308-273
Caches, Part II

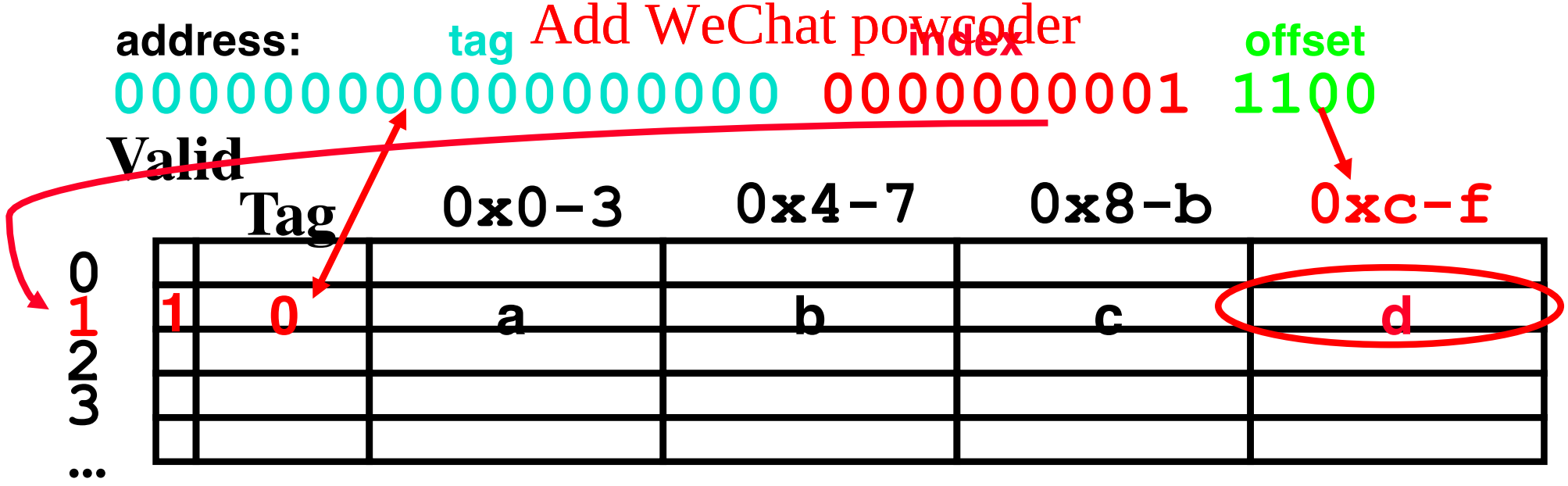
Kaleem Siddiqi

Review

- We would like to have the capacity of disk at the speed of the processor: unfortunately this is not feasible.
- So we create a memory hierarchy:
  - each successively lower level contains "most used" data from next lower level
  - exploits temporal locality
  - do the common case fast, worry less about the exceptions (design principle of MIPS)
- Locality of reference is a Big Idea

Big Idea Review (1/2)

- Mechanism for transparent movement of data among levels of a storage hierarchy
  - set of address/value bindings
  - address => index to set of candidates
  - compare desired address with tag
  - service hit or miss
    - load new block and binding on miss



Outline

- Block Size Tradeoff
- Types of Cache Misses
- Fully Associative Cache
- N-Way Associative Cache
- Block Replacement Policy
- Multilevel Caches (if time)
- Cache write policy (if time)

Block Size Tradeoff (1/3)

- Benefits of Larger Block Size
  - Spatial Locality: if we access a given word, we're likely to access other nearby words soon (Another Big Idea)
  - Very applicable with Stored-Program Concept: if we execute a given instruction, it's likely that we'll execute the next few as well
  - Works nicely in sequential array accesses too

Block Size Tradeoff (2/3)

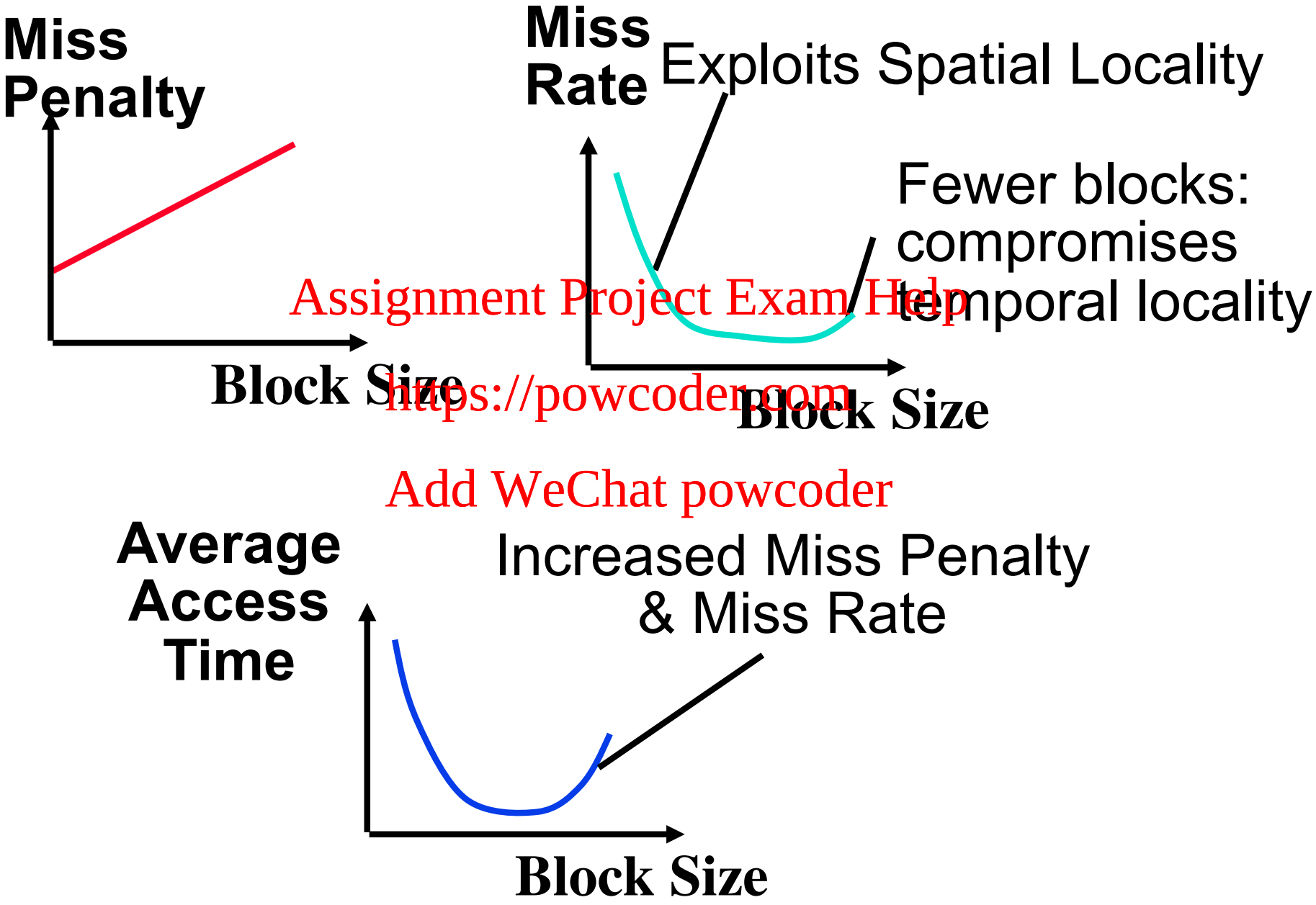
- Drawbacks of Larger Block Size
  - Larger block size means larger miss penalty
    - on a miss, takes longer time to load a new block from next level
  - If block size is too big relative to cache size, then there are too few blocks
    - Result: miss rate goes up
- In general, minimize Average Access Time

  = Hit Time + Miss Penalty x Miss Rate

Block Size Tradeoff (3/3)

- Hit Time = time to find and retrieve data from current level cache
- Miss Penalty = average time to retrieve data on a current level miss (includes the possibility of misses on successive levels of memory hierarchy)
- Hit Rate = % of requests that are found in current level cache
- Miss Rate = 1 - Hit Rate

Block Size Tradeoff Conclusions



Types of Cache Misses (1/2)

- **Compulsory Misses**
  - occur when a program is first started
  - cache does not contain any of that program's data yet, so misses are bound to occur
  - can't be avoided easily, so won't focus on these in this course

Types of Cache Misses (2/2)

- **Conflict Misses**
  - miss that occurs because two distinct memory addresses map to the same cache location
  - two blocks (which happen to map to the same location) can keep overwriting each other
  - big problem in direct-mapped caches
  - how do we lessen the effect of these?

Dealing with Conflict Misses

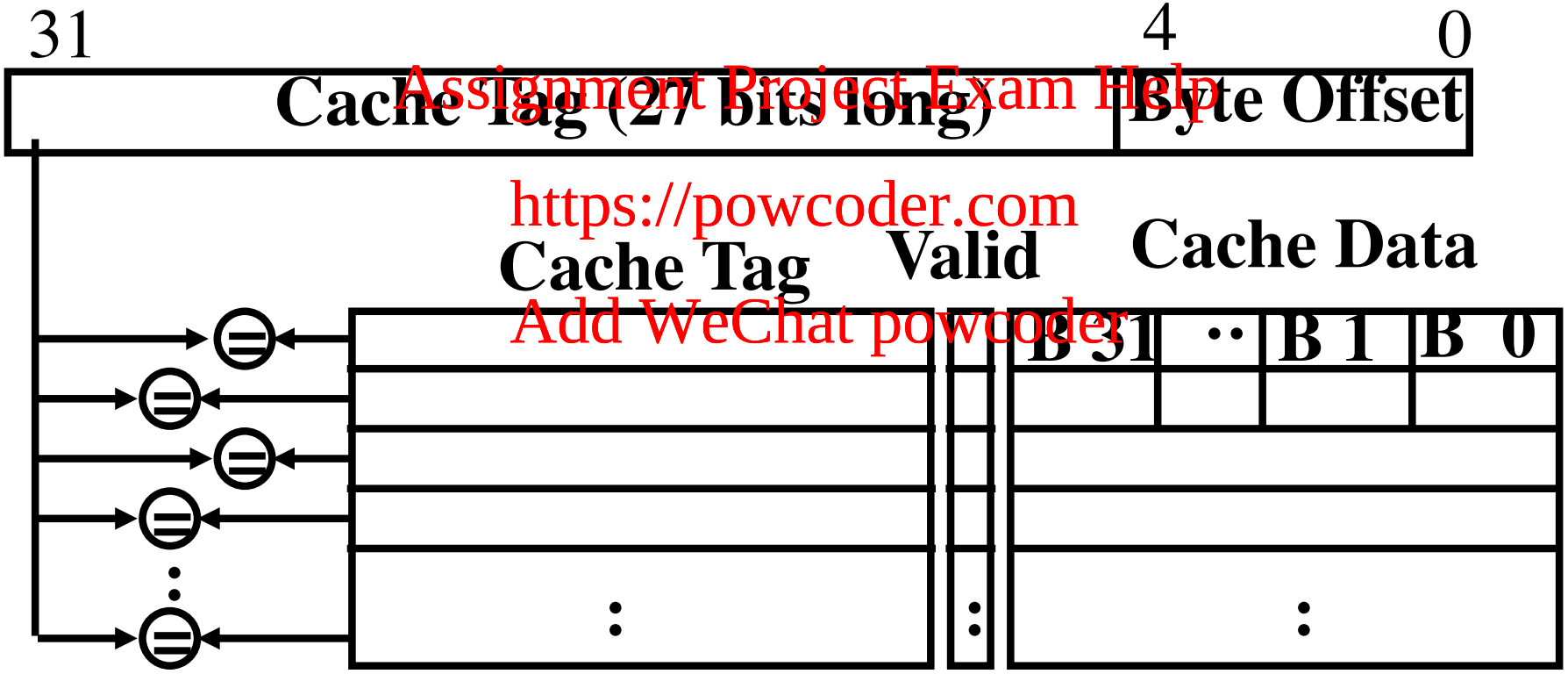
- Solution 1: Make the cache size bigger
  - relatively expensive
- Solution 2: Multiple distinct blocks can fit in the same Cache Index?

Fully Associative Cache (1/3)

- Memory address fields:
  - Tag: same as before
  - Offset: same as before
  - Index: non-existent
- What does this mean?
  - any block can go anywhere in the cache
  - must compare with all tags in entire cache to see if data is there

Fully Associative Cache (2/3)

- Fully Associative Cache (e.g., 32 B block)
  - compare tags in parallel



Fully Associative Cache (3/3)

- Benefit of Fully Assoc Cache
  - no Conflict Misses (since data can go anywhere)
- Drawbacks of Fully Assoc Cache
  - need hardware comparator for every single entry: if we have a 64KB of data in cache with 4B entries, we need 16K comparators: very expensive
- Small fully associative cache may be feasible

Third Type of Cache Miss

- **Capacity Misses**
  - **miss that occurs because the cache has a limited size**
  - **miss that would not occur if we increase the size of the cache**
- **This is the primary type of miss for Fully Associate caches.**

N-Way Set Associative Cache (1/4)

- Memory address fields:
  - Tag: same as before
  - Offset: same as before
  - Index: points us to the correct "row" (called a set in this case)
- So what's the difference?
  - each set contains multiple blocks
  - once we've found correct set, must compare with all tags in that set to find our data

N-Way Set Associative Cache (2/4)

- Summary:
  - cache is direct-mapped with respect to sets
  - each set is fully associative
  - basically several direct-mapped caches, each of which is fully associative. Each has its own valid bit and data

N-Way Set Associative Cache (3/4)

- Given memory address:
  - Find correct set using Index value.
  - Compare Tag with all Tag values in the determined set.
  - If a match occurs, it's a hit, otherwise a miss.
  - Finally, use the offset field as usual to find the desired data within the desired block.

N-Way Set Associative Cache (4/4)

- What's so great about this?
  - even a 2-way set assoc cache avoids a lot of conflict misses
  - hardware cost isn't that bad: only need N comparators
- In fact, for a cache with M blocks,
  - it's Direct-Mapped if it's 1-way set assoc (1 block per set)
  - it's Fully Assoc if it's M-way set assoc (M blocks per set)
  - so these two are just special cases of the more general set associative design

Block Replacement Policy (1/2)

- Direct-Mapped Cache: index completely specifies which position a block can go in on a miss
- N-Way Set Assoc (N > 1): index specifies a set, but block can occupy any position within the set on a miss
- Fully Associative: block can be written into any position (there is no index)
- Question: if we have the choice, where should we write an incoming block?

Block Replacement Policy (2/2)

- Solution!
- If there are any locations with valid bit off (empty), then usually write the new block into the first one.
- If all possible locations already have a valid block, we must use a replacement policy by which we determine which block gets "cached out" on a miss.

Block Replacement Policy: LRU

- LRU (Least Recently Used)
  - Idea: cache out block which has been accessed (read or write) least recently
  - Pro: temporal locality => recent past use implies likely future use: in fact, this is a very effective policy
  - Con: with 2-way set assoc, easy to keep track (one LRU bit); with 4-way or greater, requires complicated hardware and much time to keep track of this

Block Replacement Example

- We have a 2-way set associative cache with a four word *total* capacity and one word blocks. We perform the following word accesses (ignore bytes for this problem):

0, 2, 0, 1, 4, 0, 2, 3, 5, 4

How many hits and how many misses will there for the LRU block replacement policy?

Hint: treat addresses as TAG + INDEX

Block Replacement Example: LRU

- Addresses 0, 2, 0, 1, 4, 0, ...
  - 0: miss, bring into set 0 (loc 0)
  - 2: miss, bring into set 0 (loc 1)
  - 0: hit
  - 1: miss, bring into set 1 (loc 0)
  - 4: miss, bring into set 0 (loc 1, replace 2)
  - 0: hit

Ways to reduce miss rate

- Larger cache
  - limited by cost and technology
  - hit time of first level cache < cycle time
- More places in the cache to put each block of memory - associativity
  - fully-associative
    - any block any line
  - k-way set associated
    - k places for each block
    - direct map: k=1

Big Idea

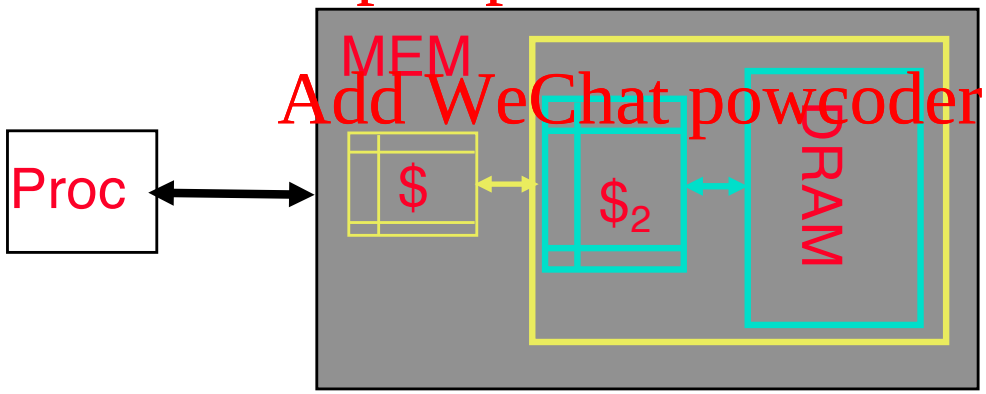
- How do we chose between options of associativity, block size, replacement policy?
- Design against a performance model
  - Minimize: *Average Access Time*
    = Hit Time + Miss Penalty x Miss Rate
  - influenced by technology and program behavior

Example

- Assume
  - Hit Time = 1 cycle
  - Miss rate = 5%
  - Miss penalty = 20 cycles
- Avg mem access time = 1 + 0.05 x 20
  = 2 cycle

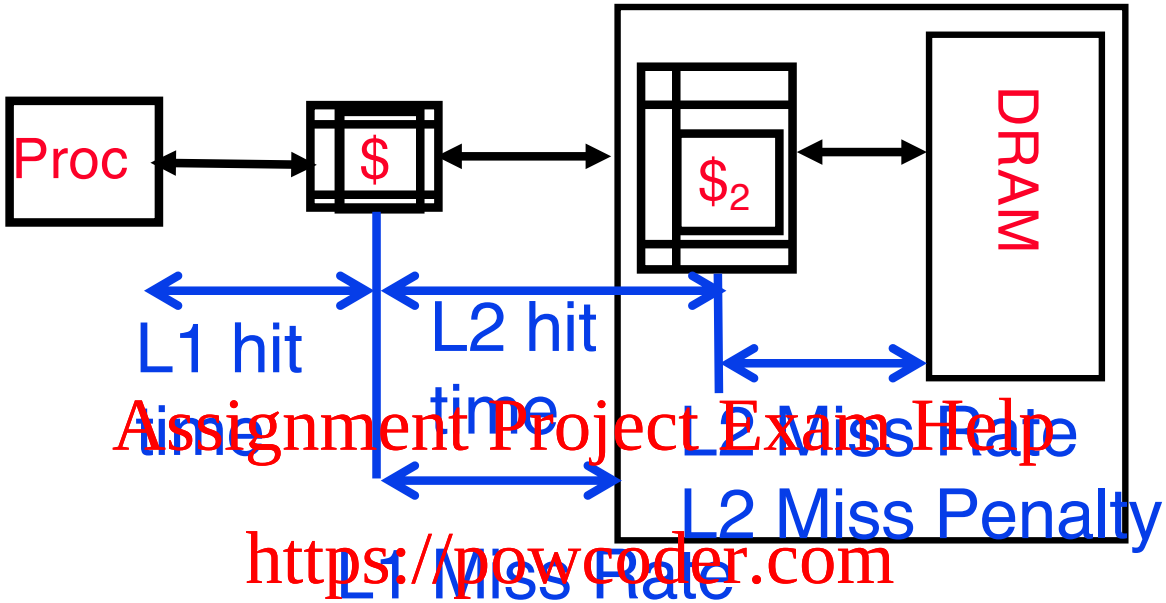
Improving Miss Penalty

- When caches first became popular, Miss Penalty ~ 10 processor clock cycles
- Today 1000 MHz Processor (1 ns per clock cycle) and 100 ns to go to DRAM ⇒ 100 processor clock cycles!



Solution: another cache between memory and the processor cache: Second Level (L2) Cache

Analyzing Multi-level cache hierarchy



Avg Mem Access Time =
L1 Hit Time + L1 Miss Rate * L1 Miss Penalty

L1 Miss Penalty = L2 Hit Time + L2 Miss Rate
*** L2 Miss Penalty**

Avg Mem Access Time =
L1 Hit Time + L1 Miss Rate * (L2 Hit Time +
L2 Miss Rate * L2 Miss Penalty)

Typical Scale

- L1
  - size: tens of KB
  - hit time: complete in one clock cycle
  - miss rates: 1-5%
- L2:
  - size: hundreds of KB
  - hit time: few clock cycles
  - miss rates: 10-20%
- L2 miss rate is fraction of L1 misses that also miss in L2
  - why so high?

Example: without L2 cache

- Assume
  - L1 Hit Time = 1 cycle
  - L1 Miss rate = 5%
  - L1 Miss Penalty = 100 cycles
- Avg mem access time = 1 + 0.05 x 100
  = 6 cycles

Example with L2 cache

- Assume
  - L1 Hit Time = 1 cycle
  - L1 Miss rate = 5%
  - L2 Hit Time = 5 cycles
  - L2 Miss rate = 15% (% L1 misses that miss)
  - L2 Miss Penalty = 100 cycles
- L1 miss penalty = 5 + 0.15 * 100 = 20
- Avg mem access time = 1 + 0.05 x 20
  = 2 cycle
- 3x faster with L2 cache

What to do on a write hit?

- **Write-through**
  - update the word in cache block and corresponding word in memory
- **Write-back**
  - update word in cache block
  - allow memory word to be "stale"

  => add 'dirty' bit to each line indicating that memory needs to be updated when block is replaced

  => OS flushes cache before I/O !!!
- Performance trade-offs?

"And in conclusion…" (1/2)

- Caches are NOT mandatory:
  - Processor performs arithmetic
  - Memory stores data
  - Caches simply make data transfers go faster
- Each level of memory hierarchy is just a subset of next higher level
- Caches speed up due to temporal locality: store data used recently
- Block size > 1 word speeds up due to spatial locality: store words adjacent to the ones used recently

"And in conclusion…" (2/2)

- Cache design choices:
  - size of cache: speed v. capacity
  - direct-mapped v. associative
  - for N-way set assoc: choice of N
  - block replacement policy
  - 2nd level cache?
  - Write through v. write back?
- Use performance model to pick between choices, depending on programs, technology, budget, ...