

1. Consider the following two transactions. Assume that the two transactions preserve database consistency in isolation.

$T_1: r_1(A); w_1(A); r_1(B); w_1(B);$

$T_2: r_2(A); w_2(A); r_2(B); w_2(B);$

Give all possible schedules (including serial schedule) of the two transactions that are conflict-equivalent to the serial schedule (T_1, T_2) .

$r_1(A)w_1(A)r_1(B)w_1(B)r_2(A)w_2(A)r_2(B)w_2(B)$

$r_1(A)w_1(A)r_1(B)r_2(A)w_1(B)w_2(A)r_2(B)w_2(B)$

$r_1(A)w_1(A)r_2(A)r_1(B)w_1(B)w_2(A)r_2(B)w_2(B)$

$r_1(A)w_1(A)r_2(A)r_1(B)w_2(A)w_1(B)r_2(B)w_2(B)$

$r_1(A)w_1(A)r_2(A)w_2(A)r_1(B)w_1(B)r_2(B)w_2(B)$

$r_1(A)w_1(A)r_1(B)r_2(A)w_2(A)w_1(B)r_2(B)w_2(B)$

2. Consider the following two transactions and some possible schedules.

$T_1: r_1(x); w_1(x); r_1(y); w_1(y); c_1;$

$T_2: r_2(x); w_2(x); c_2;$

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$S_1: r_1(x); w_1(x); r_1(y); w_1(y); r_2(x); c_1; w_2(x); c_2;$

$S_2: r_1(x); r_2(x); w_1(x); r_1(y); w_1(y); w_2(x); c_1; c_2;$

$S_3: r_2(x); w_2(x); r_1(x); w_1(x); r_1(y); c_2; w_1(y); c_1;$

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For each of the above schedules, indicate whether the schedule is **recoverable**, **cascadeless** and **conflict serializable**. If the schedule is conflict serializable, show its **serializability order**.

S_1 : recoverable and conflict serializable ($T_1 \rightarrow T_2$)

S_2 : cascadeless but not conflict serializable

S_3 : recoverable and conflict serializable ($T_2 \rightarrow T_1$)

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3. Consider using **two-phase locking** on the following schedule of two transactions, T_1 and T_2 .

$r_1(A); r_2(B); r_2(A); r_1(B); w_1(B); r_2(C);$

- (a) Insert **shared** lock (*sl*), **exclusive** lock (*xl*) and unlock (*ul*) instructions at appropriate locations.
- (b) Describe the execution of the above schedule, assuming that a waiting transaction does not block the following non-conflicting instructions of other transactions. Show the resultant schedule, if it is different from the one you constructed in (a).
- (c) What will be the **serializability order** of the resultant schedule?
- (d) Any changes if the schedule is modified to insert $w_2(A)$ at the end as follows?

$r_1(A); r_2(B); r_2(A); r_1(B); w_1(B); r_2(C); w_2(A);$

- (a)
- $sl_1(A); r_1(A); sl_2(B); r_2(B); sl_2(A); r_2(A); xl_1(B); r_1(B); w_1(B); ul_1(A); ul_1(B); sl_2(C); r_2(C); ul_2(B); ul_2(A); ul_2(C);$
- (b)
- (i) T_1 is delayed. T_1 cannot get the exclusive lock on B because T_2 already has a shared lock on B .
- (ii) T_2 completes and unlocks B .
- (iii) T_1 then can get the exclusive lock on B and completes.

Resultant schedule:

$sl_1(A); r_1(A); sl_2(B); r_2(B); sl_2(A); r_2(A); xl_1(B); sl_2(C); r_2(C); ul_2(B); ul_2(A); ul_2(C); r_1(B); w_1(B); ul_1(A); ul_1(B);$

- (c) The serializability order is $(T_2 \rightarrow T_1)$.
- (d) The execution can result in deadlock, as shown in the following partial schedule.

$sl_1(A); r_1(A); sl_2(B); r_2(B); xl_2(A); xl_1(B);$