
Networks, Security, and Privacy

158.235

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A/Prof. Julian Jang-Jaccard

Massey University
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Transport

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Layer

Reading: Chapter 5 in the prescribed textbook

Transport Layer

- Layer 4 in the Internet model
- Main function:
 - Links application and network layers
 - Responsible for segmentation and reassembly
 - Connection Management: end-to-end delivery of messages

Internet Model

Application

Transport

Network

Data Link

Physical

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Outline

- **Transport layer functions**
 - **Linking to the application layer**
 - **Segmentation**
 - **Connection Management**
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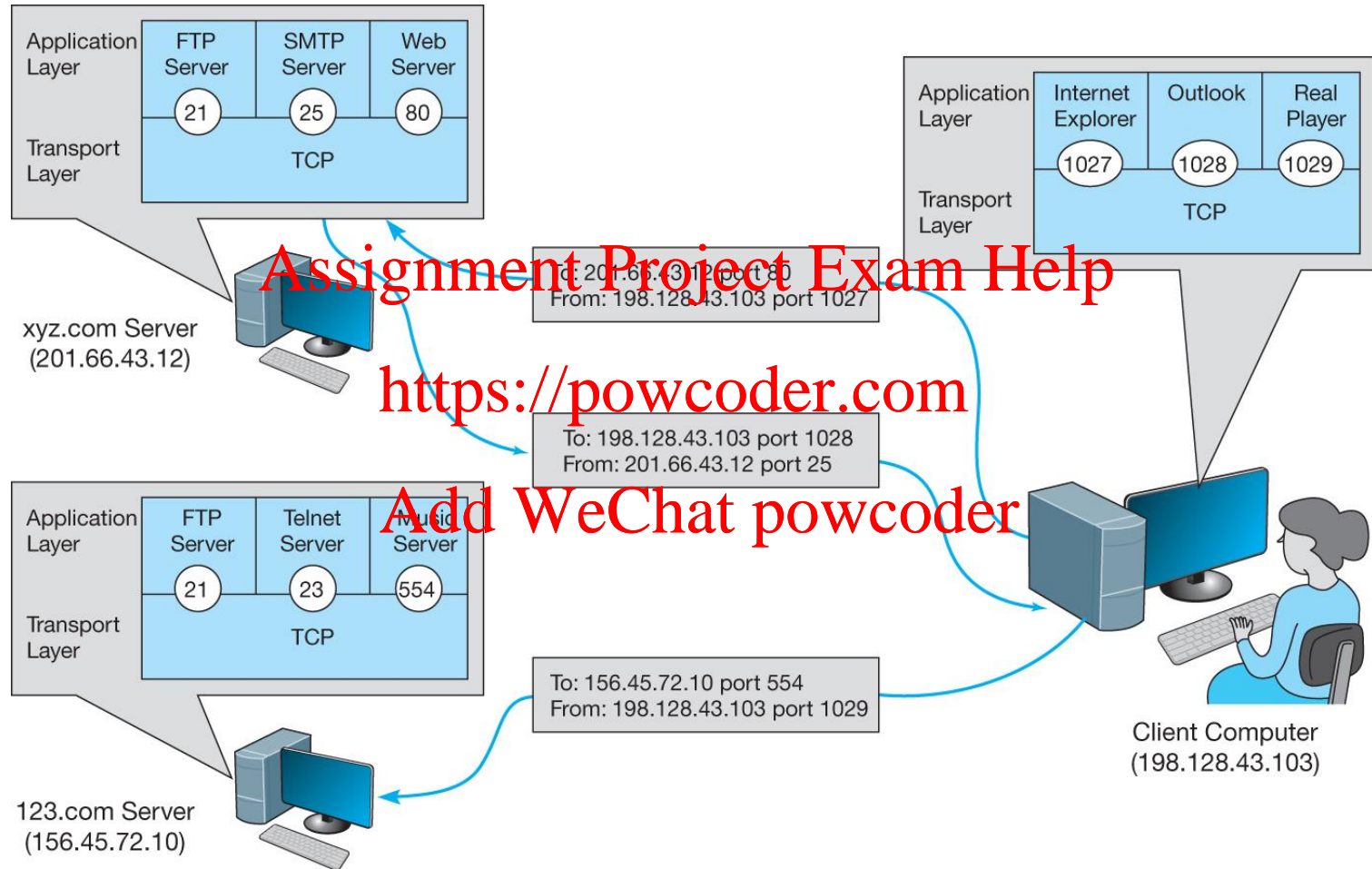
Linking to Application Layer

- TCP may serve several Application Layer protocols at the same time
 - Which application layer program to send a message to?
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 - **Ports** used to identify application (2-byte numbers)
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Linking to the application layer

- Many source/destination ports follow standards
 - Common port standards
 - HTTP: TCP port 80
 - HTTPS: TCP port 443
 - FTP: TCP ports 20 and 21
 - SMTP: TCP port 25
 - IMAP: TCP port 143
 - POP3: TCP port 110 (more commonly TCP port 995 secure version)
 - DNS: TCP or UDP port 53 (most commonly UDP)

Application Layer Services



Outline

- **Transport layer functions**
 - **Linking to the application layer**
 - **Segmentation**
 - **Connection Management**

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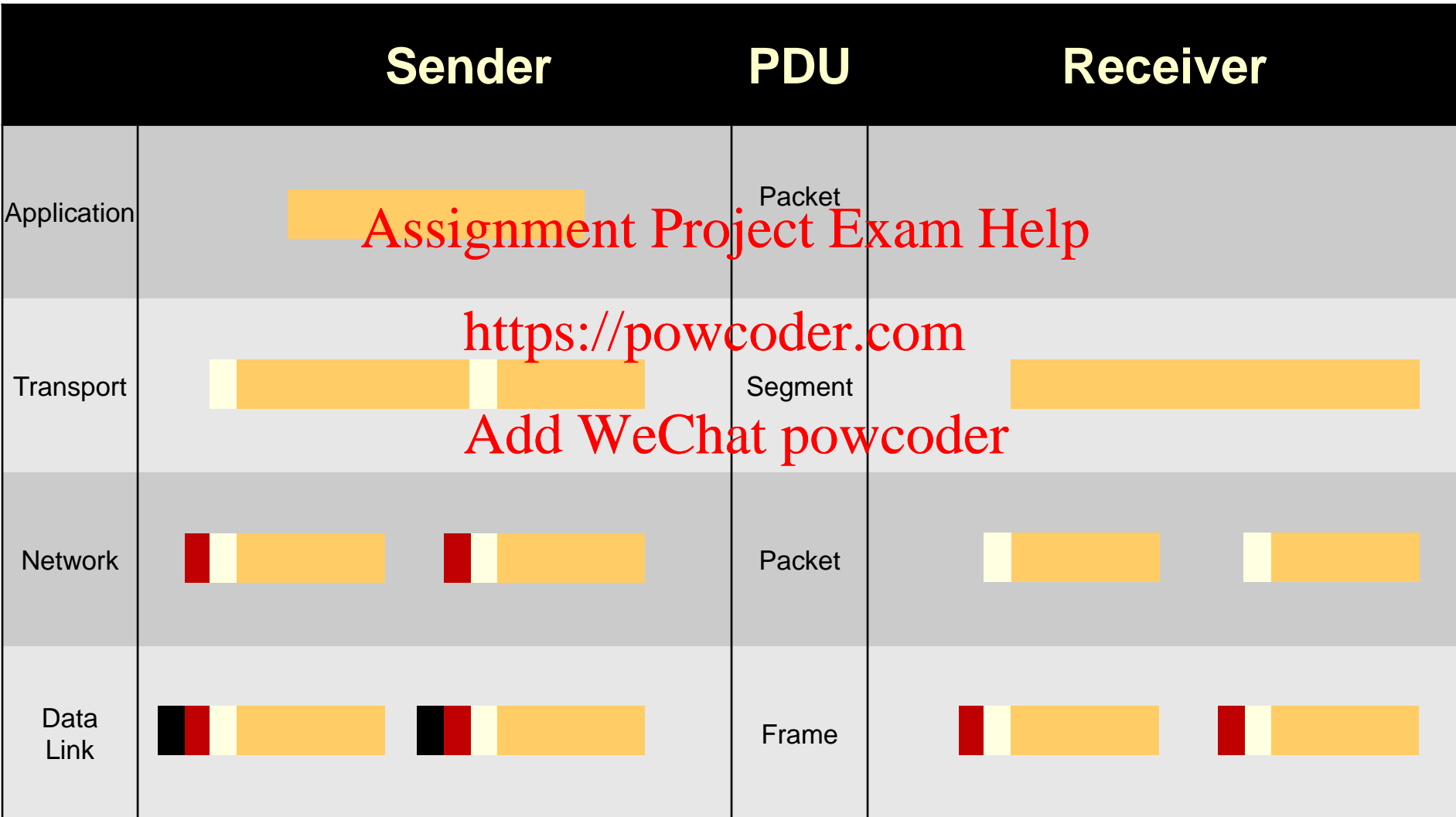
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Segmentation

- **Segmenting**

- Breaking up large application data into smaller segments (and putting them back together)
- Segments may be passed individually to application layer or after reassembly
- How large are the segments?
 - Size depends on the network and data link layer protocols
 - Maximum Segment Size (MSS) is negotiated during TCP handshake

Transport Layer Functions



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Outline

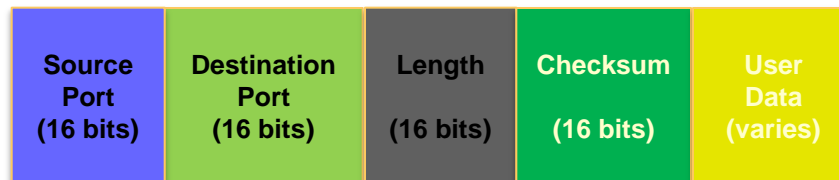
- **Transport layer functions**
 - Linking to the application layer
 - Segmentation
 - **Connection Management**
 - Connectionless (UDP)
 - Connection-oriented (TCP)
 - Quality of Service (QoS)

Connection Management

- **Connectionless Routing** is provided by **UDP**
 - Sending packets individually without a virtual connection, emphasis on **reduced latency over reliability**
 - Each packet is sent independently of one another, and will be routed separately, following different routes and arriving at different times
 - **Connection Oriented** is provided by **TCP**
 - Setting up a virtual connection, or a TCP connection for a **reliable** transmission
 - Packet deliveries are acknowledged
 - Used by HTTP, SMTP, FTP
 - **QoS Routing**
 - A special kind connection oriented routing with priorities
-

User Datagram Protocol (UDP)

- Operates at the transport layer
- PDU called a segment
- Used in time-sensitive situations, for control messages, or when reliability is handled by the application layer
- 32-64 bits (4-8 bytes) of overhead
 - Source port is optional in IPv4 and IPv6, Checksum is optional in IPv4

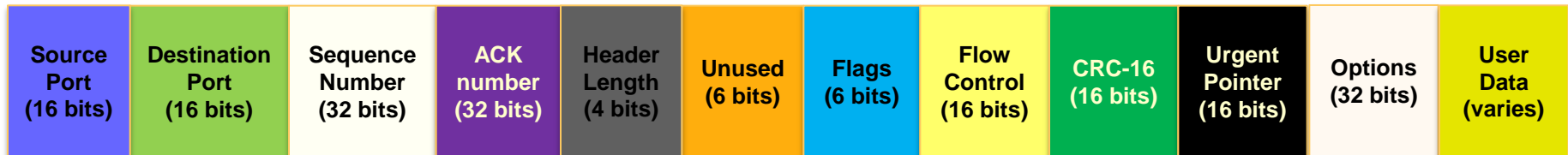


UDP - User Datagram Protocol

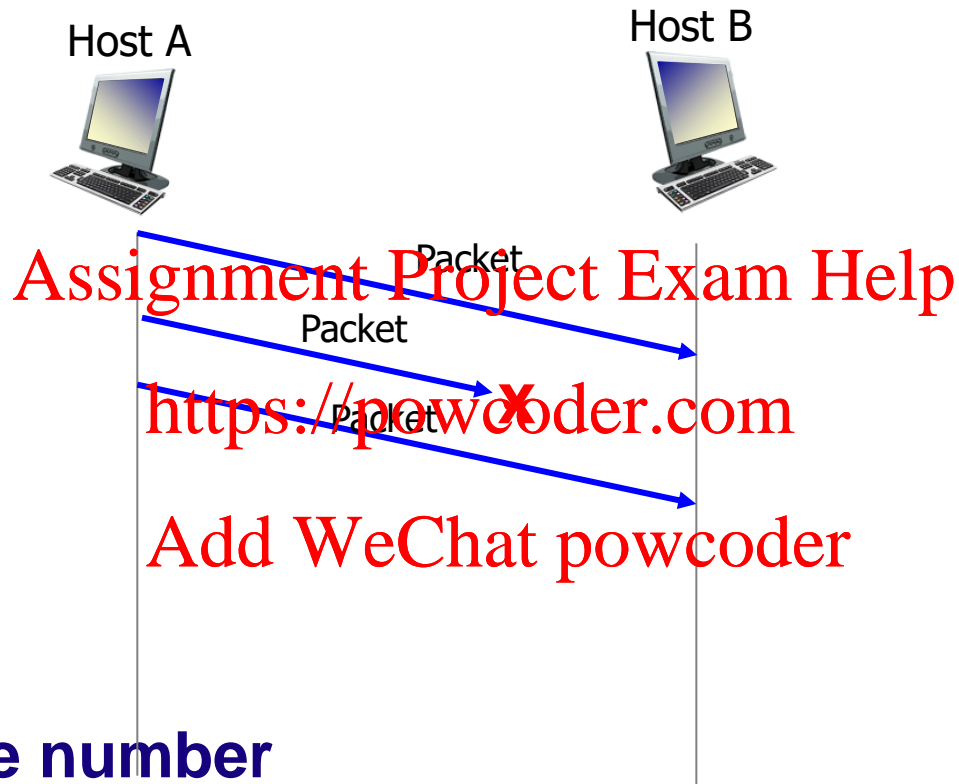
- “No frills”, “bare bones” transport protocol
- “Best Effort” service
 - Can be lost or delivered out-of-order to app
- **Connectionless messaging**
 - No handshaking between UDP sender and receiver
 - Each UDP segment handled independently of others
- **UDP: Efficiency before reliability**
 - Used in time-sensitive situations, for control messages, or when reliability is handled by the application layer
 - Commonly used for application control messages that are usually small, such as DNS, DHCP, RIP and SNMP
 - Can also be used for applications where a packet can be lost, such as information rich video/audio

Transport Layer Protocols

- **Transmission Control Protocol (TCP)**
 - Most common transport layer protocol
 - PDU called a segment
 - Used for reliable transmission of data
 - 160 - 192 bits (20 -24 bytes) of overhead
 - Options field is not required

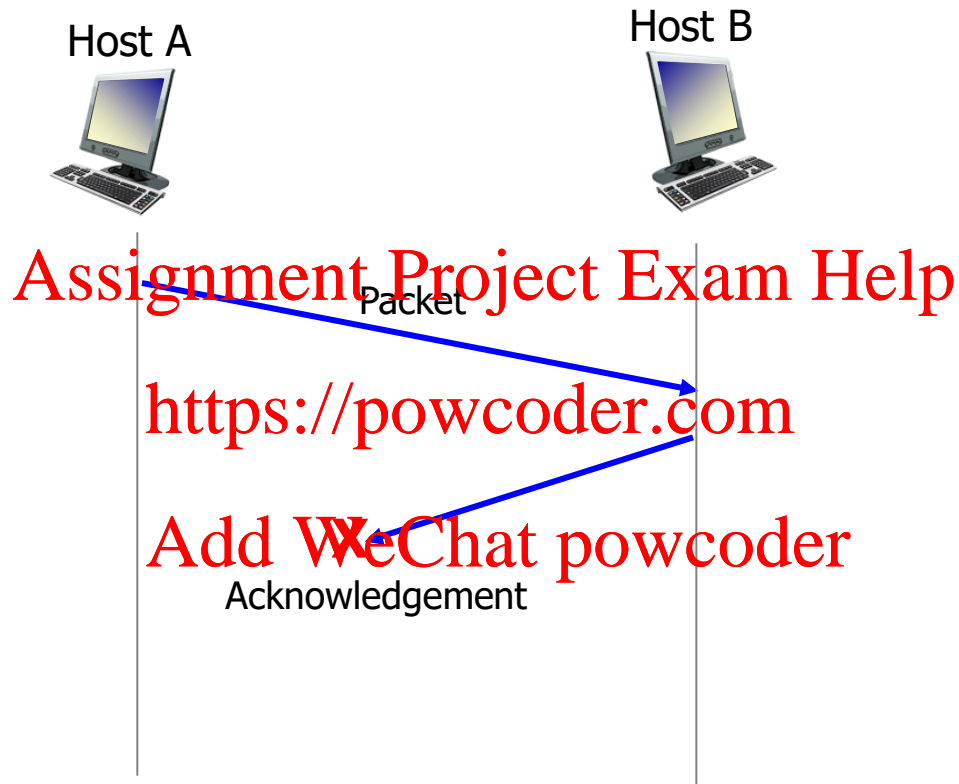


Reliable Data Transfer



- ❖ Sequence number
 - ❖ Acknowledgment
 - ❖ Retransmission
-

Reliable Data Transfer



Reliable Data Transfer

- **Sequence Numbers**

- byte stream “number” of first byte in segment’s data

- **Acknowledgement Numbers**

- seq # of next byte expected from other side
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- **Timer**

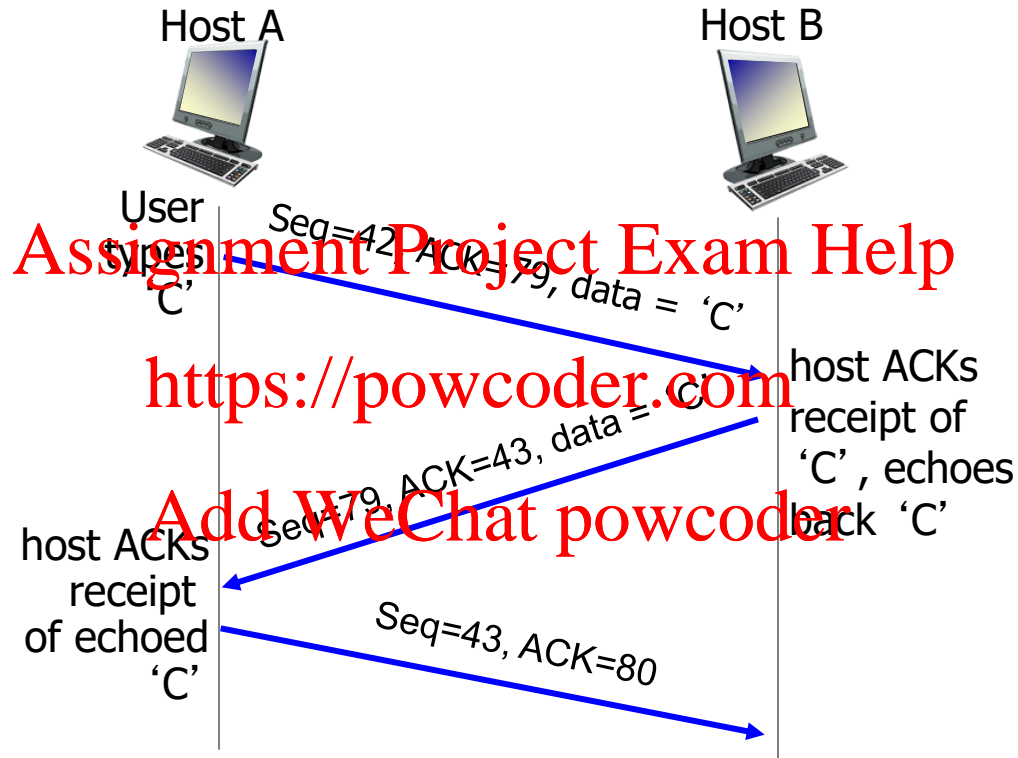
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- Ensure acknowledgement has received within the expected time frame

- **Retransmission**

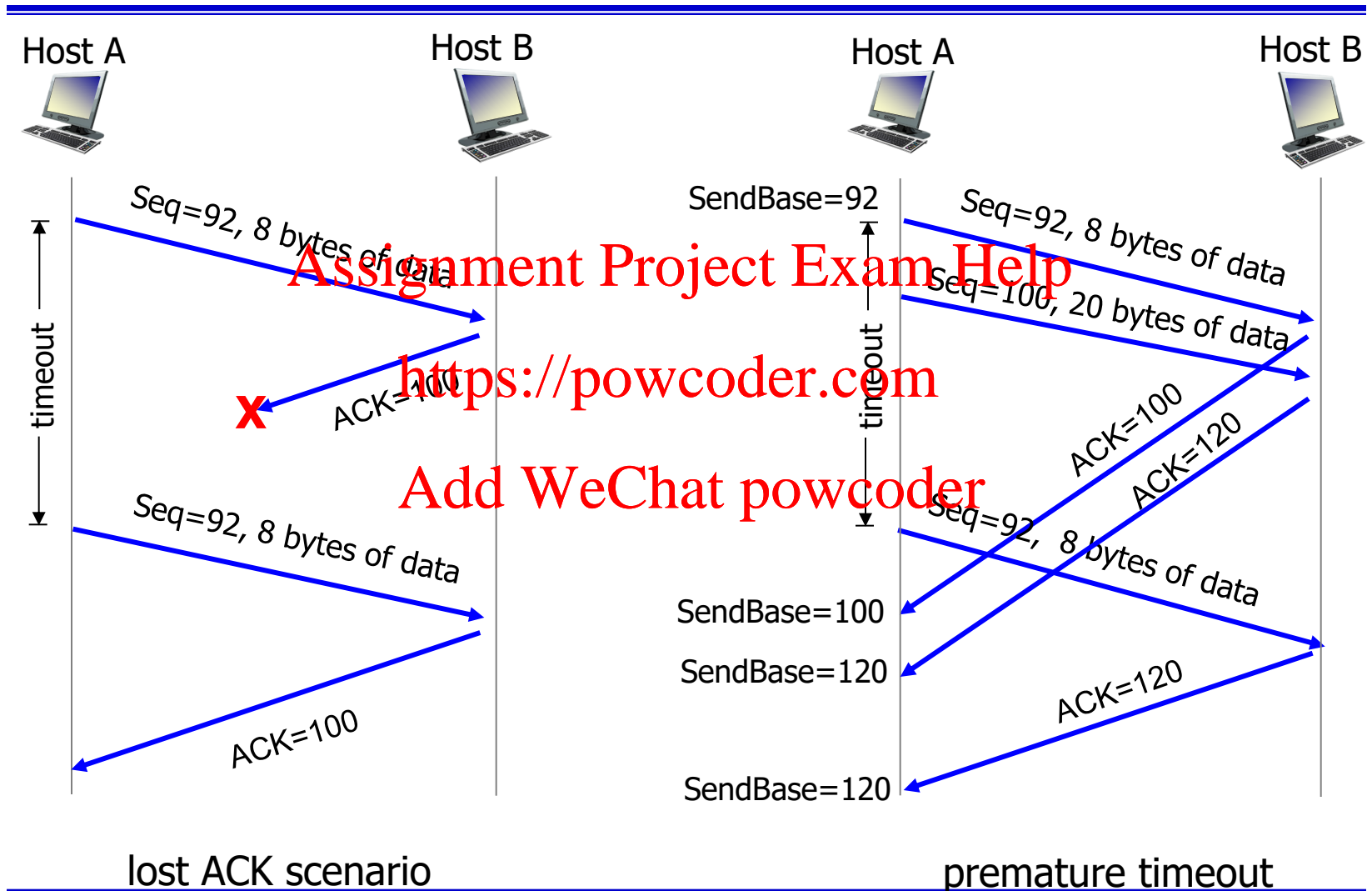
- Retransmit the data after timeout
-

SEQ and ACK

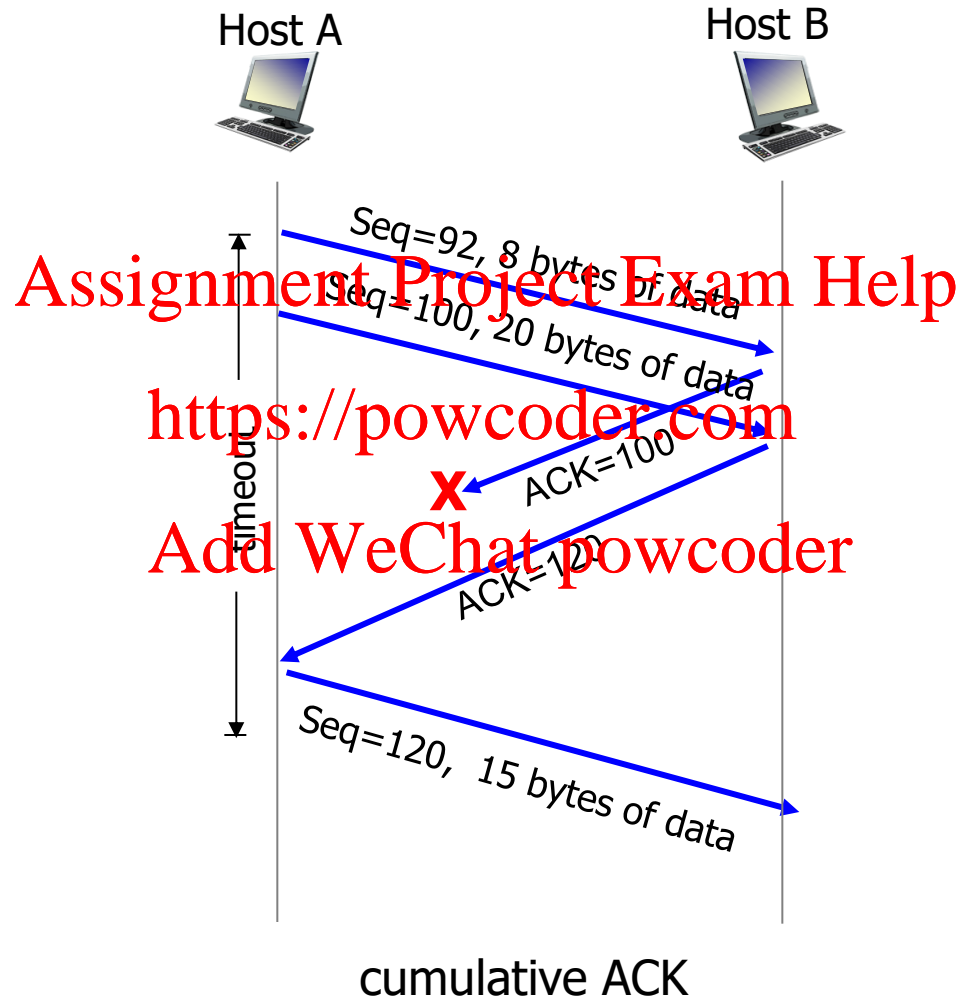


simple telnet scenario

Retransmission



Retransmission



Connection Management

- Before exchanging data, sender/receiver “handshake”:
 - Agree to establish connection (each knowing the other willing to establish connection)
 - Agree on connection parameters

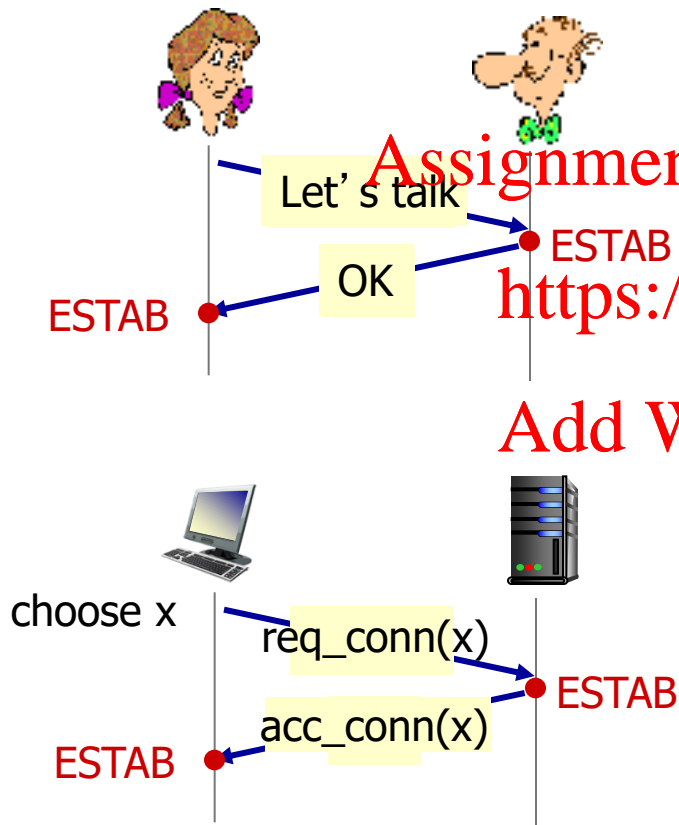
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Establish a connection

2-way handshake:



Q: will 2-way handshake always work in network?

• can't "see" other side

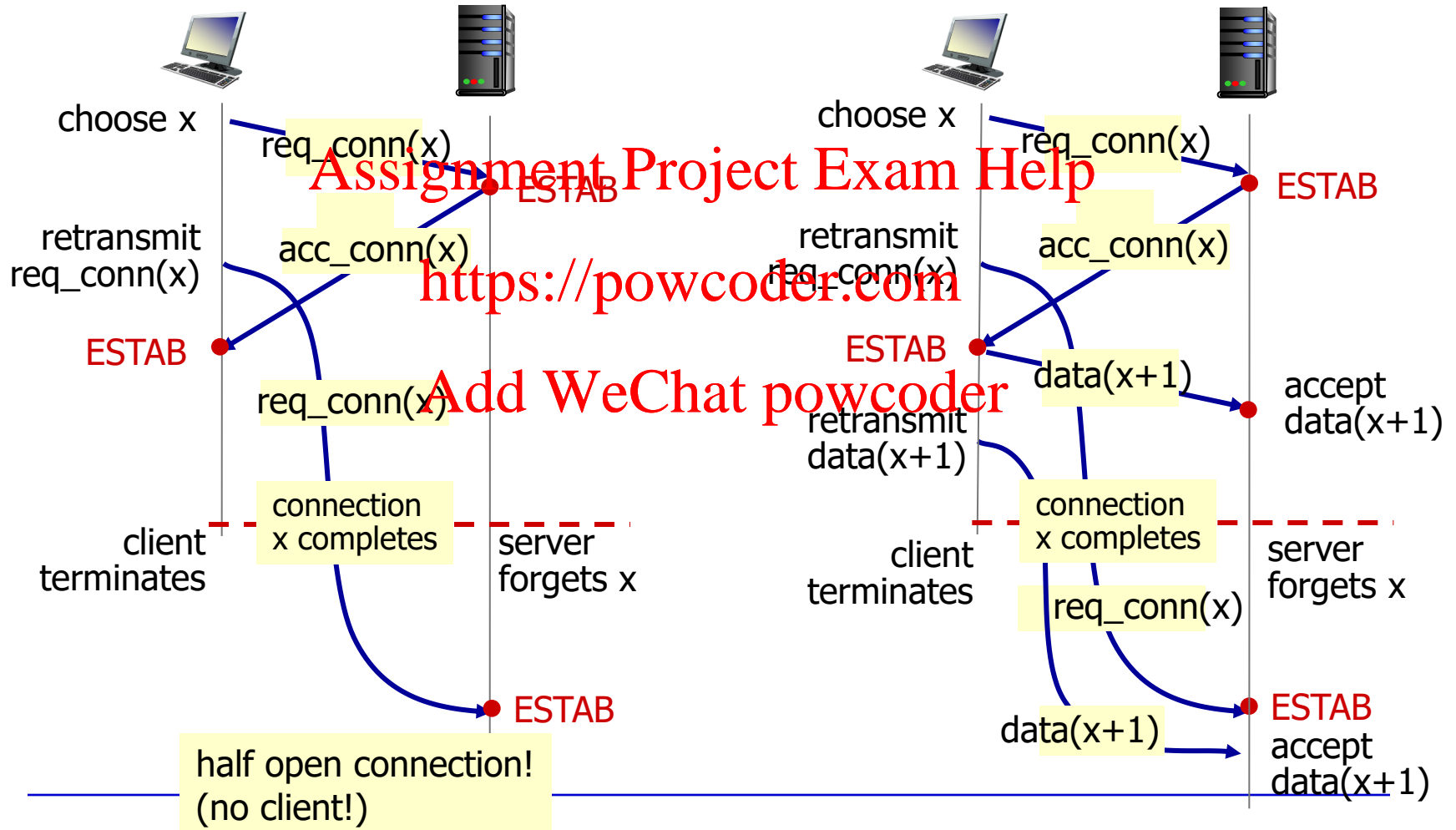
• variable delays

• retransmitted messages (e.g. req_conn(x)) due to message loss

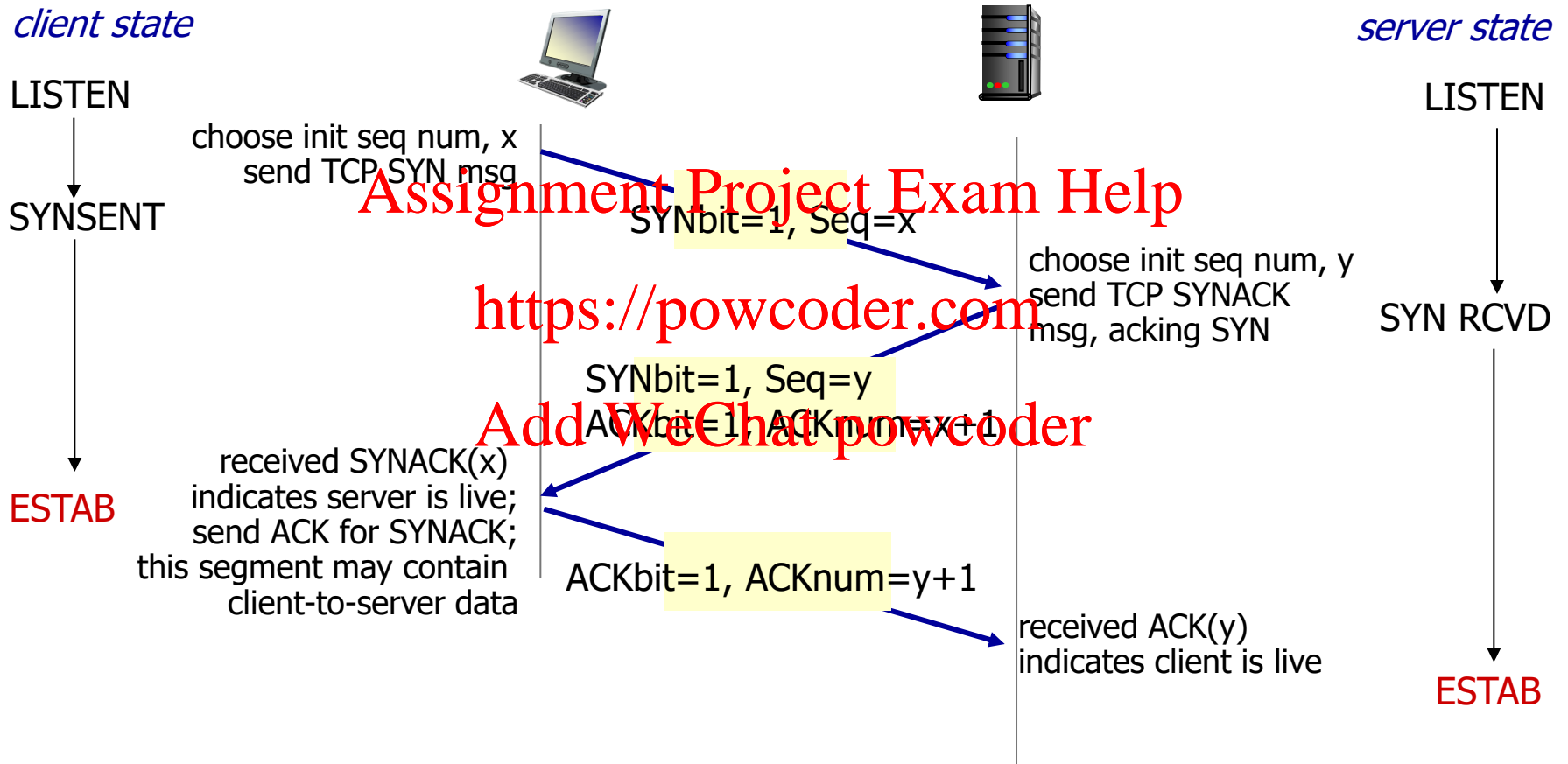
• message reordering

Establish a connection

2-way handshake failure scenarios:



TCP3-way handshake



Closing a connection

- ❖ client, server each close their side of connection
 - send TCP segment with FIN bit = 1
- ❖ respond to received FIN with ACK
 - on receiving FIN, ACK can be combined with own FIN
- ❖ simultaneous FIN exchanges can be handled

TCP 4-way handshake

client state

ESTAB

`clientSocket.close()`

FIN_WAIT_1

can no longer
send but can
receive data

FIN_WAIT_2

wait for server
close

TIMED_WAIT

timed wait
for $2 \times \text{max}$
segment lifetime

CLOSED



server state

ESTAB

CLOSE_WAIT

LAST_ACK

CLOSED

FINbit=1, seq=x

ACKbit=1; ACKnum=x+1

can still
send data

FINbit=1, seq=y

ACKbit=1; ACKnum=y+1

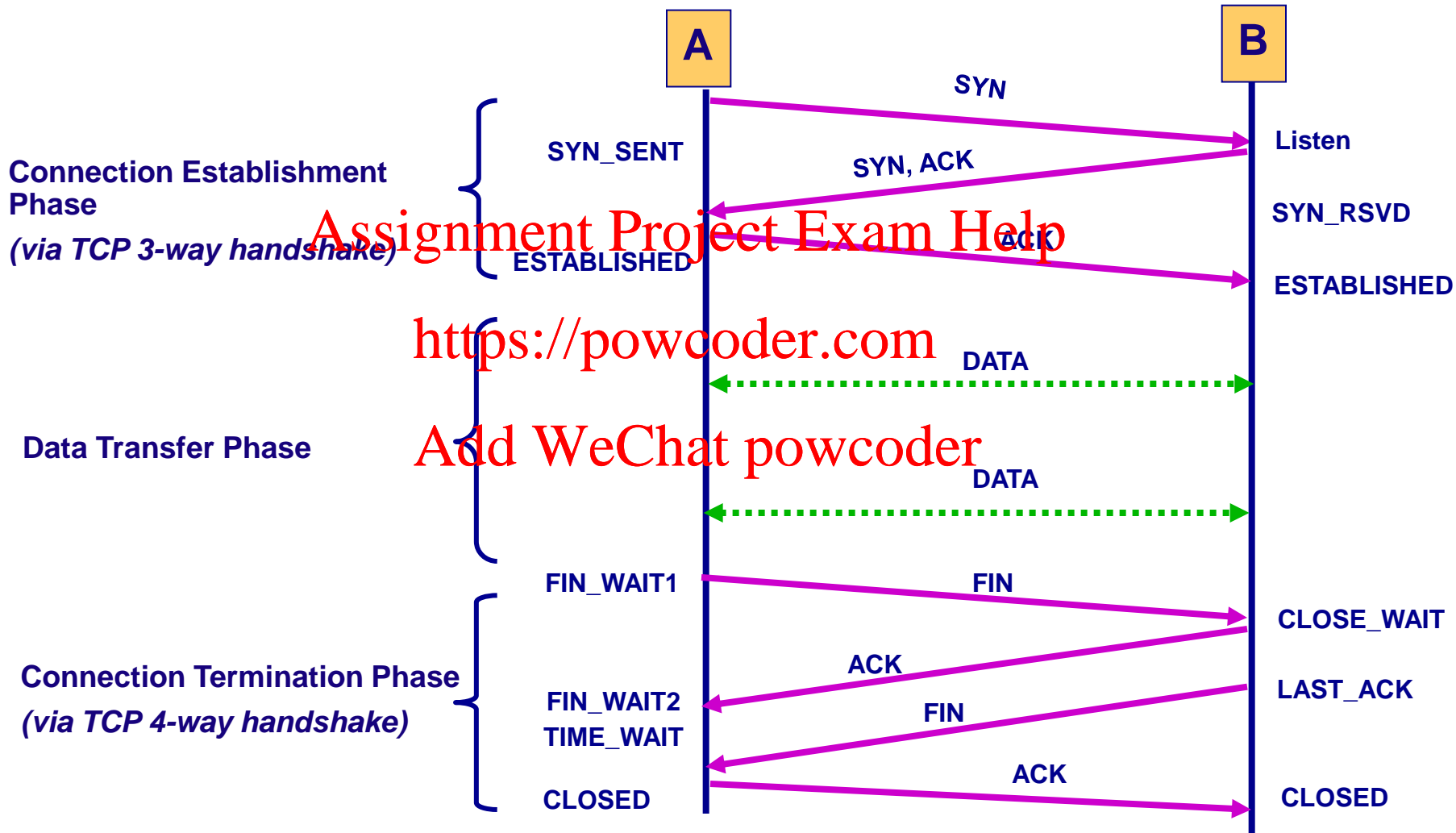
can no longer
send data

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Setting up and Tearing down TCP Connections

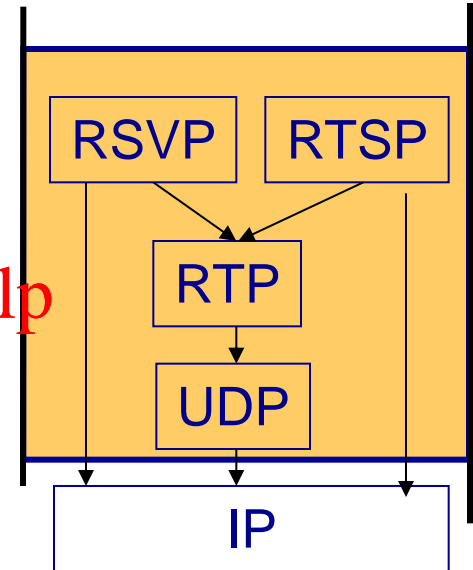


QoS - Quality of Service

- QoS defines and assigns priorities to “classes of service”
- **Timeliness - timely delivery of packets**
 - Packets be delivered within a certain period of time (to produce a smooth, continuous output)
 - Required by some applications, especially real time applications (e.g., voice and video frames)
- **QoS routing**
 - Defines classes of service, each with a different priority:
 - Real-time applications such as VoIP- highest
 - A graphical file for a Web page - a lower priority
 - E-mail - lowest (can wait a long time before delivery)

Protocols Supporting QoS

- TCP/IP protocol suite
 - Resource Reservation Protocol (RSVP)
 - Sets up virtual circuits for general purpose real-time applications
 - Real-Time Streaming Protocol (RTSP)
 - Sets up virtual circuits for audio-video applications
 - Real-Time Transport Protocol (RTP)
 - Used after a virtual connection setup by RSVP or RTSP
 - Adds a sequence number and a timestamp for helping applications to synchronize delivery
 - Uses UDP (because of its small header) as transport



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