

COMP2610/6261 - Information Theory

Lecture 21: Hamming Codes & Coding Review

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Today's plan

Noisy Channel Coding Theorem proves there exists codes with rate $R < C$ with arbitrarily low probability of error.

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But proof was non-constructive — we used a random code in order to be able to actually calculate error probability.

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What about constructive codes?

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But proof was non-constructive — we used a random code in order to be able to actually calculate error probability.

What about constructive codes?

We will focus on linear codes and look at two simple linear codes:

- repetition codes
- Hamming codes

We will sketch what can be said about the rate and reliability of the latter

1 Repetition Codes

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2 The (7,4) Hamming code

- Coding
- Decoding
- Syndrome Decoding
- Multiple errors
- Error Probabilities

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3 Coding: Review

Repetition Codes

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Simplest channel code: add *redundancy* by repeating every bit of the message (say) 3 times:

| Source sequence s | Transmitted sequence t |
|------------------------|-----------------------------|
|------------------------|-----------------------------|

0

0 0 0

1

1 1 1

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This *repetition code* is called R_3 .

Repetition Codes for the BSC

Example

On a binary symmetric channel with flip probability f , receiver sees

$r = t + \eta$
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where η is a *noise* vector

- $p(\eta_i = 1) = f$

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Repetition Codes for the BSC

Example

On a binary symmetric channel with flip probability f , receiver sees

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where η is a *noise* vector

- $p(\eta_i = 1) = f$

Example setting of η , and resulting message r .

| | | | | | | | |
|--------------------------|-----|-----|-----|-----|-----|-----|-----|
| s | 0 | 0 | 1 | 0 | 1 | 1 | 0 |
| t | 000 | 000 | 111 | 000 | 111 | 111 | 000 |
| η | 000 | 001 | 000 | 000 | 101 | 000 | 000 |
| r | 000 | 001 | 111 | 000 | 010 | 111 | 000 |

Note that elements of η are not replicated like those of t

- noise acts independently on every bit

Beyond Repetition Codes

Goal: Communication with small probability of error and high rate:

- Repetition codes introduce redundancy on a per-bit basis
- Can we improve on this?

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Beyond Repetition Codes

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Beyond Repetition Codes

Goal: Communication with small probability of error and high rate:

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Idea: Introduce redundancy to **blocks** of data instead

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Beyond Repetition Codes

Goal: Communication with small probability of error and high rate:

- Repetition codes introduce redundancy on a per-bit basis
- Can we improve on this?

Idea: Introduce redundancy to **blocks** of data instead

Block Code

A block code is a rule for encoding a length- K sequence of source bits \mathbf{s} into a length- N sequence of transmitted bits \mathbf{t} .

- Introduce redundancy: $N > K$
- Focus on *linear codes*

We will introduce a simple type of block code called the (7,4) Hamming code

An Example

The (7, 4) Hamming Code

Consider $K = 4$, and a source message $\mathbf{s} = 1\ 0\ 0\ 0$

The repetition code R_2 produces

$$\mathbf{t} = 1\ 1\ 0\ 0\ 0\ 0\ 0$$

The (7,4) Hamming code produces

$$\mathbf{t} = 1\ 0\ 0\ 0\ 1\ 0\ 0$$

- Redundancy, but not repetition
- How are these magic bits computed?

1 Repetition Codes

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2 The (7,4) Hamming code

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3 Coding: Review

The (7,4) Hamming code

Coding

Consider $K = 4$, $N = 7$ and $\mathbf{s} = 1\ 0\ 0\ 0$

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The (7,4) Hamming code

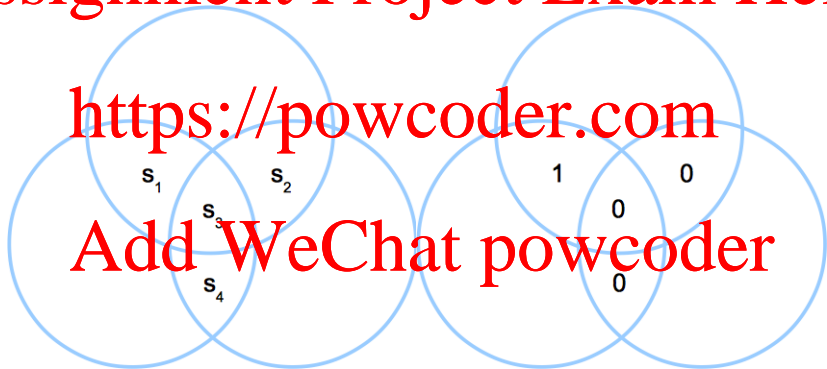
Coding

Consider $K = 4$, $N = 7$ and $\mathbf{s} = 1\ 0\ 0\ 0$

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The (7,4) Hamming code

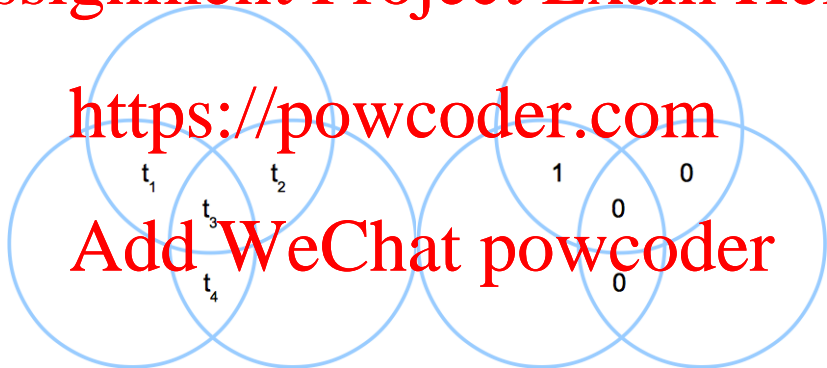
Coding

Copy the source bits into the the first 4 target bits:

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The (7,4) Hamming code

Coding

Set *parity-check* bits so that the number of ones within each circle is even:

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So we have $\mathbf{s} = 1\ 0\ 0\ 0 \xrightarrow{\text{encoder}} \mathbf{t} = 1\ 0\ 0\ 0\ 1\ 0\ 1$

The (7,4) Hamming code

Coding

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Algebraically, we have set:

$$t_i = s_i \text{ for } i = 1, \dots, 4$$
$$t_5 = s_1 \oplus s_2 \oplus s_3$$

$$t_6 = s_2 \oplus s_3 \oplus s_4$$

$$t_7 = s_1 \oplus s_3 \oplus s_4$$

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where we use modulo-2 arithmetic

The (7,4) Hamming code

Coding

In matrix form:

$$\mathbf{t} = \mathbf{G}^T \mathbf{s} \text{ with } \mathbf{G}^T = \begin{bmatrix} \mathbf{I}_4 \\ \mathbf{P}^T \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 0 \\ 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 \end{bmatrix}$$

where $\mathbf{s} = [s_1 \ s_2 \ s_3 \ s_4]^T$

\mathbf{G} is called the *Generator matrix* of the code.

The Hamming code is linear!

The (7,4) Hamming code:

Codewords

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Each (unique) sequence that can be transmitted is called a *codeword*.

| | s | Codeword (t) |
|--------------------|------|--------------|
| Codeword examples: | 0010 | 0010111 |
| | 0110 | 0110001 |
| | 1010 | 1010010 |
| | 1110 | 1110111 |

For the (7,4) Hamming code we have a total of 16 codewords

The (7,4) Hamming code

Codewords

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Write

$$\mathbf{G}^T = [\mathbf{G}_1. \quad \mathbf{G}_2. \quad \mathbf{G}_3. \quad \mathbf{G}_4.]$$

where each $\mathbf{G}_j.$ is a 7 dimensional bit vector

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Then, the transmitted message is

$$\begin{aligned} \mathbf{t} &= \mathbf{G}^T \mathbf{s} \\ &= [\mathbf{G}_1. \quad \mathbf{G}_2. \quad \mathbf{G}_3. \quad \mathbf{G}_4.] \mathbf{s} \\ &= s_1 \mathbf{G}_1. + \dots + s_4 \mathbf{G}_4. \end{aligned}$$

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The (7,4) Hamming code:

Codewords

There are 2^7 possible transmitted bit strings

- There are $2^7 - 2^4$ other bit strings that immediately imply corruption

- If we see a codeword does that imply no corruption?

Any two codewords differ in at least three bits

- Each original bit belongs to at least two circles

- Useful in constructing reliable decoders

1 Repetition Codes

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2 The (7,4) Hamming code

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3 Coding: Review

The (7,4) Hamming code:

Decoding

We can encode a length-4 sequence \mathbf{s} into a length-7 sequence \mathbf{t} using 3 parity check bits

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The (7,4) Hamming code:

Decoding

We can encode a length-4 sequence \mathbf{s} into a length-7 sequence \mathbf{t} using 3 parity check bits

\mathbf{t} can be corrupted by noise which can flip any of the 7 bits (including the parity check bits):

$$\begin{array}{r} \mathbf{s} \quad 1 \ 0 \ 0 \ 0 \\ \mathbf{t} \quad 1 \ 0 \ 0 \ 0 \ 1 \ 0 \ 1 \\ \eta \quad 0 \ 1 \ 0 \ 0 \ 0 \ 0 \ 0 \\ \hline \mathbf{r} \quad 1 \ 1 \ 0 \ 0 \ 1 \ 0 \ 1 \end{array}$$

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The (7,4) Hamming code:

Decoding

We can encode a length-4 sequence \mathbf{s} into a length-7 sequence \mathbf{t} using 3 parity check bits

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How should we decode \mathbf{r} ?

- We could do this exhaustively using the 16 codewords
- Assuming BSC, uniform $p(\mathbf{s})$: Get the most probable explanation
- Find \mathbf{s} such that $\|\mathbf{t}(\mathbf{s}) \ominus \mathbf{r}\|_1$ is minimum

The (7,4) Hamming code:

Decoding

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- Assuming BSC, uniform $p(\mathbf{s})$: Get the most probable explanation
- Find \mathbf{s} such that $\|\mathbf{t}(\mathbf{s}) \ominus \mathbf{r}\|_1$ is minimum

We can get the most probable source vector in an more *efficient* way.

The (7,4) Hamming code:

Decoding Example 1

We have $\mathbf{s} = 1\ 0\ 0\ 0 \xrightarrow{\text{encoder}} \mathbf{t} = 1\ 0\ 0\ 0\ 1\ 0\ 1 \xrightarrow{\text{noise}} \mathbf{r} = 1\ 1\ 0\ 0\ 1\ 0\ 1$:

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(1) Detect circles with wrong (odd) parity

- What bit is responsible for this?

The (7,4) Hamming code:

Decoding Example 1

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(2) Detect culprit bit and flip it

- The decoded sequence is $\hat{\mathbf{s}} = 1\ 0\ 0\ 0$

The (7,4) Hamming code:

Decoding Example 2

We have $\mathbf{s} = 1\ 0\ 0\ 0 \xrightarrow{\text{encoder}} \mathbf{t} = 1\ 0\ 0\ 0\ 1\ 0\ 1 \xrightarrow{\text{noise}} \mathbf{r} = 1\ 0\ 0\ 0\ 0\ 0\ 1$:

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Decoding Example 2

We have $\mathbf{s} = 1\ 0\ 0\ 0 \xrightarrow{\text{encoder}} \mathbf{t} = 1\ 0\ 0\ 0\ 1\ 0\ 1 \xrightarrow{\text{noise}} \mathbf{r} = 1\ 0\ 0\ 0\ 0\ 0\ 1$:

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The (7,4) Hamming code:

Decoding Example 3

We have $\mathbf{s} = 1\ 0\ 0\ 0 \xrightarrow{\text{encoder}} \mathbf{t} = 1\ 0\ 0\ 0\ 1\ 0\ 1 \xrightarrow{\text{noise}} \mathbf{r} = 1\ 0\ \mathbf{1}\ 0\ 1\ 0\ 1$:

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The (7,4) Hamming code:

Decoding Example 3

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3 Coding: Review

The (7,4) Hamming code:

Optimal Decoding Algorithm: Syndrome Decoding

Given $\mathbf{r} = r_1, \dots, r_7$, assume BSC with small noise level f :

1. Define the **syndrome** as the length-3 vector \mathbf{z} that describes the pattern of violations of the parity bits r_5, r_6, r_7 .

- ▶ $z_i = 1$ when the i th parity bit does not match the parity of \mathbf{r}
- ▶ Flipping a single bit leads to a different syndrome

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The (7,4) Hamming code:

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The (7,4) Hamming code:

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3 Unflip the *single bit* responsible for this pattern of violation

- ▶ This syndrome could have been caused by other noise patterns

The (7,4) Hamming code:

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| | | | | | | | | |
|--------------|-------|-------|-------|-------|-------|-------|-------|-------|
| \mathbf{z} | 0 0 0 | 0 0 1 | 0 1 0 | 0 1 1 | 1 0 0 | 1 0 1 | 1 1 0 | 1 1 1 |
| Flip bit | none | r_7 | r_6 | r_4 | r_5 | r_1 | r_2 | r_3 |

The (7,4) Hamming code:

Optimal Decoding Algorithm: Syndrome Decoding

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| | | | | | | | | |
|--------------|-------|-------|-------|-------|-------|-------|-------|-------|
| \mathbf{z} | 0 0 0 | 0 0 1 | 0 1 0 | 0 1 1 | 1 0 0 | 1 0 1 | 1 1 0 | 1 1 1 |
| Flip bit | none | r_7 | r_6 | r_4 | r_5 | r_1 | r_2 | r_3 |

The optimal decoding algorithm unflips at most one bit

The (7,4) Hamming code:

Syndrome Decoding: Matrix Form

Recall that we just need to compare the expected parity bits with the actual ones we received:

$$z_1 = r_1 \oplus r_2 \oplus r_3 \oplus r_5$$

$$z_2 = r_2 \oplus r_3 \oplus r_4 \oplus r_6$$

$$z_3 = r_1 \oplus r_3 \oplus r_4 \oplus r_5$$

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The (7,4) Hamming code:

Syndrome Decoding: Matrix Form

Recall that we just need to compare the expected parity bits with the actual ones we received:

$$z_1 = r_1 \oplus r_2 \oplus r_3 \ominus r_5$$

$$z_2 = r_2 \oplus r_3 \oplus r_4 \ominus r_6$$

$$z_3 = r_1 \oplus r_3 \oplus r_4 \oplus r_5,$$

but in modulo-2 arithmetic $-1 \equiv 1$ so we can replace \ominus with \oplus so we have:

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$$\mathbf{z} = \mathbf{H}\mathbf{r} \text{ with } \mathbf{H} = \begin{bmatrix} \mathbf{P} & \mathbf{I}_3 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 1 & 0 & 0 & 1 \end{bmatrix}$$

The (7,4) Hamming code:

Syndrome Decoding: Matrix Form

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but in modulo-2 arithmetic $-1 \equiv 1$ so we can replace \ominus with \oplus so we have:

$$\mathbf{z} = \mathbf{H}\mathbf{r} \text{ with } \mathbf{H} = \begin{bmatrix} \mathbf{P} & \mathbf{I}_3 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 1 & 0 & 0 & 1 \end{bmatrix}$$

Homework: What is the syndrome for a codeword?

1 Repetition Codes

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2 The (7,4) Hamming code

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3 Coding: Review

The (7,4) Hamming code:

Optimal Decoding Algorithm: Syndrome Decoding

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When the noise level f on the BSC is small, it may be reasonable that we see only a single bit flip in a sequence of 4 bits

The syndrome decoding method <https://powcoder.com> exactly recovers the source message in this case

- c.f. Noise flipping one bit in the repetition code R_3

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But what happens if the noise flips more than one bit?

The (7,4) Hamming code:

Decoding Example 4: Flipping 2 Bits

We have $\mathbf{s} = 1\ 0\ 0\ 0 \xrightarrow{\text{encoder}} \mathbf{t} = 1\ 0\ 0\ 0\ 1\ 0\ 1 \xrightarrow{\text{noise}} \mathbf{r} = 1\ 0\ 1\ 0\ 1\ 0\ 0$:

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(1) Detect circles with wrong (odd) parity

- What bit is responsible for this?

The (7,4) Hamming code:

Decoding Example 4: Flipping 2 Bits

We have $\mathbf{s} = 1\ 0\ 0\ 0 \xrightarrow{\text{encoder}} \mathbf{t} = 1\ 0\ 0\ 0\ 1\ 0\ 1 \xrightarrow{\text{noise}} \mathbf{r} = 1\ 0\ 1\ 0\ 1\ 0\ 0$:

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(2) Detect culprit bit and flip it

- The decoded sequence is $\hat{\mathbf{s}} = 1\ 1\ 1\ 0$

- ▶ We have made 3 errors but only 2 involve the actual message

The (7,4) Hamming code:

Decoding Exercises

[Mackay, Ex 1.5]: Decode the following sequences using the syndrome decoding for the (7,4) Hamming code:

(a) $\mathbf{r} = 1101011 \rightarrow \hat{\mathbf{s}} = ??$

(b) $\mathbf{r} = 0110110 \rightarrow \hat{\mathbf{s}} = ??$

(c) $\mathbf{r} = 0100111 \rightarrow \hat{\mathbf{s}} = ??$

(d) $\mathbf{r} = 1111111 \rightarrow \hat{\mathbf{s}} = ??$

Work out the answers on your own.

The (7,4) Hamming code: Solution

For each exercise we simply compute the *syndrome* and use the optimal decoding algorithm (Table above) to determine which bit we should unflip.

(a) $\mathbf{r} = 1101011 \rightarrow \mathbf{z}_1 = r_1 \oplus r_2 \oplus r_3 \oplus r_5 = 0$ $\mathbf{z}_2 = r_2 \oplus r_3 \oplus r_4 \oplus r_6 = 1$ $\mathbf{z}_3 = r_1 \oplus r_3 \oplus r_4 \oplus r_7 = 1$ Therefore $\mathbf{z} = 011$, we unflip r_4 ,
 $\hat{\mathbf{s}} = 1100$

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(b) $\mathbf{r} = 0110110 \rightarrow \mathbf{z} = 111$, we unflip r_3 , $\hat{\mathbf{s}} = 0100$

(c) $\mathbf{r} = 0100111 \rightarrow \mathbf{z} = 001$, we unflip r_7 , $\hat{\mathbf{s}} = 0100$

(d) $\mathbf{r} = 1111111 \rightarrow \mathbf{z} = 000$, we don't unflip any bit, $\hat{\mathbf{s}} = 1111$

The (7,4) Hamming code:

Zero-Syndrome Noise Vectors

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[Mackay, Ex 1.7] Find some noise vectors that give the all-zero syndrome (so that the optimal decoding algorithm will not correct them). How many of these vectors are there?

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Solution

By definition we have that the all-zero syndrome implies that the corresponding noise components should cancel out. For example for the first component we have:

$z_1 = r_1 \oplus r_2 \oplus r_3 \oplus r_5 = t_1 \oplus t_2 \oplus t_5 \oplus \eta_1 \oplus \eta_2 \oplus \eta_3 \oplus \eta_5$. But $t_i = s_i$ for $i = 1, \dots, 4$ and $t_5 = s_1 \oplus s_2 \oplus s_3$. Therefore

$z_1 = 2s_1 \oplus 2s_2 \oplus 2s_3 \oplus \eta_1 \oplus \eta_2 \oplus \eta_3 \oplus \eta_5 = \eta_1 \oplus \eta_2 \oplus \eta_3 \oplus \eta_5$. Thus, we have:

$$z_1 = \eta_1 \oplus \eta_2 \oplus \eta_3 \oplus \eta_5 = 0$$

$$z_2 = \eta_2 \oplus \eta_3 \oplus \eta_4 \oplus \eta_6 = 0$$

$$z_3 = \eta_1 \oplus \eta_2 \oplus \eta_4 \oplus \eta_7 = 0$$

which is equivalent to:

$$\eta_5 = \eta_1 \oplus \eta_2 \oplus \eta_3$$

$$\eta_6 = \eta_2 \oplus \eta_3 \oplus \eta_4$$

$$\eta_7 = \eta_1 \oplus \eta_3 \oplus \eta_4$$

Solution (cont.)

As η_5 is determined by η_1, η_2, η_3 we have $2^3 = 8$ possibilities here.

Now, for fixed η_1, η_2 (and η_3) in the previous step we only have two possibilities for η_4 , which determines η_6 .

We have now that all the variables are set and η_7 is fully determined by their values.

Thus, we have $8 \times 2 \times 1$ possible noise vectors that yield the all-zero syndrome.

The trivial noise vectors that yield this syndrome are: $\eta = 0000000$ and $\eta = 1111111$.

However, we can follow the above procedure and set the corresponding variables.

This is equivalent to having arbitrary settings for η_1, η_2, η_3 and η_4 which gives us 16 possible noise vectors which exactly correspond to the 16 codewords of the (7,4) Hamming code.

1 Repetition Codes

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2 The $(7,4)$ Hamming code

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3 Coding: Review

The (7,4) Hamming code:

Error Probabilities

Decoding Error : Occurs if at least one of the decoded bits \hat{s}_i does not match the corresponding source bit s_i for $i = 1, \dots, 4$

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What is the probability of block error for the (7,4) Hamming code with $f = 0.1$?

The (7,4) Hamming code:

Leading-Term Error Probabilities

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Block Error: This occurs when 2 or more bits in the block of 7 are flipped.

We can approximate p_B to the leading term:

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$$p_B = \sum_{m=2}^7 \binom{7}{m} f^m (1-f)^{7-m}$$

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$$\approx \binom{7}{2} f^2 = 21 f^2$$

The (7,4) Hamming code:

Leading-Term Error Probabilities

Bit Error: Given that a block error occurs, the noise must corrupt 2 or more bits

The most probable case is when the noise corrupts 2 bits, which induces 3 errors in the decoded vector:

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- $p(\hat{s}_i \neq s_i) \approx \frac{3}{7}p_B$ for $i = 1, \dots, 7$

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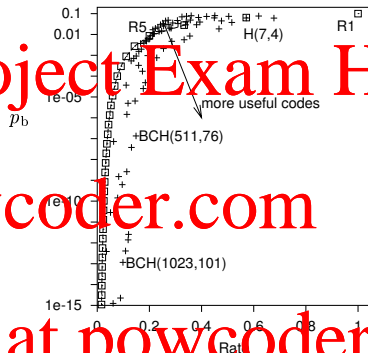
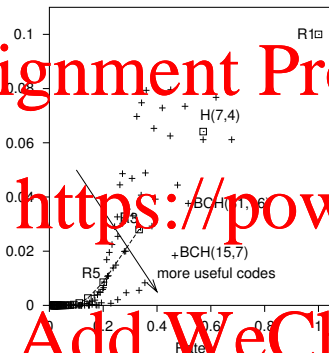
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- $p_b \approx \frac{3}{7}p_B \approx 9f^2$

What Can Be Achieved with Hamming Codes?



- $H(7,4)$ improves p_b at a moderate rate $R = 4/7$
- BCH are a generalization of Hamming codes.

1 Repetition Codes

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2 The $(7,4)$ Hamming code

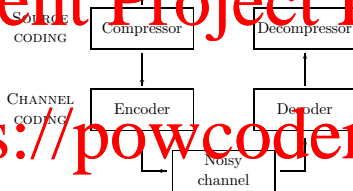
- Coding
- Decoding
- Synchronous Decoding
- Multiple errors
- Error Probabilities

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3 Coding: Review

The Big Picture



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Source Coding for Compression

- Shrink sequences
- Identify and remove redundancy
- Size limited by entropy
- Source Coding Theorems
(Block & Variable Length)

Channel Coding for Reliability

- Protect sequences
- Add known form of redundancy
- Rate limited by capacity
- Noisy-Channel Coding Theorem