

COMP2610/6261 - Information Theory

Lecture 17: Noisy Channels

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Research School of Computer Science



Australian
National
University

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2 October, 2018

- 1 Communication over Noisy Channels: Big Picture

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- 2 Noisy Channels: Formally

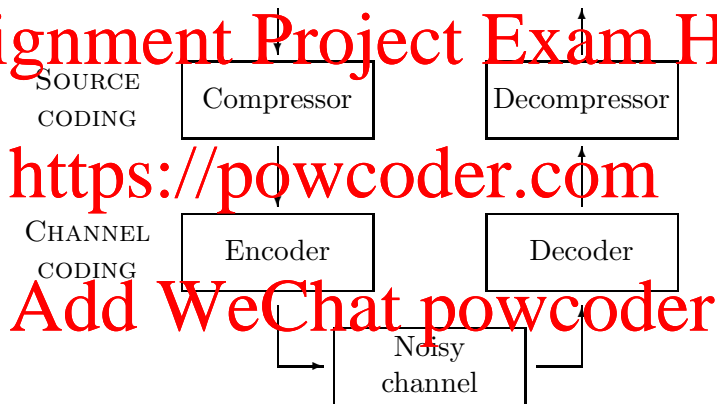
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- 3 Examples of Channels

- 4 Probability of Error

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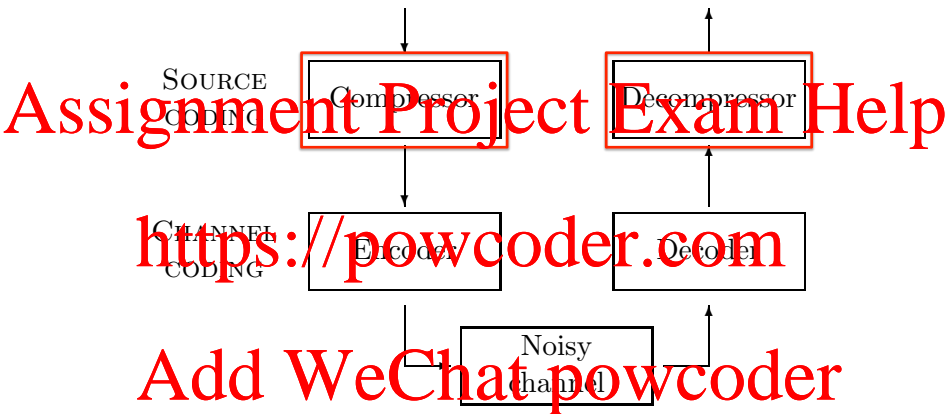
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The Big Picture

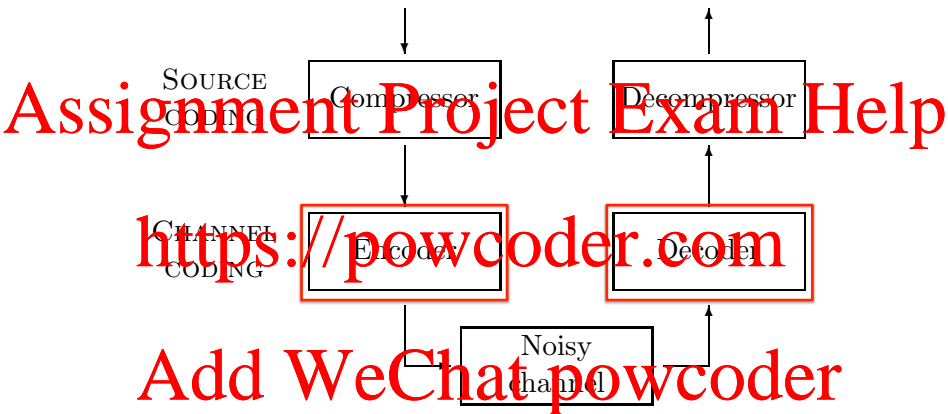


Concept: Expected code length

Theorem: Source coding theorem

Algorithms: { Huffman, Arithmetic } codes

The Big Picture



Concept: Channel capacity

Theorem: Channel coding theorem

Algorithms: Repetition codes, Hamming codes

Communication over Noisy Channels

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A channel is some medium for transmitting messages

A **noisy channel** is a channel that potentially introduces **errors** in the sender's message

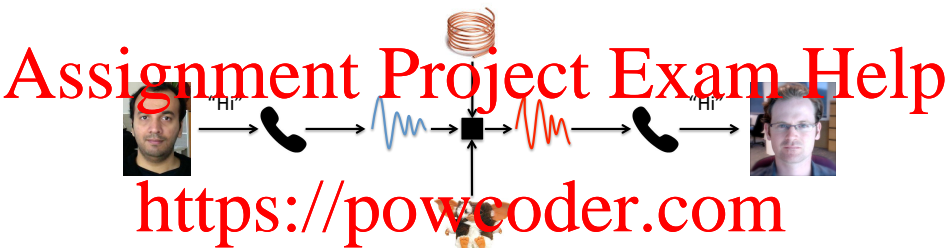
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The Problem of Communication

"The fundamental problem of communication is that of reproducing at one point either exactly or approximately a message selected at another point."
(Claude Shannon, 1948)

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Example: Telephone Network



Source : Aditya

Encoder : Telephone handset

Channel : Analogue telephone line

Decoder : Telephone handset

Destination : Mark

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Key Questions

How do we model noisy communication abstractly?

What are the theoretical limits of noise correction?

What are the practical approaches to noise correction?

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Encoder/Decoder Pairs

Suppose we have some set $S = \{1, 2, \dots, S\}$ of possible messages

- e.g. codewords from Huffman coding on some ensemble

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- Sender and receiver agree on what these are

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Encoder/Decoder Pairs

Suppose we have some set $S = \{1, 2, \dots, S\}$ of possible messages

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When communicating over a channel, the sender must **encode** messages into some **input alphabet** \mathcal{X}

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Encoder/Decoder Pairs

Suppose we have some set $S = \{1, 2, \dots, S\}$ of possible messages

- e.g. codewords from Huffman coding on some ensemble

- Sender and receiver agree on what these are

When communicating over a channel, the sender must **encode** messages into some **input alphabet** \mathcal{X}

The receiver then receives some (possibly corrupted) element from an **output alphabet** \mathcal{Y}

- Simple case: $\mathcal{X} = \mathcal{Y} = \{0, 1\}$
- The bit the sender transmits may not be what the receiver sees

Encoder/Decoder Pairs

Formally, the sender encodes messages via

$$\text{enc}: \mathcal{S} \rightarrow \mathcal{X}^N$$

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Encoder/Decoder Pairs

Formally, the sender encodes messages via

$$\text{enc}: \mathcal{S} \rightarrow \mathcal{X}^N$$

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The receiver then decodes messages via

$$\text{dec}: \mathcal{Y}^N \rightarrow \mathcal{S}$$

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Encoder/Decoder Pairs

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$$\text{dec}: \mathcal{Y}^N \rightarrow \mathcal{S}$$

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Isn't the compressor already "encoding" a message?

- Yes, but we might want to add something for noise tolerance

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Might have $\mathcal{X} \neq \mathcal{Y}$

- e.g. if we allow a special "erased" symbol

$N > 1$ can be thought of as multiple uses of a channel

- e.g. use a bitstring of length 4 to represent messages

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A discrete channel Q will:

- accept an **input** from \mathcal{X}
- produce an **output** from \mathcal{Y}

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A **discrete channel** Q will:

- accept an **input** from \mathcal{X}
- produce an **output** from \mathcal{Y}

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There is a **probability** of observing various outputs, given an input

- This represents some inherent noise
- Noise could depend on the input

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Channels: Formally

A **discrete channel** Q consists of:

- an *input alphabet* $\mathcal{X} = \{a_1, \dots, a_I\}$
- an *output alphabet* $\mathcal{Y} = \{b_1, \dots, b_J\}$
- *transition probabilities* $P(y|x)$

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Channels: Formally

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The channel Q can be expressed as a matrix

$$Q_{j,i} = P(y = b_j | x = a_i)$$

This represents the probability of **observing** b_j given that we **transmit** a_i

Channels: Example

Example: A channel Q with inputs $\mathcal{X} = \{a_1, a_2, a_3\}$, outputs $\mathcal{Y} = \{b_1, b_2\}$, and transition probabilities expressed by the matrix

$$Q = \begin{bmatrix} 0.8 & 0.5 & 0.2 \\ 0.2 & 0.5 & 0.8 \end{bmatrix}$$

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So $P(b_1|a_1) = 0.8 = P(b_2|a_3)$ and $P(b_1|a_2) = P(b_2|a_2) = 0.5$.

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Channels: Example

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So $P(b_1|a_1) = 0.8 = P(b_2|a_3)$ and $P(b_1|a_2) = P(b_2|a_2) = 0.5$.

We arrange the inputs along the columns, and outputs along the rows

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Actual details of alphabet are abstracted away

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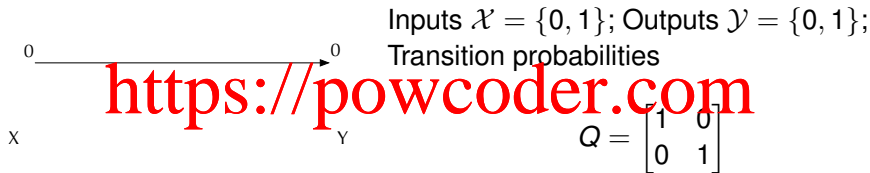
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The Binary Noiseless Channel

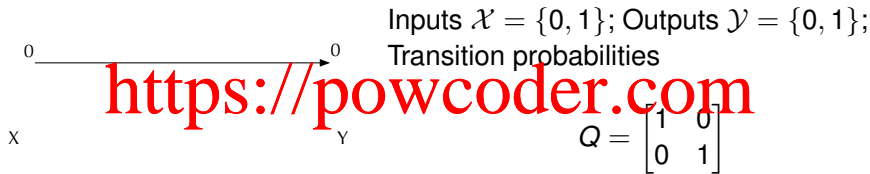
One of the simplest channels is the **Binary Noiseless Channel**. The received symbol is always equal to the transmitted symbol – there is no probability of error, hence *noiseless*.



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The Binary Noiseless Channel

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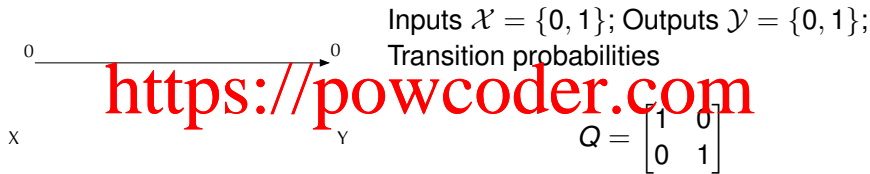
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What was transmitted over the channel if 0000 1111 was received?

$$\xrightarrow{Q} 0000 \ 1111$$

The Binary Noiseless Channel

One of the simplest channels is the **Binary Noiseless Channel**. The received symbol is always equal to the transmitted symbol – there is no probability of error, hence *noiseless*.



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What was transmitted over the channel if 0000 1111 was received?

$$0000 \text{ } 1111 \xrightarrow{Q} 0000 \text{ } 1111$$

The Noisy Non-overlapping Channel

Even if there is some uncertainty about the output given the input, it may still be possible to perfectly infer what was transmitted.

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Inputs $X = \{0, 1\}$; Outputs
 $Y = \{a, b, c, d\}$; Transition probabilities

$$Q = \begin{bmatrix} 0.5 & 0 \\ 0.5 & 0 \\ 0 & 0.5 \\ 0 & 0.5 \end{bmatrix}$$

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What was transmitted over the channel if `abba ddcd` was received?

\xrightarrow{Q} `abba ddcd`

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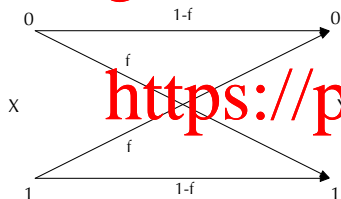
What was transmitted over the channel if **abba** **ddcd** was received?

$$0000 \text{ } 1111 \xrightarrow{Q} \text{abba ddcd}$$

The Binary Symmetric Channel

Each symbol sent across a **binary symmetric channel** has a chance of being “flipped” to its counterpart ($0 \rightarrow 1$; $1 \rightarrow 0$)

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Inputs $\mathcal{X} = \{0, 1\}$, Outputs $\mathcal{Y} = \{0, 1\}$,
Transition probabilities with $P(\text{flip}) = f$

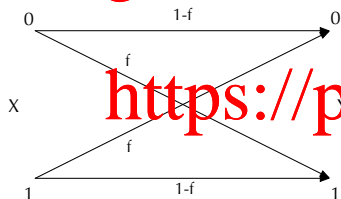
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$$Q = \begin{bmatrix} 1-f & f \\ f & 1-f \end{bmatrix}$$

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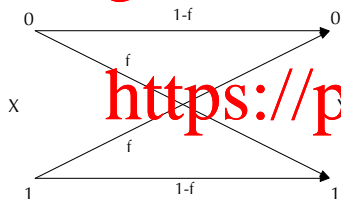
What was **most likely** transmitted over the channel if **0010 1001** was received, assuming $f = 0.1$ and $P(x = 0) = P(x = 1) = 0.5$?

$$\xrightarrow{Q} \text{0010 1001}$$

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What was **most likely** transmitted over the channel if **0010 1001** was received, assuming $f = 0.1$ and $P(x = 0) = P(x = 1) = 0.5$?

$$0010 \text{ } 1001 \xrightarrow{Q} 0010 \text{ } 1001$$

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Suppose we know the $P(x)$ — the probability x is transmitted.

Given a particular output $y \in \mathcal{Y}$ received over a channel Q , how likely was it that input $x \in \mathcal{X}$ was transmitted?

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Inferring the Input

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Suppose we know the $P(x)$ — the probability x is transmitted.

Given a particular output $y \in \mathcal{Y}$ received over a channel Q , how likely was it that input $x \in \mathcal{X}$ was transmitted?

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$$P(x|y) = \frac{P(y|x)P(x)}{P(y)} = \frac{P(y|x)P(x)}{\sum_{x' \in \mathcal{X}} P(y|x')P(x')}$$

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Inferring the Input: Example

Suppose $P(x = 0) = P(x = 1) = 0.5$. What are the probability that a $x = 0$ was transmitted over a *binary symmetric channel* Q with $f = 0.1$ given that a $y = 0$ was received?

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Inferring the Input: Example

Suppose $P(x = 0) = P(x = 1) = 0.5$. What are the probability that a $x = 0$ was transmitted over a *binary symmetric channel* Q with $f = 0.1$ given that a $y = 0$ was received?

$$P(x = 0|y = 0) = \frac{0.9 \times 0.5}{0.9 \times 0.5 + 0.1 \times 0.5} = 0.9$$

Similarly, $P(x = 1|y = 1) = 0.9$.

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Inferring the Input: Example

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What if $P(x = 0) = 0.01$?

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Inferring the Input: Example

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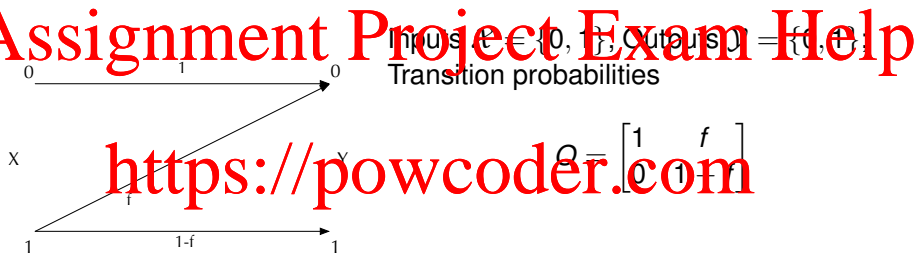
Similarly, $P(x = 1|y = 1) = 0.9$.

What if $P(x = 0) = 0.01$?

$$P(x = 0|y = 0) = \frac{0.9 \times 0.01}{0.9 \times 0.01 + 0.1 \times 0.99} \approx 0.0833$$

The Z Channel

Symbols may be corrupted over the channel asymmetrically.

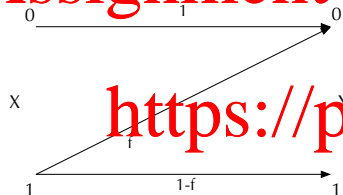


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The Z Channel

Symbols may be corrupted over the channel asymmetrically.

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Inputs $x \in \{0, 1\}$, Outputs $y \in \{0, 1\}$
Transition probabilities

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$$Q = \begin{bmatrix} 1 & f \\ 0 & 1-f \end{bmatrix}$$

Inferring the input: Clearly $P(x=1|y=1) = 1$ but

$$P(x=0|y=0) = \frac{P(y=0|x=0)P(x=0)}{\sum_{x' \in \mathcal{X}} P(y=0|x')P(x')} = \frac{P(x=0)}{P(x=0) + f P(x=1)}$$

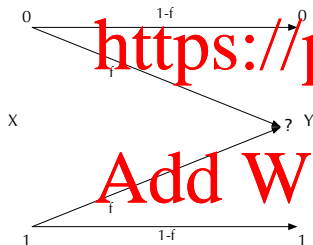
So $P(x=0|y=0) \rightarrow 1$ as $f \rightarrow 0$, and goes to $P(x=0)$ as $f \rightarrow 1$

The Binary Erasure Channel

We can model a channel which “erases” bits by letting one of the output symbols be the symbol ‘?’ with associated probability f . The receiver knows which bits are erased.

Inputs $\mathcal{X} = \{0, 1\}$; Outputs $\mathcal{Y} = \{0, ?, 1\}$;

Transition probabilities



$$Q = \begin{bmatrix} 1-f & 0 \\ f & f \\ 0 & 1-f \end{bmatrix}$$

Example:

$$0000 \ 1111 \xrightarrow{Q} 00?0 ?11?$$

The Noisy Typewriter Channel

This channel simulates a noisy “typewriter”. Inputs and outputs are 26 letters A through Z plus space. With probability $\frac{1}{3}$, each letter is either: unchanged; changed to the next letter; changed to the previous letter.

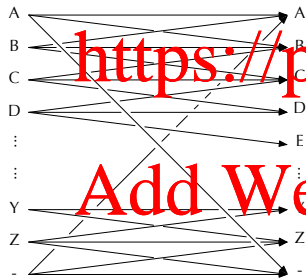
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Inputs $\mathcal{X} = \{A, B, \dots, Z, -\};$

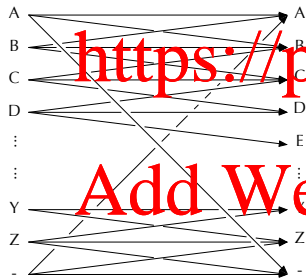
Outputs $\mathcal{Y} = \{A, B, \dots, Z, -\};$

Transition probabilities

$$Q = \begin{bmatrix} \frac{1}{3} & \frac{1}{3} & 0 & 0 & \dots & 0 & \frac{1}{3} \\ \frac{1}{3} & \frac{1}{3} & \frac{1}{3} & 0 & \dots & 0 & 0 \\ \frac{1}{3} & \frac{1}{3} & \frac{1}{3} & \frac{1}{3} & \dots & 0 & 0 \\ 0 & \frac{1}{3} & \frac{1}{3} & \frac{1}{3} & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ \frac{1}{3} & 0 & 0 & \dots & \frac{1}{3} & \frac{1}{3} \end{bmatrix}$$

The Noisy Typewriter Channel

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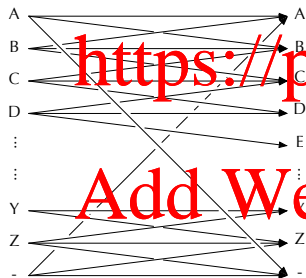
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The transition matrix for this channel has a **diagonal structure**: all of the probability mass is concentrated around the diagonal.

The Noisy Typewriter Channel

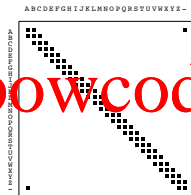
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Transition probabilities



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2 Noisy Channels: Formally

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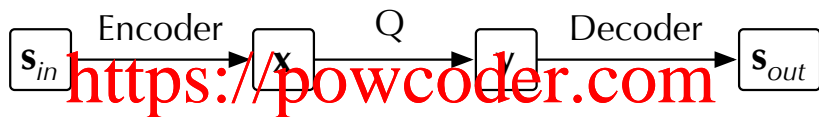
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Communicating over Noisy Channels

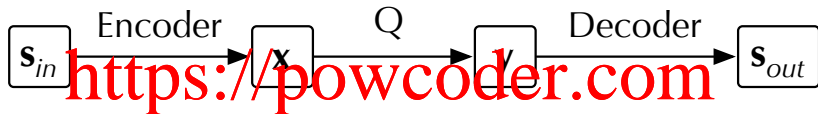
Suppose we know we have to communicate over some channel Q and we want to build an encoder/decoder pair to reliably send a message s over Q .



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Communicating over Noisy Channels

Suppose we know we have to communicate over some channel Q and we want to build an encoder/decoder pair to reliably send a message s over Q .



Reliability is measured via **probability of error** — that is, the probability of incorrectly decoding s_{out} given s_{in} as input:

$$P(s_{out} \neq s_{in}) = \sum_s P(s_{out} \neq s_{in} | s_{in} = s) P(s_{in} = s)$$

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Probability of Error: Example

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Let $S = \{a, b\}$ and $T = \{0, 1\}$

Assume *encoder*: $a \rightarrow 0$; $b \rightarrow 1$, *decoder*: $0 \rightarrow a$; $1 \rightarrow b$.

Consider binary symmetric Q ,

$$Q = \begin{bmatrix} 1-f & f \\ f & 1-f \end{bmatrix}$$

with $f = 0.1$

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Probability of Error: Example

If base probabilities of symbol transmission are $(p_a, p_b) = (0.5, 0.5)$,

$$P(\mathbf{s}_{in} \neq \mathbf{s}_{out}) = P(\mathbf{s}_{in} = \mathbf{a}, \mathbf{s}_{out} = \mathbf{b}) + P(\mathbf{s}_{in} = \mathbf{b}, \mathbf{s}_{out} = \mathbf{a})$$

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Probability of Error: Example

If base probabilities of symbol transmission are $(p_a, p_b) = (0.5, 0.5)$,

$$\begin{aligned} P(\mathbf{s}_{in} \neq \mathbf{s}_{out}) &= P(\mathbf{s}_{in} = a, \mathbf{s}_{out} = b) + P(\mathbf{s}_{in} = b, \mathbf{s}_{out} = a) \\ &= P(\mathbf{s}_{out} = b | \mathbf{s}_{in} = a)P(\mathbf{s}_{in} = a) + \\ &\quad P(\mathbf{s}_{out} = a | \mathbf{s}_{in} = b)P(\mathbf{s}_{in} = b) \end{aligned}$$

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Probability of Error: Example

If base probabilities of symbol transmission are $(p_a, p_b) = (0.5, 0.5)$,

$$P(\mathbf{s}_{in} \neq \mathbf{s}_{out}) = P(\mathbf{s}_{in} = a, \mathbf{s}_{out} = b) + P(\mathbf{s}_{in} = b, \mathbf{s}_{out} = a) \\ = P(\mathbf{s}_{out} = b | \mathbf{s}_{in} = a)P(\mathbf{s}_{in} = a) +$$

$$P(\mathbf{s}_{out} = a | \mathbf{s}_{in} = b)P(\mathbf{s}_{in} = b)$$

$$= P(y = 1 | x = 0) p_a + P(y = 0 | x = 1) p_b$$

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Probability of Error: Example

If base probabilities of symbol transmission are $(p_a, p_b) = (0.5, 0.5)$,

$$\begin{aligned} P(\mathbf{s}_{in} \neq \mathbf{s}_{out}) &= P(\mathbf{s}_{in} = a, \mathbf{s}_{out} = b) + P(\mathbf{s}_{in} = b, \mathbf{s}_{out} = a) \\ &= P(\mathbf{s}_{out} = b | \mathbf{s}_{in} = a)P(\mathbf{s}_{in} = a) + \\ &\quad P(\mathbf{s}_{out} = a | \mathbf{s}_{in} = b)P(\mathbf{s}_{in} = b) \\ &= P(y = 1 | x = 0)p_a + P(y = 0 | x = 1)p_b \\ &= f \end{aligned}$$

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A Simple Coding Scheme

Suppose $\mathbf{s} \in \{a, b\}$ and we encode by $a \rightarrow 000$ and $b \rightarrow 111$.

To decode we count the number of 1s and 0s and set all bits to the

majority count to determine \mathbf{s}

$\underbrace{000, 001, 010, 100}_A \rightarrow a$ and $\underbrace{111, 110, 101, 011}_B \rightarrow b$

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If the channel C is binary symmetric with $f = 0.1$ again

$$\begin{aligned} P(\mathbf{s}_{in} \neq \mathbf{s}_{out}) &= P(\mathbf{y} \in B|000) p_a + P(\mathbf{y} \in A|111) p_b \\ &= [f^3 + 3f^2(1-f)] p_a + [f^3 + 3f^2(1-f)] p_b \\ &= f^3 + 3f^2(1-f) = 0.028 \end{aligned}$$

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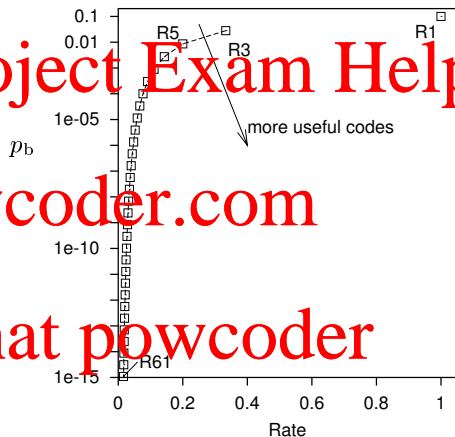
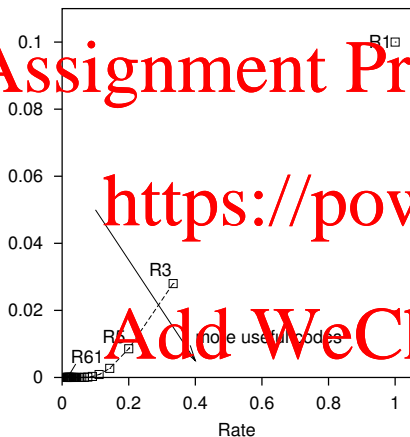
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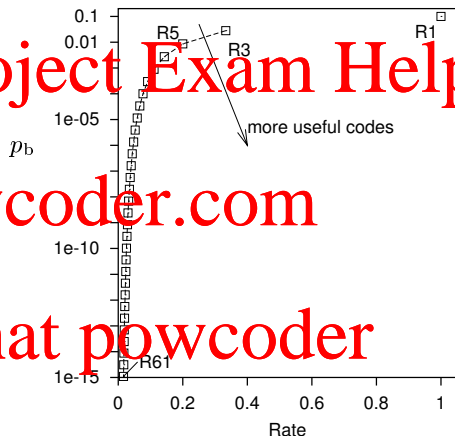
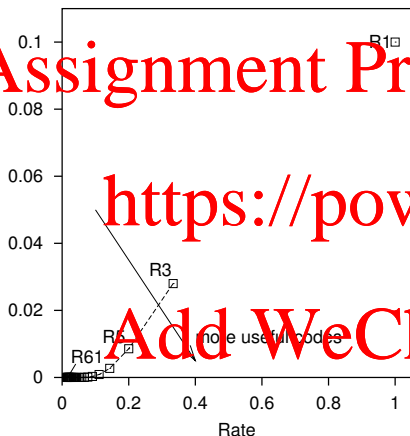
So the *error* has dropped from 0.1 to 0.028

but so has the *rate*: from 1 symbol/bit to 1/3 symbol/bit.

A Simple Coding Scheme



A Simple Coding Scheme



Can we make the error arbitrarily small without the rate going to zero?

Summary and Reading

Main Points:

- Modelling Noisy Channels
 - ▶ Noiseless, Overlap, Symmetric, Z, Erasure
- Simple Coding via Repetition
 - ▶ Probability of Error vs Transmission Rate

Reading:

- MacKay §§.1 - §§.5
- Cover & Thomas §7.1 - §7.3