

# CS 314 Principles of Programming Languages

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## Lecture 14: Functional Programming

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*Rutgers University*

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# Class Information

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- Homework 5 will be posted this weekend.
- Project 1 due 10/23, in less than one week.

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# Review: LISP

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- Functional language developed by John McCarthy in the mid 50's
- Semantics based on *Lambda Calculus*
- All functions operate on lists or symbols called: “S-expression”
- Only five basic functions:  
list functions *con*, *car*, *cdr*, *equal*, *atom*,  
& one conditional construct: *cond*
- Useful for LISt-Processing (LISP) applications
- Program and data have the same syntactic form “S-expression”
- Originally used in Artificial Intelligence

# Review: SCHEME

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- Developed in 1975 by Gerald J. Sussman and Guy L. Steele
- A dialect of LISP
- Simple syntax, small language
- Closer to initial semantics of LISP as compared to COMMON LISP
- Provide basic list processing tools
- Allows functions to be first class objects

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# SCHEME

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- Expressions are written in prefix, parenthesized form

*(function arg<sub>1</sub> arg<sub>2</sub> ... arg<sub>n</sub>)*

*(+ 4 5)*

*(+ (\* 3 4) (- 5 3))*

- Operational semantics:

In order to evaluate an expression

1. Evaluate function to a function value
2. Evaluate each arg<sub>i</sub> in order to obtain its value
3. Apply function value to these values

# S-expression

---

S-expression ::= Atom | ( S-expression ) | S-expression S-expression  
Atom ::= Name | Number | #t | #f |  $\epsilon$

#t

()

(a b c)

(a (b c) d)

((a b c) (d e (f)))

(1 (b) 2)

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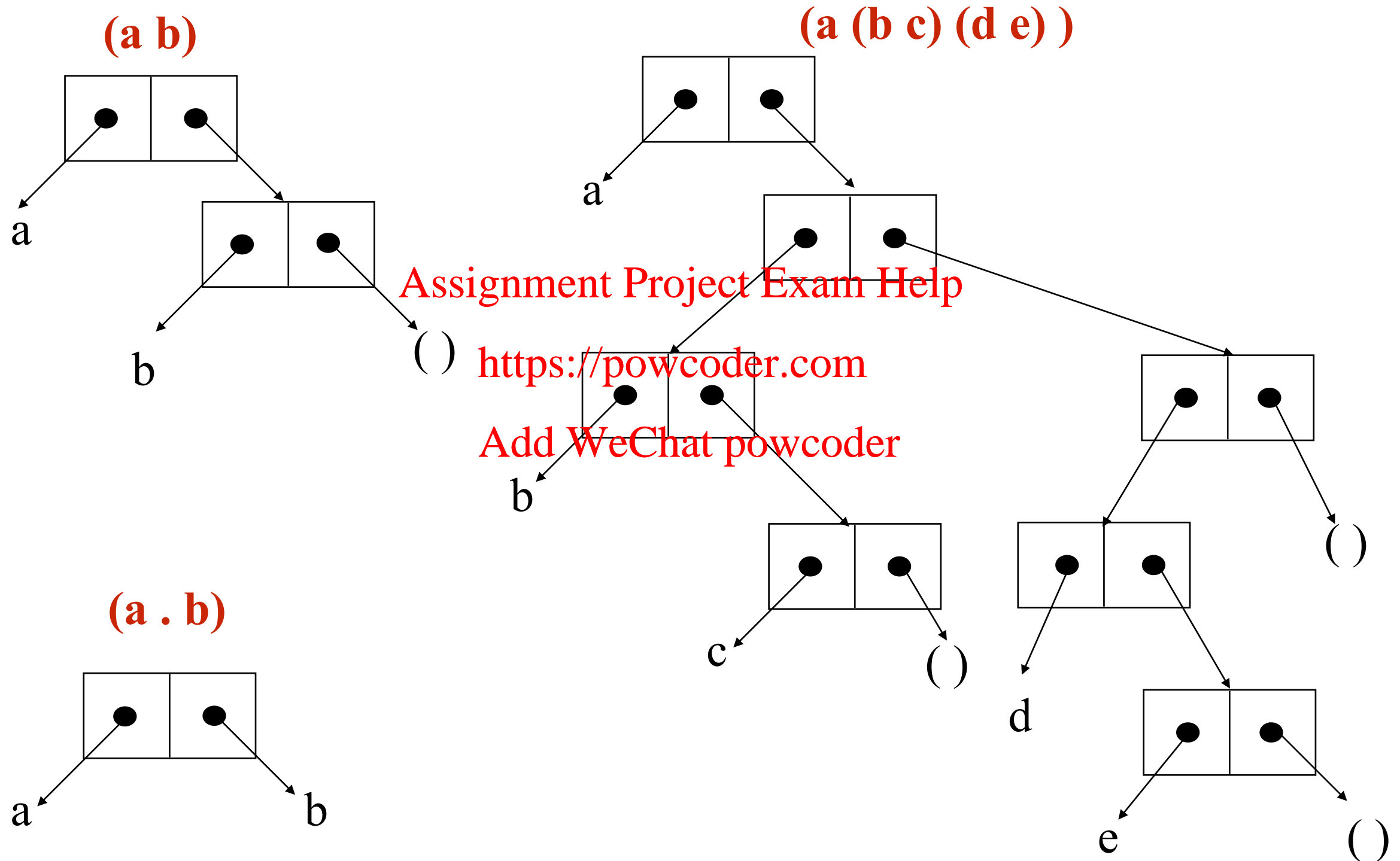
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Lists have nested structure
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# Lists in Scheme

The building blocks for lists are pairs or cons-cells.

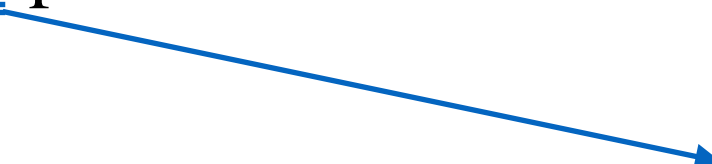
Proper lists use the empty list “( )” as an “end-of-list” marker.



# Special (Primitive) Functions

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- **eq?**: identity on names (atoms)
- **null?**: is list empty?
- **car**: select first element of the list  
(contents of address part of register)
- **cdr**: select rest of the list  
(contents of decrement part of register)
- **(cons element list)**: constructs lists by adding **element** to the front of **list**  
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- **quote or ':** produces constants [Add WeChat powcoder](#)



*Do not evaluate the ' the content after '. Treat them as list of literals.*



# Quotes Inhibit Evaluation

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```
> ( cons 'a (cons 'b '(c d)) )  
(a b c d)
```

;; Now if we quote the second argument

```
> ( cons 'a '(cons 'b '(c d)) )  
(a cons 'b '(c d))
```

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;; If we unquote the first argument

```
> ( cons a (cons 'b '(c d)) )
```

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a: undefined;

cannot reference undefined identifier

context ...

# Special (Primitive) Functions

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- '() is an empty list
- (car '(a b c)) = a
- (car '((a) b (c d))) = ( a )
- (cdr '(a b c)) = (b c)  
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- (cdr '((a) b (c d))) = (b (c d))  
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# Special (Primitive) Functions

- **car** and **cdr** can break up any list:

$(\text{car } (\text{cdr } (\text{cdr } '((a) b (c d)))) = (c d)$

$(\text{cdr } '((a) b (c d))) = (b (c d))$

- **cons** can construct any list:

$(\text{cons } 'a '() ) = (a)$

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$(\text{cons } 'd '(e)) = (d e)$  Add WeChat powcoder

$(\text{cons } '(a b) '(c d)) = ((a b) c d)$

$(\text{cons } '(a b c) '((a) b)) = ((a b c) (a) b)$

# Review: Defining Scheme Functions

**(define** <fcn-name> **( lambda** ( <fcn-params> ) <expression> ) )

Example: Given function **pair?** (true for non-empty lists, false o/w)  
and function **not** (boolean negation):

The diagram illustrates the definition of the `atom?` function in Scheme. The code is shown in a light blue box with a shadow. Red annotations and arrows explain the components of the function definition:

- Assignment Project Exam Help**: A red text label at the top.
- <fcn-params>**: A red label with an arrow pointing to the parameter `(object)` in the `lambda` expression.
- https://powcoder.com**: A red text label.
- Add(WeChat@powcoder)**: A red text label.
- <expression>**: A red label with an arrow pointing to the body of the function, `(pair? object)`.

```
(define atom?  
  ( lambda (object)  
    (pair? object)  
  )  
)
```

# Conditional Execution: if

(if <condition> <result1> <result2>)

1. Evaluate <condition>
2. If the result is a “true value” (i.e., anything but #f), then evaluate and return <result1>
3. Otherwise, evaluate and return <result2>

```
(define abs-val Assignment Project Exam Help  
  ( lambda (x)  
    ( if ( >= x 0) x (- x) )  
  )  
)
```

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```
(define rest-if-first  
  ( lambda (e l)  
    ( if ( eq? e (car l) ) ( cdr l ) '() )  
  )  
)
```

# Conditional Execution: cond

---

```
(cond (<condition1> <result1>)  
      (<condition2> <result2>)  
      ...  
      (<conditionN> <resultN>)  
      (else <else-result>)) ; optional else clause
```

1. Evaluate conditions in order until obtaining one that returns a #t value
2. Evaluate and return the corresponding result
3. If none of the conditions returns a true value, evaluate and return <else-result>

# Conditional Execution: cond

```
(define rest-if-first
  (lambda (e l)
    (cond ( (null? l) '() )
          ( (eq? e (car l)) (cdr l) )
          ( else '() )
        )
  )
)
```

← If first item matches, return the rest of the list.

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If “x” is non-negative, return x. Otherwise, return -x.



```
(define abs-val
  (lambda ( x )
    ( cond ( (>= x 0) x )
          ( else (- x) )
        )
  )
)
```

# Recursive Scheme Functions: abs-List

---

```
(define abs-list
  (lambda (l)
    (if (null? l) '()
        (cons (abs (car l)) (abs-list (cdr l)))
    )
  )
)
```

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- (abs-list '(1 -2 -3 4 0))  $\Rightarrow$  (1 2 3 4 0)
- (abs-list '())  $\Rightarrow$  ()



# Recursive Scheme Functions: Append

- $(\text{append } '(1\ 2) \ '(3\ 4\ 5)) \Rightarrow (1\ 2\ 3\ 4\ 5)$
- $(\text{append } '(1\ 2) \ '(3\ (4)\ 5)) \Rightarrow (1\ 2\ 3\ (4)\ 5)$
- $(\text{append } '() \ '(1\ 4\ 5)) \Rightarrow (1\ 4\ 5)$
- $(\text{append } '(1\ 4\ 5) \ '()) \Rightarrow (1\ 4\ 5)$
- $(\text{append } '() \ '()) \Rightarrow ()$

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```
(define append
  (lambda (x y)
    (cond ((null? x) y)
          ((null? y) x)
          (else (cons (car x) (append (cdr x) y))))
  )
)
```

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# Recursive Scheme Functions: abs-List

---

```
(define abs-list
  (lambda (l)
    (if (null? l) '()
        (cons (abs (car l)) (abs-list (cdr l)))
    )
  )
)
```

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- (abs-list '(1 -2 -3 4 0))  $\Rightarrow$  (1 2 3 4 0)
- (abs-list '())  $\Rightarrow$  ()

# Recursive Scheme Functions: Append

- $(\text{append } '(1\ 2) \ '(3\ 4\ 5)) \Rightarrow (1\ 2\ 3\ 4\ 5)$
- $(\text{append } '(1\ 2) \ '(3\ (4)\ 5)) \Rightarrow (1\ 2\ 3\ (4)\ 5)$
- $(\text{append } '() \ '(1\ 4\ 5)) \Rightarrow (1\ 4\ 5)$
- $(\text{append } '(1\ 4\ 5) \ '()) \Rightarrow (1\ 4\ 5)$
- $(\text{append } '() \ '()) \Rightarrow ()$

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```
(define append
  (lambda (x y)
    (cond ((null? x) y)
          ((null? y) x)
          (else (cons (car x) (append (cdr x) y)))))
)
```

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# Equality Checking

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The `eq?` predicate does not work for lists.

Why not?

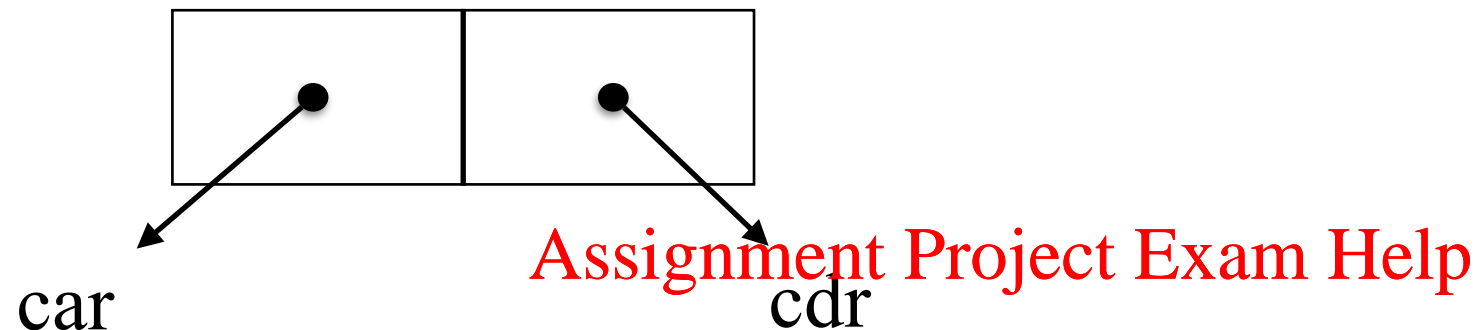
- `(cons 'a '())` produces a new list
- `(cons 'a '())` produces another new list
- `eq?` checks whether two arguments are the *same*
- `(eq? (cons 'a '()) (cons 'a '()))` evaluates to `#f`

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# Equality Checking

Lists are stored as pointers to the first element (car) and the rest of the list (cdr). This “elementary” data structure, the building block of a list, is called a *pair*



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Symbols are stored uniquely, so `eq?` works on them.

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# Equality Checking for Lists

For lists, need a comparison function to check for the **same structure** in two lists.

```
(define equal?  
  (lambda (x y)  
    (or ( and (atom? x) (atom? y) (eq? x y) )  
        ( and (not (atom? x)) (not (atom? y))  
              (equal? (car x) (car y))  
              (equal? (cdr x) (cdr y)) ) )  
    )  
  )  
)
```

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# Equality Checking for Lists

For lists, need a comparison function to check for the **same structure** in two lists.

```
(define equal?  
  (lambda (x y)  
    (or (and (atom? x) (atom? y) (eq? x y))  
        (and (not (atom? x)) (not (atom? y))  
              (equal? (car x) (car y))  
              (equal? (cdr x) (cdr y)))  
        )  
    )  
  )  
)
```

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# Equality Checking for Lists

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```
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        ( and (not (atom? x)) (not (atom? y))  
              (equal? (car x) (car y))  
              (equal? (cdr x) (cdr y))  
              )  
        )  
    )  
  )  
)
```

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# Equality Checking for Lists

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    (or ( and (atom? x) (atom? y) (eq? x y) )  
        ( and (not (atom? x)) (not (atom? y))  
              (equal? (car x) (car y))  
              (equal? (cdr x) (cdr y)) ) )  
    )  
  )  
)
```

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# Equality Checking for Lists

For lists, need a comparison function to check for the **same structure** in two lists.

```
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        ( and (not (atom? x)) (not (atom? y))  
              (equal? (car x) (car y))  
              (equal? (cdr x) (cdr y)) ) )  
  )  
)
```

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# Equality Checking for Lists

For lists, need a comparison function to check for the **same structure** in two lists.

```
(define equal?  
  (lambda (x y)  
    (or ( and (atom? x) (atom? y) (eq? x y) )  
        ( and (not (atom? x)) (not (atom? y))  
              (equal? (car x) (car y))  
              (equal? (cdr x) (cdr y)) )  
        )  
    )  
  )  
)
```

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# Equality Checking for Lists

For lists, need a comparison function to check for the **same structure** in two lists.

```
(define equal?  
  (lambda (x y)  
    (or ( and (atom? x) (atom? y) (eq? x y) )  
        ( and (not (atom? x)) (not (atom? y))  
              (equal? (car x) (car y))  
              (equal? (cdr x) (cdr y)) ) )  
    )  
  )  
)
```

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# Equality Checking for Lists

For lists, need a comparison function to check for the **same structure** in two lists.

(define **equal?**

(**lambda** (x y)

(**or** ( **and** (**atom?** x) (**atom?** y) (**eq?** x y) )

( **and** (**not** (**atom?** x)) (**not** (**atom?** y))

(**equal?** (**car** x) (**car** y))

(**equal?** (**cdr** x) (**cdr** y))

)

)

)

)

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- (**equal?** 'a 'a) evaluates to #t
- (**equal?** 'a 'b) evaluates to #f
- (**equal?** '(a) '(a)) evaluates to #t
- (**equal?** '((a)) '(a)) evaluates to #f

# Scheme: Functions as First Class Values (Higher-Order)

Functions as arguments:

```
(define f (lambda (g x) (g x) ) )
```

- (f number? 0)  $\Rightarrow$  (number? 0)  $\Rightarrow$  #t
- (f length '(1 2))  $\Rightarrow$  (length '(1 2))  $\Rightarrow$  2
- (f (lambda (x) (\* 2 3)) 3)  $\Rightarrow$  ((lambda (x) (\* 2 3)) 3)  $\Rightarrow$  (\* 2 3)  $\Rightarrow$  6

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# Scheme: Functions as First Class Values (Higher-Order)

**Computation**, i.e., **function application** is performed by reducing the initial S-expression (program) to an S-expression that represents a value. **Reduction** is performed by **substitution**, i.e., replacing formal by actual parameters in the function body.

Examples for S-expressions that directly represent values, i.e., cannot be further reduced:

- function values (e.g.: `(lambda (x) e)`)
- constants (e.g.: `3`, `#t`)

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Computation completes when S-expression cannot be further reduced

# Higher-Order Functions (Cont.)

Functions as returned value:

```
(define plusn
```

```
  ( lambda (n)
```

```
    (lambda (x) (+ n x))
```

```
  )
```

```
)
```

*Return a function*

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- **(plusn 5)** evaluates to a function that adds 5 to its argument:

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*Question:* How would you write down the value of (plusn 5)?

```
(lambda (x) (+ 5 x))
```

- **((plusn 5) 6) = ?**



# Higher-Order Functions (Cont.)

---

In general, any  $n$ -ary function

**(lambda (x\_1 x\_2 ... x\_n) e)**

can be rewritten as a nest of  $n$  unary functions:

**(lambda (x\_1)**

**(lambda (x\_2)**

**(... (lambda(x\_n) e) ... )))**

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# Higher-Order Functions (Cont.)

In general, any  $n$ -ary function

**(lambda (x\_1 x\_2 ... x\_n) e)**

can be rewritten as a nest of  $n$  unary functions:

**(lambda (x\_1)**

**(lambda (x\_2)**

**(... (lambda(x\_n) e) ... )))**

This translation process is called **currying**. It means that having functions with multiple parameters do not add anything to the expressiveness of the language:

**( (lambda (x\_1 x\_2 ... x\_n) e) v\_1 v\_2 ... v\_n)**



**( ... (((lambda (x\_1)**

**(lambda (x\_2)**

**...**

**(lambda (x\_n) e )...)) v\_1) v\_2) ... v\_n)**

# Higher-order Functions: map

```
(define map
  (lambda (f l)
    (if (null? l)
        '()
        (cons (f (car l)) (map f (cdr l)))
    )
  )
)
```

*function* (points to `f`)  
*list* (points to `l`)

Apply *f* to the first element of *l*   Apply *map* and *f* to the rest of *l*

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- **map** takes two arguments: a function **f** and a list **l**
- **map** builds a new list by applying the function to every element of the (old) list

# Higher-Order Functions: map

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- Example:

`(map abs '(-1 2 -3 4)) ⇒ (1 2 3 4)`

`(map (lambda (x) (+ 1 x)) '(-1 2 3)) ⇒ (0 3 4)`

- Actually, the built-in **map** can have more than two arguments:

`(map + '(1 2 3) '(4 5 6)) ⇒ (5 7 9)`

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# More on Higher-Order Functions

**reduce** Higher order function that takes a binary, associative operation and uses it to “roll up” a list

```
(define reduce
  (lambda (op l id)
    (if (null? l)
        id
        (op (car l) (reduce op (cdr l) id)))))
```

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*Example:* (reduce + '(10 20 30) 0) ⇒  
(+ 10 (reduce + '(20 30) 0)) ⇒  
(+ 10 (+ 20 (reduce + '(30) 0))) ⇒  
(+ 10 (+ 20 (+ 30 (reduce + '() 0)))) ⇒  
(+ 10 (+ 20 (+ 30 0))) ⇒  
60

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# Higher-Order Functions

---

**Compose higher order functions to form compact powerful functions**

```
(define sum  
  (lambda (f l)  
    (reduce + ( map f l ) 0) ) )
```

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```
(sum (lambda (x) (* 2 x)) '(1 2 3)) ⇒ 10
```

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```
(reduce (lambda (x y) (+ 1 y)) '(a b c) 0) ⇒
```

# Next Lecture

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Things to do:

- Read Scott, Chapter 11.1 - 11.3

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