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# Database Fundamentals

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NORMALISATION CONCEPTS

# Normalisation

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- Database designs can be generated by decomposing “Mega” relational schemas into smaller schemas

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- Based on knowledge of the attributes and relationships between them called **Functional Dependencies (FDs)**

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- These smaller relations satisfy some normal form in that they contain **fewer** anomalies and that there is **no loss of information**

# Normalisation

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- The normalisation process takes a relational schema through a series of tests to “certify” whether or not it belongs to a certain **normal form**

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- Separating **Functional Dependencies** into new relational schemas will generate tables in a specific **normal form**
  - 1NF, 2NF, 3NF or even Boyce-Codd normal form (BCNF)
- Each increasing **normal form** provides greater protection against data anomalies from developing

# Normalisation

- Example Mega Schema

<u>empID</u>	empName	dob	address	deptNo	deptName	deptMgr
01	Danielle	88	1 abc st	3	Research	02
02	Fredrich	87	13 def rd	3	Research	02
03	Jonathan	65	22 Wilson Ave	2	Admin	04
04	Stacy	73	7a Rose Tc	2	Admin	04
05	Bev	91	386 NE Rd	1	IT	05
06	Ashleigh	95	14 Bull Tce	1	IT	05
07	Peter	95	18 Corn St	4	Comedy	07

## Insertion Anomaly:

- Creating a new employee requires all the department information to be filled in correctly
- Creating a new department requires a bogus empID number

## Deletion Anomaly:

- Deleting a record for a department with only one employee will lose info about the department

## Update Anomaly:

- If the deptMgr is changed, it needs to be changed for ALL records

## Flexibility Issue:

- What if an employee ends up working across multiple departments?

## Null Values:

- A good design should avoid NULL values because of their side-effects in some Queries

# Normalisation

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- Normalisation **when done correctly:**

- Is **lossless**

- Lossless: When the resulting normalised tables are joined using SQL queries, the original data/schema can be re-created without spurious tuples

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- **Retains all the Functional Dependencies** identified

- They may be represented in some of the individual normalised relations that result
- No data is lost
- No “information” contained in the data identified by FDs should be lost

# Normalisation

- Example spurious tuples

- Assumption 1: a specific car (ie plateNo) can be only one colour, plateNo --> colour
- Assumption 2: colours are associated with specific makes of car, colour + make are a key

<u>plateNo</u>	make	colour
abc-123	kia	black
abc-567	subaru	black
abc-890	cherri	red

Cars(plateNo, make, colour)

Normalise  
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<u>plateNo</u>	colour
abc-123	black
abc-567	black
abc-890	red

Cars(plateNo, colour)

<u>make</u>	<u>colour</u>
kia	black
subaru	black
cherri	red

CarMakes(make, colour)

- What Happens with Cars JOIN CarMakes?

# Normalisation

- What Happens with Cars JOIN CarMakes?

<u>plateNo</u>	make	colour
abc-123	kia	black
abc-567	subaru	black
abc-890	cherri	red

Cars(plateNo, make, colour)

Normalise



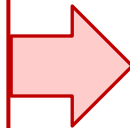
<u>plateNo</u>	colour
abc-123	black
abc-567	black
abc-890	red

Cars(plateNo, colour)

<u>make</u>	<u>colour</u>
kia	black
subaru	black
cherri	red

CarMakes(make, colour)

```
SELECT * FROM Cars AS C
JOIN CarMakes AS CM
ON C.colour = CM.colour
```



<u>plateNo</u>	make	colour
abc-123	kia	black
abc-123	subaru	black
abc-567	subaru	black
abc-567	kia	black
abc-890	cherri	red



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# Normalisation – Functional Dependencies

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- A **Functional Dependency (FD)** is a property that describes **how the attributes in a relation relate to one another**
- A student number is always associated with the same student name
  - A student's ID number uniquely determines the student name
  - studentID --> studentName
- A course number is always associated with the same course name
  - A course number uniquely determines a course name
  - courseNo --> courseName
- Functional Dependencies can be used to help determine **candidate keys** in a relation

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# Normalisation – Functional Dependencies

- Example:
  - Suppose a student's grade is determined by their mark
  - FD: Mark  $\rightarrow$  Grade

mark	grade
$\geq 85$	HD
$\geq 75$	D
$\geq 65$	C
$\geq 50$	P
$< 50$	F

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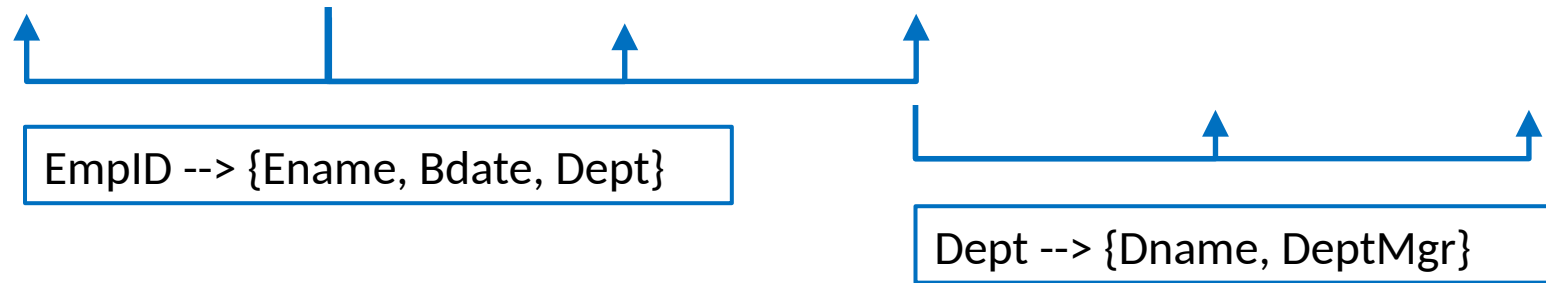
student	mark	grade
Mary	82 $\rightarrow$	D
Ben	92	HD
James	82 $\rightarrow$	D
Peter	81	D
Stacy	65	C

- If this relationship is guaranteed in the data then any two tuples with the same Mark will **ALWAYS** have the same Grade.
  - This would be an example of a Functional Dependency where the grade is determined by the mark
- A FD is based on real world knowledge of the data (not necessarily patterns observed in the data)
  - If we specify an FD then all instances of the data must adhere to the FD
  - Violations of FDs result in redundant information being stored in a table = potential anomalies

# Functional Dependencies - Examples

- A **Functional Dependency** may or may not hold true for a given instance
  - Data can be checked to see if it violates a Functional Dependency

Employee_Dept					
EName	<u>EmpID</u>	BDate	<u>Dept</u>	Dname	DeptMgr
Tom	1	20-Dec	CS	Comp Sci	3
John	2	21-Dec	CS	Comp Sci	3
Mary	3	22-Dec	CS	Comp Sci	3
Tom	4	21-Dec	Math	Mathematics	4



# Functional Dependencies - Examples

- A **Functional Dependency** may or may not hold true for a given instance
  - Data can be checked to see if it violates a Functional Dependency

employeeID	name	phone	room
1001	Chris	8375	D1-11
1002	Connie	8221	Front Office
1003	Sarah	8221	Front Office
1004	Mary	8375	D2-15

**Room --> Phone**

The Room functionally determines the phone extension number

The “Front Office” is always associated with the Phone no. 8221

# Functional Dependencies - Examples

- A **Functional Dependency** may or may not hold true for a given instance
  - Data can be checked to see if it violates a Functional Dependency

employeeID	name	phone	room
1001	Chris	8375	D1-11
1002	Connie	8221	Front Office
1003	Sarah	8221	Front Office
1004	Mary	8375	D2-15

**Phone --> Room**

The Phone number DOES NOT determine the Room number

The same phone number is not always associated with the same room

# Functional Dependencies - Examples

- A **Functional Dependency** may or may not hold true for a given instance
  - Data can be checked to see if it violates a Functional Dependency

employeeID	name	phone	room
1001	Chris	8375	D1-11
1002	Connie	8221	Front Office
1003	Sarah	8221	Front Office
1004	Mary	8375	D2-15

EmployeeID --> Name, Phone, Room is a reasonable **FD** to recommend  
Room --> Phone number may be an unsafe **FD**

- What if using VoIP and the phone number follows the staff member?

# Functional Dependencies and Keys

- **Functional Dependencies** generalise the notion of keys
  - Suppose we have a relation  $R(A, B)$  with no duplicate tuples
    - If  $A \rightarrow B$
    - Then for any two or more tuples with the same value(s) for “A”, the values for attribute “B” must also be the same.
  - This would result in duplicate tuples (ie violating the primary key)
  - “A” must be a primary key of the relation
- “A” is called the **Determinant**
- “B” is called the **Dependent**
  - **Determinant**  $\rightarrow$  **Dependent**

If my bike has license plate XYZ-123 and I’m speeding past a speed camera the government can send me a fine

- They can determine me (the **dependent**) via my license plate (the **determinant**)
- Only I have this license plate
- XYZ-123  $\rightarrow$  Me!



# Rules for Functional Dependencies

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- **Splitting Rule**

- A good functional dependency cannot be broken down further by splitting it into smaller components

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- CourseMarks(studentID, courseID, mark)

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- FD: {studentID, courseID} --> mark

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- Splitting the FD, do the following still hold true?
  - FD1: studentID --> mark
  - FD2: courseID --> mark

# Rules for Functional Dependencies

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- **Combining Rule**

- A good functional dependency can be added to other like dependencies without changing the meaning

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- Student(studentID, studentName, phoneNumber, address)

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- FD1: studentID --> studentName

- FD2: studentID --> phoneNumber

- FD3: studentID --> address

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- Combining the FDs, do they still hold or does it change the meaning?
  - FDall studentID --> {studentName, phoneNumber, address}



# Rules for Functional Dependencies

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- **Transitive Rule**

- If  $A \twoheadrightarrow B$  and  $B \twoheadrightarrow C$  then *technically*  $A \twoheadrightarrow C$

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- Student(studentID, suburb, postcode, state)

- FD1: studentID  $\twoheadrightarrow$  suburb, postcode

- FD2: {suburb, postcode}  $\twoheadrightarrow$  state

- Transitively: studentID  $\twoheadrightarrow$  state

- Called a **Transitive Dependency (TD)**

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# Rules for Functional Dependencies

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- **Closure of Attributes**

- A relation may have numerous **FDs** that hold across all tuples in the relation
- The set of all **FDs** is called the **closure** and is denoted  $R^+$  or  $[R]^+$

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- Lets suppose we are given a relation **R**, a set of **Functional Dependencies** for the relation  $\Sigma$  and a set of attributes "A" that determine the values of all other attributes
- Then we should be able to find ALL attributes in the relation **R** using "A" and the functional dependencies contained in  $\Sigma$
- "A" would be a candidate key for the relation **R**
- If this is the case then the normalisation has been **Lossless**  
The original data can be re-created by re-combining the FDs

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# Rules for Functional Dependencies

- **Calculating Closure**

- The closure of a FD helps us to find the **primary candidate keys** of a relation
- If the closure  $[A]^+$  contains **all** the available attributes via their **FDs**, then “A” is a **candidate key**

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- Student(studentID, studentName, address, mark, grade)

- FD1: studentID  $\rightarrow$  studentName, address, mark

- FD2: mark  $\rightarrow$  grade

Add WeChat }  $\Sigma = \text{FD1} + \text{FD2}$  powcoder

- Closure  $[\text{mark}]^+$

- Closure  $[\text{studentID}]^+$

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# Closure Example

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# Closure Example

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- Given the relation  $R(ABCDEFGH)$  and the functional dependencies:

$R(A,B,C,D,E,F,G,H)$

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$CH \twoheadrightarrow G$

$A \twoheadrightarrow BC$

$B \twoheadrightarrow CFH$

$E \twoheadrightarrow A$

$F \twoheadrightarrow EG$

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- Determine the available **candidate keys**

# Closure Example

## 1. Determine which attributes are always on the LHS (the **determinants**)

- Look for attributes whose values are not dependent on other attributes (i.e. attributes that DON'T appear on the RHS of the  $\rightarrow$ )
- These are usually not the **dependent** attributes

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## 2. Work out the closure for those attribute(s)

- D+
- If un-successful, calculate other closures...

## 3. Work out the closure of determinants + other attributes

- Look for attributes that feature rarely on the RHS but also appear on the LHS
- DA +, DB +, DE +, DF +, ...

R(A,B,C,D,E,F,G,H)

-----

CH  $\rightarrow$  G

A  $\rightarrow$  BC

B  $\rightarrow$  CFH

E  $\rightarrow$  A

F  $\rightarrow$  EG

# Closure Example

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- Closure of  $D^+$

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- 

$R(A,B,C,D,E,F,G,H)$

-----

$CH \twoheadrightarrow G$

$A \twoheadrightarrow BC$

$B \twoheadrightarrow CFH$

$E \twoheadrightarrow A$

$F \twoheadrightarrow EG$

# Closure Example

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- Roriginal(C1, C2, C3, C4, C5, C6)

- Closure of {C1, C2} +

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R(C1, C2, C3, C4, C5, C6)

-----

{C1, C2} --> C5

C1 --> C3

C2 --> C4

C5 --> C6

-



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# Normalisation

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SUMMARY OF THE NORMAL FORMS

# Normalisation - Overview

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- **Normalisation** is the process of efficiently organising table schemas based on relationships within data
  - **Eliminate redundant data**
    - Prevent storing the same data in more than one table
    - Prevents anomalies and data inconsistencies
  - Creates **smaller schemas** that contain only relevant information
    - **Functional Dependencies** are separated into their own tables that are readily updated
    - Only store related data in a table
  - Retain the original information captured
    - Normalisation should not result in a loss of data or information
    - No Spurious tuples

Works well where data is updated frequently but at the expense of information retrieval

# Normalisation - Normal Forms Overview

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- **1st Normal Form (1NF)**

- All tables are flat and hold atomic values
  - One cell, one value
  - One column one domain (data type)

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- **2nd Normal Form (2NF)**

- 1NF must hold true
- All tables must have a valid PK and all values must be “**functionally**” dependent on the **whole key**
  - All non-key attributes (dependents) must provide a fact about the key (ie, have data relating to the key)
    - There are **no partial dependencies**
    - No attribute should be dependent on only a portion of the PK
  - At this point many anomalies will have been eliminated

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# Normalisation - Normal Forms Overview

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- **3rd Normal Form (3NF)**

- 2NF must hold true
- No attribute must be **transitively dependent** on any other non-key (dependent) attribute
- Sufficient to guard against anomalies

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- **Boyce-Codd Normal Form (BCNF)**

- Commonly used in preference to 3rd normal form
- Is a more specific extension of 3rd Normal Form
- For any dependency,  $A \rightarrow B$ , A must be a **super-key**
  - It is concerned with tables that contain multiple overlapping candidate keys

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- **Other Normal Forms:**

- 4th, and 5th Normal Forms and beyond

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# Normalisation

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SUMMARY THE STEPS

# Normalisation - Steps

## 1. Eliminate repeating Groups.

Present data in a tabular format, where each cell has a single value and there are no repeating groups

## 2. Identify the Primary Key

The primary key must uniquely identify any given row.

If necessary a surrogate key can be used if no key exists

## 3. Identify all Dependencies

These can be depicted using a dependency diagram to help visualise the relationship between values

## 4. Break apart the relation

Separate out the various functional dependencies:

1. Remove Partial Dependencies (PDs) into their own relation
2. Remove Transitive Dependencies (TDs) into their own relation

◦ A **Dependency Diagram** depicts all the FDs within the relational schema and can help with the process

Student(studentID, studentName, address, mark, grade)

FD1: studentID -> {studentName, address, mark}

FD2: mark -> grade

Student(studentID, studentName, address, mark, grade)



# Break apart the relation

---

- **Breaking apart relations based on FDs**

1. For every **functional dependency**, write its **determinant** as a PK for a new table

- Name the table to reflect its contents

**Determinant:** any attribute whose value determines other values within a row

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2. Identify the **dependent attributes**

**Dependent:** any attribute whose value is dependent on a determinant

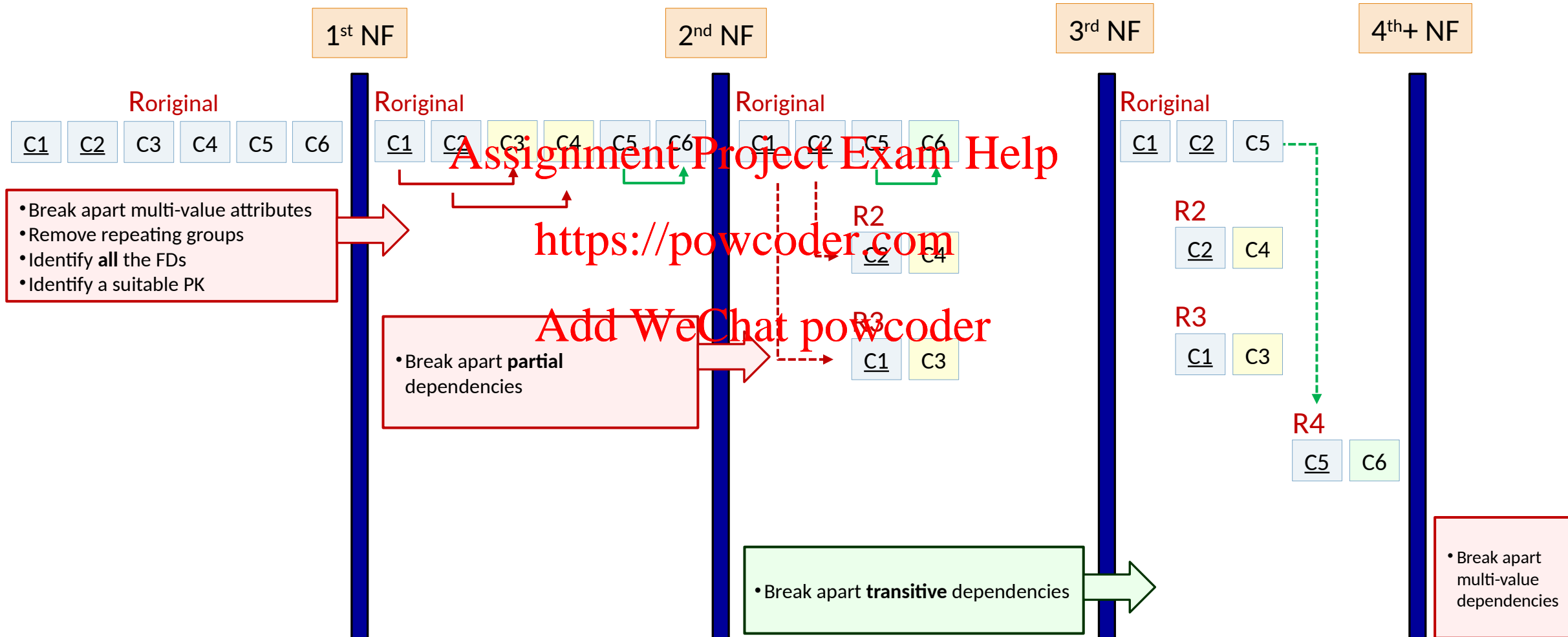
Determinant  $\rightarrow$  Dependent (ie.  $A \rightarrow B$ )

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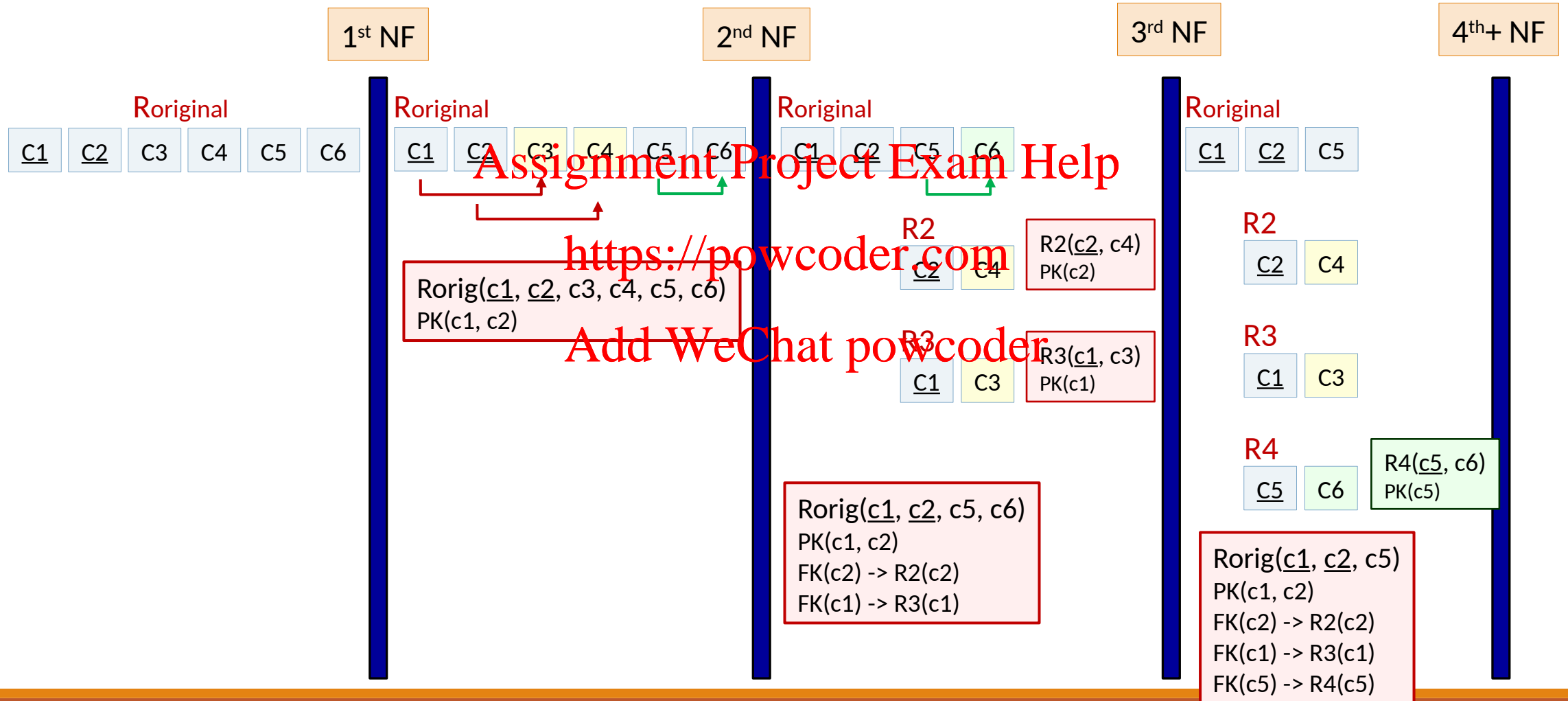
3. Remove the dependent attributes from the partial/transitive dependencies and place them in the new table with the determinant
4. Check the new tables and modified table to ensure that none contain other partial/transitive dependencies

# Normalisation – Normal Forms Overview





# Normalisation – Normal Forms Overview



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# Normalisation

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EXAMPLES

# Normalisation – Example 1

- 1st Normal Form
  - All tables should be “flat”
  - All occurrences of a record must contain the same number of fields
    - All values in a given column must be of the same data type (what does this mean?)
    - A value should NOT be composed of multiple values
    - Atomic values

student	courses
Mary (1001)	{CS145,CS229}
Joe (1002)	{CS145,CS106}
...	...

Not in 1<sup>st</sup> NF

student	studentID	course
Mary	1001	CS145
Mary	1001	CS229
Joe	1002	CS145
Joe	1002	CS106

1<sup>st</sup> NF Equivalent

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# Normalisation – 2nd Normal Form

- Is this in 2<sup>nd</sup> NF?

StudentEnrolments

studentID	courseID	name	postcode	suburb	courseName	room	mark
1001	CS145	Mary	5000	Adel	FIT	B01	D
1001	CS229	Mary	5000	Adel	PF	B01	C
1003	CS106	Jane	5092	Modbury Nth	DBF	B02	HD
1002	CS145	Joe	5092	Modbury	FIT	B01	P
1002	CS106	Joe	5092	Modbury	DBF	B02	HD

Partial Dependencies

studentID → name, postcode, suburb

courseID → courseName, room

studentID, courseID → mark

# Normalisation – 2<sup>nd</sup> Normal Form

- Is this in 2<sup>nd</sup> NF?

**StudentEnrolments**

studentID	name	...	mark
1001	Mary	...	D
1001	Mary	...	C
1003	Jane	...	HD
1002	Joe	...	P
1002	Joe	...	HD

1<sup>st</sup> NF

**Students**

<u>studentID</u>	name	postcode	suburb
1001	Mary	5000	Adel
1001	Mary	5000	Adel
1003	Jane	5092	Modbury Nth
1002	Joe	5092	Modbury
1002	Joe	5092	Modbury

2<sup>nd</sup> NF Equivalent

**Courses**

<u>courseID</u>	courseName	room
CS145	FIT	R01
CS229	PF	R01
CS106	DBF	R02

2<sup>nd</sup> NF Equivalent

# Normalisation – 2<sup>nd</sup> Normal Form

- Is this in 2<sup>nd</sup> NF?

**StudentEnrolments**

studentID	name	...	mark
1001	Mary	...	D
1001	Mary	...	C
1003	Jane	...	HD
1002	Joe	...	P
1002	Joe	...	HD

1<sup>st</sup> NF

## Original Schema:

Enrolment(studentID, courseID, name, suburb, postcode, courseName, room, mark)

PK(studentID, courseID)

FD: studentID --> name, suburb, postcode

FD: courseID --> courseName, room

FD: {studentID, courseID} --> mark

**StudentEnrolments**

<u>studentID</u>	<u>courseID</u>	mark
1001	CS145	D
1001	CS229	C
1003	CS106	HD
1002	CS145	P
1002	CS106	HD

2<sup>nd</sup> NF Equivalent

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## A Better Schema:

Enrolments(studentID, courseID, mark)

Students (studentID, name, postcode, suburb)

Courses (courseID, courseName, room)

# Normalisation – 3<sup>rd</sup> Normal Form

- Is this in 3<sup>rd</sup> Normal Form?

Students			
<u>studentID</u>	name	postcode	suburb
1001	Mary	5000	Adel
1001	Mary	5000	Adel
1003	Jane	5092	Modbury Nth
1002	Joe	5092	Modbury
1002	Joe	5092	Modbury
2 <sup>nd</sup> NF	3 <sup>rd</sup> NF		

Courses		
<u>courseID</u>	courseName	room
CS145	FIT	R01
CS229	PF	R01
CS106	DB	R02
2 <sup>nd</sup> NF	3 <sup>rd</sup> NF	

StudentEnrolments		
<u>studentID</u>	<u>courseID</u>	mark
1001	CS145	D
1001	CS229	C
1003	CS106	HD
1002	CS145	P
1002	CS106	HD
2 <sup>nd</sup> NF	3 <sup>rd</sup> NF	

Are there any values that depend on an attribute other than the PK?

# Normalisation – 3<sup>rd</sup> Normal Form

- Is this in 3<sup>rd</sup> Normal Form?

Courses

<u>CourseID</u>	CourseName	Building	Room
CS145	FIT	Reid	R1-01
CS229	PF	Reid	R2-01
CS106	DBF	Planetarium	P1-13

2<sup>nd</sup> NF

The Room functionally determines the Building the course is held in.

The Building can be identified by Room No as well as the CourseID. Neither Building nor Room are a primary key attribute.

CourseID → Room → Building, so there exists **transitive dependency**.

Are there any values that depend on an attribute other than the PK?



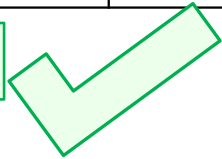
# Normalisation – 3<sup>rd</sup> Normal Form

- Is this in 3<sup>rd</sup> Normal Form?

Courses

<u>CourseID</u>	CourseName	Room
CS145	FIT	R1-01
CS229	PF	R2-01
CS106	DBF	P1-13

3<sup>rd</sup> NF?



Rooms

<u>Room</u>	Building
R1-01	Reid
R2-01	Reid
P1-13	Planetarium

3<sup>rd</sup> NF?



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## A Better Schema:

Courses(CourseID, CourseName, Room)  
PK(CourseID)

Rooms(Room, Building)  
PK(Room)

Now:

CourseID --> CourseName, Room

Room --> Building

- All values depend on the key and nothing but the key!

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# Normalisation

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EXAMPLES

# Normalisation – 1<sup>st</sup> Normal Form

- Is this in 1<sup>st</sup> Normal Form?

Character	Voice actor(s)	Character's role	Episode debut	Original air
<a href="#">Homer Simpson</a>	Dan Castellana <sup>[2]</sup>	Husband of Marge; father of Bart, Lisa, and Maggie.	"Good Night" ( <i>The Simpsons</i> shorts) <sup>[3]</sup>	19/04/1987
<a href="#">Marge Simpson</a>	Julie Kavner <sup>[2]</sup>	Wife of Homer; mother of Bart, Lisa, and Maggie.	"Good Night" <sup>[3]</sup>	19/04/1987
<a href="#">Bart Simpson</a>	Nancy Cartwright <sup>[2]</sup>	Eldest child and only son of Homer and Marge; brother of Lisa and Maggie.	"Good Night" <sup>[3]</sup>	19/04/1987
Multi-valued attribute/Repeating Group		and eldest daughter of Homer and Marge; sister of Bart and Maggie.	"Good Night" <sup>[3]</sup>	19/04/1987
<a href="#">Maggie Simpson</a>	Liz Georges <a href="#">Gábor Csupó</a> <a href="#">Harry Shearer</a> <a href="#">Yeardley Smith</a> <a href="#">Nancy Cartwright</a> <a href="#">Elizabeth Taylor</a> <a href="#">James Earl Jones</a> <a href="#">Jodie Foster</a>	Youngest child and daughter of Homer and Marge; sister of Bart and Lisa.	"Good Night" <sup>[3]</sup>	19/04/1987
<a href="#">Akira</a>	George Takei, <sup>[4]</sup> Hank Azaria <sup>[2]</sup>	Waiter at The Happy Sumo; <sup>[5]</sup> karate teacher. <sup>[6]</sup>	<a href="#">"One Fish, Two Fish, Blowfish, Blue Fish"</a>	24/01/1991

# Normalisation – 1<sup>st</sup> Normal Form

- Is this in 1<sup>st</sup> Normal Form?

Homer Simpson	Dan Castellaneta	Maggie.	Good Night	17/04/1987
Marge Simpson	Julie Kavner	Wife of Homer; mother of Bart, Lisa, and Maggie.	Good Night	19/04/1987
Bart Simpson	Nancy Cartwright	Eldest child and only		
Lisa Simpson	Yeardley Smith			
Maggie Simpson	Liz Georges	Youngest child and daughter of Homer and Marge; sister of Bart and Lisa.	Good Night	19/04/1987
Maggie Simpson	Gábor Csupó	...	Good Night	19/04/1987
Maggie Simpson	Harry Shearer	...	Good Night	19/04/1987
Maggie Simpson	Yeardley Smith	...	Good Night	19/04/1987
Maggie Simpson	Nancy Cartwright	...	Good Night	19/04/1987
Maggie Simpson	Elizabeth Taylor	...	Good Night	19/04/1987
Maggie Simpson	James Earl Jones	...	Good Night	19/04/1987
Maggie Simpson	Jodie Foster	...	Good Night	19/04/1987
Akira	George Takei	Waiter at The Happy Sumo;[5] karate teacher.[6]	One Fish, Two Fish, Blowfish	24/01/1991
		Waiter at The Happy Sumo;[5] karate		

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1<sup>st</sup> Normal Form:

Transform repeating values and groups of values into individual rows of data and

duplicate any missing data as necessary

Maggie Simpson

Liz Georges

Gábor Csupó

Harry Shearer

Yeardley Smith

Nancy Cartwright

Elizabeth Taylor

James Earl Jones

Jodie Foster

Akira

George Takei,<sup>[4]</sup>

Hank Azaria<sup>[2]</sup>

# Normalisation – 2<sup>nd</sup> Normal Form

- Is this in 2<sup>nd</sup> Normal Form?
  - A table of characters, the actor that played the character, the episode and date the character 1<sup>st</sup> aired

Character	Actor	Episode	originalAirDate
Homer Simpson	Assignment Project Exam Help	Good Night	19/04/1987
Marge Simpson	Julie Kavner	Good Night	19/04/1987
Bart Simpson	Nancy Cartwright	Good Night	19/04/1987
Lisa Simpson	Yeardley Smith	Good Night	19/04/1987
Maggie Simpson	Elizabeth Taylor	Good Night	19/04/1987
Maggie Simpson	James Earl Jones	Good Night	19/04/1987
Maggie Simpson	Jodie Foster	Good Night	19/04/1987
Akira	George Takei	One Fish, Two Fish, Blowfish	24/01/1991
Akira	Hank Azaria	One Fish, Two Fish, Blowfish	24/01/1991

**NO**

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Only the Character determines the Episode and originalAirDate

Character → Episode, originalAirDate

Actor = irrelevant (using the provided data)

# Normalisation – 2<sup>nd</sup> Normal Form

- Is this in 2<sup>nd</sup> Normal Form?
  - PK(Character, Actor) and PK(Character)

Character	Actor
Homer Simpson	Dan Castellaneta
Marge Simpson	Julie Kavner
Bart Simpson	Nancy Cartwright
Lisa Simpson	Yeardley Smith
Maggie Simpson	Liz Georges
Maggie Simpson	Gábor Csupó
Maggie Simpson	Harry Shearer
Maggie Simpson	Yeardley Smith
Maggie Simpson	Nancy Cartwright

# YES

The table only consists of a Composite PK – No partial dependencies can exist

Character	Episode	originalAirDate
Homer Simpson	Good Night	19/04/1987
Marge Simpson	Good Night	19/04/1987
Bart Simpson	Good Night	19/04/1987
Lisa Simpson	Good Night	19/04/1987
Maggie Simpson	Good Night	19/04/1987
Maggie Simpson	Good Night	19/04/1987
Maggie Simpson	Good Night	19/04/1987
Maggie Simpson	Good Night	19/04/1987
Maggie Simpson	Good Night	19/04/1987
		These Duplicate records are not needed
		19/04/1987
		19/04/1987
	One Fish, Two Fish, Blowfish	24/01/1991
Akira	One Fish, Two Fish, Blowfish	24/01/1991

**YES**

The table has a single value PK –  
No partial dependencies can exist

These Duplicate records are not needed

# Normalisation – 2<sup>nd</sup> Normal Form

- Is this in 2<sup>nd</sup> Normal Form?
  - PK(Character, Actor) and PK(Character)

Character	Actor
Homer Simpson	Dan Castellane
Marge Simpson	Julie Kavner
Bart Simpson	Nancy Cartwright
Lisa Simpson	Yeardley Smith
Maggie Simpson	Liz Georges
Maggie Simpson	Gábor Csupó
Maggie Simpson	Harry Shearer
Maggie Simpson	Yeardley Smith
Maggie Simpson	Nancy Cartwright

**YES**  
The table only consists of a Composite PK – No partial dependencies can exist

Character	Episode	originalAirDate
Homer Simpson	Good Night	19/04/1987
Marge Simpson	Good Night	19/04/1987
Bart Simpson	Good Night	19/04/1987
Lisa Simpson	Good Night	19/04/1987
Maggie Simpson	Good Night	19/04/1987
Akira	One Fish, Two Fish, Blowfish	24/01/1991

The advantage is no duplicates

**YES**  
The table has a single value PK – No partial dependencies can exist

# Normalisation – 3<sup>rd</sup> Normal Form

- Is this in 2<sup>nd</sup> Normal Form?
  - PK(Character, Actor) and PK(Character)

Character	Actor
Homer Simpson	Dan Castellane
Marge Simpson	Julie Kavner
Bart Simpson	Nancy Cartwright
Lisa Simpson	Yeardley Smith
Maggie Simpson	Liz Georges
Maggie Simpson	Gábor Csupó
Maggie Simpson	Harry Shearer
Maggie Simpson	Yeardley Smith
Maggie Simpson	Nancy Cartwright

**YES**  
The table only consists of a only a key and thus no transitive dependencies can exist

Character	Episode	originalAirDate
Homer Simpson	Good Night	19/04/1987
Marge Simpson	Good Night	19/04/1987
Bart Simpson	Good Night	19/04/1987
Lisa Simpson	Good Night	19/04/1987
Maggie Simpson	Good Night	19/04/1987
Akira	One Fish, Two Fish, Blowfish	24/01/1991

**NO**

The Episode determines the original AirDate and neither is the PK  
Episode -> originalAirDate



# Normalisation – 3<sup>rd</sup> Normal Form

- Is this in 3<sup>rd</sup> Normal Form?

Character	Episode
Homer Simpson	Good Night
Marge Simpson	Good Night
Bart Simpson	Good Night
Lisa Simpson	Good Night
Maggie Simpson	Good Night
Akira	One Fish, Two Fish, Blowfish

Episode	originalAirDate
Good Night	19/04/1987
Good Night	19/04/1987
Good Night	19/04/1987
Good Night	19/04/1987
Good Night	19/04/1987
One Fish, Two Fish, Blowfish	24/01/1991

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## YES

The table only consists of a only a key and one other attribute - no transitive dependencies can exist

## Yes

The Episode always determines the same original AirDate and the episode is the PK.  
There is also only one non-key attribute so no transitive dependency can exist  
Episode -> originalAirDate

# Normalisation – 3<sup>rd</sup> Normal Form

- These are all in 3<sup>rd</sup> Normal Form

<u>Character</u>	<u>Actor</u>
Homer Simpson	Dan Castellana
Marge Simpson	Julie Kavner
Bart Simpson	Nancy Cartwright
Lisa Simpson	Yeardley Smith
Maggie Simpson	Liz Georges
Maggie Simpson	Gábor Csupó
Maggie Simpson	Harry Shearer
Maggie Simpson	Yeardley Smith
Maggie Simpson	Nancy Cartwright
Maggie Simpson	Elizabeth Taylor
Maggie Simpson	James Earl Jones
Maggie Simpson	Jodie Foster
Akira	George Takei
Akira	Hank Azaria

<u>Episode</u>	<u>originalAirDate</u>
Good Night	19/04/1987
One Fish, Two Fish, Blowfish	24/01/1991

<u>Character</u>	<u>Episode</u>
Homer Simpson	Good Night
Marge Simpson	Good Night
Bart Simpson	Good Night
Lisa Simpson	Good Night
Maggie Simpson	Good Night
Akira	One Fish, Two Fish, Blowfish

## A Better Schema

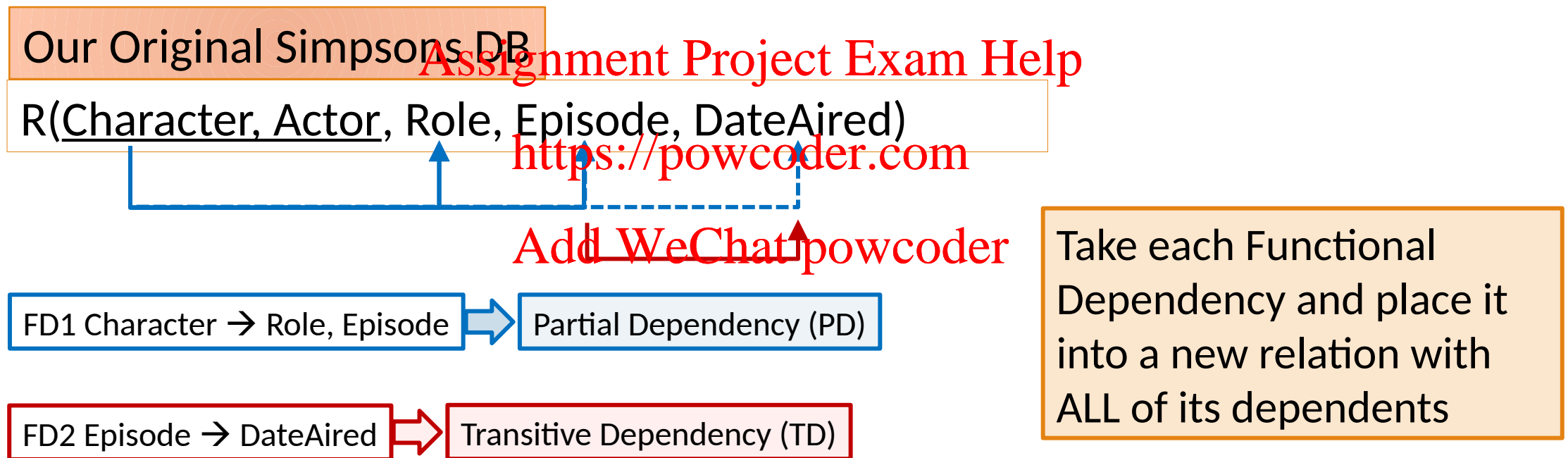
Characters(Character, Actor)  
PK(Character, Actor)

Episodes(Episode, OriginalAirDate)  
PK(Episode)

CharacterFirstEpisode(Character, Episode)  
PK(Character)

# Normalisation

- Simpsons character database:



# Normalisation

Our Simpsons DB

R(Character, Actor, Role, Episode, DateAired)

FD1 Character → Role, Episode Partial Dependency (PD)

R1(Character, Role, Episode, DateAired)

R(Character, Actor)

Functional dependencies removed

FD2 Episode → DateAired

Transitive Dependency (TD)

R2(Episode, DateAired)

R1(Character, Role, Episode)

Take each Functional Dependency and place it into a new **primary** relation

# Normalisation

Our Simpsons DB

R(Character, Actor, Role, Episode, DateAired)



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R(Character, Actor)

3NF

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R2(Episode, DateAired)

3NF

R1(Character, Role,  
Episode)

3NF

# Normalisation – 2<sup>nd</sup> Normal Form

- Is this in 2<sup>nd</sup> Normal Form?
  - PK(CharacterID) a surrogate key

CharacterID	Character	actors	Episode	originalAirDate
1	Homer Simpson	Dan Castellaneta	Good Night	19/04/1987
2	Marge Simpson	Julie Kavner	Good Night	19/04/1987
3	Bart Simpson	Nancy Cartwright	Good Night	19/04/1987
4	Lisa Simpson	Yeardley Smith	Good Night	19/04/1987
5	Maggie Simpson	James Earl Jones	Good Night	19/04/1987
6	Maggie Simpson	Jodie Foster	Good Night	19/04/1987
7	Akira	George Takei	One Fish, Two Fish, Blowfish	24/01/1991
8	Akira	Hank Azaria	One Fish, Two Fish, Blowfish	24/01/1991

YES

By definition a table with a surrogate/single column PK will be in 2<sup>nd</sup> Normal Form as there will be NO attribute(s) that rely on only part of the PK