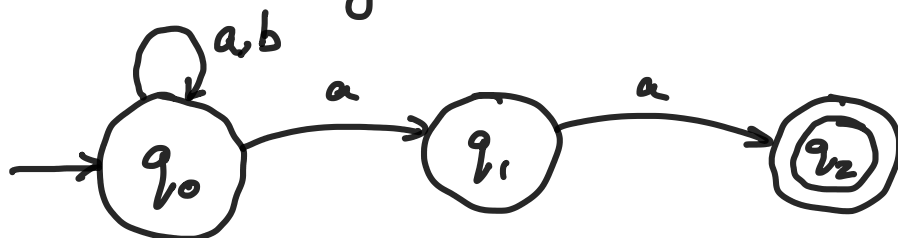


Lecture 3 Nondeterministic Finite Automata

Thursday, January 14, 2021 10:38 AM

$$\Sigma = \{a, b\}$$

Example : accept all words ending in "aa".



2 possibilities for 'a' in q_0 : q_0 or q_1

New mechanism : non deterministic "guessing"

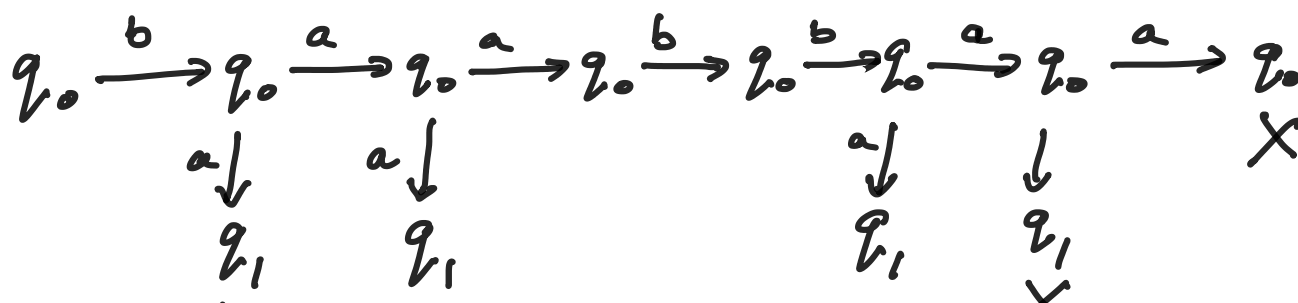
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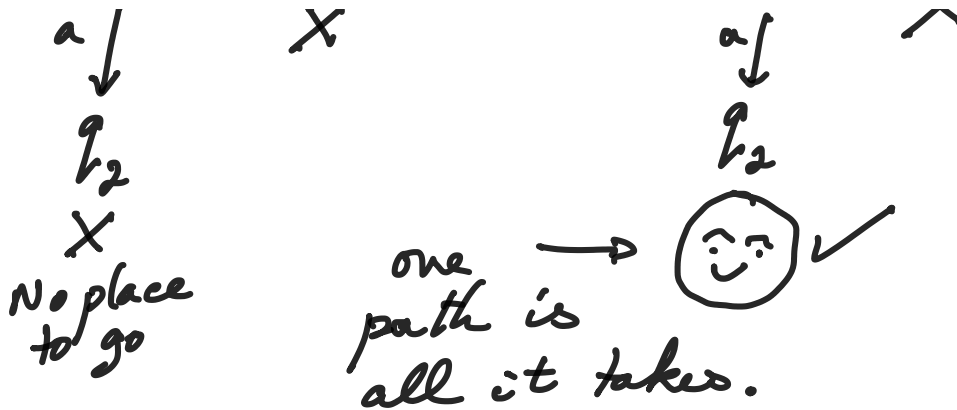
If no transition is indicated the m/c jams and rejects.

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There must exist one possible path to acceptance.

e.g. : baabbaa





Non-determinism is a design tool

We can always design a DFA that accept exactly the same language as an NFA.

ANOTHER EXTENSION: NFA + ϵ moves

This machine can jump to another state without reading an input.

$$DFA \equiv NFA \equiv NFA + \epsilon$$

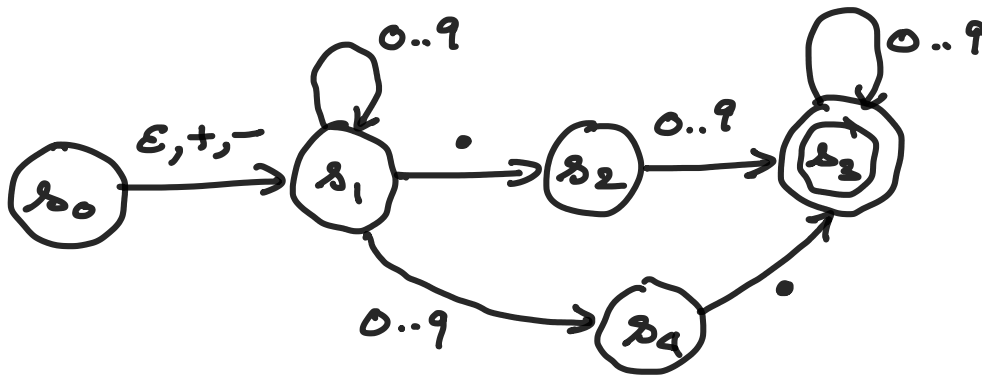
EXAMPLE Recognize decimal numbers

(i) an optional $+$, $-$ sign (ii) a sequence of digits (iii) a decimal point (iv) a seq of digits

$$\Sigma = \{+, -, \cdot, 0, 1, 2, \dots, 9\}$$

307.3, .406, +97., 0.0, -3.1,

The decimal point is mandatory



Test for yourself : 3.5.5, 12345.0

FORMALIZATION OF $L(M)$

DFA $M = (S, q_0, \delta: S \times \Sigma \rightarrow S, F \subseteq S)$.

$\delta(q, a) = q'$

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$\delta^*: S \times \Sigma^* \rightarrow S$

$\forall q, \delta^*(q, \epsilon) = q$

$\delta^*(q, wa) = \delta(\delta^*(q, w), a)$

$L(M)$: language accepted by M

$L(M) = \{w \in \Sigma^* \mid \delta^*(q_0, w) \in F\}$

N is an NFA; what is $L(N)$?

Formal def of NFA = $(Q, Q_0, \Delta, F \subseteq Q)$

- (1) Q : a finite set of states
- (2) $Q_0 \subseteq Q$, a set of start states
- (4) $F \subseteq Q$, a set of accept states
- (3) $\Delta : Q \times \Sigma \rightarrow 2^Q$ ($P(Q)$: power set of Q).

(state \times letter) \mapsto set of possible next states

$\emptyset \in 2^Q$ so it could be that there is no next state: jam & reject.

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$$\Delta^* : Q \times \Sigma^* \rightarrow 2^Q$$

$$\Delta^*(q, \epsilon) = \{q\}$$

$$[a \in \Sigma \quad \Delta^*(q, a) = \Delta(q, a)]$$

$$\Delta^*(q, \omega a) = \bigcup_{q' \in \Delta^*(q, \omega)} \Delta(q', a)$$

$$L(N) = \{ \omega \in \Sigma^* \mid \exists q_0 \in Q_0, \Delta^*(q_0, \omega) \cap F \neq \emptyset \}$$

at least one state where the machine may end up is an accept state.

Then for any NFA N , there exists
a DFA, M s.t. $L(M) = L(N)$

Proof: Given $N = (Q, Q_0, \Delta, F)$

I define $M = (S, s_0, \delta, \hat{F})$ where

$$S = 2^Q \quad s_0 = Q_0 \in 2^Q = S$$

$$\hat{F} = \{A \subseteq Q \mid A \cap F \neq \emptyset\}$$

$$A \subseteq Q, A \in 2^Q = S, \delta(A, a) = \bigcup_{q \in A} \Delta(q, a)$$

This is a DFA, now I have to show

$$L(M) = L(N)$$

lemma $\Delta^*(A, w) = \delta^*(A, w) \quad \forall w \in \Sigma^*$

Proof By induction on the length of $|w|$

Base $|w| = 0$ i.e. $w = \epsilon$

$$\Delta^*(A, \epsilon) = A = \delta^*(A, \epsilon)$$

Ind case let $w = xa$

assume $\forall A \subseteq Q \quad \Delta^*(A, x) = \delta^*(A, x)$

$$\begin{aligned} \delta^*(A, xa) &= \delta(\delta^*(A, x), a) \quad [\text{Def of } \delta^*] \\ &= \delta(\Delta^*(A, x), a) \quad [IH] \\ &= \Delta^*(\delta^*(A, x), a) \quad [\text{Def of } \delta^*] \end{aligned}$$

$$= \Delta(\Delta(q_0, r), r) \quad L \cap T \cup \dots$$

$$= \Delta^*(A, x_a) \quad \checkmark \text{ END of LEMMA}$$

Proof of them completed :

$$L(N) = \{\omega \mid \Delta^*(Q_0, \omega) \cap F \neq \emptyset\}$$

$$= \{\omega \mid \Delta^*(Q_0, \omega) \in \hat{F}\}$$

$$= \{\omega \mid \delta^*(Q_0, \omega) \in \hat{F}\} \quad [\text{LEMMA}]$$

$$= \{\omega \mid \delta^*(q_0, a) \in \hat{F}\}$$

$$= L(M).$$

Bottom Line: **Assignment Project Exam Help**
 DFA, NFA, NFA+ε all have the same expressive power.
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If I ask you to prove that something is regular feel free to construct an NFA+ε or an NFA.

I will often ask: Given a regular language L show that some modified language constructed from L is also regular.

e.g. $L = \dots$

$$L/a := \{w \mid aw \in L\}$$

You solve such questions by showing how to construct an NFA (or NFA+ ϵ) for the modified language given a DFA for the original language.

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