

## Divide and Conquer Examples

### Counting Inversion

Some web sites try to match your preference (for music, movies, books) with others.  
How do you compare two rankings?

e.g. I like best B D C A worst  
You like A B C D

Count: how many pairs do we rank differently? 4

i.e. how many pairs of lines cross (out of 6 pairs)  $\binom{4}{2} = \frac{4 \cdot 3}{2} = 6$

BD BA DA CA

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Equivalently my ranking 1 2 3 4  
your ranking 4 2 1 3

and we count # inversions – # pairs out of order in 2nd list.

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Brute Force: check all  $\binom{n}{2}$  pairs:  $O(n^2)$

Does sorting help? Doesn't seem to.

## Counting inversions: divide and conquer

Given list  $a_1, \dots, a_n$ , count # inversions.

- Divide list in two:  $m = \lceil \frac{n}{2} \rceil$   $A = a_1 \dots a_m$   $B = a_{m+1} \dots a_n$
- Recursively count # inversions in each half,  $r_A, r_B$
- Combine: answer  $\leftarrow r_A + r_B + r$

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$r =$  # inversions with one element in  $A$ , one in  $B$

$=$  # pairs  $a_i, a_j$  with  $a_i \in A, a_j \in B, a_i > a_j$

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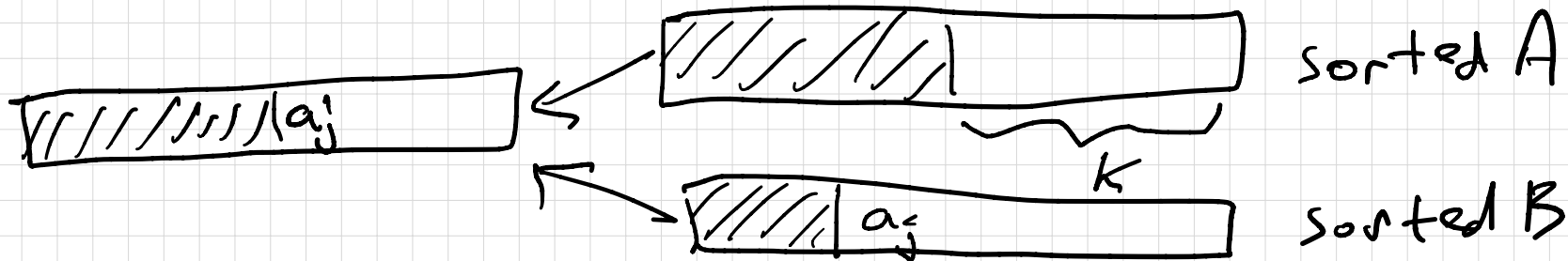
How do we find  $r$ ?

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Can we count, for each  $a_j \in B$ , how many larger elements are there in  $A$ ? —  $r_j$

Then  $r = \sum_{a_j \in B} r_j$

Think about mergesort: sort  $A$ , sort  $B$ , merge



when  $a_j$  is output to merged list:  $r_j \leftarrow k$

Whole algorithm:

sort-and-count( $L$ ) – returns sorted  $L$ , # inverses

- divide  $L$  into  $A$ ,  $B$  (first half, second half)
- $(r_A, A) \leftarrow \text{sort-and-count}(A)$
- $(r_B, B) \leftarrow \text{sort-and-count}(B)$
- $r \leftarrow 0$

- Do merge of  $A$  and  $B$

When elements is moved from  $B$  to output

$r \leftarrow r + \# \text{ elements remaining in } A$

- return  $(r_A + r_B + r, \text{merged list})$

$$T(n) = 2T\left(\frac{n}{2}\right) + O(n)$$

solution:  $T(n) = O(n \log n)$  (as in mergesort)

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Question: Is there a better algorithm?

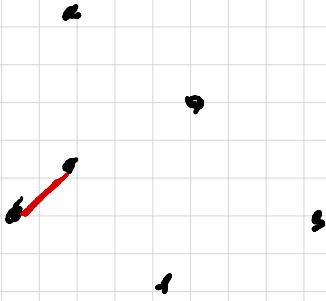
- $O(n \log n / (\log \log n))$  '89
- $O(n \sqrt{\log n})$  2010 Timothy Chan et al. using techniques/model where sorting is  $o(n \log n)$

Finding the closest pair of point: an example with the “conquer” step more complicated

Problem: Given  $n$  points in the plane, find the pair that is closest together.

$$d(p, q) = \text{distance between } p \text{ and } q$$

$$= \sqrt{(p_x - q_x)^2 + (p_y - q_y)^2}$$



Note: we can compare  $d(p, q)$  without  $\sqrt{\phantom{x}}$ .

Brute force: try all pairs  $O(n^2)$

In 1D (points on a line: sort and compare consecutive pairs:  $O(n \log n)$ )

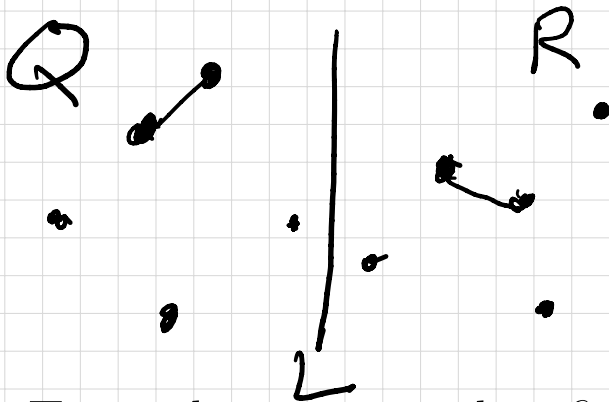
Note that this does not work in 2D.

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Divide and Conquer (after sorting by  $x$ -coord.)



- divide points in left half,  $Q$ , right half,  $R$ , dividing line  $L$
- recursively find closest pair in  $Q$ , closest pair in  $R$
- combine

To combine, we need to find close pairs crossing  $L$ .

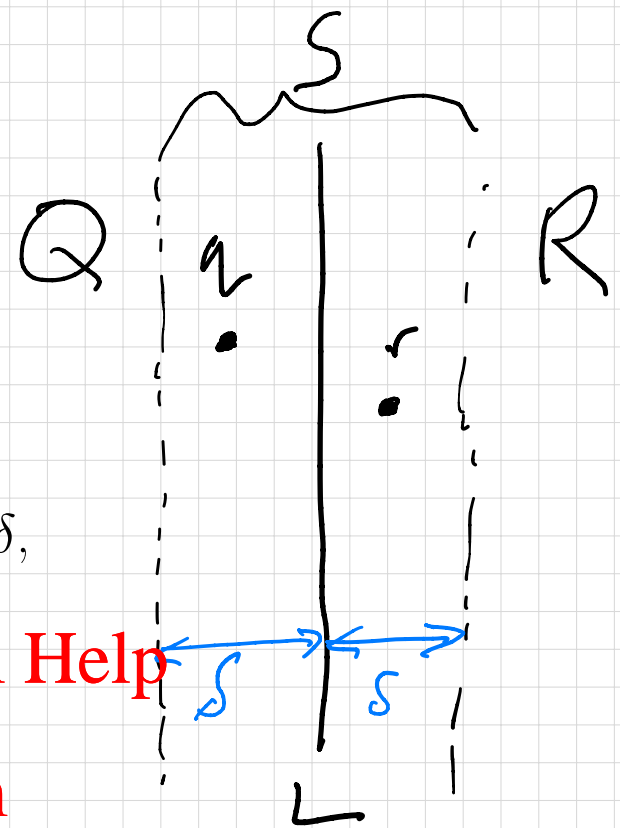
This is the tricky part.

Let  $\delta = \min \text{ distance of } \begin{cases} \text{closest pair in } Q \\ \text{closest pair in } R \end{cases}$   
 Must check pairs  $q \in Q, r \in R$  with  $d(q, r) < \delta$ .

Claim: Such points satisfy  $d(q, L) < \delta, d(r, L) < \delta$

Pf. Otherwise horizontal distance  $\geq \delta$ ,  
 so distance  $\geq \delta$

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Let  $S =$  points in this vertical strip of width  $2\delta$ .

We can restrict our search to  $S$  (might be all  $n$  points!)

This problem seems more 1-dimensional.

Sort by  $y$ -coordinate.

Note: Do not do this in each recursive call. Do it once for all points  $O(n \log n)$  and extract the sorted sublist for and  $S$  in linear time.

This is like “unmerging”. E.g., points sorted by  $y$ -coordinate

$p_1, (p_2, p_3), \dots, (p_i), \dots, p_n$   
 points of  $S$  circled  
 $p_2, p_3, p_i$

Note: each recursive call needs to know its points sorted by  $y$ -coordinate.

Overall structure of the algorithm:

$X \leftarrow$  sort points by  $x$ -coord

$Y \leftarrow$  sort points by  $y$ -coord

$\text{closest}(X, Y)$  — returns distance between closest pair of points

$\text{closest}(X, Y)$

$L \leftarrow$  dividing line (middle of  $X$ )

“unmerge” to get  $X_Q, X_R, Y_Q, Y_R$   
sorted lists for leftside ( $Q$ ), rightside ( $R$ )

$\delta_Q \leftarrow \text{closest}(X_Q, Y_Q)$

$\delta_R \leftarrow \text{closest}(X_R, Y_R)$

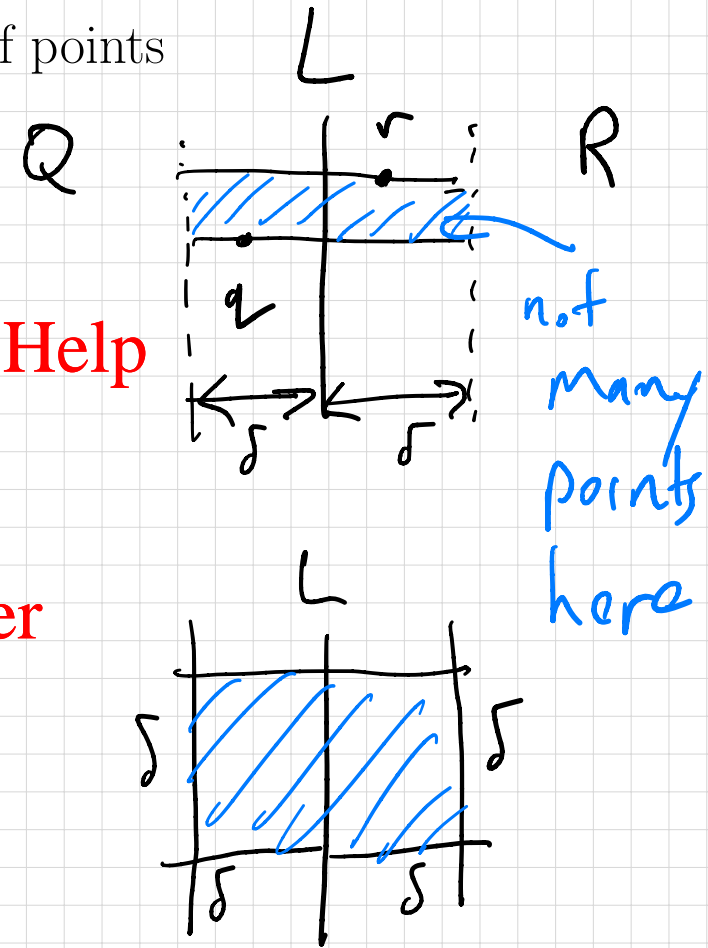
$\delta \leftarrow \min\{\delta_Q, \delta_R\}$

find set  $S$  as above

$Y_S \leftarrow S$  sorted by  $y$ -coord (extract from  $Y$ )

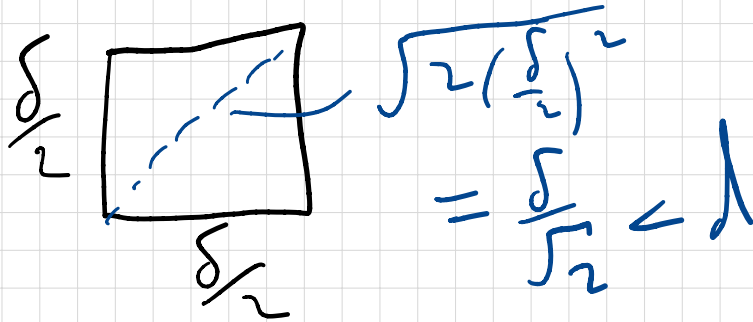
Finally, what do we do with  $S, Y_S$ ?

Our hope: if  $q, r \in S, q \in Q, r \in R$  and  $d(q, r) < \delta$   
then  $q$  and  $r$  are near each other in the sorted  $S$ .



Claim: At most 8 points here

Why? We have 8 squares  $\frac{\delta}{2} \times \frac{\delta}{2}$  and each



square has  $\leq 1$  point  
(in fact, can prove 6 instead of 8)

Thus if  $q, r \in S$   $q \in Q, r \in R$   $d(q, r) < \delta$

then  $q$  and  $r$  are at most 8 positions apart in sorted  $S$ .

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$s_1 \dots s_i \dots s_{i+1} \dots s_{i+8} \dots$   
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q  $\underbrace{\hspace{10em}}$  Add WeChat powcoder just need to look here for r  
so  $\mathcal{I}_n$  comparisons

Wrapping up: preliminary sort by  $x$  and  $y$

then  $T(n) = 2T(n/2) + cn$  so  $T(n) \in O(n \log n)$

This algo. was due to Preparata & Shamos in the early days of computational geometry (70's and 80's).

In fact, one can do much more in  $O(n \log n)$  —  
find closest neighbours of all points

