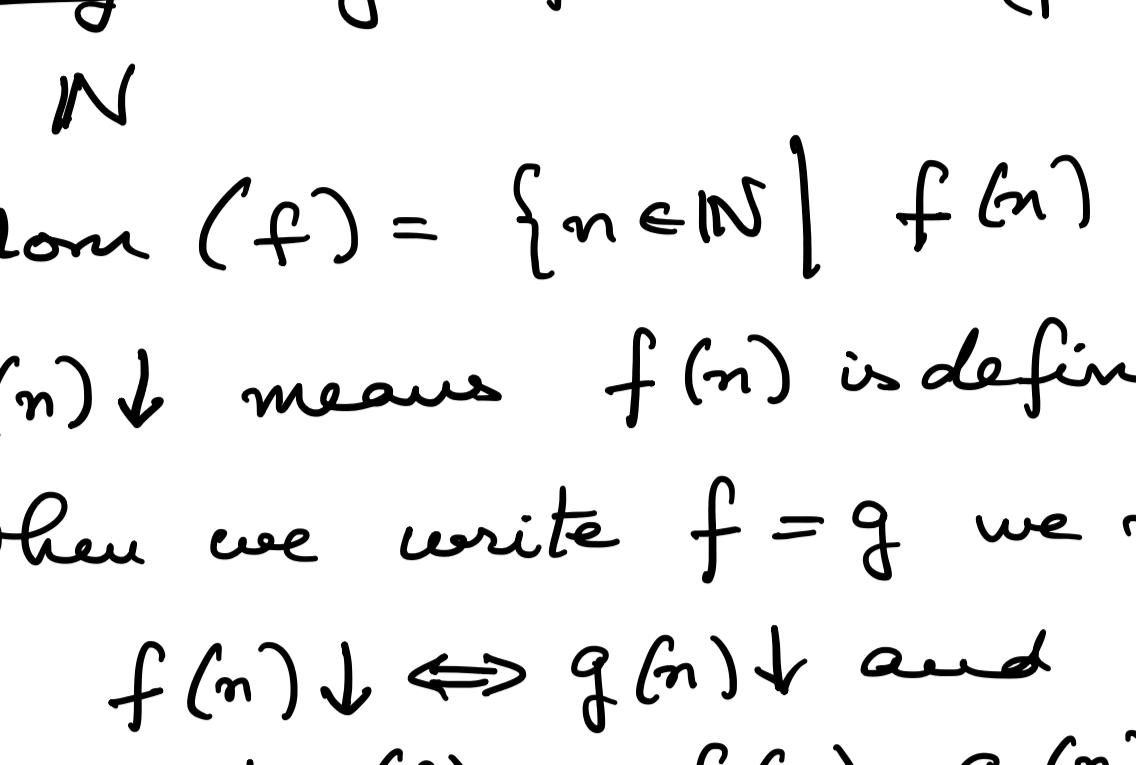


String, numbers, data structures, lists etc can all be encoded one in the other: so it does not matter which data type I am using.

I will use numbers  $\mathbb{N} = \{0, 1, 2, \dots\}$  or strings without remark.

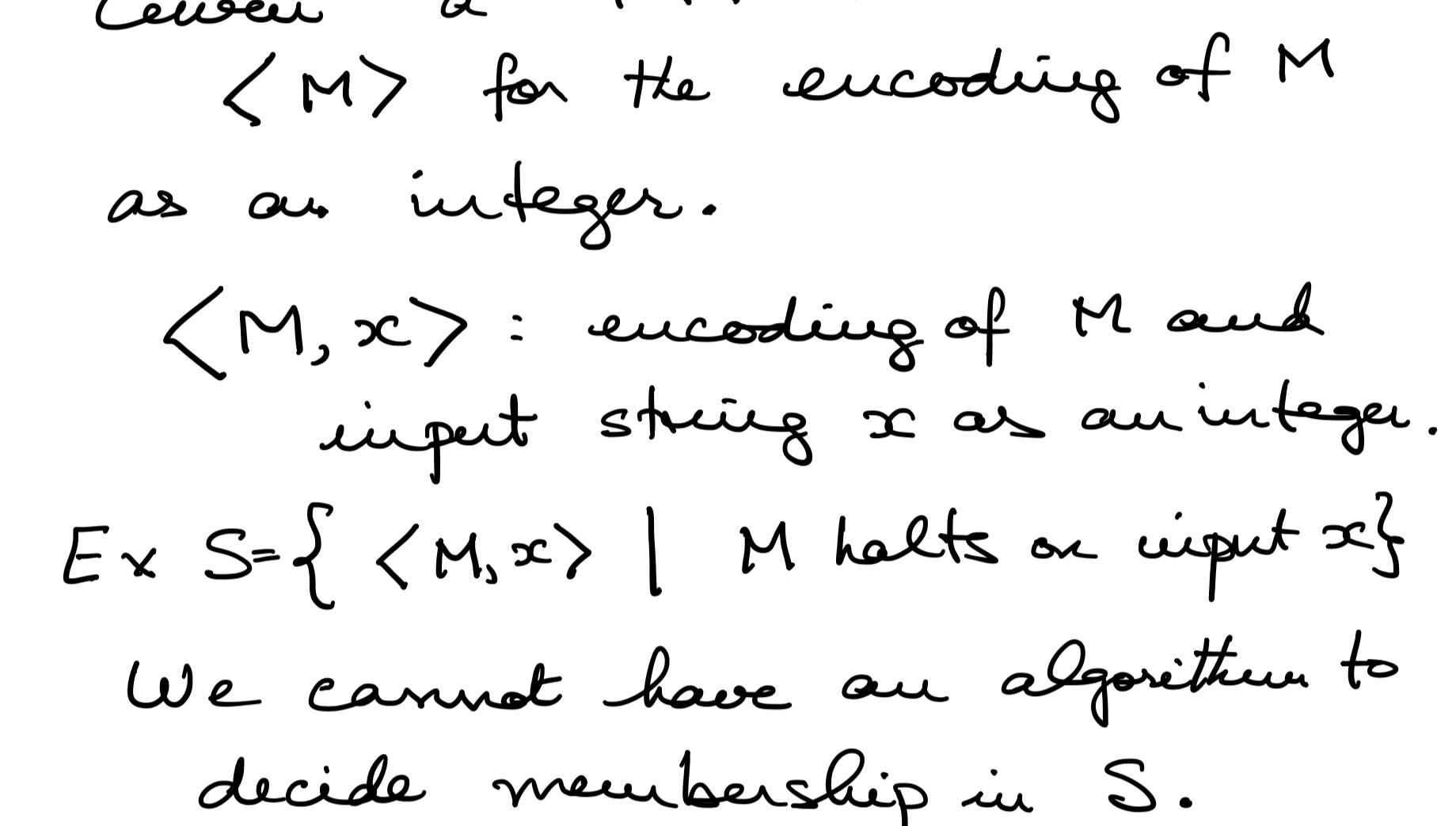


These computations are modelled as functions. BUT we have seen some computations may not halt. So we have to use partial fns

$$f: \mathbb{N} \rightarrow \mathbb{N}$$

Given input  $n \in \mathbb{N}$

$f(n)$  may halt and produce output  $m$ ; we write  $f(n) = m$   
or  $f(n)$  may not be defined:  
we write  $f(n) \uparrow$



$f$  may only be defined on a (proper) subset of  $\mathbb{N}$

$$\text{dom}(f) = \{n \in \mathbb{N} \mid f(n) \text{ is defined}\}$$

$f(n) \downarrow$  means  $f(n)$  is defined.

When we write  $f = g$  we mean

$$\forall n \quad f(n) \downarrow \Leftrightarrow g(n) \downarrow \text{ and}$$

$$\forall n \in \text{dom}(f) \quad f(n) = g(n).$$

What should I use to describe algs?

I will use natural language pseudocode.

A set  $X$  of natural numbers is called computable or decidable

if its characteristic function is total computable.

Given  $X$ ; its characteristic  $f$

$$X_x(n) = \begin{cases} 1 & \text{if } n \in X \\ 0 & \text{if } n \notin X \end{cases}$$

A function is computable if there is an algorithm to compute it.

A function is total if  $\text{dom}(f) = \mathbb{N}$

A function is total computable if the algorithm to compute it halts on every input.

A set is decidable because we have an algorithm that always terminates and answers the membership question.

Ex (1) The set of odd numbers

(2) The set of prime numbers

Given a TM  $M$  we write  $\langle M \rangle$  for the encoding of  $M$  as an integer.

$\langle M, x \rangle$ : encoding of  $M$  and input string  $x$  as an integer.

Ex  $S = \{\langle M, x \rangle \mid M \text{ halts on input } x\}$

We cannot have an algorithm to decide membership in  $S$ .

We call this set  $H_{TM}$

$$A_{TM} = \{\langle M, x \rangle \mid M \text{ accepts } x\}$$

$H_{TM}$  is not decidable but it is semi-decidable.

There is an algorithm that will answer YES every time if  $n \in H_{TM}$  but if  $n \notin H_{TM}$  it may or may not answer.

THM An infinite set  $X$  of natural numbers is computable if and only if it is the range of some total non-decreasing computable function  $f$ .

Proof Suppose we have an  $f$  as described and  $A$  is an algorithm that computes it. I will give you a decision procedure for  $X$ . Given  $x \in \mathbb{N}$ , Run  $A$  with  $0, 1, 2, \dots$  as inputs and check if the output is ever  $x$ . If the output is  $\langle x, \text{more}$  on to the next input, if output =  $x$  STOP and say "YES"; if output  $> x$  STOP and say "NO".

Suppose we know  $X$  is decidable and that  $B$  is a decision procedure for membership in  $X$ . We define  $f$  as follows. We run  $B$  on  $0, 1, 2, \dots$  every time we find a number in  $X$  we store it in a list. Then define  $f(n)$  to be the  $n^{\text{th}}$  element on this list.

DEF A set  $X \subseteq \mathbb{N}$  is called computably enumerable (CE)

if there is an algorithm that lists all the members of  $X$  in some order; not necessarily increasing order.

REMARKS : Producing the whole list is an infinite process, but any given member of the list is produced at some finite stage.

THM A set  $X$  is CE iff any of the following equivalent conditions hold:

(i)  $X$  is the domain of a computable function

$$S_x(n) = \begin{cases} 1 & \text{if } n \in X \\ \text{undefined} & \text{if } n \notin X \end{cases}$$

is computable

(ii)  $X$  is the range of a computable function

IDEA (BAD): Run  $B$  on  $0, 1, 2, 3, \dots$

whenever  $B$  halts on an  $n$  we output  $n$  as our enumeration process.

BUT this will not work because perhaps  $B(0)$  does not halt.

NEW IMPROVED IDEA: DOWNTAILING

$B(0)$   $B(1)$   $B(2)$   $\dots$

$B(0)$  for one step; then store the state

$B(1)$  for one step; then store

$B(2)$  for 2 steps, then store

$B(3)$  for 2 steps then store

$B(0) \dots 3 \dots$

$B(1) \dots 3 \dots$

$B(2) \dots 3 \dots$

$B(3) \dots 3 \dots$

For any  $n \in \text{dom}(f)$  this procedure will eventually run long enough to find out that  $B$  terminates on  $n$ .

This is an enumerator for  $X$

THM The union of 2 CE sets is CE

The intersection of 2 CE sets is CE.

THM (POST)

(a) If  $X$  is a computable (decidable) set then  $X$  is CE. [TRIVIAL]

(b) If  $X$  is CE and  $\bar{X}$  is also CE then  $X$  is computable (decidable).

PROOF (b): Run the enumerators for  $X$  and  $\bar{X}$  in parallel; any  $n \in \mathbb{N}$  must lie in one of these sets so if they are both CE one of them will eventually enumerate it.

THM  $X \subseteq \mathbb{N}$  is CE iff  $\exists$  a computable set  $Y$  of pairs of natural numbers s.t.  $\forall x, x \in X \Leftrightarrow \exists y \in \mathbb{N} (\langle x, y \rangle \in Y)$ .

PROOF Suppose  $X$  is CE, A enumerates it we define  $Y$  as follows

$$Y = \{\langle x, n \rangle \mid A \text{ enumerates } x \text{ within } n \text{ steps}\}$$

Clearly  $Y$  is decidable but clearly

$$\forall x, x \in X \Leftrightarrow \exists n \in \mathbb{N} (\langle x, n \rangle \in Y).$$