum will maintain its zero projection and therefore the outgoing wave function cannot depend on  $\phi$ .

### 2.3 Evaluation of the spreading of the wave packet

The proton mass is

$$m_p = 1.7 \times 10^{-24} \text{ gr}, \quad (2.24)$$

where

$$1 \text{ MeV} = 1.6 \times 10^{-6} \text{ erg} = 1.6 \times 10^{-6} \text{ gr} \frac{\text{cm}^2}{\text{sec}^2} \quad (2.25)$$

<sup>†</sup> In deriving this equation one assumes that  $r \rightarrow \infty$  (asymptotic region).

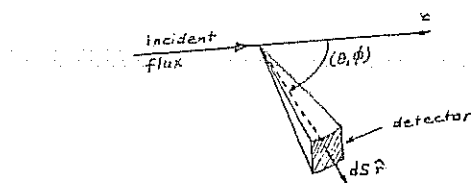


Fig. 2.2.

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Thus, the relation between velocity and energy for a proton can be written as

$$\begin{aligned} v &= \sqrt{\frac{2E}{m}} = \sqrt{\frac{3.2E \times 10^{-6}}{1.7 \times 10^{-24} \text{ gr}} \frac{\text{cm}^2}{\text{sec}^2}}, \\ &= 10^9 \sqrt{1.88E(\text{MeV})}, \\ &\approx 1.4 \times 10^9 \sqrt{E(\text{MeV})} \frac{\text{cm}}{\text{sec}}. \end{aligned} \quad (2.26)$$

For 20 MeV protons ( $E = 20$  MeV) one obtains

$$v \approx 6 \times 10^9 \frac{\text{cm}}{\text{sec}} \quad (2.27)$$

Thus

$$\frac{\Delta W}{W} \approx \frac{\hbar L}{mW^2 v}, \quad (2.28)$$

Typical values of  $L$  and  $W$  are

$$L \approx 10^2 \text{ cm}, \quad W \approx 10^{-1} \text{ cm}. \quad (2.29)$$

Using

$$\hbar = 1.054 \times 10^{-27} \text{ erg sec} \quad (2.30)$$

one obtains

$$\begin{aligned} \frac{\Delta W}{W} &= \frac{(1.054 \times 10^{-27} \frac{\text{gr cm}^2}{\text{sec}^2}) (\text{cm} \times 10^2)}{1.7 \times 10^{-24} \frac{\text{gr}}{\text{cm}^3} \times 10^{-2} \text{ cm}^2 \times 6 \times 10^9 \frac{\text{cm}}{\text{sec}}} \\ &= \frac{1.054 \times 10^{-25}}{1.7 \times 6} \times 10^{17} = \frac{1.054}{1.7 \times 6} 10^{-8} \\ &\approx 10^{-9} \end{aligned} \quad (2.31)$$