

# CS 320 : Functional Programming in Ocaml

(based on slides from David Walker, Princeton,  
Lukasz Zienkiewicz, Buffalo and myself.)

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# Announcements

- Homework ***Assignment #1*** is due Tuesday, February 11 (**Today!**), no later than 11:59 pm.
- Homework ***Assignment #2*** is posted Tuesday, February 11 (**Today!**), and due Friday, February 21.
- Please do not wait until February 21 to start working on homework ***Assignment #2!***

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# Learning Goals for Today

- More on functional programming:

*more complex functions*

*higher-order functions*

*code factoring*

*currying*

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- More on polymorphism

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Functional programming  
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# Factoring Code in OCaml

Consider these definitions:

```
let rec inc_all (xs:int list) : int list =
  match xs with
  | [] -> []
  | hd::tl -> (hd+1)::(inc_all tl)
```

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```
let rec square_all (xs:int list) : int list =
  match xs with
  | [] -> []
  | hd::tl -> (hd*hd)::(square_all tl)
```

# Factoring Code in OCaml

Consider these definitions:

```
let rec inc_all (xs:int list) : int list =
  match xs with
  | [] -> []
  | hd::tl -> (hd+1)::(inc_all tl)
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```
let rec square_all (xs:int list) : int list =
  match xs with
  | [] -> []
  | hd::tl -> (hd*hd)::(square_all tl)
```

The code is almost identical – factor it out!

# Factoring Code in OCaml

A *higher-order* function captures the recursion pattern:

```
let rec map (f:int->int) (xs:int list) : int list =
  match xs with
  | [] -> []
  | hd::tl -> f hd :: map f tl
```

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# Factoring Code in OCaml

A *higher-order* function captures the recursion pattern:

```
let rec map (f:int->int) (xs:int list) : int list =
  match xs with
  | [] -> []
  | hd::tl -> f hd :: map f tl
```

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Uses of the function:

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```
let inc x = x+1
let inc_all xs = map inc xs
```

# Factoring Code in OCaml

A *higher-order* function captures the recursion pattern:

```
let rec map (f:int->int) (xs:int list) : int list =
  match xs with
  | [] -> []
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```

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Uses of the function:

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Writing little  
functions like inc  
just so we call  
map is a pain.

```
let inc x = x+1
let inc_all xs = map inc xs
```

```
let square y = y*y
let square_all xs = map square xs
```

# Factoring Code in OCaml

A higher-order function captures the recursion pattern:

```
let rec map (f:int->int) (xs:int list) : int list =  
  match xs with  
  | [] -> []  
  | hd::tl -> f hd :: map f tl
```

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We can use an  
*anonymous*

function  
instead.

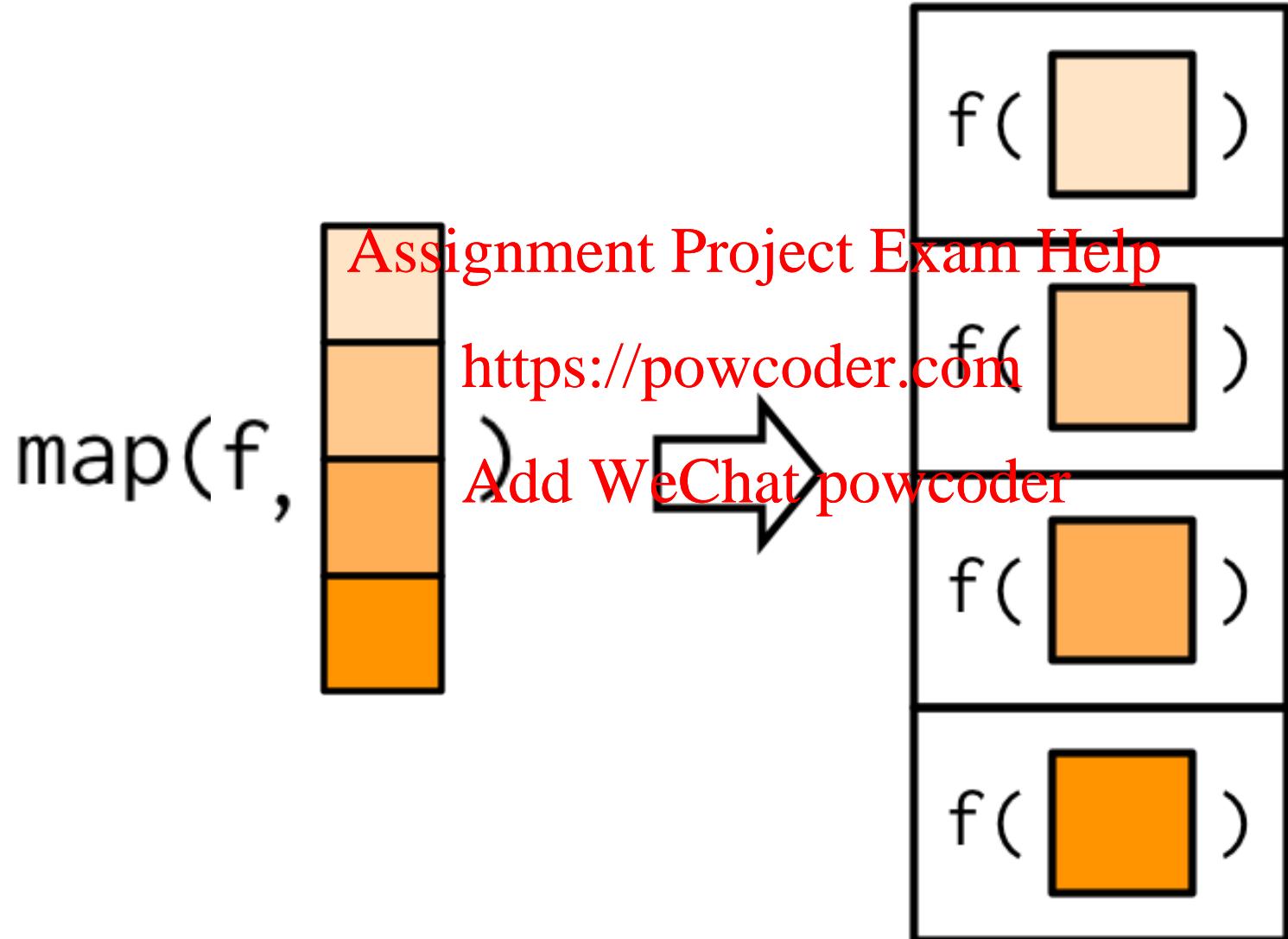
Originally,  
Church wrote  
this function  
using  $\lambda$  instead  
of **fun**:  
 $(\lambda x. x+1)$  or  
 $(\lambda x. x*x)$

Uses of the function:

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```
let inc_all xs = map (fun x -> x + 1) xs
```

```
let square_all xs = map (fun y -> y * y) xs
```



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# Another example

```
let rec sum (xs:int list) : int =  
  match xs with  
  | [] -> 0  
  | hd::tl -> hd + (sum tl)
```

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```
let rec prod (xs:int list) : int  
  match xs with  
  | [] -> 1  
  | hd::tl -> hd * (prod tl)
```

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*Goal:* Create a function called **reduce** that when supplied with a few arguments can implement both sum and prod. Define sum2 and prod2 using reduce.

(Try it)

*Goal:* If you finish early, use map and reduce together to find the sum of the squares of the elements of a list.

(Try it)

# Another example

```
let rec sum (xs:int list) : int =
  match xs with
  | [] -> b
  | hd::tl -> hd + (sum tl)
```

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```
let rec prod (xs:int list) : int
  match xs with
  | [] -> b
  | hd::tl -> hd * (prod tl)
```

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# Another example

```
let rec sum (xs:int list) : int =
  match xs with
  | [] -> b
  | hd::tl -> hd OP (RECURSIVE CALL ON tl)
```

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```
let rec prod (xs:int list) : int
  match xs with
  | [] -> b
  | hd::tl -> hd OP (RECURSIVE CALL ON tl)
```

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b

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# Another example

```
let rec sum (xs:int list) : int =
  match xs with
  | [] -> b
  | hd::tl -> f hd (RECURSIVE CALL ON tl)
```

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```
let rec prod (xs:int list) : int
  match xs with
  | [] -> b
  | hd::tl -> f hd (RECURSIVE CALL ON tl)
```

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# A generic reducer

```
let add x y = x + y
let mul x y = x * y

let rec reduce (f:int->int->int) (b:int) (xs:int list) : int =
  match xs with Assignment Project Exam Help
  | [] -> b
  | hd::tl -> f hd (reduce f b tl)

let sum xs = reduce add 0 xs
let prod xs = reduce mul 1 xs
```

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# A generic reducer

```
let add x y = x + y
let mul x y = x * y

let rec reduce (f:int->int->int) (b:int) (xs:int list) : int =
  match xs with Assignment Project Exam Help
  | [] -> b
  | hd::tl -> f hd (reduce f b tl)

let sum xs = reduce add 0 xs
let prod xs = reduce mul 1 xs
```

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reduce add 0 [1;2;3] ⇒

add 1 (reduce add 0 [2;3]) ⇒

add 1 (add 2 (reduce add 0 [3])) ⇒

add 1 (add 2 (add 3 (reduce add 0 []))) ⇒

add 1 (add 2 (add 3 (reduce add 0 []))) ⇒

add 1 (add 2 3) ⇒

add 1 5 ⇒

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# Using Anonymous Functions

```
let rec reduce (f:int->int->int) (b:int) (xs:int list) : int =
  match xs with Assignment Project Exam Help
  | [] -> b
  | hd::tl -> f hd (reduce f b tl)
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let sum xs = reduce (fun x y -> x+y) 0 xs
let prod xs = reduce (fun x y -> x*y) 1 xs
```

# Using Anonymous Functions

```
let rec reduce (f:int->int->int) (b:int) (xs:int list) : int =
  match xs with Assignment Project Exam Help
  | [] -> b
  | hd::tl -> f hd (reduce f b tl)

let sum xs = reduce (fun x y -> x+y) 0 xs
let prod xs = reduce (fun x y -> x*y) 1 xs

let sum_of_squares xs = sum (map (fun x -> x * x) xs)
let pairify xs = map (fun x -> (x,x)) xs
```

# Using Anonymous Functions

```
let rec reduce (f:int->int->int) (b:int) (xs:int list) : int =
  match xs with Assignment Project Exam Help
  | [] -> b
  | hd::tl -> f hd (reduce f b tl)

let sum xs = reduce (+) 0 xs
let prod xs = reduce (*) 1 xs

let sum_of_squares xs = sum (map (fun x -> x * x) xs)
let pairify xs = map (fun x -> (x,x)) xs
```

# Using Anonymous Functions

```
let rec reduce (f:int->int->int) (b:int) (xs:int list) : int =
  match xs with Assignment Project Exam Help
  | [] -> b
  | hd::tl -> f hd (reduce f b tl)
```

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```
let sum xs = reduce (+) 0 xs
let prod xs = reduce (*) 1 xs
```

wrong

# Using Anonymous Functions

```
let rec reduce (f:int->int->int) (b:int) (xs:int list) : int =
  match xs with Assignment Project Exam Help
  | [] -> b
  | hd::tl -> f hd (reduce f b tl)

let sum xs = reduce (+) 0 xs
let prod xs = reduce (*) 1 xs

let sum_of_squares xs = sum (map (fun x -> x * x) xs)
let pairify xs = map (fun x -> (x,x)) xs
```

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wrong -- creates a comment! ug. OCaml -0.1

# More on Anonymous Functions

Function declarations:

```
let square x = x*x
```

```
let add x y = x+y
```

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are *syntactic sugar* for:

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```
let square = (fun x -> x*x)
```

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```
let add = (fun x y -> x+y)
```

In other words, *functions are values* we can bind to a variable,  
just like 3 or “moo” or true.

Functions are 2<sup>nd</sup> class no more!

# One argument, one result

Simplifying further:

```
let add = (fun x y -> x+y)
```

is shorthand for: Assignment Project Exam Help

<https://powcoder.com>

```
let add = (fun x -> (fun y -> x+y))
```

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That is, add is a function which:

- when given a value x, *returns a function* ( $\text{fun } y \rightarrow x+y$ ) which:
  - when given a value y, returns  $x+y$ .

# Curried Functions

*Currying: verb. gerund or present participle*

- (1) to prepare or flavor with hot-tasting spices
- (2) to encode a multi-argument function using nested, higher-order functions.

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(1) Add WeChat powcoder



(2)

```
fun x -> (fun y -> x+y) (* curried *)
fun x y -> x + y          (* curried *)
fun (x,y) -> x+y           (* uncurried *)
```

# What is the type of add?

```
let add = (fun x -> (fun y -> x+y) )
```

Add's type is:

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```
int -> int -> int
```

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which we can write as:

```
int -> int -> int
```

That is, the arrow type is right-associative.

# What's so good about Currying?

In addition to simplifying the language, currying functions so that they only take one argument leads to two major wins:

1. We can *partially apply* a function.
2. We can more easily *compose* functions.

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# Partial Application

```
let add = (fun x -> (fun y -> x+y))
```

Curried functions allow defs of new, *partially applied* functions:

```
let inc = add 1
```

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Equivalent to writing: <https://powcoder.com>

```
let inc = (fun y -> 1+y)
```

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which is equivalent to writing:

```
let inc y = 1+y
```

also:

```
let inc2 = add 2  
let inc3 = add 3
```

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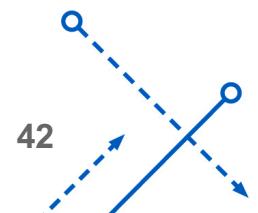
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Polymorphism  
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# Here's an annoying thing

```
let rec map (f:int->int) (xs:int list) : int list =  
  match xs with  
  | [] -> []  
  | hd::tl -> (f hd)::(map f tl);;
```

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What if I want to increment a list of floats?

<https://powcoder.com>

Alas, I can't just call this map. It works on ints!

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# Here's an annoying thing

```
let rec map (f:int->int) (xs:int list) : int list =  
  match xs with  
  | [] -> []  
  | hd::tl -> (f hd)::(map f tl);;
```

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What if I want to increment a list of floats?

<https://powcoder.com>

Alas, I can't just call this map. It works on ints!

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```
let rec mapfloat (f:float->float) (xs:float list) :  
  float list =  
  match xs with  
  | [] -> []  
  | hd::tl -> (f hd)::(mapfloat f tl);;
```



# Turns out

```
let rec map f xs =  
  match xs with  
  | [] -> []  
  | hd::tl -> (f hd) :: (map f tl)
```

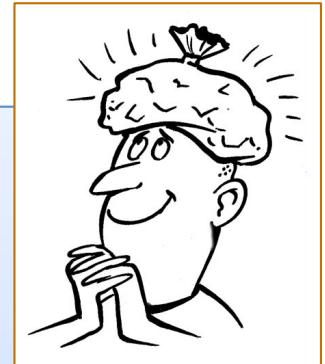
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```
let ints = map (fun x -> x + 1) [1; 2; 3; 4]
```

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```
let floats = map (fun x -> x +. 2.0) [3.1415; 2.718]
```

```
let strings = map String.uppercase ["sarah"; "joe"]
```



# Type of the undecorated map?

```
let rec map f xs =
  match xs with
  | [] -> []
  | hd::tl -> (f hd) :: (map f tl)
```

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map : ('a -> 'b) -> 'a list -> 'b list

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# Type of the undecorated map?

```
let rec map f xs =
  match xs with
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```

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map : ('a -> 'b) -> 'a list -> 'b list

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We often use greek letters like  $\alpha$  or  $\beta$  to represent type variables.

Read as:

- for any types '**a** and '**b**,
- if you give map a function from '**a** to '**b**,
- it will return a function
  - which when given a **list of '**a** values**
  - returns a **list of '**b** values**.

# We can say this explicitly

```
let rec map (f:'a -> 'b) (xs:'a list) : 'b list =
  match xs with
  | [] -> []
  | hd::tl -> (f hd)::(map f tl)
```

map : ('a -> 'b) > 'a list -> 'b list

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The OCaml compiler is smart enough to figure out that this is the *most general* type that you can assign to the code.

We say map is *polymorphic* in the types 'a and 'b – just a fancy way to say map can be used on any types 'a and 'b.

Java generics derived from ML-style polymorphism (but added after the fact and more complicated due to subtyping)

# More realistic polymorphic functions

```
let rec merge (lt:'a->'a->bool) (xs:'a list) (ys:'a list) : 'a list =
  match (xs,ys) with
  | ([],_) -> ys
  | (_,[]) -> xs
  | (x::xst, y::yst) ->
    if lt x y then x::(merge lt xst ys)
    else y::(merge lt xs yst)
```

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```
let rec split (xs:'a list,ys:'a list,zs:'a list) : 'a list * 'a list =
  match xs with
  | [] -> (ys, zs)
  | x::rest -> split rest zs (x::ys)
```

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```
let rec mergesort (lt:'a->'a->bool) (xs:'a list) : 'a list =
  match xs with
  | [] | _::[] -> xs
  | _ -> let (first,second) = split xs [] [] in
    merge lt (mergesort lt first) (mergesort lt second)
```

# More realistic polymorphic functions

```
mergesort : ('a->'a->bool) -> 'a list -> 'a list
```

```
mergesort (<) [3;2;7;1]
```

```
== [1;2;3;7] Assignment Project Exam Help
```

```
mergesort (>) [2; 3; 4]  
== [4; 3; 2] https://powcoder.com
```

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```
mergesort (fun x y -> String.compare x y < 0) ["Hi"; "Bi"]  
== ["Bi"; "Hi"]
```

```
let int_sort = mergesort (<)
```

```
let int_sort_down = mergesort (>)
```

```
let str_sort = mergesort (fun x y -> String.compare x y < 0)
```

# Another Interesting Function

```
let comp f g x = f (g x)
```

```
let mystery = comp (add 1) square
```



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let comp = fun f -> (fun g -> (fun x -> f (g x)))

let mystery = comp (add 1) square

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let mystery =  
(fun f -> (fun g -> (fun x -> f (g x)))) (add 1) square

let mystery =  
fun x -> (add 1) (square x)



let mystery x = add 1 (square x)