

COMP30026 Models of Computation

Regular Languages

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Lecture 15

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Semester 2, 2020

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The class of languages recognised by NFAs is exactly the class of regular languages.

**Theorem:** Every NFA has an equivalent DFA.

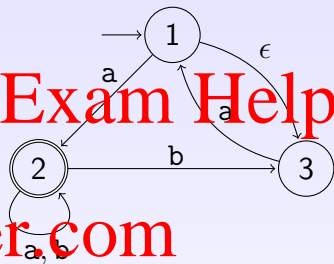
The proof rests on the so-called subset construction.

Given NFA  $N$ , we construct DFA  $M$ , each of whose states corresponds to a set of  $N$ -states.

If  $N$  has  $k$  states then  $M$  may have up to  $2^k$  states (but it will often have far fewer than that).

# DFA's vs NFA's

Consider the NFA on the right. We can systematically construct an equivalent DFA from the NFA.



The DFA's start state is  $\{1, 3\}$ .

From  $\{1, 3\}$ ,  $a$  takes us to  $\{1, 2, 3\}$ .

From  $\{1, 2, 3\}$ ,  $a$  takes us back to  $\{1, 2, 3\}$ ,  $b$  takes us to  $\{2, 3\}$ .

Any state  $S$  which contains an accept state from the NFA will be an accept state for the DFA. Here we mark accept states with a star.

	a	b
$A = \{1, 3\}$	$B^*$	—
$B^* = \{1, 2, 3\}$	$B^*$	$C^*$
$C^* = \{2, 3\}$	$B^*$	$C^*$

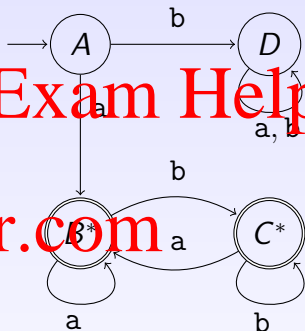
# DFAs vs NFAs

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Here is the equivalent DFA that we derive.

Any state  $S$  which contains an accept state from the NFA (in this case the NFA has just one, namely state 2) becomes an accept state for the DFA.

We add (dead) state  $D$  that corresponds to the empty set.



	a	b
$A = \{1,3\}$	$B^*$	$D$
$B^* = \{1,2,3\}$	$B^*$	$C^*$
$C^* = \{2,3\}$	$B^*$	$C^*$
$D = \emptyset$	$D$	$D$

## More Formally ...

Let  $N = (Q, \Sigma, \delta, q_0, F)$ . Let  $\rightarrow_\epsilon^*$  be the reflexive transitive closure of  $\rightarrow_\epsilon$ , which in turn is defined by  $s \rightarrow_\epsilon s'$  iff  $s' \in \delta(s, \epsilon)$ .

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Let  $E(S)$  be the 'ε closure' of  $S \subseteq Q$ , that is,  $S$  together with all states reachable from states in  $S$ , using only  $\epsilon$  steps:

$$E(S) = \bigcup_{s \in S} \{s' \in Q \mid s \rightarrow_\epsilon^* s'\}$$

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We construct  $M = (\mathcal{P}(Q), \Sigma, \delta', q'_0, F')$  as follows:

- $q'_0 = E(\{q_0\})$ .
- $\delta'(S, v) = \bigcup_{s \in S} E(\delta(s, v))$ .
- $F' = \{S \subseteq Q \mid S \cap F \neq \emptyset\}$ .

Note: This construction may include unreachable states.

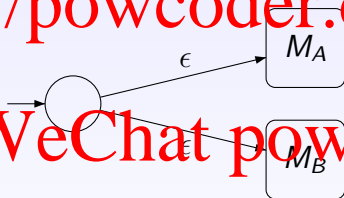
# Closure Results for Regular Languages

**Theorem:** The class of regular languages is closed under union.

**Proof:** Let  $A$  and  $B$  be regular languages, with recognisers  $M_A$  and  $M_B$ . An NFA that recognises  $A \cup B$  is easily constructed:

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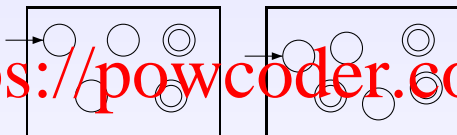


The  $\epsilon$ -transitions go to the start states of  $M_A$  and  $M_B$ .

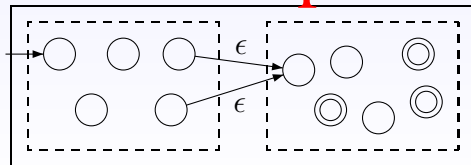
# Closure Results for Regular Languages

**Theorem:** The class of regular languages is closed under  $\circ$ .

**Proof:** Let  $A$  and  $B$  be regular languages with these recognisers:



From these we can easily construct an NFA that recognises  $A \circ B$ :



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# The Last Construction, Formally

Let recognisers for  $A$  and  $B$  be these DFAs, respectively:

- $M_A = (Q, \Sigma, \delta, q_0, F)$
- $M_B = (Q', \Sigma, \delta', q'_0, F')$

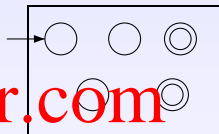
Then a recogniser for  $A \circ B$  is the NFA  $(Q \cup Q', \Sigma, \delta'', q_0, F')$ , where

$$\delta''(q, v) = \begin{cases} \{\delta'(q, v)\} & \text{if } q \in Q' \text{ and } v \in \Sigma \\ \{\delta(q, v)\} & \text{if } q \in Q \text{ and } v \in \Sigma \\ \{q'_0\} & \text{if } q \in F \text{ and } v = \epsilon \end{cases}$$

# Closure Results for Regular Languages

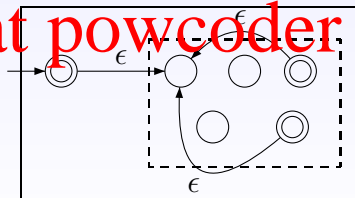
**Theorem:** The class of regular languages is closed under Kleene star.

**Proof:** Let  $A$  be a regular language with the recogniser shown on the right.



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Here is how we construct an NFA to recognise  $A^*$ :



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Regular languages have several other closure properties.

They are closed under

- intersection,
- complement,  $A^c$
- difference (this follows, as  $A \setminus B = A \cap B^c$ )
- reversal.

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For some of these closure results, we will use the tutorials to develop useful DFA manipulation algorithms.

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You will see, for example, how to systematically build DFAs for languages  $A \cap B$ , out of DFAs for  $A$  and  $B$ .

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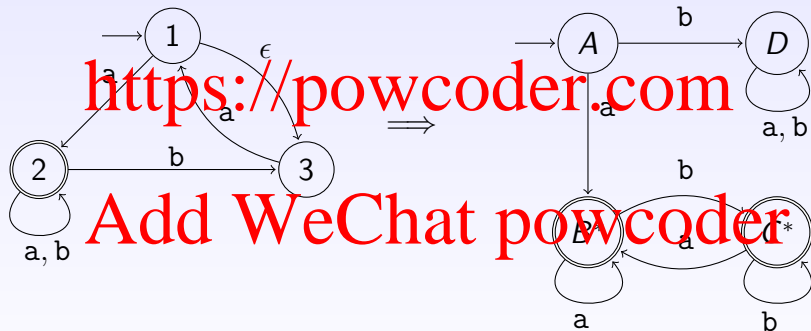
We can always find a **minimal** DFA for a given regular language (by minimal we mean having the smallest possible number of states).

Since a DFA has a unique start state and the transition function is total and deterministic, we can test two DFAs for **equivalence** by minimizing them.

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# Minimizing DFAs

There is no guarantee that DFAs that are produced by the various algorithms, such as the subset construction method, will be minimal.



$A = \{1, 3\}$ ,  $B^* = \{1, 2, 3\}$ ,  $C^* = \{2, 3\}$ , and  $D = \emptyset$ .

# Generating a Minimal DFA

The following algorithm takes an NFA and produces an equivalent **minimal** DFA. Of course the input can also be a DFA.

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- 1 Reverse the NFA;
- 2 Determinize the result;
- 3 Reverse again;
- 4 Determinize.

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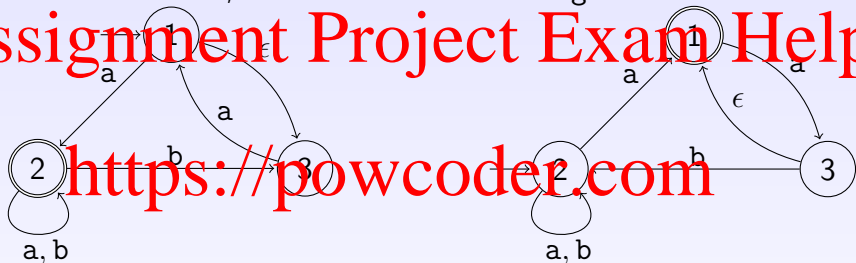
To reverse an NFA  $A$  with initial state  $q_0$  and accept states  $F \neq \emptyset$ :

- 1 If  $F = \{q\}$ , let  $q$  be the accept state.
- 2 Otherwise add a new state  $q$  which becomes the only accept state; then, for each state in  $F$ , add an  $\epsilon$  transition to  $q$ .
- 3 Reverse every transition in the resulting NFA, making  $q$  the initial state and  $q_0$  the (only) accept state.

# Minimization Example

Consider the NFA that we determinized two slides ago.

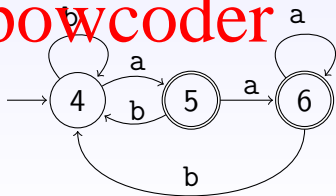
Here it is on the left, with its reversal on the right:



Making the reversed NFA deterministic.

We renamed the states to avoid later confusion;

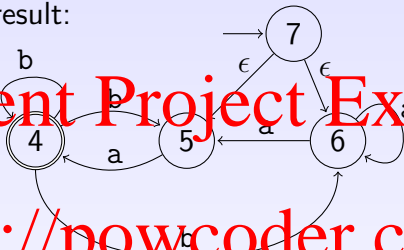
4 corresponds to  $\{2\}$ , 5 to  $\{1, 2\}$ , and 6 to  $\{1, 2, 3\}$ .





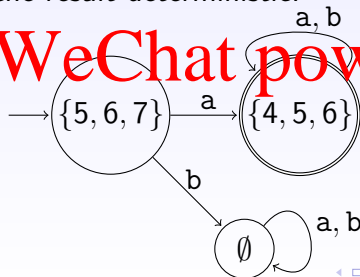
# Minimization Example

Now reversing the result:



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And finally making the result deterministic:



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We next look at regular expressions—another way to capture the regular languages

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Also, we will use a technique called “pumping”, for proving that a language is not regular and introduce context-free languages.

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