

# CS 320 : Functional Programming in Ocaml

(based on slides from David Walker, Princeton,  
Lukasz Zienkiewicz, Buffalo and myself.)

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In the previous classes...  
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# What is a Functional Language

A functional language:

- defines programs in a way similar to the one we use to define mathematical functions,  
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- avoids the use of mutable states (states that can change) in describing what a program should do.  
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In a functional language, the information is maintained by the computation.



# OCaml

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A good functional language part of the ML family.

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- Small core language,
- Supports first-class higher order functions,
- Lexically scoped
- Statically strongly typed
- Type inference
- It has a good community: ocalm.org

# Terminology: Expressions, Values, Types

- **Expressions** are computations
  - $2 + 3$  is a computation
- **Values** are the results of computations
  - 5 is a value
- **Types** describe collections of values and the computations that generate those values
  - int is a type
  - values of type int include
    - 0, 1, 2, 3, ..., max\_int
    - -1, -2, ..., min\_int

# Type Checking Rules

- Example rules:

- (1)  $0 : \text{int}$  (and similarly for any other integer constant n)
- (2)  $"abc" : \text{string}$  (and similarly for any other string constant "...")

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- (3) if  $e1 : \text{int}$  and  $e2 : \text{int}$  (4) if  $e1 : \text{int}$  and  $e2 : \text{int}$   
then  $e1 + e2 : \text{int}$  <https://powcoder.com> then  $e1 * e2 : \text{int}$
- (5) if  $e1 : \text{string}$  and  $e2 : \text{string}$  (6) if  $e : \text{int}$   
then  $e1 ^ e2 : \text{string}$  then  $\text{string\_of\_int } e : \text{string}$

- Using the rules:

$2 : \text{int}$  and  $3 : \text{int}$ . (By rule 1)  
Therefore,  $(2 + 3) : \text{int}$  (By rule 3)  
 $5 : \text{int}$  (By rule 1)

# Type Soundness

*“Well typed programs do not go wrong”*

Programming languages with this property have **sound** type systems. They are called **safe** languages.

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Safe languages are generally immune to buffer overrun vulnerabilities, uninitialized pointer vulnerabilities, etc., etc.

(but not immune to all bugs!) Add WeChat powcoder

Safe languages: ML, Java, Python, ...

Unsafe languages: C, C++, Pascal

# Back to Let Expressions ... Typing

x granted type of e1 for use in e2

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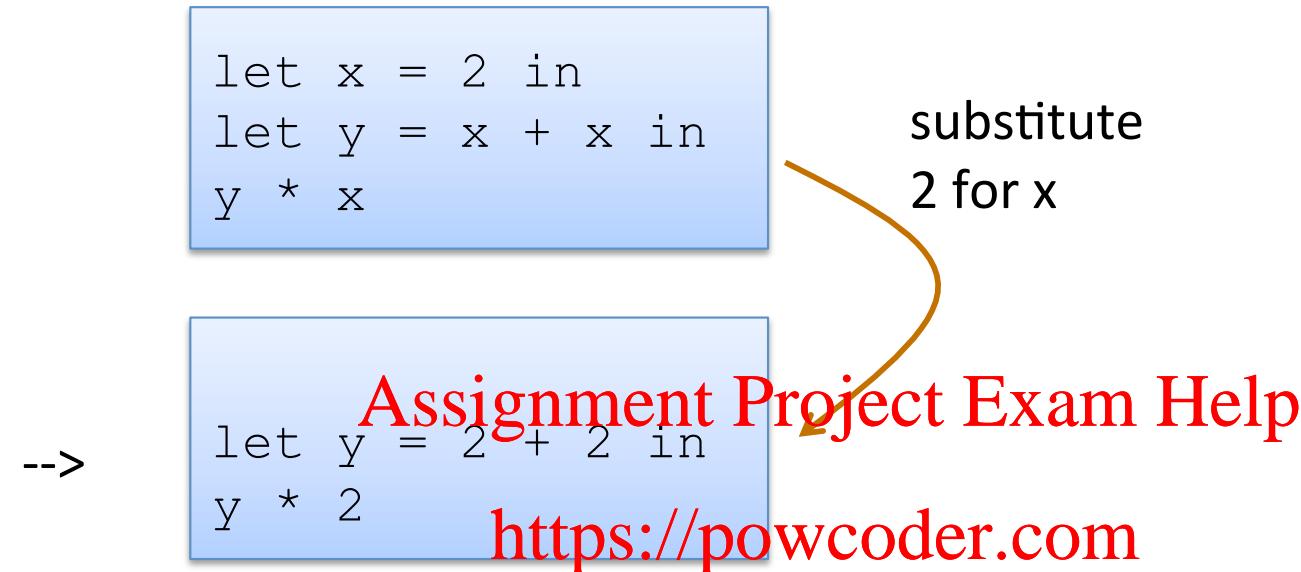
overall expression  
takes on the type of e2

x has type int  
for use inside the  
let body

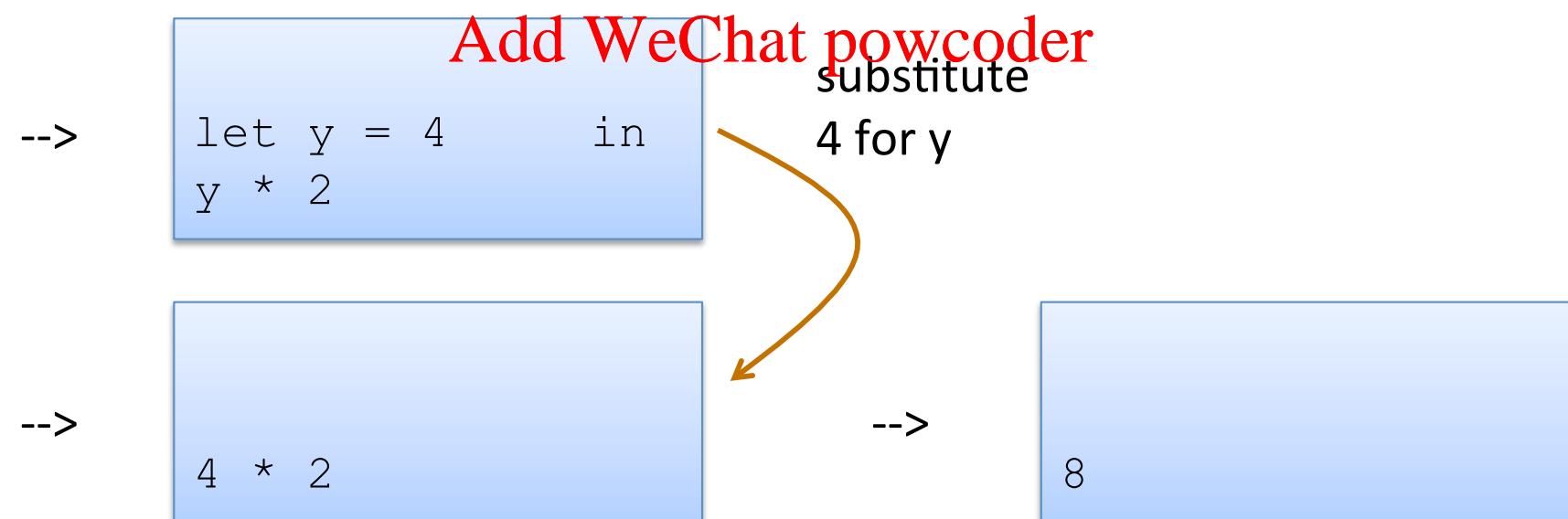
```
let x = 3 + 4 in  
  string_of_int x
```

overall expression  
has type string

# Another Example



**Moral:** Let operates by *substituting* computed values for variables



# Learning Goals for today

- Function Type
  - Tuples
  - Option Types
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<https://powcoder.com>  
Basic pattern matching  
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Functions Add WeChat powcoder

# Defining functions

```
let add_one (x:int) : int = 1 + x
```

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# Defining functions

let keyword

```
let add_one (x:int) : int = 1 + x
```

function name

argument name

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type of argument

type of result

expression  
that computes  
value produced  
by function

Note: recursive functions begin with "let rec"

# Defining functions

- Nonrecursive functions:

```
let add_one (x:int) : int = 1 + x  
let add_two (x:int) : int = add_one (add_one x)
```

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definition of add\_one  
must come before use

# Defining functions

- Nonrecursive functions:

```
let add_one (x:int) : int = 1 + x
```

```
let add_two (x:int) : int = add_one (add_one x)
```

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- With a local definition:

local function definition  
hidden from clients

```
let add_two' (x:int) : int =
  let add_one x = 1 + x in
    add_one (add_one x)
```

I left off the types.  
O'Caml figures them out

Good style: types on  
top-level definitions

# Types for Functions

Some functions:

```
let add_one (x:int) : int = 1 + x  
  
let add_two (x:int) : int = add_one (add_one x)  
  
let add (x:int)(y:int) : int = x + y
```

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function with two arguments

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Types for functions:

```
add_one : int -> int  
  
add_two : int -> int  
  
add : int -> int -> int
```

# Rule for type-checking functions

General Rule:

If a function  $f : T1 \rightarrow T2$   
and an argument  $e : T1$   
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then  $f e : T2$

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Example:

```
add_one : int -> int  
3 + 4 : int  
add_one (3 + 4) : int
```

# Rule for type-checking functions

- Recall the type of add:

Definition:

```
let add (x:int) (y:int) : int =  
    x + Assignment Project Exam Help
```

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Type:

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```
add : int -> int -> int
```

# Rule for type-checking functions

- Recall the type of add:

Definition:

```
let add (x:int) (y:int) : int =  
    x + Assignment Project Exam Help
```

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Type:

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```
add : int -> int -> int
```

Same as:

```
add : int -> (int -> int)
```

# Rule for type-checking functions

General Rule:

If a function  $f : T_1 \rightarrow T_2$   
and an argument  $e : T_1$   
then  $f e : T_2$

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$A \rightarrow B \rightarrow C$

same as:

$A \rightarrow (B \rightarrow C)$

Example:

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```
add : int -> int -> int
```

```
3 + 4 : int
```

```
add (3 + 4) : ???
```

# Rule for type-checking functions

General Rule:

If a function  $f : T_1 \rightarrow T_2$   
and an argument  $e : T_1$   
then  $f e : T_2$

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$A \rightarrow B \rightarrow C$

same as:

$A \rightarrow (B \rightarrow C)$

Example:

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```
add : int -> (int -> int)
```

```
3 + 4 : int
```

```
add (3 + 4) :
```

# Rule for type-checking functions

General Rule:

If a function  $f : T_1 \rightarrow T_2$   
and an argument  $e : T_1$   
then  $f e : T_2$

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$A \rightarrow B \rightarrow C$

same as:

$A \rightarrow (B \rightarrow C)$

Example:

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```
add : int -> (int -> int)
      _____
      |
3 + 4 : int
      |
      v
add (3 + 4) : int -> int
```

# Rule for type-checking functions

General Rule:

If a function  $f : T_1 \rightarrow T_2$   
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$A \rightarrow B \rightarrow C$

same as:

$A \rightarrow (B \rightarrow C)$

Example:

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```
add : int -> int -> int
```

```
3 + 4 : int
```

```
add (3 + 4) : int -> int
```

```
(add (3 + 4)) 7 : int
```

# Rule for type-checking functions

General Rule:

If a function  $f : T_1 \rightarrow T_2$   
and an argument  $e : T_1$   
then  $f e : T_2$

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$A \rightarrow B \rightarrow C$

same as:

$A \rightarrow (B \rightarrow C)$

Example:

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```
add : int -> int -> int
```

```
3 + 4 : int
```

```
add (3 + 4) : int -> int
```

```
add (3 + 4) 7 : int
```

# Rule for type-checking functions

Example:

```
let munge (b:bool) (x:int) : ?? =
  if not b then
    string_of_int x
  else "hello"
;; https://powcoder.com
```

let y = 17;  
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```
munge (y > 17) : ??  
  
munge true (f (munge false 3)) : ??  
f : ??  
  
munge true (g munge) : ??  
g : ??
```

# Rule for type-checking functions

Example:

```
let munge (b:bool) (x:int) : ?? =
  if not b then
    string_of_int x
  else "hello"
;; https://powcoder.com

let y = 17; Add WeChat powcoder
```

```
munge (y > 17) : ??  
  
munge true (f (munge false 3)) : ??  
  f : string -> int  
  
munge true (g munge) : ??  
  g : (bool -> int -> string) -> int
```

# One key thing to remember

- If you have a function  $f$  with a type like this:

$A \rightarrow B \rightarrow C \rightarrow D \rightarrow E \rightarrow F$

- Then each time you add an argument, you can get the type of the result by ~~Assignment Project Exam Help Knocking off the first type in the series~~

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f a1 : B  $\rightarrow$  AddWEChat powcoder

f a1 a2 : C  $\rightarrow$  D  $\rightarrow$  E  $\rightarrow$  F (if a2 : B)

f a1 a2 a3 : D  $\rightarrow$  E  $\rightarrow$  F (if a3 : C)

f a1 a2 a3 a4 a5 : F (if a4 : D and a5 : E)

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Tuples

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# Tuples

- A tuple is a fixed, finite, ordered collection of values
- Some examples with their types:

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(1, 2)

<https://powcoder.com> int

("hello", 7 + 3, true)

Add WeChat powcoder string \* int \* bool

('a', ("hello", "goodbye")) : char \* (string \* string)

# Tuples

- To use a tuple, we extract its components
- General case:

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```
let (id1, id2, ..., idn) ← e1 in e2
```

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- An example:

```
let (x, y) = (2, 4) in x + x + y
```

# Tuples

- To use a tuple, we extract its components
- General case:

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```
let (id1, id2, ..., idn) ← e1 in e2
```

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- An example:

```
let (x, y) = (2, 4) in x + x + y  
--> 2 + 2 + 4
```

substitute!

# Tuples

- To use a tuple, we extract its components
- General case:

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```
let (id1, id2, ..., idn) ← e1 in e2
```

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- An example:

```
let (x, y) = (2, 4) in x + x + y
--> 2 + 2 + 4
--> 8
```

# Rules for Typing Tuples

```
if e1 : t1 and e2 : t2  
then (e1, e2) : t1 * t2
```

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# Rules for Typing Tuples

if  $e1 : t1$  and  $e2 : t2$   
then  $(e1, e2) : t1 * t2$

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if  $e1 : t1 * t2$  then  
 $x1 : t1$  and  $x2 : t2$   
inside the expression  $e2$

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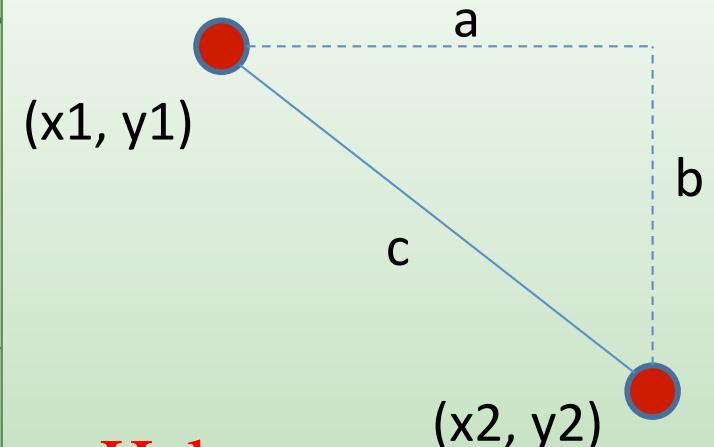
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let  $(x1, x2) = e1$  in  
 $e2$

overall expression  
takes on the type of  $e2$

# Distance between two points

$$c^2 = a^2 + b^2$$



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**Problem:**

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- A point is represented as a pair of floating point values.
- Write a function that takes in two points as arguments and returns the distance between them as a floating point number

# Writing Functions Over Typed Data

Steps to writing functions over typed data:

1. Write down the function and argument names
2. Write down argument and result types
3. Write down some examples (in a comment)

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# Writing Functions Over Typed Data

Steps to writing functions over typed data:

1. Write down the function and argument names
2. **Write down argument and result types**
3. Write down some examples (in a comment)
4. **Deconstruct input data structures**
  - *the argument types suggests how to do it*
5. **Build new output values**
  - *the result type suggests how you do it*

# Writing Functions Over Typed Data

Steps to writing functions over typed data:

1. Write down the function and argument names
2. **Write down** argument and result **types**
3. Write down some examples (in a comment)
4. **Deconstruct** input data structures  
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  - *the argument types suggests how to do it*
5. **Build** new output values  
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  - *the result type suggests how you do it*
6. **Clean up** by identifying repeated patterns
  - define and reuse helper functions
  - your code should be elegant and easy to read

# Writing Functions Over Typed Data

Steps to writing functions over typed data:

1. Write down the function and argument names
2. **Write down argument and result types**
3. Write down some examples (in a comment)
4. **Deconstruct input data structures**
  - *the argument types suggests how to do it*
5. **Build new output values**
  - *the result type suggests how you do it*
6. Clean up by identifying repeated patterns
  - define and reuse helper functions
  - your code should be elegant and easy to read

*Types help structure your thinking about how to write programs.*

# Distance between two points

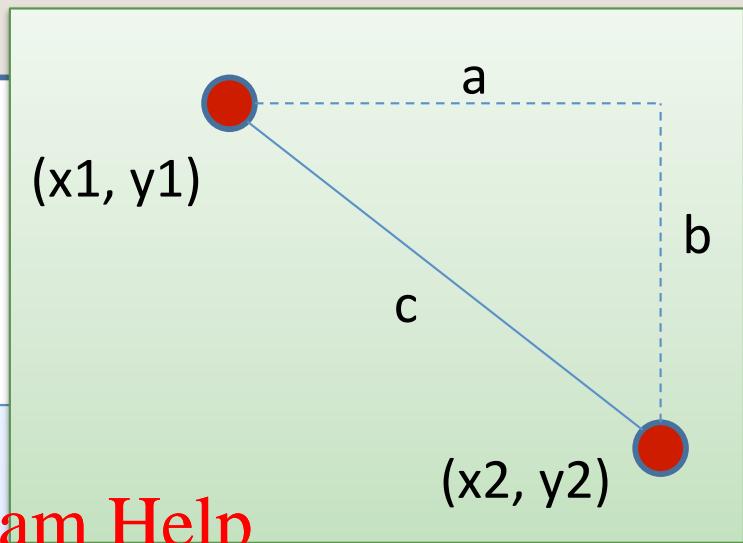
a type abbreviation

```
type point = float * float
```

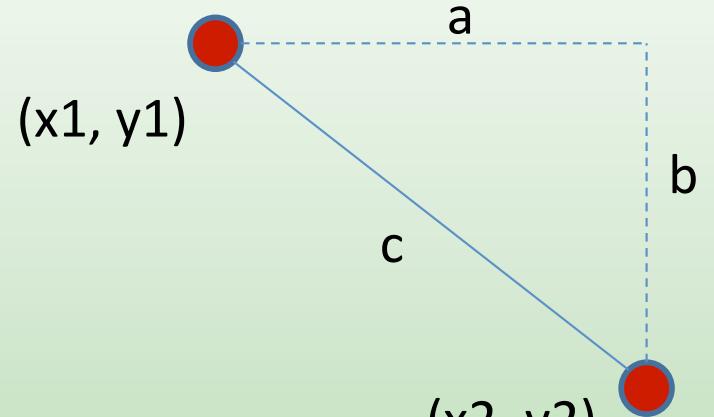
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# Distance between two points



```
type point = float * float
```

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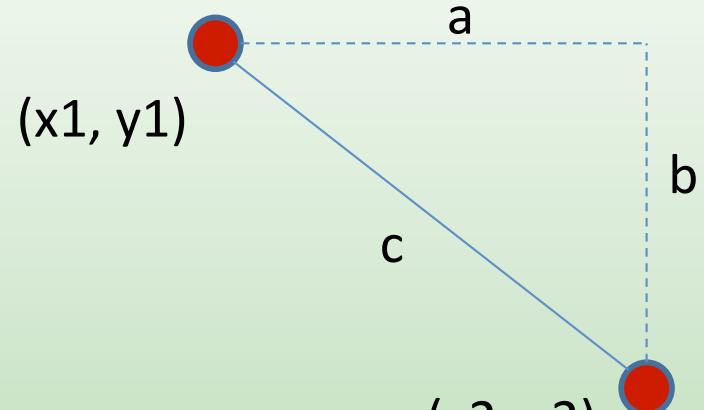
```
let distance (p1:point) (p2:point): float =
```

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write down function name  
argument names and types

# Distance between two points



```
type point = float * float
```

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```
let distance (p1:point) (p2:point): float =
```

```
let (x1,y1) = p1 in
```

```
let (x2,y2) = p2 in
```

```
...
```

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deconstruct  
function inputs

# Distance between two points

```
type point = float * float
```

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```
let distance (p1:point) (p2:point): float =
```

```
let (x1,y1) = p1 in
```

```
let (x2,y2) = p2 in
```

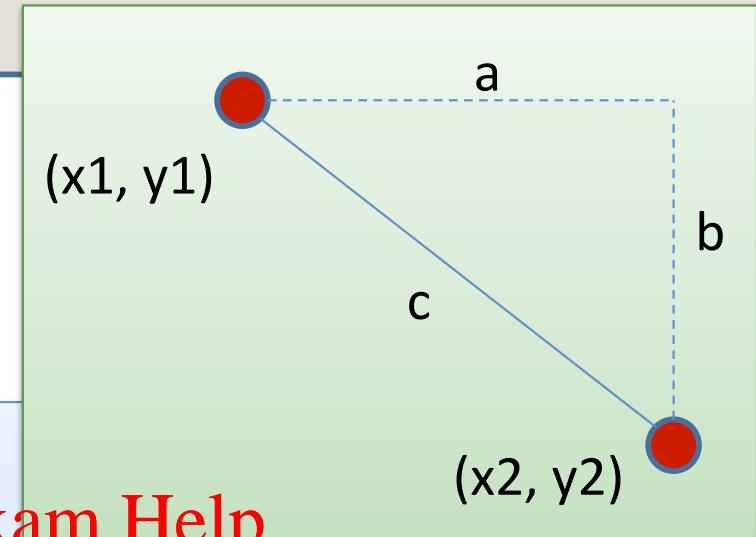
```
sqrt ((x2 -. x1) *. (x2 -. x1) +.
```

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$$\sqrt{(y2 - y1)^2 + (x2 - x1)^2}$$

} compute  
function  
results

notice operators on  
floats have a ":" in them



# Distance between two points

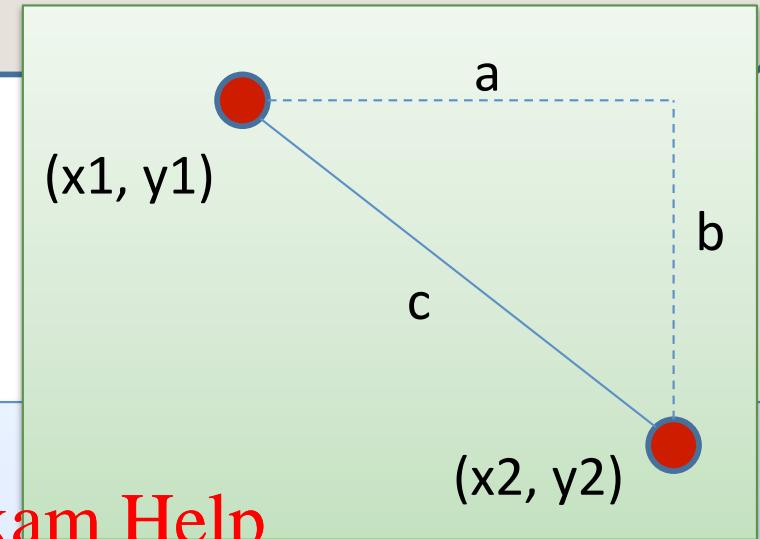
```
type point = float * float
```

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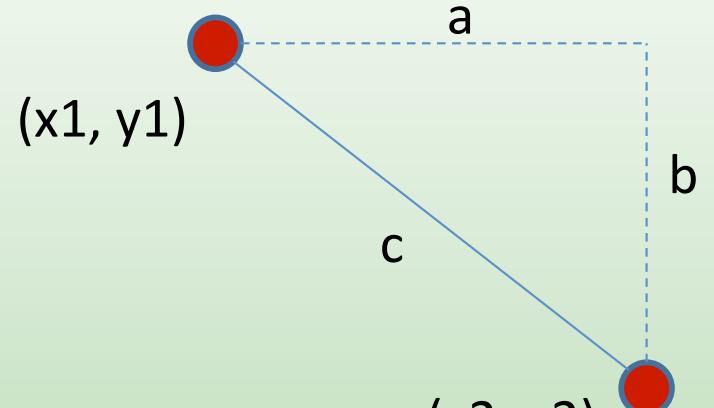
```
let distance (p1:point) (p2:point): float =
  let square x = x *. x in
  let (x1,y1) = p1 in
  let (x2,y2) = p2 in
  sqrt (square (x2 -. x1)) +.
    square (y2 -. y1))
```

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define helper functions to  
avoid repeated code



# Distance between two points



```
type point = float * float
```

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```
let distance (p1:point) (p2:point): float =
  let square x = x *. x in
  let (x1,y1) = p1 in
  let (x2,y2) = p2 in
  sqrt (square (x2 -. x1) +. square (y2 -. y1))
```

```
let pt1 = (2.0,3.0)
let pt2 = (0.0,1.0)
let dist12 = distance pt1 pt2
```

testing

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Unit

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# Tuples

- Here's a tuple with 2 fields:

(4.0, 5.0) : float \* float

- Here's a tuple with 3 fields:

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(4.0, 5, "hello") : float \* int \* string  
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- Here's a tuple with 4 fields:

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(4.0, 5, "hello", 55) : float \* int \* string \* int

# Tuples

- Here's a tuple with 2 fields:

(4.0, 5.0) : float \* float

- Here's a tuple with 3 fields:

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(4.0, 5, "hello") : float \* int \* string  
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- Here's a tuple with 4 fields:

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(4.0, 5, "hello", 55) : float \* int \* string \* int

- Here's a tuple with 0 fields:

() : unit

# Unit

- Unit is the tuple with zero fields!

(() : unit)



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- the unit value is written with a pair of parens
- there are no other values with this type!

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# Unit

- Unit is the tuple with zero fields!

(() : unit)



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- the unit value is written with a pair of parens
- there are no other values with this type!

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- Why is the unit type and value useful?
- Every expression has a type:

(print\_string "hello world\n") : ???

# Unit

- Unit is the tuple with zero fields!

(() : unit)



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- the unit value is written with a pair of parens
- there are no other values with this type!

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- Why is the unit type and value useful?
- Every expression has a type:

(print\_string "hello world\n") : unit

- Expressions executed for their *effect* return the unit value

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Options      Add WeChat powcoder

# Options

A value **v** has type **t option** if it is either:

- the value **None**, or
- a value **Some v'**, and **v'** has type **t**

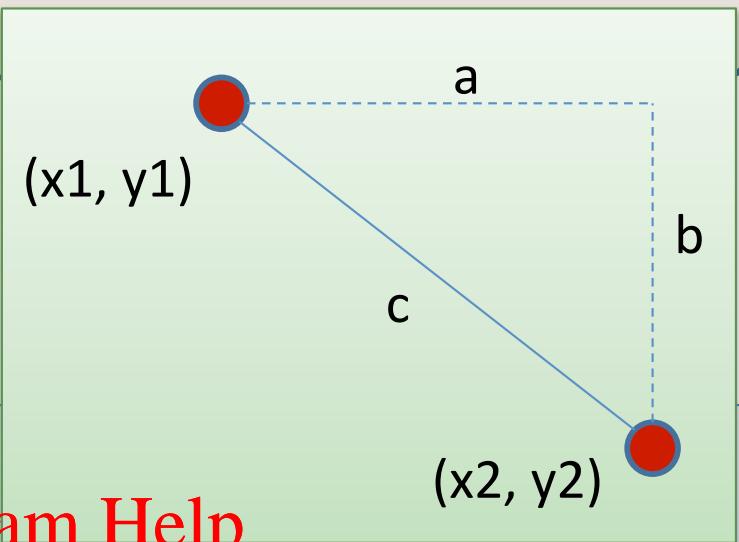
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Options can signal the result of the computation

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Example: we look up a value in a hash table using a key.

- If the key is present, return **Some v** where **v** is the associated value
- If the key is not present, we return **None**

# Slope between two points



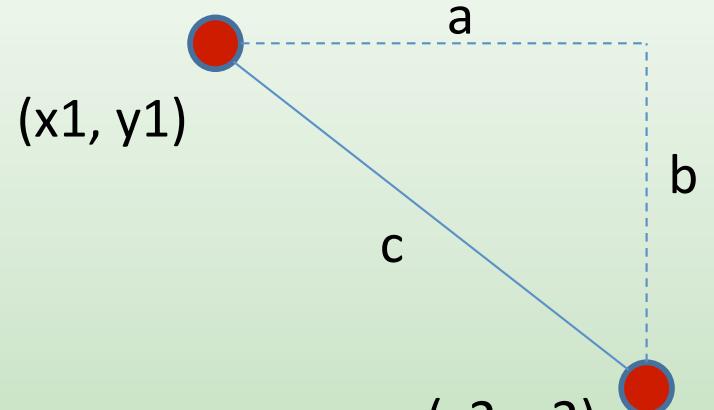
```
type point = float * float
```

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```
let slope (p1:point) (p2:point) : float =
```

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# Slope between two points



```
type point = float * float
```

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```
let slope (p1:point) (p2:point) : float =  
  let (x1, y1) = p1 in  
  let (x2, y2) = p2 in
```

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deconstruct tuple

# Slope between two points

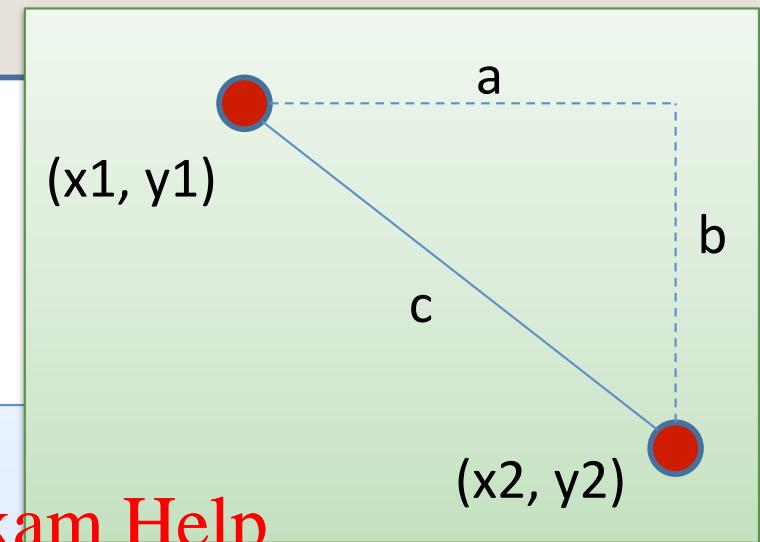
```
type point = float * float
```

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```
let slope (p1:point) (p2:point) : float =
  let (x1, y1) = p1 in
  let (x2, y2) = p2 in
  let xd = x2 -. x1 in
  if xd != 0.0 then
    (y2 -. y1) /. xd
  else
    ???
```

avoid divide by zero

what can we return?



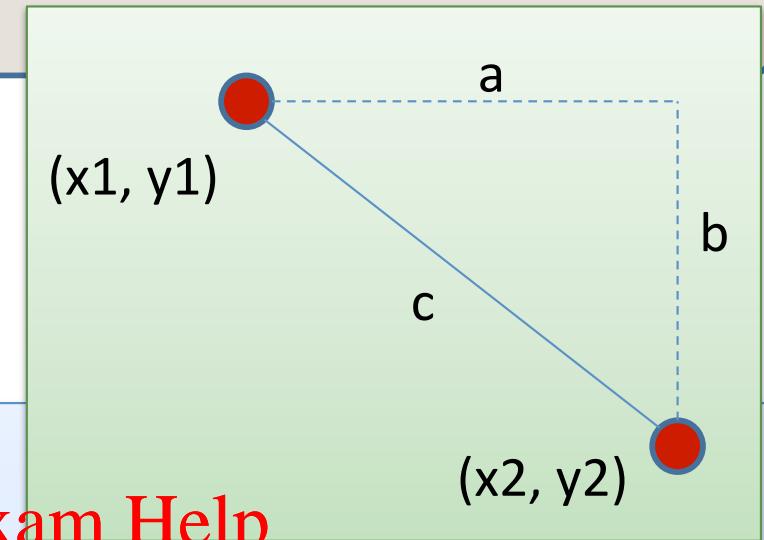
# Slope between two points

```
type point = float * float
```

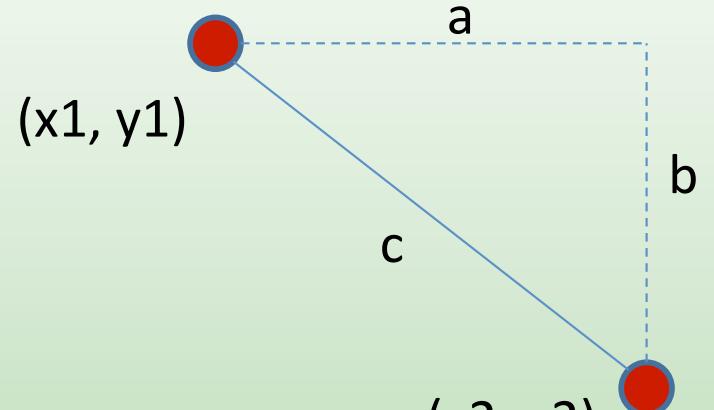
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```
let slope (p1:point) (p2:point) : float option =
  let (x1,y1) = p1 in
  let (x2,y2) = p2 in
  let xd = x2 -. x1 in
  if xd != 0.0 then
    ???
  else
    ???
```

we need an option type as the result type



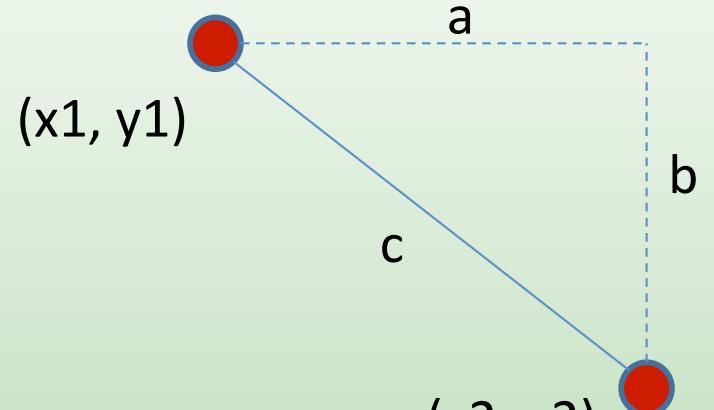
# Slope between two points



```
type point = float * float  
Assignment Project Exam Help  
let slope (p1:point) (p2:point) : float option =  
  let (x1,y1) = p1 in  
  let (x2,y2) = p2 in  
  let xd = x2 -. x1 in  
  if xd != 0.0 then  
    Some ((y2 -. y1) /. xd)  
  else  
    None
```

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# Slope between two points



```
type point = float * float
```

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```
let slope (p1:point) (p2:point) : float option =
```

let  $(x_1, y_1)$  = p1 in

let  $(x_2, y_2)$  = p2 in

let  $xd = x_2 - x_1$  in

if  $xd \neq 0.0$  then

$\underline{(y_2 - y_1) / . xd}$

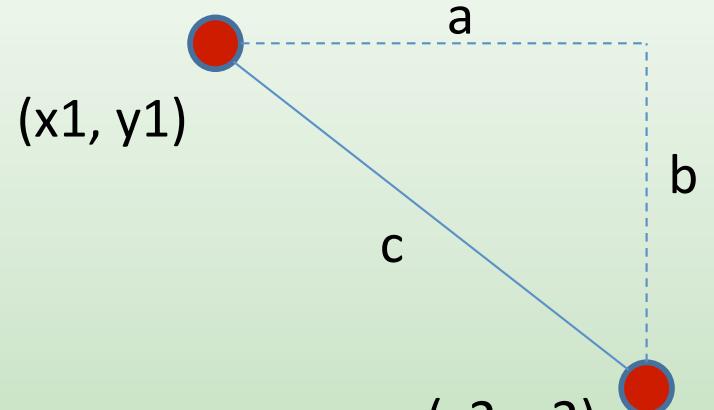
else

None

Has type **float**

Can have type **float option**

# Slope between two points



```
type point = float * float
```

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```
let slope (p1:point) (p2:point) : float option =
```

let  $(x_1, y_1) = p_1$  in

let  $(x_2, y_2) = p_2$  in

let  $xd = x_2 - x_1$  in

if  $xd \neq 0.0$  then

$\frac{(y_2 - y_1)}{xd}$

else

**None**

Can have type **float option**

Has type **float**

WRONG: Type mismatch

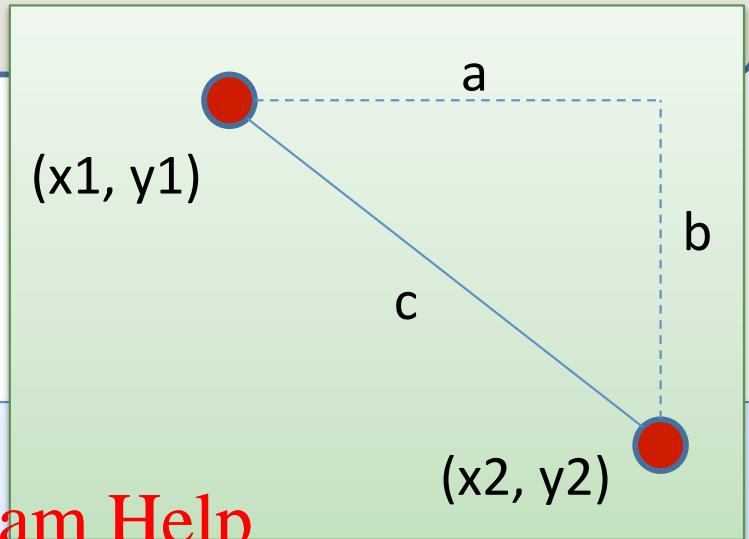
# Slope between two points

```
type point = float * float
```

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```
let slope (p1:point) (p2:point) : float option =
  let (x1,y1) = p1 in
  let (x2,y2) = p2 in
  let xd = x2 -. x1 in
  if xd != 0.0 then
    (y2 -. y1) /. xd
  else
    None
```

Has type **float**



doubly WRONG:  
result does not  
match declared result

# Remember the typing rule for if

```
if e1 : bool  
and e2 : t and e3 : t (for some type t)  
then if e1 then e2 else e3 : t
```

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Returning an optional value from an if statement:

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```
if ... then  
  
    None      : t option  
  
else  
  
    Some ( ... )  : t option
```

# How do we use an option?

slope : point -> point -> float option

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returns a float option

# How do we use an option?

```
slope : point -> point -> float option
```

let print\_slope (p1:point) (p2:point) : unit =  
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# How do we use an option?

```
slope : point -> point -> float option
```

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let print\_slope (p1:point) (p2:point) : unit =  
 slope p1 p2

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returns a float option;  
to print we must discover if it is  
None or Some

# How do we use an option?

```
slope : point -> point -> float option
```

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```
let print_slope (p1:point) (p2:point) : unit =
  match slope p1 p2 with
```

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# How do we use an option?

```
slope : point -> point -> float option
```

```
let print_slope (p1:point) (p2:point) : unit =
  match slope p1 p2 with
    | Some s ->
    | None ->
```

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There are two possibilities

Vertical bar separates possibilities

# How do we use an option?

```
slope : point -> point -> float option
```

```
let print_slope (p1:point) (p2:point) : unit =
  match slope p1 p2 with
    Some s =>
    | None ->
```

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The "Some s" pattern includes the variable s

The object between | and -> is called a pattern

# How do we use an option?

```
slope : point -> point -> float option
```

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```
let print_slope (p1:point) (p2:point) : unit =
  match slope p1 p2 with
    Some s ->
      print_string ("Slope: " ^ string_of_float s)
    | None ->
      print_string "Vertical line.\n"
```

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# Writing Functions Over Typed Data

- Steps to writing functions over typed data:
  1. Write down the function and argument names
  2. Write down argument and result types
  3. Write down some examples (in a comment)
  4. Deconstruct input data structures
  5. Build new output values
  6. Clean up by identifying repeated patterns

- For option types:

when the **input** has type **t option**,  
deconstruct with:

```
match ... with
| None -> ...
| Some s -> ...
```

when the **output** has type **t option**,  
construct with:

Some (...)

None

# Summary

- Function Type
  - Tuples
  - Option Types
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Basic pattern matching  
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