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COVID-19 vaccine efficacy summary

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To project future COVID-19 trends, IHME centralizes and updates all available data on vaccine efficacy. This **FAQs** document summarizes the available data and key underlying assumptions of IHME's projections. This page is Estimate downloads updated monthly; for interim updates please see the "Methods updates" section of our weekly policy briefings. Multimedia

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Using all publications, reports, and news articles, we review all available data to find how effective vaccines are at achieving multiple outcomes. "Vaccine efficacy" is not a single number - we capture:

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- 1. Prevention of symptomatic disease: a vaccine's efficacy at causing an exposed individual not to suffer the symptoms of COVID-19 infection. The person can contract the virus but will not develop disease.
- 2. Prevention of severe disease: a vaccine's efficacy at preventing an exposed person from developing more serious symptoms that often require hospitalization.
- 3. Prevention of infection: a vaccine's efficacy at stopping transmission of the virus from one person to another. An exposed person will not contract the virus, and by definition they will also not develop symptoms or
- disease.

For each of the outcomes above, we also capture the differences by:

a. First dose versus complete regimen (not applicable for 1-dose vaccines, like Johnson & Johnson)

- b. Asymptomatic, any symptomatic, or severe disease
- c. Variant of COVID-19: D614G (ancestral type\*), B.1.1.7, B.1.351, P.1, B.1.617

**REVIEW AND SUMMARY OF AVAILABLE DATA** 

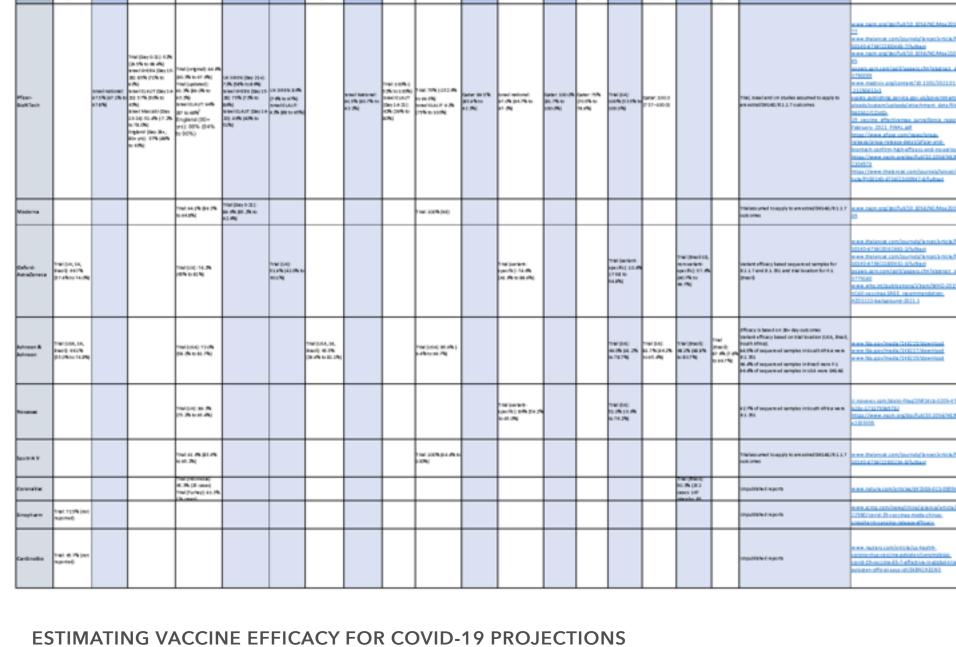
\*Ancestral type is the predominant global strain of a virus.

Where genomic sequencing of cases did not occur, we use the location of the study as a proxy for the

available data to date:

predominant variant type. For example, studies in South Africa were assumed to represent efficacy against B.1.351. Data available today consist of clinical trials and several quasi-observational studies. Table 1 summarizes the

Table 1: Available vaccine efficacy data (last updated May 14, 2021)



1. Efficacy at preventing symptomatic disease 2. Efficacy at preventing infection

Currently, the IHME model uses the following inputs of vaccine efficacy, separated by variant:

- Importantly, vaccine outcomes do not seem to differ for D614G and B.1.1.7. We assume the efficacy against
- B.1.351 is the same for P.1, as there are currently very limited data for the P.1 variant, and these two variants have a

similar mutation. Given the absence of any specific data, we also assume the efficacy for B.1.617 is the same as B.1.351 and P.1. Wherever data are available (see Table 1) we use available data, and where we do not yet have data, we take different approaches based on variant, vaccine, and outcome. We make estimates to complete the empty cells in Table 2:

Table 2: Vaccine efficacy by coronavirus variant, available data Efficacy at

Vaccine	Efficacy at preventing disease:	Efficacy at preventing infection:	Efficacy at preventing disease:	Efficacy at preventing infection:
	D614G & B.1.1.7	D614G & B.1.1.7	B.1.351, P.1, B.1.617	B.1.351 P.1
Pfizer/BioNTech	91%			
Moderna	94%			
AstraZeneca	74%	52%	10%	
Johnson & Johnson (Janssen)	72%	72%	64%	
Sputnik-V	92%			
Novavax	89%		49%	
CoronaVac	50%			
Sinopharm	73%			
Tianjin CanSino	66%			
Covaxin	78%			
Other mRNA vaccines				
All other vaccines				

**All other vaccines:** We assume 75% efficacy.

To estimate efficacy at preventing infection for D614G and B.1.1.7

and Oxford-AstraZeneca.

efficacy at preventing infection.

Vaccine

To estimate efficacy at preventing disease for D614G and B.1.1.7

mRNA vaccines: We assume the same efficacy as Pfizer-BioNTech (9%).

BioNTech trial. Johnson & Johnson: Based on trial results, we assume the effect is the same for preventing disease and infection.

Moderna: We use the ratio between preventing asymptomatic infection over all cases (.95) from the Pfizer-

All other vaccines: We use the average infection-to-disease ratio from Pfizer-BioNTech, Johnson & Johnson,

CoronaVac: We use the Brazil arm of the CoronaVac trial, as it has the largest number of cases.

To estimate efficacy and preventing disease for variants B.1.351, P.1, and B.1.617 mRNA Vaccines: We find the ratio of the efficacy between B.1.351 and B.1.1.7 as observed in the Qatar study.

All other vaccines without available data: We apply the average B.1.351/P.1:D614G/B.1.1.7 ratio for Novavax and Johnson & Johnson to determine the vaccine-specific efficacy at preventing disease.

To estimate efficacy at preventing infection for variants B.1.351, P.1, and B.1.617

Efficacy at

preventing

disease:

D614G &

Table 3 shows the final model inputs for vaccine efficacy at preventing disease and infection, by vaccine and variant type. Table 3: Vaccine efficacy by coronavirus variant, available data, and modeled estimates

Efficacy at

preventing

infection:

D614G &

Efficacy at

preventing

B.1.351, P.1,

disease:

Efficacy at

preventing

B.1.351, P.1,

infection:

All vaccines: Use same infection-to-disease ratio between D614G and B.1.351, and apply this ratio to estimate

B.1.1.7 B.1.1.7 B.1.617 B.1.617

Pfizer/BioNTech	91%	86%	76%	72%			
Moderna	94%	89%	79%	75%			
AstraZeneca	74%	52%	10%	9%			
Johnson & Johnson (Janssen)	72%	72%	64%	56%			
Sputnik-V	92%	81%	70%	61%			
Novavax	89%	79%	49%	43%			
CoronaVac	50%	44%	38%	33%			
Sinopharm	73%	65%	55%	49%			
Tianjin CanSino	66%	58%	50%	44%			
Covaxin	78%	69%	59%	52%			
Other mRNA vaccines	91%	86%	76%	72%			
All other vaccines	75%	66%	57%	50%			
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GBD 2019 Cause and Risk

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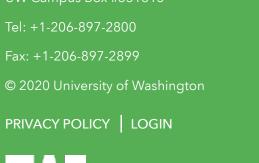
the methods, and other tools to help understand our COVID-19 projections.

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