

# Data Mining and Machine Learning

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## HMMs for Automatic Speech Recognition

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# Objectives

To understand

- Application of HMMs for automatic speech recognition
- HMM assumptions

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# Pattern Recognition

- Suppose we have a finite number of classes,  $w_1, \dots, w_C$  and the goal is to decide which class has given rise to the measurement  $x$
- The probability of the class  $w$  **given that the measurement  $x$  has been observed** is called **posterior probability of the class  $w$**  – denoted by  $P(w|x)$



# Bayes' Theorem

- The form of **Bayes' Theorem** which we need for pattern recognition is:

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Class-conditional density

Prior probability

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$$P(w|x) = \frac{p(x|w)P(w)}{p(x)}$$

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Posterior probability



# Automatic Speech Recognition

- Given a sequence of acoustic feature vectors

$$Y = \{y_1, \dots, y_T\}$$

we want to find the sequence of words

$$W = \{w_1, \dots, w_L\}$$

such that the probability

$$P(W / Y)$$

is maximized.

- If  $M = \{M_1, \dots, M_K\}$  is the sequence of HMMs which represents  $W$ , then  $P(W / Y) = P(M / Y)$



# Bayes' Theorem

- Computation of the probability  $P( M / Y )$  is made possible using **Bayes' Theorem**

$$P(W | Y) = \frac{p(Y | W)P(W)}{p(Y)}$$

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- $P(W)$  is the “language model probability”
- $p( Y / W )$  is the “acoustic model probability”



# Mathematical Modelling

- Mathematical modelling for speech recognition
- Two conflicting requirements:
  - Faithful model of human speech production/perception
  - Mathematically tractable & Computationally Useful
- HMMs are one of the best compromise at the moment

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# ‘Divide and Conquer’

- One possible approach to ASR is sequential ‘divide and conquer’, e.g.
  - classify speech vectors as ‘acoustic features’
  - classify sequences of acoustic features as phonemes
  - classify sequences of phonemes as words
  - classify sequences of words ...

**DISASTER!!**





# Delayed Decision Making

- Another name for this might be non-recoverable error propagation!
- Better to avoid all classification decisions until all sources of information are available. Then perform classification as a single, integrated process - delayed decision making
- Delayed Decision Making underlies HMM success



# The 'HMM Compromise'

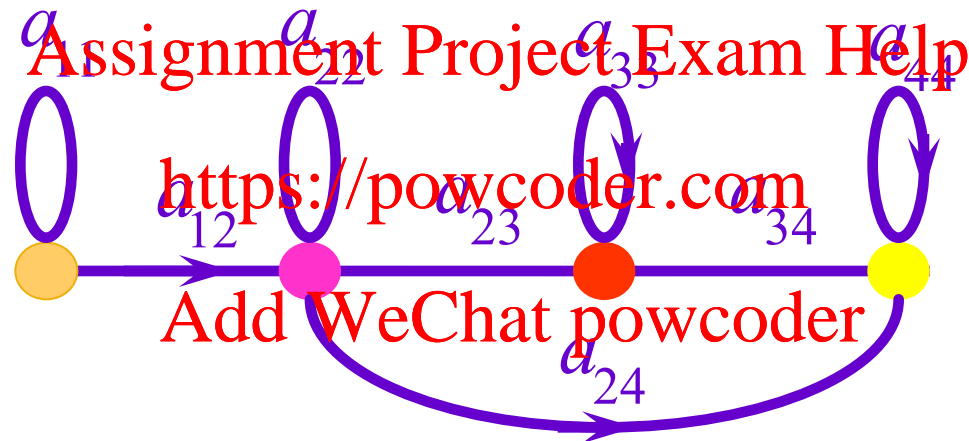
Assume that :

- A spoken utterance is a time-varying sequence which moves through a sequence of 'segments'  
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- Underlying structure of the segments is constant w.r.t time – (no!)  
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- Durations of segments vary – (yes)
- All variations between different realizations of the segments are random – (no!)



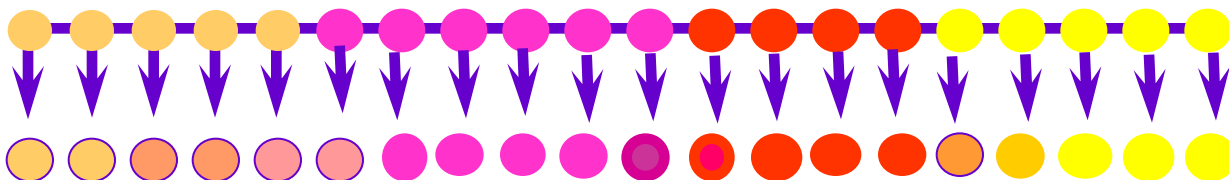
# Hidden Markov Model

- In a **hidden** Markov model, the relationship between the observation sequence and the state sequence is ambiguous.



$$X = \{x_t\}$$

$$Y = \{y_t\}$$



# Hidden Markov Models

A HMM consists of

- A set of states  $S = \{s_1, \dots, s_N\}$
- A state transition probability matrix  $A = [a_{ij}]_{i,j=1,\dots,N}$ ,  
where  $a_{ij} = \text{Prob}(s_j \text{ at time } t \mid s_i \text{ at time } t-1)$
- For each state  $s_i$ , a PDF  $b_i$  defined on the set of possible observations  $O$ .

$$b_i(o) = \text{Prob}(y_t = o \mid x_t = s_i)$$

- $b_i$  is called the **state output PDF** for state  $i$  (or the  $i^{\text{th}}$  **state output PDF**)



# 10 state HMM of the digit 'zero'



# 6 state HMM of the digit 'zero'



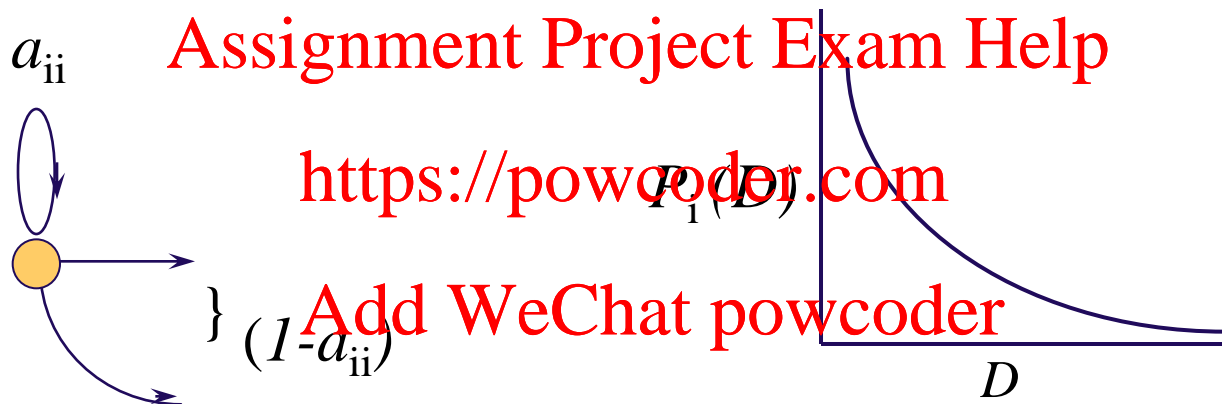
# HMM Assumptions

- **Temporal Independence** - the observation  $y_t$  depends on the state  $x_t$  but is otherwise independent of the rest of the observation sequence  $Y = \{y_t\}$ !  
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**... so, the position of the vocal tract at time  $t$  is independent of its position at time  $t-1$ !**  
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- **Piecewise stationarity** - the underlying structure of speech is a sequence of stationary segments  
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- **Random variability** - variations from this underlying structure are random



# HMM State Duration Model

- Constant segments correspond to the HMM states



- Probability of state duration  $D$  is given by

$$P_i(D) = (1 - a_{ii})a_{ii}^{(D-1)}$$





# Summary

- Introduction to application of HMMs for speech recognition – HMM assumptions

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