

Advanced Network Technologies

4G

Recent Advances in Network Protocols

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School of Computer Science



THE UNIVERSITY OF
SYDNEY

- *the* solution for wide-area mobile Internet
- widespread deployment/Use:
 - more mobile-broadband-connected devices than fixed-broadband-connected devices (2019).
 - 4G availability: 97% of time in Korea, 90% in US
- transmission rates up to 100's Mbps
- technical standards: 3rd Generation Partnership Project (3GPP)
 - www.3gpp.org
 - 4G: Long-Term Evolution (LTE) standard

4G/5G cellular networks

similarities to wired Internet

- edge/core distinction, but both below to same carrier
- global cellular network: a network of networks
- widespread use of protocols we've studied: HTTP, DNS, TCP, UDP, IP, etc.
- separation of data/control planes, SDN, tunneling
- interconnected to wired Internet

differences from wired Internet

- different wireless link layer
- mobility
- user "identity" (via SIM card)
 - subscriber identification module
- business model: users subscribe to a cellular provider
 - "home network" versus roaming on visited nets
 - global access, with authentication infrastructure, and inter-carrier settlement

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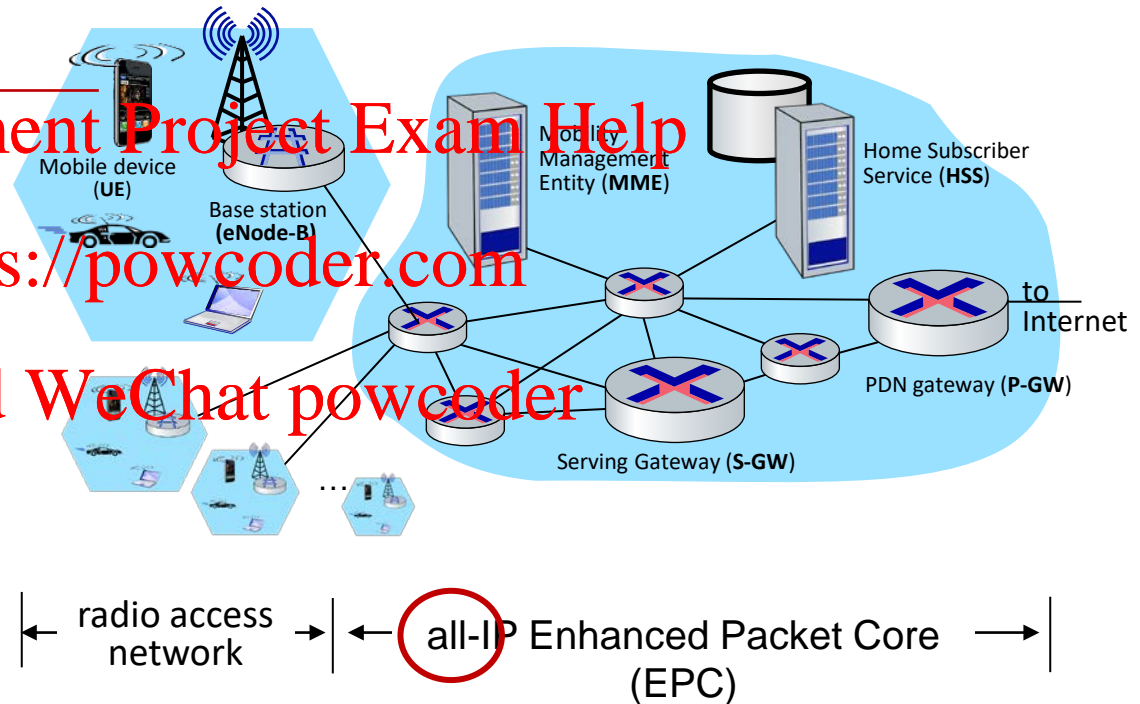
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Elements of 4G LTE architecture

Mobile device:

- smartphone, tablet, laptop, IoT, ... with 4G LTE radio
- 64-bit International Mobile Subscriber Identity (IMSI), stored on SIM (Subscriber Identity Module) card
- LTE jargon: User Equipment (UE)

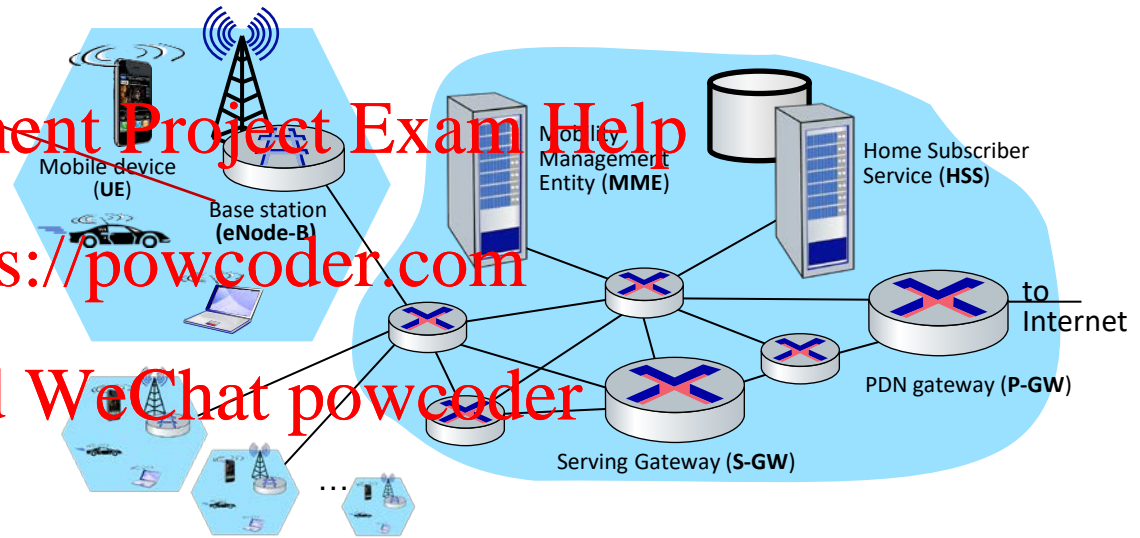


PDN: Packet Data Network

Elements of 4G LTE architecture

Base station:

- at “edge” of carrier’s network
- manages wireless radio resources, mobile devices in its coverage area (“cell”)
- coordinates device authentication with other elements
- similar to WiFi AP but:
 - active role in user mobility
 - coordinates with nearly base stations to optimize radio use
- LTE jargon: eNode-B

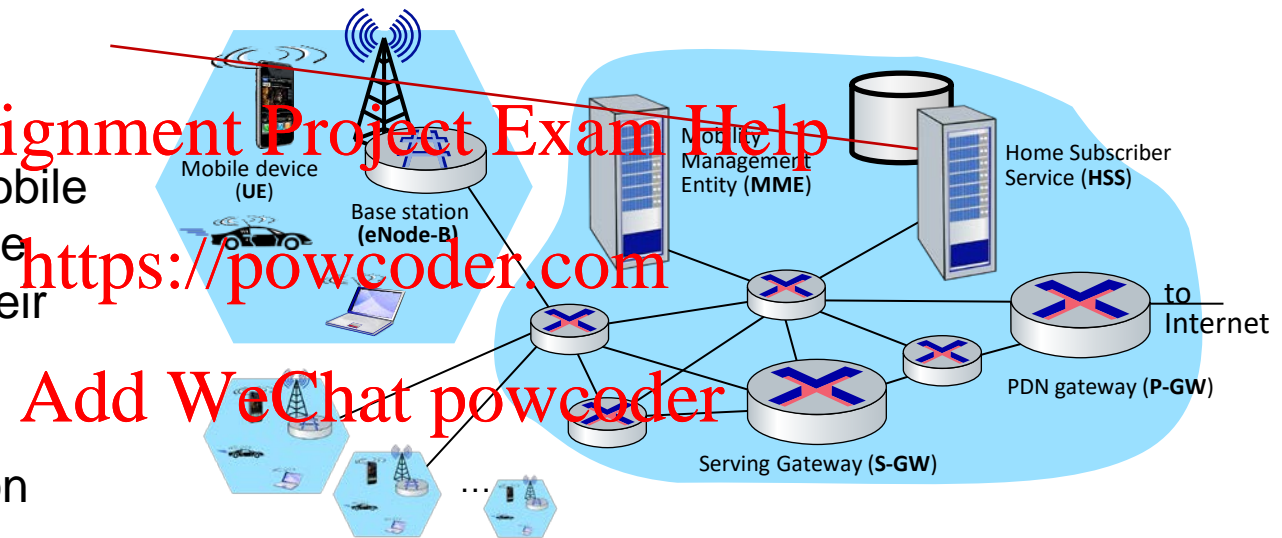




Elements of 4G LTE architecture

Home Subscriber Service

- stores info about mobile devices for which the HSS's network is their "home network"
- works with MME in device authentication

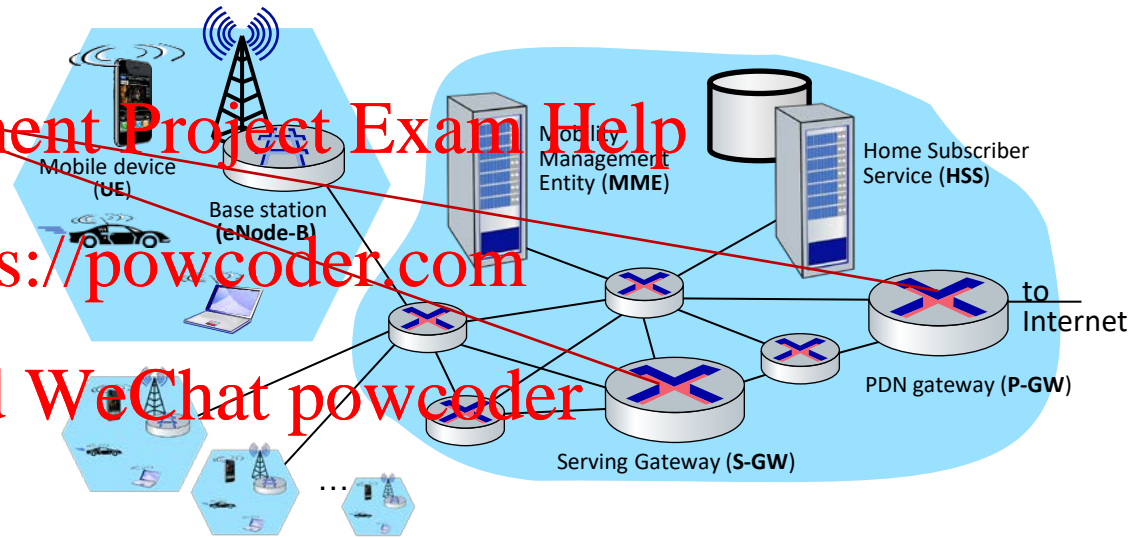




Elements of 4G LTE architecture

Serving Gateway (S-GW), PDN Gateway (P-GW)

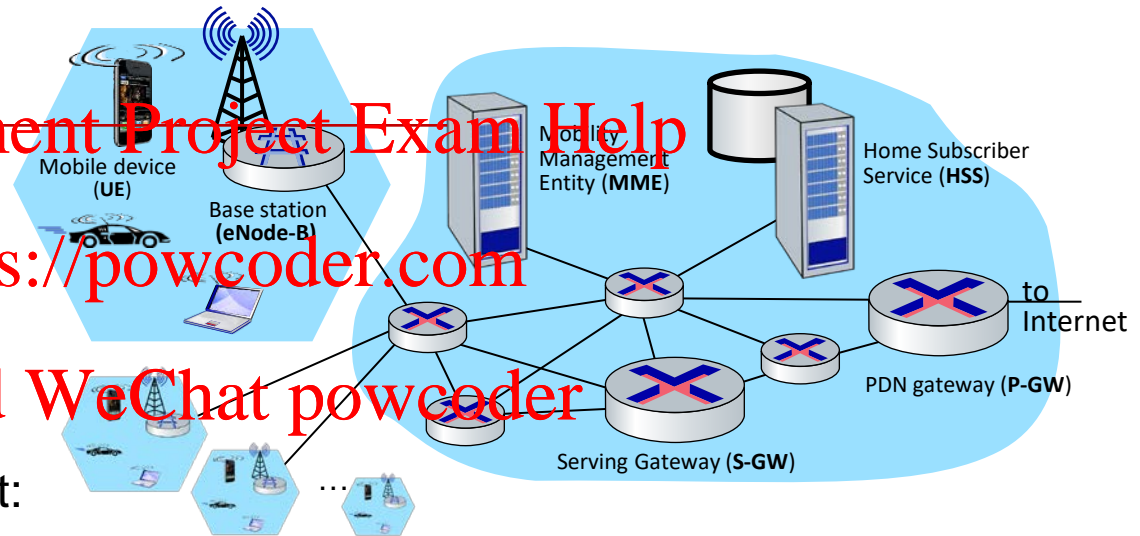
- lie on data path from mobile to/from Internet
- P-GW
 - gateway to mobile cellular network
 - Looks like any other internet gateway router
 - provides NAT services
- other routers:
 - extensive use of tunneling



Elements of 4G LTE architecture

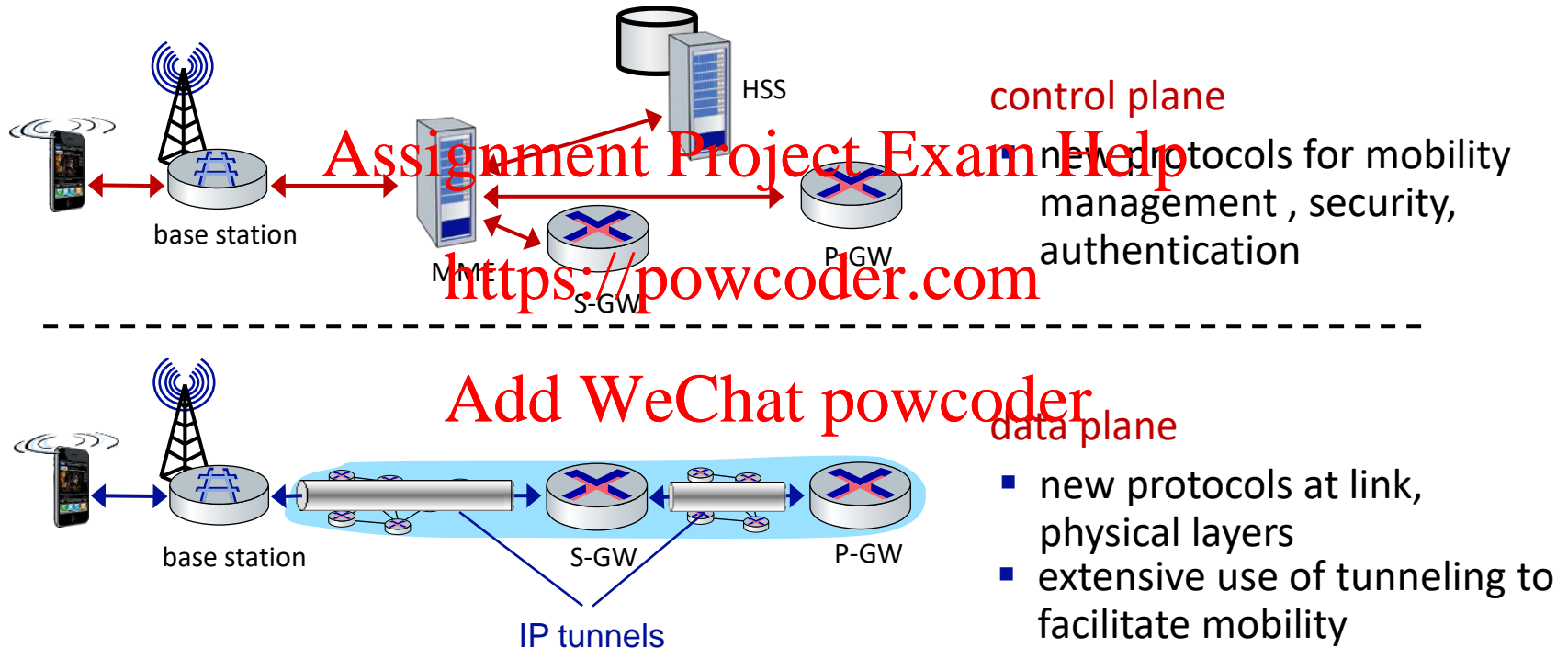
Mobility Management Entity

- device authentication (device-to-network, network-to-device) coordinated with mobile home network HSS
- mobile device management:
 - device handover between cells
 - tracking/paging device location
- path (tunneling) setup from mobile device to P-GW



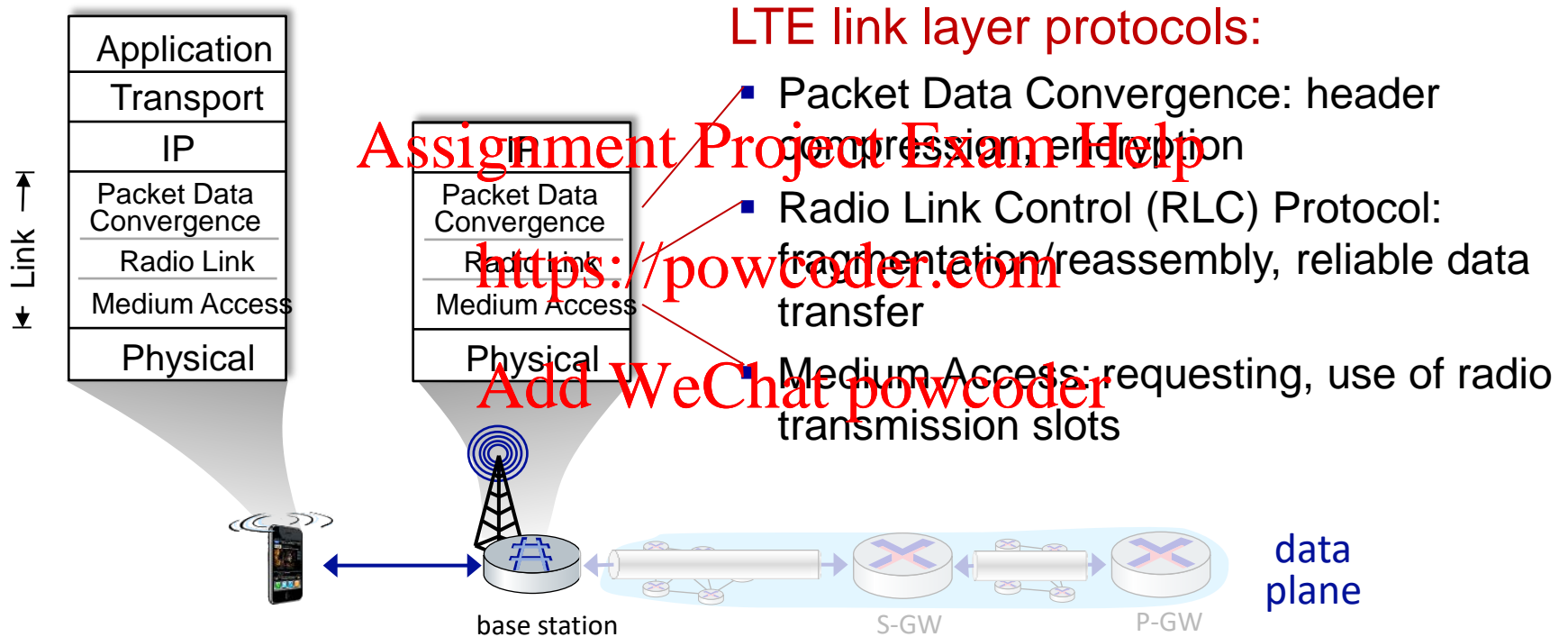


LTE: data plane control plane separation



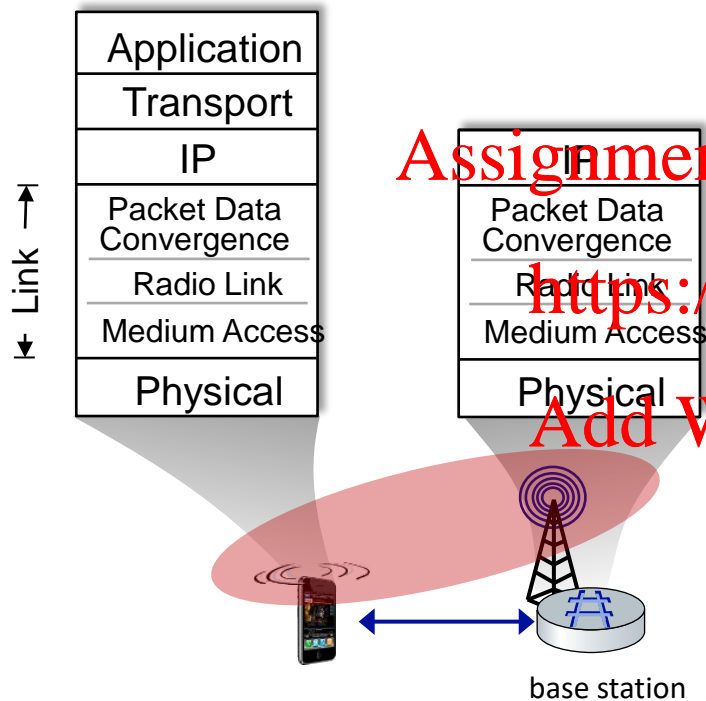


LTE data plane protocol stack: first hop





LTE data plane protocol stack: first hop

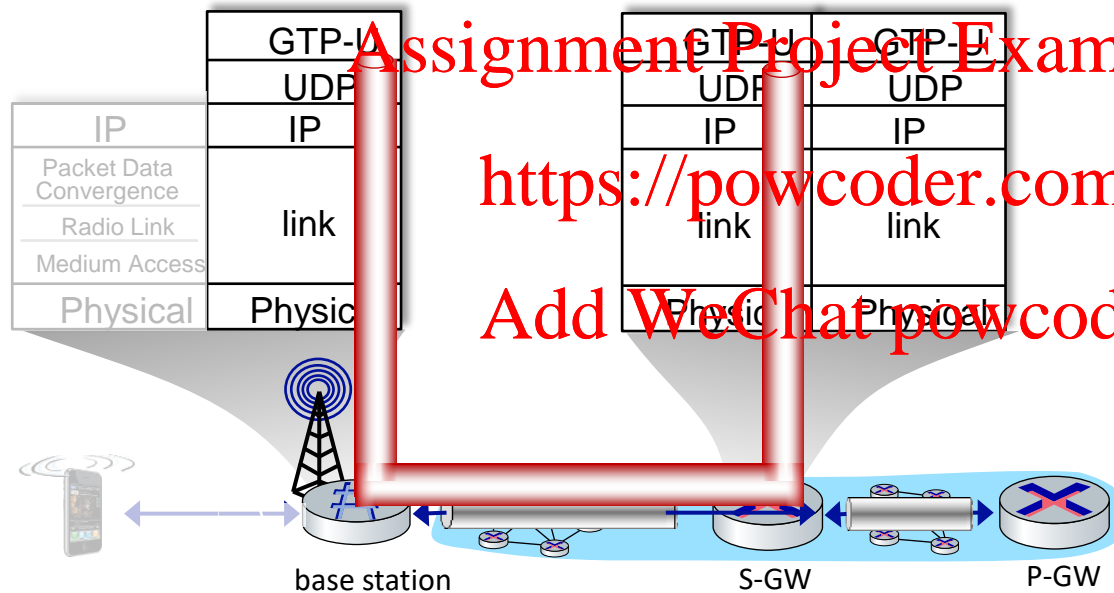


LTE radio access network:

- **downstream channel:** FDM, TDM within frequency channel (OFDM - orthogonal frequency division multiplexing)
 - “orthogonal”: minimal interference between channels
- **upstream:** FDM, TDM similar to OFDM
- each active mobile device allocated two or more 0.5 ms time slots over 12 frequencies
- scheduling algorithm not standardized – up to operator
- 100's Mbps per device possible



LTE data plane protocol stack: packet core



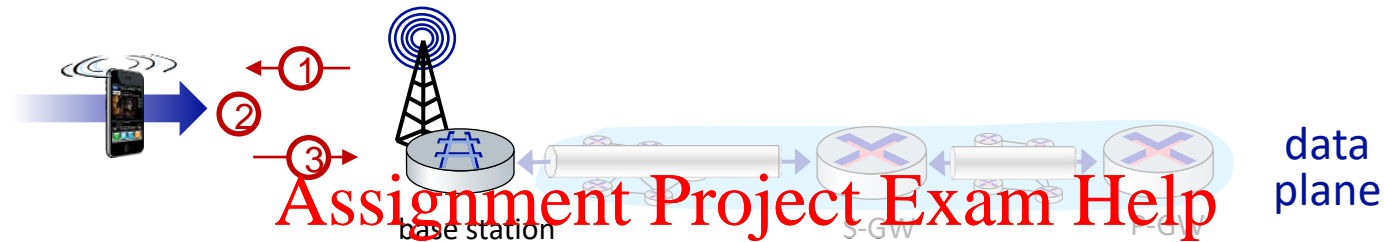
tunneling:

- mobile datagram encapsulated using GPRS Tunneling Protocol (GTP), sent inside UDP datagram to S-GW
- S-GW re-tunnels datagrams to P-GW
- supporting mobility: only tunneling endpoints change when mobile user moves

GTP-U: user data
GTP-C: control



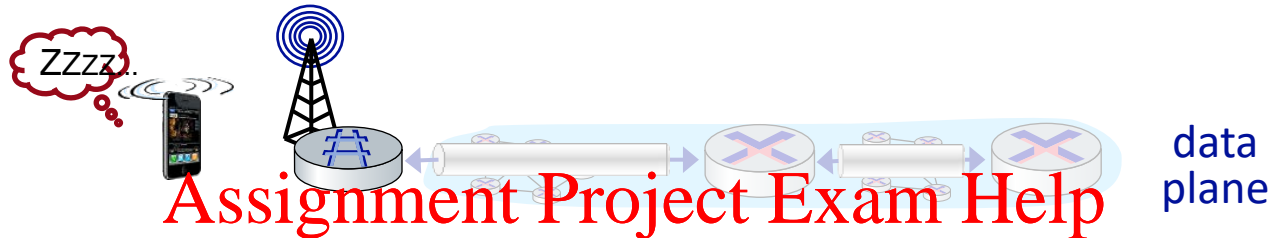
LTE data plane: associating with a BS



- ① BS broadcasts primary synch signal every 5 ms on all frequencies
 - BSs from multiple carriers may be broadcasting synch signals
- ② mobile finds a primary synch signal, then locates 2nd synch signal on this freq.
 - mobile then finds info broadcast by BS: channel bandwidth, configurations; BS's cellular carrier info
 - mobile may get info from multiple base stations, multiple cellular networks
- ③ mobile selects which BS to associate with (e.g., preference for home carrier)
- ④ more steps still needed to authenticate, establish state, set up data plane



LTE mobiles: sleep modes

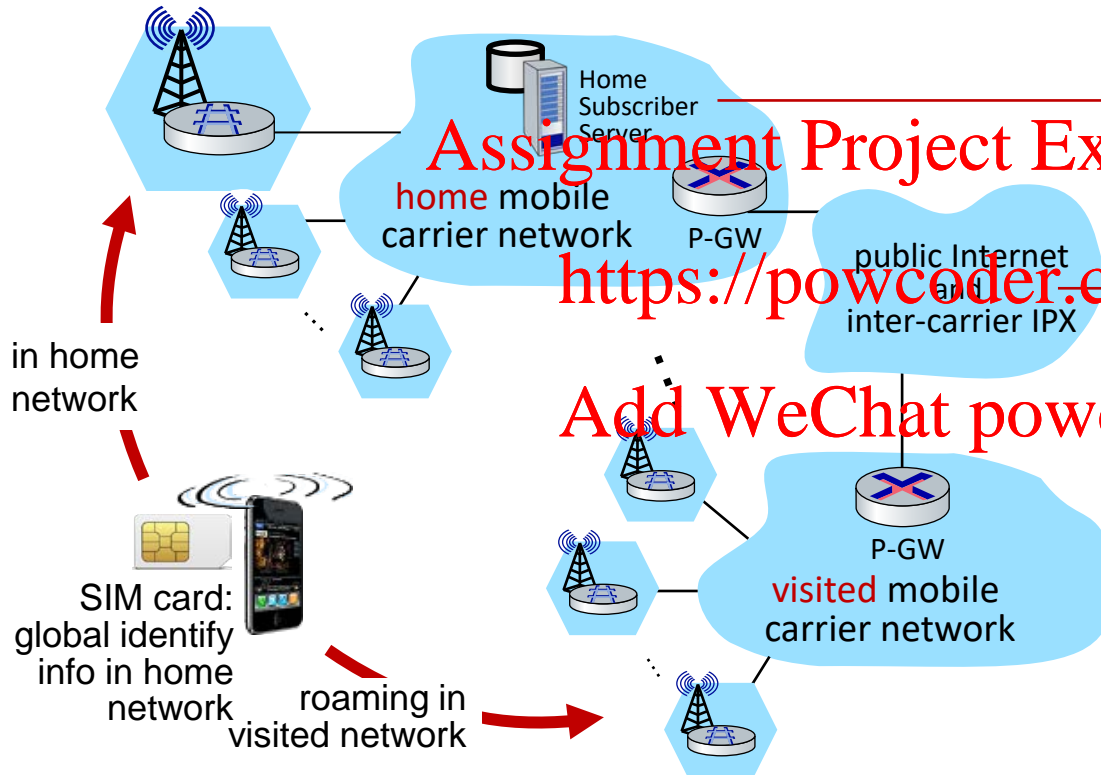


as in WiFi, Bluetooth: LTE mobile may put radio to “sleep” to conserve battery:

- **light sleep**: after 100's msec of inactivity
 - wake up periodically (100's msec) to check for downstream transmissions
- **deep sleep**: after 5-10 secs of inactivity
 - mobile may change cells while deep sleeping – need to re-establish association



Global cellular network: a network of IP networks



home network HSS:

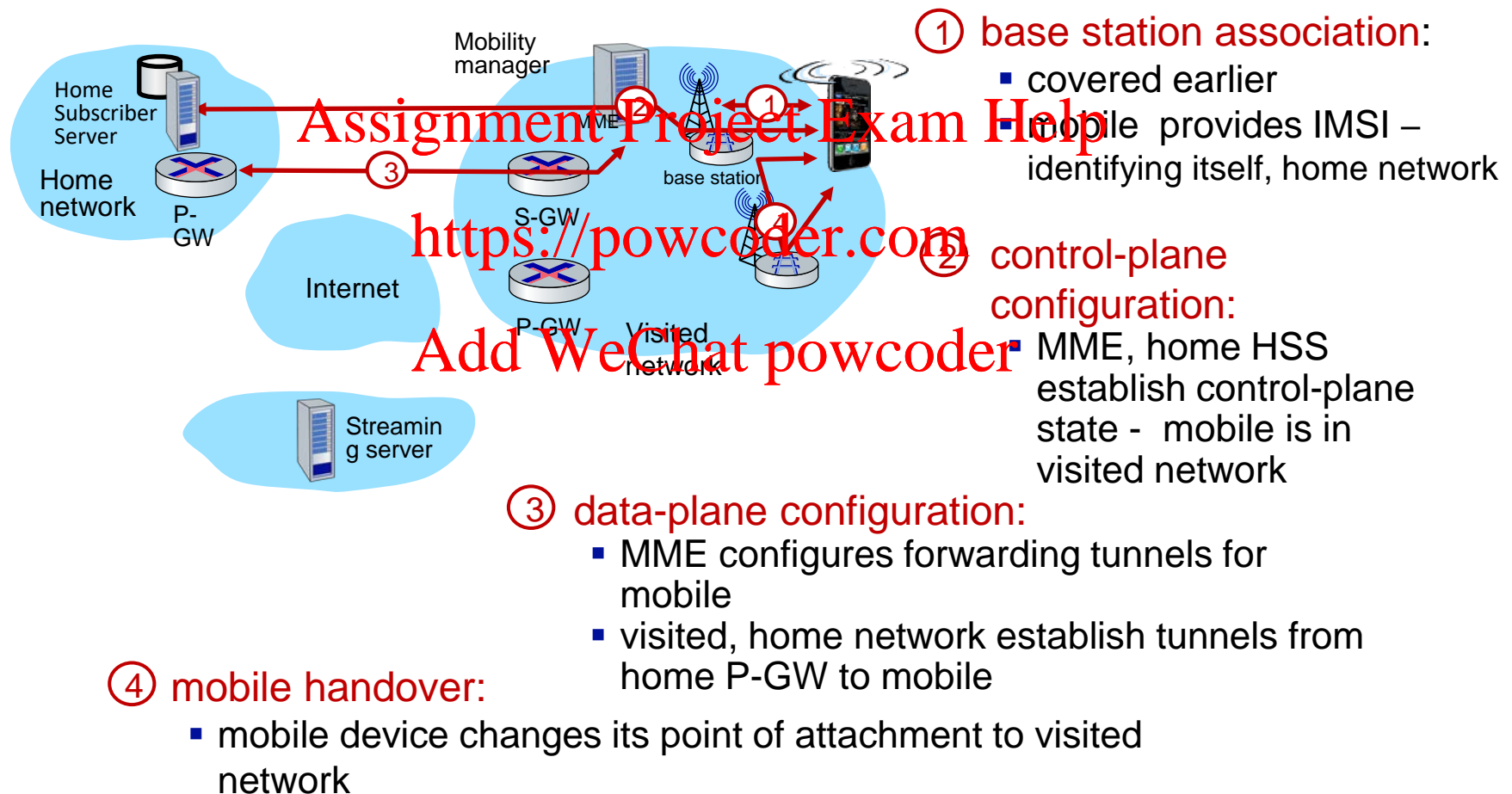
- identify & services info, while in home network and roaming

all IP:

- carriers interconnect with each other, and public internet at exchange points
- legacy 2G, 3G: not all IP, handled otherwise

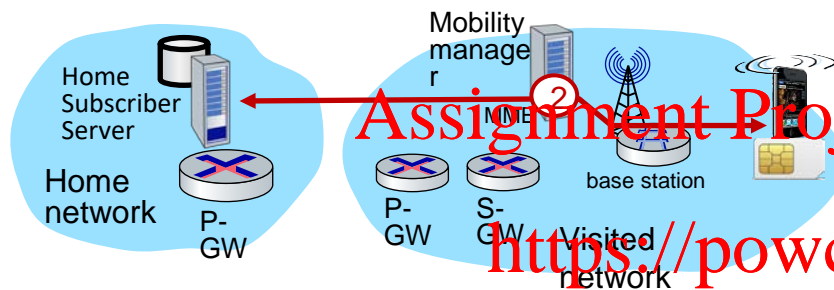


Mobility in 4G networks: major mobility tasks





Configuring LTE control-plane elements



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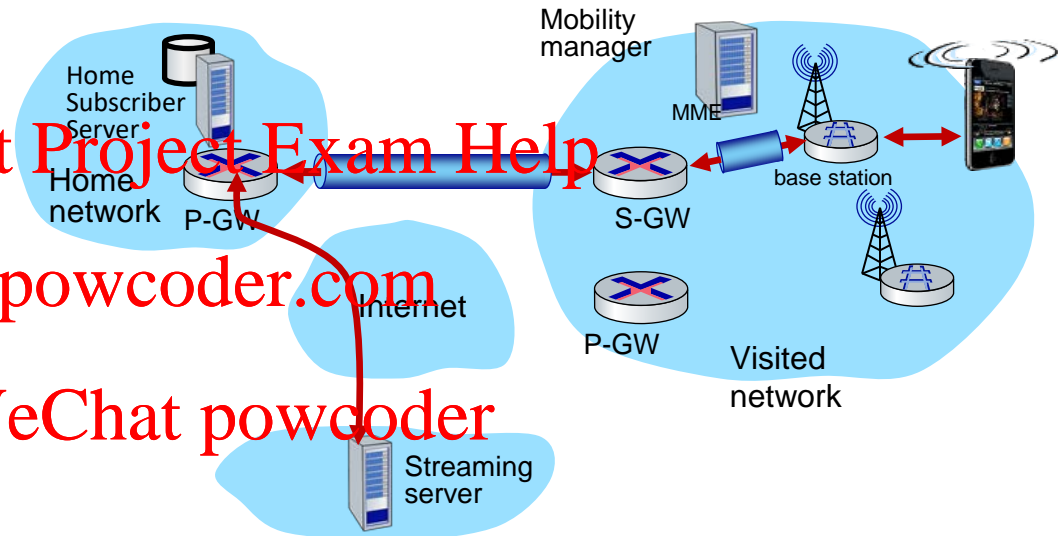
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- › Mobile communicates with local MME via BS control-plane channel
- › MME uses mobile's IMSI info to contact mobile's home HSS
 - retrieve authentication, encryption, network service information
 - home HSS knows mobile now resident in visited network
- › BS, mobile select parameters for BS-mobile data-plane radio channel



Configuring data-plane tunnels for mobile

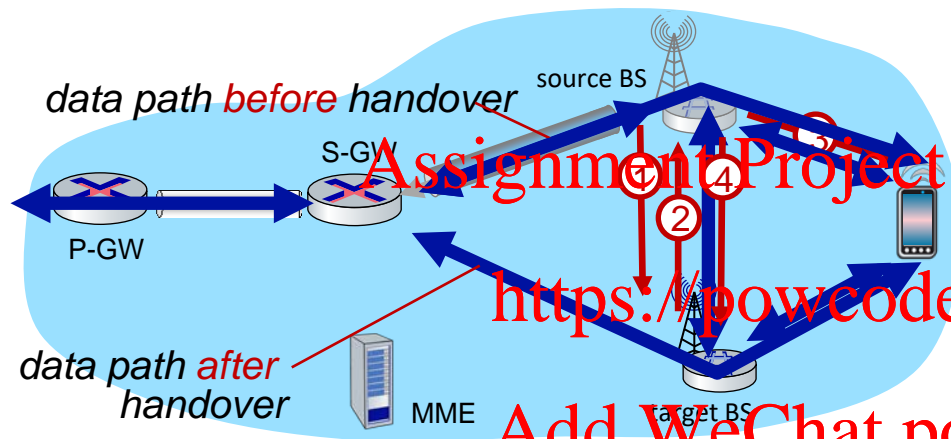
- › **S-GW to BS tunnel:**
when mobile changes
base stations, simply
change endpoint IP
address of tunnel
- › **S-GW to home P-GW
tunnel:** implementation
of indirect routing



- **tunneling via GTP** (GPRS tunneling protocol): mobile's datagram to streaming server encapsulated using GTP inside UDP, inside datagram



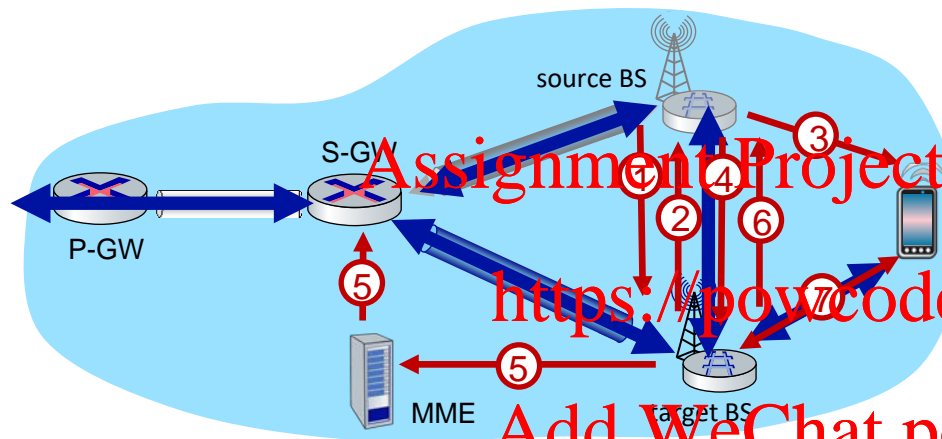
Handover between BSs in same cellular network



- ① current (source) BS selects target BS, sends *Handover Request* message to target BS
- ② target BS pre-allocates radio time slots, responds with HR ACK with info for mobile
- ③ source BS informs mobile of new BS
 - mobile can now send via new BS - handover *looks* complete to mobile
- ④ source BS stops sending datagrams to mobile, instead forwards to new BS (who forwards to mobile over radio channel)



Handover between BSs in same cellular network



target BS informs MME that it is new BS for mobile

MME instructs S-GW to change tunnel endpoint to be (new) target BS

- ⑥ target BS ACKs back to source BS: handover complete, source BS can release resources
- ⑦ mobile's datagrams now flow through new tunnel from target BS to S-GW

- **goal:** 10x increase in peak bitrate, 10x decrease in latency, 100x increase in traffic capacity over 4G
- **5G NR (new radio):**
 - two frequency bands: FR1 (450 MHz–6 GHz) and FR2 (24 GHz–52 GHz): millimeter wave frequencies
 - not backwards-compatible with 4G
 - MIMO: multiple directional antennas
- **millimeter wave frequencies:** much higher data rates, but over shorter distances
 - pico-cells: cells diameters: 10-100 m
 - massive, dense deployment of new base stations required

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QUIC

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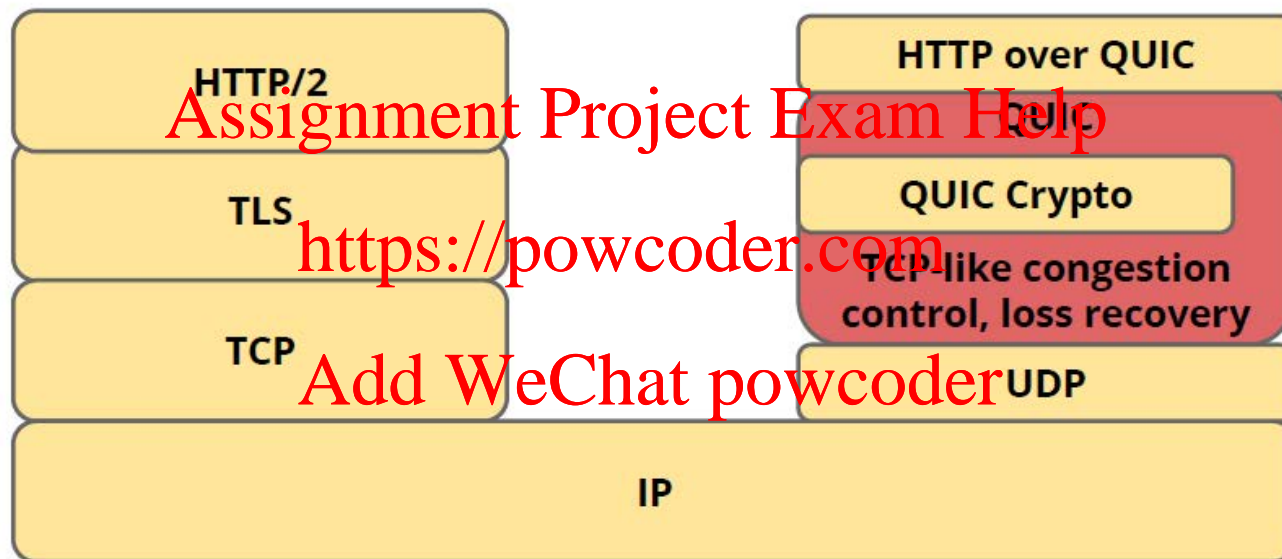
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Quick UDP Internet Connections
draft-ietf-quic-transport

Experimental protocol, deployed at Google starting in 2014

- Between Google services and Chrome
- Improved page load latency, video rebuffer rate
- Successful experiment today
- ~35% of Google's egress traffic (~7% of Internet traffic)
- Akamai deployment in 2016

QUIC Work Group formed in Oct 2016

- Modularize and standardize QUIC in parts
 - HTTP as initial application
-

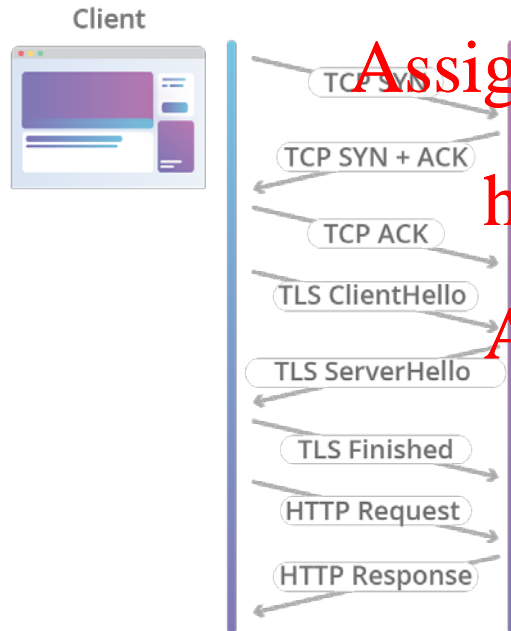


<https://www.ietf.org/proceedings/98/slides/slides-98-edu-sessf-quic-tutorial-00.pdf>

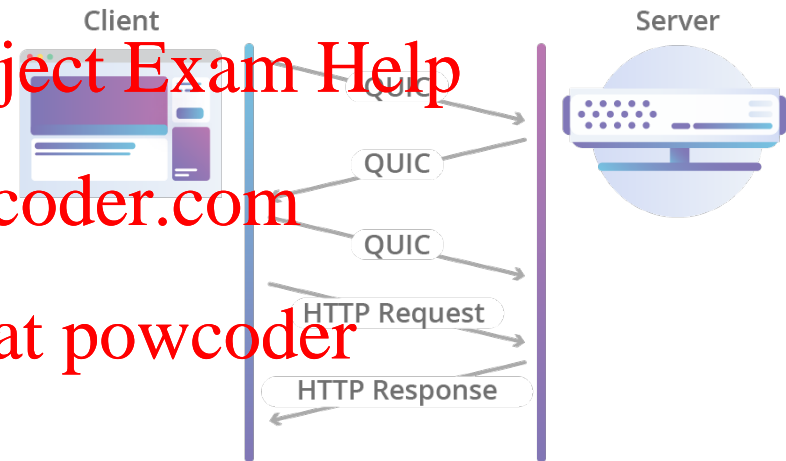


Built-in security (and performance)

HTTP Request Over TCP + TLS



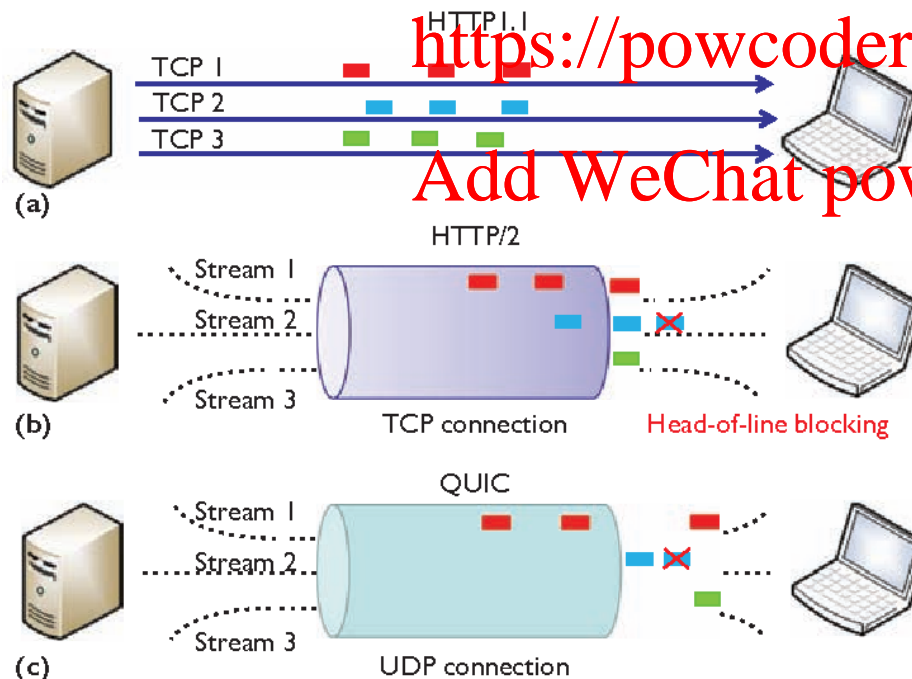
HTTP Request Over QUIC



<https://blog.cloudflare.com/the-road-to-quic/>

Multiple streams of data to reach all the endpoints independently, and hence independent of packet losses involving other streams.

Avoid head-of-line blocking.



UDP does not care about ordering of packet and if packet get lost.

QUIC is solving this issue and it will take care of packet lost in particular stream.

<https://medium.com/faun/http-2-spdy-and-http-3-quic-bae7d9a3d484>



Assignment Project Exam Help QUIC

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QUIC header

Connection ID

Packet
number

Frame
Stream 1
Offset
Length

Frame
Stream 2
Offset
Length

Frame
ACK

Frame
Other
frame
type

Connection ID: identifier that is used to identify a QUIC connection

Review: **Assignment Project Exam Help**

TCP uses (source IP, destination IP, source port number, destination port number) to identify socket.
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UDP uses (destination IP, destination port number) to identify socket.

What happens device is migrated (e.g., from 4G to WiFi)

Connection ID for smooth handover.

Packet number: monotone, non-repeating

Offset + length: Protect the order of the stream

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Packet is lost: Application decides if retransmit the lost frames.

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Loss detection separates from loss recovery

Examples:

MAX_STREAM_DATA: connection level flow control

MAX_STREAM_ID: stream level flow control

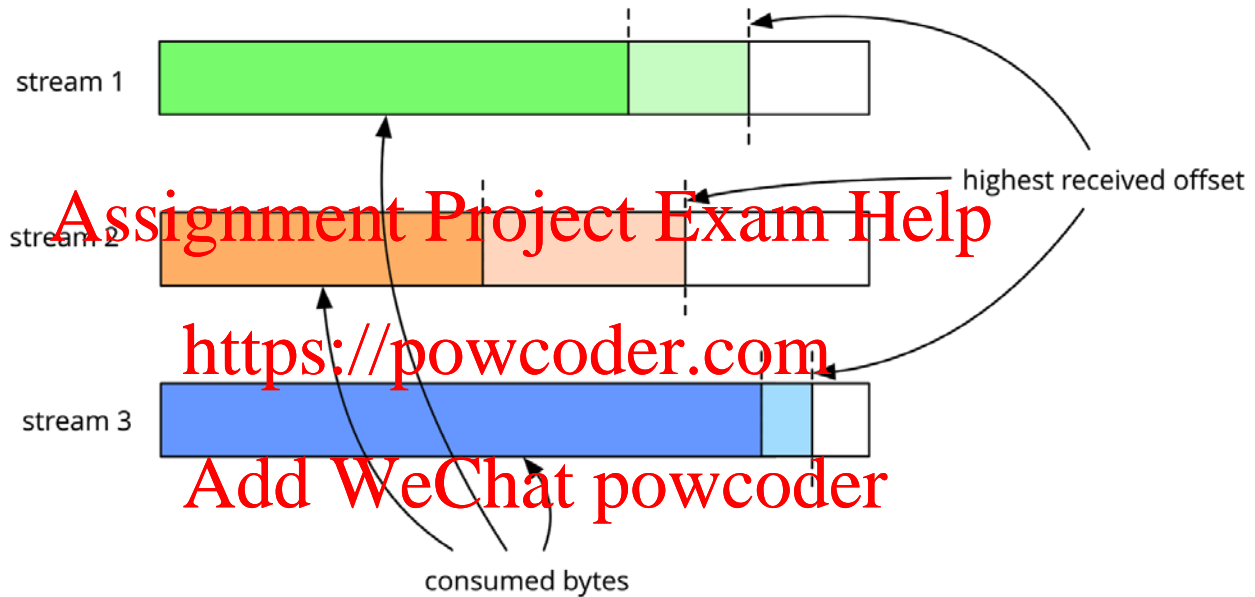
PING/PONG: to verify that their peers are still alive

CONNECTION_CLOSE: the connection is being closed.

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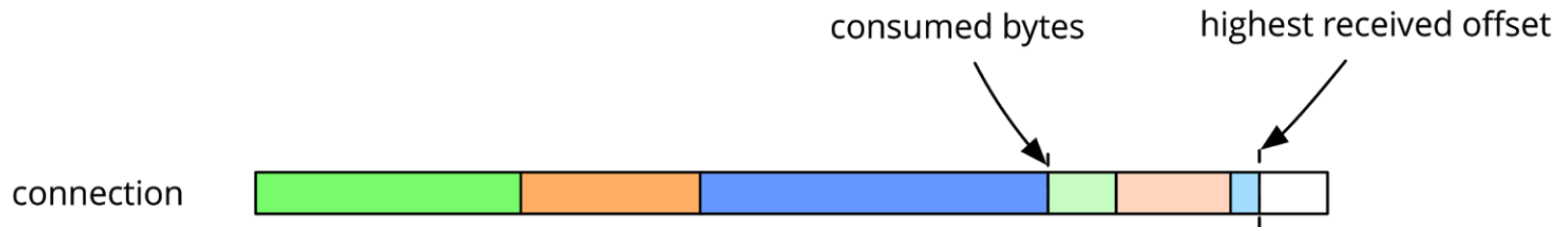
Connection-level and stream-level flow control



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- Similar to TCP but more advanced.
- Monotone packet number: No RTT estimation ambiguity if packet is lost.
- Timeout: $\text{smoothed_rtt} + \max(4 * \text{rttvar}, k\text{Granularity}) + \text{max_ack_delay}$
 - kGranularity: timer granularity, 1ms
 - max_ack_delay: the maximum amount of time by which the receiver intends to delay acknowledgments for packets

- Slow start
- Congestion avoidance (linear increase).
- Recovery period (halve window size).

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- Loss detection:
 - By ACK (similar to 3 duplicate ACKs)
 - By timeout
 - Loss causes recovery
- Persistent Congestion causes “slow start”
 - A sender establishes loss of all in-flight packets sent over a long enough duration, the network is considered to be experiencing persistent congestion.

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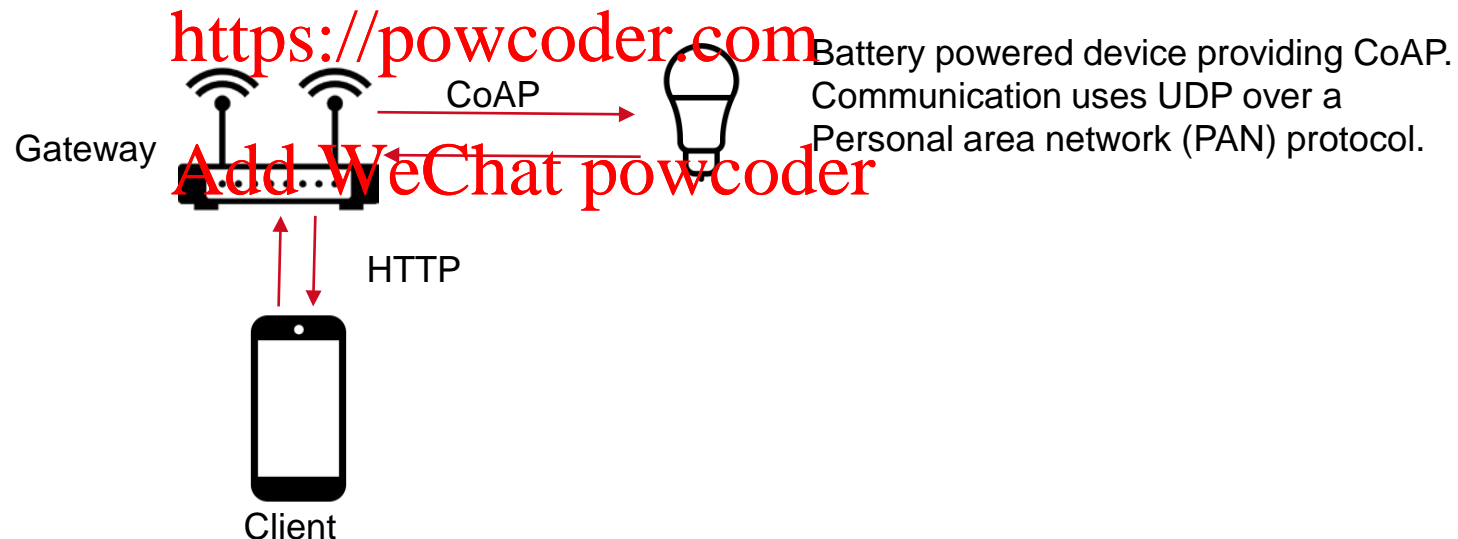


GoAP Assignment Project Exam Help

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Constrained Application Protocol
IETF RFC 7252

- CoAP provides a request/response interaction like HTTP.
- Smaller messages than HTTP and with very low overhead.
- Suitable for IoT devices (sensors and actuators) with limited memory and storage.
 - For example, to obtain a current temperature, send a GET request.
 - To turn on/off or toggle LEDs we use PUT requests.

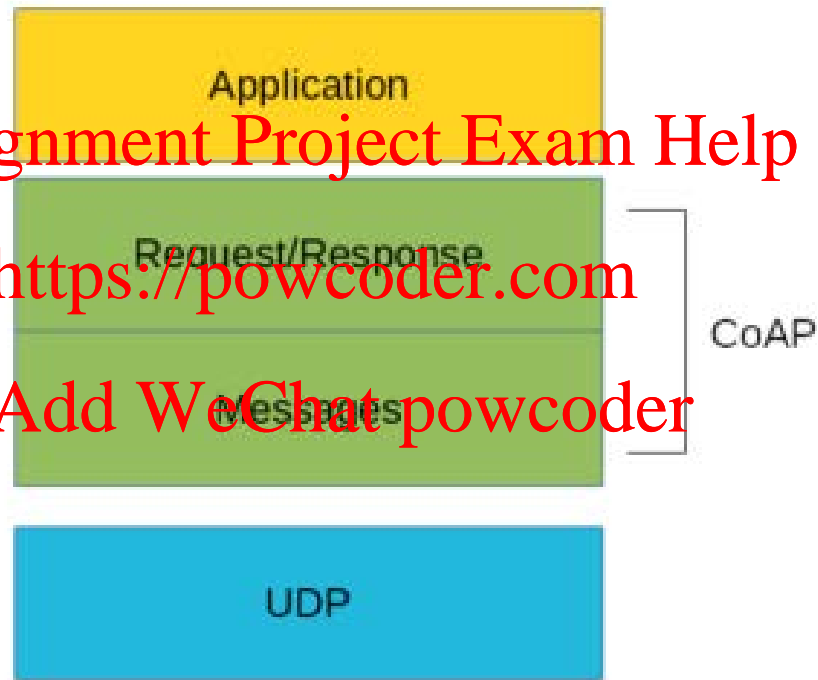




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- › Has a scheme coap://
- › Based on UDP.
- › Has a well known port.
- › GET, PUT, DELETE
- › Confirmable messages (CON) requires an ACK with message ID. The message ID of the ACK matches the message ID of the confirmable message.
- › Non-confirmable (NON) messages do not require an ACK. Less reliable.
- › Responses are matched with requests via the client generated Token.
- › Example:

CoAP Client

----> CON {id} GET /basement/light

<---- ACK {id} 2.05 Content {"status": "on"}

CoAP Server

Confirmable request has an ID

Piggy back response and same ID

› CoAP supports different message types:

- Confirmable (CON)
 - Reliable message, need ACK. CON and ACK have the same ID.
- Non-confirmable
 - No need ACK
- Acknowledgment
- Reset
 - Server has troubles managing the incoming request.

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CoAP Uses Timeouts over UDP

CoAP Client

CoAP Server

----> CON {id} GET /basement/light

lost request

timeout

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-- --> CON {id} GET /basement/light

finally arrives

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<---- ACK {id} Content {"status" : "on"}

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The {id} allows us to detect duplicates.



CoAP Request/Acknowledge/Callback

CoAP Client

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CoAP Server

----> CON {id} PUT /basement/cleanFloor Token: 0x22

Needs time

<---- ACK {id}

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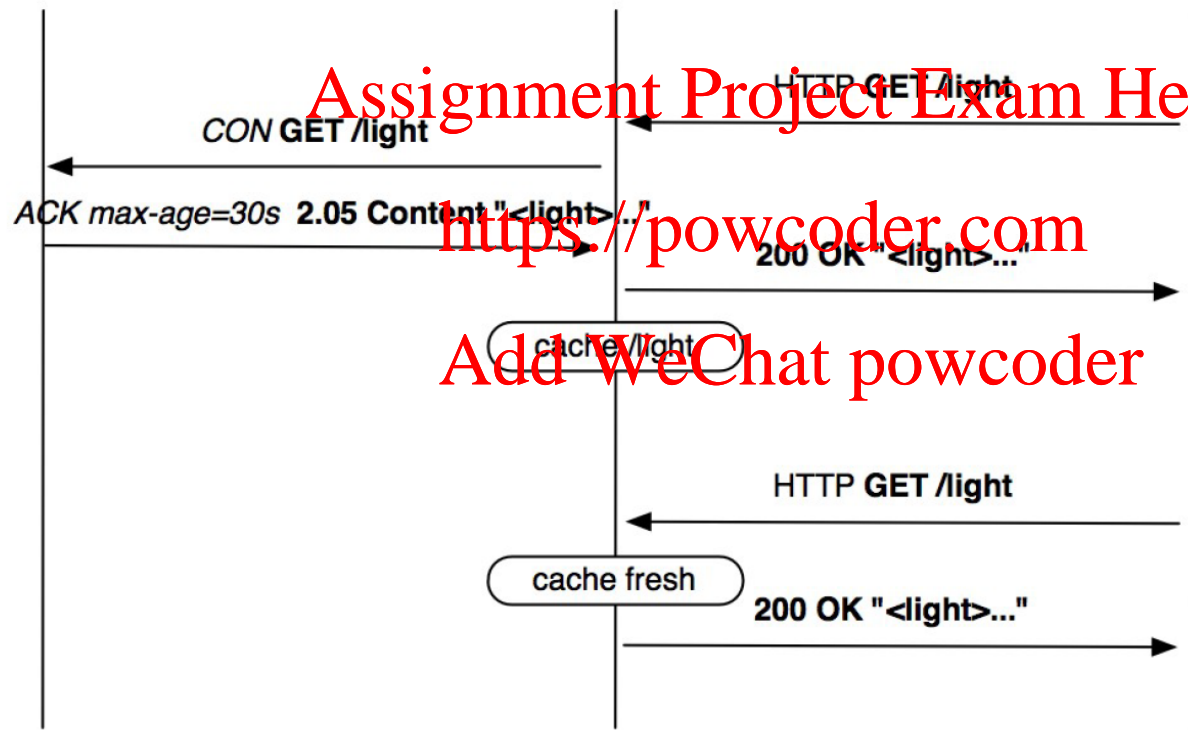
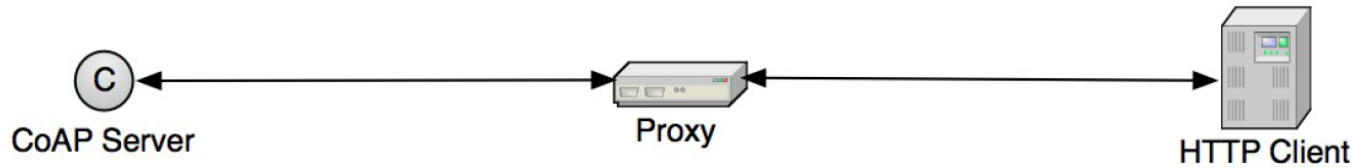
I am on it!

<----- CON {newID} Content /basement/cleanFloor Token: 0x22 Done

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----> ACK {newID}

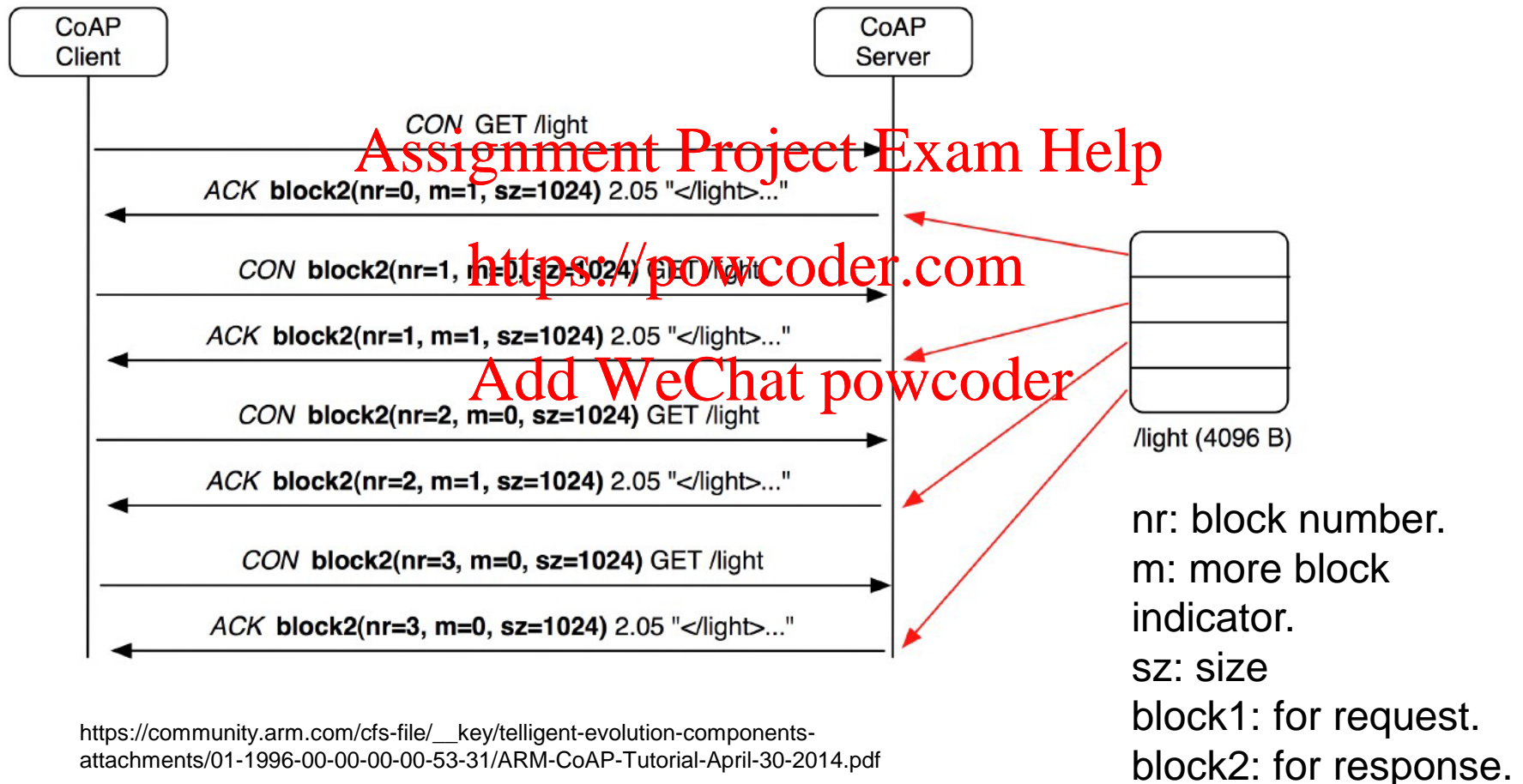
The same token is used to identify this request and the service response.



Max-Age option
indicates cache lifetime



Block-Wise Transfer



The GET includes an “Observe” message to establish a subscription request.
The response includes an “Observe” to say this is a publication.
The value included with Observe response is there for possible re-orderings.

Token matches **Assignment Project Exam Help**

CoAP Client

----> CON GET /basement/light Observe: 0 Token: 0x22

<---- ACK Observe: 27 Token: 0x22

<---- CON Observe: 28 Token: 0x22 {“light” : “off”}

-----> ACK Token: 0x22

CoAP Server

Registration

Current state

<---- CON 200 Observe: 29 Token: 0x22 {“light” : “on”}

-----> ACK Token: 0x22

Notification of stage change

CoAP Client

CoAP Server

----> CON {id} GET /.well-known/core

<---- ACK {id} Content "/sensor/temp /sensor/light"

----> CON {id} GET /sensor/light

<---- ACK {id} Content "dim"

----> CON {id} GET /sensor/temp

<---- ACK {id} Content "72"

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CoAP Header

Offsets	Octet	0								1								2								3														
Octet	Bit	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31							
4	32	VER		Type		Token Length			Request/Response Code							Message ID																								
8	64	Assignment Project Exam Help																																						
12	96																																							
16	128	Options (If Available)																																						
20	160	1	1	1	1	1	1	1	1	https://powcoder.com																					Payload (If Available)									

Version (VER)

Indicates the CoAP version number.

Type (2 bits)

Request

0 : Confirmable : This message expects a corresponding Acknowledgement message.

1 : Non-confirmable : This message does not expect a confirmation message.

Response

2 : Acknowledgement : This message is a response that acknowledge a confirmable message

3 : Reset : This message indicates that it had received a message but could not process it.

Token Length (4 bits)

Indicates the length of the variable-length Token field

Request/Response Code (8 bits)

For example 2.05 Content similar to HTTP 200. 4.00 Bad request

Message ID (16 bits)

Token

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REST (Representational state transfer)

A set of operations to be used for creating Web services

Server provides access to resources and client accesses and modifies the resources: stateless operations.

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GET: read information

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PUT: update information

POST: create information

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DELETE: delete information

Both HTTP and CoAP are based on REST.



MQTT
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Message Queuing Telemetry Transport
ISO/IEC 20922

MQTT: Lightweight, publish-subscribe network protocol that transports messages between devices.

Runs over TCP/IP

For IoT networks

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Two types of entities:

Broker: server receives and forwards messages

Client: device connected to broker

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Purpose: publish-subscribe information.



Information is organized as topics.

Publish

Subscriber subscribes topics.

Publisher has a new item of data to distribute.

Publisher sends to broker.

Broker distributes to clients subscribed to the topic.

Publisher does not need to know number/location of the subscribers.

Subscriber does not need to configure publishers.

Topics are structured in hierarchy, using / as delimiter

e.g., house/room1/maindoor

Wildcard for multiple topics

multiple-level topics must be in the end

house/#

house/room1/maindoor

house/room1/window

house/room2/maindoor

house/room2/window

house/maindoor

+ single-level topics

house/+/maindoor

house/room1/maindoor

house/room2/maindoor

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Fixed header, present in all MQTT Control Packets

Variable header, present in some MQTT Control Packets

Payload, present in some MQTT Control Packets

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Bit	7	6	5	4	3	2	1	0
byte 1	MQTT Control Packet type				Flags specific to each MQTT Control Packet type			
byte 2...	Remaining Length							

Fixed header

Type:

CONNECT: connection request

CONNACK: connection ACK

PUBLISH: publish message

SUBSCRIBE: subscribe request

SUBACK: subscribe ACK

UNSUBSCRIBE: unsubscribe request

UNSUBSCRIBEACK: unsubscribe request

others

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Flag: mostly reserved.

For PUBLISH packets, it contains duplicate transmission flag and QoS level.

Remaining length:

Length of the packet (variable header + payload)

Variable Header

Data loss can still occur if TCP connection is down and messages in transit is lost.

QoS 0: At most once - the message is sent only once and the client and broker take no additional steps to acknowledge delivery (fire and forget).

e.g., temperature sensor

QoS 1: At least once - the message is re-tried by the sender multiple times until acknowledgement is received (acknowledged delivery).

e.g., door sensor (status of door)

QoS 2: Exactly once - the sender and receiver engage in a two-level handshake to ensure only one copy of the message is received (assured delivery).

e.g., smoke sensor (alarm signal)

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QoS 0: At most once - the message is sent only once and the client and broker take no additional steps to acknowledge delivery (fire and forget).

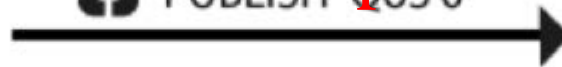
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MQTT Client



MQTT Broker

<https://www.hivemq.com/blog/mqtt-essentials-part-6-mqtt-quality-of-service-levels/>

QoS 1: At least once - the message is re-tried by the sender multiple times until acknowledgement is received (acknowledged delivery).

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MQTT Client



MQTT Broker

<https://www.hivemq.com/blog/mqtt-essentials-part-6-mqtt-quality-of-service-levels/>

QoS 2: Exactly once - the sender and receiver engage in a two-level handshake to ensure only one copy of the message is received.

PUBREC: publication received

PUBREL: publication released

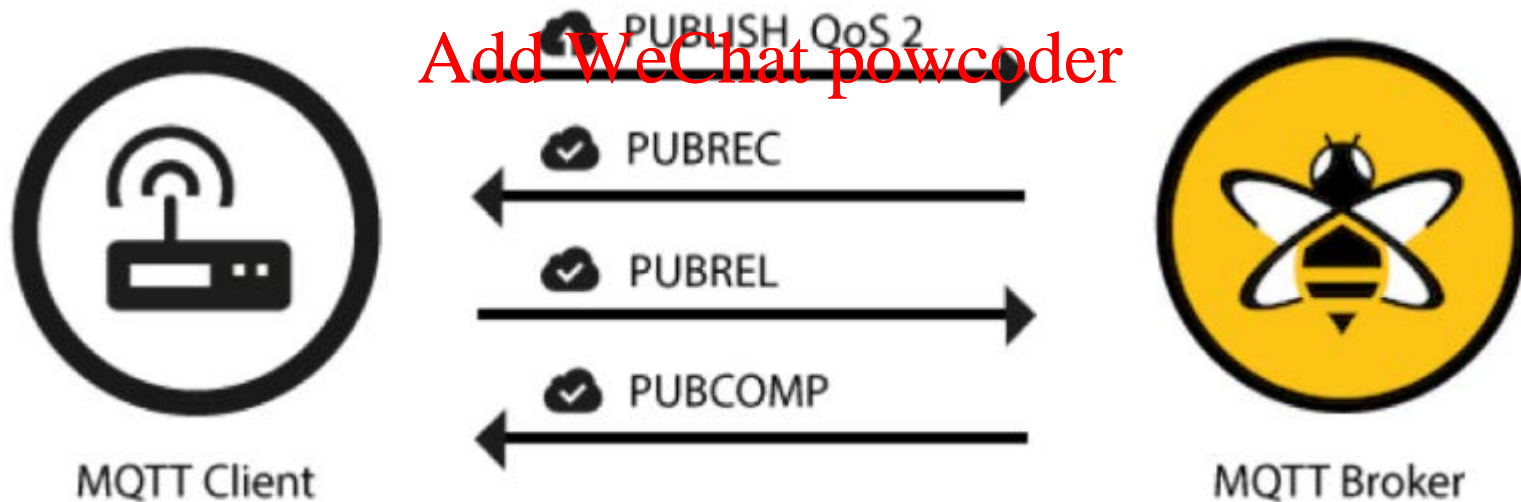
PUBCOM: publication completed

(Other MQTT packet types.)

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<https://www.hivemq.com/blog/mqtt-essentials-part-6-mqtt-quality-of-service-levels/>



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MPTCP

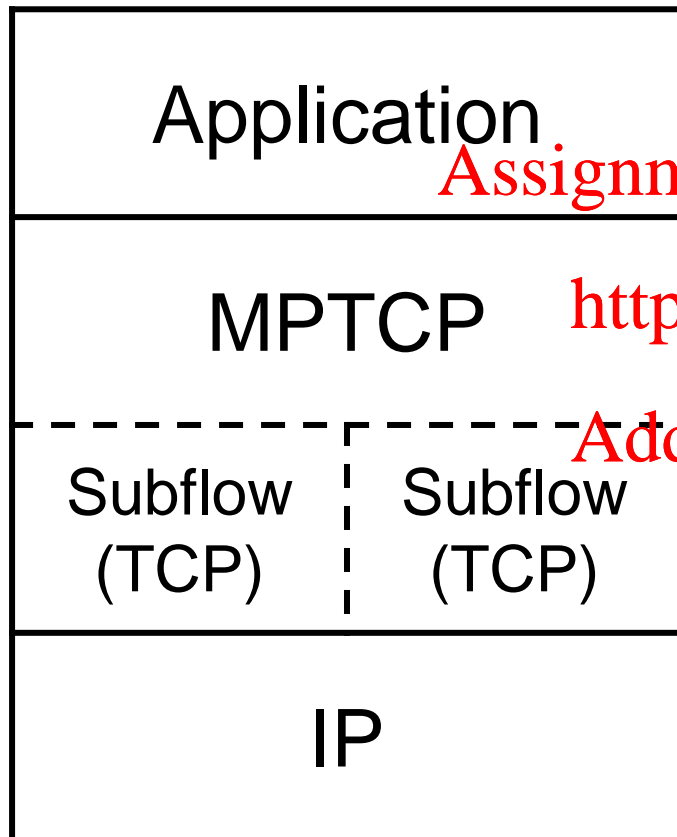
<https://powcoder.com>

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Multi-path TCP

IETF RFC 6824 (older version)

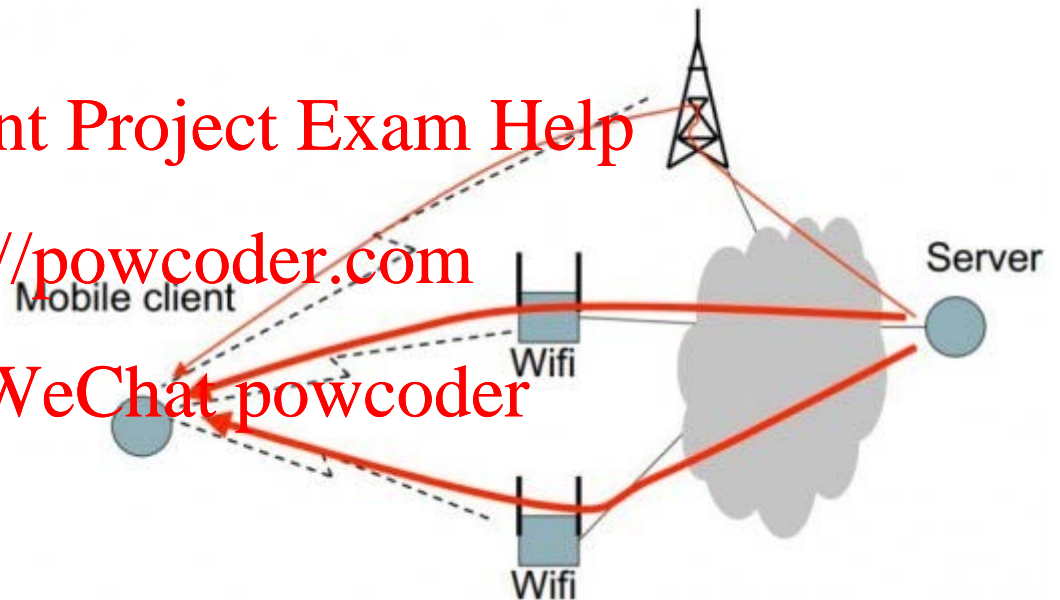
IETF RFC 8684 (latest version)



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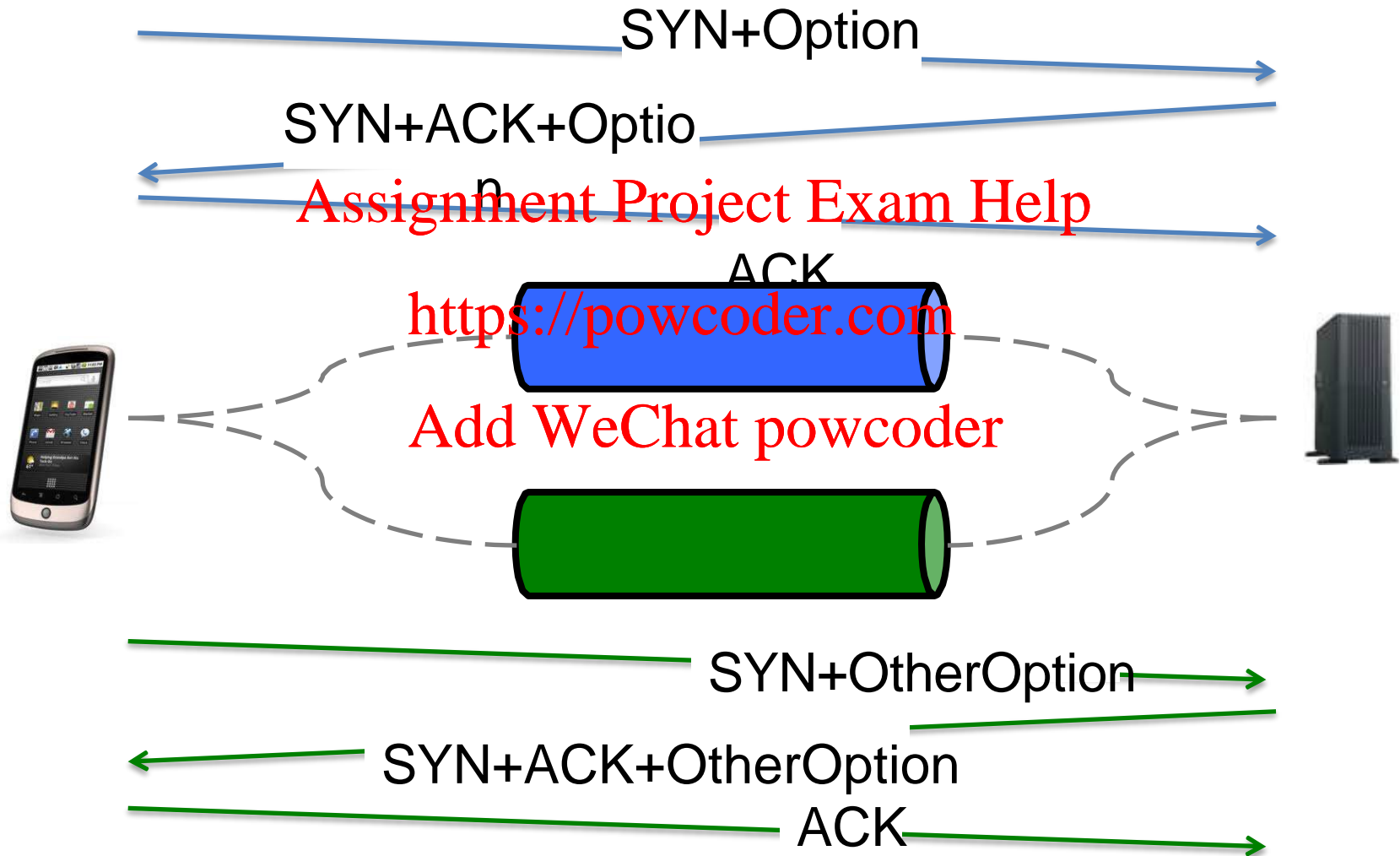
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<https://pocketnow.com/multipath-tcp>

Different IP addresses for different subflows.





MyToken=5678

YourToken=6543

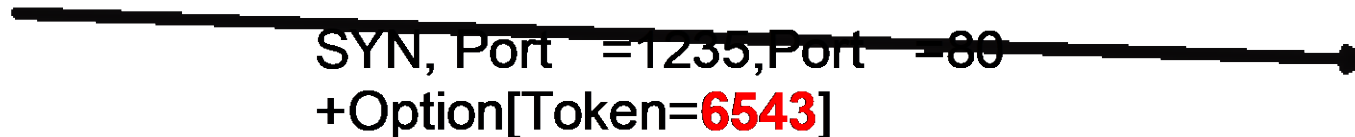
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MyToken=6543

YourToken=5678





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SYN
MP_CAPABLE

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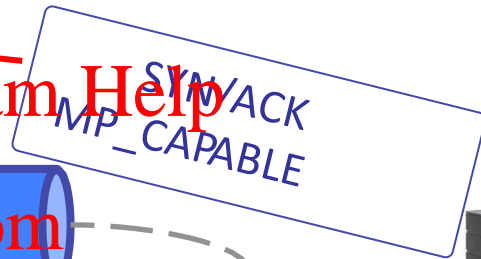




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SYN
JOIN





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SYN/ACK
JOIN





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- MPTCP uses a Data Sequence Number (DSN) to number all data sent over the MPTCP connection.

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- Each subflow has its own sequence number space.

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MPTCP sequence numbers

DSN	19600	19601	19602	19603	19604
subflow1	1400	1401		1402	
subflow2			7001		7002

Host A

DSN	19600	19601	19602	19603	19604
subflow1	1400	1401		1402	
subflow2			7001		7002

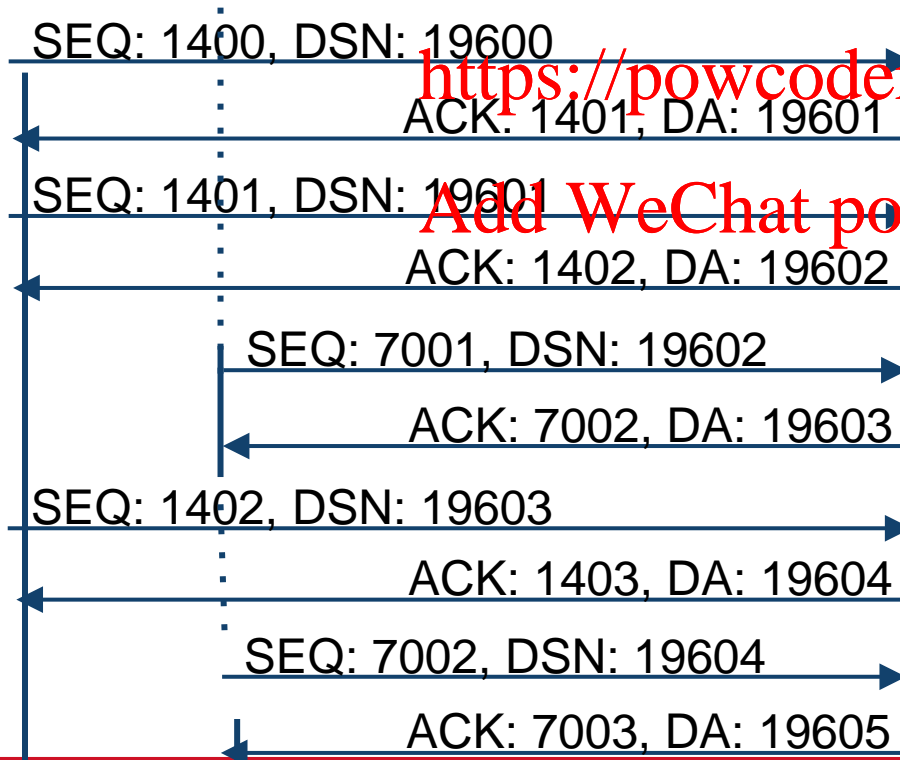
Host B

Address A1

Address A2

Address B1

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If one subflow fails, the other subflow can be used for retransmission.



MPTCP sequence numbers

source port #		dest port #	
sequence number			
acknowledgement number			
head len	not used	Code	receive window
checksum		Urg data pointer	
options (variable length)			
application data (variable length)			

Subflow source port #		Subflow dest port #	
Subflow sequence number			
Subflow acknowledgement number			
head len	not used	Code	receive window
checksum		Urg data pointer	
Data sequence number Data acknowledgement number Other options			
application data (variable length)			



Receive Window: The receive window in the TCP header indicates the amount of free buffer space for the **whole data-level connection** (as opposed to the amount of space for this subflow) that is available at the receiver.

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Can we run regular TCP congestion control on each subflow?

No. Not fair.

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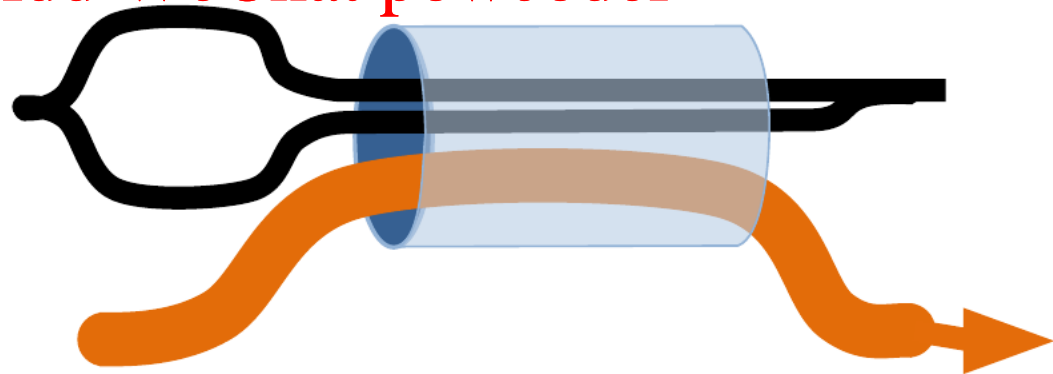
MPTCP should take as much capacity as TCP at a bottleneck link, no matter how many subflows MPTCP is using.

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**A MPTCP with
two subflows**

A regular TCP



For each ACK received on subflow i , increase $cwnd_i$ by

$$\min \left(\frac{\alpha \cdot bytes_acked \cdot MSS_i}{cwnd_total}, \frac{bytes_acked \cdot MSS_i}{cwnd_i} \right)$$

$$\alpha = \frac{max_i (cwnd_i / RTT_i^2)}{(\sum_i cwnd_i / RTT_i)^2}$$

For each packet loss, halve the window size $cwnd_i$

α : aggressiveness of the multipath flow

bytes_acked: number of bytes newly acknowledged

cwnd_total: sum of the congestion windows of all subflows

(need to use ssthresh_ i instead of $cwnd_i$ if subflow is in fast retransmission)

RTT_ i : round-trip time (smoothed round-trip time estimate used by TCP) of subflow i .

MSS_ i : maximum segment size on subflow i

cwnd_ i : congestion windows of subflow i

More details: see RFC 6356