

COMP2610 / COMP6261 Information Theory

Lecture 1: Introduction

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The Australian National University



Australian  
National  
University

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July 23, 2018

What is the world made of?

- Ancient times: **Matter** — atoms

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# What is the world made of?

- Ancient times: **Matter** — atoms
- 20th Century: **Energy** — mass=energy

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Information underpins

- **Physics** (energy needs of computing limited by cost of *erasing* information)

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- **Engineering** (your telephone for example)
- **Computing** (What is that computers do? *They process information*)

# References for the curious ... for interest only!

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## What Is Information? (1)

According to a dictionary definition, **information** can mean

1 Facts provided or learned about something or someone:  
*a vital piece of information.*

2 What is conveyed or represented by a particular arrangement or sequence of things:  
*genetically transmitted information.*

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Important!

- Usually unhelpful to ask “What is?” questions! — “essentialism”.



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Important!

- Usually unhelpful to ask “What is?” questions! — “essentialism”.
- Better to ask what happens to it? “Grothendieck’s Relative method”

## What is Information? (2)

In this course: information in the context of *communication* (includes information storage).

- Explicitly include uncertainty — indeed, rather than deriving information from probability theory, one can start with information and derive probability theory from that!

- Claude Shannon (1948): “Amount of unexpected data a message contains”

- ▶ A theory of information **transmission**

## What is Information? (3)

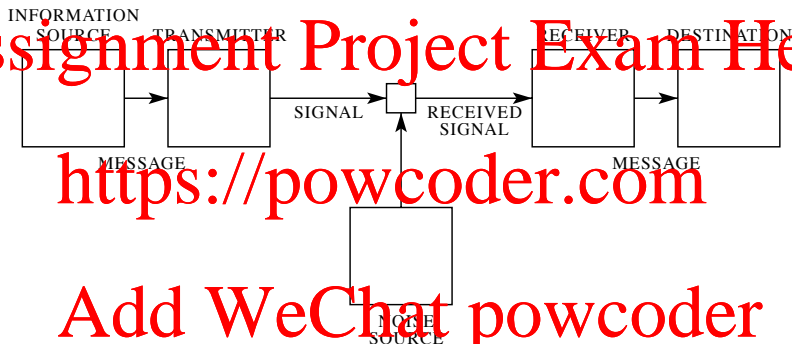


Fig. 1 — Schematic diagram of a general communication system.

From Claude Shannon, A Mathematical Theory of **Communication**, *Bell System Technical Journal* (1948).

## What Is Information? (4)

Information is a message that is *uncertain* to receivers:

- If we receive something that we already knew with absolute certainty, then it is non-informative

- Uncertainty is crucial in measuring information content

- We will deal with uncertainty using probability theory

## What Is Information? (4)

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- If we receive something that we already knew with absolute certainty, then it is non-informative

- Uncertainty is crucial in measuring information content

- We will deal with uncertainty using probability theory

### Information Theory

Information theory is the study of the fundamental *limits* and *potential* of the **representation** and **transmission** of information.

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**Examples**  
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## Example 1: What Number Am I Thinking of?

- I have in mind a number that is between 1 and 20
- You are allowed to ask me one question at a time
- I can only answer yes/no
- Your goal is to figure out the number **as quickly as possible**
- What strategy would you follow?

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## Example 1: What Number Am I Thinking of?

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Your strategy + my answers = a code for each number

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Some variants:

- What if you knew I never chose prime numbers?
- What if you knew I was twice as likely to pick numbers more than 10?
- What if you knew I only ever chose one of 7 or 13?



## Example 2: How Much Is Information Worth?

Simplified Version of "Deal or No Deal"

\$1000 Hidden in one of 16 cases.

- All equally likely to contain the prize

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## Example 2: How Much Is Information Worth?

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\$1000 Hidden in one of 16 cases.

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How much would you pay to know:

- 1 Exactly which case contains the money?
- 2 Whether the case holding the money is numbered less than 8?
- 3 ... is less than 12?
- 4 Which range out of 0–3, 4–7, 8–11, or 12–15 the money case is in?

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**Key Question:**

- Can we use these ideas to *quantify* information?

## Example 3: Redundancy and Compression

Cn y rd ths sntnc wtht ny vwls?

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## Example 3: Redundancy and Compression

Cn y rd ths sntnc wtht ny vwls?

Can you read this sentence without any vowels?

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Written English (and other languages) has much **redundancy**:

- Approximately 1 bit of information per letter

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- Naïvely there should be almost 5 bits per letter

(For the moment think of “bit” as “number of yes/no questions”)

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## Example 3: Redundancy and Compression

Cn y rd ths sntnc wtht ny vwls?

Can you read this sentence without any vowels?

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- Approximately 1 bit of information per letter

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(For the moment think of “bit” as “number of yes/no questions”)

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### Key Question:

- How much redundancy can we *safely* remove?

(Note: “rd” could be “read”, “red”, “road”, etc.)

## Example 4: Error Correction

Hmauns hvae the ailtliby to cerroct for eorrrs in txet and iegmas.

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### Key Question:

- How much noise is it possible to correct for and how?

1 Information and the Nature of the Universe

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3 Course Overview

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4 Logistics and Expectations

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5 What's Next



# A Summary of the History of Information Theory

1920s : Nyquist & Hartley at Bell Labs

1940 : Turing and Goble at Bletchley Park (WWII)

1942 : Hedy Lamarr and George Antheil

1948 : Claude Shannon: "A Mathematical Theory of Communication"

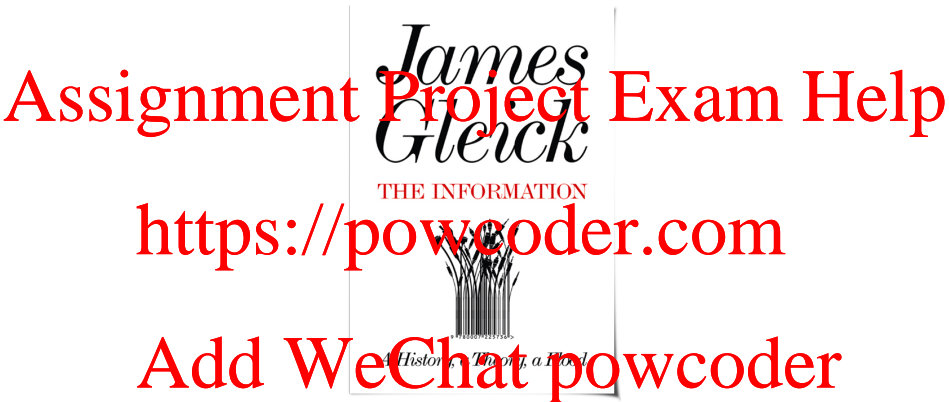
1951 : Huffman Coding

1958 : Peter Elias: "Two Famous Papers"

1970 : "Coding is Dead"

1970- : Revival with advent of digital computing

CDs, DVDs, MP3s, Digital TV, Mobiles, Internet, Deep-space comms (Voyager), ...



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&

*Information Theory and the Digital Age*

by Aftab, Cheung, Kim, Thakkar, and Yeddapanudi.

<http://web.mit.edu/6.933/www/Fall2001/Shannon2.pdf>

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## Brief Overview of Course

- How can we quantify information?
  - ▶ Basic Definitions and Key Concepts
  - ▶ Probability, Entropy & Information

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- How much noise can we correct and how?
  - ▶ Noisy-Channel Coding
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  - ▶ Kolmogorov Complexity
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- What is randomness? [Marcus Hutter]
  - ▶ Kolmogorov Complexity
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We will study the fundamental limits and potential of the *representation* and *transmission* of information

- Mathematical Foundations
- Probabilistic Inference
- Coding and Compression
- Communication
- Kolmogorov Complexity (Guest Lecture)

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# Learning Outcomes

From <https://wattlecourses.anu.edu.au/course/view.php?id=25550>:

- 1 Understand and apply **fundamental concepts** in information theory such as probability, entropy, information content and their interrelationships
- 2 Understand the principles of **data compression**
- 3 Compute **entropy** and **mutual information** of random variables
- 4 Implement and analyse basic **coding** and **compression algorithms**
- 5 Understand the relationship of information theoretical principles and **Bayesian inference** in data modeling and pattern recognition
- 6 Understand some key **theorems** and **inequalities** that quantify essential limitations on compression, communication and inference
- 7 Know the basic concepts regarding **communications over noisy channels**

## What Tools Will We Use?

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- Elementary probability theory

- ▶ “What’s the probability of rolling an odd number using a fair die?”

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- ▶ “If  $x = (1, 1, 0)$  and  $y = (-2, 0, 1)$  what is  $x \cdot y$  and  $3x + 2y$ ?”

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- ▶ “Do you know your for loops from your while loops?”

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  - ▶ <http://www.khanacademy.org/math/probability>
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- Basic programming skills
  - ▶ “Do you know your for loops from your while loops?”

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# Outline

- 1 Information and the Nature of the Universe

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- 3 Course Overview

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- 5 What's Next



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# Course Overview

See Wattle site (authoritative)

- Lectures:  $23 \times 1$  hour (two lectures per week); one public holiday
- By me, except one guest lecture by Marcus Hutter (Aside: about me).

- Tutorials: Starting week 2; schedule up shortly.

- Assignments: 3 (0% (optional), 20%, 20% each) (0% explained below)

- Final Exam (60%) Hurdle assessment. You have to pass the exam to pass the course. (New this year!)

- **Late Submission Policy: late submissions get zero marks — 100% penalty.**

## Expectations

See the newly published expectations document:

<https://wattlecourses.anu.edu.au/pluginfile.php/1760092/course/section/423322/Learning%20expectations.pdf>

Key points:

- You are expected to have familiarity and ability with elementary probability theory. “Assignment 0” is designed to help you check whether your background is sufficient.

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- If you do come to lectures, please come on time, pay attention, and put your telephone on silent. (Basic politeness)
- Learning mathematical material is hard and cannot be delegated or outsourced. “There is no royal road to geometry.” Don’t kid yourself!



## Tutorials

- Problem sets of exercises will be provided for each tutorial
- These will review material covered in previous lectures

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- You cannot learn maths by watching someone else do it. Just like riding a bike; cooking; programming; piano; everything!

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- You cannot learn maths by watching someone else do it. Just like riding a bike; cooking; programming; piano; everything!
- You will get far more from a tutorial by trying the questions; failing; and *then* seeing what you should have done

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## Tutorials

- Problem sets of exercises will be provided for each tutorial

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- This is not merely my opinion. There is extensive evidence!

Anders Ericsson and Robert Pool, *Peak: Secrets from the New Science of Expertise*, Houghton Mifflin Harcourt, 2016.

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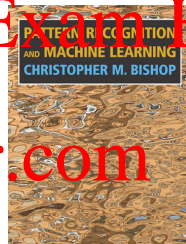
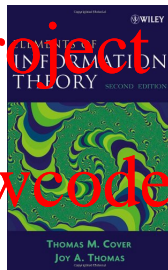
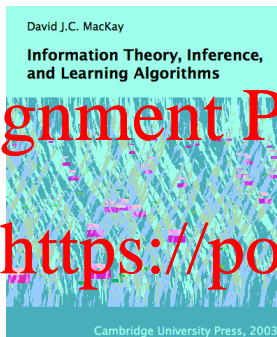
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- In a nutshell: The secret of success is *deliberate practice*.

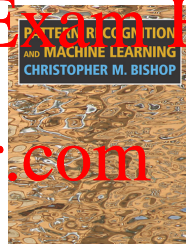
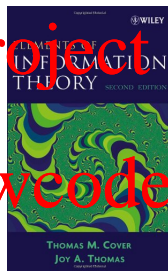
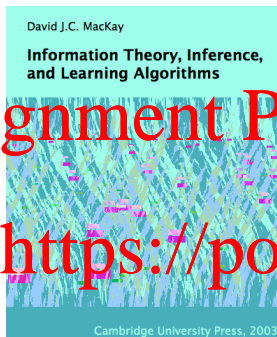


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Mackay (ITILA, 2006) available online:  
<http://www.inference.phy.cam.ac.uk/mackay/itila>

- ▶ Note copyright rules: e.g. copying the whole book onto paper is not permitted.
- ▶ We will follow a different chapter order to that given in the book



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For an alternative take – David MacKay's Lectures:

[http://www.inference.phy.cam.ac.uk/itprnn\\_lectures/](http://www.inference.phy.cam.ac.uk/itprnn_lectures/)



## Consultation & Other Issues

### Consultation:

Best way to contact the course lecturers and tutors is via email  
**Assignment Project Exam Help**  
**comp2610@anu.edu.au**

- If you **really** need to meet in person, send an email request first

**<https://powcoder.com>**

- Email response times may vary but consider 1 day as a fast reply and up to three days as a normal response time

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- **Technical questions:** encouraged to post on Wattle's public forum
- Request for clarifying assignment: **must be** posted on Wattle

## What's Next?

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- If you are not comfortable about your probability and algebra skills, start today on improving them

<https://powcoder.com>

- Get a copy of the text and start purusing it

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- Sign up to a tutorial (will open tomorrow, time announced tomorrow)