

# Lighting and Rasterization - Shading

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# Intended Learning Outcomes

- Classify different types of light sources
- Understand the image formation process
- Mathematically model three types of reflection and understand their properties
- Understand three rendering methods and compare their pros and cons
- Able to program lighting and shading using OpenGL

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# Lighting and Shading Models

- Calculate intensity and colour of light that we should see at a given point of a scene
- Ultimate aim : *Photorealism*
- Lighting / Illumination models
  - models lighting from light sources and the environment
- Shading models
  - models how lights are processed (reflected, absorbed, refracted etc) by the objects and the atmosphere

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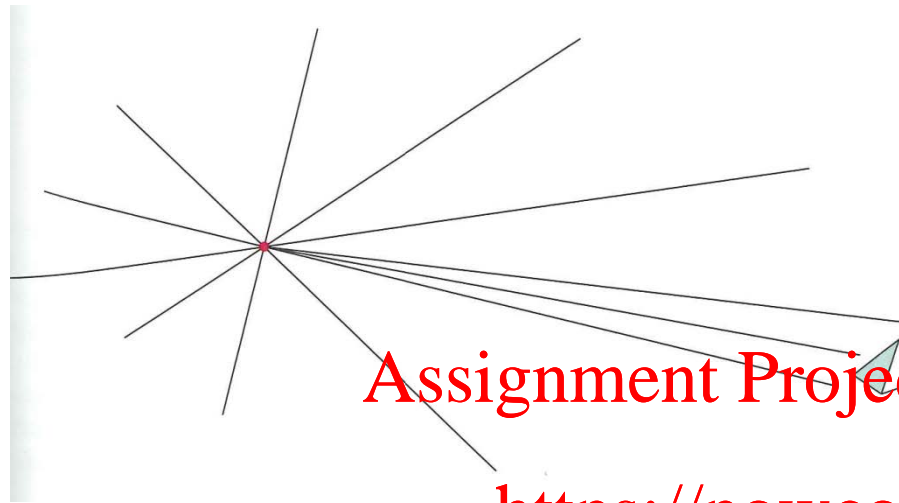
# Light sources

- Ambient source
  - models background light
- Point source
  - for small nearby light sources
- Distributed source
  - for large nearby light sources
  - models by a collection of point sources
- Lighting direction
  - (e.g. sun) - for distant light sources

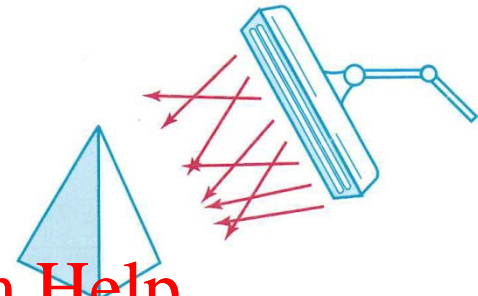
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Point Source



Distributed Source

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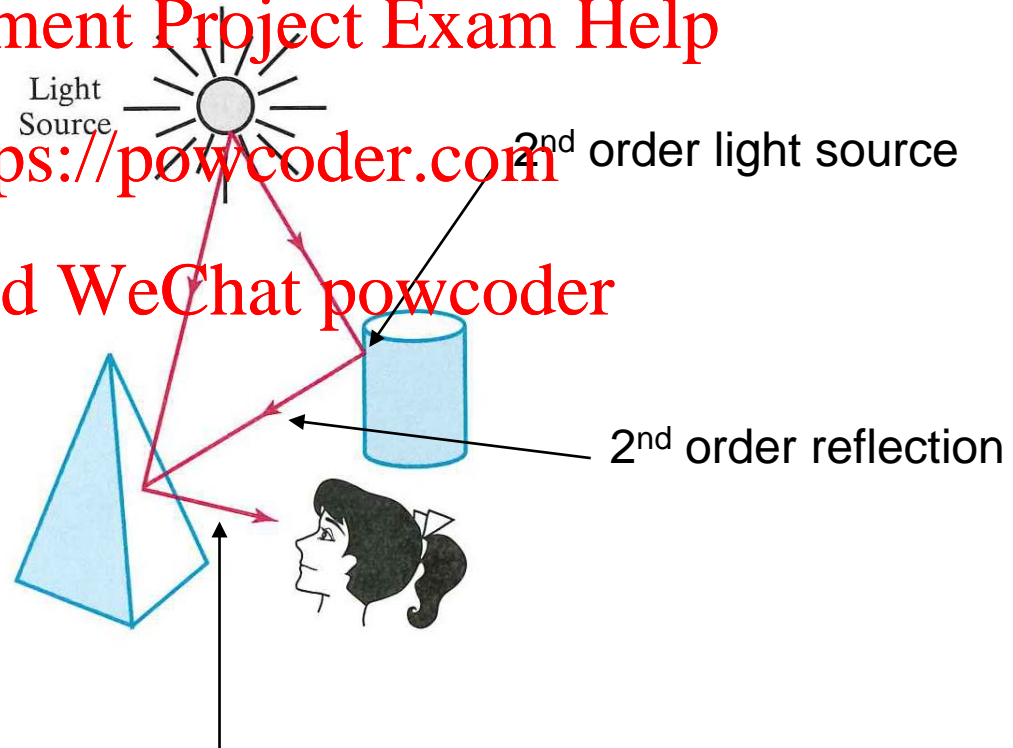
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- Realistic lighting is higher order and complicated

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1<sup>st</sup> order reflection + 2<sup>nd</sup> order reflection

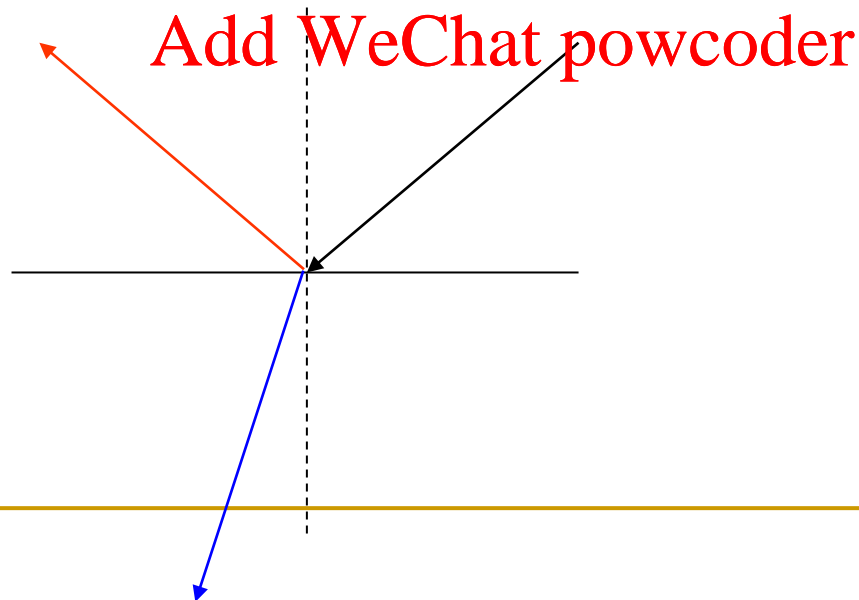
# Shading

- When light is incident on an object

- part is reflected
- part is absorbed
- part is refracted

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# Object properties

- *Opaque* object only reflect and absorb light
- *Transparent* object only refract and absorb light
- *Semi-transparent* object reflect, refract and absorb light
- The amount of light reflected depends on material.
- Shiny material : reflect most of the light
- Dull material : absorb most of the light
- Let restrict discussion to opaque object at present

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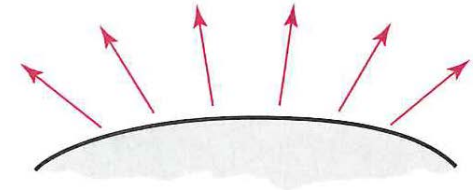
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# Types of Reflection

## ■ Ambient reflection

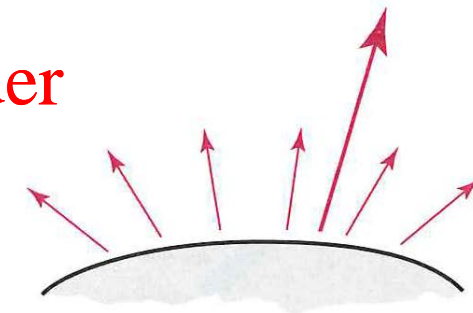
- Average signal from the background
- Non-directional



Diffuse reflections from a surface.

## ■ Diffuse reflection

- Rough, dull, matte surfaces
- scatter light equally in all directions



Specular reflection superimposed on diffuse reflection vectors.

## ■ Specular reflection

- Smooth, shiny, mirror like surfaces
- reflect light more in one direction

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# Ambient reflection

$$I_{ambdiff} = k_a I_a$$

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$k_a$  ambient reflection coefficient,  $0 \leq k_a \leq 1$

$I_a$  incident ambient light

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- Can be interpreted as the average value of diffuse reflection from numerous light sources in the background

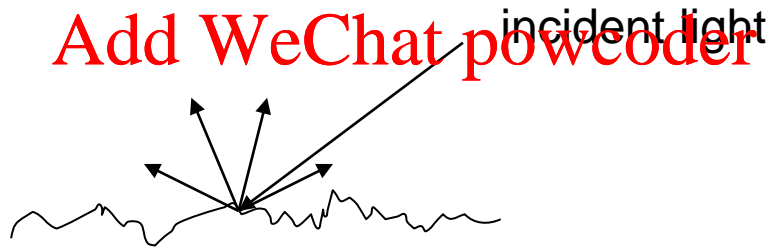
# Diffuse Reflection

- Consider a point light source or lighting direction
- *Lambertian surfaces* : Reflections from the surface are scattered with equal intensity in all directions, independent of the viewing direction

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Diffuse (Lambertian)  
Surface (Rough, dull  
e.g. wood)

- Amount of incident light received by the surface is proportional to the projected area of the surface in the lighting direction

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$$I_{l,diff} = k_d I_l (\mathbf{N} \cdot \mathbf{L})$$

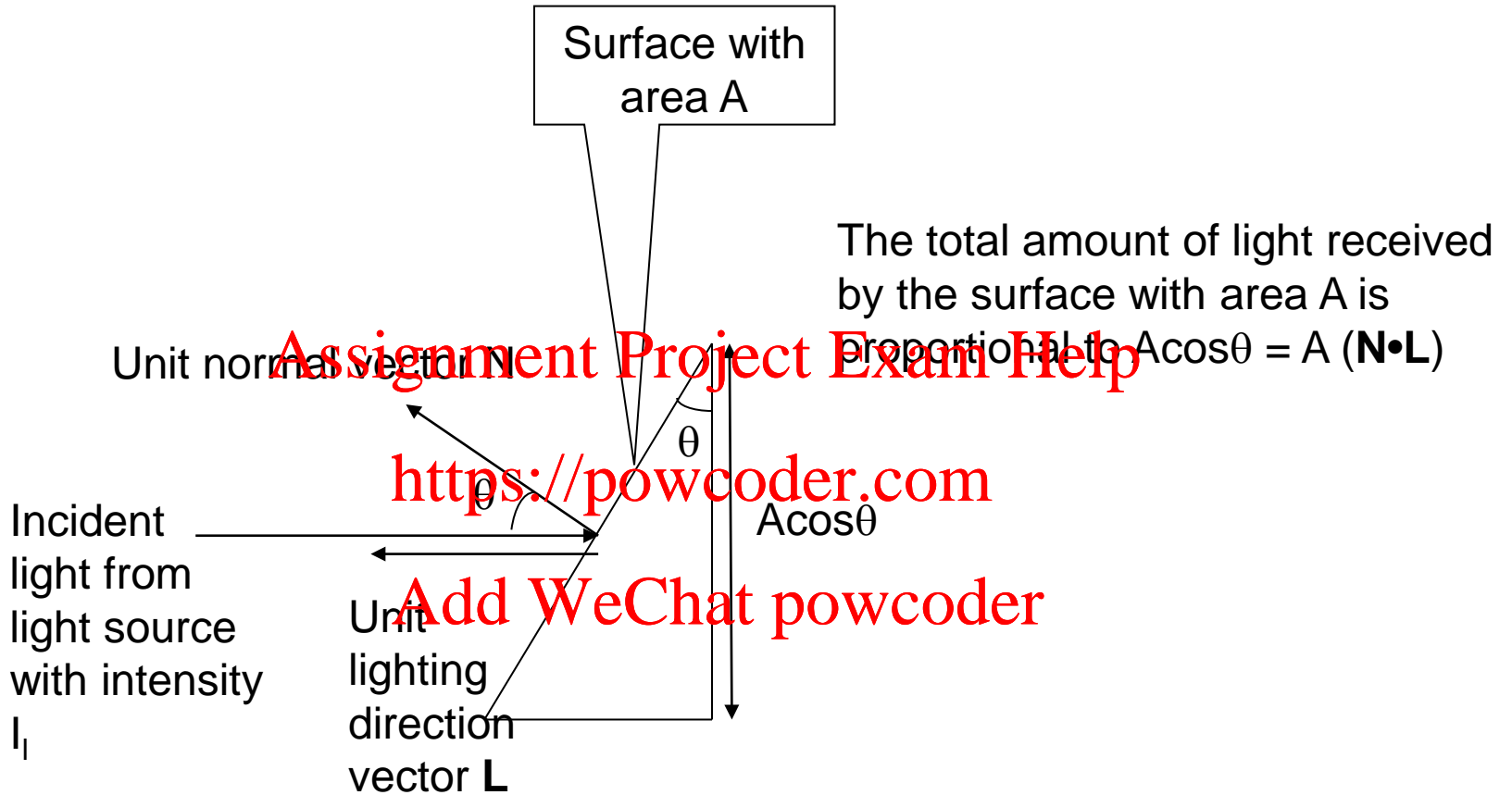
$k_d$  diffuse reflection coefficient,  $0 \leq k_d \leq 1$

$I_l$  Incident light intensity

$\mathbf{N}$  unit normal of the surface

$\mathbf{L}$  unit light direction vector

- $\mathbf{N} \cdot \mathbf{L}$  models the projected area



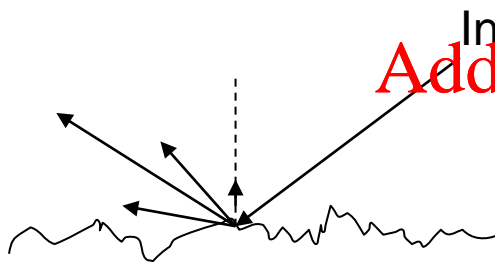
# Specular reflection

- Consider a point light source or lighting direction.
- Ideal specular surface = perfect mirror: light is only reflected in the direction of R
- Non-ideal reflector: some light are scattered around R

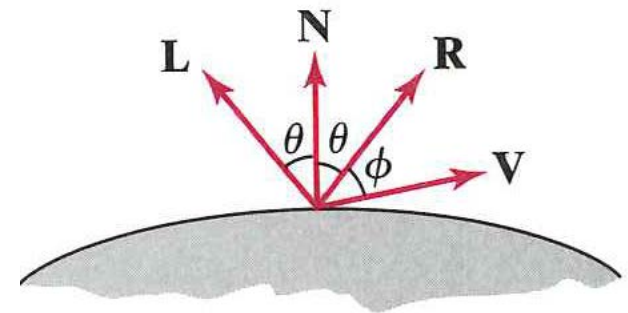
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Specular  
Surface (Shiny e.g. mirror, gold  
silver, glass)



L Incident light direction

$$I_{l,spec} = W(\theta) I_l \cos^{n_s} \phi$$

$W(\theta)$  specular reflection coefficient,  $0 \leq W(\theta) \leq 1$

sometimes  $W(\theta)$  is assumed to be a constant  $k_s$

**N** bisects **L** and **R** (incident angle = reflection angle in a perfect mirror)

**R** unit specular reflection direction vector

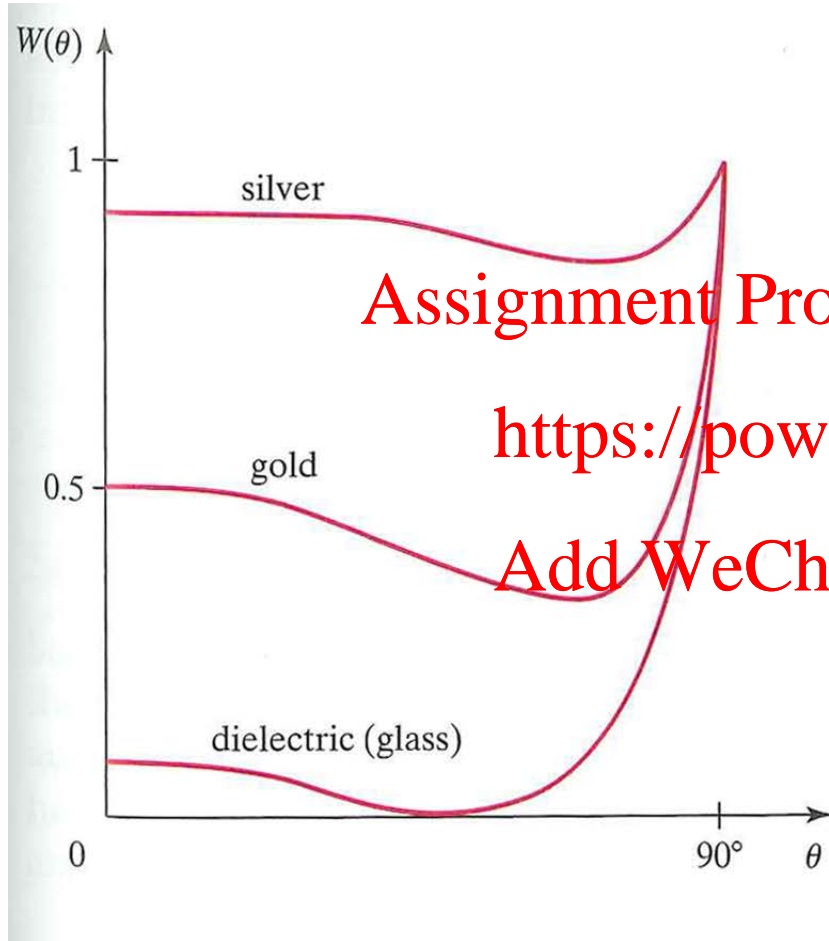
**R** =  $(2\mathbf{N} \cdot \mathbf{L})\mathbf{N} - \mathbf{L}$

**V** unit viewing direction vector

$$\cos(\phi) = \mathbf{R} \cdot \mathbf{V} \quad 0 \leq \phi \leq \pi/2$$

$n_s$  specular reflection exponent,  $n_s = \infty$  for perfect mirror



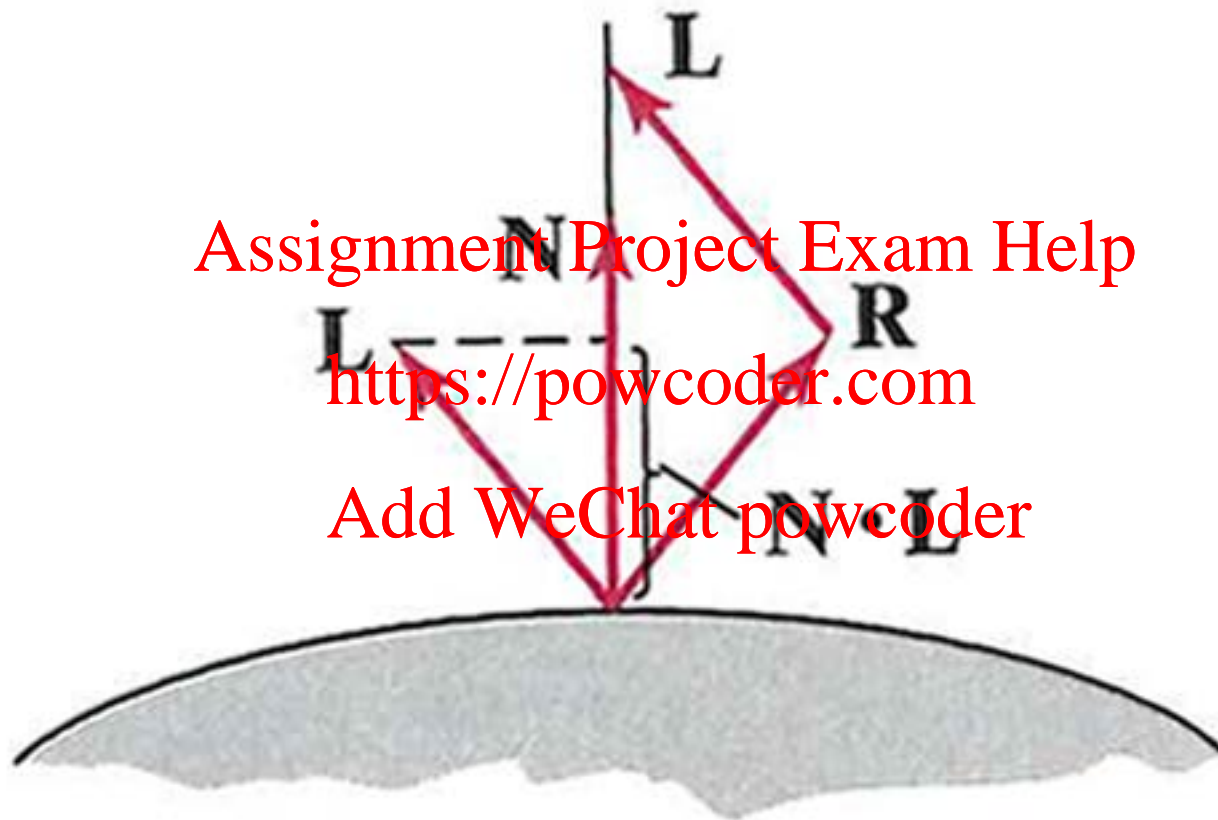


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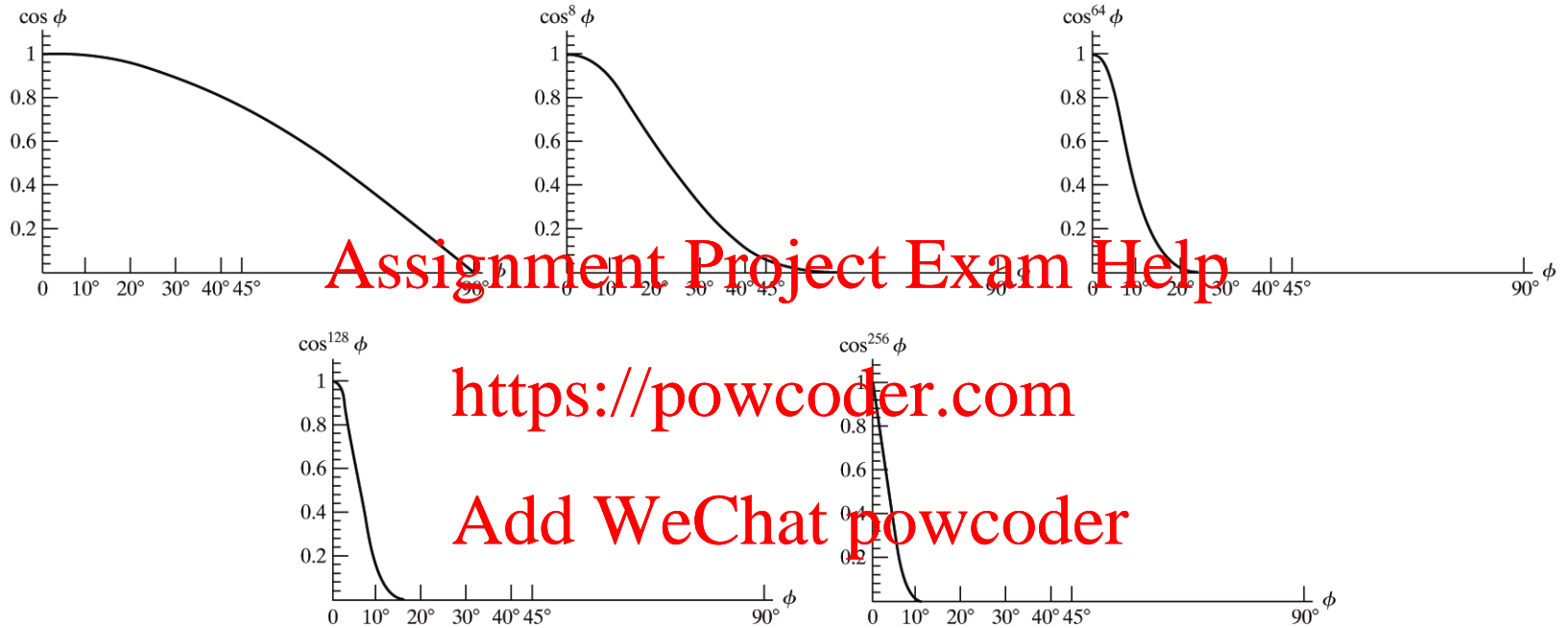
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Approximate  
variation of the specular-reflection  
coefficient for different materials, as  
a function of the angle of incidence.



$$\mathbf{R} = (2\mathbf{N} \cdot \mathbf{L})\mathbf{N} - \mathbf{L}$$



Plots of  $\cos^{n_s} \phi$  using five different values for the specular exponent  $n_s$ .

# General Model with n light sources with ambient, diffuse and specular terms

$$I = k_a I_a + \sum_{i=1}^n I_{li} [k_d (\mathbf{N} \cdot \mathbf{L}_i) + W(\theta_i) (\mathbf{V} \cdot \mathbf{R}_i)^{n_s}]$$

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# Colour model

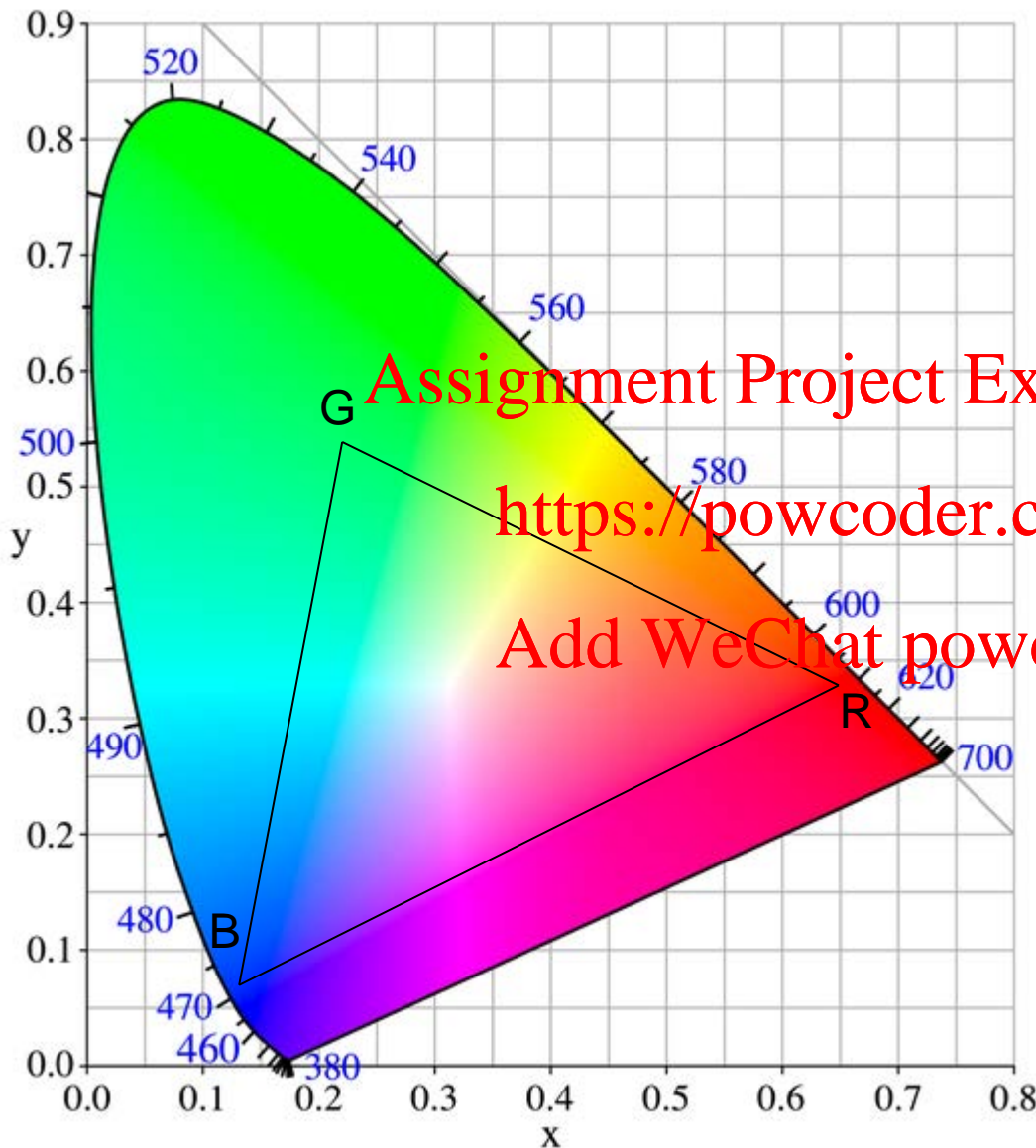
- Each light source is a vector with Red, Green, Blue component ( $I_{IR}$ ,  $I_{IG}$ ,  $I_{IB}$ )
- Calculates each component separately

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$$I_R = k_{aR} I_{aR} + \sum_{i=1}^n I_{lRi} [k_{dR} (\mathbf{N} \cdot \mathbf{L}_i) + W_R(\theta_i) (\mathbf{V} \cdot \mathbf{R}_i)^{n_{sR}}]$$
$$I_G = k_{aG} I_{aG} + \sum_{i=1}^n I_{lGi} [k_{dG} (\mathbf{N} \cdot \mathbf{L}_i) + W_G(\theta_i) (\mathbf{V} \cdot \mathbf{R}_i)^{n_{sG}}]$$
$$I_B = k_{aB} I_{aB} + \sum_{i=1}^n I_{lBi} [k_{dB} (\mathbf{N} \cdot \mathbf{L}_i) + W_B(\theta_i) (\mathbf{V} \cdot \mathbf{R}_i)^{n_{sB}}]$$



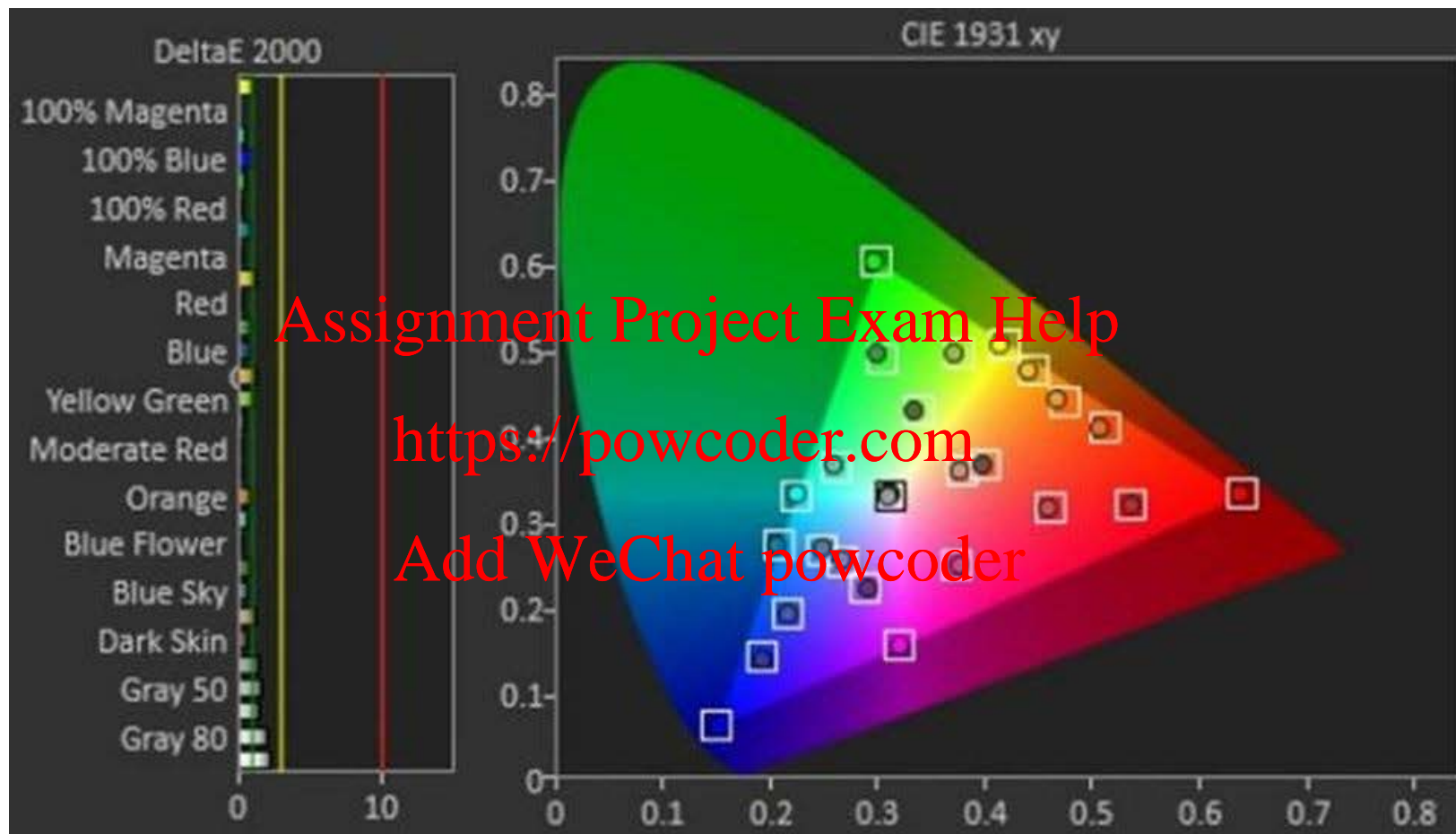
Note:

Only colours in the triangle is displayable.

Some naturally occurring colours outside the triangle cannot be displayed!

Quattron technology uses 4 primary Colours RYGB that extends the displayable colours

CIE chromaticity diagram  
-Represent all possible colours seeable by humans



LG-32UD59-B

# Shading Models / Rendering Models

- Input : Object tessellated into polygons (standard graphics object)
  - Three common ways to shade the polygons:
    - Flat Shading
    - Gouraud Shading
    - Phong Shading
- Increasing realism
- Increasing computational cost
- ↓



# Flat shading

- A single intensity is calculated for the polygon. All points of the polygon are then displayed with the same intensity value
- Fast (Adv.)
- Faceted look - ugly!
- Human vision is subject to “Mach band effect” – intensity discontinuities are accentuated. This amplifies the edges of the polygons, which is undesirable

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(a)



(b)



(c)

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A polygon mesh approximation of an object (a) is displayed using flat surface rendering in (b) and using Gouraud surface rendering in (c).

# Gouraud shading

- Linearly interpolate **intensity values** across each polygon
- Intensities for each polygon are matched with the values of adjacent polygons along the common edges
- Interpolation eliminates the intensity discontinuities that occur in flat shading
- Slower (disadv.)
- Smooth out specular highlights (disadv.)

- Step 1 : Determine the average unit normal vector at each polygon vertex

$$\mathbf{N}_v = \frac{\sum_{k=1}^n \mathbf{N}_k}{\left| \sum_{k=1}^n \mathbf{N}_k \right|}$$

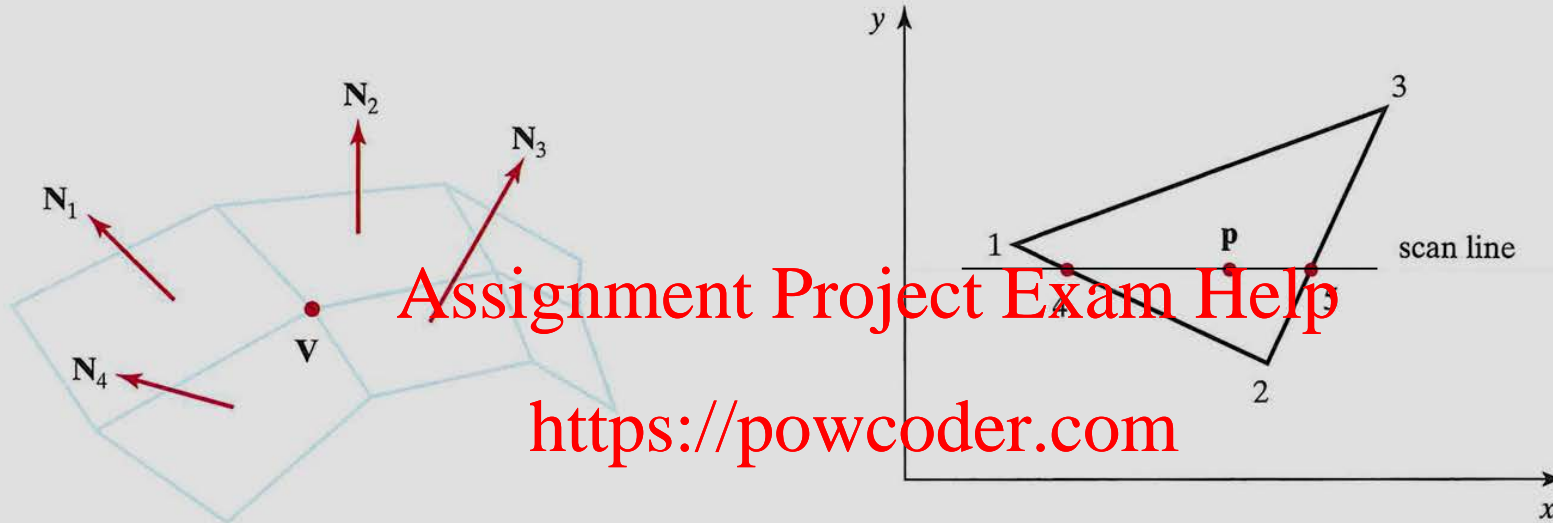
(each  $\mathbf{N}_k$  is a unit vector,  
 $\mathbf{N}_v$  is a unit vector by def.)

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- Step 2 : Apply an illumination model to each vertex to calculate the vertex intensity
- Step 3 : linearly interpolate the vertex intensities over the surface of the polygon

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The normal vector at vertex  $V$  is calculated as the average of the surface normals for each polygon sharing that vertex.

For Gouraud surface rendering, the intensity at point 4 is linearly interpolated from the intensities at vertices 1 and 2. The intensity at point 5 is linearly interpolated from intensities at vertices 2 and 3. An interior point  $P$  is then assigned an intensity value that is linearly interpolated from intensities at positions 4 and 5.

## Linear Interpolation

- Points lying on an edge of the polygon : linearly interpolate between two endpoints

$$I_4 = \frac{y_4 - y_2}{y_1 - y_2} I_1 + \frac{y_1 - y_4}{y_1 - y_2} I_2$$

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- interior points of the polygon : linearly interpolate across the scan line

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$$I_p = \frac{x_5 - x_p}{x_5 - x_4} I_4 + \frac{x_p - x_4}{x_5 - x_4} I_5$$

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# Phong shading

- Similar to Gouraud shading, but interpolates **normal vectors** instead.
- Captures specular highlights
- Highest realism
- Slowest (disadv.)

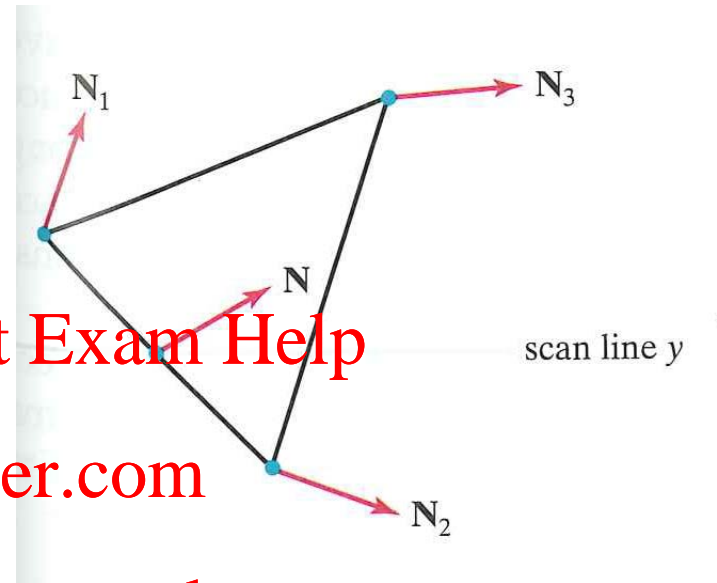
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- Step 1 : determine the average unit normal vector at each polygon vertex

$$\mathbf{N} = \frac{y - y_2}{y_1 - y_2} \mathbf{N}_1 + \frac{y_1 - y}{y_1 - y_2} \mathbf{N}_2$$



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- Step 2 : linearly interpolate the vertex normals over the surface of the polygon
- Step 3 : apply an illumination model to calculate pixel intensities of each surface point



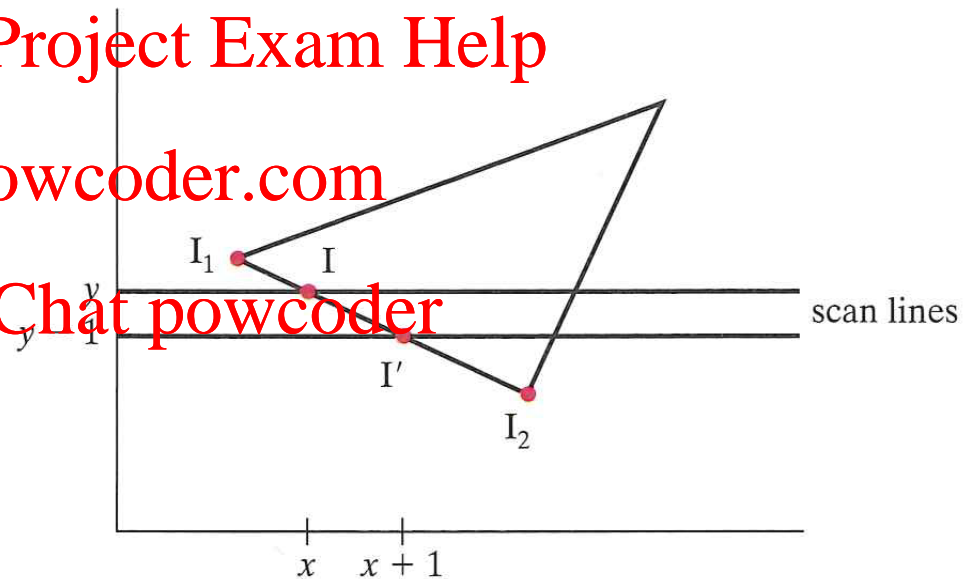
# Incremental form

- Linear interpolation equation is expressed in incremental form to save computation:

$$I(y) = I_1 + \frac{I_2 - I_1}{y_2 - y_1} (y - y_1)$$

one scan line down

$$I(y-1) = I(y) + \frac{I_2 - I_1}{y_2 - y_1}$$



# OpenGL Functions : Lighting

```
glEnable (GL_LIGHTING); // activate lighting routines
```

```
glLight* (lightName, lightProperty, propertyValue);
```

```
GLfloat light1PosType [] = {2.0, 0.0, 3.0, 1.0}; // point  
// source; the last entry is 1.0
```

```
GLfloat light2PosType [] = {0.0, 1.0, 0.0, 0.0}; // light  
// direction: the last entry is 0.0
```

```
glLightfv (GL_LIGHT1, GL_POSITION, light1PosType); // v  
for vector
```

```
glEnable (GL_LIGHT1);
```

```
glLightfv (GL_LIGHT2, GL_POSITION, light2PosType);
```

```
glEnable (GL_LIGHT2);
```

# Light source colour

- (R, G, B, A) A stands for alpha value

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```
GLfloat blackColor [ ] = {0.0, 0.0, 0.0, 1.0};
```

```
GLfloat whiteColor [ ] = {1.0, 1.0, 1.0, 1.0};
```

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```
glLightfv (GL_LIGHT3, GL_AMBIENT, blackColor);
```

```
glLightfv (GL_LIGHT3, GL_DIFFUSE, whiteColor);
```

```
glLightfv (GL_LIGHT3, GL_SPECULAR, whiteColor);
```

# Surface Property

*glMaterial\** (*surfFace*, *surfProperty*, *propertyValue*);

*diffuseCoeff* [ ] = {0.2, 0.4, 0.9, 1.0}; //  $k_dR = 0.2$ ,  $k_dG = 0.4$ ,  $k_dB = 0.9$   
*specularCoeff* [ ] = {1.0, 1.0, 1.0, 1.0}; //  $W_R(\theta) = 1.0$ , ...

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*glMaterialfv* (*GL\_FRONT\_AND\_BACK*, *GL\_AMBIENT\_AND\_DIFFUSE*,  
*diffuseCoeff* );

*glMaterialfv* (*GL\_FRONT\_AND\_BACK*, *GL\_SPECULAR*, *specularCoeff*);

*glMaterialf* (*GL\_FRONT\_AND\_BACK*, *GL\_SHININESS*, 25.0 ); //  $n_s = 25$

# Surface Rendering

- FLAT and Gouraud Shading

`glShadeModel (surfRenderingMethod);`

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`surfRenderingMethod = GL_FLAT`      Flat shading  
`= GL_SMOOTH`      Gouraud

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- Calculating normals

`glNormal3* (Nx, Ny, Nz);`

## ■ Gouraud shade a triangle

```
glEnable (GL_NORMALIZE); // convert all normal vectors to unit vector
glLightModeli (GL_LIGHT_MODEL_LOCAL_VIEWER, GL_TRUE);
    // set correct V for specular calculations
```

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```
glBegin (GL_TRIANGLES);
    glNormal3fv (normalVector1); // normal vector at vertex1 calculated
    // by average unit normal vector
    glVertex3fv (vertex1);
    glNormal3fv (normalVector2);
    glVertex3fv (vertex2);
    glNormal3fv (normalVector3);
    glVertex3fv (vertex3);
glEnd ( );
```

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# References

- Text: Ch. 17.1-17.3 for lighting and shading equations
- Text: Ch. 19.3 – 19.4 for CIE chromaticity diagram and RGB model
- Text: Ch. 17.10 for different shading method
- Text: Ch. 17.11 for OpenGL commands
- Demo: Run light position.exe and light material.exe in TUTORS program
- Quattron technology:  
<http://en.wikipedia.org/wiki/Quattron>

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