

# Data Mining and Machine Learning

## HMMs for Automatic Speech Recognition: Word and Sub-Word Level HMMs

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# Content

- Word level HMMs
- Sub-word HMMs
  - Phoneme-level HMMs
- Context-sensitive sub-word HMMs
  - Biphone HMMs
  - Triphone HMMs
- Triphone HMM training issues
- Phoneme Decision Trees (PDTs)

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# Word Level HMMs

- Early systems (1980s) used word level HMMs
- I.e. each word modelled by a single, dedicated HMM (c.f. "zero" picture)
  - Advantages:
    - Good performance due to explicit modelling of word-dependent variability



# 6 state HMM of the digit 'zero'



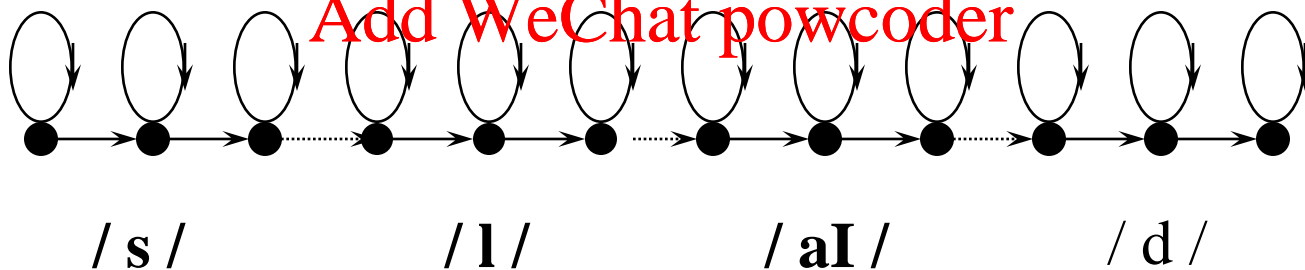
# Word Level HMMs

- Disadvantages:
  - Many examples of each word required for training
  - Fails to exploit regularities in spoken language
- Word-level systems typically restricted to well-defined, demanding, small vocabulary applications



# Sub-Word Level HMMs

- Build HMMs for a complete set of sub-word ‘building blocks’
- Construct word-level HMMs by concatenation of sub-word HMMs
- E.g. `slide = /s l aɪ d/`



# Sub-Word Level HMMs

- Advantages

- Able to exploit regularities in speech patterns
- More efficient use of training data - e.g. in phoneme-based system “five” (/ f aɪ v /) and “nine” (/ n aɪ n /) both contribute to /aɪ/ model.
- Flexibility - acoustic models can be built **immediately** for words which did not occur in the training data



# Phoneme-Level HMMs

- Why choose phonemes rather than any other sub-word unit?
- Disadvantages
  - Phonemes are defined in terms of the contrastive properties of speech sounds within a language - not their consistency with HMM assumptions!





# Advantages of Phoneme-HMMs

- Completeness & compactness – approx. 50 phonemes required to describe English
- Well studied – potential for exploitation of ‘speech knowledge’ (e.g. pronunciation differences due to accent...)
- Availability of extensive phoneme-based pronunciation dictionaries



# Context-Sensitivity

## ■ Problem

- Acoustic realization of a phoneme depends on the context in which it occurs
- Think of your lip shape for the “k” sound in the words “book shop” and “thick”



# Biphones and Triphones

- Solution
  - **Context-sensitive** phoneme-level HMMs
  - E.g. <https://powcoder.com>  
– ‘biphones’ (k: \_ S) in “book shop”  
– ‘triphones’ (k: b \_ S) in “book shop”
- Almost all systems use triphone HMMs



# Triphones - problems

- Increased number of model parameters
  - Need more (well-chosen) training data
- Which triphone?
  - If a word in the application contains a triphone which was not in the training set, which triphone HMM should we use?



# Number of parameters

- If there are 50 phones, the maximum number of triphone HMMs is  $50^3=125,000$
- Most ruled out by **phonological** constraints – most phone triples **never occur in speech**
- But many are legal



# Example: Model Parameters

- Each model has 3 emitting states
- Each state modelled as, say, a 10 component Gaussian mixture
- Each feature vector is 40 dimensional
- Hence number of parameters per model is:

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$$3 \times (10 \times (40 + 40 + 1) + 9) = 2,457$$

Number  
of states

Number of  
mixture  
components

Mean  
vector

Variance  
vector

Mixture  
weight

Transition  
probs



# Acoustic model parameters

- So, even if we only have 1,000 acoustic models (instead of 125,000), total acoustic model parameters will be 2,457,000
- Too many to estimate with practical quantity of data
- Most common solution is HMM parameter tying
- **Different** HMMs share **same** parameters



# Tied variance

- Variances are more costly to estimate than means
- Simple solution – divide set of all HMMs into classes, so that within a class all HMM state PDFs have same variance
- This is **tied variance**
- If **all** HMM state PDFs share the same variance, the variance is referred to as **grand variance**

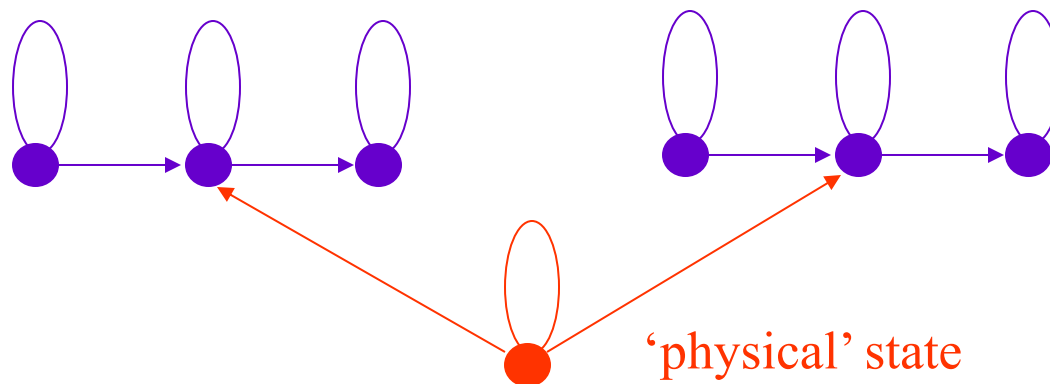




# Phone decision trees

- Most common approach to general HMM tying is **decision tree clustering**
- Decision tree clustering can be applied to individual states or to whole HMMs – we'll consider states
- Basic idea is to use **knowledge** about which phones are likely to induce similar contextual effects

‘Logical’  
models

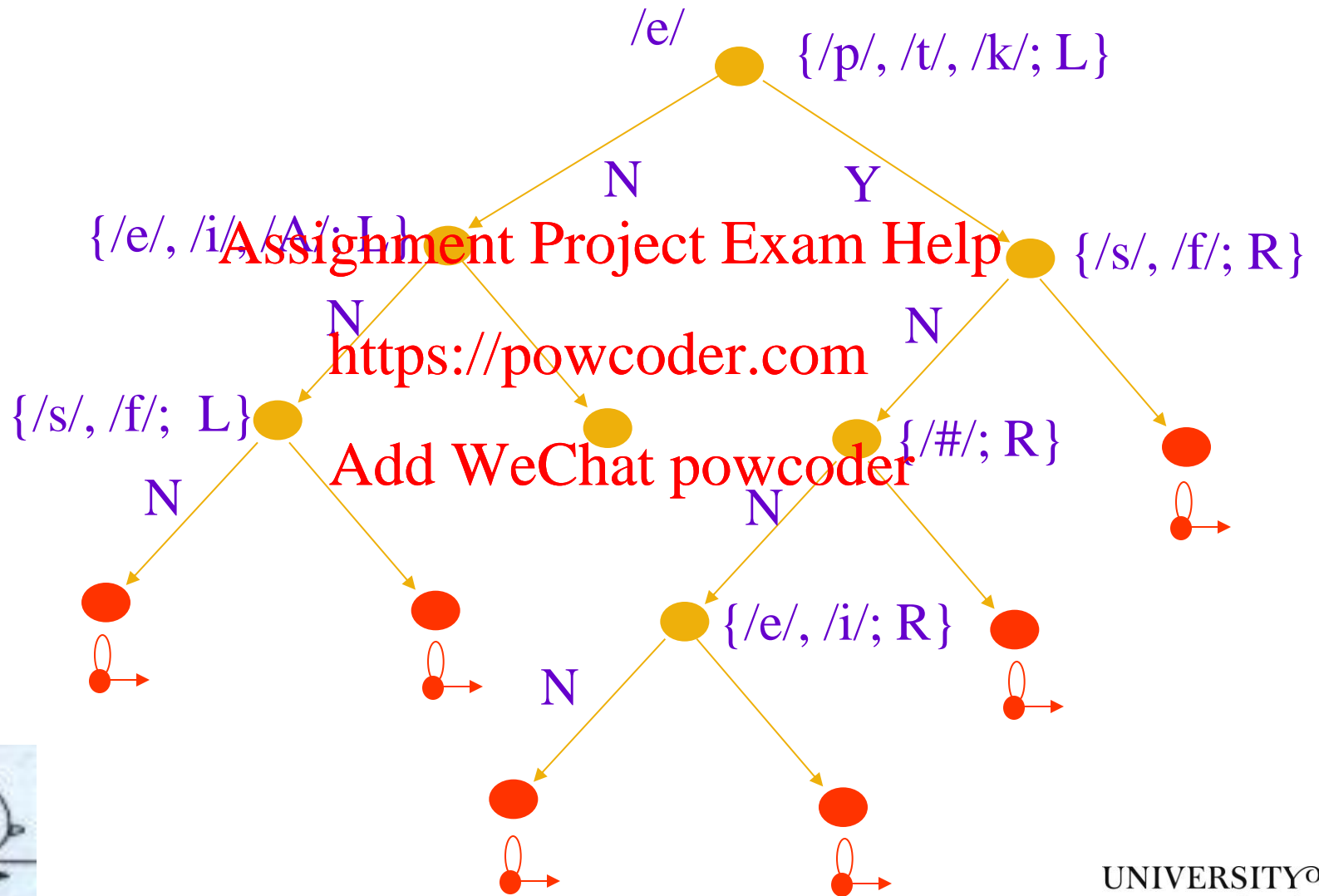


# Phonetic knowledge

- For example, we know that /f/ and /s/ are both unvoiced fricatives, produced in a similar manner
- Therefore we might **hypothesise** that, for example, an utterance of the vowel /e/ preceded by /f/ might be similar to one preceded by /s/
- This is the basic idea behind decision tree clustering



# Phone Decision Tree



# Summary

- Word-level and Sub-Word HMMs
  - Phoneme-level HMMs
  - Context-sensitivity
    - Biphones & Triphones
  - Triphone decision trees
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